

# Using Attentional RNNs for Local Named Entity Disambiguation

Anonymous ACL submission

## Abstract

[illegible]

## 1 Introduction

Named Entity Disambiguation (NED) is the task of disambiguating mentions within a fragment of text against a given knowledge base of entities and linking each mention to its intended entity. It has been recognized as an important downstream task for many NLP problems such as text categorization (Gabrilovich and Markovitch, 2007) and information retrieval (Dalton et al., 2014).

NED algorithms can broadly be divided into local and global approaches, where local algorithms disambiguate mentions independently using local textual context while global approaches assume some coherence among mentions within a single document and therefore employ a coherency model to simultaneously disambiguate many mentions. Much attention was given recently to global algorithms (Ratinov et al., 2011a; Guo and Barbosa, 2014; Pershina et al., 2015) (there’s newer stuff) which demonstrate very good performance on standard datasets. However while most stan-

ard datasets are based on text corpora such as news reports and Wikipedia paragraphs that are expected to be relatively long, well formed and coherent, other corpora such as fragments of web pages can be shorter, noisier and less coherent.

Noam: Standard data sets for NED typically appear as long text corpora such as Wikipedia paragraphs and news articles. While most of those examples are well formed and coherent, other corpora such as fragments of web pages can be shorter, noisier and less coherent.

Deep Neural Networks (DNN) have recently gained traction as state-of-the-art architectures that can model powerful data representations and complex feature interaction. DNNs achieve state-of-the-art results on a wide variety of tasks ranging from image classification (Krizhevsky et al., 2012) to machine translation (Bahdanau et al., 2014). For the task of NED, He et al. (He et al., 2013a) used stacked auto-encoders to learn entity and context representations. More recently Sun et al. and Francis-Landau et al. (Sun et al., 2015; Francis-Landau et al., 2016a) have proposed models that use convolutional neural networks for modeling and combining representations of a range of input signals and granularities.

We propose a novel Deep-Learning based disambiguation algorithm where NED is treated as separating correct entity assignments from corrupt ones given only a local context. [Noam: emphasize that contrary to local solutions, the coherency model is known for having comparably larger cost as they entail access to an entity relationship graph in the KB] . Our model is based on Recurrent Neural Networks (RNNs) since they are state-of-the-art language modeling architectures. We use a neural attention mechanism to enable the model to decide which contextual signals are most important when considering a specific entity assignment. We as well describe a novel method for initializing

word and entity embeddings used in our model and demonstrate its importance.

To test our method we craft a large-scale dataset of short web fragments containing mentions disambiguated into Wikipedia by thousands of web-site editors. Our dataset is extracted from a subset of the Wikilinks dataset (Singh et al., 2012) which is re-purposed for NED. It represents a large-scale and difficult task with `count` unique mentions disambiguated into 100K unique entities and where context is limited and noisy. We demonstrate our model greatly outperforms existing state-of-the-art NED algorithms on this dataset and in addition examine our algorithm on CoNLL (Hoffart et al., 2011), a standard NED dataset, and show results comparable to other state-of-the-art methods on a smaller and cleaner dataset.

## 2 Methodology

Our DNN model is a discriminative model which takes a pair of local context and candidate entity, and outputs a likelihood for the candidate entity being correct. Both words and entities are represented using embedding dictionaries and we interpret local context as a window-of-words to the left and right of a mention. The left and right contexts are fed into a duo of Attentional RNNs (ARRN) components which process each side and produce a fixed length vector representation. The left context is fed in a forward manner while the right context is fed backwards into the model. Each Attentional RNN uses the candidate entity input to control its attention, allowing it to attend to the most discriminating parts of the context given the candidate at hand.

The output vectors generated by both Attentional RNNs and the embedding of the entity itself are then fed into a classifier network consisting of a hidden layer and an output layer with two output units and a softmax activation. The output units are trained to emit the likelihood of the candidate being a correct or corrupt assignment by optimizing a cross-entropy loss function.

We assume our model is only given examples of correct entity assignments during training and therefore automatically generate examples of corrupt assignments. For each  $(context, entity)$  pair where  $entity$  is a correct assignment for a given  $context$  we produce  $k$  corrupt examples with the same  $context$  and a corrupt entity uniformly sampled from all entities in the dataset. Using the

combined dataset of correct and corrupt examples our algorithm learns to separate correct assignments from the generated corrupt ones.

In our implementation we have set the hidden layer size to be 300 and used a ReLU non-linearity for this layer. We have found the width and depth of the classifier to be of little impact on performance, but using a ReLU non-linearity was found to be important. `Noam: do we have w & w/o results of ReLU?` We have also applied dropout with  $p = 0.5$  to the hidden layer.

### 2.1 Attentional RNN component

Our Attentional RNN component is based on a general RNN unit fitted with an attention mechanism. The mechanics of the Attentional RNN component are depicted in [Figure 2](#).

Equation 1 represents the general semantics of an RNN unit. An RNN reads a sequence of vectors  $\{v_t\}$  and maintains a hidden state vector  $\{h_t\}$ . At each step a new hidden state is computed based on the previous hidden state and the next input vector by a function  $f$  parametrized by  $\Theta_1$ . The output at each step is computed from the hidden state using a function  $g$  that may be parametrized by  $\Theta_2$ . `Noam: may be parametrized? not sure about this formulation.` This allows the RNN to ‘remember’ important signals while scanning the context and to recognize signals spanning multiple words.

$$\begin{aligned} h_t &= f_{\Theta_1}(h_{t-1}, v_t) \\ o_t &= g_{\Theta_2}(h_t) \end{aligned} \quad (1)$$

In our implementation we have used a standard GRU unit (Cho et al., 2014), however any RNN can be a drop-in replacement. While an RNN unit can be used as-is in our model by feeding the last output vector  $o_t$  directly into the classifier network, we have implemented an attention mechanism that allows the model to be aware of the candidate entity it is evaluating when computing an output. Equation 2 details the equations governing the attention model.

$$\begin{aligned} a_t &\in \mathbb{R}; a_t = r_{\Theta_3}(o_t, v_{candidate}) \\ a'_t &= \frac{1}{\sum_{i=1}^t \exp\{a_i\}} \exp\{a_t\} \\ o_{attn} &= \sum_{i=1}^t a'_i o_i \end{aligned} \quad (2)$$

The main component in equation 2 is the function  $r$ , parametrized by  $\Theta_3$ , which computes an

attention value at each step using  $v_{candidate}$ , the candidate entity embedding, as a control signal. We then use a softmax to squeeze **Noam: We then use the softmax function to normalize the...** the attention values such that  $\sum_{i=1}^t a'_i = 1$  and compute the final output  $o_{attn}$  as a weighted sum of all the output vectors of the RNN. This allows the attention mechanism to decide on the importance of different context parts when examining a specific candidate. We parametrize our attention function  $r$  as a single layer NN as shown in equation 3 **[Noam: the following equation is not clear. explain A,B and b.]**

$$r_{\Theta_3}(o_t, v_{candidate}) = Ao_t + Bv_{candidate} + b \quad (3)$$

## 2.2 Training initial word and entity embeddings

Training our model implicitly trains its dictionaries of both word and entity embedding by error back-propagation. However, as will be shown in section 4, we have found using pre-trained embeddings to **significantly improve model performance/greatly reduce training time(??)** **[Noam: two strenghts of ESA approach compared to w2v - 1. ESA is relatively sparse compared to word2vec (a full dictionary) 2. Word2vec doesn't incorporate world knowledge in different to ESA]**. To this end we have devised a Skip Gram with Negative Sampling (SGNS) (Mikolov et al., 2013) based training procedure that simultaneously trains both word and entity vectors in the same embedded space.

We use the word2vecf library<sup>1</sup> by Levy and Goldberg (Levy and Goldberg, 2014a) that is adapted from word2vec code and allows to train on a dataset made of  $(word, context)$  pairs rather than a textual corpus in string format, as is done in the original word2vec. We exploit this to redefine *context* as a context entity rather than a contextual word.

We do this by considering each page in Wikipedia to represent a unique entity, enumerated by the *pageid* identifier in Wikipedia database and having a textual description (the page itself). For each word  $\{word_i\}$  in the page we add the pair  $(word_i, pageid)$  to our dataset. We however limit our vocabularies by ignoring both rare words that appear less than 20 times and entities that have less than 20 words in their description.

<sup>1</sup>Available at <https://bitbucket.org/yoavgo/word2vecf>

As shown by Levy and Goldberg (Levy and Goldberg, 2014b) training embeddings on this dataset using SGNS produces word and entity embedding that implicitly factorize the word-entity co-occurrence PPMI matrix. This matrix is closely related to the TFIDF word-entity matrix used by Gabrilovich and Markovitch (Gabrilovich and Markovitch, 2007) in Explicit Semantic Analysis and found to be useful in a wide array of NLP tasks.

For our experiments we trained embeddings of length 300 for 10 iterations over the dataset. We used default values for all other parameters in word2vec.

**-needs developing-**

**-show results of the analogies experiment we did indicating semantic structure for the WORD vectors-**

## 3 Creating a Web-Fragment based NED Dataset

We introduce a new large-scale NED dataset of web-fragments crawled from the web. Our dataset is derived from the Wikilinks dataset originally collected by Singh et al. (Singh et al., 2012) for a cross-document co-reference task. cross-document co-reference entails clustering mentions referring to the same entity across a set of documents without consulting a predefined knowledge base of entities, and is many-a-time regarded as a downstream task for knowledge base population (KBP). Wikilinks was constructed by crawling the web and collecting hyperlinks linking to Wikipedia and the web context they appear in. The anchor texts act as mentions and the link targets in Wikipedia act as ground-truths. Wikilinks contains 40 million mentions covering 3 million entities and collected from over 10 million web pages.

Wikilinks can be seen as a large-scale, naturally-occurring and crowd-sourced dataset where thousands of human annotators provide ground-truths for mentions of interest. Its web sourcing entails every kind of noise expected from automatically gathered web content, including many faulty, misleading and peculiar ground truth labels on the one hand, and on the other hand noisy, malformed and incoherent textual context for mentions. While noise in crowd-sourced data is arguably a necessary trade-off for quantity, we believe the contextual noise in particular represents an interesting test-case that supplements

existing standard datasets such as CoNLL (Hofmann et al., 2011), ACE and Wiki (Ratinov et al., 2011a) as these are all sourced from mostly coherent and well formed text such as news articles and Wikipedia pages. Wikilinks emphasizes utilizing strong and adaptive local disambiguation techniques, and marginalizes the utility of coherency based global approaches.

The original dataset exists in a number of formats, and we have chosen a version with only short local contexts<sup>2</sup> since it renders the size of the dataset a manageable 5Gb of compressed data (compared to 180Gb for the full texts). [Noam: I think we should add here the reference to the Plato article, describing the importance of only small local context compared to the full text. Something like - In addition, a recent study by Lazic et al. citeLazic2015 suggests that only a small part of the textual context carries significant information for entity disambiguation, when other accompanying words may even interrupt the task.] Following are the filtering and preprocessing steps used to create a NED evaluation dataset from Wikilinks:

- we resolved ground-truth links using a 7/4/2016 dump of the Wikipedia database<sup>3</sup>. The same dump was consistently used throughout this research. We used the *page* and *redirect* tables for resolution and kept the database *pageid* column as a unique identifier for Wikipedia pages (entities). To reduce loss of unresolved mentions due to malformed URLs we compared page names using a case-insensitive and normalized<sup>4</sup> title matching. We discarded mentions where the ground-truth could not be resolved, resulting in retention of 97% of the mentions.
- We collected all pairs of mention  $m$  and entity  $e$  appearing in the dataset and computed the following two statistics: how many times  $m$  refers to  $e$ :  $\#\{e|m\}$  and the conditional probability of  $e$  given  $m$ :  $p(e|m) = \#\{e|m\} / \sum_{e'} \#\{e'|m\}$ . Examining these distributions revealed many mentions belong to two extremes: either they had very little ambiguity or had a number of candidate enti-

ties each appearing very few times. We have deemed the former to be unambiguous and not-interesting, and the latter to be suspected as noise with high probability. We therefore designed a procedure to filter both these cases: We retained only mentions for whom at least two ground-truth entities have  $\#\{e|m\} \geq 10$  and  $p(e|m) \geq 0.1$ .

- Finally, We randomly permuted the order of mentions within the data and split it into train, evaluation and test set. We split the data 90%/10%/10% respectively. Since websites might include duplicate or closely related content we did not assign mentions into splits on an individual basis but rather collected all origin domains and assigned each domain along with all mentions collected from it into a split collectively.

This procedure aggressively filtered the dataset and we were left with 2.6M training, 300K test and 300K evaluation samples. We believe that doing so filters uninteresting cases while emitting a dataset that is large-scale yet manageable in size for research purposes. We note that we have considered filtering  $(m, e)$  pairs where  $\#\{e|m\} \leq 3$  since these are suspected as additional noise however decided against this procedure as it might filter out long-tail entities, a case which was deemed interesting by the community.

## 4 Evaluation

In this section we describe the setup used when evaluating our model and present evaluation results for two datasets. We evaluate the effect of initializing word and entity embeddings on our model as well.

### 4.1 Wikilinks

Prior to evaluating our method on the Wikilinks dataset we have collected the following statistics from the Wikilinks training set:  $P(e)$  is the prior probability of seeing entity  $e$  in the training set and  $P(e|m)$  is the probability of seeing entity  $e$  as a ground-truth for mention  $m$ .

When evaluating a NED system it is required to use some method for generating candidate entities first. We use a simple method where given mention  $m$ , we considered all candidates for whom  $P(e|m) > 0$  as candidates. This simple method gives 97% ground-truth recall on the test set. We

<sup>2</sup>Available at <http://www.iesl.cs.umass.edu/data/wikilinks>

<sup>3</sup>Recent Wikipedia dumps are found at <https://dumps.wikimedia.org/>

<sup>4</sup>Normalization was done using the unicode python library



used GRUs as our RNN unit and used fixed size left and right contexts, using a 20 word window to each side of the mention. In cases where the context was shorter than the fixed size, we padded it with a special *PAD* symbol. Further, we filtered out stop words according to NLTK’s stop-word list. The optimization of the model was carried out using standard back propagation and an AdaGrad optimizer (Duchi et al., 2011). We allowed the error to propagate through all parts of the network and fine tune all trainable parameters, including the word and entity embeddings themselves. A single epoch with 2.6M mentions and  $k = 5$  for corrupt example generation was used for training the model taking half a day using a 20-core CPU machine.

We have used the following methods as baseline on the Wikilink dataset:

- **Yamada et al.** (Yamada et al., 2016b) have created a state-of-the-art NED system for jointly mapping entities and words onto the same vector space via the skip-gram model and using these for disambiguation. As Wikilinks has only one mention per fragment we use only the local features described by Yamada et al.
- **Cheng et al.** (Cheng and Roth, 2013) addressed the Disambiguation to Wikipedia, or the “Wikificaiton” task, with a combination of local and global approaches. Mentions are disambiguated locally by generating a ranked list of candidates for each mention using the GLOW Wikification system proposed by Ratnov et al. (Ratnov et al., 2011b). We compare our results to the ranking step of the algorithm, where a linear Ranking SVM is trained over a set of local and global features to return the list of candidates sorted by their likelihood.
- We include Most Probable Sense (MPS) as a baseline. This baseline picks the entity with the highest  $P(e|m)$  as the correct mention. This simple baseline is notoriously known to give competitive results in many NED datasets

## 4.2 CoNLL

CoNLL is an evaluation corpus created by Hoffart et al. (Hoffart et al., 2011) commonly used for benchmarking NED solutions (Globerson et al., 2016; Hachey et al., 2013; Yamada et al., 2016b;

Pershina, 2015). CoNLL was composed by manually annotating Reuters newswire articles from 1996. It contains 1393 documents from a period of 12 days split into train, development and test sets. Following previous works we have only evaluated our method on non-NIL mentions. For candidate generation we used the publicly available candidate dataset by Pershina et al. (Pershina, 2015) with over 99% gold sense recall.

CoNLL has a training set with 18505 non-NIL mentions, which preliminary experiments showed is not sufficient to train our model on. We therefore resorted to a more complex training method where we first trained our model on a large corpus of mentions derived from Wikipedia cross references and then fine tuned the resulting model on CoNLL training set. To derive the Wikipedia training corpus we have extracted all cross-reference links from Wikipedia along with their context, resulting in over 80 million training examples. Due to constrained resources we set  $k = 1$  for corrupt example generation and trained 1 epoch, which took around 4 days to train. The resulting model was then fine-tuned on CoNLL training set, where corrupt examples were produced by considering all possible candidates for each mention.

We have also found that using traditional statistical and string based features along with our model further improves its performance. We therefore used a setting similar to Yamada et al. (Yamada et al., 2016a) where a Gradient Boosted Regression Tree was fitted with our models prediction score as a feature along with 7 other statistical and string based features. The statistical features are prior probability  $P(e)$  and conditional probability  $P(e|m)$  as described above, along with a feature counting the number of candidates generated for the mention and a feature giving the maximum conditional probability of the entity for all mentions in the document. For string similarity features we used the edit distance between the mention and the entity title in Wikipedia, a feature indicating whether the mention is a prefix or postfix of the entity Wikipedia title and a feature indicating whether the Wikipedia entity title is a prefix or postfix of the mention. Following Yamada we used sklearn’s GradientBoostingClassifier implementation (Pedregosa et al., 2011) with a deviance loss and set the learning rate, number of estimator and maximum depth of a tree to 0.02, 10000 and

4, respectively.

As a baseline we took the standard Most Probable Sense (MPS) prediction, which corresponds to the  $\arg \max_{e \in E} P(e|m)$ , where  $E$  is the group of all candidate entities. We also compare to the following papers - Lazic et al. (Lazic et al., 2015), Francis-Landau et al. (Francis-Landau et al., 2016b), He et al. (He et al., 2013b), Hoffart et al. (Hoffart et al., 2011) and Chisholm et al. (Chisholm and Hachey, 2015b), as they are all strong local approaches and a good source for comparison.

### 4.3 Results

The micro and macro accuracy scores on CoNLL test-b are displayed in table 1.

CoNLL test-b		
Model	Micro accuracy	Macro accuracy
<b>ARNN</b>	<b>84.2</b>	<b>85</b>
<b>GBRT: Base + ARNN features</b>	<b>85.6</b>	<b>88</b>
Yamada et al. (partial)	90.9	92.4
Lazic et al.	86.4	-
Francis-Landau et al.	85.5	-
He et al.	84.82	83.37
Hoffart et al.	79.57	80.71
Chisholm et al.	88.7	-
Baseline (MPS)	77	77

Table 1: Evaluation on CoNLL. Bold font denotes the models offered in this study

add insights regarding the results and comparison

### 4.4 Model sensitivity

**Noam: this should be extended** elaborate on:

!! ESA embedding initialization

!! attention vs. no attention

!! effect of Relu (!!)

Wikilinks test set	
Model	Micro accuracy
ARNN w/o ESA init.	61
ARNN w/ ESA init. w/o Attention	64.1
ARNN w/ ESA w/ Attention	64.8

Table 2: ARNN Model sensitivity

## 5 Conclusions

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