Proof Systems

1 Proof systems

def: proof system

$$S = (\Sigma, L, A, R)$$

- Σ: alphabet
- $L \subset A^*$ language, decidable
- $A \subset L$ axioms, decidable
- R decidable set of proof rules of the form

$$\frac{w_1, \dots, w_i}{v}$$
 with $w_1, \dots, w_i, v \in L$

intention: if w_1, \ldots, w_i are proven it is allowed to conclude v. Example:

$$\frac{A, A \rightarrow B}{B}$$

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def:proof sequence

$$p = (w_0 \# \dots \# w_t) \quad (\text{with } \# \notin \Sigma)$$

such that for all i

- $w_i \in A$ or
- $\exists j_1,\ldots,j_n < i$. $\frac{w_{j_1,\ldots,w_{j_n}}}{w_i} \in R$

We say: w is provable/can be derived in S and write

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Lemma 1. • the set of proofs in S

 $\{p: p \text{ is proof in } S\}$

is decidable

why?

• the set of provable strings

$$\{w: S \vdash w\}$$

is recursively enumerable.

Proof. enumerate $(\Sigma \cup \{\#\})^*$. For each enumerated string $w_0\# \dots \# w_t$ test if it is a proof; if yes output w_t .

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The classical proof system Z_E : elementary number theory

2.1 Syntax

$$\Sigma_E = \{0, 1, \nu, c, (,), +, \cdot, =, \land, \lor, \sim, \rightarrow, \exists, \forall\}$$

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 $\mathbf{def:}\ \mathbf{set}\ \mathbf{of}\ \mathbf{variables}\ V$

$$V = \{xw : w \in \mathbb{B}^*\}$$

def: set of constant symbols C

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- variables: can be *free* or *bound by quantifiers* (see below)
- constant symbols:
 - 1. some have special meaning. Here 0 and 1.
 - 2. others serve as 'intermediate variables' in proofs. Example: 'Let c, c' be arbitrary but in the sequel fixed integers...' In this way one might prove

$$c + c' = c' + c$$

which has no free varaiables, indeed it has no variables at all. But when we are done we hopefully are able to infer

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3. warning: in the literature you read: if we have shown

$$\exists x \, A(x)$$

then we should be able to introduce a new constant symbol c as name for an element satisfying A

this is an extension of what we treat here. It is not stright forward

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def: set of terms T

- 1. $C \subset T$
- 2. $V \subset T$
- 3. if $a, b \in T$ then also

$$(a+b)$$
 , $(a \cdot b)$

4. these are all

example:

$$((v10+1)\cdot c11) \in T$$

def: set of arithmetic predicates P

1. for terms a, b:

$$(a = b) \in P$$

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$$(\sim A), (A \land B), (A \lor B), (A \to B)$$

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$$L_E = \{ p \in P : p \text{ is statement} \}$$

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Lemma 2. L_E is decidable

Proof. just a syntax check.

model basis for defining the meaning of statements. Specifies

- the base set U, from which elements are drawn. Here usually $U = \mathbb{N}_0$
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The standard model of Z_E :

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there are others promise: you will see a nonstandard number

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- overloaded notation. + and \cdot on right side are interpretation of function symbols from model. The stuff we learned at elementary school (or I2CA).
- speaking of all valuations φ means: ranging over all possible values for variables *and* constant symbols

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some notation

• For $n \in \mathbb{N}_0$ we define term $\overline{n} \in T$ by: $\overline{0} = 0$ and for n > 0:

$$\overline{n} = ((1+1) + \ldots + 1)$$
 n times

• For predicates $A \in P$ with free variable x and terms $t \in T$ we denote by

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a = b true $\Leftrightarrow \varphi(a) = \varphi(b)$ for all valuations φ

 $\sim A$ true \Leftrightarrow A not true

 $A \wedge B$ true \Leftrightarrow A true and B true

 $A \vee B$ true \Leftrightarrow A true or B true

 $A \to B$ true \Leftrightarrow $(\sim A) \lor B$ true

 $\exists x \, A$ true \Leftrightarrow there is $n \in \mathbb{N}_0$ such that $A_{x:=\overline{n}}$ true

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attention:

- first line gives a kind of implicit universal quantification over constant symbols.
- right hand sides formulated in (hopefully) precise subset of natural language

def:tautology A boolean expression $A(x_1, ..., x_n)$ is a *tautology* if

 $\varphi(A) = 1$ for all valuations $\varphi : \{x_1, \dots, x_n\} \to \mathbb{B}$

relax for a change:

this is just to show you a 'sufficiently powerful' proof system

we will not construct formal proofs (here)

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predicate calculus

• $A(x_1,...,x_n)$ tautology, $P_1,...,P_N$ statements. Obtain $A(P_1,...,P_n)$ by substituting x_i by P_i for all i.

$$A(P_1,\ldots,P_n)$$
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• A, B statements

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quantors A(x) predicate with free variable x, C predicate with no free occurrence of x, c constant symbol.

axiom

$$\forall x \, A(x) \rightarrow A(c)$$

axioms

$$\sim \forall x \, A(x) \quad \leftrightarrow \quad \exists x \sim A(x)$$

$$C \, \lor \, \forall x \, A(x) \quad \leftrightarrow \quad \forall x \, (C \, \lor A(x))$$

$$C \, \land \, \forall x \, A(x) \quad \leftrightarrow \quad \forall x \, (C \, \land A(x))$$

• proof rule

$$\frac{A(c) \to C}{\exists x \, A(x) \to C}$$
??

def:tautology A boolean expression $A(x_1, ..., x_n)$ is a *tautology* if

$$\varphi(A) = 1$$
 for all valuations $\varphi : \{x_1, \dots, x_n\} \to \mathbb{B}$

predicate calculus

• $A(x_1,...,x_n)$ tautology, $P_1,...,P_N$ statements. Obtain $A(P_1,...,P_n)$ by substituting x_i by P_i for all i.

$$A(P_1,\ldots,P_n)$$
 axiom

modus ponens:

• A, B statements

$$\frac{A, A \to B}{B}$$
 proof rule

change of variables

• obtain A' from A by exchanging all occurrences of variable x by variable x'. Then

$$A \leftrightarrow A'$$
 axiom

quantors A(x) predicate with free variable x, C predicate with no free occurrence of x, c constant symbol.

axiom

$$\forall x \, A(x) \rightarrow A(c)$$

axioms

$$\sim \forall x \, A(x) \quad \leftrightarrow \quad \exists x \sim A(x)$$

$$C \, \lor \, \forall x \, A(x) \quad \leftrightarrow \quad \forall x \, (C \, \lor A(x))$$

$$C \, \land \, \forall x \, A(x) \quad \leftrightarrow \quad \forall x \, (C \, \land A(x))$$

• proof rule

$$\frac{A(c) \to C}{\exists x \, A(x) \to C}$$
??

Lemma 3. From a proof of A(c) you can conclude $\forall x A(x)$.

$$\frac{A(c)}{\forall x \, A(x)}$$

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Lemma 3. From a proof of A(c) you can conclude $\forall x A(x)$.

$$\frac{A(c)}{\forall x \, A(x)}$$

set

$$B = \sim A$$

then

$$(\sim B(c) \to 0) \leftrightarrow (0 \lor \sim B) \leftrightarrow A(c)$$

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Lemma 3. From a proof of A(c) you can conclude $\forall x A(x)$.

$$\frac{A(c)}{\forall x \, A(x)}$$

set

$$B = \sim A$$

then

$$(\sim B(c) \to 0) \leftrightarrow (0 \lor \sim B) \leftrightarrow A(c)$$

conclude

$$\exists x B(x) \to 0 \quad \leftrightarrow \quad 0 \lor \sim \exists x B(x)$$

$$\leftrightarrow \quad \forall x \sim B(x)$$

$$\leftrightarrow \quad \forall x A(x)$$

equality: axioms a,b,c terms

•

$$a = a$$

•

$$a = b \rightarrow b = a$$

•

$$a = b \land b = c \rightarrow b = c$$

equivalence relation

equality: axioms a,b,c terms

•

$$a = a$$

•

$$a = b \rightarrow b = a$$

•

$$a = b \land b = c \rightarrow b = c$$

equivalence relation

natural numbers: axioms for all predicates A(x), all terms a, b

induction

$$A(0) \land \forall x (A(x) \rightarrow A(x+1)) \rightarrow A(y)$$

•

$$a+1=b+1 \rightarrow a=b$$

•

$$\sim a + 1 = 0$$

•

$$a = b \rightarrow a + 1 = b + 1$$

Peano axioms

equality: axioms a,b,c terms

•

$$a = a$$

•

$$a = b \rightarrow b = a$$

•

$$a = b \land b = c \rightarrow b = c$$

equivalence relation

natural numbers: axioms for all predicates A(x), all terms a, b

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$$A(0) \land \forall x (A(x) \rightarrow A(x+1)) \rightarrow A(y)$$

•

$$a+1=b+1 \rightarrow a=b$$

•

$$\sim a + 1 = 0$$

•

$$a = b \rightarrow a + 1 = b + 1$$

Peano axioms

arithmetic operations: axioms a, b terms

•

$$a+0=a$$

•

$$a + (b+1) = (a+b)+1$$

•

$$a \cdot 0 = 0$$

•

$$a \cdot (b+1) = a \cdot b + a$$

inductive definitions of + and •

3 A glimpse at model theory

def: consistent set of statements: A set *S* of statements is *consistent* if no statement of the form $A \land \sim A$ can be derived from *S*.

By tedious bookkeeping one can show

Lemma 4. If A is provable, then it is true in every model.

Lemma 5. If a set S of statements has a model, then it is consistent.

• Russel and Whitehead 1910-1913: Tried to develop mathematics very much in the style of the above historical proof system. Hard to read.

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```
*32.241. + . gs'R = sg'R
                                                         [Similar proof]
*32.25. F: A \operatorname{sg} R = A = \operatorname{sg} R \quad [*30.4.*32.22]
*32.251. F: A \text{ gs } R = A = \text{gs'} R \quad [*30.4.*32.221]
               + \cdot \{sg'(R \land S)\}'y = \overrightarrow{R'y} \cap \overrightarrow{S'y}
      Note that we do not have
                                            \operatorname{sg}'(R \dot{\land} S) = \operatorname{sg}'R \dot{\land} \operatorname{sg}'S.
      Dem.
             + .*32.23.13.  ) + . {sg'(R \land S)}'y = \hat{x} {x(R \land S)}y
                                                                    =\hat{x}(xRy \cdot xSy)
             [*23.33]
                                                                    =\hat{x}(xRy) \cap \hat{x}(xSy)
             *22.39
                                                                    =\overrightarrow{R}'y \cap \overrightarrow{S}'y \cdot \supset \vdash \cdot \text{Prop}
             [*32·13]
*32.31. \vdash \cdot \{gs'(R \dot{\cap} S)\}'x = R'x \dot{\cap} S'x
*32.32. \vdash . \{sg'(R \cup S)\}'y = \overrightarrow{R}'y \cup \overrightarrow{S}'y
*32.33. \vdash \cdot \{gs'(R \cup S)\}'x = \overleftarrow{R}'x \cup \overleftarrow{S}'x
*32.34. \vdash . \{sg'(\div R)\}'y = -\overrightarrow{R}'y
*32.35. \vdash . \{gs'(:=R)\}'x = -\overleftarrow{R}'x
     The proofs of the above propositions are similar to that of *32.2.
*32.4. \vdash : E ! R'z : \equiv : \exists ! \overrightarrow{R}'z : x, y \in \overrightarrow{R}'z : D_{x,y} : x = y \quad [*30.21 : *32.18]
*32.41. \vdash :. \to : S'y \to : \overrightarrow{R}'y = \overrightarrow{S}'y \cdot = . R'y = S'y
     Dem.
                      \supset \vdash :: xSy \cdot \equiv_x \cdot x = b : \supset :.
F.*4·86.
                                                          xRy \cdot \equiv_x \cdot xSy : \equiv : xRy \cdot \equiv_x \cdot x = b (1)
\vdash .(1).*5:32. \supset \vdash :.xSy. \equiv_x .x = b:xRy. \equiv_x .xSy: \equiv :
                                                              xSy \cdot \equiv_{\mathbf{x}} \cdot x = b : xRy \cdot \equiv_{\mathbf{x}} \cdot x = b (2)
F.(2).*10·11·281.*32·18·181. >
\vdash :. (\exists b): xSy. \equiv_x . x = b: \overrightarrow{R}'y = \overrightarrow{S}'y: \equiv : (\exists b): xSy. \equiv_x . x = b: xRy. \equiv_x . x = b:
                                                           \equiv : (\exists b) : xSy . \equiv_x . x = b : R^{\epsilon}y = b :
[*30.3.*14.13]
[*14·101]
                                                                                                                     (3)
```

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page 200 of 'Principia Mathematica'

- around 2000: reasonably comfortable computer aided verification (CAV) sysytems: PVS, Isabelle/Hol, Coq.
- interest to guarantee correctness of (portions of) computer system; much increased by 'Pentium Bug'.
- 2003-2007: proofs of our textbook 'system architecture' (and many others) formally verified in Isabelle/Hol

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              \vdash . \{ \operatorname{sg'}(R \cap S) \} 'y = \overrightarrow{R} 'y \cap \overrightarrow{S} 'y
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                                             \operatorname{sg}'(R \dot{\land} S) = \operatorname{sg}'R \dot{\land} \operatorname{sg}'S.
      Dem.
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                      \supset \vdash :: xSy \cdot \equiv_x \cdot x = b : \supset :.
F.*4·86.
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                                                           \equiv : (\exists b) : xSy . \equiv_x . x = b : R^c y = b :
[*30.3.*14.13]
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precursors of proofs in 2016 textbook

results in book are 'better' but errors have been reintroduced

*32·241.
$$\vdash . gs' R = sg' R$$
 [Similar proof]
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*32·3. $\vdash . \{sg' (R \cap S)\}^i y = R^i y \cap S^i y$
Note that we do not have

$$sg' (R \cap S) = sg' R \cap sg' S.$$

$$Dem.$$

$$\vdash . *32·23·13 . \supset \vdash . \{sg' (R \cap S)\}^i y = \hat{x} \{x(R \cap S) y\}$$
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$$= \hat{x} (xRy . xSy)$$
[*22·39]
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$$= \hat{x} (xRy) \cap \hat{x} (xSy)$$
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*32·32. $\vdash . \{sg' (R \cup S)\}^i x = R^i x \cap S^i x$
*32·32. $\vdash . \{sg' (R \cup S)\}^i x = R^i x \cup S^i x$
*32·33. $\vdash . \{gs' (x \cup S)\}^i x = R^i x \cup S^i x$
*32·34. $\vdash . \{gs' (-R)\}^i x = -R^i x$
The proofs of the above propositions are similar to that of *32·2.

*32·4. $\vdash . E ! R^i z . \equiv : \underbrace{1}_{i} R^i z : x, y \in R^i z . \underbrace{1}_{x,y} x . x = y$
*32·34. $\vdash . E ! R^i z . \equiv : \underbrace{1}_{x,y} R^i z . \underbrace{1}_{x,y} x . x = y$
*32·35. $\vdash . \underbrace{1}_{x,y} R^i x . x = x . x = y$
*32·36. $\vdash . \underbrace{1}_{x,y} R^i x . x = x . x = y$
*32·37. $\vdash . \underbrace{1}_{x,y} R^i x . x = x . x = y$
*32·48. $\vdash . E ! R^i z . \equiv : \underbrace{1}_{x,y} R^i z . x . x = y$
*32·49. $\vdash . E ! R^i x . \equiv : \underbrace{1}_{x,y} R^i x . x = y$
*32·41. $\vdash . E ! R^i x . \equiv : \underbrace{1}_{x,y} R^i y . \equiv x . x = y$
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*32·43. $\vdash . \underbrace{1}_{x,y} R^i y . \equiv x . x = y$
*32·44. $\vdash . E ! R^i z . \equiv : \underbrace{1}_{x,y} R^i y . \equiv x . x = y$
*32·45. $\vdash . \underbrace{1}_{x,y} R^i y . \equiv x . x = y$
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