

# Problem Set 3: Deterministic Finite Automaton

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 CS 4043: Formal Languages  
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 Fall 2021

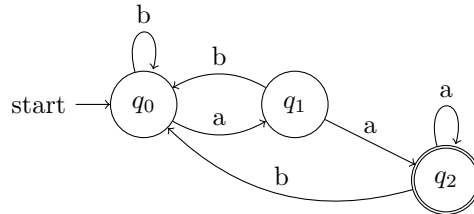
September 27, 2021

## Critical Thinking

- (a) ( $Q = \{q_0, q_1, q_2\}$ ,  $\Sigma = \{a, b\}$ ,  $\delta$ ,  $q_0$ ,  $F = \{q_2\}$ )

$$\delta =$$

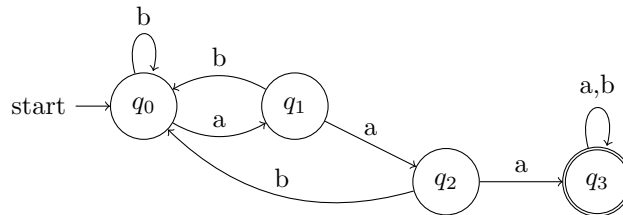
	$a$	$b$
$q_0$	$q_1$	$q_0$
$q_1$	$q_2$	$q_0$
$q_2$	$q_2$	$q_0$



- (b) ( $Q = \{q_0, q_1, q_2, q_3\}$ ,  $\Sigma = \{a, b\}$ ,  $\delta$ ,  $q_0$ ,  $F = \{q_3\}$ )

$$\delta =$$

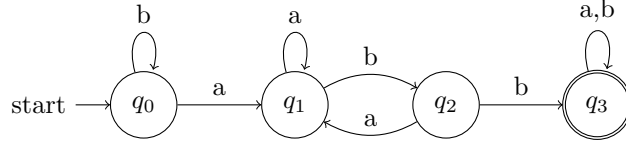
	$a$	$b$
$q_0$	$q_1$	$q_0$
$q_1$	$q_2$	$q_0$
$q_2$	$q_3$	$q_0$
$q_3$	$q_3$	$q_3$



(c)  $(Q = \{q_0, q_1, q_2, q_3\}, \Sigma = \{a, b\}, \delta, q_0, F = \{q_3\})$

$$\delta =$$

	$a$	$b$
$q_0$	$q_1$	$q_0$
$q_1$	$q_1$	$q_2$
$q_2$	$q_1$	$q_3$
$q_3$	$q_3$	$q_3$



## 2. Proof

Let  $M_1$  recognize  $A$ , where  $M_1 = (Q_1, \Sigma, \delta_1, q_1, F_1)$ ,  
 $M_2$  recognize  $B$ , where  $M_2 = (Q_2, \Sigma, \delta_2, q_2, F_2)$ , and  
 $M_3$  recognize  $C$ , where  $M_3 = (Q_3, \Sigma, \delta_3, q_3, F_3)$ .

We shall construct  $M$  to recognize  $A \cup B \cup C$ , where  $M = (Q, \Sigma, \delta, q, F)$

- (a)  $Q = \{(q_1, q_2, q_3) \mid q_1 \in Q_1 \text{ and } q_2 \in Q_2 \text{ and } q_3 \in Q_3\}$ . This is the Cartesian Product of sets  $Q_1$ ,  $Q_2$ , and  $Q_3$ :  $Q_1 \times Q_2 \times Q_3$ , making up the set of all pairs of states where the first is from  $Q_1$ , the second is from  $Q_2$ , and the last is from  $Q_3$ .
- (b) The alphabet,  $\Sigma$ , is the same for  $M_1$ ,  $M_2$ , and  $M_3$ . Thus the alphabet for  $M$  is as follows,  $\Sigma = \{a, b\}$ , as it is for the other machines.
- (c) The transition function,  $\delta$ , shall be defined now. For each tuple,  $(q_1, q_2, q_3), \in Q$  and  $a \in \Sigma$ , let

$$\delta((q_1, q_2, q_3), a) = (\delta_1(q_1, a), \delta_2(q_2, a), \delta_3(q_3, a))$$

Now we can clearly see that  $\delta$  receives a pair of states from  $M_1, M_2$ , and  $M_3$  which more simply put is just a state of  $M$ .

- (d)  $q_0$  is the pair of the start states of  $M_1, M_2$ , and  $M_3$ :  $(q_1, q_2, q_3)$ .
- (e)  $F$  is the set of pairs where one of the three values is an accept state from  $M_1, M_2$ , or  $M_3$ . let

$$F = \{(q_1, q_2, q_3) \mid q_1 \in F_1 \text{ or } q_2 \in F_2 \text{ or } q_3 \in F_3\}$$

or

$$F = (F_1 \times Q_2 \times Q_3) \cup (Q_1 \times F_2 \times Q_3) \cup (Q_1 \times Q_2 \times F_3)$$

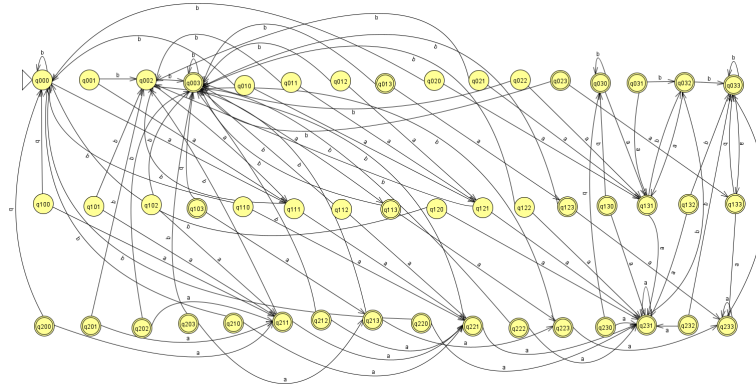
Thus  $F$  is a pair of states from  $M_1, M_2$  and  $M_3$  where either  $q_1 \in F_1$ ,  $q_2 \in F_2$ , or  $q_3 \in F_3$ .

This concludes the construction of the finite automaton  $M$  that recognizes  $A \cup B \cup C$ . I have just shown that the union of three regular languages is regular, therefore proving that the class of regular languages is closed under the union operation.

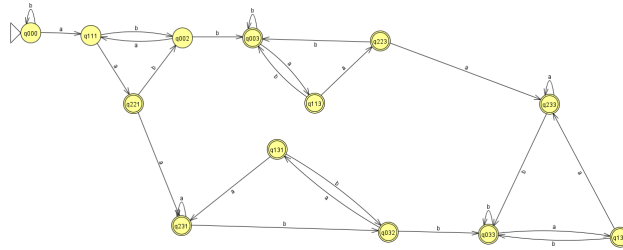
## DFA Formal Definition, Problem 2

$$M = \left( Q = \{(q_1, q_2, q_3) \mid q_1 \in Q_1 \text{ and } q_2 \in Q_2 \text{ and } q_3 \in Q_3\}, \Sigma = \{a, b\}, \delta = (\delta_1(q_1, a), \delta_2(q_2, a), \delta_3(q_3, a)), q_0 = q_{000}, F = \{(q_1, q_2, q_3) \mid q_1 \in F_1 \text{ or } q_2 \in F_2 \text{ or } q_3 \in F_3\} \right)$$

**DFA Initial Construction:**



**With Unreachable States Removed:**



## 3. Proof

Let  $M_1$  recognize  $A$ , where  $M_1 = (Q_1, \Sigma, \delta_1, q_1, F_1)$ ,  
 $M_2$  recognize  $B$ , where  $M_2 = (Q_2, \Sigma, \delta_2, q_2, F_2)$ , and

$M_3$  recognize  $C$ , where  $M_3 = (Q_3, \Sigma, \delta_3, q_3, F_3)$ .

We shall construct  $M$  to recognize  $A \cap B \cap C$ , where  $M = (Q, \Sigma, \delta, q, F)$

- (a)  $Q = \{(q_1, q_2, q_3) \mid q_1 \in Q_1 \text{ and } q_2 \in Q_2 \text{ and } q_3 \in Q_3\}$ . This is the Cartesian Product of sets  $Q_1$ ,  $Q_2$ , and  $Q_3$ :  $Q_1 \times Q_2 \times Q_3$ , making up the set of all pairs of states where the first is from  $Q_1$ , the second is from  $Q_2$ , and the last is from  $Q_3$ .
- (b) The alphabet,  $\Sigma$ , is the same for  $M_1$ ,  $M_2$ , and  $M_3$ . Thus the alphabet for  $M$  is as follows,  $\Sigma = \{a, b\}$ .
- (c) The transition function,  $\delta$ , shall be defined now. For each tuple,  $(q_1, q_2, q_3) \in Q$  and  $a \in \Sigma$ , let

$$\delta((q_1, q_2, q_3), a) = (\delta_1(q_1, a), \delta_2(q_2, a), \delta_3(q_3, a))$$

Now we can clearly see that  $\delta$  receives a pair of states from  $M_1$ ,  $M_2$ , and  $M_3$  which more simply put is just a state of  $M$ .

- (d)  $q_0$  is the pair of the start states of  $M_1$ ,  $M_2$ , and  $M_3$ :  $(q_1, q_2, q_3)$ .
- (e)  $F$  is the set of pairs where all three states are accept states from  $M_1$ ,  $M_2$ , or  $M_3$ . let

$$F = F_1 \times F_2 \times F_3$$

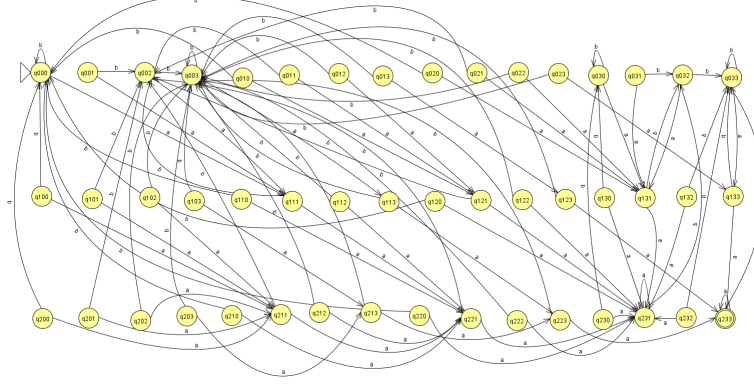
Thus  $F$  is a pair of states from  $M_1$ ,  $M_2$ , and  $M_3$  following the form  $(q_1, q_2, q_3)$  where  $q_1 \in F_1$ ,  $q_2 \in F_2$ , and  $q_3 \in F_3$ . This is the key departure from the proof for closure under union. For intersect to be proven all 3 machines accept states must be used in conjunction to create new accept states for the intersection; Therefore all states in the pair of states that is  $F$  must be accept states from  $F_1$ ,  $F_2$ , and  $F_3$ .

This concludes the construction of the finite automaton  $M$  that recognizes  $A \cap B \cap C$ . I have just shown that the intersection of three regular languages is regular, therefore proving that the class of regular languages is closed under the intersection operation.

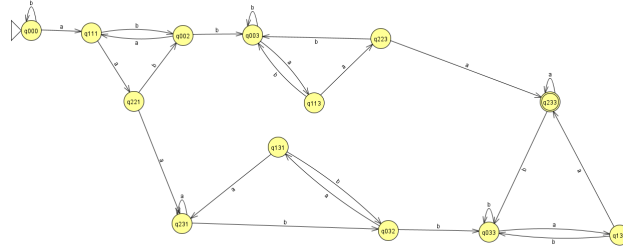
### DFA Formal Definition, Problem 3

$$M = \left( Q = \{(q_1, q_2, q_3) \mid q_1 \in Q_1 \text{ and } q_2 \in Q_2 \text{ and } q_3 \in Q_3\}, \Sigma = \{a, b\}, \delta = (\delta_1(q_1, a), \delta_2(q_2, a), \delta_3(q_3, a)), q_0 = q_{000}, F = \{(q_1, q_2, q_3) \mid q_1 \in F_1 \text{ and } q_2 \in F_2 \text{ and } q_3 \in F_3\} \right)$$

### DFA Initial Construction:



### With Unreachable States Removed:



4. Let  $\delta(q, i) = q$  for all  $i \in \Sigma, q \in Q$ .

Basis: Let  $w_i \in w$ . Assume that  $w_i \in \Sigma$ . Let  $|w| = 0$ . From our start state  $q_0$ , we use the defined transition function.

$$\delta(q, w) = q$$

We know that  $q \in Q$  because of our definition of the transition function; The transition function must result in a state in  $Q$  provided a state in  $Q$  and a symbol of  $\Sigma$ . Due to the size of the input string being zero our input for the function would simply be  $\epsilon$ . This also means there is only one input for  $w$  which would make our first transition subsequently our last. It would be as if there were no transition in this case and we would simply stay at the state that we initially input into the function therefore returning a final state  $q$ . Thereby proving at the basis that  $\hat{\delta}(q, w) = q$  for  $|w| = 0$ .

Inductive Step: For  $|w| = i$  where  $i \geq 1$ , assume that the following formula is true:  $\hat{\delta}(q, w) = q$ . We now want to prove that  $\hat{\delta}(q, w) = q$ , for  $|w| = i + 1$ .

Since we assume that the formula holds for  $i$  we can say that  $\hat{\delta}(q, w) = q$  for  $|w| = i$ . This means that we can transition  $i$  times to the point where our next input for the transition function is some element  $w_{i+1} \in w$  and some  $q$ . We already know that  $q \in Q$  and  $w_{i+1} \in \Sigma$ ; This is all means that we have the necessary inputs for the function to transition one more time to some state  $q$ . We also know that this state  $q$  is subsequently the final state as there are no more transitions to be made and we have just read the final symbol of  $w$ ,  $w_{i+1}$  for a string length of  $i + 1$ . Thereby proving at the Inductive Step that  $\hat{\delta}(q, w) = q$  for  $|w| = i + 1$ , where  $i \geq 1$ .

Since we have concluded at the Basis and Inductive Step that the transition function holds, we can say that for all strings  $w$ ,  $\hat{\delta}(q, w) = q$ .