

# The Atiyah-Floer conjecture

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# Outline

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1. Morse theory.
2. Floer homologies.
3. Atiyah-Floer conjecture + Atiyah's arguments supporting his conjecture (+ Taubes's bonus).
4. Various approaches and attempts(  $\iff T < 20\text{min.}$  ).

# 1.1 - Morse theory (20's)

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Morse :

Get topological data of a manifold  $M$  by considering the critical set

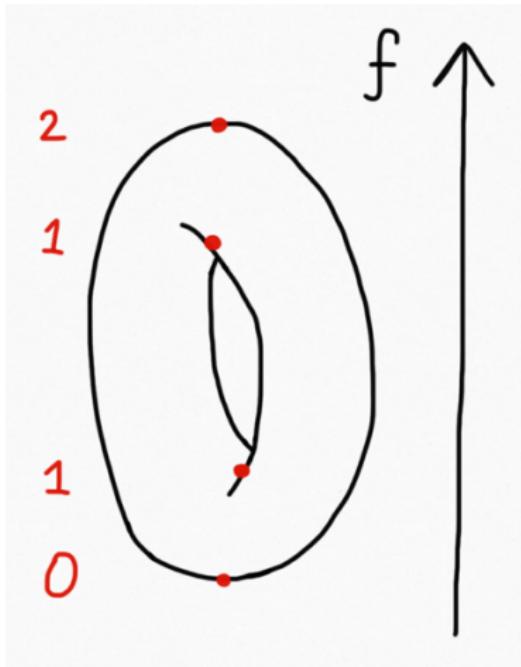
$$\text{crit}(f) := \{x \in M : df|_x = 0\}$$

of a Morse function

$$f : M \rightarrow \mathbb{R}$$

$$\rightsquigarrow \chi(M) = \sum_{i=0}^n (-1)^i c_i(f).$$

$$\left( \chi(M) := \sum_{i=0}^n (-1)^i b_i(M) \right)$$



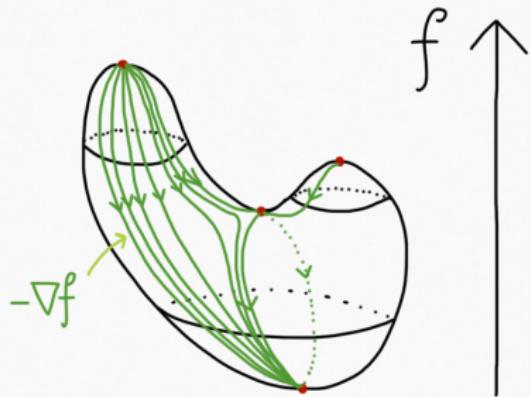
(\*try it now\*)

# 1.2 - Morse homology (40's $\rightsquigarrow$ 60's)

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Thom, Smale & Milnor :

Morse-Smale pair  $(f, g)$ .



$\rightsquigarrow$  Complex generated by  $\text{crit}(f)$ .

$\rightsquigarrow \partial$  counts gradient curves.

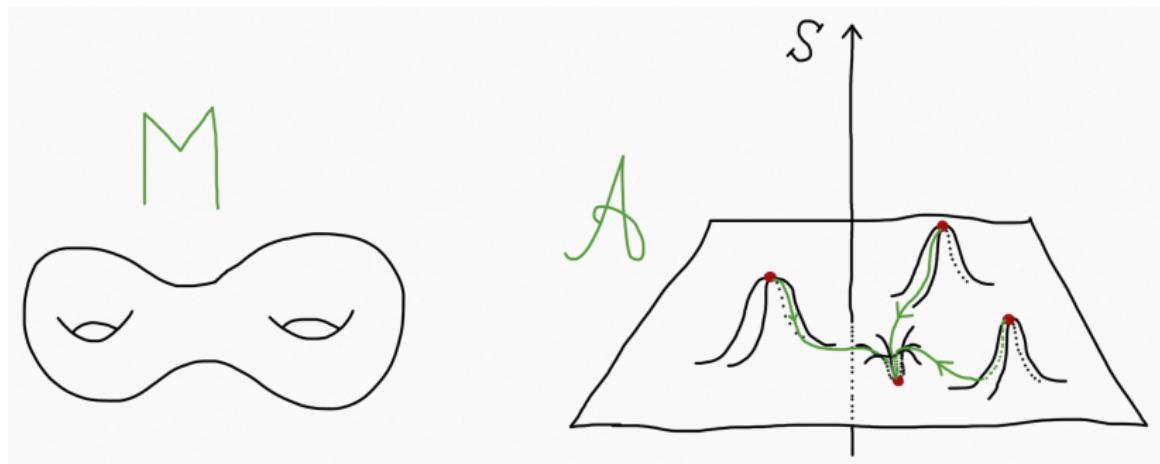
$\rightsquigarrow \partial^2 = 0$

$\rightsquigarrow$  Morse homology !

$$H_*(M; \mathbb{Z}) \cong H_*(M, (f, g))$$

## 2.1 - Floer homologies (80's)

*Floer [2, 3] :* Studying the topology of a manifold  $M$  by counting "gradient curves" joining critical points of some  $S : \mathcal{A} \rightarrow \mathbb{R}$  on an auxiliary space  $\mathcal{A}$ .

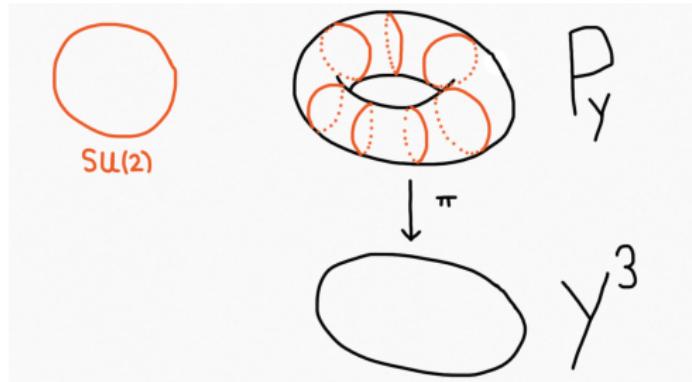


⇝ Floer homology  $\text{HF}_*(M) \neq H_*(M)$  ( $\Rightarrow$  new invariants).

## 2.2 - Instanton Floer homology (80's)

Context : (necessarily) trivial  $SU(2)$ -principal bundle

$$SU(2) \hookrightarrow P_Y \xrightarrow{\pi} Y^3 \quad \text{where } Y^3 \text{ is } \mathbb{Z}HS^3, \text{ i.e. } H_*(M; \mathbb{Z}) = H_*(S^3; \mathbb{Z})$$



Auxiliary space : the space of connexions on  $P_Y$  :

$$\mathcal{A}_Y \cong \Omega^1(Y; \mathfrak{su}(2)) \quad (+ \text{ technical properties})$$

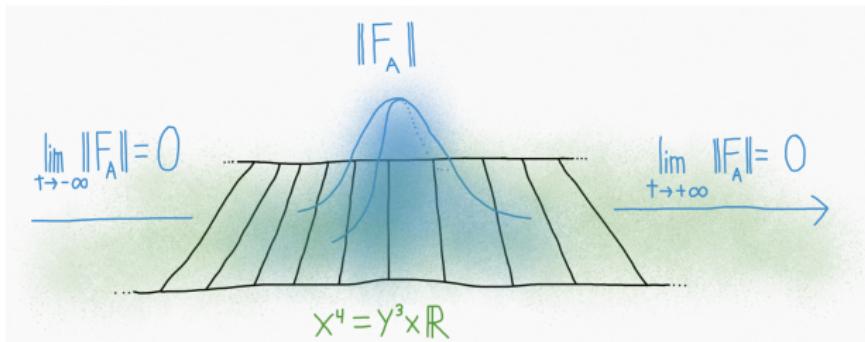
## 2.3 - Instanton Floer homology

Auxiliary  $S$  : Chern-Simons functional

$$S_{\text{CS}} : \mathcal{A}_Y \rightarrow \mathbb{R} ; \quad S_{\text{CS}}(A) = \int_Y \text{Tr} \left( A \wedge dA + \frac{2}{3} A \wedge A \wedge A \right)$$

Here,  $\text{crit}(S_{\text{CS}}) = \mathcal{A}_Y^{\text{fl}}$  and "gradient curves" describe a connexion over  $X^4 = Y^3 \times \mathbb{R}$  with ASD curvature form  $F_A$  :

$$\star_g F_A = -F_A$$



## 2.4 - Lagr. int. Floer homology (80's-...)

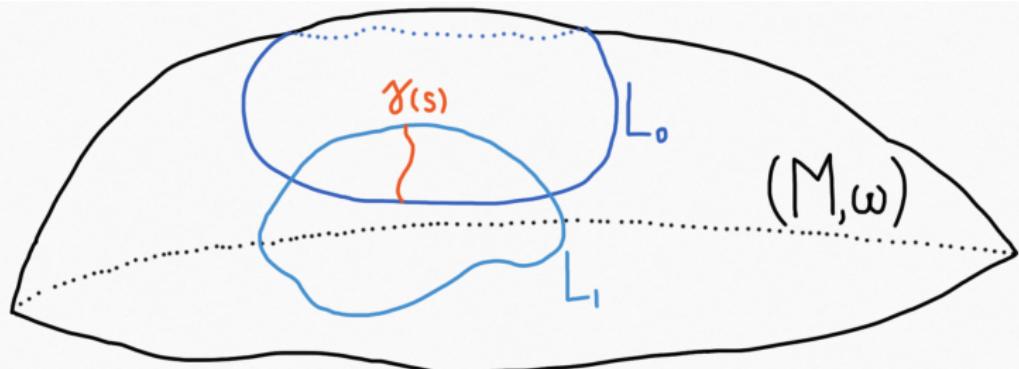
Context : Symplectic manifold  $(M^{2n}, \omega)$

$$\left( \omega \in \Omega^2(M) \quad \& \quad d\omega = 0 \quad \& \quad \omega \text{ non-degen.} \right)$$

endowed with two Lagrangian submanifolds  $L_0, L_1 \hookrightarrow M$

$$(\dim L_0 = \dim L_1 = n \quad \& \quad \omega|_{L_0} = \omega|_{L_1} = 0)$$

Auxiliary space :  $\Gamma := \{\gamma : [0, 1] \rightarrow M | \gamma(0) \in L_0, \gamma(1) \in L_1\}$



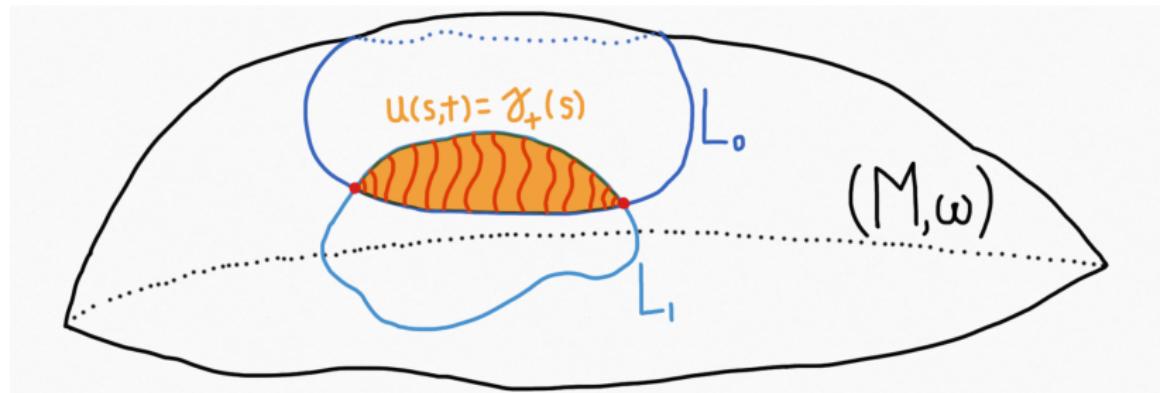
## 2.5 - Lagr. int. Floer homology

Auxiliary  $S$  : Consider  $S : \Gamma \rightarrow \mathbb{R}$  such that :

$$(dS)|_{\gamma}(\xi) = \int_0^1 \omega(\dot{\gamma}(t), \xi(t))dt, \quad \forall \gamma \in \Gamma, \forall \xi \in T_{\gamma}\Gamma = \Gamma^\infty(\gamma^*TM)$$

Here,  $\text{crit}(S) \cong L_0 \cap L_1$  and "gradient curves of  $S$ " describes  $J$ -holomorphic curves in  $M$  :

$$u : [0, 1] \times \mathbb{R} \rightarrow M \text{ where } \bar{\partial}u := \partial_s u + J\partial_t u = 0$$

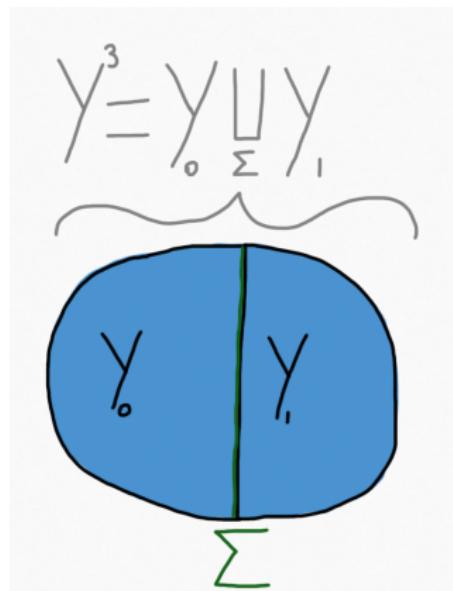
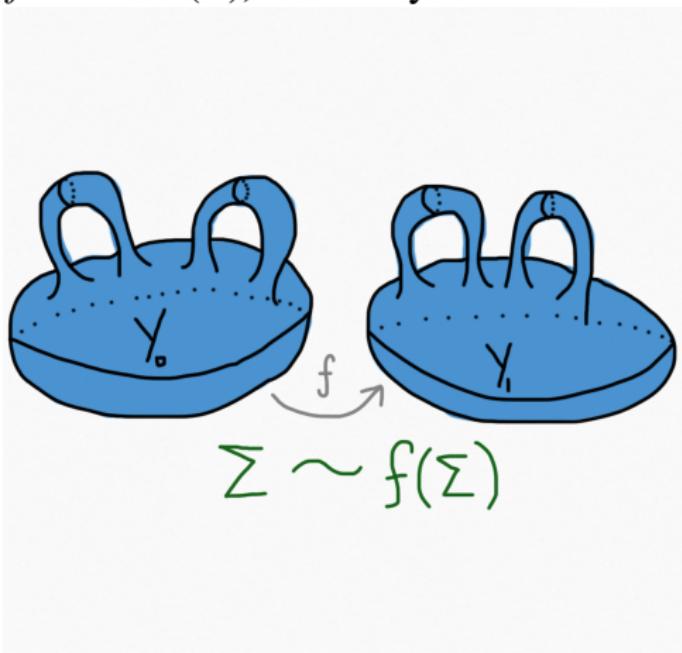


$\rightsquigarrow \text{HF}_*^{\text{lag}}(M, L_0, L_1)$  (\*certain conditions apply\*).

### 3.1 - Atiyah-Floer conjecture (80's)

Context of the conjecture : Let  $Y = Y_0 \sqcup_{\Sigma \sim f(\Sigma)} Y_1$  be a  $\mathbb{Z}\text{HS}^3$

endowed with a Heegaard splitting (here  $\Sigma = \partial Y_0$  and  $f(\Sigma) = \partial Y_1$  where  $Y_0, Y_1$  are handlebodies and where  $f \in \text{MCG}(\Sigma)$ ). Visually :

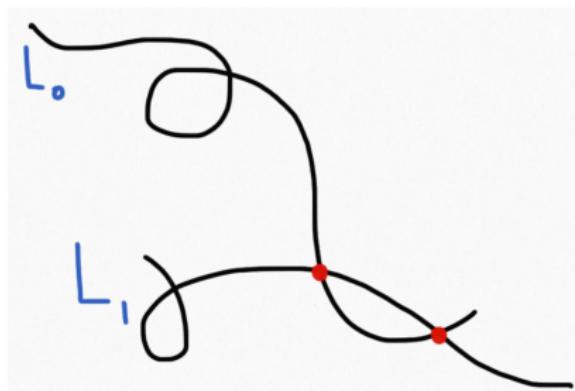


### 3.2 - Atiyah-Floer conjecture

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The set of (gauge classes of) flat connexions over  $\Sigma$  that extends to (gauge classes of) flat connexions over the handlebody  $Y_0$  (resp.  $Y_1$ ) describes a singular and immersed (after perturbations) Lagrangian submanifold  $L_0$  (resp.  $L_1$ ) in the symplectic orbifold "moduli space of flat connexions over  $\Sigma$ " :

$$L_0, L_1 \subset \mathcal{M}_\Sigma^{\text{fl}} := \mathcal{A}_\Sigma^{\text{fl}} / \mathcal{G}_\Sigma$$



### 3.3 - Atiyah-Floer conjecture

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Atiyah [1] :  $\text{HF}_*^{\text{lag}}(\mathcal{M}_\Sigma^{\text{fl}}, L_0, L_1) \cong \text{HF}_*^{\text{inst}}(Y)$  ?

## 3.4 - Atiyah's 1st argument

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Atiyah's 1st argument :

The bijection

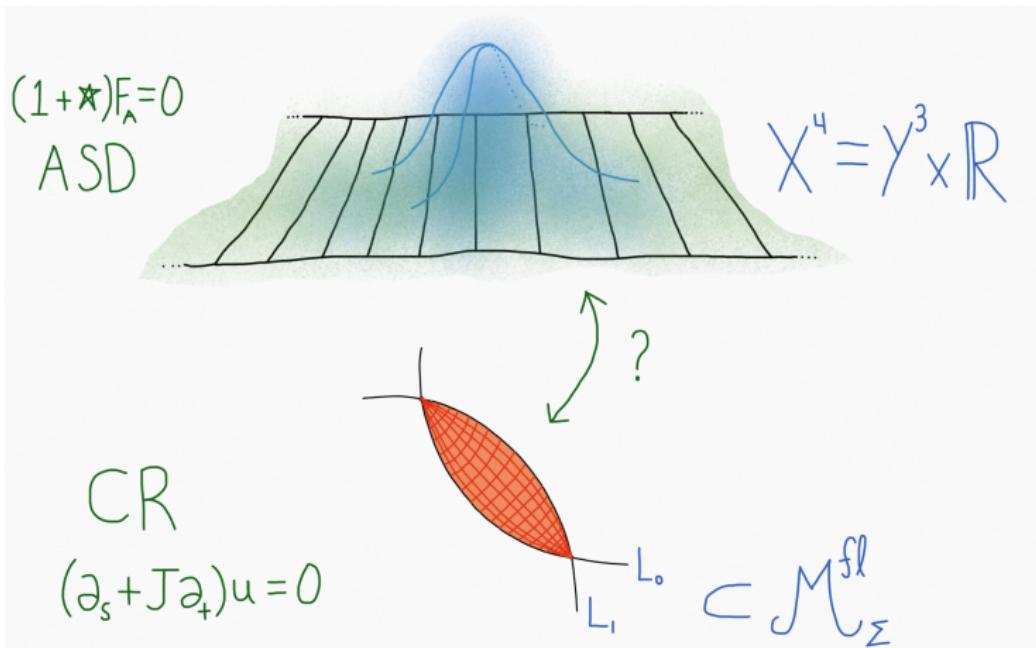
$$L_0 \cap L_1 \leftrightarrow \mathcal{M}_Y^{\text{fl}}$$

matches both Floer's complex's generators

$$\text{CF}_*^{\text{lag}}(\mathcal{M}_{\Sigma}^{\text{fl}}, L_0, L_1) \quad \text{and} \quad \text{CF}_*^{\text{inst}}(Y)$$

## 3.5 - Atiyah's 1st argument

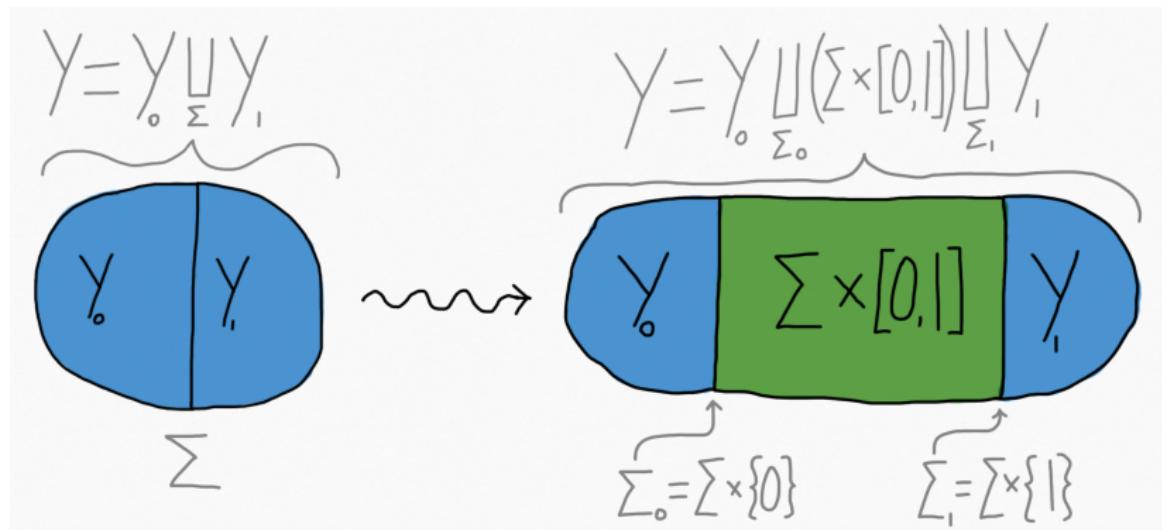
↷ It remains to show that both complex's differential matches.  
i.e. :



### 3.6 - Atiyah's 2nd argument

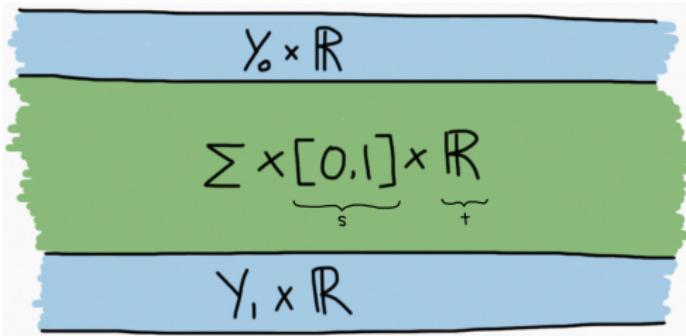
*Atiyah's 2nd argument (ASD $\rightsquigarrow$ CR) : Thicken  $\Sigma$  to  $\Sigma \times [0, 1]$  :*

$$Y = Y_0 \sqcup_{\Sigma_0} (\Sigma \times [0, 1]) \sqcup_{\Sigma_1} Y_1$$



### 3.7 - Atiyah's 2nd argument

We are interested in the  $\Sigma^2 \times [0, 1] \times \mathbb{R}$  part of  $X^4 = Y^3 \times \mathbb{R}$ :



In temporal gauge, the ASD equation  $\star_g F_A = -F_A$  decomposes, for a family of (Riem.) metrics  $g_\lambda = \lambda^{-1} g_\Sigma + \lambda^2 ds^2 + dt^2$ ,  $\lambda \in \mathbb{R}_{>0}$ , in two equations :

$$(1) \quad F_{A_{s,t}} = \lambda^{-1} \star_{g_\Sigma} \partial_t \varphi_{s,t}$$

$$(2) \quad \lambda^{-1} \partial_s A_{s,t} + \star_{g_\Sigma} \partial_t A_{s,t} = d_{A_{s,t}} \varphi_{s,t}$$

### 3.8 - Atiyah's 2nd argument

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Consider this surface :

$$u(s, t) := A_{s,t} : [0, 1] \times \mathbb{R} \rightarrow \mathcal{A}_\Sigma$$

and this complex structure over  $\mathcal{A}_\Sigma$  :

$$J := \star_{g_\Sigma} \quad (\text{because } \star_{g_\Sigma}^2 = -1 \text{ over } \Omega^1(\Sigma; \mathfrak{su}(2)))$$

$\Rightarrow$  equation (2) reformulates as

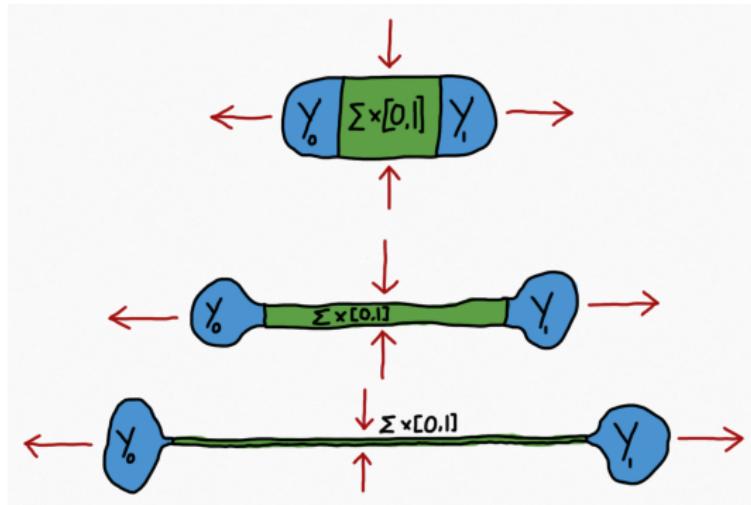
$$(3) \quad \lambda^{-1} \partial_s u(s, t) + J \partial_t u(s, t) = d_{A_{s,t}} \varphi_{s,t}$$

$\rightsquigarrow$  that's *almost* the CR eq. of  $J$ -holom. curves

$$\bar{\partial} u = 0$$

### 3.9 - Atiyah's 2nd argument

To have  $u$  resting in  $\mathcal{A}_{\Sigma}^{\text{fl}}$ , Atiyah proceeds by adiabatic limit by stretching the neck  $\Sigma \times [0, 1]$  of  $Y$ :



Indeed :  $\lim_{\lambda \rightarrow +\infty} F_{A_{s,t}} = \lim_{\lambda \rightarrow +\infty} \lambda^{-1} \star_{g_{\Sigma}} \varphi_{s,t}^{(t)} = 0$ .

## 3.10 - Atiyah's 2nd argument

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Problem 1 :  $\lim_{\lambda \rightarrow +\infty} (3)$  is  $J\partial_t u(s, t) = d_{A_{s,t}} \varphi_{s,t}$ .

Which is *less CR* than before...

Problem 2 : are Lagrangian boundary conditions (of  $u$  in  $(\mathcal{M}_\Sigma^{\text{fl}}, L_0, L_1)$ ) verified ?

Problem 3 : Is Atiyah's  $ASD \rightsquigarrow CR$  procedure a bijection ?

Nevertheless, bonus argument in favor of the conjecture :  
Taubes [6] showed that both complex's Euler characteristic matches.

## 4.1 - Various approaches and attempts

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*Atiyah's* original conjecture is still open.

*Salamon and Wehrheim's* approach is about instantons with lagrangian boundary conditions.

There are various variants of the conjecture :

- *Floer's variant* : non-trivial  $\text{SO}(3)$ -bundle over mapping torus. Proved by Dostoglou & Salamon.
- *Fukaya's variant* : non-trivial  $\text{SO}(3)$ -bundle & hybrid ASD/CR equation
- and many other versions/attempts proposed by *Wehrheim, Woodward, Manolescu, Duncan, Lipyanskiy, Yoshida, Lee, Li*, etc.

- [1] M. F. Atiyah, *New invariants of 3- and 4-dimensional manifolds*, Proceedings of Symposia in Pure Mathematics **48** (1988).
- [2] A. Floer, *An instanton-invariant for 3-manifolds*, Commun. Math. Phys. **118** (1988), 215–240.
- [3] \_\_\_\_\_, *Morse theory for lagrangian intersections*, J. Differential Geometry **28** (1988), no. 3, 513–547.
- [4] K. Fukaya, *Morse homotopy,  $A^\infty$ -category, and floer homologies*, Proceedings of GARC Workshop on Geometry and Topology '93 (Seoul, 1993), Lecture Notes Series, vol. 18, Seoul National University, 1993, pp. 1–102.
- [5] D. A. Salamon, *Lagrangian intersections, 3-manifolds with boundary, and the atiyah-floer conjecture*, Proceedings of the International Congress of Mathematics, Birkhäuser Verlag (1994).
- [6] C. H. Taubes, *Casson's invariant and gauge theory*, J. Differential Geometry **31** (1990), 547–599.