

2.3 The Topology of a Metric Space

There are some familiar concepts of real numbers — like "open" and "closed" intervals — which we will now study in detail. But we will actually define them for general metric spaces!

Note: The following can be done in several different, but equivalent ways. We will take the path of least resistance, making our definitions as simple & intuitive as possible and leaving the interesting, equivalent formulations as theorems and exercises. It would be just as valid to do the opposite!

Def: Let M be a metric space. An open ball of radius r around the point $x \in M$ is: $B(x, \epsilon) = B_\epsilon(x) = \{y \in M \mid d(x, y) < \epsilon\}$.
Q: what should ϵ be? Can it be 0? < 0 ?

Def: A subset S of a metric space M is open if:

$$\forall s \in S \exists \epsilon > 0 \text{ s.t. } B(s, \epsilon) \subseteq S. \text{ In words:}$$

" S is open if it contains an open ball around each of its points."

Def: S is closed if $S = M - U$ for some open $U \subseteq M$.

Q: What are the open balls in \mathbb{R} ? Why is (a, b) open and $[a, b]$ closed? what about $[a, b)$?

Q: what about \emptyset ? \mathbb{R} ?

Def: A set which is both open and closed is clopen

Q: which sets in \mathbb{R} are clopen? in \mathbb{Q} ? \mathbb{N} ?

Thm: $K \subseteq M$ is closed iff for every convergent sequence $(a_n) \in K$ that converges to a in M , then $a \in K$.

Proof: (\Rightarrow) Suppose K is closed. Then $U = M - K$ is open.

Suppose $(a_n) \in K$ with $a_n \rightarrow a \in M$. Assume for contradiction that $a \notin K$. Then $a \in U$, and since U is open there is some $\varepsilon > 0$ with $B(a, \varepsilon) \subseteq U$.

But then for each $a_n \in K$ we must have $d(a, a_n) \geq \varepsilon$.

This contradicts the fact that $a_n \rightarrow a$ \nless

$\therefore a \in K$, as required. \square

(\Leftarrow) Suppose K contains all its limits. Let $U = M - K$; we must show that U is open, so let $a \in U$.

Consider the ball $B(a, 1)$. If $B(a, 1) \subseteq U$ we are done. If not, then $B(a, 1)$ contains some element of K ; call it a_1 .

Similarly; If $B(a, \frac{1}{2}) \subseteq U$ we are done. If not, there is some $a_2 \in B(a, \frac{1}{2}) \cap K$.

In general, if $B(a, \frac{1}{n}) \not\subseteq U$, there is some $a_n \in B(a, \frac{1}{n}) \cap K$.

If for every $n \in \mathbb{N}$, $B(a, \frac{1}{n}) \not\subseteq U$, then we have constructed a sequence $(a_n) \in K$, with $a_n \rightarrow a$! But this can't be, since K is closed and $a \in M - K$.

So our process must fail for some n , so that $B(a, \frac{1}{n}) \subseteq U$.

In other words, U is open, so $K = M - U$ is closed. \square

Theorem:

- 1) Every union of open sets is open.
- 2) Every finite intersection of open sets is open.
- 3) \emptyset & M are open.

Proof: 1) Let $\{U_\lambda\}_{\lambda \in \Lambda}$ be a collection of open sets, and let $U = \bigcup_{\lambda \in \Lambda} U_\lambda$.

Given any $x \in U$, then $x \in U_\lambda$ for some λ .

Since U_λ is open, there is some $\delta > 0$ with $B(x, \delta) \subseteq U_\lambda \subseteq U$.

$\therefore U$ is open. \square

2) Let $\{U_1, \dots, U_n\}$ be open sets, and let $U = \bigcap_{k=1}^n U_k$.

Given any $x \in U$, we have $x \in U_k$ for each $k=1, \dots, n$.

Thus there are $\delta_1, \dots, \delta_n$ with $B(x, \delta_k) \subseteq U_k$ for each k .

Let $\delta = \min_{k=1, \dots, n} \{\delta_k\}$. Then $B(x, \delta) \subseteq U_k$ for each k , so in fact

$B(x, \delta) \subseteq U$. \square

3) For all $x \in M$, $B(x, \varepsilon) \subseteq M$ for any ε we like, so M is open.

Since \emptyset contains no elements, it vacuously satisfies the definition of an open set. \square

The above theorem says that a metric space is a kind of topological space. Metric spaces provide the motivation for the development of topology so many of the concepts we will be considering have counterparts there.

Cor: Any intersection of closed sets is closed; any finite union of closed sets is closed.

Proof: LTS. \square

Ex: Let $K_n = [-\frac{1}{n}, \frac{1}{n}]$ for $n \in \mathbb{N}$. Then $\bigcup K_n = (-1, 1)$ which is not closed.

Let $U_n = (-\frac{1}{n}, \frac{1}{n})$. Then $\bigcap_{n=1}^{\infty} U_n = \{0\}$ which is not open.
(Proofs?)

Def: The limit set of $S \subseteq M$ is: $\lim S = \{p \in M \mid \exists (a_n) \in S \text{ with } a_n \rightarrow p\}$.

Thm: For any $S \subseteq M$, $\lim S$ is closed.

Proof: We must show that for every convergent sequence in $\lim S$, its limit is also in $\lim S$. This is not trivial since S' is different from S .

Suppose $(p_n) \in \lim S$ with $p_n \rightarrow p$.

Then each p_n is a limit point of S , so there is some sequence

$(p_{n,k})_{k=1}^{\infty}$ with $p_{n,k} \xrightarrow{k \rightarrow \infty} p_n$.

This means there is some $k_n \in \mathbb{N}$ so

that $d(p_n, p_{n,k_n}) < \frac{1}{n}$.

Let $q_n = p_{n,k_n}$ and consider

the sequence (q_n) .

Note that $(q_n) \in S$, since each $(p_{n,k}) \in S$.

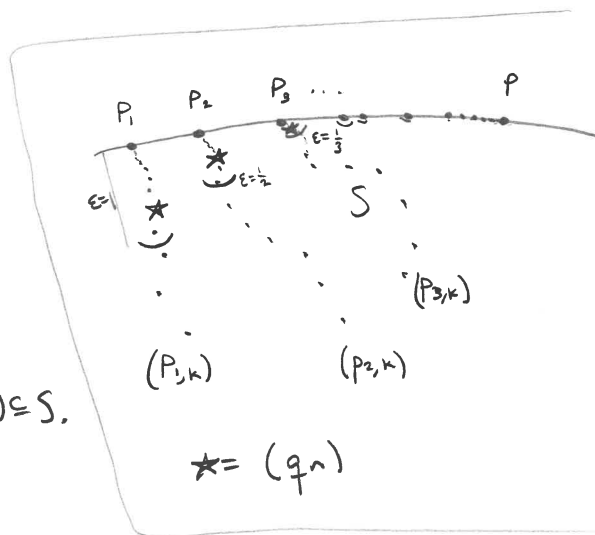
And for a given n , we have:

$$d(q_n, p) \leq d(q_n, p_n) + d(p_n, p)$$

So let $\epsilon > 0$. There is an N_q so that $n \geq N_q \Rightarrow d(q_n, p_n) < \epsilon/2$.

There is also an N_p with $n \geq N_p \Rightarrow d(p_n, p) < \epsilon/2$.

Thus if $n \geq \max\{N_p, N_q\}$, then $d(q_n, p) < \epsilon$. $\therefore p \in \lim S$. \square



Cor: $\lim(\lim S) = \lim S.$

Thm: $\lim S$ is the "smallest" closed set containing S , in the following sense: If K is closed and $S \subseteq K$, then $\lim S \subseteq K$.

Proof: If $x \in \lim S$, then there is a sequence $(x_n) \subseteq S$ that converges to x . But since K is closed, then $x \in K$.

Def: We usually will write $\lim S = \bar{S}$, the closure of S .

Thm: \bar{S} is the intersection of all closed sets containing S .

Proof: Let $\mathcal{K} = \bigcap_{\substack{K \text{ closed} \\ S \subseteq K}} K$. We have $\bar{S} \subseteq \mathcal{K}$ since $\bar{S} \subseteq K$ for each K in the intersection.

We have shown that \bar{S} is closed, so it is one of the K , which gives $\mathcal{K} \subseteq \bar{S}$. Thus $\mathcal{K} = \bar{S}$. \square