



# INF115 Lecture 10: *Normalisation*

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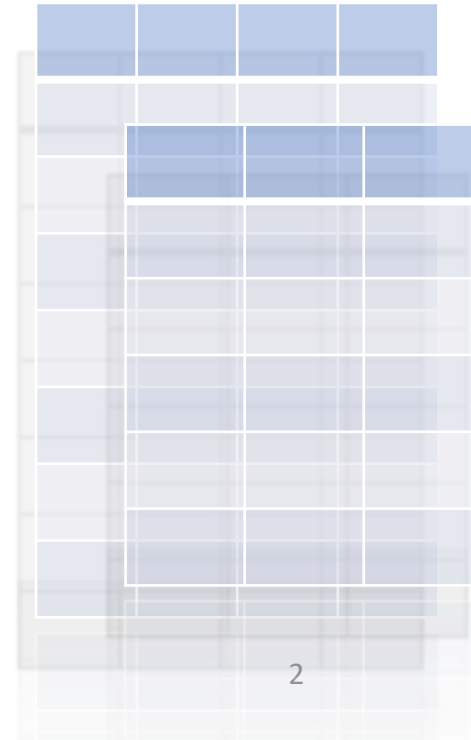
Spring Semester 2021

# Chapter 8: *Normalisation*



## Learning Goals:

- Be able to **normalize tables** to **avoid redundancy** in the database.
  - How to identify **functional dependencies**.
  - How to **decompose** tables.
  - **Normal forms**
  - **Denormalisation**
- Understand how **views** can be used in **database design**.



# Normalisation removes redundance

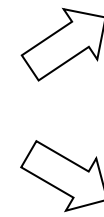
❖ **Redundance** (dobbeltlagring) creates problems:

- Wasting disk space unnecessarily.
- Increases the risk of **inconsistencies**.
- Certain information will not be represented.

➤ Tables should be **split** into two smaller tables

- Without losing information.

AnsNr	Etternavn	PostNr	Poststed
1	Hansen	3800	Bø
2	Nordvik	3200	Sandefjord
3	Moen	3200	Sandefjord
4	Nilsen	3800	Bø



PostNr	Poststed
3200	Sandefjord
3800	Bø

AnsNr	Etternavn	PostNr
1	Hansen	3800
2	Nordvik	3200
3	Moen	3200
4	Nilsen	3800

# Functional dependence (1)

**Normalisation** builds on the concept of **functional dependence**.

There is a **functional dependence** from a column X to a column Y,  
**if X determines Y.**

Examples:

- *AnsNr* determines *Etternavn*
- *AnsNr* determines *PostNr*
- *PostNr* determines *Poststed*

➤ What does it mean for one column to determine another ?

# Functional dependence (2)

A **functional dependence**  $X \rightarrow Y$  expresses the following relation:

- If two rows have the same value in X, then they must also have the same value in Y.

	X		Y
	17		21
	17		21

❖ We say that X **determines** (bestemmer) Y, and call X the **determinant**.

- Both X and Y can in general be ***collections of several columns***.

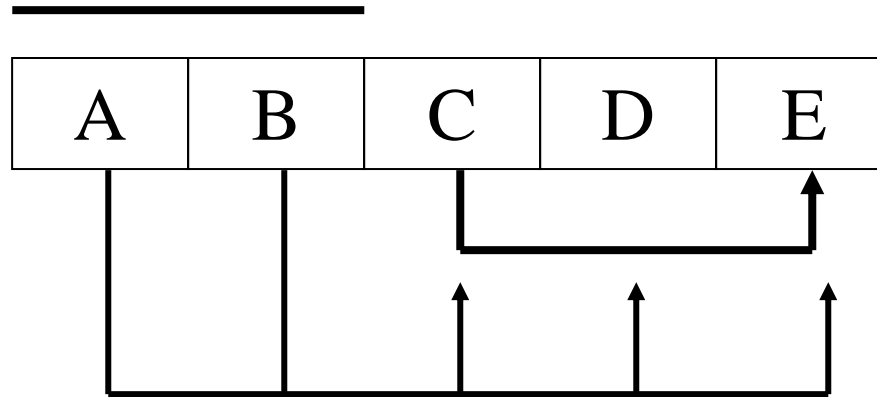
➤ To decide whether  $X \rightarrow Y$ , we must understand the **meaning** of X and Y.

➤ The relation must be true for **all possible** table contents.



# Functional dependence (3)

Graphical notation:



❖ If X is a **candidate key**:  $X \rightarrow Y$  for all Y

❖ Some dependencies can remain implied.

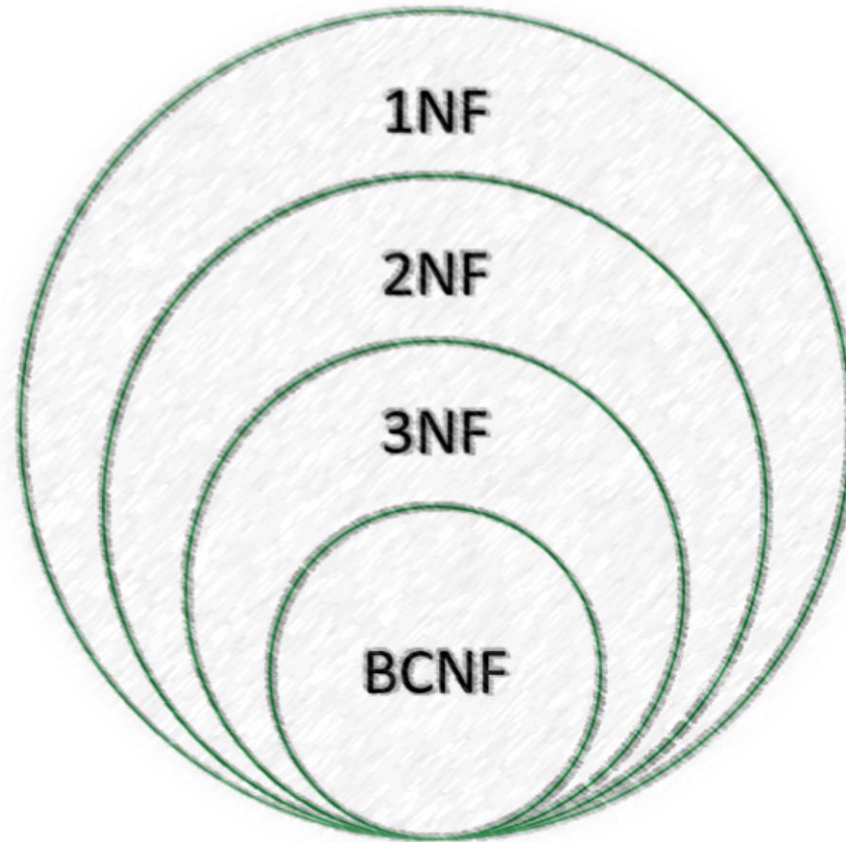
# Deduction rules for functional dependencies

- ❖ If  $X \supseteq Y$ , then  $X \rightarrow Y$
- ❖ If  $X \rightarrow Y$  and  $Y \rightarrow Z$ , then  $X \rightarrow Z$
- ❖ If  $X \rightarrow Y$ , then  $X, Z \rightarrow Y, Z$

- Some functional dependencies can **be deduced** from others:  
If  $\text{AnsattNr} \rightarrow \text{PostNr}$  and  $\text{PostNr} \rightarrow \text{PostSted}$ ,  
then  $\text{AnsattNr} \rightarrow \text{PostSted}$ .
- Normalisation starts by searching for functional dependencies, except the trivial ones:  
 $\text{Etternavn} \rightarrow \text{Etternavn}$
- Some dependencies can be **simplified**:  
 $\text{AnsattNr}, \text{Fornavn} \rightarrow \text{Etternavn}$

# The Hierarchy of Normal Forms

Every table in BCNF is in 3NF, etc.





# Normalisation

**Normalisation** means that we **decompose** (split up) tables into several simpler tables such that:

- **Redundance is avoided,**
- **Information content is conserved.**

**Normalisation** theory gives us:

- ❖ **Precise rules** to decide **whether and how** tables shall be decomposed based on understanding of functional dependencies.

# The Normalisation Process

1. Remove **non-atomic** columns.



Tabeller på 1NF

2. Remove **partial** dependencies.



Tabeller på 2NF

3. Remove **transitive** dependencies.



Tabeller på 3NF

4. Remove **remaining** redundance.



Tabeller på BCNF

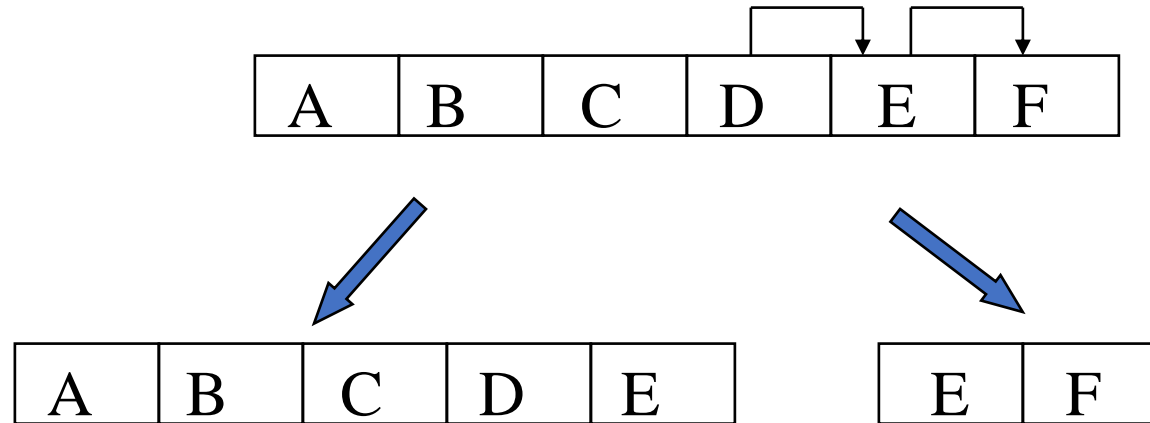
# Quizz on Normalisation (part 1)

Please answer the practice quizz on mitt.uib now 😊  
(you can take it again later if you want)

**Link:**

➤ <https://mitt.uib.no/courses/27455/quizzes>

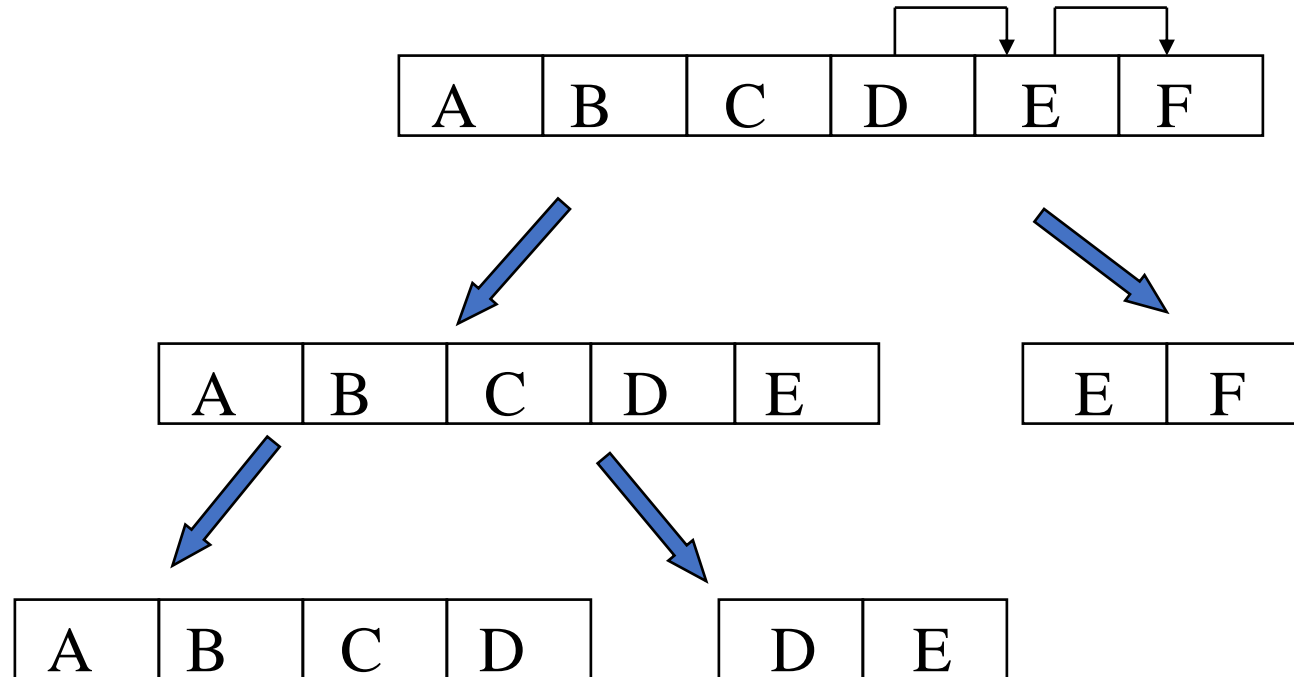
# Normalisation Steps



For functional dependence  $E \rightarrow F$  in table T:

- E and F are put into a **new** table.
- **Remove** F from T.

# Normalisation Steps



For functional dependence  $E \rightarrow F$  in table T:

➤ E and F are put into a **new** table.

➤ **Remove** F from T.

# First Normal Form (1NF)

❖ A table satisfies the condition of **1NF** if all values are **atomic**.

➤ Prohibit «tables in tables» and «lists».

➤ Example: The column TlfNr in the *Student* table contains a list of telephone numbers for every row. (We saw how to avoid this in the last lecture.)

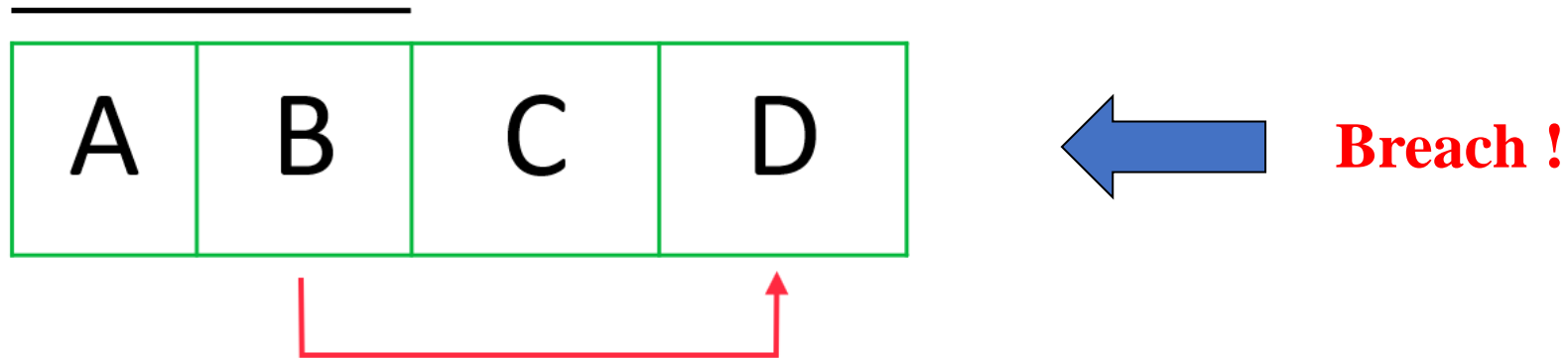
StudNr	...	TlfNr	...
1		12345678, 87654321	
2		22334455, 77668822, 99223344	

➤ **Composite values** must be **decomposed** !

➤ Example: Store people's names in two columns: Fornavn and Etternavn.

# Second NormalForm (2NF)

- ❖ A table satisfies 2NF if it is in 1NF and in addition it does not contain attributes that are **partially dependent** on the primary key.



# Example: Breach of 2NF

The number of hours that employees have worked on projects + first name:

*ProsjektDeltakelse*(AnsNr, ProsjNr, AntTimer, Fornavn)

## Functional dependencies:

$\text{AnsNr, ProsjNr} \rightarrow \text{AntTimer}$

$\text{AnsNr, ProsjNr} \rightarrow \text{Fornavn}$

**$\text{AnsNr} \rightarrow \text{Fornavn}$**

**Candidate key:** AnsNr + ProsjNr

❖  $\text{AnsNr} \rightarrow \text{Fornavn}$  is a **partial** dependence,  
because Fornavn depends only on a part of the candidate key.

❖ Split the table into two:

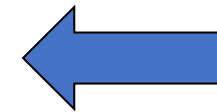
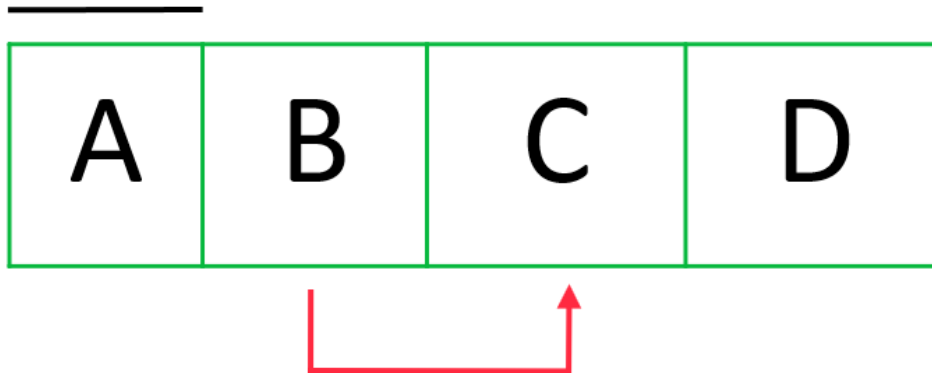
*ProsjektDeltakelse*(AnsNr\*, ProsjNr, AntTimer)

*Ansatt*(AnsNr, Fornavn)



### 3. NormalForm (3NF)

- ❖ A table satisfies 3NF  
if it is 2NF  
and in addition it does not contain **transitive functional dependencies**.



**Breach!**

# Example: Breach of 3NF

Products and product categories:

Vare(VNr, Betegnelse, KatNr, KatNavn)

**Functional dependencies:**

$VNr \rightarrow \text{Betegnelse, KatNr, KatNavn}$

$KatNr \rightarrow KatNavn$

**Candidate key** : VNr

❖  $KatNr \rightarrow KatNavn$  is a **transitive** dependence.

❖ Split the table into two:

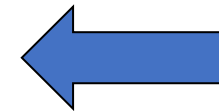
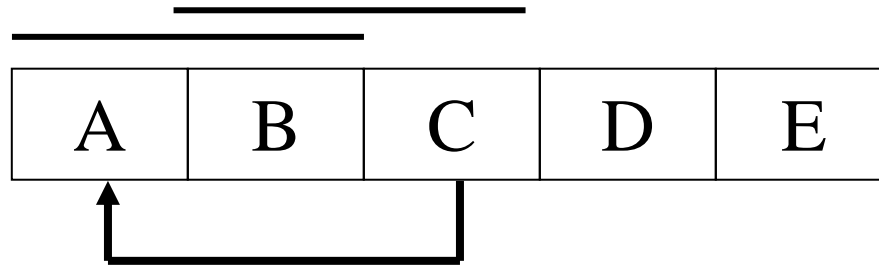
Vare(VNr, Betegnelse, KatNr\*)

Kategori(KatNr, KatNavn)

# Boyce-Codd NormalForm (BCNF)

❖ A table satisfies **BCNF** if any **minimal determinant** is a candidate key.

- Example of 3NF that breaches BCNF, assume two composite and overlapping candidate keys:



**Breach!**

# Several dependencies on the same column

- People and information on the municipality (kommune) where they live:

Person(FNr, Enavn, KoNr, KoNavn, KoAreal)

- Functional dependencies show that FNr is a candidate key:

$\text{FNr} \rightarrow \text{Enavn}, \text{KoNr}, \text{KoNavn}, \text{KoAreal}$

$\text{KoNr} \rightarrow \text{KoNavn}$

$\text{KoNr} \rightarrow \text{KoAreal}$

- If we treat the two breaches **each individually**, we get:

Person(FNr, Enavn, KoNr\*)

Kommune1(KoNr, KoNavn)

Kommune2(KoNr, KoAreal)

- We end up with **two tables with the same primary key**.
- So we can **merge these two tables**, since it is easier to combine the two dependencies on KoNr:

Person(FNr, Enavn, KoNr\*)

Kommune(KoNr, KoNavn, KoAreal)

# Exercise 1

StudNr	Snavn	KursKode	KursNavn	StPoeng	EksDato	Kar
191234	Hansen	DAT1000	Databaser	7.5	12.12.2019	C

1. List the functional dependencies.
  2. Find candidate and primary keys.
  3. Decide which is the current normal form.
  4. Carry out normalisation to BCNF.
- Repeat steps 2 to 4 for every intermediary result.

# Exercise 2

□ Given a table  $T(A, B, C, D)$ . There are functional dependencies from A to B, from B to D and from C to A

- Find candidate keys.
- Which is the current normal form of this table?
- Carry out normalisation to BCNF.

□ Is it surprising that we can normalise a table that contains data which we cannot see ?

- How is this possible ?

# Solution to Exercise 1

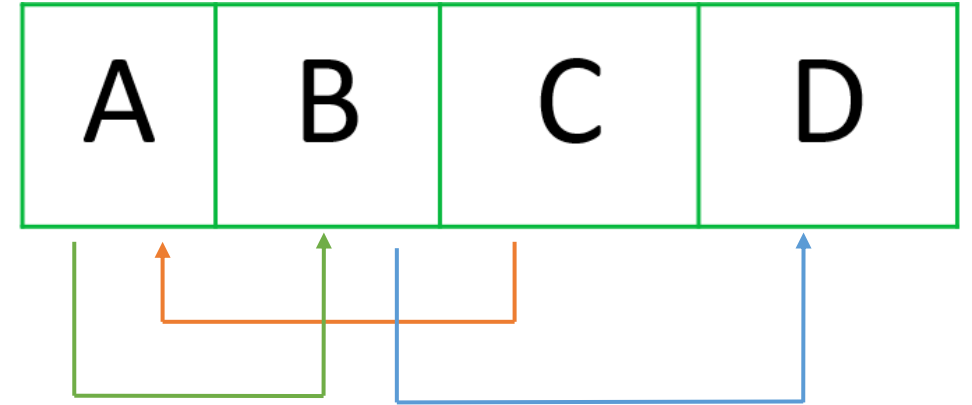
StudNr	Snavn	KursKode	KursNavn	StPoeng	EksDato	Kar
191234	Hansen	DAT1000	Databaser	7.5	12.12.2019	C

- Functional dependencies:  
StudNr  $\rightarrow$  Snavn  
KursKode  $\rightarrow$  KursNavn, StPoeng  
StudNr, KursKode, EksDato  $\rightarrow$  Kar
- Find candidate and primary keys: StudNr KursKode EksDato
- Current normal form: 1NF
- Carry out normalisation to BCNF:
  - T1(StudNr, SNavn),
  - T2(KursKode, KursNavn, StPoeng),
  - T3(StudNr, KursKode, EksDato, Kar).

# Solution to Exercise 2

1. Candidate keys: C
2. The current normal form is: 2NF
3. Normalisation to BCNF: T1(A, B), T2(B,D), T3(C,A).

❖ The information about **functional dependencies** tells us all we need to know in order to normalise the table.





# ER and Normalisation

- ❑ Is normalisation an alternative to ER ? A possible strategy:
  1. Begin with the « **universal relation** » (all data in one table).
  2. Find functional dependencies.
  3. Carry out normalisation.
- ❑ Entities with attributes are a « *natural* » or convenient step in this thought process.
  - ER is useful to **communicate** with the users.
- ❑ Normalisation can be used to **check** a data model.
  - This is should be done by the database designer.

# Denormalisation

Normalisation gives many tables.

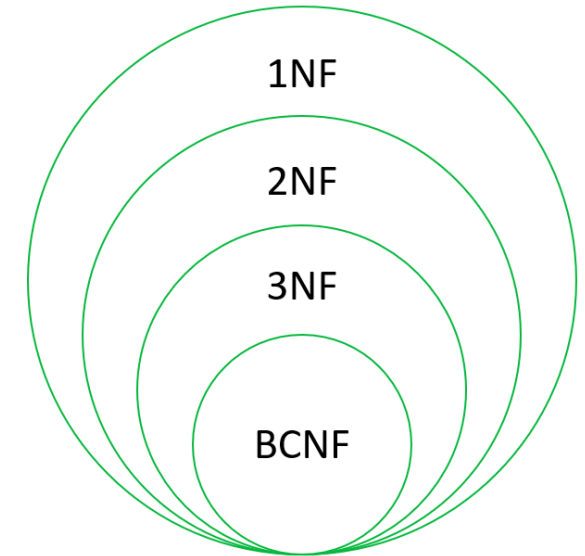
- Many tables give many joins.
- Verifying certain validation rules requires that the DBMS looks into many tables.

Due to *efficiency* concerns it can be justified in certain cases to combine normalised tables.

- This is called **denormalisation** and gives a form of «controlled redundance».
- Especially, normalising from 3NF to BCNF gives validation rules that are difficult to check for the DBMS. Therefore it is common to stop normalisation at 3NF.

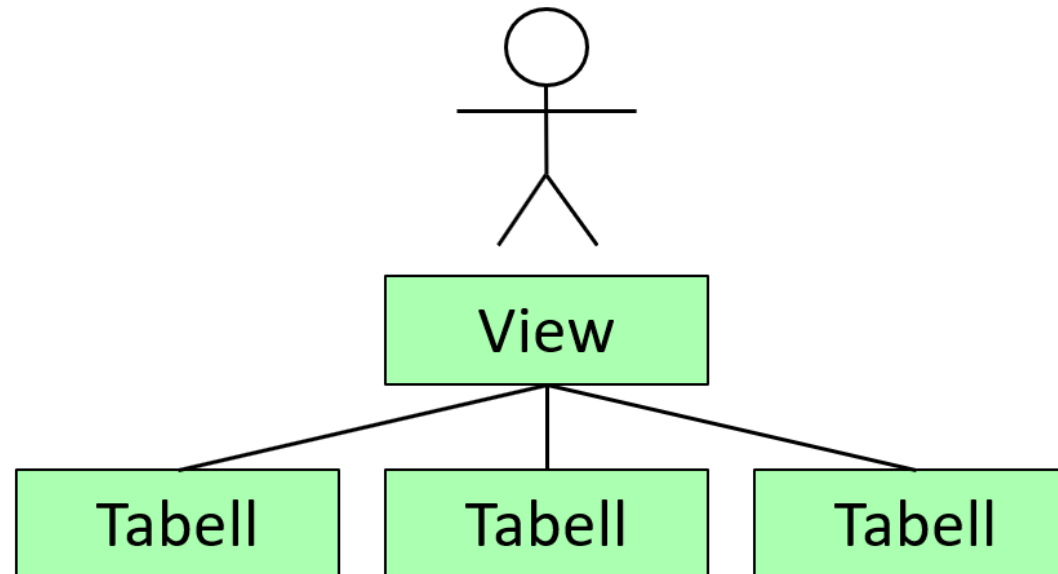
# Key Concepts of Normalisation

- Redundance
- Functional dependence
- Determinant
- Partial dependence
- Transitive dependence
- Normal forms: 1NF, 2NF, 3NF, BCNF
- Normalisation Steps
- Denormalisation



# Views and Database Design

- **Security:** Allow fine grained management of *access rights*.
- **Presentation:** Hide the table structure and provide a *simplified representation* of the database.
- **Representational Independence:** Give the DBA the freedom to change the table structure without requiring to recode everything.



# Representational Independence – Example

You want to **restructure the tables** in a database, for example:

- Split a table into two,
- Add or remove columns,
- Change the datatype in one or several columns.

**But** – many programs use the «old» database.

➤ So we make **views** which

- Get data from the new database,
- And have the **same name** and **structure** as the tables in the old database.

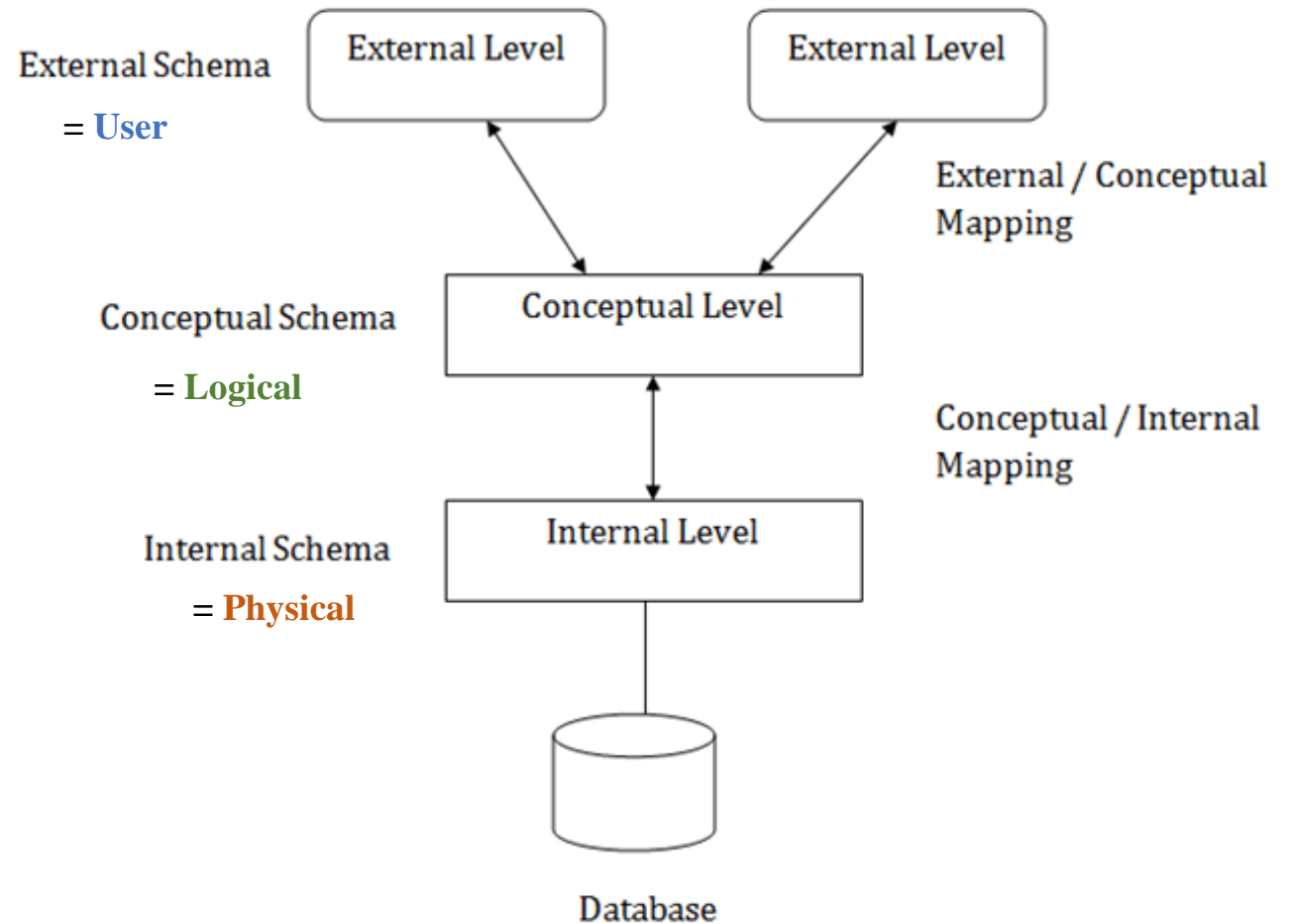
# ANSI/SPARC Three Schema Architecture

Also called «Three Level Architecture», introduced in the 1970ies.

Reminds us that it is **useful** to

**distinguish** *technical details*  
from the *conceptual design*  
of the database

– i.e. distinguish «what» and «how».



# Quizz on Normalisation (part 2)

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# Chapter 8: *Normalisation*



## Summary:

- How to **normalize tables** to **avoid redundancy** in the database.
  - How to identify **functional dependencies**.
  - How to **decompose** tables.
  - **Normal forms**: 1NF, 2NF, 3NF, BCNF
  - **Denormalisation**.
- Understand how **views** can be used in **database design**.

