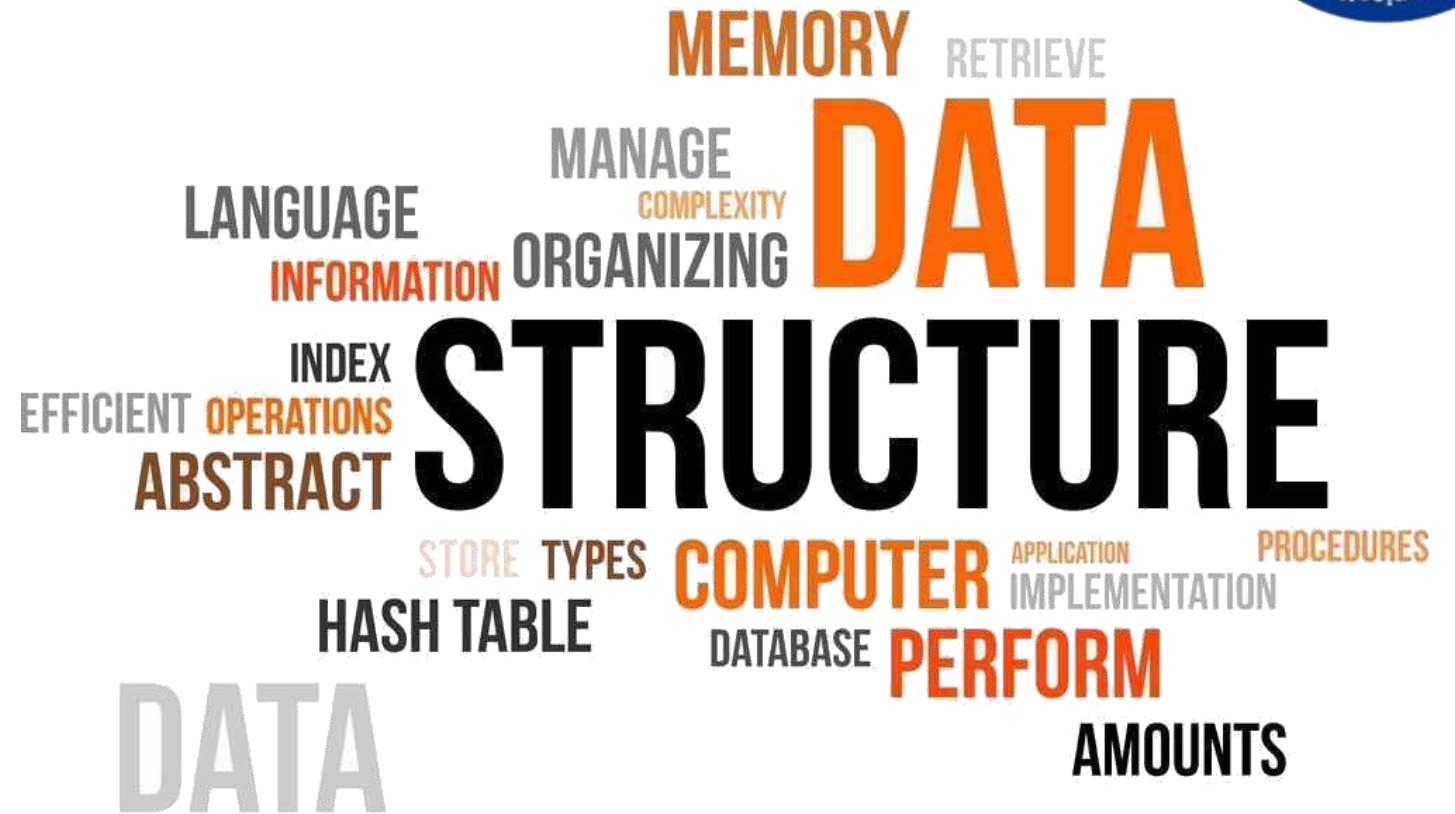




# Data Structures

Course code: IT623



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# Lectures 7

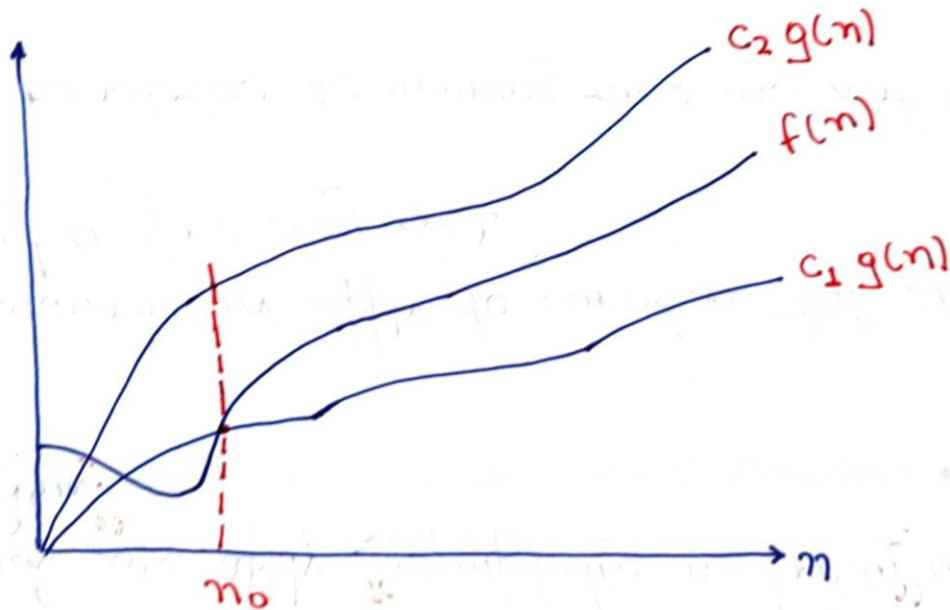
## *Algorithm analysis and Run time*



## Asymptotic Analysis: $\theta$ – notation

Let us define what this notation means. For a given function  $g(n)$ , we denote by  $\Theta(g(n))$  the set of functions.

\*  $\Theta(g(n)) = \{ f(n) : \text{there exist positive constants } c_1, c_2 \text{ and } n_0 \text{ such that}$   
 $0 \leq c_1 g(n) \leq f(n) \leq c_2 g(n) \text{ for all } n \geq n_0 \}.$



$$f(n) = \Theta(g(n))$$

# Asymptotic Analysis: $\theta$ – notation

$\theta$  - notation

\* A function  $f(n)$  belongs to the set  $\theta(g(n))$  if there exist positive constants  $c_1$  and  $c_2$  such that it can be "sandwiched" between  $c_1 g(n)$  and  $c_2 g(n)$ , for sufficiently large  $n$ .  
\*  $\rightarrow$  (read as f on n is theta of g of n)

\* Since  $\theta(g(n))$  is a set, we can write " $f(n) \in \theta(g(n))$ " to indicate that  $f(n)$  is a member of  $\theta(g(n))$ .

\* Instead, we will usually write " $f(n) = \theta(g(n))$ " to express the same notion.

\* An intuitive picture of functions  $f(n)$  and  $g(n)$ , where  $f(n) = \theta(g(n))$ .

\* For all values of  $n$  at and to the right of  $n_0$ , the value of  $f(n)$  lies at or above  $c_1 g(n)$  and at or below  $c_2 g(n)$ .

\*  $g(n)$  is an asymptotically tight bound for  $f(n)$ .

# Asymptotic Analysis: $\theta$ – notation

The definition of  $\theta(g(n))$  require that every member  $f(n) \in \theta(g(n))$  be asymptotically non-negative, that is, that  $f(n)$  be non-negative whenever  $n$  is sufficiently large.

Example  $\rightarrow f(n) = 18n + 9$

since  $f(n) > 18n$  and  $f(n) \leq 27n$   
for  $n \geq 1$

~~$f(n) = 18n + 9$~~

\*  $g(n) = 3n$

$$c_1 3n \leq \frac{18n + 9}{f(n)} \leq c_2 3n$$

$\rightarrow c_1 = 6 \quad c_2 = 9$



# Asymptotic Analysis: $\theta$ – notation

\* Let us briefly justify this intuition by using the formal definition to show that

$$\boxed{\frac{1}{2}n^2 - 3n = \Theta(n^2)}$$

\* To do so, we must determine positive constants  $c_1, c_2$ , and  $n_0$  such that

$$\boxed{c_1 n^2 \leq \frac{1}{2}n^2 - 3n \leq c_2 n^2}$$

for all  $n \geq n_0$ . Dividing by  $n^2$  yields.

$$\boxed{c_1 \leq \frac{1}{2} - \frac{3}{n} \leq c_2}$$

\* We can make the right-hand inequality hold for any value of  $\boxed{n \geq 1}$  by choosing any constant

$$\boxed{c_2 \geq 1/2}$$

\* We can make the left-hand inequality hold for any value of  $\boxed{n \geq 7}$  by choosing any constant

$$\boxed{c_1 \leq 1/14}$$

## Asymptotic Analysis: $\theta$ – notation

- \* By choosing  $c_1 = 1/14$ ,  $c_2 = 1/2$ , and  $n_0 = 7$ , we can verify that  $\boxed{\frac{1}{2}n^2 - 3n = \theta(n^2)}$ .
- \* Certainly, other choices for the constants exist, but the important thing is that *some choice exists*.
- \* These constants depend on the function  $\boxed{\frac{1}{2}n^2 - 3n}$ ; a different function belonging to  $\boxed{\theta(n^2)}$  would usually requires different constants.
- \* We can also use the formal definition to verify that  $\boxed{6n^3 \neq \theta(n^2)}$ . Suppose for the purpose of contradiction that  $\boxed{c_2}$  and  $\boxed{n_0}$  exist such that  $\boxed{6n^3 \leq c_2 n^2}$  for all  $n \geq n_0$ .
- \* But then dividing by  $\boxed{n^2}$  yield  $\boxed{n \leq c_2/6}$ , which cannot possibly hold for arbitrarily large  $n$ , since  $\underline{c_2}$  is constant.

# Asymptotic Analysis: $\theta$ – notation

- \* The lowest-order terms of an asymptotically positive function can be ignored in determining asymptotically tight bounds because they are insignificant for large  $n$ .
- \* When  $n$  is large, even a tiny fraction of the highest-order term suffices to dominate the lowest-order terms.
- \* Thus, setting  $c_1$  to a value that is slightly smaller than the coefficient of the highest-order term and setting  $c_2$  to a value that is slightly ~~smaller than the coefficient~~ larger permits the inequalities in the definition of  $\theta$ -notation to be satisfied.

$$f(n) = an^2 + bn + c$$

where  $a, b$ , and  $c$  are constants and  $a > 0$ .

- \* The lowest-order terms and ignoring the constant yields  $f(n) = \Theta(n^2)$ .

- \* To show the same thing, we take the constants  $c_1 = a/4$ ,  $c_2 = 7a/4$ , and  $n_0 = 2 \dots$

$$n_0 = 2 \cdot \max(|b|/a, \sqrt{|c|/a})$$



# Asymptotic Analysis: $\theta$ – notation

We can verify that  $0 \leq c_1 n^2 \leq an^2 + bn + c \leq c_2 n^2$  for all  $n \geq n_0$ .

\* In general, for any polynomial

$$p(n) = \sum_{i=0}^d a_i n^i, \text{ where the } a_i \text{ are constants and } a_d > 0$$

\* We have  $p(n) = \Theta(n^d)$ .

\* Since any constant is a degree-0 polynomial, we can express any constant function as  $\Theta(n^0)$ , or  $\Theta(1)$ .

\* We shall often use the notation  $\Theta(1)$  to mean either a constant or a constant function with respect to some variable.

# Asymptotic Analysis: $O$ – notation

- \* The  $\Theta$ -notation asymptotically bounds a function from above and below.
- \* When, we have only one asymptotic upper bound, we use  $O$ -notation
- \* For a given function  $g(n)$ , we denote by  $O(g(n))$  (pronounced "big-oh of g of n") or sometimes just "oh of g of n") the set of functions.

$$O(g(n)) = \{f(n) : \text{there exist positive constants } c \text{ and } n_0 \text{ such that } 0 \leq f(n) \leq cg(n) \text{ for all } n \geq n_0\}$$

\* Precise definition: "Schaum's outlines"

Suppose  $f(n)$  and  $g(n)$  are functions defined on positive integers with the property that  $f(n)$  is bounded by some multiplier of  $g(n)$  for almost all  $n$ . That is, suppose there exist a positive integer  $n_0$  and a positive number  $c$  such that, for all  $n \geq n_0$ , we have

# Asymptotic Analysis: $O$ – notation

$$|f(n)| \leq c |g(n)|$$

This is also written as:

$$f(n) = O(g(n))$$

It is read as " $f(n)$  is of order  $g(n)$ ." For any polynomial  $P(n)$  of degree  $m$ , we show  $P(n)$  solved that  $P(n) = O(n^m)$ ; e.g.,

$$8n^3 - 576n^2 + 832n - 28 = O(n^3)$$

We can also write

$$f(n) = h(n) + O(g(n)) \text{ when } f(n) - h(n) = O(g(n))$$

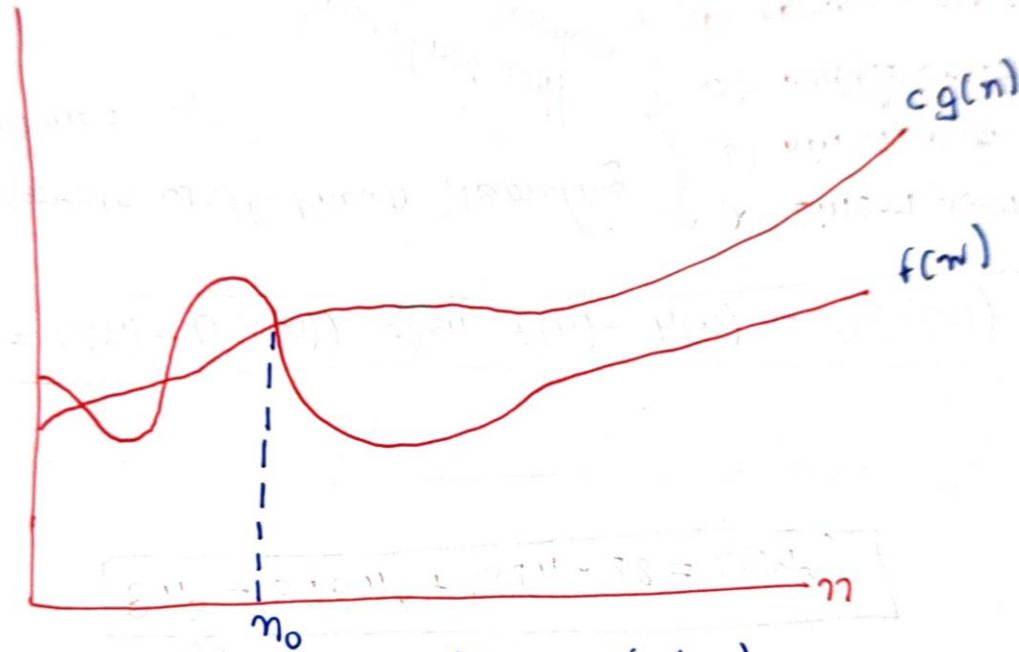
The complexity of certain well-known searching and sorting algorithms:

These algorithms will be discussed in further lectures.

- (a) Linear search:  $O(n)$
- (b) Binary search:  $O(\log n)$
- (c) Bubble sort:  $O(n^2)$
- (d) Merge sort:  $O(n \log n)$ .

# Asymptotic Analysis: $O$ – notation

\* We use  $O$ -notation to give an upper bound on a function, to within a constant factor.



$$f(n) = O(g(n))$$

\* For all values  $n$  at and to the right of  $n_0$ , the value of the function  $f(n)$  is on or below  $c g(n)$ .



# Asymptotic Analysis: $O$ – notation

- \* We write  $f(n) = O(g(n))$  to indicate that a function  $f(n)$  is a member of the set  $O(g(n))$ .
- \*  $f(n) = \Theta(g(n))$  implies  $f(n) = O(g(n))$ , since  $\Theta$ -notation is a stronger notation than  $O$ -notation.
- \* Written set-theoretically, we have  $\Theta(g(n)) \subseteq O(g(n))$ .
- \* Our proof that any quadratic function  $an^2 + bn + c$  where  $a > 0$  is in  $\Theta(n^2)$  also shows that any such quadratic function is in  $O(n^2)$ .
- \* A surprising aspect:  
when  $a > 0$ , any linear function  $\{an + b\}$  is  $O(n^2)$ .
- \* It is easily verified by taking  $c = a + |b|$  and  $n_0 = \max(1, -b/a)$ .

# Asymptotic Analysis: $O$ – notation

- \* Using  $O$ -notation, we can often describe the running time of an algorithm merely by inspecting the algorithm's overall structure.
- \* For example, the doubly nested loop structure of insertion sort algorithm immediately yields an  $O(n^2)$  upper bound on the worst-case running time:
- \* Since  $O$ -notation describes an upper bound, when we use it to bound the worst-case running time of an algorithm, we have a bound on the running time of the algorithm on every input.
- \*  $O(n^2)$  bound on worst-case running time of insertion sort also applies to its running time on every input.
- \* When we say "the running time is  $O(n^2)$ ", we mean that there is a function  $f(n)$  that is  $O(n^2)$  such that for any value of  $n$ , no matter what particular input of Size  $n$

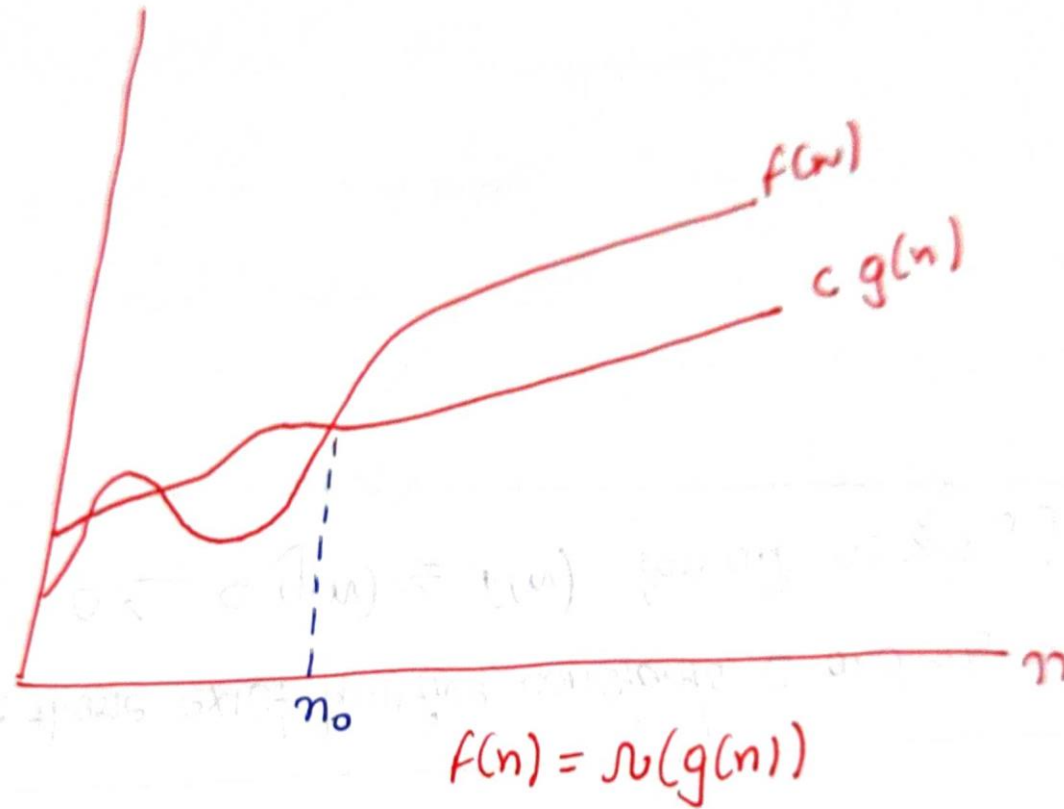
## Asymptotic Analysis: $\Omega$ – notation

\* Just as  $O$ -notation provides an asymptotic upper bound on a function,  $\Omega$ -notation provides an asymptotic lower bound.

For a given function  $g(n)$ , we denote by  $\Omega(g(n))$  (pronounced "big-omega of  $g$  of  $n$ ") or sometimes just "omega of  $g$  of  $n$ ") the set of functions.

$$\Omega(g(n)) = \{ f(n) : \text{there exist positive constants } c \text{ and } n_0 \text{ such that} \\ 0 \leq c g(n) \leq f(n) \text{ for all } n \geq n_0 \}$$

## Asymptotic Analysis: $\Omega$ – notation



For all values  $n$  at or to the right of  $n_0$ , the value of  $f(n)$  is on or above  $c g(n)$ .



# Asymptotic Analysis: $\Omega$ – notation

## Definition:

$f(n) = \Omega(g(n))$  (read as  $f$  of  $n$  is omega of  $g$  of  $n$ ), iff there exists a positive number  $n_0$  and a positive number  $M$  such that  $|f(n)| \geq \textcircled{C} |g(n)|$ , for all  $n \geq n_0$ .

For  $f(n) = 18n + 9$ ,  $f(n) > 18n$  for all  $n$ , hence  $f(n) = \Omega(n)$ . Also, for  $f(n) = 90n^2 + 18n + 6$ ,  $f(n) > 90n^2$  for  $n \geq 0$  and therefore  $f(n) = \Omega(n^2)$

\* For  $f(n) = \Omega(g(n))$ ,  $g(n)$  is a lower bound function and there may be several such functions.

\* However, it is appropriate that the function which is almost as large a function of  $n$  as possible such that the definition of  $\Omega$  is satisfied, is chosen as  $g(n)$ .

# Asymptotic Analysis: $\Omega$ – notation

## Theorem 3.1

For any two functions  $f(n)$  and  $g(n)$ , we have  $f(n) = \Theta(g(n))$  if and only if

$$\boxed{f(n) = O(g(n)) \text{ and } f(n) = \Omega(g(n))}$$

- ⇒ The earlier proof that  $an^2 + bn + c = \Theta(n^2)$  for any constant  $a, b$ , and  $c$ , where  $a > 0$ , immediately implies that  $an^2 + bn + c = \Omega(n^2)$  and  $an^2 + bn + c = O(n^2)$ .
- \* In practice, rather than using the Theorem to obtain asymptotic upper and lower bounds we usually use it to prove asymptotically tight bounds.

- “ When we say that the running time of an algorithm is  $\Omega(g(n))$ , we mean that no matter what particular input of size  $n$  is chosen for each value of  $n$ , the running time on that input is at least a constant time  $g(n)$ .

# Asymptotic Analysis: $\Omega$ – notation

## \* Asymptotic notation in equations and inequalities

→ When the asymptotic notation stands alone (that is, not within a larger formula) on the right-hand side of an equation, as in  $n = O(n^2)$

→ The equality sign set membership:  $n \in O(n^2)$ .

→ However, when asymptotic notation appears in a formula, we interpret it as standing for some anonymous function:

→ For example, the formula  $2n^2 + 3n + 1 = 2n^2 + O(n)$  means that  $2n^2 + 3n + 1 = 2n^2 + f(n)$  where  $f(n)$  is some function in the set  $O(n)$ .

\* Thus, we can chain together a number of such relationships, as in

$$\begin{aligned} 2n^2 + 3n + 1 &= 2n^2 + O(n) \\ &= \underline{O(n^2)} \end{aligned}$$



# Asymptotic Analysis: **$o$** - notation

$O$ -notation "little-oh of  $g$  of  $n$ " :

- \* The asymptotic upper bound provided by  $O$ -notation may or may not be asymptotically tight.
- \* The bound  $2n^2 = O(n^2)$  is asymptotically tight, but the bound  $2n = O(n^2)$  is not.

\* we use  $o$ -notation as the set

$$o(g(n)) = \{ f(n) : \text{for any positive constant } c > 0, \text{ there exists a constant } n_0 > 0 \text{ such that } 0 \leq f(n) < cg(n) \text{ for all } n \geq n_0 \}$$

[For example:  $2n = o(n^2)$  but  $2n^2 \neq o(n^2)$ ]

- \* The definitions of  $O$ -notation and  $o$ -notation are similar. The main difference is that in  $f(n) = O(g(n))$ , the bound  $0 \leq f(n) \leq c(g(n))$  holds for some constants  $c > 0$ , but in  $f(n) = o(g(n))$ , the bound  $0 \leq f(n) < cg(n)$  holds for all constants  $c > 0$ .

\* In  $o$ -notation, the function  $f(n)$  becomes insignificant relative to  $g(n)$  as  $n$  approaches infinity:  $\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = 0$



# Asymptotic Analysis: $\omega$ - notation

$\omega$ -notation:

By analogy,  $\omega$ -notation is to  $\Omega$  notation as  $\Theta$ -notation is to  $O$ -notation. We use  $\omega$ -notation to denote a lower bound that is not asymptotically tight.

$f(n) \in \omega(g(n))$  if and only if  $g(n) \in o(f(n))$ .

We define  $\omega(g(n))$  ("little-omega of  $g$  of  $n$ ") as the set

$$\left\{ \omega(g(n)) = \{ f(n) : \text{for any positive constant } c > 0, \text{ there exists a constant } n_0 > 0 \text{ such that} \right. \\ \left. 0 \leq cg(n) < f(n) \text{ for all } n > n_0 \} \right\}$$

For example,  $n^2/2 = \omega(n)$ , but  $n^2/2 \neq \omega(n^2)$ . The relation  $f(n) = \omega(g(n))$  implies that

$$\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = \infty$$

if the limit exists. That is  $f(n)$  becomes arbitrarily large relative to  $g(n)$  as  $n$  approaches infinity.

# Asymptotic Analysis: *numerical example*

**1. Big O notation:** Consider the function  $f(n) = n^3 + 2n^2 + 5$ . The big O notation for  $f(n)$  is  $O(n^3)$  because the highest-order term in the polynomial is  $n^3$ . As  $n$  gets larger, the contribution of the other terms becomes relatively insignificant compared to the dominant  $n^3$  term.

**2. Big Omega notation:** Consider the function  $f(n) = n^2 + 10n$ . The big Omega notation for  $f(n)$  is  $\Omega(n^2)$  because the lowest order term in the polynomial is  $n^2$ . As  $n$  gets larger, the contribution of the other term ( $10n$ ) becomes relatively insignificant compared to the dominant  $n^2$  term.

**3. Big Theta notation:** Consider the function  $f(n) = 3n^2 + 4n + 2$ . The big Theta notation for  $f(n)$  is  $\Theta(n^2)$  because the highest and lowest order terms in the polynomial are  $n^2$ . As  $n$  gets larger, the contribution of the other term ( $4n + 2$ ) becomes relatively insignificant compared to the dominant  $n^2$  term.

# Asymptotic Analysis: *numerical example*

**4. Little O notation:** Consider the function  $f(n) = n^2 + 2\log(n)$ . The little O notation for  $f(n)$  is  $o(n^2)$  because the contribution of the  $\log(n)$  term becomes negligible as  $n$  approaches infinity compared to the dominant  $n^2$  term.

**5. Little Omega notation:** Consider the function  $f(n) = n^2 + n\log(n)$ . The little Omega notation for  $f(n)$  is  $\omega(n^2)$  because the contribution of the  $n\log(n)$  term grows faster than  $n^2$  as  $n$  approaches infinity.

# Properties of Asymptotic Notations

Asymptotic notation, which includes Big O (“O”), Big Omega (“Ω”), Big Theta (“Θ”), Little o (“o”), and Little Omega (“ω”), have several properties that make them useful in analyzing and comparing the computational complexity of algorithms and functions.

- 1.Reflexivity:** Any function is asymptotically equivalent to itself. This means that  $f(n) = \Theta(f(n))$ ,  $f(n) = O(f(n))$ , and  $f(n) = \Omega(f(n))$  are always true.
- 2.Transitivity:** If  $f(n) = O(g(n))$  and  $g(n) = O(h(n))$ , then  $f(n) = O(h(n))$ . Similarly, if  $f(n) = \Omega(g(n))$  and  $g(n) = \Omega(h(n))$ , then  $f(n) = \Omega(h(n))$ . This property allows us to compare the asymptotic behavior of different functions.
- 3.Symmetry:** If  $f(n) = \Theta(g(n))$ , then  $g(n) = \Theta(f(n))$ . This property allows us to interchange the roles of  $f(n)$  and  $g(n)$  when analyzing the complexity of algorithms.



# Properties of Asymptotic Notations

**4. Addition:** If  $f(n) = \Theta(h(n))$  and  $g(n) = \Theta(k(n))$ , then  $f(n) + g(n) = \Theta(\max(h(n), k(n)))$ . This property allows us to analyze the complexity of an algorithm that involves multiple functions.

**5. Multiplication:** If  $f(n) = O(h(n))$  and  $g(n) = O(k(n))$ , then  $f(n)g(n) = O(h(n)k(n))$ . This property allows us to analyze the complexity of an algorithm that involves multiple functions.

**6. Transpose:** If  $f(n)$  is a non-negative monotonic increasing function, then  $f^{-1}(n) = \Theta(f(n))$ , where  $f^{-1}$  is the inverse function of  $f$ . This property allows us to analyze the complexity of the inverse of a function.

*These properties of asymptotic notation allow us to analyze the complexity of algorithms and functions and compare their efficiency in terms of time and space complexity.*

# Questions on Asymptotic Notations

1. What is the Big O notation for the function  $f(n) = 2n^2 + 3n + 1$ ?
2. What is the Big Omega notation for the function  $g(n) = 4n + 2\log(n)$ ?
3. If  $f(n) = 3n^2 + 2n\log(n)$  and  $g(n) = 5n\log(n)$ , which function has a higher order of growth as  $n$  approaches infinity?
4. If  $f(n) = 2^n$  and  $g(n) = n!$ , which function has a higher order of growth as  $n$  approaches infinity?
5. What is the Big Theta notation for the function  $h(n) = n^3 + 5n^2 + 3n + 1$ ?

# Questions and Answers on Asymptotic Notations

1. **What is the Big O notation for the function  $f(n) = 2n^2 + 3n + 1$ ? Answer:** The Big O notation for  $f(n)$  is  $O(n^2)$  because the highest order term in the polynomial is  $n^2$ .
2. **What is the Big Omega notation for the function  $g(n) = 4n + 2\log(n)$ ? Answer:** The Big Omega notation for  $g(n)$  is  $\Omega(n)$  because the lowest order term in the polynomial is  $n$ .
3. **If  $f(n) = 3n^2 + 2n\log(n)$  and  $g(n) = 5n\log(n)$ , which function has a higher order of growth as  $n$  approaches infinity? Answer:** To compare the growth rate of the two functions, we need to compare their dominant terms. The dominant term of  $f(n)$  is  $n^2$  and the dominant term of  $g(n)$  is  $n\log(n)$ . Therefore, as  $n$  approaches infinity,  $f(n)$  has a higher order of growth than  $g(n)$ .