

# IF2130 – Organisasi dan Arsitektur Komputer

sumber: Greg Kesden, CMU 15-213, 2012

Representasi Informasi: Floating Point

# Today: Floating Point

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- ▶ Background: Fractional binary numbers
- ▶ IEEE floating point standard: Definition
- ▶ Example and properties
- ▶ Rounding, addition, multiplication
- ▶ Floating point in C
- ▶ Summary



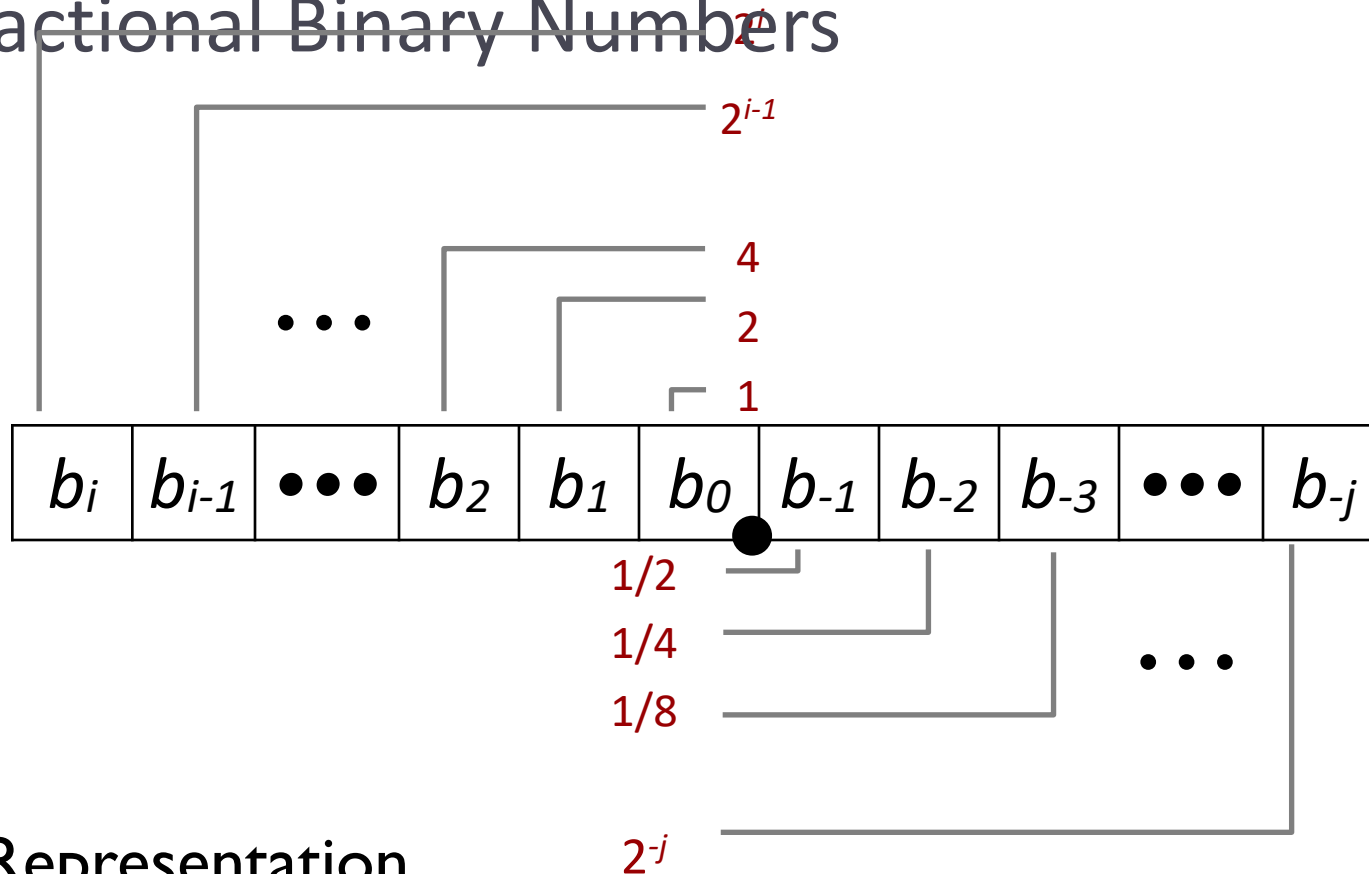
# Fractional binary numbers

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- ▶ What is  $1011.101_2$ ?



# Fractional Binary Numbers



## Representation

- Bits to right of “binary point” represent fractional powers of 2

- Represents rational number: 
$$\sum_{k=-j}^i b_k \times 2^k$$

# Fractional Binary Numbers: Examples

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## ■ Value Representation

|                  |            |
|------------------|------------|
| $5 \frac{3}{4}$  | $101.11_2$ |
| $2 \frac{7}{8}$  | $10.111_2$ |
| $1 \frac{7}{16}$ | $1.0111_2$ |

## ■ Observations

- Divide by 2 by shifting right (unsigned)
- Multiply by 2 by shifting left
- Numbers of form  $0.111111\dots_2$  are just below 1.0
  - $1/2 + 1/4 + 1/8 + \dots + 1/2^i + \dots \rightarrow 1.0$
  - Use notation  $1.0 - \epsilon$



# Representable Numbers

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## ▶ Limitation #1

- ▶ Can only exactly represent numbers of the form  $x/2^k$ 
  - ▶ Other rational numbers have repeating bit representations

| ▶ Value | Representation                        |
|---------|---------------------------------------|
| ▶ 1/3   | 0.0101010101[01]... <sub>2</sub>      |
| ▶ 1/5   | 0.001100110011[0011]... <sub>2</sub>  |
| ▶ 1/10  | 0.0001100110011[0011]... <sub>2</sub> |

## ▶ Limitation #2

- ▶ Just one setting of decimal point within the  $w$  bits
  - ▶ Limited range of numbers (very small values? very large?)



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# IEEE Floating Point

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- ▶ **IEEE Standard 754**

- ▶ Established in 1985 as uniform standard for floating point arithmetic
  - ▶ Before that, many idiosyncratic formats
- ▶ Supported by all major CPUs

- ▶ **Driven by numerical concerns**

- ▶ Nice standards for rounding, overflow, underflow
- ▶ Hard to make fast in hardware
  - ▶ Numerical analysts predominated over hardware designers in defining standard





# Floating Point Representation

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## ▶ Numerical Form:

$$(-1)^s M 2^E$$

- ▶ Sign bit **s** determines whether number is negative or positive
- ▶ Significand **M** normally a fractional value in range [1.0,2.0).
- ▶ Exponent **E** weights value by power of two

## ▶ Encoding

- ▶ MSB **s** is sign bit **s**
- ▶ exp field encodes **E** (but is not equal to E)
- ▶ frac field encodes **M** (but is not equal to M)



# Precision options

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- ▶ Single precision: 32 bits



- ▶ Double precision: 64 bits



- ▶ Extended precision: 80 bits (Intel only)



# “Normalized” Values

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- ▶ When:  $\text{exp} \neq 000\dots 0$  and  $\text{exp} \neq 111\dots 1$
- ▶ Exponent coded as a **biased** value:  $E = \text{Exp} - \text{Bias}$ 
  - ▶  $\text{Exp}$ : unsigned value  $\text{exp}$
  - ▶  $\text{Bias} = 2^{k-1} - 1$ , where  $k$  is number of exponent bits
    - ▶ Single precision: 127 (Exp: 1...254, E: -126...127)
    - ▶ Double precision: 1023 (Exp: 1...2046, E: -1022...1023)
- ▶ Significand coded with implied leading 1:  $M = 1.\text{xxx}\dots\text{x}_2$ 
  - ▶  $\text{xxx}\dots\text{x}$ : bits of  $\text{frac}$
  - ▶ Minimum when  $\text{frac}=000\dots 0$  ( $M = 1.0$ )
  - ▶ Maximum when  $\text{frac}=111\dots 1$  ( $M = 2.0 - \epsilon$ )
  - ▶ Get extra leading bit for “free”



# Normalized Encoding Example

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► Value: `Float F = 15213.0;`

$$\begin{aligned} 15213_{10} &= 11101101101101_2 \\ &= 1.1101101101101_2 \times 2^{13} \end{aligned}$$

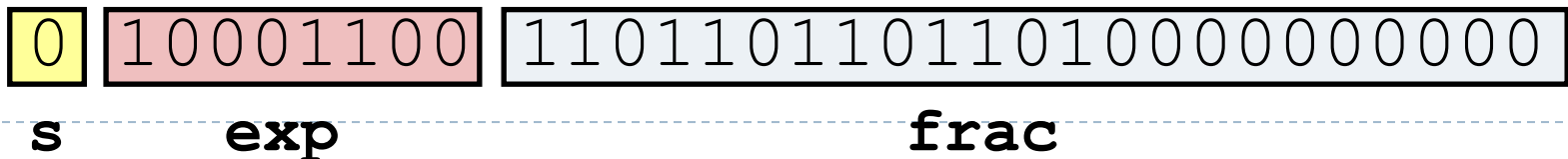
► Significand

$$\begin{aligned} M &= 1.1101101101101_2 \\ \text{frac} &= \underline{1101101101101}0000000000_2 \end{aligned}$$

► Exponent

$$\begin{aligned} E &= 13 \\ \text{Bias} &= 127 \\ \text{Exp} &= 140 = 10001100_2 \end{aligned}$$

► Result:



# Denormalized Values

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- ▶ Condition:  $\text{exp} = 000\dots 0$
- ▶ Exponent value:  $E = -\textit{Bias} + 1$  (instead of  $E = 0 - \textit{Bias}$ )
- ▶ Significand coded with implied leading 0:  $M = 0.\text{xxx}\dots\text{x}_2$ 
  - ▶  $\text{xxx}\dots\text{x}$ : bits of  $\text{frac}$
- ▶ Cases
  - ▶  $\text{exp} = 000\dots 0, \text{frac} = 000\dots 0$ 
    - ▶ Represents zero value
    - ▶ Note distinct values:  $+0$  and  $-0$  (why?)
  - ▶  $\text{exp} = 000\dots 0, \text{frac} \neq 000\dots 0$ 
    - ▶ Numbers closest to  $0.0$
    - ▶ Equispaced



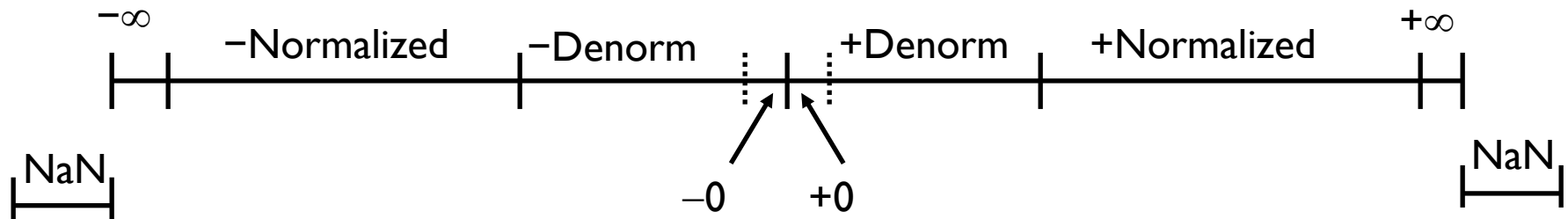
# Special Values

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- ▶ Condition: **exp** = 111...1
- ▶ Case: **exp** = 111...1, **frac** = 000...0
  - ▶ Represents value  $\infty$  (infinity)
  - ▶ Operation that overflows
  - ▶ Both positive and negative
  - ▶ E.g.,  $1.0/0.0 = -1.0/-0.0 = +\infty$ ,  $1.0/-0.0 = -\infty$
- ▶ Case: **exp** = 111...1, **frac**  $\neq$  000...0
  - ▶ Not-a-Number (NaN)
  - ▶ Represents case when no numeric value can be determined
  - ▶ E.g.,  $\text{sqrt}(-1)$ ,  $\infty - \infty$ ,  $\infty \times 0$



# Visualization: Floating Point Encodings



# Today: Floating Point

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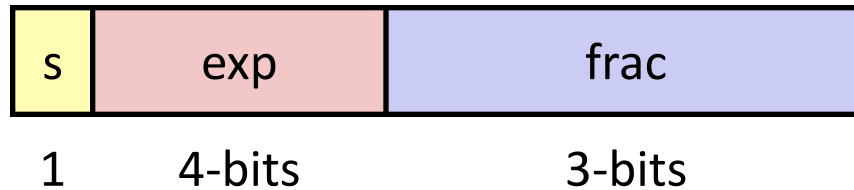
- ▶ Background: Fractional binary numbers
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- ▶ **Example and properties**
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# Tiny Floating Point Example

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- ▶ **8-bit Floating Point Representation**
  - ▶ the sign bit is in the most significant bit
  - ▶ the next four bits are the exponent, with a bias of 7
  - ▶ the last three bits are the **frac**
- ▶ **Same general form as IEEE Format**
  - ▶ normalized, denormalized
  - ▶ representation of 0, NaN, infinity



# Dynamic Range (Positive Only)

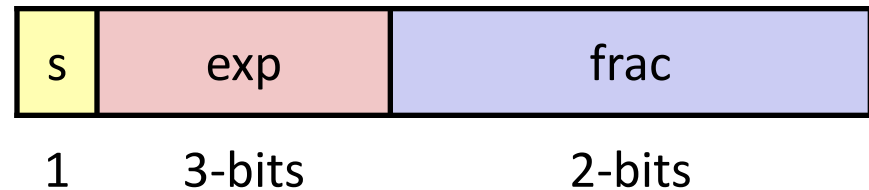
|                      | s   | exp  | frac | E   | Value                |                    |
|----------------------|-----|------|------|-----|----------------------|--------------------|
| Denormalized numbers | 0   | 0000 | 000  | -6  | 0                    |                    |
|                      | 0   | 0000 | 001  | -6  | $1/8 * 1/64 = 1/512$ | closest to zero    |
|                      | 0   | 0000 | 010  | -6  | $2/8 * 1/64 = 2/512$ |                    |
|                      | ... |      |      |     |                      |                    |
|                      | 0   | 0000 | 110  | -6  | $6/8 * 1/64 = 6/512$ |                    |
|                      | 0   | 0000 | 111  | -6  | $7/8 * 1/64 = 7/512$ | largest denorm     |
|                      | 0   | 0001 | 000  | -6  | $8/8 * 1/64 = 8/512$ | smallest norm      |
| Normalized numbers   | 0   | 0001 | 001  | -6  | $9/8 * 1/64 = 9/512$ |                    |
|                      | ... |      |      |     |                      |                    |
|                      | 0   | 0110 | 110  | -1  | $14/8 * 1/2 = 14/16$ |                    |
|                      | 0   | 0110 | 111  | -1  | $15/8 * 1/2 = 15/16$ | closest to 1 below |
|                      | 0   | 0111 | 000  | 0   | $8/8 * 1 = 1$        |                    |
|                      | 0   | 0111 | 001  | 0   | $9/8 * 1 = 9/8$      | closest to 1 above |
|                      | 0   | 0111 | 010  | 0   | $10/8 * 1 = 10/8$    |                    |
|                      | ... |      |      |     |                      |                    |
|                      | 0   | 1110 | 110  | 7   | $14/8 * 128 = 224$   |                    |
|                      | 0   | 1110 | 111  | 7   | $15/8 * 128 = 240$   | largest norm       |
|                      | 0   | 1111 | 000  | n/a | inf                  |                    |



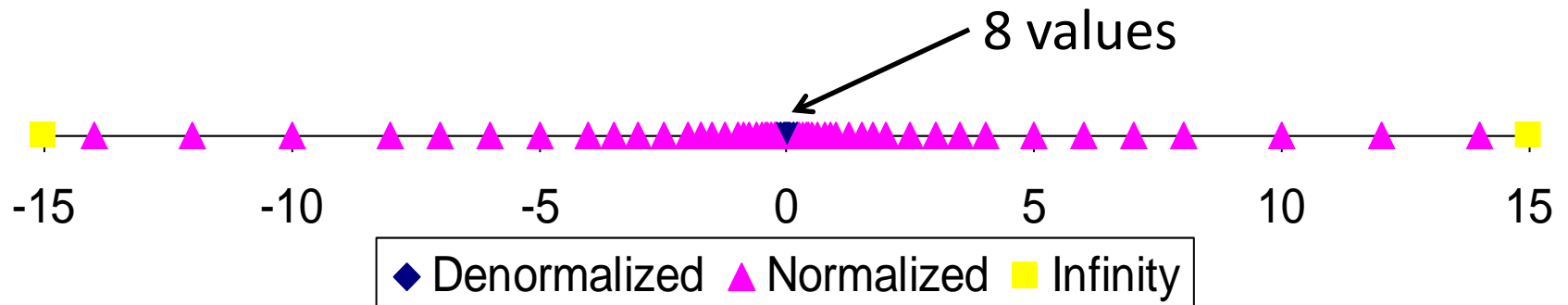
# Distribution of Values

## ▶ 6-bit IEEE-like format

- ▶  $e = 3$  exponent bits
- ▶  $f = 2$  fraction bits
- ▶ Bias is  $2^{3-1} - 1 = 3$



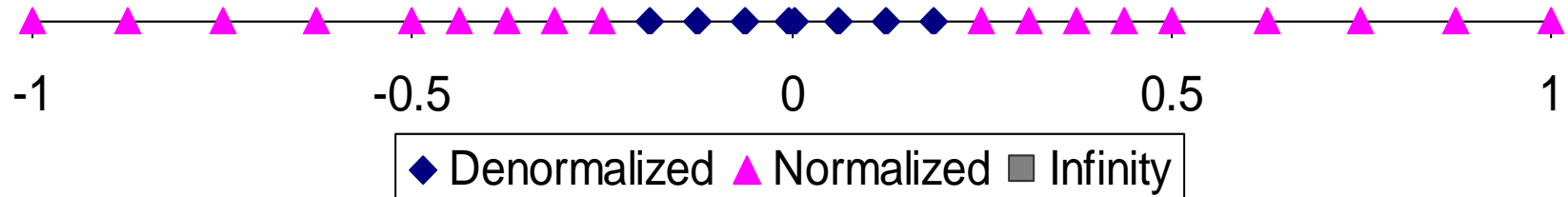
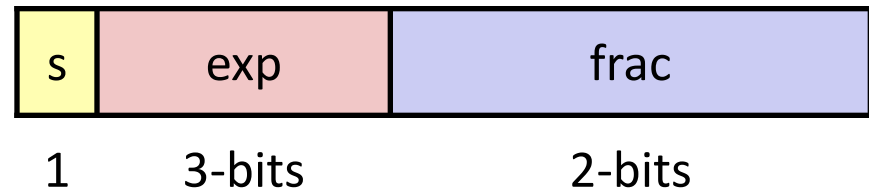
## ▶ Notice how the distribution gets denser toward zero.



# Distribution of Values (close-up view)

## ▶ 6-bit IEEE-like format

- ▶  $e = 3$  exponent bits
- ▶  $f = 2$  fraction bits
- ▶ Bias is 3



# Special Properties of the IEEE Encoding

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- ▶ **FP Zero Same as Integer Zero**
  - ▶ All bits = 0
- ▶ **Can (Almost) Use Unsigned Integer Comparison**
  - ▶ Must first compare sign bits
  - ▶ Must consider  $-0 = 0$
  - ▶ NaNs problematic
    - ▶ Will be greater than any other values
    - ▶ What should comparison yield?
  - ▶ Otherwise OK
    - ▶ Denorm vs. normalized
    - ▶ Normalized vs. infinity



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# Floating Point Operations: Basic Idea

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- ▶  $\mathbf{x} +_{\mathbf{f}} \mathbf{y} = \mathbf{Round}(\mathbf{x} + \mathbf{y})$
- ▶  $\mathbf{x} \times_{\mathbf{f}} \mathbf{y} = \mathbf{Round}(\mathbf{x} \times \mathbf{y})$
- ▶ Basic idea
  - ▶ First **compute exact result**
  - ▶ Make it fit into desired precision
    - ▶ Possibly overflow if exponent too large
    - ▶ Possibly **round to fit into frac**



# Rounding

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- ▶ Rounding Modes (illustrate with \$ rounding)

|   |                          |        |        |        |        |         |
|---|--------------------------|--------|--------|--------|--------|---------|
| ▶ |                          | \$1.40 | \$1.60 | \$1.50 | \$2.50 | -\$1.50 |
| ▶ | Towards zero             | \$1    | \$1    | \$1    | \$2    | -\$1    |
| ▶ | Round down ( $-\infty$ ) | \$1    | \$1    | \$1    | \$2    | -\$2    |
| ▶ | Round up ( $+\infty$ )   | \$2    | \$2    | \$2    | \$3    | -\$1    |
| ▶ | Nearest Even(default)    | \$1    | \$2    | \$2    | \$2    | -\$2    |





# Closer Look at Round-To-Even

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## ▶ Default Rounding Mode

- ▶ Hard to get any other kind without dropping into assembly
- ▶ All others are statistically biased
  - ▶ Sum of set of positive numbers will consistently be over- or under-estimated

## ▶ Applying to Other Decimal Places / Bit Positions

- ▶ When exactly halfway between two possible values
  - ▶ Round so that least significant digit is even
- ▶ E.g., round to nearest hundredth

|           |      |                         |
|-----------|------|-------------------------|
| 1.2349999 | 1.23 | (Less than half way)    |
| 1.2350001 | 1.24 | (Greater than half way) |
| 1.2350000 | 1.24 | (Half way—round up)     |
| 1.2450000 | 1.24 | (Half way—round down)   |



# Rounding Binary Numbers

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## ▶ Binary Fractional Numbers

- ▶ “Even” when least significant bit is 0
- ▶ “Half way” when bits to right of rounding position =  $100..._2$

## ▶ Examples

- ▶ Round to nearest  $1/4$  (2 bits right of binary point)

| Value<br>Value   | Binary                        | Rounded   | Action           | Rounded         |
|------------------|-------------------------------|-----------|------------------|-----------------|
| $2 \frac{3}{32}$ | $10.000\textcolor{red}{11}_2$ | $10.00_2$ | ( $< 1/2$ —down) | 2               |
| $2 \frac{3}{16}$ | $10.00\textcolor{red}{110}_2$ | $10.01_2$ | ( $> 1/2$ —up)   | $2 \frac{1}{4}$ |
| $2 \frac{7}{8}$  | $10.11\textcolor{red}{100}_2$ | $11.00_2$ | ( $= 1/2$ —up)   | 3               |
| $2 \frac{5}{8}$  | $10.10\textcolor{red}{100}_2$ | $10.10_2$ | ( $= 1/2$ —down) | $2 \frac{1}{2}$ |



# FP Multiplication

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- ▶  $(-1)^{s_1} M_1 2^{E_1} \times (-1)^{s_2} M_2 2^{E_2}$
- ▶ Exact Result:  $(-1)^s M 2^E$ 
  - ▶ Sign  $s$ :  $s_1 \wedge s_2$
  - ▶ Significand  $M$ :  $M_1 \times M_2$
  - ▶ Exponent  $E$ :  $E_1 + E_2$
- ▶ Fixing
  - ▶ If  $M \geq 2$ , shift  $M$  right, increment  $E$
  - ▶ If  $E$  out of range, overflow
  - ▶ Round  $M$  to fit **frac** precision
- ▶ Implementation
  - ▶ Biggest chore is multiplying significands



# Floating Point Addition

▶  $(-1)^{s1} M1 2^{E1} + (-1)^{s2} M2 2^{E2}$

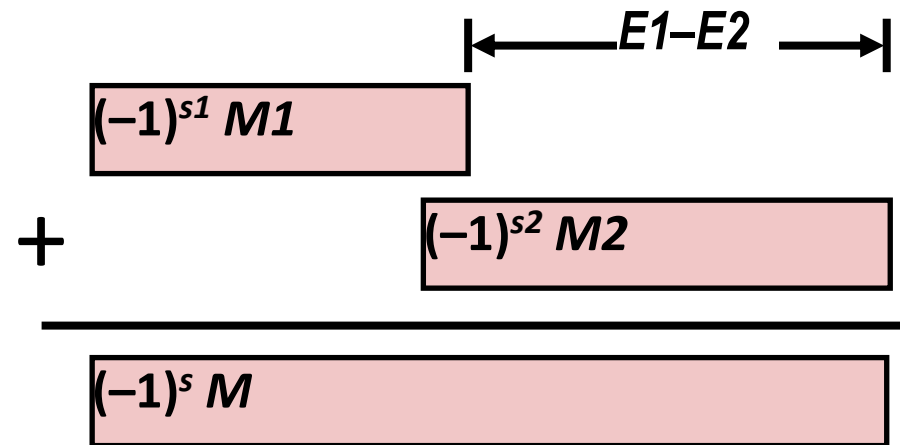
▶ Assume  $E1 > E2$

▶ Exact Result:  $(-1)^s M 2^E$

▶ Sign  $s$ , significand  $M$ :

▶ Result of signed align & add

▶ Exponent  $E$ :  $E1$



▶ Fixing

▶ If  $M \geq 2$ , shift  $M$  right, increment  $E$

▶ if  $M < 1$ , shift  $M$  left  $k$  positions, decrement  $E$  by  $k$

▶ Overflow if  $E$  out of range

▶ Round  $M$  to fit **frac** precision

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# Floating Point in C

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- ▶ **C Guarantees Two Levels**

- ▶ **float**      single precision
- ▶ **double**     double precision

- ▶ **Conversions/Casting**

- ▶ Casting between **int**, **float**, and **double** changes bit representation
- ▶ **double/float** → **int**
  - ▶ Truncates fractional part
  - ▶ Like rounding toward zero
  - ▶ Not defined when out of range or NaN: Generally sets to TMin
- ▶ **int** → **double**
  - ▶ Exact conversion, as long as **int** has  $\leq 53$  bit word size
- ▶ **int** → **float**
  - ▶ Will round according to rounding mode



# Summary

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- ▶ IEEE Floating Point has clear mathematical properties
- ▶ Represents numbers of form  $M \times 2^E$
- ▶ One can reason about operations independent of implementation
  - ▶ As if computed with perfect precision and then rounded
- ▶ Not the same as real arithmetic
  - ▶ Violates associativity/distributivity
  - ▶ Makes life difficult for compilers & serious numerical applications programmers



# Floating Point Puzzles

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► For each of the following C expressions, either:

- Argue that it is true for all argument values
- Explain why not true

```
int x = ...;  
float f = ...;  
double d = ...;
```

Assume neither  
**d** nor **f** is NaN

- $x == (\text{int})(\text{float})\ x$
- $x == (\text{int})(\text{double})\ x$
- $f == (\text{float})(\text{double})\ f$
- $d == (\text{float})\ d$
- $f == -(-f);$
- $2/3 == 2/3.0$
- $d < 0.0 \quad \Rightarrow \quad ((d*2) < 0.0)$
- $d > f \quad \Rightarrow \quad -f > -d$
- $d * d \geq 0.0$
- $(d+f)-d == f$





# Interesting Numbers

{single, double}

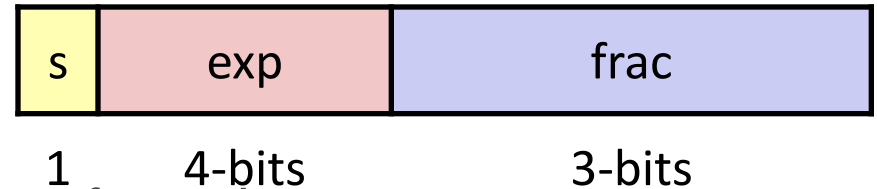
| Description                             | exp     | frac    | Numeric Value                               |
|---|---------|---------|---|
| ▶ Zero                                  | 00...00 | 00...00 | 0.0   |
| ▶ Smallest Pos. Denorm.                 | 00...00 | 00...01 | $2^{-\{23,52\}} \times 2^{-\{126,1022\}}$   |
| ▶ Single $\approx 1.4 \times 10^{-45}$  |         |         |   |
| ▶ Double $\approx 4.9 \times 10^{-324}$ |         |         |   |
| ▶ Largest Denormalized                  | 00...00 | 11...11 | $(1.0 - \epsilon) \times 2^{-\{126,1022\}}$ |
| ▶ Single $\approx 1.18 \times 10^{-38}$ |         |         |   |
| ▶ Double $\approx 2.2 \times 10^{-308}$ |         |         |   |
| ▶ Smallest Pos. Normalized              | 00...01 | 00...00 | $1.0 \times 2^{-\{126,1022\}}$              |
| ▶ Just larger than largest denormalized |         |         |   |
| ▶ One                                   | 01...11 | 00...00 | 1.0   |
| ▶ Largest Normalized                    | 11...10 | 11...11 | $(2.0 - \epsilon) \times 2^{\{127,1023\}}$  |
| ▶ Single $\approx 3.4 \times 10^{38}$   |         |         |   |
| ▶ Double $\approx 1.8 \times 10^{308}$  |         |         |   |



# Creating Floating Point Number

## ► Steps

- Normalize to have leading 1
- Round to fit within fraction
- Postnormalize to deal with effects of rounding



## ► Case Study

- Convert 8-bit unsigned numbers to tiny floating point format

### Example Numbers

|     |          |
|-----|----------|
| 128 | 10000000 |
| 15  | 00001111 |
| 17  | 00010001 |
| 19  | 00010011 |
| 33  | 00100001 |
| 35  | 00100011 |
| 138 | 10001010 |
| 63  | 00111111 |

# Rounding

1.BBG**RXXX**

Guard bit: LSB of result

Round bit: 1<sup>st</sup> bit removed

Sticky bit: OR of remaining bits

## ▶ Round up conditions

- ▶ Round = 1, Sticky = 1 → > 0.5
- ▶ Guard = 1, Round = 1, Sticky = 0 → Round to even

| <i>Value</i> | <i>Fraction</i>   | <i>GRS</i> | <i>Incr?</i> | <i>Rounded</i> |
|--------------|-------------------|------------|--------------|----------------|
| 128          | 1.000 <b>0000</b> | 000        | N            | 1.000          |
| 15           | 1.111 <b>0000</b> | 100        | N            | 1.111          |
| 17           | 1.000 <b>1000</b> | 010        | N            | 1.000          |
| 19           | 1.001 <b>1000</b> | 110        | Y            | 1.010          |
| 138          | 1.000 <b>1010</b> | 011        | Y            | 1.001          |
| ▶ 63         | 1.111 <b>1100</b> | 111        | Y            | 10.000         |

# More Slides

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# Mathematical Properties of FP Add

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## ▶ Compare to those of Abelian Group

- ▶ Closed under addition?
  - ▶ But may generate infinity or NaN
- ▶ Commutative?
- ▶ Associative?
  - ▶ Overflow and inexactness of rounding
- ▶ 0 is additive identity?
- ▶ Every element has additive inverse
  - ▶ Except for infinities & NaNs

## ▶ Monotonicity

- ▶  $a \geq b \Rightarrow a+c \geq b+c$ ?
  - ▶ Except for infinities & NaNs



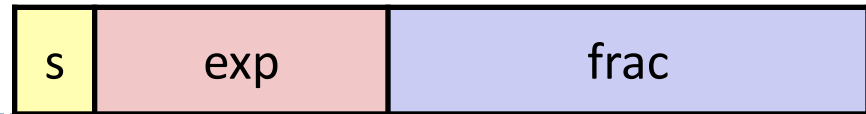
# Mathematical Properties of FP Mult

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- ▶ **Compare to Commutative Ring**
  - ▶ Closed under multiplication?
    - ▶ But may generate infinity or NaN
  - ▶ Multiplication Commutative?
  - ▶ Multiplication is Associative?
    - ▶ Possibility of overflow, inexactness of rounding
  - ▶ 1 is multiplicative identity?
  - ▶ Multiplication distributes over addition?
    - ▶ Possibility of overflow, inexactness of rounding
- ▶ **Monotonicity**
  - ▶  $a \geq b \ \& \ c \geq 0 \Rightarrow a * c \geq b * c$ ?
    - ▶ Except for infinities & NaNs



# Normalize



1

4-bits

3-bits

## ► Requirement

- Set binary point so that numbers of form 1.xxxxx
- Adjust all to have leading one
  - Decrement exponent as shift left

| <i><b>Value</b></i> | <i><b>Binary</b></i> | <i><b>Fraction</b></i> | <i><b>Exponent</b></i> |
|---------------------|----------------------|------------------------|------------------------|
| 128                 | 10000000             | 1.0000000              | 7                      |
| 15                  | 00001101             | 1.1010000              | 3                      |
| 17                  | 00010001             | 1.0001000              | 4                      |
| 19                  | 00010011             | 1.0011000              | 4                      |
| 138                 | 10001010             | 1.0001010              | 7                      |
| 63                  | 00111111             | 1.1111100              | 5                      |





# Postnormalize

---

## ► Issue

- Rounding may have caused overflow
- Handle by shifting right once & incrementing exponent

| <i>Value</i> | <i>Rounded</i> | <i>Exp</i> | <i>Adjusted</i> | <i>Result</i> |
|--------------|----------------|------------|-----------------|---------------|
| 128          | 1.000          | 7          |                 | 128           |
| 15           | 1.101          | 3          |                 | 15            |
| 17           | 1.000          | 4          |                 | 16            |
| 19           | 1.010          | 4          |                 | 20            |
| 138          | 1.001          | 7          |                 | 134           |
| 63           | 10.000         | 5          | 1.000/6         | 64            |

