

# Design and Analysis of Algorithms

## Part II: Dynamic Programming

### Lecture 6: 0-1 Knapsack and Rod Cutting Problems



**Yongxin Tong (童咏昕)**

School of CSE, Beihang University

[yxtong@buaa.edu.cn](mailto:yxtong@buaa.edu.cn)

# Outline

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- Introduction to Part II
- 0-1 Knapsack Problem
  - Problem Definition
  - A Bruteforce Algorithm
  - A Dynamic Programming Algorithm
  - Analysis of DP Algorithm
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  - Compute the value of an optimal solution (usually bottom-up)

# Introduction to Part II

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- In Part II, we will illustrate Dynamic Programming (DP) using several examples:
  - 0-1 Knapsack (0-1 背包)
  - Rod-Cutting (钢条切割)
  - Chain Matrix Multiplication (矩阵链乘法)
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## Question

How should we select the items?



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**Remark:** This is an **optimization** problem. The **brute force** solution is to try all  $2^n$  possible subsets  $T$ .

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$$V[i, w] = \max(V[i - 1, w], v_i + V[i - 1, w - w_i])$$

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KnapsackSR( $i, w$ )

**Input:** Candidate item set  $\{1, 2, \dots, i\}$ , allowed maximum weight of items  $w$ .

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**return**  $\max\{V_1, v_i + V_2\}$ ;

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- Running time: At least  $\Omega(2^W)$ !

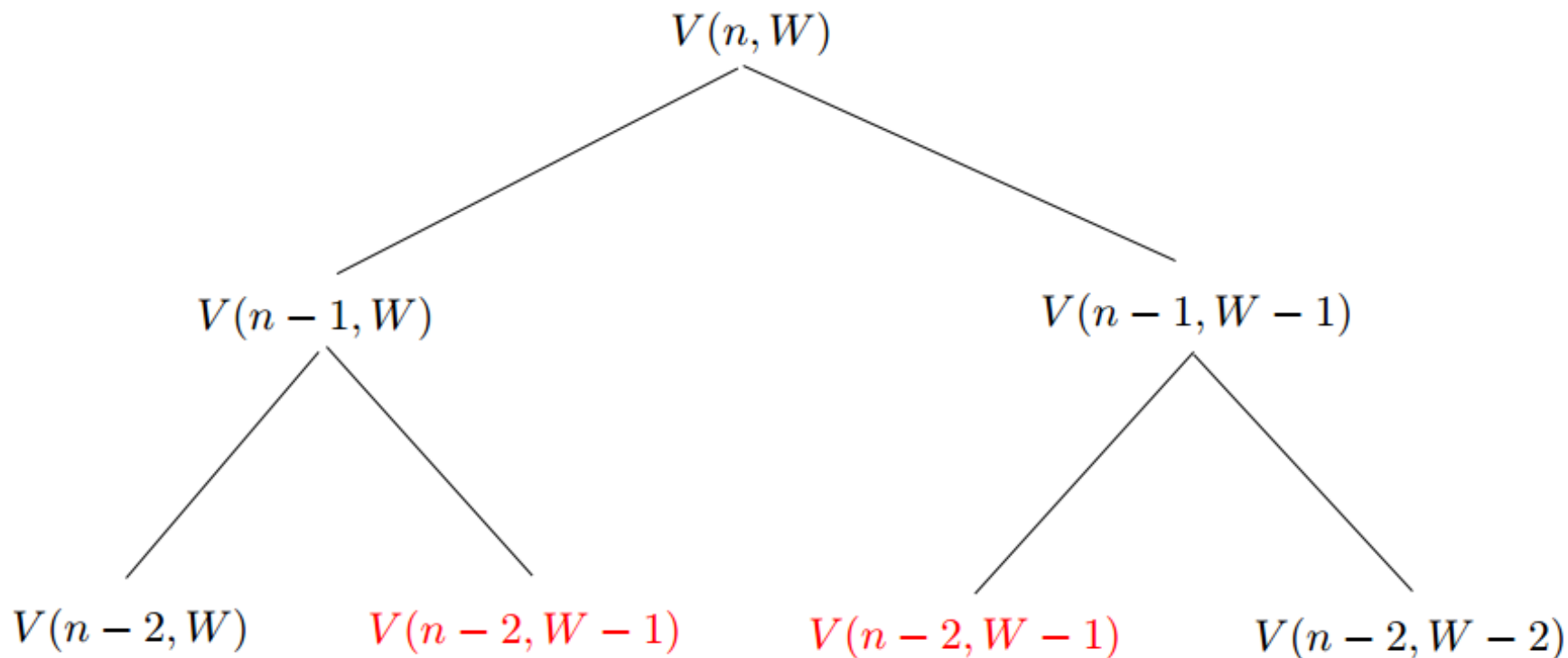
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- Running time: At least  $\Omega(2^W)!$
- Why? We invoke the same function call too many times!

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Recursion:

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Recursion:

$$V[i, w] = v_i + V[i - 1, w - w_i]$$

# Developing a DP Algorithm for Knapsack

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## Step 2: Relating a problem to its subproblems

Recursion:

$$V[i, w] = \max(V[i - 1, w], v_i + V[i - 1, w - w_i])$$

# Developing a DP Algorithm for Knapsack

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# Developing a DP Algorithm for Knapsack

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$$V[i, w] = \max(V[i - 1, w], v_i + V[i - 1, w - w_i])$$

for  $1 \leq i \leq n, 0 \leq w \leq W$ .

# Developing a DP Algorithm for Knapsack

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## Step 2: Relating a problem to its subproblems

Recursion:

$$V[i, w] = \max(V[i - 1, w], v_i + V[i - 1, w - w_i])$$

for  $1 \leq i \leq n, 0 \leq w \leq W$ .

- **Optimal structure:** optimal solution for problem contains optimal solutions to subproblems. (Indication that DP might apply)

# Developing a DP Algorithm for Knapsack

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Recursion:

$$V[i, w] = \max(V[i - 1, w], v_i + V[i - 1, w - w_i])$$

for  $1 \leq i \leq n, 0 \leq w \leq W$ .

- **Optimal structure**: optimal solution for problem contains optimal solutions to subproblems. (Indication that DP might apply)
- The recursion is why we chose the problem space  $\{V[i, w] : 1 \leq i \leq n; 0 \leq w \leq W\}$  at the first place.

# Developing a DP Algorithm for Knapsack

## Step 2: Relating a problem to its subproblems

Recursion:

$$V[i, w] = \max(V[i - 1, w], v_i + V[i - 1, w - w_i])$$

for  $1 \leq i \leq n, 0 \leq w \leq W$ .

- **Optimal structure**: optimal solution for problem contains optimal solutions to subproblems. (Indication that DP might apply)
- The recursion is why we chose the problem space  $\{V[i, w] : 1 \leq i \leq n; 0 \leq w \leq W\}$  at the first place.

Boundary cases:

# Developing a DP Algorithm for Knapsack

## Step 2: Relating a problem to its subproblems

Recursion:

$$V[i, w] = \max(V[i - 1, w], v_i + V[i - 1, w - w_i])$$

for  $1 \leq i \leq n, 0 \leq w \leq W$ .

- **Optimal structure:** optimal solution for problem contains optimal solutions to subproblems. (Indication that DP might apply)
- The recursion is why we chose the problem space  $\{V[i, w] : 1 \leq i \leq n; 0 \leq w \leq W\}$  at the first place.

Boundary cases:

Set

$$V[0, w] = 0 \text{ for } 0 \leq w \leq W, \quad \text{no item}$$



# Developing a DP Algorithm for Knapsack

## Step 2: Relating a problem to its subproblems

Recursion:

$$V[i, w] = \max(V[i - 1, w], v_i + V[i - 1, w - w_i])$$

for  $1 \leq i \leq n, 0 \leq w \leq W$ .

- **Optimal structure:** optimal solution for problem contains optimal solutions to subproblems. (Indication that DP might apply)
- The recursion is why we chose the problem space  $\{V[i, w] : 1 \leq i \leq n; 0 \leq w \leq W\}$  at the first place.

Boundary cases:

Set

$$V[0, w] = 0 \text{ for } 0 \leq w \leq W, \quad \text{no item}$$

$$V[i, w] = -\infty \text{ for } w < 0, \quad \text{illegal}$$

# Developing a DP Algorithm for Knapsack

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## Step 3: Bottom-up computation of $V[i, w]$

Recurrence:

$$V[i, w] = \max(V[i - 1, w], v_i + V[i - 1, w - w_i])$$

# Developing a DP Algorithm for Knapsack

---

## Step 3: Bottom-up computation of $V[i, w]$

Recurrence:

$$V[i, w] = \max(V[i - 1, w], v_i + V[i - 1, w - w_i])$$

We compute and **save**  $V[i, w]$  ( $0 \leq i \leq n, 0 \leq w \leq W$ ) in such an order that:

# Developing a DP Algorithm for Knapsack

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## Step 3: Bottom-up computation of $V[i, w]$

Recurrence:

$$V[i, w] = \max(V[i - 1, w], v_i + V[i - 1, w - w_i])$$

We compute and **save**  $V[i, w]$  ( $0 \leq i \leq n$ ,  $0 \leq w \leq W$ ) in such an order that: When it is time to compute  $V[i, w]$ , the values of  $V[i - 1, w]$  and  $V[i - 1, w - w_i]$  are available.

# Developing a DP Algorithm for Knapsack

## Step 3: Bottom-up computation of $V[i, w]$

Recurrence:

$$V[i, w] = \max(V[i - 1, w], v_i + V[i - 1, w - w_i])$$

We compute and **save**  $V[i, w]$  ( $0 \leq i \leq n$ ,  $0 \leq w \leq W$ ) in such an order that: When it is time to compute  $V[i, w]$ , the values of  $V[i - 1, w]$  and  $V[i - 1, w - w_i]$  are available.

So, we fill the following table row by row and left to right.

$V[i, w]$	$w=0$	1	2	3	...	...	$W$
$i=0$	0	0	0	0	...	...	0
1							
2							
$\vdots$							
$n$							

bottom

# An Example of the Bottom-up Computation

$i$	1	2	3	4
$v_i$	10	40	30	50
$w_i$	5	4	6	3

$W=10$

[illegible]

[illegible]



# An Example of the Bottom-up Computation

$i$	1	2	3	4
$v_i$	10	40	30	50
$w_i$	5	4	6	3

$W=10$

$V[i, w]$	0	1	2	3	4	5	6	7	8	9	10
$i = 0$	0	0	0	0	0	0	0	0	0	0	0

Initialization

# An Example of the Bottom-up Computation

$i$	1	2	3	4
$v_i$	10	40	30	50
$w_i$	5	4	6	3

$W=10$

$V[i, w]$	0	1	2	3	4	5	6	7	8	9	10
$i = 0$	0	0	0	0	0	0	0	0	0	0	0

1

$w[i] > w$

[illegible]

# An Example of the Bottom-up Computation

$i$	1	2	3	4						
$v_i$	10	40	30	50						
$w_i$	5		6	3						

$W=10$

$V[i, w]$	0	1	2	3	4	5	6	7	8	9	10
$i = 0$	0	0	0	0	0	0	0	0	0	0	0
1	0				0						

$V[i] + V[i - 1, w - w[i]] > V[i - 1, w]$

$$V[i, w] = \max(V[i - 1, w], v_i + V[i - 1, w - w_i])$$

# An Example of the Bottom-up Computation

$i$	1	2	3	4
$v_i$	10	40	30	50
$w_i$	5	4	6	3

$W=10$

$V[i, w]$	0	1	2	3	4	5	6	7	8	9	10
$i = 0$	0	0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	10					

# An Example of the Bottom-up Computation

$i$	1	2	3	4
$v_i$	10	40	30	50
$w_i$	5	4	6	3

$W=10$

$V[i, w]$	0	1	2	3	4	5	6	7	8	9	10
$i = 0$	0	0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	10	10				

# An Example of the Bottom-up Computation

$i$	1	2	3	4
$v_i$	10	40	30	50
$w_i$	5	4	6	3

$W=10$

$V[i, w]$	0	1	2	3	4	5	6	7	8	9	10
$i = 0$	0	0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	10	10	10			

# An Example of the Bottom-up Computation

$i$	1	2	3	4
$v_i$	10	40	30	50
$w_i$	5	4	6	3

$W=10$

$V[i, w]$	0	1	2	3	4	5	6	7	8	9	10
$i = 0$	0	0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	10	10	10	10		



# An Example of the Bottom-up Computation

$i$	1	2	3	4
$v_i$	10	40	30	50
$w_i$	5	4	6	3

$W=10$

$V[i, w]$	0	1	2	3	4	5	6	7	8	9	10
$i = 0$	0	0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	10	10	10	10	10	0

# An Example of the Bottom-up Computation

$i$	1	2	3	4
$v_i$	10	40	30	50
$w_i$	5	4	6	3

$W=10$

$V[i, w]$	0	1	2	3	4	5	6	7	8	9	10
$i = 0$	0	0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	10	10	10	10	10	10

# An Example of the Bottom-up Computation

$i$	1	2	3	4
$v_i$	10	40	30	50
$w_i$	5	4	6	3

$W=10$

$V[i, w]$	0	1	2	3	4	5	6	7	8	9	10
$i = 0$	0	0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	10	10	10	10	10	10
2	0	0	0	0							

# An Example of the Bottom-up Computation

$i$	1	2	3	4
$v_i$	10	40	30	50
$w_i$	5	4	6	3

$W=10$

$V[i, w]$	0	1	2	3	4	5	6	7	8	9	10
$i = 0$	0	0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	10	10	10	10	10	10
2	0	0	0	0	40						

# An Example of the Bottom-up Computation

$i$	1	2	3	4
$v_i$	10	40	30	50
$w_i$	5	4	6	3

$W=10$

$V[i, w]$	0	1	2	3	4	5	6	7	8	9	10
$i = 0$	0	0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	10	10	10	10	10	10
2	0	0	0	0	40	40					

# An Example of the Bottom-up Computation

$i$	1	2	3	4
$v_i$	10	40	30	50
$w_i$	5	4	6	3

$W=10$

$V[i, w]$	0	1	2	3	4	5	6	7	8	9	10
$i = 0$	0	0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	10	10	10	10	10	10
2	0	0	0	0	40	40	40				

# An Example of the Bottom-up Computation

$i$	1	2	3	4
$v_i$	10	40	30	50
$w_i$	5	4	6	3

$W=10$

$V[i, w]$	0	1	2	3	4	5	6	7	8	9	10
$i = 0$	0	0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	10	10	10	10	10	10
2	0	0	0	0	40	40	40	40	40		

# An Example of the Bottom-up Computation

$i$	1	2	3	4
$v_i$	10	40	30	50
$w_i$	5	4	6	3

$W=10$

$V[i, w]$	0	1	2	3	4	5	6	7	8	9	10
$i = 0$	0	0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	10	10	10	10	10	10
2	0	0	0	0	40	40	40	40	40	50	50



# An Example of the Bottom-up Computation

$i$	1	2	3	4
$v_i$	10	40	30	50
$w_i$	5	4	6	3

$V[i, j]$	0	1	2	3	4	5	6	7	8	9	10
$i = 0$	0	0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	10	10	10	10	10	10
2	0	0	0	0	40	40	40	40	40	50	50

# An Example of the Bottom-up Computation

$i$	1	2	3	4
$v_i$	10	40	30	50
$w_i$	5	4	6	3

$W=10$

$V[i, w]$	0	1	2	3	4	5	6	7	8	9	10
$i = 0$	0	0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	10	10	10	10	10	10
2	0	0	0	0	40	40	40	40	40	50	50
3	0	0	0	0	40	40					

# An Example of the Bottom-up Computation

		$i$	1	2	3	4					
		$v_i$	10	40	30	50					
		$w_i$	5	4	6	3					
		W=10									

$V[i, w]$	0	1	2	3	4	5	6	7	8	9	10
$i = 0$	0	0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	10	10	10	10	10	10
2	0	0	0	0	40	40	40	40	40	50	50
3	0	0	0	0	40	40					

$V[i] + V[i - 1, j - w[i]] < V[i - 1, j]$

# An Example of the Bottom-up Computation

$i$	1	2	3	4	W=10
$v_i$	10	40	30	50	
$w_i$	5	4	6	3	

$V[i, w]$	0	1	2	3	4	5	6	7	8	9	10
$i = 0$	0	0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	10	10	10	10	10	10
2	0	0	0	0	40	40	40	40	40	50	50
3	0	0	0	0	40	40	40				

# An Example of the Bottom-up Computation

	$i$	1	2	3	4						
	$v_i$	10	40	30	50						
	$w_i$	5	4	6	3	W=10					

$V[i, w]$	0	1	2	3	4	5	6	7	8	9	10
$i = 0$	0	0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	10	10	10	10	10	10
2	0	0	0	0	40	40	40	40	40	50	50
3	0	0	0	0	40	40	40	40			

# An Example of the Bottom-up Computation

	$i$	1	2	3	4						
	$v_i$	10	40	30	50						
	$w_i$	5	4	6	3	W=10					

$V[i, w]$	0	1	2	3	4	5	6	7	8	9	10
$i = 0$	0	0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	10	10	10	10	10	10
2	0	0	0	0	40	40	40	40	40	50	50
3	0	0	0	0	40	40	40	40	40		

# An Example of the Bottom-up Computation

$i$	1	2	3	4
$v_i$	10	40	30	50
$w_i$	5	4	6	3

$W=10$

$V[i, w]$	0	1	2	3	4	5	6	7	8	9	10
$i = 0$	0	0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	10	10	10	10	10	10
2	0	0	0	0	40	40	40	40	40	50	50
3	0	0	0	0	40	40	40	40	40	50	

# An Example of the Bottom-up Computation

$i$	1	2	3	4
$v_i$	10	40	30	50
$w_i$	5	4	6	3

$W=10$

$V[i, w]$	0	1	2	3	4	5	6	7	8	9	10
$i = 0$	0	0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	10	10	10	10	10	10
2	0	0	0	0	40	40	40	40	40	50	50
3	0	0	0	0	40	40	40	40	40	50	70



# An Example of the Bottom-up Computation

$i$	1	2	3	4	W=10
$v_i$	10	40	30	50	
$w_i$	5	4	6	3	

$V[i, w]$	0	1	2	3	4	5	6	7	8	9	10
$i = 0$	0	0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	10	10	10	10	10	10
2	0	0	0	0	40	40	40	40	40	50	50
3	0	0	0	0	40	40	40	40	40	50	70
4	0	0	0								

# An Example of the Bottom-up Computation

$i$	1	2	3	4
$v_i$	10	40	30	50
$w_i$	5	4	6	3

$W=10$

$V[i, w]$	0	1	2	3	4	5	6	7	8	9	10
$i = 0$	0	0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	10	10	10	10	10	10
2	0	0	0	0	40	40	40	40	40	50	50
3	0	0	0	0	40	40	40	40	40	50	70
4	0	0	0	50							

# An Example of the Bottom-up Computation

$i$	1	2	3	4
$v_i$	10	40	30	50
$w_i$	5	4	6	3

$W=10$

$V[i, w]$	0	1	2	3	4	5	6	7	8	9	10
$i = 0$	0	0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	10	10	10	10	10	10
2	0	0	0	0	40	40	40	40	40	50	50
3	0	0	0	0	40	40	40	40	40	50	70
4	0	0	0	50	50						

# An Example of the Bottom-up Computation

$i$	1	2	3	4
$v_i$	10	40	30	50
$w_i$	5	4	6	3

$W=10$

$V[i, w]$	0	1	2	3	4	5	6	7	8	9	10
$i = 0$	0	0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	10	10	10	10	10	10
2	0	0	0	0	40	40	40	40	40	50	50
3	0	0	0	0	40	40	40	40	40	50	70
4	0	0	0	50	50	50					

# An Example of the Bottom-up Computation

$i$	1	2	3	4
$v_i$	10	40	30	50
$w_i$	5	4	6	3

$W=10$

$V[i, w]$	0	1	2	3	4	5	6	7	8	9	10
$i = 0$	0	0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	10	10	10	10	10	10
2	0	0	0	0	40	40	40	40	40	50	50
3	0	0	0	0	40	40	40	40	40	50	70
4	0	0	0	50	50	50	50				

# An Example of the Bottom-up Computation

$i$	1	2	3	4
$v_i$	10	40	30	50
$w_i$	5	4	6	3

$W=10$

$V[i, w]$	0	1	2	3	4	5	6	7	8	9	10
$i = 0$	0	0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	10	10	10	10	10	10
2	0	0	0	0	40	40	40	40	40	50	50
3	0	0	0	0	40	40	40	40	40	50	70
4	0	0	0	50	50	50	50	90			

# An Example of the Bottom-up Computation

$i$	1	2	3	4
$v_i$	10	40	30	50
$w_i$	5	4	6	3

$W=10$

$V[i, w]$	0	1	2	3	4	5	6	7	8	9	10
$i = 0$	0	0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	10	10	10	10	10	10
2	0	0	0	0	40	40	40	40	40	50	50
3	0	0	0	0	40	40	40	40	40	50	70
4	0	0	0	50	50	50	50	90	90		

# An Example of the Bottom-up Computation

$i$	1	2	3	4
$v_i$	10	40	30	50
$w_i$	5	4	6	3

$W=10$

$V[i, w]$	0	1	2	3	4	5	6	7	8	9	10
$i = 0$	0	0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	10	10	10	10	10	10
2	0	0	0	0	40	40	40	40	40	50	50
3	0	0	0	0	40	40	40	40	40	50	70
4	0	0	0	50	50	50	50	90	90	90	



# An Example of the Bottom-up Computation

$i$	1	2	3	4
$v_i$	10	40	30	50
$w_i$	5	4	6	3

$W=10$

$V[i, w]$	0	1	2	3	4	5	6	7	8	9	10
$i = 0$	0	0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	10	10	10	10	10	10
2	0	0	0	0	40	40	40	40	40	50	50
3	0	0	0	0	40	40	40	40	40	50	70
4	0	0	0	50	50	50	50	90	90	90	90

# An Example of the Bottom-up Computation

$i$	1	2	3	4	W=10
$v_i$	10	40	30	50	
$w_i$	5	4	6	3	

$V[i, w]$	0	1	2	3	4	5	6	7	8	9	10
$i = 0$	0	0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	10	10	10	10	10	10
2	0	0	0	0	40	40	40	40	40	50	50
3	0	0	0	0	40	40	40	40	40	50	70
4	0	0	0	50	50	50	50	90	90	90	90

Max value = 90

# The Dynamic Programming Algorithm

---

$\text{Knapsack}(v, w, n, W)$

**Input:**  $v$  and  $w$  are values and weights of  $n$  items,  $W$  is the allowed maximum weight of items.

**Output:** Maximum value of any subset of items  $\{1, 2, \dots, n\}$  of weight at most  $W$ .

# The Dynamic Programming Algorithm

---

$\text{Knapsack}(v, w, n, W)$

**Input:**  $v$  and  $w$  are values and weights of  $n$  items,  $W$  is the allowed maximum weight of items.

**Output:** Maximum value of any subset of items  $\{1, 2, \dots, n\}$  of weight at most  $W$ .

Let  $V[0..n, 0..W]$  be a new 2-dimension array;

# The Dynamic Programming Algorithm

---

$\text{Knapsack}(v, w, n, W)$

**Input:**  $v$  and  $w$  are values and weights of  $n$  items,  $W$  is the allowed maximum weight of items.

**Output:** Maximum value of any subset of items  $\{1, 2, \dots, n\}$  of weight at most  $W$ .

Let  $V[0..n, 0..W]$  be a new 2-dimension array;

**for**  $i \leftarrow 0$  *to*  $W$  **do**

$V[0, i] \leftarrow 0$ ;

**end**

# The Dynamic Programming Algorithm

Knapsack( $v, w, n, W$ )

**Input:**  $v$  and  $w$  are values and weights of  $n$  items,  $W$  is the allowed maximum weight of items.

**Output:** Maximum value of any subset of items  $\{1, 2, \dots, n\}$  of weight at most  $W$ .

Let  $V[0..n, 0..W]$  be a new 2-dimension array;

**for**  $w = 0$  **to**  $W$  **do**

$V[0, w] = 0$

**end**

**for**  $i = 1$  **to**  $n$  **do**

**for**  $w = 0$  **to**  $W$  **do**

**if**  $w[i] \leq w$  **then**

$V[i, w] = \max\{V[i - 1, w], v[i] + V[i - 1, w - w[i]]\}$

**else**

$V[i, w] = V[i - 1, w]$

**end**

**end**

**end**

**return**  $V[n, W]$

# Constructing the Optimal Solution

---

- The algorithm for computing  $V[i, w]$  described in the previous slide does **not** record which subset of items gives the optimal solution.

# Constructing the Optimal Solution

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# Constructing the Optimal Solution

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# Constructing the Optimal Solution

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# Constructing the Optimal Solution

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  - 1 if we choose item  $i$  in  $V[i, w]$  and
  - 0 otherwise.

## Question

How do we use all the values  $keep[i, w]$  to determine the subset  **$T$**  of items having the maximum value?

# Constructing the Optimal Solution

---

If  $\text{keep}[n, W]$  is 1, then  $n \in T$ .

## Constructing the Optimal Solution...

---

If  $\text{keep}[n, W]$  is 1, then  $n \in T$ .

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# Constructing the Optimal Solution

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# Constructing the Optimal Solution

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# Constructing the Optimal Solution

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- Therefore, the following partial program will output the elements of  $T$ :

$\text{getResult}(W, V)$

**Input:** Allowed maximum weight  $W$ , intermediate array from Knapsack  $V$

**Output:** Maximum value of any subset of items  $\{1, 2, \dots, n\}$  of weight at most  $W$ .

# Constructing the Optimal Solution

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If  $\text{keep}[n, W]$  is 1, then  $n \in T$ .

We can now repeat this argument for  $\text{keep}[n-1, W-w_n]$ .

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**Input:** Allowed maximum weight  $W$ , intermediate array from Knapsack  $V$

**Output:** Maximum value of any subset of items  $\{1, 2, \dots, n\}$  of weight at most  $W$ .

$K \leftarrow W;$

# Constructing the Optimal Solution

---

If  $\text{keep}[n, W]$  is 1, then  $n \in T$ .

We can now repeat this argument for  $\text{keep}[n-1, W-w_n]$ .

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**Output:** Maximum value of any subset of items  $\{1, 2, \dots, n\}$  of weight at most  $W$ .

$K \leftarrow W$ ;

**for**  $i \leftarrow n$  **to** 1 **do**

**if**  $\text{keep}[i, K]$  *is equal to* 1 **then**

# Constructing the Optimal Solution

---

If  $\text{keep}[n, W]$  is 1, then  $n \in T$ .

We can now repeat this argument for  $\text{keep}[n-1, W-w_n]$ .

If  $\text{keep}[n, W]$  is 0, then  $n \notin T$ .

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$\text{getResult}(W, V)$

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**Output:** Maximum value of any subset of items  $\{1, 2, \dots, n\}$  of weight at most  $W$ .

$K \leftarrow W$ ;

**for**  $i \leftarrow n$  **to** 1 **do**

**if**  $\text{keep}[i, K]$  *is equal to* 1 **then**

        Output  $i$ ;

$K \leftarrow$

# Constructing the Optimal Solution

---

If  $\text{keep}[n, W]$  is 1, then  $n \in T$ .

We can now repeat this argument for  $\text{keep}[n-1, W-w_n]$ .

If  $\text{keep}[n, W]$  is 0, then  $n \notin T$ .

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- Therefore, the following partial program will output the elements of  $T$ :

$\text{getResult}(W, V)$

**Input:** Allowed maximum weight  $W$ , intermediate array from Knapsack  $V$

**Output:** Maximum value of any subset of items  $\{1, 2, \dots, n\}$  of weight at most  $W$ .

$K \leftarrow W$ ;

**for**  $i \leftarrow n$  **to** 1 **do**

**if**  $\text{keep}[i, K]$  *is equal to* 1 **then**

        Output  $i$ ;

$K \leftarrow K - w[i]$ ;

**end**

**end**

# The Complete Algorithm for the Knapsack Problem

---

Let  $V[0..n, 0..W]$  and  $keep[0..n, 0..W]$  be two new 2-dimension arrays;

# The Complete Algorithm for the Knapsack Problem

```

Let  $V[0..n, 0..W]$  and  $keep[0..n, 0..W]$  be two new 2-dimension arrays;
for  $w = 0$  to  $W$  do  $V[0, w] = 0$ ;
for  $i = 1$  to  $n$  do
    for  $w = 0$  to  $W$  do
        if  $(w[i] \leq w)$  and  $(v[i] + V[i - 1, w - w[i]] > V[i - 1, w])$  then
             $V[i, w] = v[i] + V[i - 1, w - w[i]]$ ;
             $keep[i, w] = 1$ ;
        else
             $V[i, w] = V[i - 1, w]$ ;
             $keep[i, w] = 0$ ;
        end
    end
end
 $K = W$ ;
for  $i = n$  downto  $1$  do
    if  $keep[i, K] == 1$  then
        output  $i$ ;
         $K = K - w[i]$ ;
    end
end
return  $V[n, W]$ 

```



# Example of Optimal Solution Construction

---

$i$	1	2	3	4
$v_i$	10	40	30	50
$w_i$	5	4	6	3

$W=10$

$W=10$

[illegible]

$W=10$

[illegible]

[illegible]

---

[illegible]

## Intialization

<i>keep</i>	0	1	2	3	4	5	6	7	8	9	10
-------------	---	---	---	---	---	---	---	---	---	---	----

[illegible][illegible]

[illegible][illegible]

$V[i,j]$	0	1	2	3	4	5	6	7	8	9	10
$i = 0$	0	0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0						

<i>keep</i>	0	1	2	3	4	5	6	7	8	9	10
<b>i = 1</b>	0	0	0	0	0						



# Example of Optimal Solution Construction

$i$	1	2	3	4
$v_i$	10	40	30	50
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$W=10$

$V[i, j]$	0	1	2	3	4	5	6	7	8	9	10
$i = 0$	0	0	0	0	0	0	0	0	0	0	0
1	0				0						

$$V[i] + V[i - 1, j - w[i]] > V[i - 1, j]$$

$keep$	0	1	2	3	4	5	6	7	8	9	10
$i = 1$	0	0	0	0	0						

# Example of Optimal Solution Construction

$i$	1	2	3	4
$v_i$	10	40	30	50
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$W=10$

$V[i,j]$	0	1	2	3	4	5	6	7	8	9	10
$i = 0$	0	0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	10					

$keep$	0	1	2	3	4	5	6	7	8	9	10
$i = 1$	0	0	0	0	0	1					

# Example of Optimal Solution Construction

$i$	1	2	3	4
$v_i$	10	40	30	50
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$W=10$

$V[i,j]$	0	1	2	3	4	5	6	7	8	9	10
$i = 0$	0	0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	10	10				

$keep$	0	1	2	3	4	5	6	7	8	9	10
$i = 1$	0	0	0	0	0	1	1				

# Example of Optimal Solution Construction

$i$	1	2	3	4
$v_i$	10	40	30	50
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$W=10$

$V[i,j]$	0	1	2	3	4	5	6	7	8	9	10
$i = 0$	0	0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	10	10	10			

$keep$	0	1	2	3	4	5	6	7	8	9	10
$i = 1$	0	0	0	0	0	1	1	1			

# Example of Optimal Solution Construction

$i$	1	2	3	4
$v_i$	10	40	30	50
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$W=10$

$V[i,j]$	0	1	2	3	4	5	6	7	8	9	10
$i = 0$	0	0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	10	10	10	10		

$keep$	0	1	2	3	4	5	6	7	8	9	10
$i = 1$	0	0	0	0	0	1	1	1	1		

# Example of Optimal Solution Construction

$i$	1	2	3	4
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$W=10$

$V[i,j]$	0	1	2	3	4	5	6	7	8	9	10
$i = 0$	0	0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	10	10	10	10	10	0

$keep$	0	1	2	3	4	5	6	7	8	9	10
$i = 1$	0	0	0	0	0	1	1	1	1	1	

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$i$	1	2	3	4
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$V[i,j]$	0	1	2	3	4	5	6	7	8	9	10
$i = 0$	0	0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	10	10	10	10	10	10

$keep$	0	1	2	3	4	5	6	7	8	9	10
$i = 1$	0	0	0	0	0	1	1	1	1	1	1

# Example of Optimal Solution Construction

$i$	1	2	3	4	W=10
$v_i$	10	40	30	50	
$w_i$	5	4	6	3	

$V[i,j]$	0	1	2	3	4	5	6	7	8	9	10
$i = 0$	0	0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	10	10	10	10	10	10

$keep$	0	1	2	3	4	5	6	7	8	9	10
$i = 1$	0	0	0	0	0	1	1	1	1	1	1



# Example of Optimal Solution Construction

$i$	1	2	3	4	W=10
$v_i$	10	40	30	50	
$w_i$	5	4	6	3	

$V[i,j]$	0	1	2	3	4	5	6	7	8	9	10
$i = 0$	0	0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	10	10	10	10	10	10
2	0	0	0	0							

$keep$	0	1	2	3	4	5	6	7	8	9	10
$i = 1$	0	0	0	0	0	1	1	1	1	1	1
2	0	0	0	0							

# Example of Optimal Solution Construction

$i$	1	2	3	4
$v_i$	10	40	30	50
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$V[i, j]$	0	1	2	3	4	5	6	7	8	9	10
$i = 0$	0	0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	10	10	10	10	10	10
2	0	0	0	0	40						

$keep$	0	1	2	3	4	5	6	7	8	9	10
$i = 1$	0	0	0	0	0	1	1	1	1	1	1
2	0	0	0	0	1						

# Example of Optimal Solution Construction

$i$	1	2	3	4
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$V[i,j]$	0	1	2	3	4	5	6	7	8	9	10
$i = 0$	0	0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	10	10	10	10	10	10
2	0	0	0	0	40	40					

$keep$	0	1	2	3	4	5	6	7	8	9	10
$i = 1$	0	0	0	0	0	1	1	1	1	1	1
2	0	0	0	0	1	1					

# Example of Optimal Solution Construction

$i$	1	2	3	4	W=10
$v_i$	10	40	30	50	
$w_i$	5	4	6	3	

$V[i,j]$	0	1	2	3	4	5	6	7	8	9	10
$i = 0$	0	0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	10	10	10	10	10	10
2	0	0	0	0	40	40	40				

$keep$	0	1	2	3	4	5	6	7	8	9	10
$i = 1$	0	0	0	0	0	1	1	1	1	1	1
2	0	0	0	0	1	1	1				

# Example of Optimal Solution Construction

$i$	1	2	3	4
$v_i$	10	40	30	50
$w_i$	5	4	6	3

$W=10$

$V[i,j]$	0	1	2	3	4	5	6	7	8	9	10
$i = 0$	0	0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	10	10	10	10	10	10
2	0	0	0	0	40	40	40	40	40	40	40

$keep$	0	1	2	3	4	5	6	7	8	9	10
$i = 1$	0	0	0	0	0	1	1	1	1	1	1
2	0	0	0	0	1	1	1	1	1	1	1

# Example of Optimal Solution Construction

$i$	1	2	3	4
$v_i$	10	40	30	50
$w_i$	5	4	6	3

$W=10$

$V[i,j]$	0	1	2	3	4	5	6	7	8	9	10
$i = 0$	0	0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	10	10	10	10	10	10
2	0	0	0	0	40	40	40	40	40	40	40

$keep$	0	1	2	3	4	5	6	7	8	9	10
$i = 1$	0	0	0	0	0	1	1	1	1	1	1
2	0	0	0	0	1	1	1	1	1	1	1

# Example of Optimal Solution Construction

$i$	1	2	3	4
$v_i$	10	40	30	50
$w_i$	5	4	6	3

$W=10$

$V[i,j]$	0	1	2	3	4	5	6	7	8	9	10
$i = 0$	0	0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	10	10	10	10	10	10
2	0	0	0	0	40	40	40	40	40	50	50

$keep$	0	1	2	3	4	5	6	7	8	9	10
$i = 1$	0	0	0	0	0	1	1	1	1	1	1
2	0	0	0	0	1	1	1	1	1	1	1

# Example of Optimal Solution Construction

$i$	1	2	3	4
$v_i$	10	40	30	50
$w_i$	5	4	6	3

$V[i, j]$	0	1	2	3	4	5	6	7	8	9	10
$i = 0$	0	0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	10	10	10	10	10	10
2	0	0	0	0	40	40	40	40	40	50	50

$keep$	0	1	2	3	4	5	6	7	8	9	10
$i = 1$	0	0	0	0	0	1	1	1	1	1	1
2	0	0	0	0	1	1	1	1	1	1	1



[illegible]

<i>keep</i>	0	1	2	3	4	5	6	7	8	9	10
i = 1	0	0	0	0	0	1	1	1	1	1	1
2	0	0	0	0	1	1	1	1	1	1	1
3											

# Example of Optimal Solution Construction

$i$	1	2	3	4	W=10
$v_i$	10	40	30	50	
$w_i$	5	4	6	3	

$V[i,j]$	0	1	2	3	4	5	6	7	8	9	10
$i = 0$	0	0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	10	10	10	10	10	10
2	0	0	0	0	40	40	40	40	40	50	50
3	0	0	0	0	40	40					

$keep$	0	1	2	3	4	5	6	7	8	9	10
$i = 1$	0	0	0	0	0	1	1	1	1	1	1
2	0	0	0	0	1	1	1	1	1	1	1
3	0	0	0	0	0	0					

# Example of Optimal Solution Construction

$i$	1	2	3	4
$v_i$	10	40	30	50
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$W=10$

$V[i, j]$	0	1	2	3	4	5	6	7	8	9	10
$i = 0$	0	0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	10	10	10	10	10	10
2	0	0	0	0	40	40	40	40	40	50	50
3	0	0	0	0	40	40					

$$V[i] + V[i - 1, j - w[i]] < V[i - 1, j]$$

$keep$	0	1	2	3	4	5	6	7	8	9	10
$i = 1$	0	0	0	0	0	1	1	1	1	1	1
2	0	0	0	0	1	1	1	1	1	1	1
3	0	0	0	0	0	0					

# Example of Optimal Solution Construction

$i$	1	2	3	4	W=10
$v_i$	10	40	30	50	
$w_i$	5	4	6	3	

$V[i,j]$	0	1	2	3	4	5	6	7	8	9	10
$i = 0$	0	0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	10	10	10	10	10	10
2	0	0	0	0	40	40	40	40	40	50	50
3	0	0	0	0	40	40	40				

$keep$	0	1	2	3	4	5	6	7	8	9	10
$i = 1$	0	0	0	0	0	1	1	1	1	1	1
2	0	0	0	0	1	1	1	1	1	1	1
3	0	0	0	0	0	0	0				

$V[i,j]$	0	1	2	3	4	5	6	7	8	9	10
$i = 0$	0	0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	10	10	10	10	10	10
2	0	0	0	0	40	40	40	40	40	50	50
3	0	0	0	0	40	40	40	40			

[illegible]

# Example of Optimal Solution Construction

$i$	1	2	3	4
$v_i$	10	40	30	50
$w_i$	5	4	6	3

W=10

$V[i,j]$	0	1	2	3	4	5	6	7	8	9	10
$i = 0$	0	0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	10	10	10	10	10	10
2	0	0	0	0	40	40	40	40	40	50	50
3	0	0	0	0	40	40	40	40	40		

[illegible]

$V[i,j]$	0	1	2	3	4	5	6	7	8	9	10
$i = 0$	0	0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	10	10	10	10	10	10
2	0	0	0	0	40	40	40	40	40	50	50
3	0	0	0	0	40	40	40	40	40	50	

[illegible]

$V[i,j]$	0	1	2	3	4	5	6	7	8	9	10
$i = 0$	0	0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	10	10	10	10	10	10
2	0	0	0	0	40	40	40	40	40	50	50
3	0	0	0	0	40	40	40	40	40	50	70

[illegible]



$V[i,j]$	0	1	2	3	4	5	6	7	8	9	10
$i = 0$	0	0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	10	10	10	10	10	10
2	0	0	0	0	40	40	40	40	40	50	50
3	0	0	0	0	40	40	40	40	40	50	70
4											

[illegible]

# Example of Optimal Solution Construction

$i$	1	2	3	4	W=10
$v_i$	10	40	30	50	
$w_i$	5	4	6	3	

$V[i,j]$	0	1	2	3	4	5	6	7	8	9	10
$i = 0$	0	0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	10	10	10	10	10	10
2	0	0	0	0	40	40	40	40	40	50	50
3	0	0	0	0	40	40	40	40	40	50	70
4	0	0	0								

$keep$	0	1	2	3	4	5	6	7	8	9	10
$i = 1$	0	0	0	0	0	1	1	1	1	1	1
2	0	0	0	0	1	1	1	1	1	1	1
3	0	0	0	0	0	0	0	0	0	0	1
4	0	0	0								

# Example of Optimal Solution Construction

$i$	1	2	3	4
$v_i$	10	40	30	50
$w_i$	5	4	6	3

$W=10$

$V[i,j]$	0	1	2	3	4	5	6	7	8	9	10
$i = 0$	0	0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	10	10	10	10	10	10
2	0	0	0	0	40	40	40	40	40	50	50
3	0	0	0	0	40	40	40	40	40	50	70
4	0	0	0	50							

$keep$	0	1	2	3	4	5	6	7	8	9	10
$i = 1$	0	0	0	0	0	1	1	1	1	1	1
2	0	0	0	0	1	1	1	1	1	1	1
3	0	0	0	0	0	0	0	0	0	0	1
4	0	0	0	1							

# Example of Optimal Solution Construction

$i$	1	2	3	4
$v_i$	10	40	30	50
$w_i$	5	4	6	3

$W=10$

$V[i,j]$	0	1	2	3	4	5	6	7	8	9	10
$i = 0$	0	0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	10	10	10	10	10	10
2	0	0	0	0	40	40	40	40	40	50	50
3	0	0	0	0	40	40	40	40	40	50	70
4	0	0	0	50	50						

$keep$	0	1	2	3	4	5	6	7	8	9	10
$i = 1$	0	0	0	0	0	1	1	1	1	1	1
2	0	0	0	0	1	1	1	1	1	1	1
3	0	0	0	0	0	0	0	0	0	0	1
4	0	0	0	1	1						

# Example of Optimal Solution Construction

$i$	1	2	3	4
$v_i$	10	40	30	50
$w_i$	5	4	6	3

$W=10$

$V[i,j]$	0	1	2	3	4	5	6	7	8	9	10
$i = 0$	0	0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	10	10	10	10	10	10
2	0	0	0	0	40	40	40	40	40	50	50
3	0	0	0	0	40	40	40	40	40	50	70
4	0	0	0	50	50	50					

$keep$	0	1	2	3	4	5	6	7	8	9	10
$i = 1$	0	0	0	0	0	1	1	1	1	1	1
2	0	0	0	0	1	1	1	1	1	1	1
3	0	0	0	0	0	0	0	0	0	0	1
4	0	0	0	1	1	1					

# Example of Optimal Solution Construction

$i$	1	2	3	4
$v_i$	10	40	30	50
$w_i$	5	4	6	3

$W=10$

$V[i,j]$	0	1	2	3	4	5	6	7	8	9	10
$i = 0$	0	0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	10	10	10	10	10	10
2	0	0	0	0	40	40	40	40	40	50	50
3	0	0	0	0	40	40	40	40	40	50	70
4	0	0	0	50	50	50	50				

$keep$	0	1	2	3	4	5	6	7	8	9	10
$i = 1$	0	0	0	0	0	1	1	1	1	1	1
2	0	0	0	0	1	1	1	1	1	1	1
3	0	0	0	0	0	0	0	0	0	0	1
4	0	0	0	1	1	1	1				

# Example of Optimal Solution Construction

$i$	1	2	3	4
$v_i$	10	40	30	50
$w_i$	5	4	6	3

$W=10$

$V[i,j]$	0	1	2	3	4	5	6	7	8	9	10
$i = 0$	0	0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	10	10	10	10	10	10
2	0	0	0	0	40	40	40	40	40	50	50
3	0	0	0	0	40	40	40	40	40	50	70
4	0	0	0	50	50	50	50	90			

$keep$	0	1	2	3	4	5	6	7	8	9	10
$i = 1$	0	0	0	0	0	1	1	1	1	1	1
2	0	0	0	0	1	1	1	1	1	1	1
3	0	0	0	0	0	0	0	0	0	0	1
4	0	0	0	1	1	1	1	1			

# Example of Optimal Solution Construction

$i$	1	2	3	4
$v_i$	10	40	30	50
$w_i$	5	4	6	3

$W=10$

$V[i,j]$	0	1	2	3	4	5	6	7	8	9	10
$i = 0$	0	0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	10	10	10	10	10	10
2	0	0	0	0	40	40	40	40	40	50	50
3	0	0	0	0	40	40	40	40	40	50	70
4	0	0	0	50	50	50	50	90	90		

$keep$	0	1	2	3	4	5	6	7	8	9	10
$i = 1$	0	0	0	0	0	1	1	1	1	1	1
2	0	0	0	0	1	1	1	1	1	1	1
3	0	0	0	0	0	0	0	0	0	0	1
4	0	0	0	1	1	1	1	1	1		



# Example of Optimal Solution Construction

$i$	1	2	3	4
$v_i$	10	40	30	50
$w_i$	5	4	6	3

$W=10$

$V[i,j]$	0	1	2	3	4	5	6	7	8	9	10
$i = 0$	0	0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	10	10	10	10	10	10
2	0	0	0	0	40	40	40	40	40	50	50
3	0	0	0	0	40	40	40	40	40	50	70
4	0	0	0	50	50	50	50	90	90	90	90

$keep$	0	1	2	3	4	5	6	7	8	9	10
$i = 1$	0	0	0	0	0	1	1	1	1	1	1
2	0	0	0	0	1	1	1	1	1	1	1
3	0	0	0	0	0	0	0	0	0	0	1
4	0	0	0	1	1	1	1	1	1	1	1

# Example of Optimal Solution Construction

$i$	1	2	3	4
$v_i$	10	40	30	50
$w_i$	5	4	6	3

$W=10$

$V[i,j]$	0	1	2	3	4	5	6	7	8	9	10
$i = 0$	0	0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	10	10	10	10	10	10
2	0	0	0	0	40	40	40	40	40	50	50
3	0	0	0	0	40	40	40	40	40	50	70
4	0	0	0	50	50	50	50	90	90	90	90

$keep$	0	1	2	3	4	5	6	7	8	9	10
$i = 1$	0	0	0	0	0	1	1	1	1	1	1
2	0	0	0	0	1	1	1	1	1	1	1
3	0	0	0	0	0	0	0	0	0	0	1
4	0	0	0	1	1	1	1	1	1	1	1

# Example of Optimal Solution Construction

$i$	1	2	3	4
$v_i$	10	40	30	50
$w_i$	5	4	6	3

$W=10$

$V[i,j]$	0	1	2	3	4	5	6	7	8	9	10
$i = 0$	0	0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	10	10	10	10	10	10
2	0	0	0	0	40	40	40	40	40	50	50
3	0	0	0	0	40	40	40	40	40	50	70
4	0	0	0	50	50	50	50	90	90	90	90

$keep$	0	1	2	3	4	5	6	7	Max value = 90		
$i = 1$	0	0	0	0	0	1	1	1	1	1	1
2	0	0	0	0	1	1	1	1	1	1	1
3	0	0	0	0	0	0	0	0	0	0	1
4	0	0	0	1	1	1	1	1	1	1	1

# Example of Optimal Solution Construction

$i$	1	2	3	4	W=10
$v_i$	10	40	30	50	
$w_i$	5	4	6	3	

$V[i,j]$	0	1	2	3	4	5	6	7	8	9	10
$i = 0$	0	0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	10	10	10	10	10	10
2	0	0	0	0	40	40	40	40	40	50	50
3	0	0	0	0	40	40	40	40	40	50	70
4	0	0	0	50	50	50	50	90	90	90	90

$keep$	0	1	2	3	4	5	6	7	8	9	10
$i = 1$	0	0	0	0	0	1	1	1	1	1	1
2	0	0	0	0	1	1	1	1	1	1	1
3	0	0	0	0	0	0	0	0	0	0	1
4	0	0	0	1	1	1	1	1	1	1	1

W=10    Item set = {}

# Example of Optimal Solution Construction

$i$	1	2	3	4	W=10
$v_i$	10	40	30	50	
$w_i$	5	4	6	3	

$V[i,j]$	0	1	2	3	4	5	6	7	8	9	10
$i = 0$	0	0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	10	10	10	10	10	10
2	0	0	0	0	40	40	40	40	40	50	50
3	0	0	0	0	40	40	40	40	40	50	70
4	0	0	0	50	50	50	50	90	90	90	90

$keep$	0	1	2	3	4	5	6	7	8	9	10
$i = 1$	0	0	0	0	0	1	1	1	1	1	1
2	0	0	0	0	1	1	1	1	1	1	1
3	0	0	0	0	0	0	0	0	0	0	1
4	0	0	0	1	1	1	1	1	1	1	1

W=7 Item set = {4,}

# Example of Optimal Solution Construction

$i$	1	2	3	4	W=10
$v_i$	10	40	30	50	
$w_i$	5	4	6	3	

$V[i,j]$	0	1	2	3	4	5	6	7	8	9	10
$i = 0$	0	0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	10	10	10	10	10	10
2	0	0	0	0	40	40	40	40	40	50	50
3	0	0	0	0	40	40	40	40	40	50	70
4	0	0	0	50	50	50	50	90	90	90	90

$keep$	0	1	2	3	4	5	6	7	8	9	10
$i = 1$	0	0	0	0	0	1	1	1	1	1	1
2	0	0	0	0	1	1	1	1	1	1	1
3	0	0	0	0	0	0	0	0	0	0	1
4	0	0	0	1	1	1	1	1	1	1	1

W=7      Item set = {4,}

# Example of Optimal Solution Construction

$i$	1	2	3	4	W=10
$v_i$	10	40	30	50	
$w_i$	5	4	6	3	

$V[i,j]$	0	1	2	3	4	5	6	7	8	9	10
$i = 0$	0	0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	10	10	10	10	10	10
2	0	0	0	0	40	40	40	40	40	50	50
3	0	0	0	0	40	40	40	40	40	50	70
4	0	0	0	50	50	50	50	90	90	90	90

$keep$	0	1	2	3	4	5	6	7	8	9	10
$i = 1$	0	0	0	0	0	1	1	1	1	1	1
2	0	0	0	0	1	1	1	1	1	1	1
3	0	0	0	0	0	0	0	0	0	0	1
4	0	0	0	1	1	1	1	1	1	1	1

W=7      Item set = {4,}

# Example of Optimal Solution Construction

$i$	1	2	3	4	W=10
$v_i$	10	40	30	50	
$w_i$	5	4	6	3	

$V[i,j]$	0	1	2	3	4	5	6	7	8	9	10
$i = 0$	0	0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	10	10	10	10	10	10
2	0	0	0	0	40	40	40	40	40	50	50
3	0	0	0	0	40	40	40	40	40	50	70
4	0	0	0	50	50	50	50	90	90	90	90

$keep$	0	1	2	3	4	5	6	7	8	9	10
$i = 1$	0	0	0	0	0	1	1	1	1	1	1
2	0	0	0	0	1	1	1	1	1	1	1
3	0	0	0	0	0	0	0	0	0	0	1
4	0	0	0	1	1	1	1	1	1	1	1

W=3    Item set = {4,2}



# Example of Optimal Solution Construction

$i$	1	2	3	4	W=10
$v_i$	10	40	30	50	
$w_i$	5	4	6	3	

$V[i,j]$	0	1	2	3	4	5	6	7	8	9	10
$i = 0$	0	0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	10	10	10	10	10	10
2	0	0	0	0	40	40	40	40	40	50	50
3	0	0	0	0	40	40	40	40	40	50	70
4	0	0	0	50	50	50	50	90	90	90	90

$keep$	0	1	2	3	4	5	6	7	8	9	10
$i = 1$	0	0	0	0	0	1	1	1	1	1	1
2	0	0	0	0	1	1	1	1	1	1	1
3	0	0	0	0	0	0	0	0	0	0	1
4	0	0	0	1	1	1	1	1	1	1	1

W=3    Item set = {4,2}

# Outline

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- Introduction to Part II
- **0-1 Knapsack Problem**
  - Problem Definition
  - A Bruteforce Algorithm
  - A Dynamic Programming Algorithm
  - **Analysis of DP Algorithm**
- Rod Cutting Problem
  - Problem Definition
  - A Bruteforce Algorithm
  - A Dynamic Programming Algorithm

# Dynamic Programming vs. Simple Recursion

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- Dynamic programming saves us from having to recompute
- previously calculated subsolutions!
- The DP algorithm runs in  $O(\quad)$  time.

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# Dynamic Programming vs. Simple Recursion

---

- Dynamic programming saves us from having to recompute
- Previously calculated subsolutions!
- The DP algorithm runs in  $O(nW)$  time.
- The simple recursion algorithm runs in  $\Omega(2^n)$  time.

# Summary of DP

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How to develop a dynamic programming?

# Summary of DP

---

How to develop a dynamic programming? **Four steps**

- **Structure**: Analyze structure of an optimal solution, and thereby choose a space of subproblems (states).

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  - Compute the optimal values of the smallest subproblems first, save them in the table,

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Notes:

- Steps 1 and 2 are related.

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- **Construction of optimal solution**: Assemble optimal solution by tracing the computation at the previous step.

Notes:

- Steps 1 and 2 are related.
- Step 4 is not always necessary: we sometimes need only the optimal value.

# Summary of DP

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Dynamic programming (DP) vs Divide-and-Conquer (DC)

# Summary of DP

---

## Dynamic programming (DP) vs Divide-and-Conquer (DC)

- Commonalities:
  - **Partition** the problem into particular subproblems.

# Summary of DP

---

## Dynamic programming (DP) vs Divide-and-Conquer (DC)

- Commonalities:
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# Summary of DP

---

## Dynamic programming (DP) vs Divide-and-Conquer (DC)

- Commonalities:
  - **Partition** the problem into particular subproblems.
  - **Solve** the subproblems.
  - **Combine** the solutions to solve the original one.

# Summary of DP

---

## Dynamic programming (DP) vs Divide-and-Conquer (DC)

- Commonalities:

- Partition the problem into particular subproblems.
- Solve the subproblems.
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- Differences:

- DC:
  - Efficient when the subproblems are independent.

# Summary of DP

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- Combine the solutions to solve the original one.

- Differences:

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  - Efficient when the subproblems are independent.
  - Not efficient when subproblems share subsubproblems.
  - Some subproblems might be solved many times.
- DP:
  - Suitable when subproblems share subsubproblems.
  - Do each subproblem only once.
  - The result is stored in a table in case it is needed elsewhere.
  - DP trades **space** for

# Summary of DP

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## Dynamic programming (DP) vs Divide-and-Conquer (DC)

- Commonalities:

- Partition the problem into particular subproblems.
- Solve the subproblems.
- Combine the solutions to solve the original one.

- Differences:

- DC:
  - Efficient when the subproblems are independent.
  - Not efficient when subproblems share subsubproblems.
  - Some subproblems might be solved many times.
- DP:
  - Suitable when subproblems share subsubproblems.
  - Do each subproblem only once.
  - The result is stored in a table in case it is needed elsewhere.
  - DP trades **space** for **time**.



# Outline

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- Introduction to Part II
- 0-1 Knapsack Problem
  - Problem Definition
  - A Bruteforce Algorithm
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# Rod Cutting

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- **Input:** We are given a rod of length  $n$  and a table of prices  $p_i$  for  $i = 1, \dots, n$ , where  $p_i$  is the price of a rod of length  $i$

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  - If we cut it into 2 pieces of length 2 each, we can earn  $2 \cdot p_2 = 10$

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  - If we cut it into 2 pieces of length 2 each, we can earn  $2 \cdot p_2 = 10$
  - There are more options, but the maximum revenue is **10**
- In general, we can cut the rod of length  $n$  in  $2^{n-1}$  different ways, since we have an independent option of cutting, or not cutting, at distance  $i$  ( $1 \leq i \leq n - 1$ ) from the left end

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# Optimal Solution

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- We can define the maximum revenue  $r_n$  in terms of optimal revenues for shorter rods

$$r_n = \max(p_n, r_1 + r_{n-1}, r_2 + r_{n-2}, \dots, r_{n-1} + r_1)$$

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- ...

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  - ...
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$$r_n = \max_{1 \leq i \leq n} (p_i + r_{n-i})$$

- We cut a piece of length  $i$ , and a remainder of length  $n-i$
- Only the remainder, and not the first piece, may be further divided

# Recursive Top-down Implementation

---

Cut-Rod( $p, n$ )

**Input:** Price list  $p$ , a rod of length  $n$ .

**Output:** Maximum revenue  $q$ .

**if**  $n$  is equal to 0 **then**

**return** 0;

**end**

$q \leftarrow -\infty$ ; **for**  $i \leftarrow 1$  to  $n$  **do**

$q \leftarrow \max(q, p[i] + \text{Cut-Rod}(p, n - i));$

**end**

**return**  $q$ ;

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- $T(n)$ : the total number of calls made to Cut-Rod when called with rod length  $n$

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Cost

- $T(n)$ : the total number of calls made to Cut-Rod when called with rod length  $n$

$$T(n) = \begin{cases} 1 + \sum_{0 \leq j \leq n-1} T(j), & \text{if } n > 0 \\ 1, & \text{if } n = 0 \end{cases}$$

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## Cost

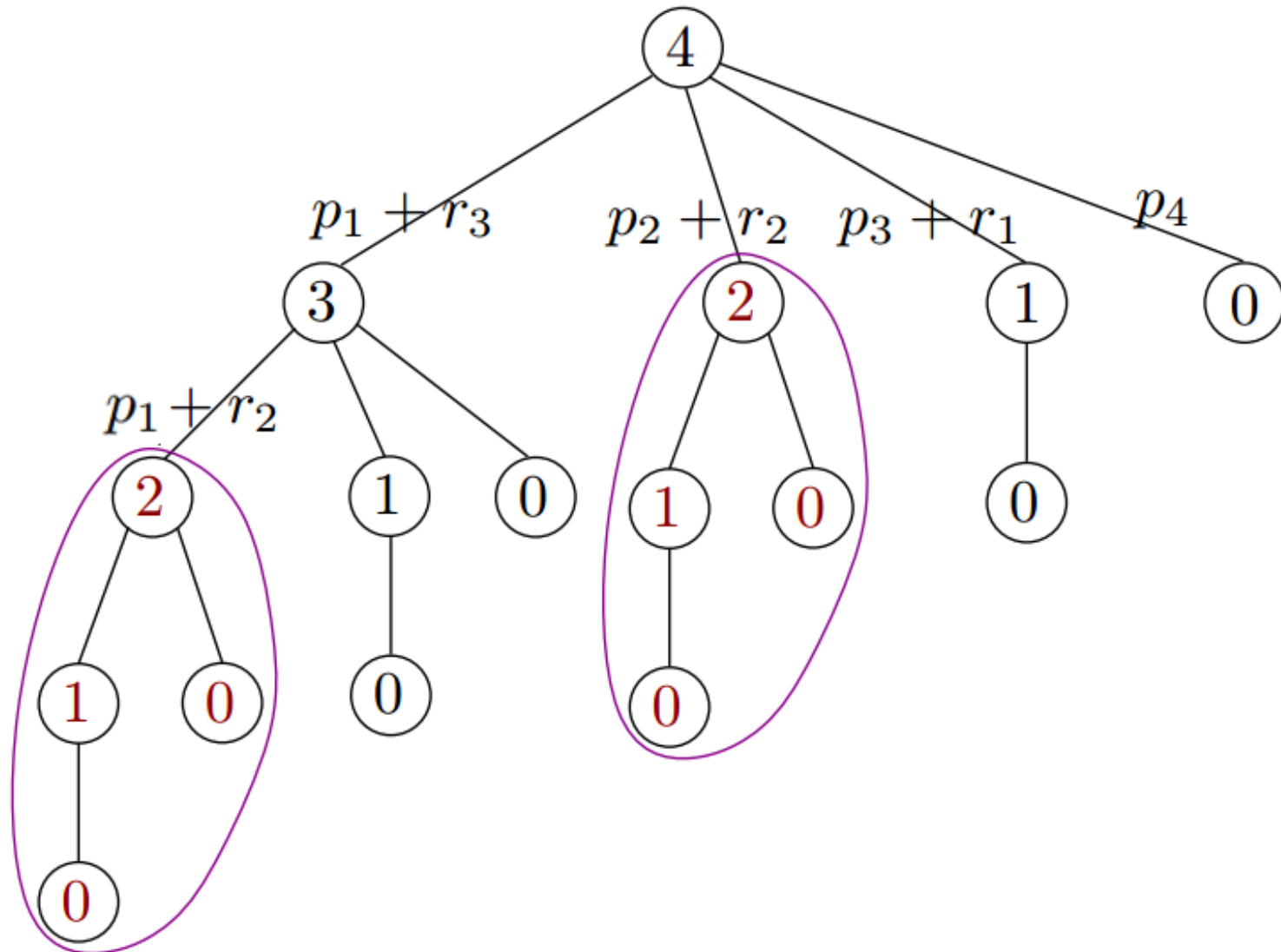
- $T(n)$ : the total number of calls made to Cut-Rod when called with rod length  $n$

$$T(n) = \begin{cases} 1 + \sum_{0 \leq j \leq n-1} T(j), & \text{if } n > 0 \\ 1, & \text{if } n = 0 \end{cases}$$

- By induction, we have  $T(n) = 2^n$

# Explanation of Exponential Cost

- We solve the same subproblem many times



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# Concept of DP

---

- When you solve a subproblem, store the solution
  - Next time you find the same subproblem, lookup the solution, instead of solving it again
  - Use **space** to save **time**
- Two main methodologies: top-down and bottom-up
  - Corresponding algorithms have the same asymptotic cost, but bottom-up is usually faster in practice
- Main idea of bottom-up DP
  - We sort the subproblems in size and solve the smallest subproblem first

# DP Bottom-up Implementation

## Bottom-Up-Cut-Rod( $p, n$ )

**Input:** Price list  $p$ , a rod of length  $n$ .

**Output:** Maximum revenue  $q$ .

Let  $r[0..n]$  be a new array; // Array stores the computed optimal values.

$r[0] \leftarrow 0$ ;

**for**  $j \leftarrow 0$  *to*  $n$  **do**

    // Consider problems in increasing order of size.

$q \leftarrow -\infty$ ;

**for**  $i \leftarrow 1$  *to*  $j$  **do**

        // To solve a problem of size  $j$ , we need to consider all  
        decompositions into  $i$  and  $j - i$ .

$q \leftarrow \max(q, p[i] + r[j - i])$ ;

**end**

$r[j] \leftarrow q$ ;

**end**

**return**  $r[n]$ ;

# DP Bottom-up Implementation

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$r[j] \leftarrow q$ ;

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**return**  $r[n]$ ;

- Cost:  $O(n^2)$

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- The outer loop computes  $r[1], r[2], \dots, r[n]$  in this order

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$q \leftarrow \max(q, p[i] + r[j - i])$ ;

**end**

$r[j] \leftarrow q$ ;

**end**

**return**  $r[n]$ ;

- **Cost:  $O(n^2)$**

- The outer loop computes  $r[1], r[2], \dots, r[n]$  in this order
- To compute  $r[j]$ , the inner loop uses all values  $r[0], r[1], \dots, r[j - 1]$  (i.e.,  $r[j - i]$  for  $1 \leq i \leq j$ )

# Extended Implementation

## Extended-Bottom-Up-Cut-Rod( $p, n$ )

**Input:** Price list  $p$ , a rod of length  $n$ .

**Output:** Maximum revenue  $q$  and sizes of pieces.

Let  $r[0..n]$  and  $s[0..n]$  be two new arrays;

$r[0] \leftarrow 0$ ;

**for**  $j \leftarrow 0$  *to*  $n$  **do**

$q \leftarrow -\infty$ ;

**for**  $i \leftarrow 1$  *to*  $j$  **do**

        // Solve problem of size  $j$ .

**if**  $q < p[i] + r[j - i]$  **then**

$q \leftarrow p[i] + r[j - i]$ ;

$s[j] \leftarrow i$ ; // Store the size of the first piece.

**end**

**end**

$r[j] \leftarrow q$ ;

**end**

**while**  $n > 0$  **do**

    Output  $s[n]$ ;

$n \leftarrow n - s[n]$ ;

**end**

n=10

$k$	1	2	3	4	5	6	7	8	9	10
$p[k]$	1	5	8	9	10	17	17	20	24	26

[illegible]

# Example of Optimal Solution Construction

$n=10$

$k$	1	2	3	4	5	6	7	8	9	10
$p[k]$	1	5	8	9	10	17	17	20	24	26

$k$	0	1	2	3	4	5	6	7	8	9	10
$r[k]$	0										
$s[k]$											

Initialization



$k$	1	2	3	4	5	6	7	8	9	10
$p[k]$	1	5	8	9	10	17	17	20	24	26

$j = 1$

Diagram illustrating the calculation of  $p[i] + r[j - i]$  for a subarray of length 2. The subarray contains the value 1 at index  $i$  and 1 at index  $j - i$ . The expression  $p[i] + r[j - i]$  is shown in a blue callout box.

Diagram illustrating the sliding window for the Rabin-Karp algorithm. A sequence of indices 0 to 10 is shown. A blue dashed box highlights the current window from index 0 to 1. A blue callout box labeled  $r[j-i]$  points to the value at index 1. The row is labeled  $r[k]$  and  $s[k]$ .

$j = 1$

[illegible]

$$\max\{p[i] + r[j - i]\}$$

[illegible]

$j = 1$

A diagram showing a 2D grid with a vertical line. The top-left cell is labeled  $i$ . The top-right cell contains the number 1. The bottom-left cell is shaded gray and contains the number 1. The bottom-right cell is white and contains the number 1. Red dashed lines form a loop around the bottom-right cell, starting from the bottom edge, going right, then up, then left, and finally down to the bottom edge.

$$\max\{p[i] + r[j - i]\}$$

[illegible]

$k$	1	2	3	4	5	6	7	8	9	10
$p[k]$	1	5	8	9	10	17	17	20	24	26

$j = 2$

[illegible]



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$n=10$

$k$	1	2	3	4	5	6	7	8	9	10
$p[k]$	1	5	8	9	10	17	17	20	24	26

$j = 2$

$i$	1	2
	2	5

$$\max\{p[i] + r[j - i]\}$$

$k$	0	1	2	3	4	5	6	7	8	9	10
$r[k]$	0	1	5								
$s[k]$		1	2								

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$n=10$

$k$	1	2	3	4	5	6	7	8	9	10
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$j = 3$

$i$	1	2	3
	6	6	8

$k$	0	1	2	3	4	5	6	7	8	9	10
$r[k]$	0	1	5								
$s[k]$		1	2								

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$n=10$

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$$\max\{p[i] + r[j - i]\}$$

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# Example of Optimal Solution Construction

$n=10$

$k$	1	2	3	4	5	6	7	8	9	10
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$j = 4$

$i$	1	2	3	4
	9	10	9	9

$k$	0	1	2	3	4	5	6	7	8	9	10
$r[k]$	0	1	5	8							
$s[k]$		1	2	3							

# Example of Optimal Solution Construction

$n=10$

$k$	1	2	3	4	5	6	7	8	9	10
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$j = 4$

$i$	1	2	3	4	
	9	10	9		

$\max\{p[i] + r[j - i]\}$

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$r[k]$	0	1	5	8							
$s[k]$		1	2	3							

# Example of Optimal Solution Construction

$n=10$

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$i$	1	2	3	4	
	9	10	9		

$\max\{p[i] + r[j - i]\}$

$k$	0	1	2	3	4	5	6	7	8	9	10
$r[k]$	0	1	5	8	10						
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# Example of Optimal Solution Construction

$n=10$

$k$	1	2	3	4	5	6	7	8	9	10
$p[k]$	1	5	8	9	10	17	17	20	24	26

$j = 5$

$i$	1	2	3	4	5
	11	13	13	10	10

$k$	0	1	2	3	4	5	6	7	8	9	10
$r[k]$	0	1	5	8	10						
$s[k]$		1	2	3	2						

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$n=10$

$k$	1	2	3	4	5	6	7	8	9	10
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# Example of Optimal Solution Construction

$n=10$

$k$	1	2	3	4	5	6	7	8	9	10
$p[k]$	1	5	8	9	10	17	17	20	24	26

$j = 6$

$i$	1	2	3	4	5	6
	14	15	16	14	11	17

$k$	0	1	2	3	4	5	6	7	8	9	10
$r[k]$	0	1	5	8	10	13					
$s[k]$		1	2	3	2	2					



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	14	15	16	14	11	17

$\max\{p[i] + r[j - i]\}$

$k$	0	1	2	3	4	5	6	7	8	9	10
$r[k]$	0	1	5	8	10	13					
$s[k]$		1	2	3	2	2					

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$k$	0	1	2	3	4	5	6	7	8	9	10
$r[k]$	0	1	5	8	10	13	17				
$s[k]$		1	2	3	2	2	6				

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$j = 7$

$i$	1	2	3	4	5	6	7
	18	18	18	17	15	18	17

$k$	0	1	2	3	4	5	6	7	8	9	10
$r[k]$	0	1	5	8	10	13	17				
$s[k]$		1	2	3	2	2	6				

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$i$	1	2	3	4	5	6	7
	18	10				3	17

$\max\{p[i] + r[j - i]\}$

$k$	0	1	2	3	4	5	6	7	8	9	10
$r[k]$	0	1	5	8	10	13	17				
$s[k]$		1	2	3	2	2	6				

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$k$	0	1	2	3	4	5	6	7	8	9	10
$r[k]$	0	1	5	8	10	13	17	18			
$s[k]$		1	2	3	2	2	6	1			

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$j = 8$

$i$	1	2	3	4	5	6	7	8
	19	22	21	19	18	22	18	20

$k$	0	1	2	3	4	5	6	7	8	9	10
$r[k]$	0	1	5	8	10	13	17	18			
$s[k]$		1	2	3	2	2	6	1			

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$k$	0	1	2	3	4	5	6	7	8	9	10
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$s[k]$		1	2	3	2	2	6	1	2		



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$n=10$

$k$	1	2	3	4	5	6	7	8	9	10
$p[k]$	1	5	8	9	10	17	17	20	24	26

$j = 9$

$i$	1	2	3	4	5	6	7	8	9
	23	23	25	22	20	25	22	21	24

$k$	0	1	2	3	4	5	6	7	8	9	10
$r[k]$	0	1	5	8	10	13	17	18	22		
$s[k]$		1	2	3	2	2	6	1	2		

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	26	27	26	26	23	27	25	25	25	26

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Max revenue =  $r[10] = 27$

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$i$	1	2	3	4	5	6	7	8	9	10
	26	27	26	26	23	27	25	25	25	26

$k$	0	1	2	3	4	5	6	7	8	9	10
$r[k]$	0	1	5	8	10	13	17	18	22	25	27
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Pieces of rods = { 2,



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Max revenue =  $r[10] = 27$

Pieces of rods =  $\{ 2, 2$

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$r[k]$	0	1	5	8	10	13	17	18	22	25	27
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Max revenue =  $r[10] = 27$

Pieces of rods =  $\{2, 2, 6\}$

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$k$	0	1	2	3	4	5	6	7	8	9	10
$r[k]$	0	1	5	8	10	13	17	18	22	25	27
$s[k]$		1	2	3	2	2	6	1	2	3	2

Max revenue =  $r[10] = 27$

Pieces of rods =  $\{2, 2, 6\}$

dank u  
ju faleminderit  
Tack  
Asante 谢谢 Tak mulțumesc  
kiitos  
**Salamat!** Gracias  
Terima kasih Aliquam  
Merci  
Dankie Obrigado  
ありがとう köszönöm grazie  
Aliquam Go raibh maith agat  
děkuii Thank you