

Compiler Construction

Introduction

Overview of Compilation Process

• Lexical Analysis	Front-end of the compiler.
• Syntax Analysis	
• Semantic Analysis	
• Intermediate Code Generation	Back-end of the compiler
• Code Optimisation	
• Code Generation	

Lexical Analysis

- Convert a stream of characters into a stream of *TOKENS*.
 - Lexeme
 - A token with a value
- Scanner
 - A piece of code that performs lexical analysis.

Regular Expressions

- Use Regex to specify what a token is.
 - Example:
 - NUMBER: [0 - 9] +
 - ID: [a-zA-Z][a-zA-Z0-9]*
 - WHILE: while

Regex Shorthands

[axby]	a or x or b or y
M?	Optional
M+	One or more occurrences

"a+*"	Literally a+*
.	Everything except \n
[a-e]	abcde

Disambiguation Rules for Scanners

- 2 rules

1. Longest Match:

Longest Substring to match a regex is the choice that is taken.

2. Rule Priority:

If Longest Substring matches many regex's then the first regex to match the substring is chosen.

Finite Automata

- Consists of nodes & edges
 - Edges link nodes and have a symbol.
 - Nodes represent states.

- 2 types of Finite Automata:

1. Deterministic Finite Automaton:

NO pair of edges leading away from a node share the same symbol.

2. Nondeterministic Finite Automaton:

Two or more edges leading away from a node share the same symbol.

- Finite Automaton can be encoded by:

- **Transition matrix:**

- 2D matrix

```
int edges[][] = { /* ws,..., 0, 1, 2, ... d, e, f, ... o, ... */
    /* state 0 */ { 0,..., 0, 0, 0, ..., 0, 0, 0, ..., 0, ... },
    /* state 1 */ { 0,..., 7, 7, 7, ..., 2, 4, 4, ..., 4, ... },
    /* state 2 */ { 0,..., 4, 4, 4, ..., 4, 4, 4, ..., 3, ... },
    /* state 3 */ { 0,..., 4, 4, 4, ..., 4, 4, 4, ..., 4, ... },
    /* state 4 */ { 0,..., 4, 4, 4, ..., 4, 4, 4, ..., 4, ... },
    /* state 5 */ { 0,..., 6, 6, 6, ..., 0, 0, 0, ..., 0, ... },
    ...
}
```

- **Action array:**

- Array indexed by final state number.

- Contains a corresponding action.
- Generally we need to use a NFA to represent Regex expressions.

NFA to DFA

- Unable to execute a NFA
 - Since it “Guesses”
 - However in our case instead of seeing it as choosing one path at random, we view it as choosing all paths concurrently.
- **Subset Construction algorithm**
 - Converts NFA to DFA
 - 2 concepts:
 - i. ϵ - closure(S):
 - a. All states can be reached only using ϵ (epsilon- empty symbol).
 - ii. DFAedge(d,c):
 - a. All states in d can be reached by only consuming edges labelled with c & ϵ .
 - On algorithm termination:
 - Discard states array
 - Keep trans array to recognise tokens
 - State i in DFA is final iff states[i] is a final state.
 - Record type of TOKEN the final DFA state recognises
 - *Rule Priority*
 - Generated DFA is flawed:
 - Can merge equivalent states.

Reading Tokens

- JavaCC provide one method:

```
getNextToken()
```

- This method isn't generally called directly but instead through the parser interface.
- 2 important Token attributes:
 1. kind: kind of token
 - a. (Example: REAL)
 2. image: value of token
 - a. (Example: 1234)

Introduction to Parsers

Adding Recursion

- Regex cannot count.
- Therefore we use mutual recursion

Examples:

```
expr = a b(c | d)e
// Is now written as
aux = c
aux = d
expr = a b aux e
```

```
expr = (a b c)*
// Is now written as
expr = (a b c) expr
expr =  $\epsilon$ 
```

- Simplified notation above is ***Context Free Grammar***

Context Free Grammars(CFGs)

- G is a 4-tuple (V_t, V_n, S, P)
 - V_t
 - Set of terminals (a, b, c,... $\in V_t$)
 - V_n
 - Set of nonterminals (A,B,C,... $\in V_n$)
 - V
 - ($V_t \cup V_n$) aka vocabulary of G
 - S
 - Goal symbol
 - Distinguished nonterminal ($S \in V_n$)
 - P
 - Finite set of productions stating how terminals and non terminals can be combined
 - Rules
 - V^*

- $\alpha, \beta, \gamma, \dots \in V^*$
- V_t^*
 - $u, v, w, \dots \in V_t^*$

If $A \rightarrow \gamma$ then $\alpha A \beta \Rightarrow \alpha \gamma \beta$ is a *single-step derivation* using $A \rightarrow \gamma$

Similarly, \Rightarrow^* and \Rightarrow^+ denote derivations of ≥ 0 and ≥ 1 steps.

If $S \Rightarrow^* \beta$ then β is said to be a *sentential form* of G .

$L(G) = \{w \in V_t^* \mid S \Rightarrow^+ w\}$, $w \in L(G)$ is called a *sentence* of G .

Note, $L(G) = \{\beta \in V^* \mid S \Rightarrow^* \beta\} \cap V_t^*$

Backus-Naur form (BNF)

- Grammars are written in this form of notation.
- 1. Non-terminals have angled brackets or capital letters.
- 2. Terminals normal font or underlined
- 3. Production (Each possible image of $\langle \text{expr} \rangle$, line 2,3,4 are all separate productions)

1	$\langle \text{goal} \rangle$	$::=$	$\langle \text{expr} \rangle$
2	$\langle \text{expr} \rangle$	$::=$	$\langle \text{expr} \rangle \langle \text{op} \rangle \langle \text{expr} \rangle$
3		$ $	num
4		$ $	id
5	$\langle \text{op} \rangle$	$::=$	+
6	$\langle \text{op} \rangle$	$::=$	-
7	$\langle \text{op} \rangle$	$::=$	*
8	$\langle \text{op} \rangle$	$::=$	/

Derivations

- The resulting sequence of grammar rules after consuming all the characters.
 - Sequence of production applications is a **derivation** or a **parse**.
 - **Parsing** is the process of discovering the *derivation*.

2 types of derivations:

Both examples use the previous CFG and the following input "x + 2*y"

1. Left most derivation

```
<goal>  ⇒  <expr>
        ⇒  <expr><op><expr>
        ⇒  <expr><op><expr><op><expr>
        ⇒  <id,x><op><expr><op><expr>
        ⇒  <id,x> + <expr><op><expr>
        ⇒  <id,x> + <num,2><op><expr>
        ⇒  <id,x> + <num,2>*<expr>
        ⇒  <id,x> + <num,2>*<id,y>
```

2. Right most derivation

```
<goal>  ⇒  <expr>
        ⇒  <expr><op><expr>
        ⇒  <expr><op><id,y>
        ⇒  <expr>*<id,y>
        ⇒  <expr><op><expr>*<id,y>
        ⇒  <expr><op><num,2>*<id,y>
        ⇒  <expr> + <num,2>*<id,y>
        ⇒  <id,x> + <num,2>*<id,y>
```

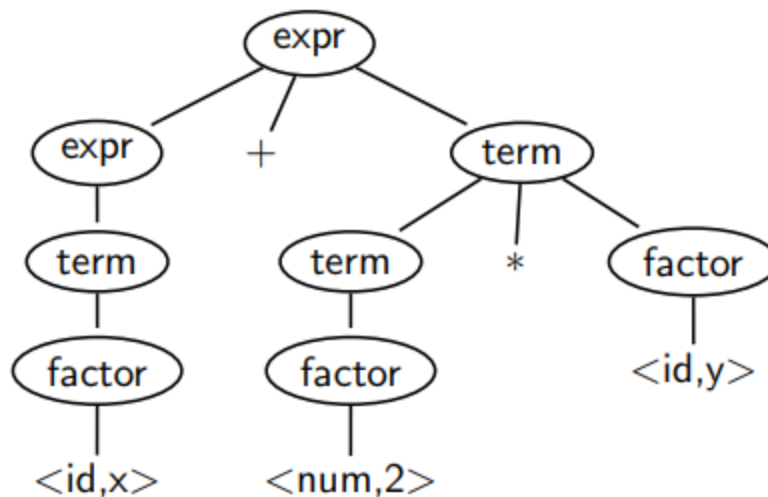
- Each derivation creates a different tree and therefore evaluates the input differently.
 - In the cases above:
 - left most derivation would result in $x + (2*y)$
 - right most derivation would result in $(x+2) * y$

Precedence

- Can add additional structure to create precedence.
- In the following example:
 - *terms* **must** be derived from *expr*
 - *factors* **must** be derived by *terms*

1		<goal>	::=	<expr>
2		<expr>	::=	<expr> + <term>
3				<expr> - <term>
4				<term>
5		<term>	::=	<term> * <factor>
6				<term> / <factor>
7				<factor>
8		<factor>	::=	num
9				id

- Applying this new structure corrects the tree that was generated.



Ambiguity

- A grammar is ambiguous if a sentence has 2 or more derivations
- To solve this issue just simply split the sentence into further sentences.

Top-down Parsing

- Starts with the root of the parse tree
 - Labelled with goal symbol for grammar

- Do the following steps until “fringe” of the parse tree matches input string.
- 1. At node A, select production $A \rightarrow \alpha$, construct the children for each member of α .
- 2. If a terminal is added to the fringe that doesn't match input string, backtrack.
- 3. Find next node to be expanded.

Left Recursion

- Top-down parsers **CANNOT** handle left-recursion.
- To eliminate left-recursion follow the rules below.

$$A ::= A\alpha$$

$$| \beta$$

*Left Recursive
Grammar*

$$A ::= \beta A'$$

$$A' ::= \alpha A'$$

$$| \epsilon$$

*Introduction of A'
to remove left
recursion.*

Lookahead

- Further we look ahead in the input stream the easier it is to see what rules work.
 - Allows us to choose the right rules first time.
- CFG subclasses:
 - LL(1)
 - Left to right scan, Left-most derivation, look ahead of 1 token
 - LR(1)
 - Left to right scan, Right-most derivation, look ahead of 1 token

Predictive Parsing

- For any 2 productions of $A \rightarrow \alpha | \beta$
 - We'd like to choose the correct production.
 - FIRST(α)
 - The set of tokens that appear in some string derived from α .
- Note:

- If two productions $A \rightarrow \alpha$ & $A \rightarrow \beta$ are in a grammar the following should happen.

$$\text{FIRST}(\alpha) \cap \text{FIRST}(\beta) = \emptyset$$

- This allows the parser to make the correct choice when lookahead == 1.

Left Factoring

- If a productions in a grammar all have the same left most non terminal.
 - Which is NOT ϵ

$$A \rightarrow \alpha\beta_1 | \alpha\beta_2 | \dots | \alpha\beta_n$$

- We can left factor it to look like:

$$\begin{aligned} A &\rightarrow \alpha A' \\ A' &\rightarrow \beta_1 | \beta_2 | \dots | \beta_n \end{aligned}$$

Indirect Left-recursion

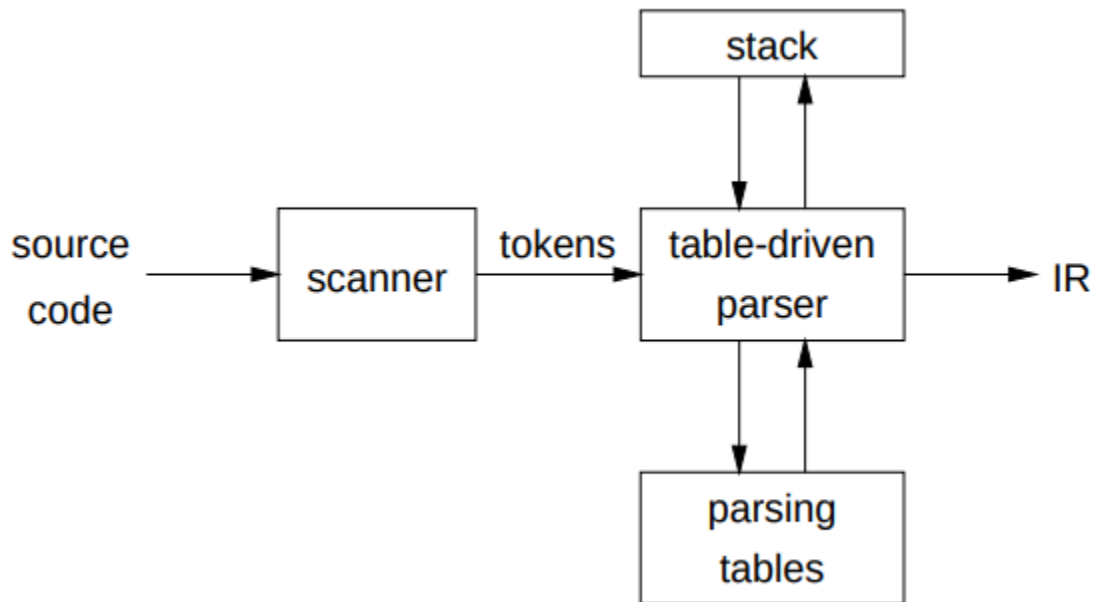
- Basically an infinite loop caused by a production outside of a rule.

$$\begin{aligned} A_0 &\rightarrow A_1\alpha_1 | \dots \\ A_1 &\rightarrow A_2\alpha_2 | \dots \\ &\dots \\ A_n &\rightarrow A_0\alpha_{n+1} | \dots \end{aligned}$$

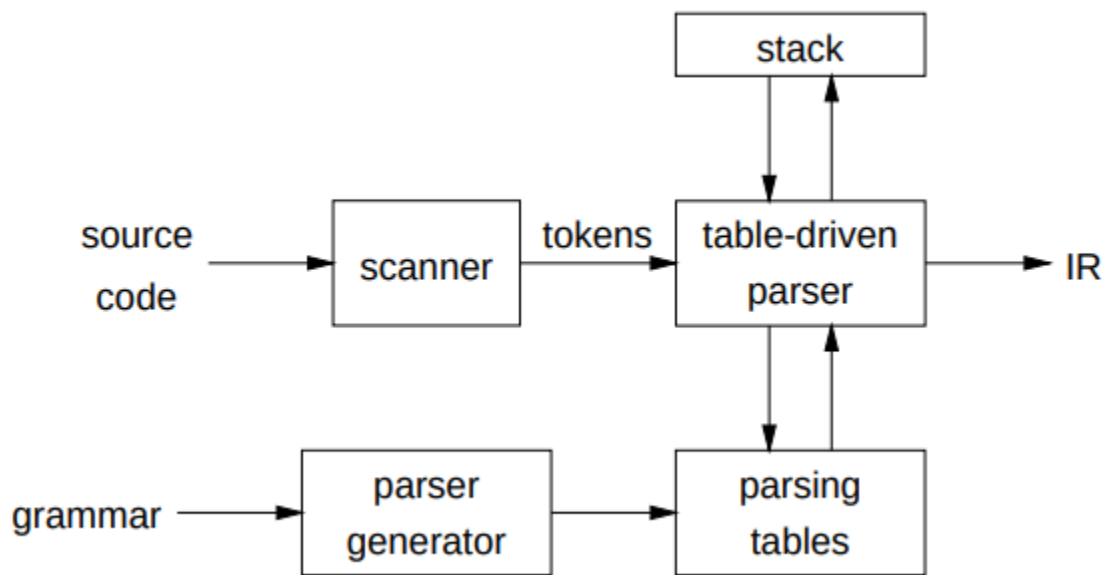
- Fix for this is to remove the additional step required and have one of the rules directly point to whats needed?

- For each non-terminal A_i in turn, do:
 - For each A_j such that $1 \leq j < i$ and there is a production rule of the form $A_i \rightarrow A_j \alpha$, where the A_j productions are $A_j \rightarrow \beta_1 \mid \dots \mid \beta_n$:
 - Replace the production rule $A_i \rightarrow A_j \alpha$ with the rule $A_i \rightarrow \beta_1 \alpha \mid \dots \mid \beta_n \alpha$.

Table-Driven Parsing



- Generation of tables can be automated.



- An expression grammar and its parse table

1	<goal>	::=	<expr>	6	<term>	::=	<factor> <term'>
2	<expr>	::=	<term> <expr'>	7	<term'>	::=	* <term>
3	<expr'>	::=	+ <expr>	8			/ <term>
4			- <expr>	9			ε
5			ε	10	<factor>	::=	num
				11			id

	id	num	+	-	*	/	\$
<goal>	1	1	-	-	-	-	-
<expr>	2	2	-	-	-	-	-
<expr'>	-	-	3	4	-	-	5
<term>	6	6	-	-	-	-	-
<term'>	-	-	9	9	7	8	9
<factor>	11	10	-	-	-	-	-

- To generate this table we use FIRST FOLLOW & LOOKAHEAD

FIRST

- FIRST(α)
 - Set of terminal symbols

- $\{a \in V_t \mid a \rightarrow^* \alpha\beta\}$
- $\alpha \rightarrow^* \epsilon$ then $\epsilon \in \text{FIRST}(\alpha)$
 - Read as alpha consists of 0 or more epsilons then epsilon is the set for $\text{FIRST}(\alpha)$.
- Compute $\text{FIRST}(X)$ rules:
 1. If X is a terminal, then $\text{FIRST}(X) = \{X\}$
 2. If X is a nonterminal and $X \rightarrow Y_1Y_2\dots Y_k$ is a production rule for some $k \geq 1$, then add a to $\text{FIRST}(X)$ if for some i , $a \in \text{FIRST}(Y_i)$ and $Y_1\dots Y_{i-1}$ are nullable (empty strings). If all $Y_1\dots Y_k$ are nullable, add ϵ to $\text{FIRST}(X)$.
 3. If $X \rightarrow \epsilon$ is a production rule, then add ϵ to $\text{FIRST}(X)$.

FOLLOW

- $\text{FOLLOW}(X)$ is set of terminals that can immediately follow X .
- Compute $\text{FOLLOW}(A)$ rules:
 1. Place $\$$ in $\text{FOLLOW}(S)$, S being start symbol and $\$$ being end of input.
 2. If a production rule of $A \rightarrow \alpha B \beta$, then everything in $\text{FIRST}(\beta)$, except ϵ , is in $\text{FOLLOW}(B)$.
 3. If a production rule $A \rightarrow \alpha B$ or $A \rightarrow \alpha B \beta$ where $\text{FIRST}(\beta)$ contains ϵ , then everything in $\text{FOLLOW}(A)$ is in $\text{FOLLOW}(B)$.

6 Examples are demonstrated in the video below.

https://youtu.be/_uSIP91jmTM

LOOKAHEAD

- $\text{LOOKAHEAD}(A \rightarrow \alpha)$
 - Set of terminals which can appear in the next input.
- Build $\text{LOOKAHEAD}(A \rightarrow \alpha)$
 1. Put $\text{FIRST}(\alpha) - \{\epsilon\}$ in $\text{LOOKAHEAD}(A \rightarrow \alpha)$
 2. If $\epsilon \in \text{FIRST}(\alpha)$ then put $\text{FOLLOW}(A)$ in $\text{LOOKAHEAD}(A \rightarrow \alpha)$

LL(1) parse table construction

- Input: Grammar G
- Output: Parsing table M
- Method:
 1. For all productions $A \rightarrow \alpha$:
For all $a \in \text{LOOKAHEAD}(A \rightarrow \alpha)$, add $A \rightarrow \alpha$ to $M[A, a]$
 2. Set each undefined entry of M to **error**
 - If a cell has more than 1 entry in M
 - **NOT** LL(1) grammar

LL(1) Grammar facts that aren't fun

1. No left recursion
2. No ambiguous grammar
3. Some languages have no LL(1) grammar
4. If each alternative expansion for A begins with a distinct terminal & is ϵ -free
 - a. Then it's a LL(1) grammar.

Example:

$$S \rightarrow aS|a$$

is not LL(1) because

$$\text{LOOKAHEAD}(S \rightarrow aS) = \text{LOOKAHEAD}(S \rightarrow a) = \{a\}$$

$$S \rightarrow aS'$$

$$S' \rightarrow aS'|\epsilon$$

accepts the same language and is LL(1).

Error Recovery

- For each non terminal
 - Construct a set of terminals that the parser can synch.
- If an error occurs looking for A
 - Scan until an element of $\text{SYNCH}(A)$ is found.
- Building SYNCH:
 - $a \in \text{FOLLOW}(A) \rightarrow a \in \text{SYNCH}(A)$
 - Place keywords that start statements in $\text{SYNCH}(A)$
 - Add symbols in $\text{FIRST}(A)$ to $\text{SYNCH}(A)$
- If a terminal can't be matched to top of stack:

- Pop it
- Print saying it was inserted
- Continue to parse