

# Non computable functions

# 1 Computability and Decidability

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no condition if  $w \notin L$



## 2 Treating Turing machines as data; Goedelisations

For digital natives:

- Turing machines are programs
  - programs = strings = data
- is obvious.

This was not always so

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coding 1 tape Turing machines as strings:

- Let

$$M = (Z, A, \delta, z_0, E)$$

be a 1 tape TM. W.l.o.g

$$Z = \{z_0, \dots, z_r\}$$

$$A = \{a_0, \dots, a_s\}$$

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- now code

$$\delta(z_i, a_j) = (z_k, a_\ell, m)$$

as

$$\#bin(i)\#bin(j)\#bin(k)\#bin(\ell)\#m'\#$$

with

$$m' = \begin{cases} 00 & m = L \\ 01 & m = N \\ 10 & m = R \end{cases}$$

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**inverse mapping** Let  $u \in \mathbb{B}^*$ . We define Turing machine  $M_u$  (the TM coded by  $u$ ) as

- the machine  $M$  with  $\text{code}(M) = u$  if  $u$  is code of a machine
- $M_0$  otherwise;  $M_0$  ignores the input and halts ( $E = \{z_0\}$ ).

### 3 Halting problem

**def: halting problem**

$$H = \{u\#v : u, v \in \mathbb{B}^*, M_u \text{ started with } v \text{ halts}\}$$

**def: special halting problem**

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- by contradiction. Assume machine  $Q$  computes  $\chi_K$ , i.e.
- $Q$  started with  $u$  halts for all inputs with result

$$f_Q(u) = \begin{cases} 1 & M_u \text{ started with } u \text{ halts} \\ 0 & M_u \text{ started with } u \text{ does not halt} \end{cases}$$



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- modify  $Q$  to machine  $R$  as illustrated in figure 1.

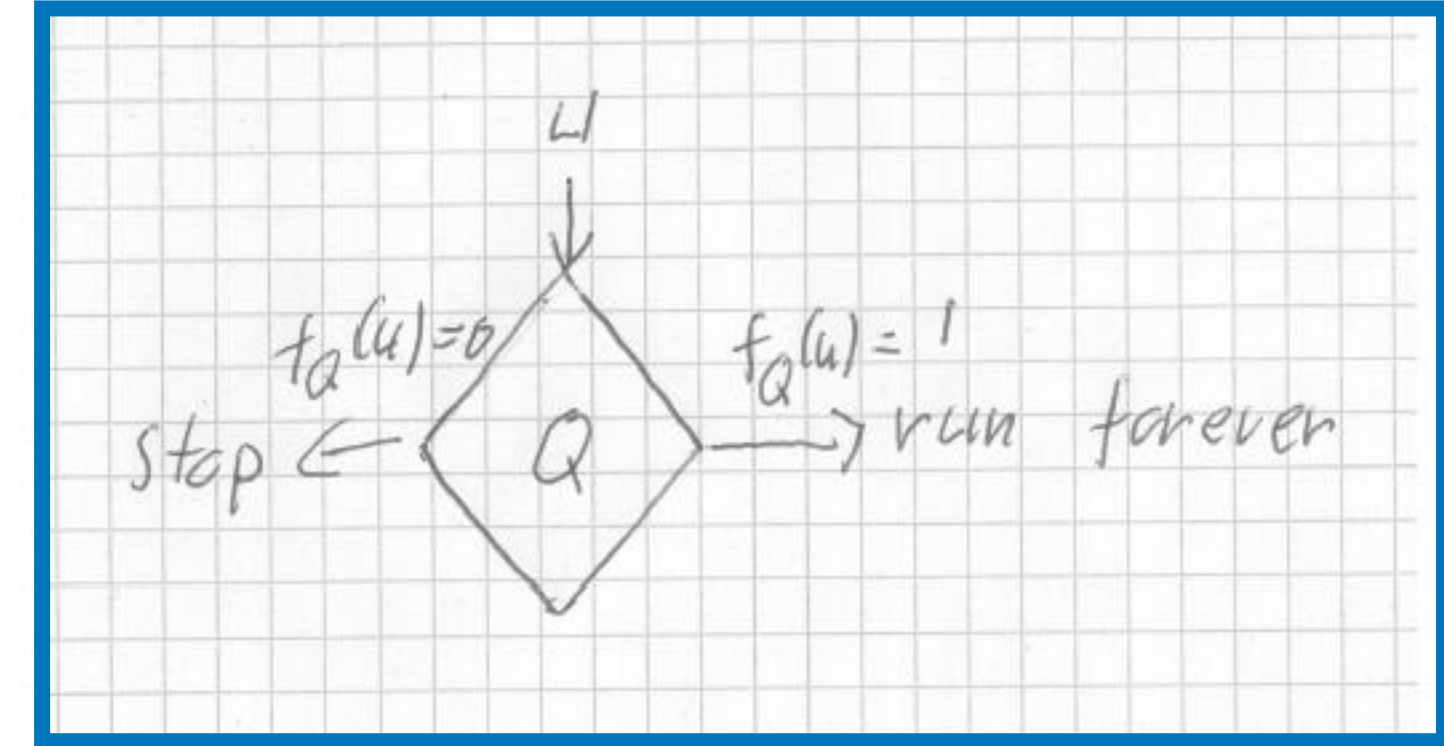


Figure 1: construction of machine  $R$  from machine  $Q$

With input  $u$

run  $Q$  with input  $u$  with result  $f_Q(u)$ . If  $f_Q(u) = 0$ : stop. If  $f_Q(u) = 1$ : run forever.

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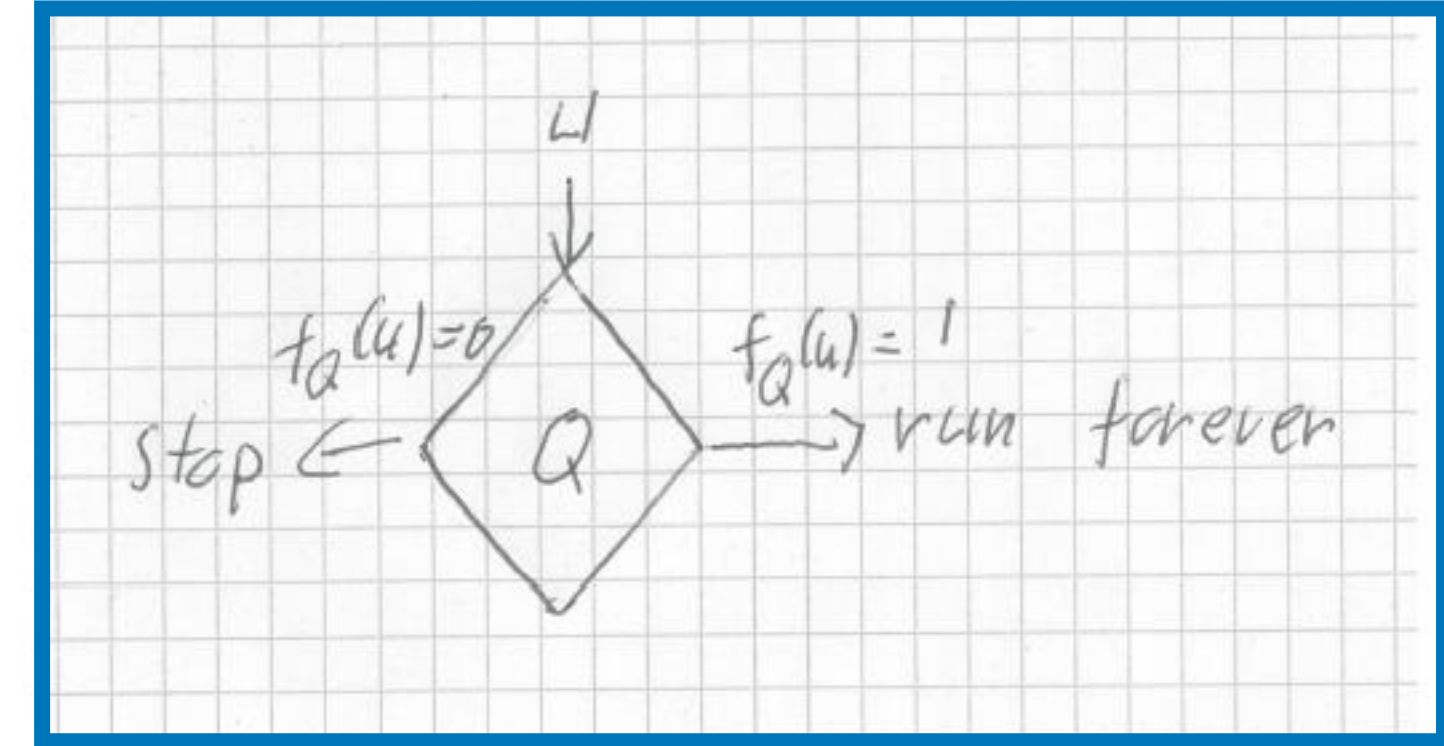


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- Let  $r = \text{code}(R)$  and consider  $R = M_r$  started with  $r$ . Then

$R$  started with  $r$  halts

$\Leftrightarrow f_Q(r) = 1$  (assumption about  $Q$ )

$\Leftrightarrow R$  started with  $r$  does not halt (construction of  $R$ )

## 4 Reducibility

### 4.1 Basics

**def: reducibility** Let

$$L, L' \subseteq A^*$$

be languages.  $L$  is *reducible* to  $L'$  and write

$$L \leq L'$$

iff there is a total computable function

$$f : A^* \rightarrow A^*$$

such that

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**remarks**

- $\leq$  is transitive
- we define

$$L \equiv L' \leftrightarrow L \leq L' \wedge L' \leq L$$

- $\equiv$  is equivalence relation
- classes of equally undecidable problems (studied recursion theory; IMHO moderately exciting)

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**Lemma 4.**  $K \leq K_0$ , i.e.  $K_0$  is undecidable.

- $f$  will transform goedelisations  $u$  into goedelisations  $f(u)$
- for  $u \in A^*$  machine  $M_{f(u)}$  writes  $u$  on the empty tape and then behaves like  $M_u$ .

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**Lemma 5.**  $K_0 \leq C$ , i.e.  $C$  is undecidable.

machine  $M_{f(u)}$  started with input  $x$

- erases  $x$
- behaves like  $M_u$  (started with empty tape)
- if it halts: output 1.

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**Lemma 6.** *Partition the set of computable functions*

$$R = \{f : \{0, 1, \#\}^* \rightarrow \{0, 1, \#\}^* \mid f \text{ is computable}\}$$

*into*

$$R = R_1 \dot{\cup} R_2$$

*in a nontrivial way*

$$R_1 \neq \emptyset, R_2 \neq \emptyset$$

*Then the set*

$$R' = \{u : f_{M_u} \in R_1\}$$

*is not decidable*

show  $K_0 \leq R'$  by program transformation  $f$  s.t,

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- let  $\Omega$  be the function, which is everywhere undefined. W.l.o.g  $\Omega \in R_2$ .
- let  $M'$  a TM computing a function in  $R_1$  (exists, as  $R_1 \neq \emptyset$ )
- definition of transformed machine  $M_{f(u)}$  started with input  $x$ 
  1. ignore but save input  $x$  (e.g. save on extra track)
  2. behave as  $M_u$  started with empty tape
  3. if  $M_u$  halts: behave like  $M'$  started with  $x$
- then the function computed by  $M_{f(u)}$  is

$$f_{M_{f(u)}} = \begin{cases} \Omega & u \notin K_0 \\ f_{M'} & u \in K_0 \end{cases}$$

hence

$$f(u) \in R' \leftrightarrow u \in K_0$$

## 5 Universal Turing machines

**Lemma 7.** *There exists a (universal) Turing machine  $U$  such that for all  $u, v$  machine  $U$  started with  $u\#v$  simulates  $M_u$  started with  $v$*

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- being able to write in language L
- an interpreter for programs in L

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Very quick proof: write in C a TM interpreter  $U'$ . With input  $u\#v$

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- simulate  $U'$  by 1 tape TM.

In the future we will construct  $U$  directly as a TM.

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**Lemma 8.** *A language  $L$  is decidable iff there exist acceptors for  $L$  and  $\bar{L}$*

- $\rightarrow$ : trivial (why?)
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  1. acceptor  $A_1$  for  $L$  started with  $w$
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- if  $A_1$  halts first output 1, if  $A_2$  halts first output 0.

For digital natives

- being able to write in language L
- an interpreter for programs in L

is not so surprising. This was not always so

## 5 Universal Turing machines

**Lemma 7.** *There exists a (universal) Turing machine  $U$  such that for all  $u, v$  machine  $U$  started with  $u\#v$  simulates  $M_u$  started with  $v$*

**Lemma 9.**  *$\overline{H}$  has no acceptor*

*Proof.* universal machine  $U$  is acceptor for  $H$ ; if  $\overline{H}$  would have an acceptor, then  $H$  would be decidable.  $\square$

Very quick proof: write in C a TM interpreter  $U'$ . With input  $u\#v$

- decode  $u$  to TM  $M$
- simulate  $M$  started with  $v$
- simulate  $U'$  by 1 tape TM.

In the future we will construct  $U$  directly as a TM.

**observe:**  $U$  is acceptor for the halting problem  $H$ .

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**def: recursively enumerable language** Language  $L \subseteq A^*$  is recursively enumerable (r.e.) if there is a TM  $M$  s.t.  $M$  started with empty tape outputs (say on an output tape) exactly the words in  $L$  in some order.

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**Lemma 11.** Let  $L$  be a type-0 language. Then  $L$  has an acceptor.

- let  $L = L(G)$  be generated by type-0 grammar  $G$ .
- on input  $w$  enumerate all derivations of  $G$ , say by increasing length and for each length in lexicographic order. If any such derivation produces  $w$  accept.

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$$(B, B)^u z_0 (w_1, w_1) \dots (w_n, w_n) (B, B)^v$$

i.e. copies of  $w$  on both tracks, surrounded by enough  $B$ 's on both tracks.

Productions for this

1.  $S \rightarrow (B, B)S \mid z_0 A_1$
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**Lemma 13.** *The type-0 languages are exactly the r.e. languages.*

**Lemma 14.** *There are undecidable type-0 languages. why?*

## 7 The recursion theorem (Kleene 1938)

**question:** is there a TM  $M_u$ , such that  $M_u$  started on empty tape prints its own goedelisation  $u$ .

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**proof (magic):** construct total computable program transformation  $\tilde{g}$  by

$$\varphi_{\tilde{g}(u)}(x) = \begin{cases} \varphi_{\varphi_u(u)}(x) & \varphi_u(u) \text{ defined} \\ \Omega & \text{otherwise} \end{cases}$$

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Then

$$h \circ \tilde{g} = \varphi_v$$

is a total computable function with

$$\begin{aligned} \varphi_{\tilde{g}(v)} &= \varphi_{\varphi_v(v)} && \text{(definition of } \tilde{g}) \\ &= \varphi_{h \circ \tilde{g}(v)} && \text{(definition of } \varphi_v) \\ &= \varphi_{h(\tilde{g}(v))} \end{aligned}$$

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the function computed by TM  $M_u$ .

**Lemma 15.** *Let  $h : \mathbb{B}^* \rightarrow \mathbb{B}^*$  be any total computable function (a program transformation). Then there is  $u \in \mathbb{B}^*$  such that*

$$f_{M_{h(u)}} = f_{M_u}$$

*resp.*

$$\varphi_{h(u)} = \varphi_u$$

*i.e. the (TM's with the) original program  $u$  and the transformed program  $h(u)$  compute the same function.*

**proof (magic):** construct total computable program transformation  $\tilde{g}$  by

$$\varphi_{\tilde{g}(u)}(x) = \begin{cases} \varphi_{\varphi_u(u)}(x) & \varphi_u(u) \text{ defined} \\ \Omega & \text{otherwise} \end{cases}$$

program for computation of  $\tilde{g}(u)$

- decode transition function of  $M_u$  from  $u$ .
- create  $code(M)$  of the following machine  $M$ 
  1. save input  $x$  on free track
  2. run  $M_u$  with input  $u$
  3. if this halts with result  $e \in \mathbb{B}^*$  run universal TM  $U$  with input  $e\#x$ , i.e. simulate  $M_e$  on input  $x$ .
  4. if this terminates, output result of the simulation.

Then

$$h \circ \tilde{g} = \varphi_v$$

is a total computable function with

$$\begin{aligned} \varphi_{\tilde{g}(v)} &= \varphi_{\varphi_v(v)} && \text{(definition of } \tilde{g}) \\ &= \varphi_{h \circ \tilde{g}(v)} && \text{(definition of } \varphi_v) \\ &= \varphi_{h(\tilde{g}(v))} \end{aligned}$$

$$u = \tilde{g}(v) \quad \rightarrow \quad \varphi_u = \varphi_{h(u)}$$

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**Lemma 16.** *There is a TM  $M_u$  such that  $M_u$  started with empty tape prints  $u$*

consider program transformation  $h$ . With input  $u$

- print goedelisation  $code(D_u)$  of the following machine:
- $D_u$  started with empty tape prints  $u$



**notation** For  $u \in \mathbb{B}^*$  we denote by

$$\varphi_u = f_{M_u}$$

the function computed by TM  $M_u$ .

**Lemma 15.** *Let  $h : \mathbb{B}^* \rightarrow \mathbb{B}^*$  be any total computable function (a program transformation). Then there is  $u \in \mathbb{B}^*$  such that*

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- print goedelisation  $code(D_u)$  of the following machine:
- $D_u$  started with empty tape prints  $u$

By lemma 15 there is  $u$  such that

$$\begin{aligned}\varphi_u(\varepsilon) &= \varphi_{h(u)}(\varepsilon) \\ &= \text{result of } D_u \text{ started with empty tape} \\ &= u\end{aligned}$$