# Type theory in Lean - 4

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November 4th 2023

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 $\bullet$  Formation rule: there is a well formed type  $\mathbb{N}.$ 

$$(\mathbb{N}: \mathrm{Type})$$

Its terms are called *natural numbers*.



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Moreover, if  $(n : \mathbb{N})$  is a natural number, we have another natural number called *the successor of n* and denoted succ n:

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In particular, we have a function

$$\operatorname{succ}:\mathbb{N}\to\mathbb{N}$$

The fact that  $\operatorname{succ}$  takes a natural number and gives another natural number is what makes  $\mathbb N$  an *inductive* type.



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We want to define a term

$$\left(f:\prod_{(n:\mathbb{N})}M\ n\right)$$

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we get a function

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Note that there is no need to tell to rec what M is, Lean will guess it from the type of s (we say that M is an *implicit variable*).

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In particular, if  $(n : \mathbb{N})$ , then

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$$\prod_{(M:\mathbb{N}\to \mathrm{Sort}\; u)} M \; 0 \to \left(\prod_{(n:\mathbb{N})} M \; n \to M \; (\mathrm{succ}\; n)\right) \to \prod_{(n:\mathbb{N})} M \; n$$

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To be precise, this is the type of  $rec.\{u\}$ , the eliminator for the universe u. Since universes are not terms, we cannot take a further product over universes, and there is no universe big enough to contain all the Sort u's, so this is unavoidable.

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and, if 
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$$\operatorname{rec} z s (\operatorname{succ} n) \equiv s n (\operatorname{rec} z s n)$$

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such that

$$\operatorname{rec} z s 0 \equiv z \text{ and } \operatorname{rec} z s (\operatorname{succ} n) \equiv s n (\operatorname{rec} z s n)$$

for all  $(n : \mathbb{N})$ .



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#### Slogan

Using rec, one can define functions

$$(f: \mathbb{N} \to A)$$

by recursion in the usual way.

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Let's construct such a p.

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We have that  $(M \ 0 : \operatorname{Prop})$ , so z is now a proof that  $M \ 0$  holds. On the other hand, we also have

$$\left(\prod_{(n:\mathbb{N})} M \ n \to M \ (\text{succ } n) : \text{Prop}\right)$$

So s corresponds to a proof of the proposition

$$\forall (n : \mathbb{N}), M \ n \to M \ (\text{succ } n)$$

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#### Slogan

Using  $\operatorname{rec}$ , one can prove propositions on  $\mathbb N$  by induction in the usual way.

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Lean allows a much more convenient notation, called *pattern* matching, where to specify a function f with domain  $\mathbb{N}$  (in particular to prove a theorem about natural numbers) one has to:

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We will see the precise syntax in the examples.



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$$\operatorname{succ} \operatorname{succ} x$$
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so the function 
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$$a b \mapsto \operatorname{succ} (\operatorname{succ} b)$$

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m rec}$  directly, but using pattern matching will be much simpler, since one has not to write explicitly the function

$$(s: \mathbb{N} \to (\mathbb{N} \to \mathbb{N}) \to (\mathbb{N} \to \mathbb{N}))$$

that says how to specify add (succ n) given add n.

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We will explain in the following lectures why definitional equality implies equality, a notion that at the moment we have not defined.

$$\left( \begin{array}{l} \operatorname{zero\_add} \ : \ \prod_{(a:\mathbb{N})} 0 + a = a \right) \\ \\ \left( \operatorname{succ\_add} \ : \ \prod_{(a:b:\mathbb{N})} \operatorname{succ} \ a + b = \operatorname{succ} \ (a + b) \right) \end{array}$$

$$\left(\text{zero\_add} : \prod_{(a:\mathbb{N})} 0 + a = a\right)$$

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The results

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for all  $(a b : \mathbb{N})$  are true, but not definitionally.

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for all  $(a b : \mathbb{N})$  are true, but not definitionally. One can prove such results seeing them as dependent functions and using the eliminator explicitly, but Lean has a much nicer syntax, using the induction tactic. Under the hood, one has to use the eliminator.

Let's have a look at how to prove the first equality using the eliminator explicitly.

$$\left(\mathrm{add\_zero}: \prod_{(a:\mathbb{N})} a + 0 = a\right)$$

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This is proved using that two definitionally equal terms are equal.

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Using lambda abstraction again, it's enough to give a function

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where  $(a : \mathbb{N})$ . In other words we need to prove that a + 0 = a implies that succ  $a + 0 = \operatorname{succ} a$  as expected.

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where  $(a:\mathbb{N})$ . In other words we need to prove that a+0=a implies that  $\mathrm{succ}\ a+0=\mathrm{succ}\ a$  as expected. Since we need to construct a function, we can use lambda abstraction again. In Lean this is easily done using the intro and rw tactics, but we will see that a+0=a is an inductive proposition, so to construct such a function one can use the constructor for =.

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In particular one can prove that succ is injective.



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The idea is the following: suppose we have two terms A and B, of any type T, such that we know that  $A \neq B$ . We consider the function  $f: \mathbb{N} \to T$  defined, via the eliminator, by

$$f \ 0 = A \text{ and } f (\operatorname{succ} n) = B$$

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In particular

$$f \ 0 \equiv A \ \text{and} \ f \ 1 \equiv B$$

hold definitionally.



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By our very assumption ( ${\rm True}={\rm False}$ ), to prove  ${\rm False}$  we can prove  ${\rm True}!$  But this is trivial (by definition of  ${\rm True}$ ).

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In practice, we assume True = False and we need to prove False.

By our very assumption (True = False), to prove False we can prove True! But this is trivial (by definition of True).

#### Remark

We didn't reason by contradiction.

## succ $n \neq 0$

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Similarly, we can prove that succ  $n \neq 0$  for any given  $(n : \mathbb{N})$ .

Suppose  $\mathrm{succ}\; n=0$  and let's consider the function  $f\colon\mathbb{N}\to\mathrm{Prop}$  given by

$$f 0 = \text{False and } f (\text{succ } a) = \text{True}$$

as above.

#### succ $n \neq 0$

Similarly, we can prove that succ  $n \neq 0$  for any given  $(n : \mathbb{N})$ .

Suppose succ n=0 and let's consider the function  $f\colon \mathbb{N} \to \operatorname{Prop}$  given by

$$f 0 = \text{False and } f (\text{succ } a) = \text{True}$$

as above.

We have  $False = f \ 0 = f \ (succ \ n) = True$ , so we are done as before.

