

# **context free languages and pushdown automata**

**on the abstract side but...wait!**

# 1 The empty word revisited

**def: cfg, more comfortable**

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$$P \subset N \times (N \cup T)^*$$

i.e.

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you have almost seen this

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- eliminate right hand sides with more than 2 symbols: for each production

$$P: \quad n \rightarrow a_1 \dots a_s \quad s \geq 2$$

introduce a new non terminal  $x$  and replace  $p$  by

$$p \rightarrow a_1 \dots a_{s-2}x, \quad x \rightarrow a_{s-1}a_s$$

Repeat until all right hand sides have length 2 or 1.



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$$N \setminus \{S'\} = \{n_1, \dots, n_k\}$$

for  $i = 1$  to  $k$ :

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if  $n_i \rightarrow \varepsilon$  : i) drop this rule; ii) for each rule with  $n_i$  on right side add a rule where each occurrence of  $n_i$  is dropped.

$$n \rightarrow xn_i \text{ or } n \rightarrow n_ix : \quad \text{add} \quad n \rightarrow x$$

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**Lemma 2.** *Let  $G_i$  be the grammar after pass  $i$  of the loop. Then  $L(G) = L(G_i)$ .*

induction on  $i$ .  $i = 0$  :  $G = G_0$ , trivial.

$i - 1 \rightarrow i$ . not completely trivial!

- Let  $w \in L(G)$ . By induction hypothesis there is a derivation tree for  $w$  in  $G_{i-1}$ . It uses no productions  $n_k \rightarrow \varepsilon$  for  $k < i$ . Uses of productions  $n_i \rightarrow \varepsilon$  are replaced by  $G_i$ , thus  $w \in L(G_i)$ .
- Let  $w \in L(G_i)$ . Replace in a derivation tree for  $w$  in  $G_i$  each use of a new production by its original in  $G_{i-1}$  and  $n_i \rightarrow \varepsilon$ . Thus  $w \in L(G_{i-1}) = L(G)$  by induction hypothesis.

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$$A \rightarrow bC, \quad a \rightarrow Bc, \quad A \rightarrow bc$$

exercise



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## 2 Deciding the 'word problem' $w \in L$ for context free languages:

**Lemma 3.** For each cf language  $L$  there is an algorithm which decides  $w \in L$  in time  $O(n^3)$  for  $n = |w|$ .

*Proof.* dynamic programming; Younger's algorithm from I2EA

□

**def: nondeterministic pushdown automaton (npda)**

$$M = (Z, \Sigma, \Gamma, \delta, z_0, Z_A)$$

- $Z$  finite set of states
- $\Sigma$  finite input alphabet.

$$\Sigma_\epsilon = \Sigma \cup \{\epsilon\}$$

$\epsilon$  ignores current input symbol.

- $\Gamma$  finite pushdown/stack alphabet

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Pushdown actions:

$$PA = \{pop\} \cup \{push \gamma : \gamma \in \Gamma_\epsilon\}$$

$push(\epsilon)$ : no pop on stack

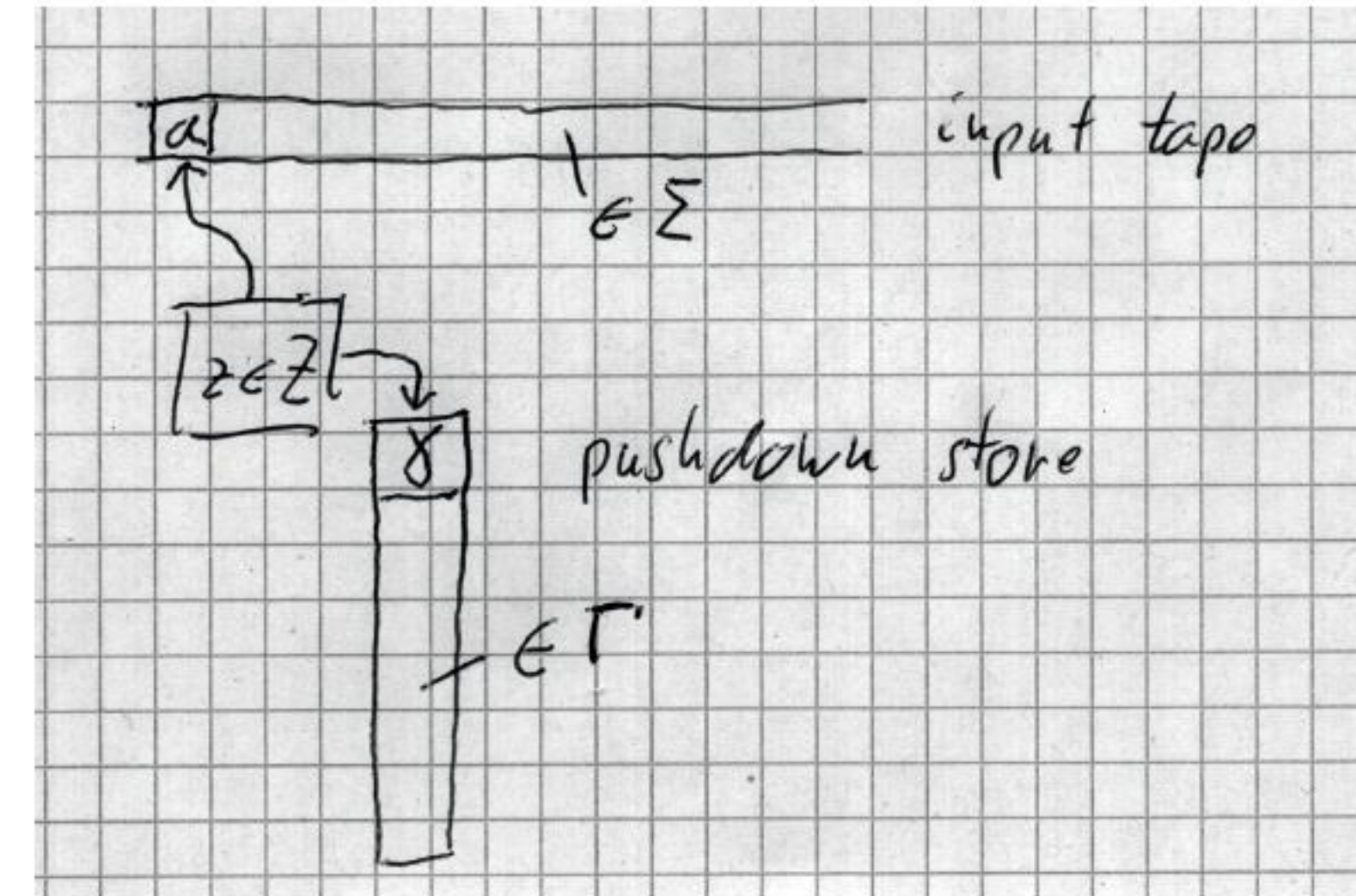
$\epsilon$  ignores current top symbol of stack.

- nondeterministic transition function

$$\delta : Z \times \Sigma_\epsilon \times \Gamma_\epsilon \rightarrow 2^{Z \times PA}$$

1 step:  $(z', pa) \in \delta(z, a, \gamma)$  means: if  $M$  reads input  $a$  and top of stack  $\gamma$ , then it can go to state  $z'$  and perform pushdown action  $pa$ . It moves the input head to the right of  $a$  (if  $a = \epsilon$  the head does not move).

- $z_0 \in Z$  start state
- $Z_A \subseteq Z$  set of accepting states



- no pop ignoring (or from empty) stack:  $(z', pop) \notin \delta(z, a, \epsilon)$



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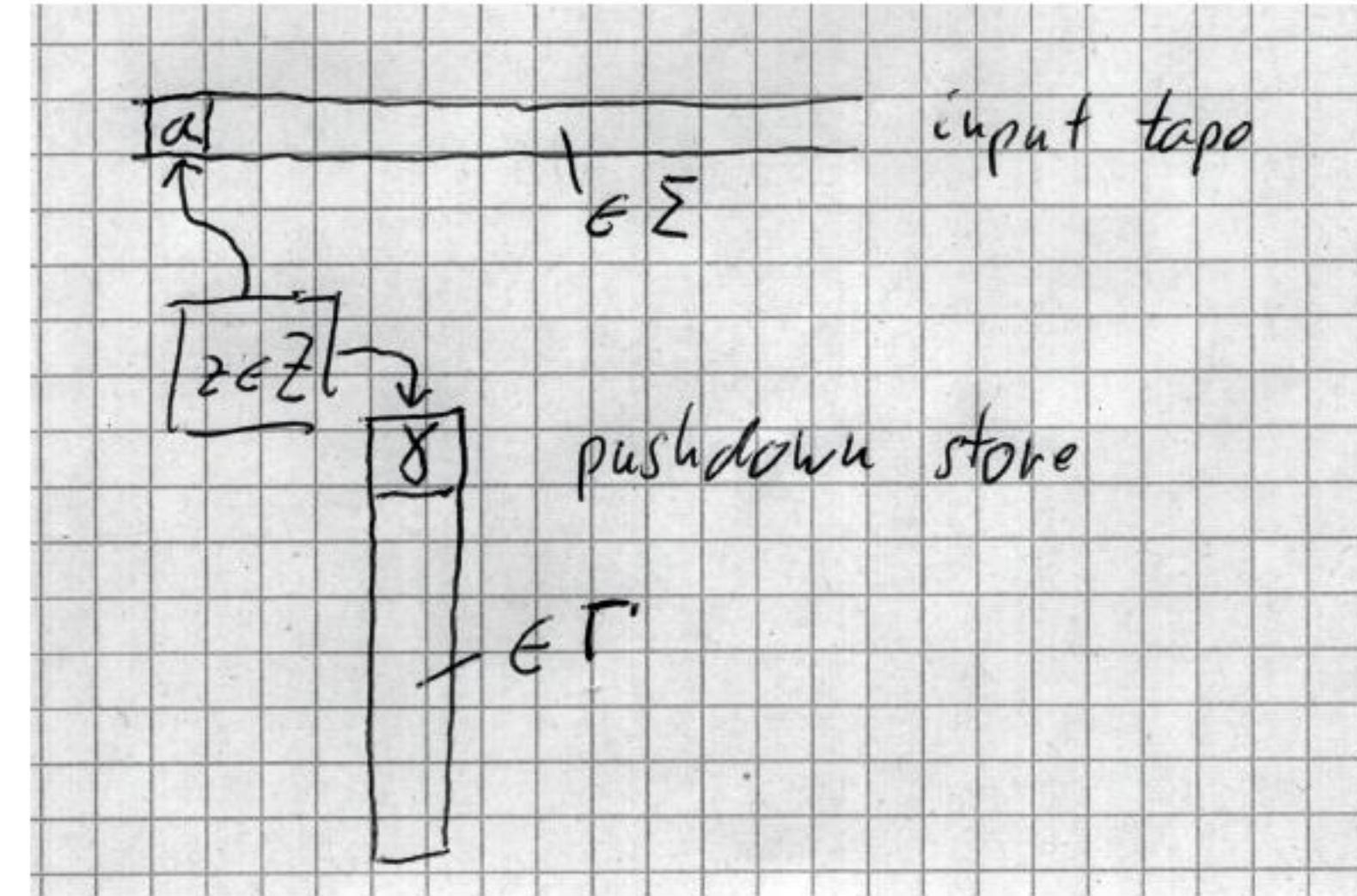
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**def: configurations**

$$k = (z, w, p)$$

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- $w \in \Sigma^*$  remaining input
- $p \in \Gamma^*$  pushdown tape/stack. From top to bottom  $p_1 \dots p_k$

**def: initial configuration** with input  $w$ :

$$k_0 = (z_0, w, \epsilon)$$



**def: possible next/successor configuration**

$$k = (z, w, p) \vdash k' = (z', w', p')$$

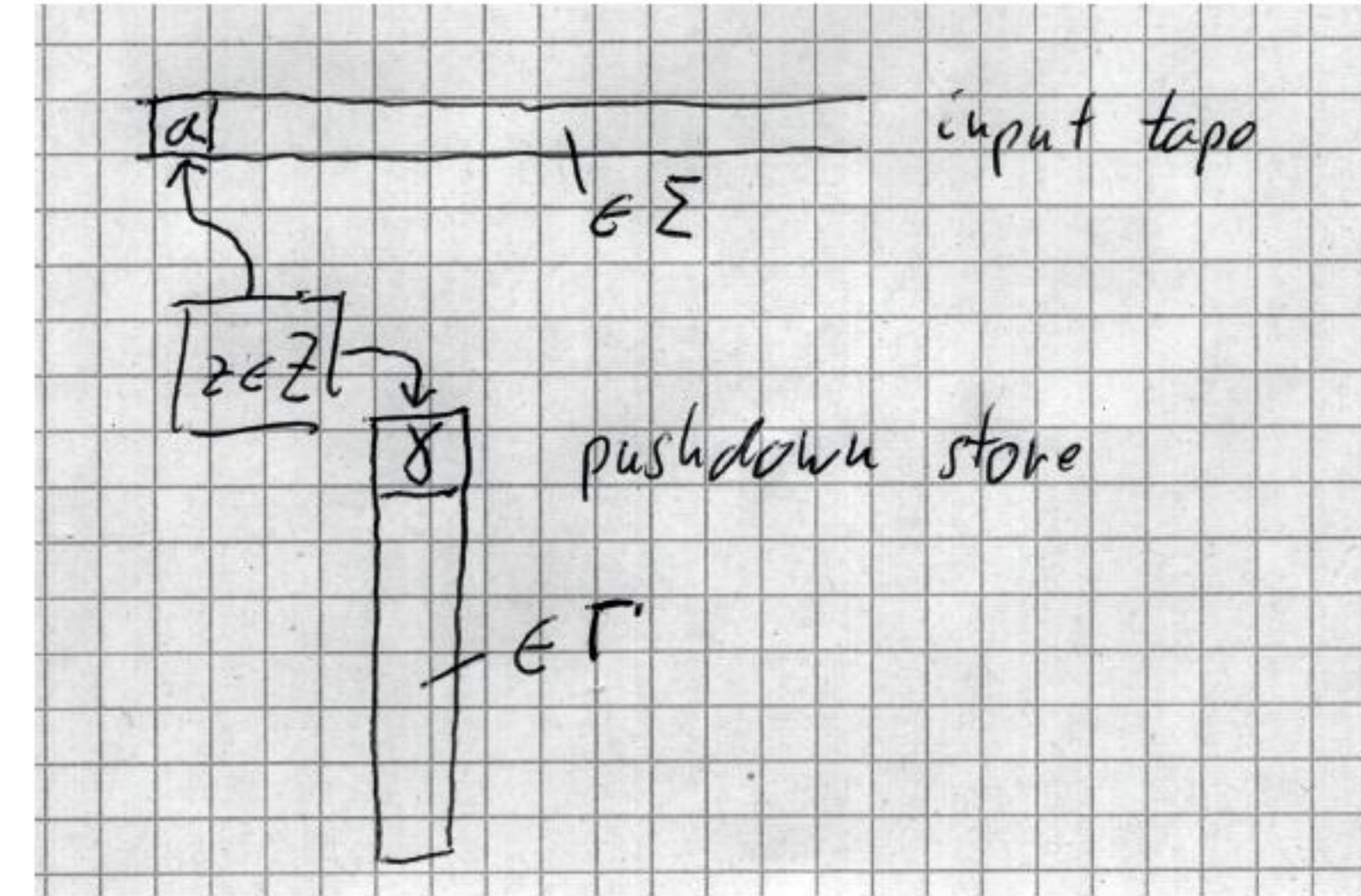
holds if one of the following holds

- input tape not ignored and pop

$$(z', pop) \in \delta(z, w_1, p_1) \cup \delta(z, w_1, \varepsilon), w' = tail(w), p' = tail(p)$$

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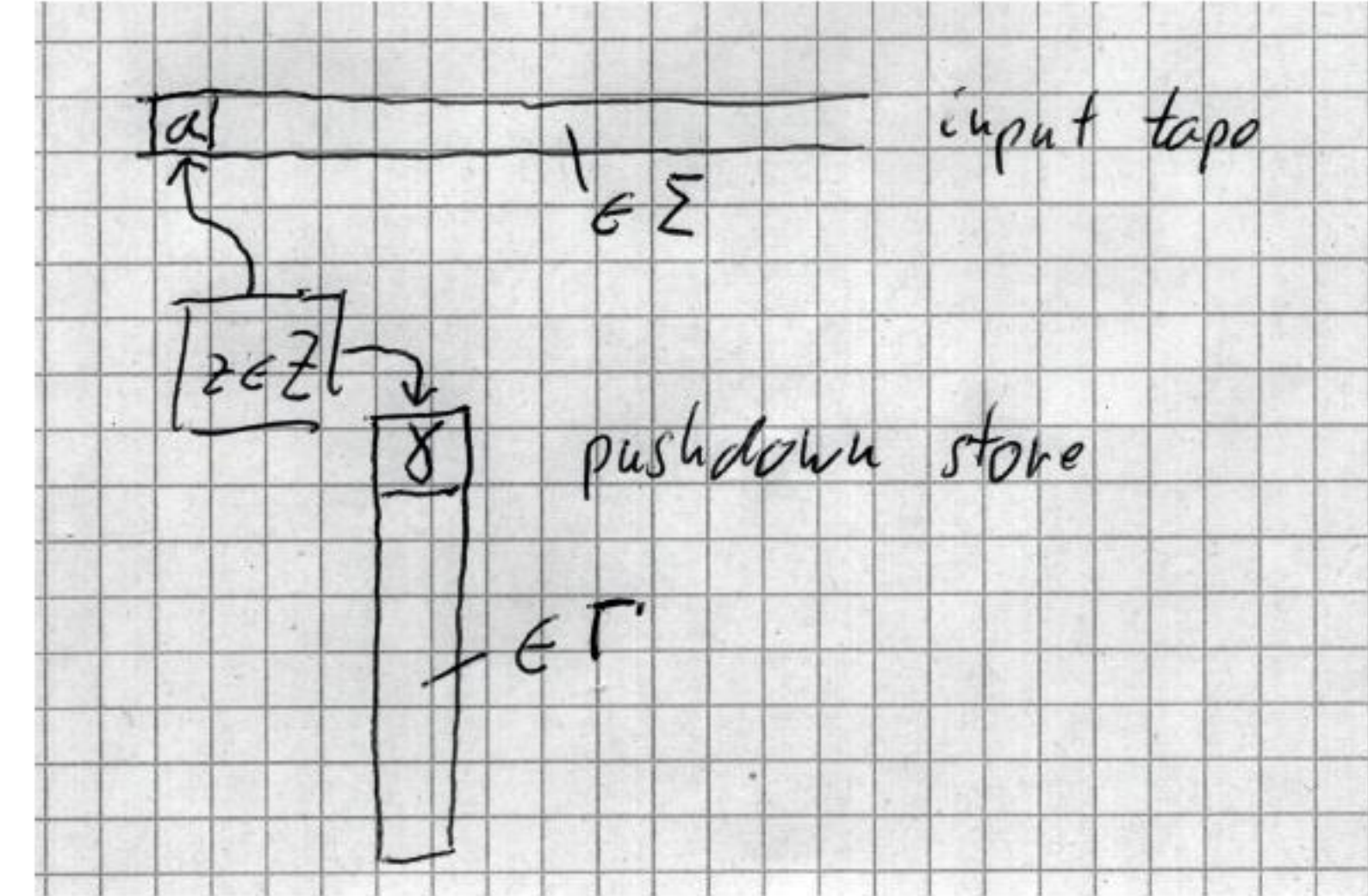
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Pop only possible with  $p \neq \varepsilon$ .



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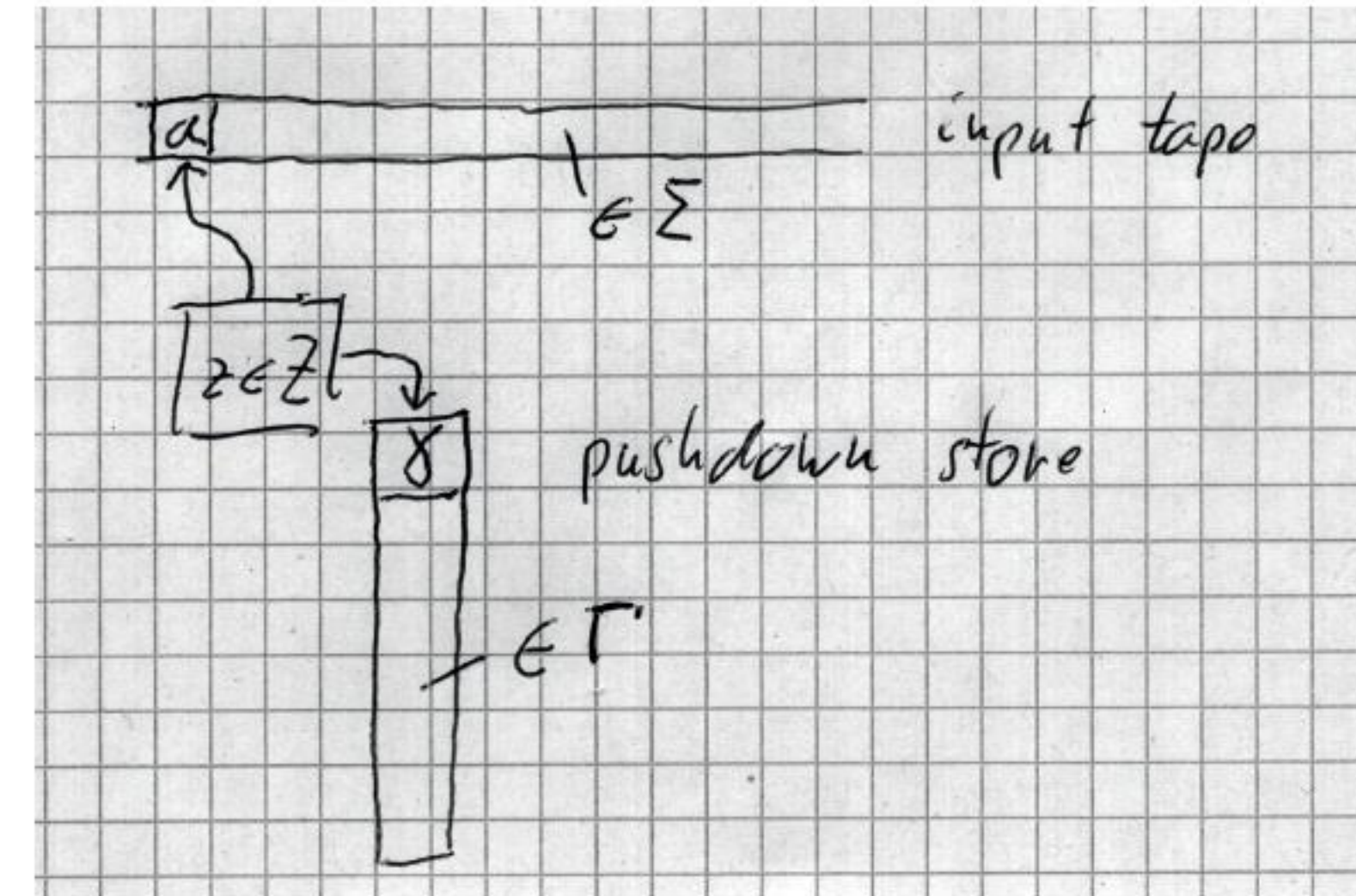
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**def: computation** sequence  $(k_0, \dots, k_m)$  with  $k_i \vdash k_{i+1}$  for  $i < m$ . Accepting if state of  $k_m$  in  $Z_A$  and stack of  $k_m$  empty

$$k_m = (q, \varepsilon, \varepsilon), q \in Z_A$$

Variations: i) accept by empty stack ii) by final state in  $Z_A$ .

**def:  $L(M)$**   $M$  accepts  $w$  if there exists an accepting computation of  $M$  started with  $w$ .

$$L(M) = \{w \in \Sigma^* : M \text{ accepts } w\}$$

### example

$$w \in A^*$$

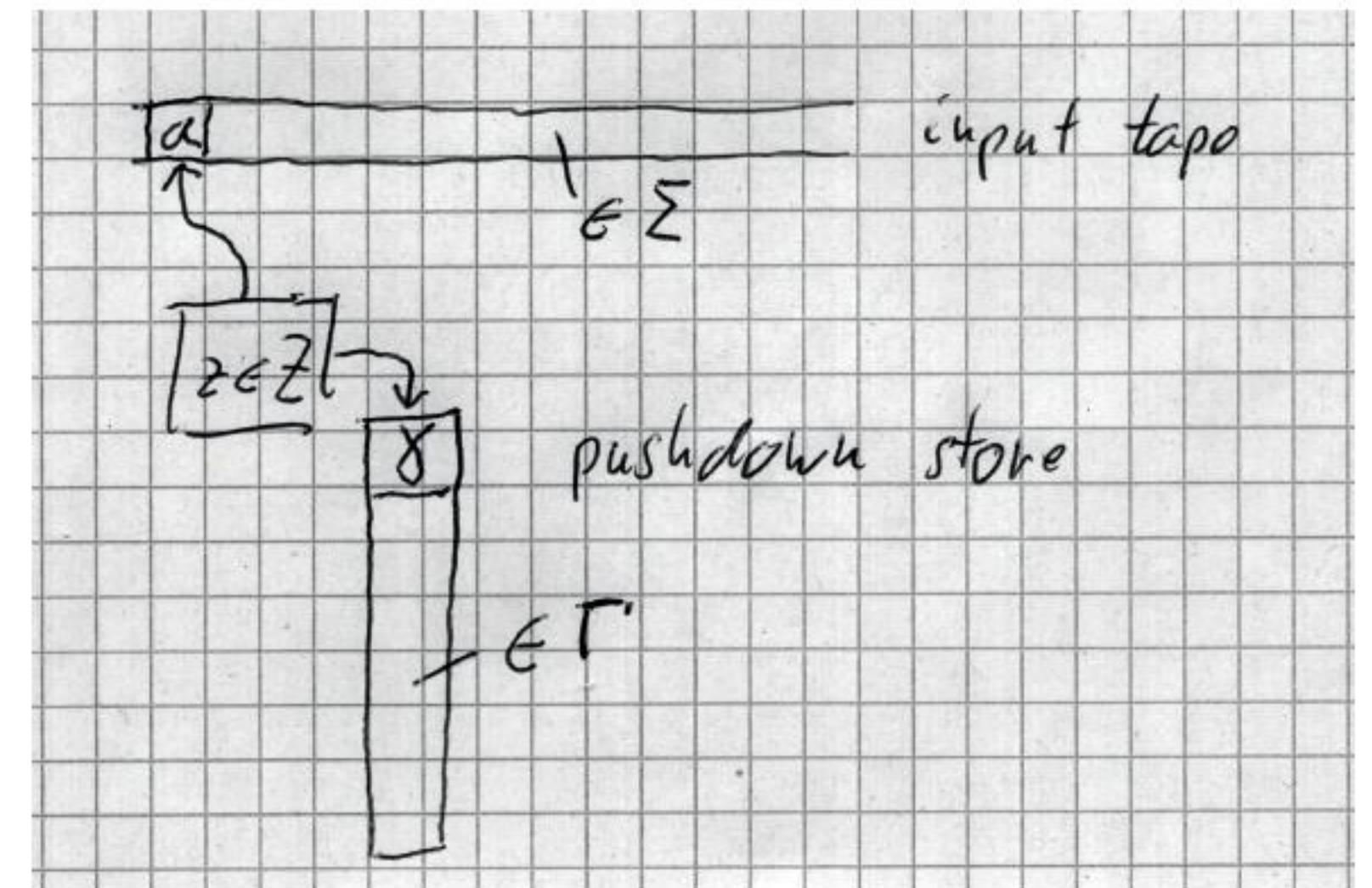
$$w = w_1 \dots w_n$$

$$w^R = w_n \dots w_1$$

$$L = \{ww^R : w \in \mathbb{B}\}$$

$L$  is context free:

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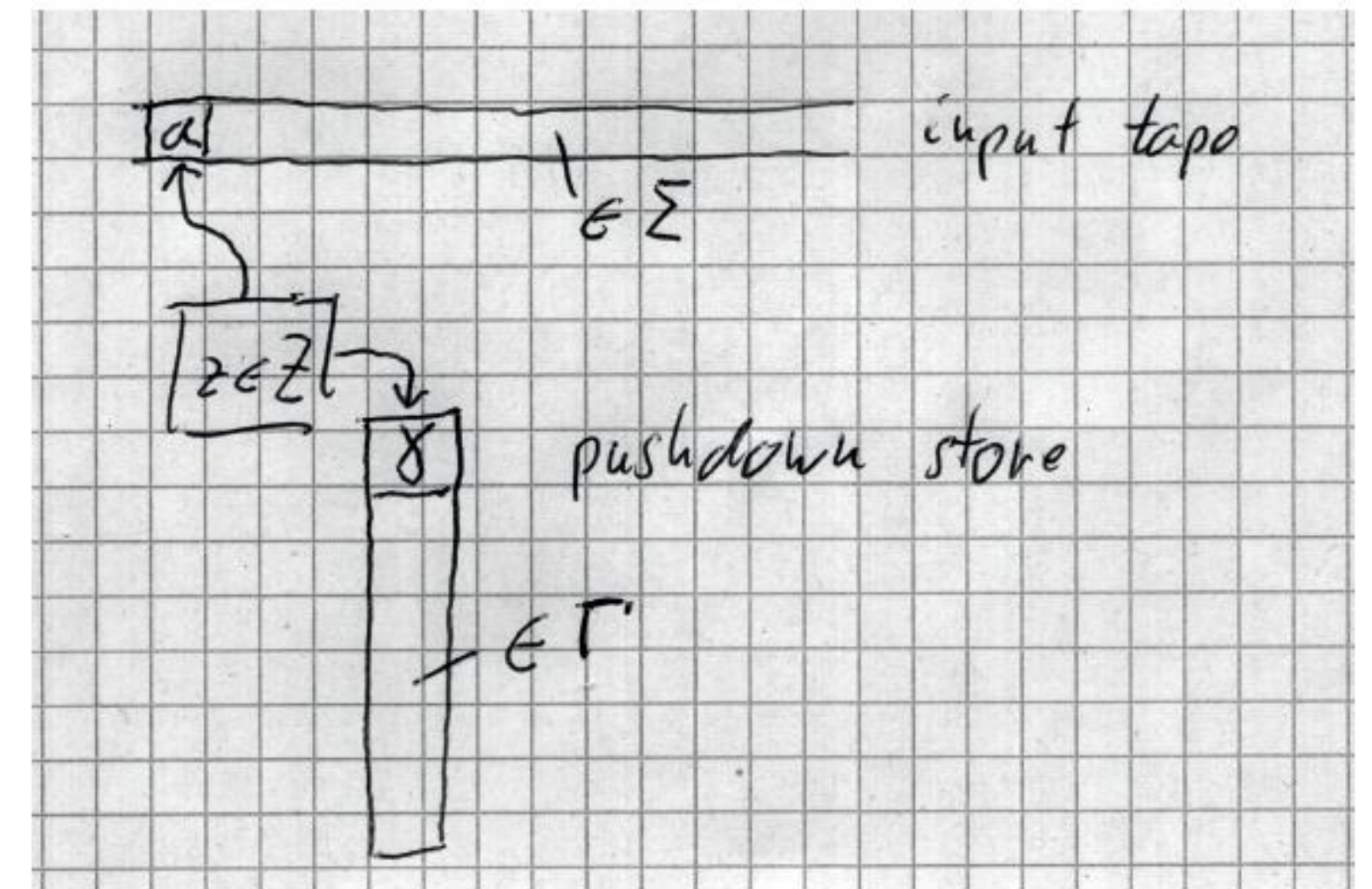
**pushdown automaton for  $L$ :**

$$Z = \{z, z'\} \quad , \quad z_0 = z \quad , \quad Z_A = \{z'\}$$

$$\begin{array}{l} \text{push } w \\ (z, \text{push } 0) \in \delta(z, 0, \varepsilon) \\ (z, \text{push } 1) \in \delta(z, 1, \varepsilon) \end{array}$$

$$\text{guess middle} \quad (z', \text{push } \varepsilon) \in \delta(z, \varepsilon, \varepsilon)$$

$$\begin{array}{l} \text{check matching symbols} \\ (z', \text{pop}) \in \delta(z', 0, 0) \\ (z', \text{pop}) \in \delta(z', 1, 1) \end{array}$$

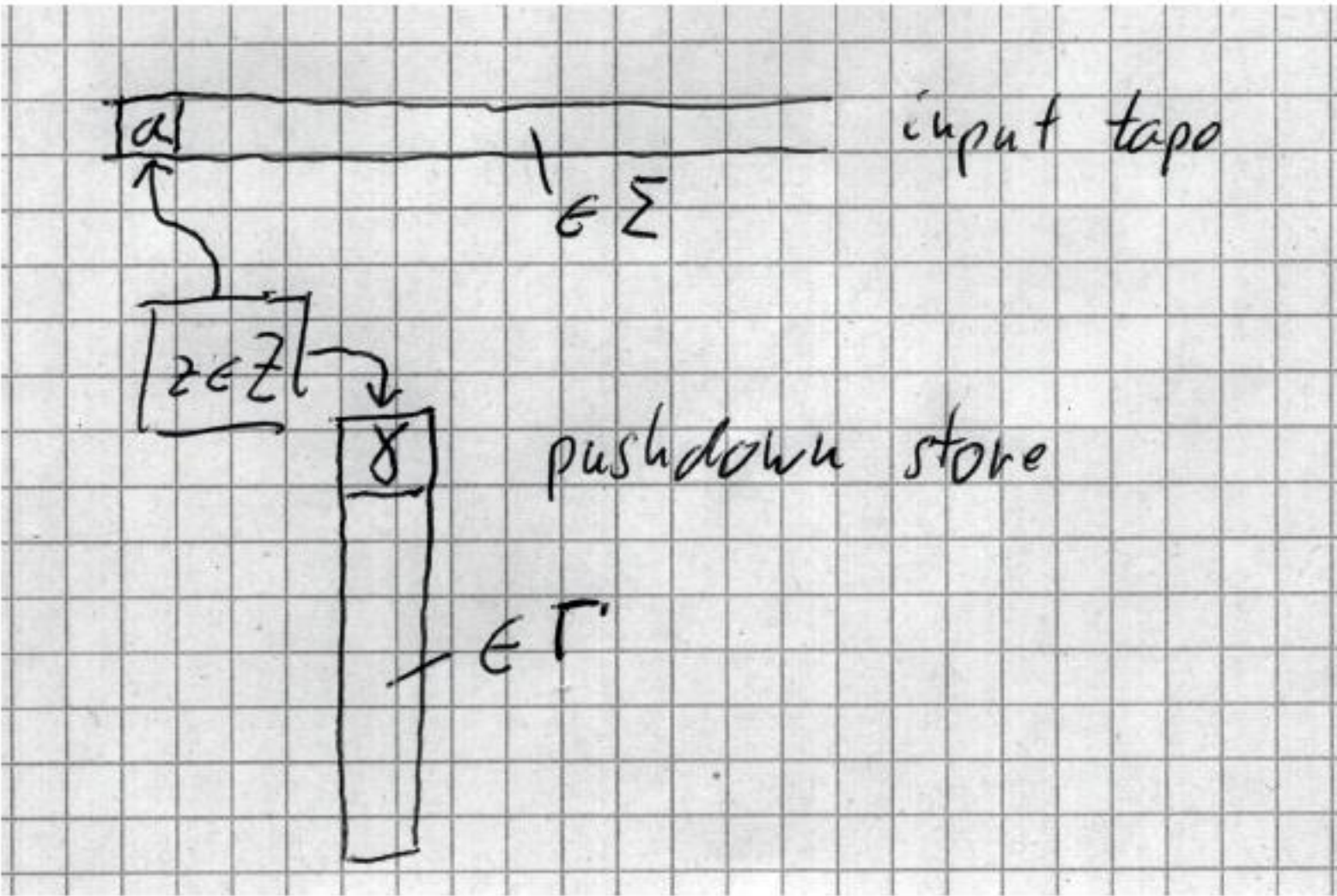


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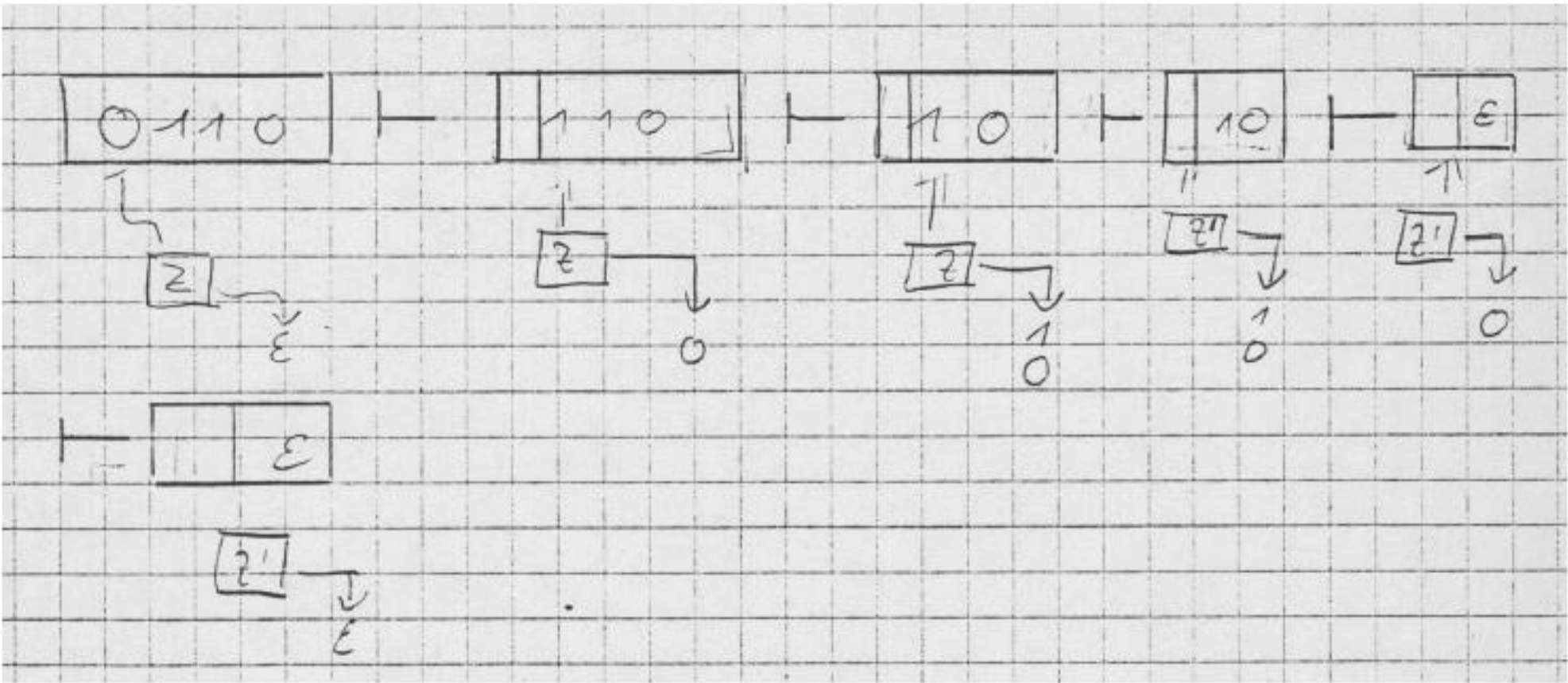


Figure 2: computation of the npda with input  $w = 0110$



## 4 Context free languages are accepted by npda's

**Lemma 5.** *Let  $G = (N, T, P, S)$  be a cf grammar. Then there is an npda  $M = (Z, \Sigma, \Gamma, \delta, z_0, Z_A)$  with*

$$L(M) = L(G)$$

Proof in 3 steps:

1. construction of  $M$  from  $G$
2.  $w \in L(G) \rightarrow w \in L(M)$
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### 4.1 Construction of $M$

$$\Sigma = T, \quad \Gamma = N \cup T$$

Number productions

$$P = \{P_1, \dots, P_n\}$$

production  $i$

$$P_i: \quad n_i = X_{i_1} \dots X_{i_{g(i)}} \quad \text{with } g_i \in \mathbb{N}_0$$

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2. choose a production  $P_i$  matching the top symbol  $n \in N$  on stack

$$\delta(r, \varepsilon, n) = \{(r_i, \text{push } \varepsilon) : n_i = n\}$$

now replace  $n = n_i$  on stack by right hand side  $X_{i_1} \dots X_{i_{g(i)}}$  of production  $P_i$

$$\delta(r_i, \varepsilon, n_i) = \begin{cases} \{(r_{i,g(i)}, \text{pop})\} & g(i) \geq 1 \\ \{(r, \text{pop})\} & g(i) = 0 \quad \text{i.e. } n_i \rightarrow \varepsilon \end{cases}$$

$$\delta(r_{i,j}, \varepsilon, \varepsilon) = \begin{cases} \{(r_{i,j-1}, \text{push } X_{i_j})\} & j \geq 2 \\ \{(r, \text{push } X_{i_1})\} & j = 1 \end{cases}$$

Effect of these transitions:

$$(r, w, n_i \alpha) \vdash^* (r, w, X_{i_1} \dots X_{i_{g(i)}} \alpha)$$



## 4 Context free languages are accepted by npda's

**Lemma 5.** *Let  $G = (N, T, P, S)$  be a cf grammar. Then there is an npda  $M = (Z, \Sigma, \Gamma, \delta, z_0, Z_A)$  with*

$$L(M) = L(G)$$

Proof in 3 steps:

1. construction of  $M$  from  $G$
2.  $w \in L(G) \rightarrow w \in L(M)$
3.  $w \in L(M) \rightarrow w \in L(G)$

### 4.1 Construction of $M$

$$\Sigma = T, \quad \Gamma = N \cup T$$

Number productions

$$P = \{P_1, \dots, P_n\}$$

production  $i$

$$P_i: \quad n_i = X_{i_1} \dots X_{i_{g(i)}} \quad \text{with } g_i \in \mathbb{N}_0$$

use state  $r \in Z$  to guess what production should be applied next

1. initially push start symbol and prepare to guess

$$\delta(z_0, \varepsilon, \varepsilon) = \{(r, \text{push } S)\}$$

2. choose a production  $P_i$  matching the top symbol  $n \in N$  on stack

$$\delta(r, \varepsilon, n) = \{(r_i, \text{push } \varepsilon) : n_i = n\}$$

now replace  $n = n_i$  on stack by right hand side  $X_{i_1} \dots X_{i_{g(i)}}$  of production  $P_i$

$$\begin{aligned} \delta(r_i, \varepsilon, n_i) &= \begin{cases} \{(r_{i,g(i)}, \text{pop})\} & g(i) \geq 1 \\ \{(r, \text{pop})\} & g(i) = 0 \end{cases} \quad \text{i.e. } n_i \rightarrow \varepsilon \\ \delta(r_{i,j}, \varepsilon, \varepsilon) &= \begin{cases} \{(r_{i,j-1}, \text{push } X_{i_j})\} & j \geq 2 \\ \{(r, \text{push } X_{i_1})\} & j = 1 \end{cases} \end{aligned}$$

Effect of these transitions:

$$(r, w, n_i \alpha) \vdash^* (r, w, X_{i_1} \dots X_{i_{g(i)}} \alpha)$$

3. cancel matching terminals  $\in T$

$$\delta(r, t, t) = \{(R, \text{pop})\}$$

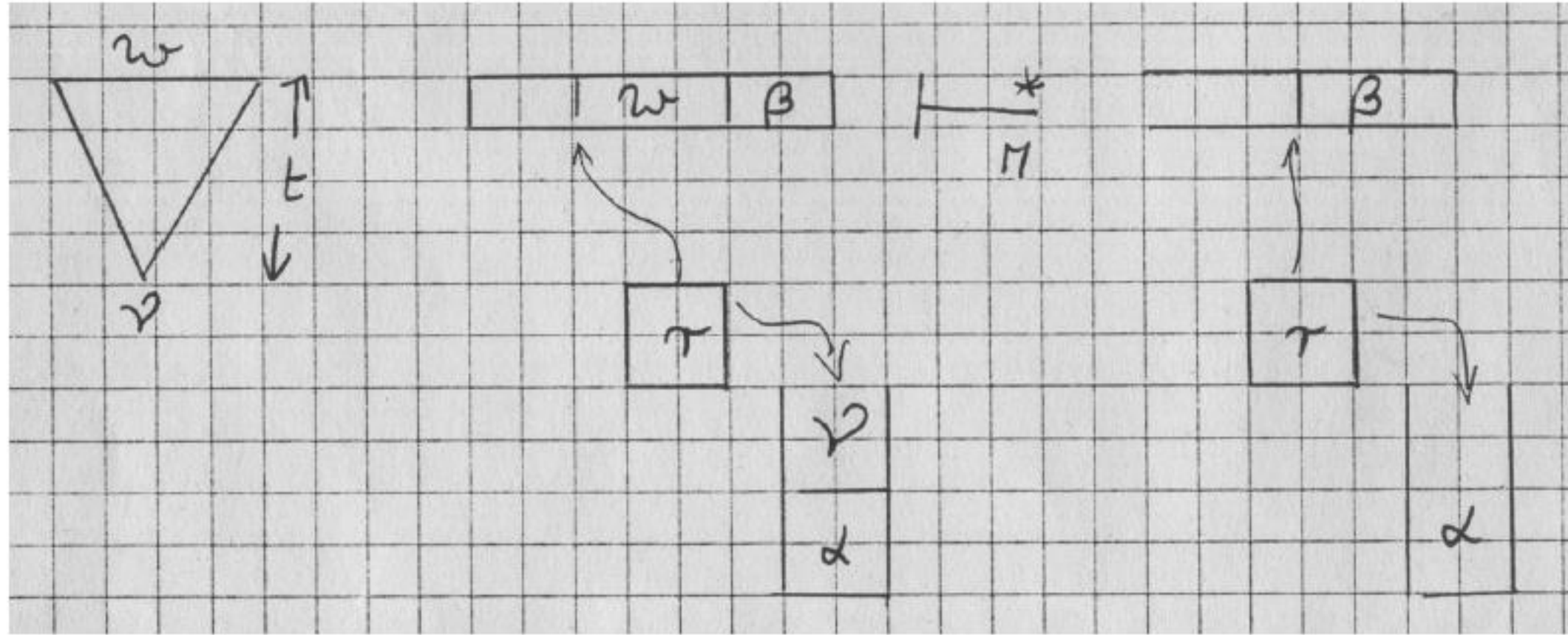
Effect

$$(r, t\beta, t\alpha) \vdash^* (r, \beta, \alpha)$$

## 4.2 $w \in L(G) \rightarrow w \in L(M)$ :

**Lemma 6.** *Let  $w, \beta \in T^*$  and  $v \in N \cup T$  and  $v \rightarrow^* w$  by a derivation tree of depth  $\leq t$ . Then*

$$(r, w\beta, v\alpha) \vdash^* (r, \beta, \alpha)$$

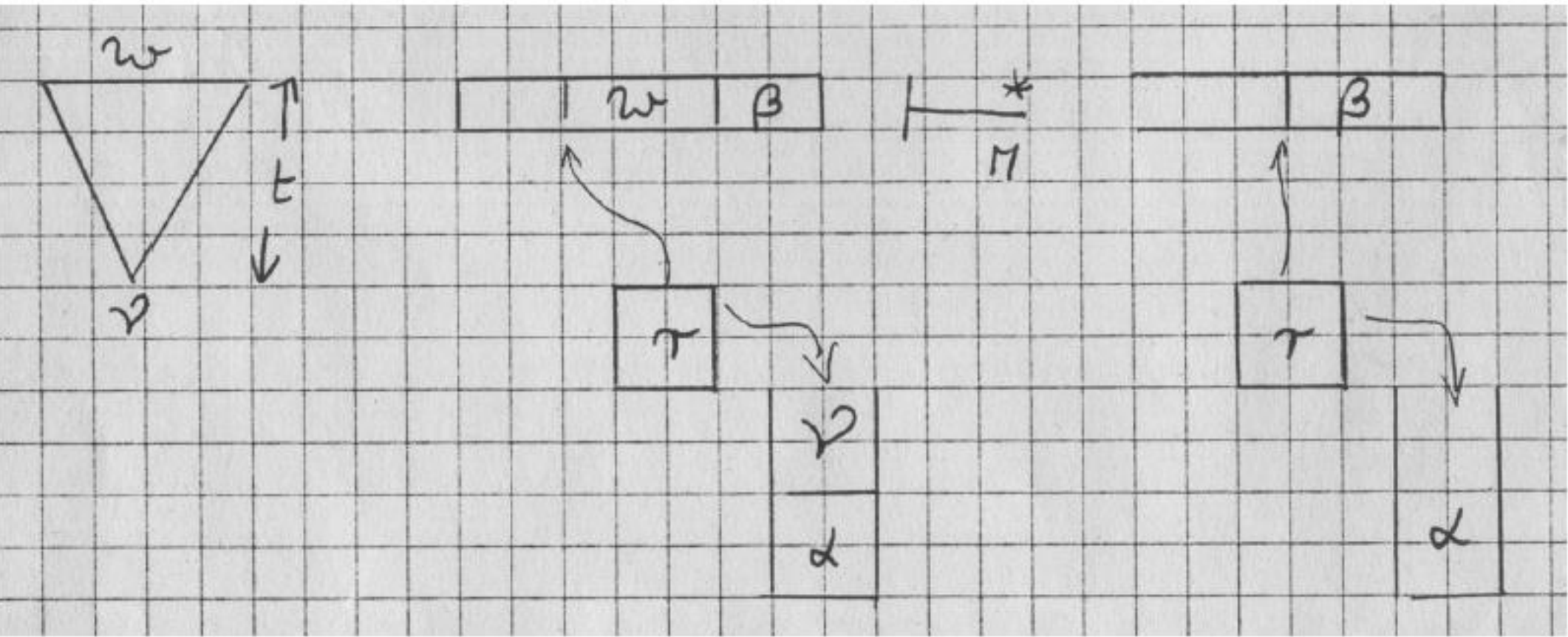




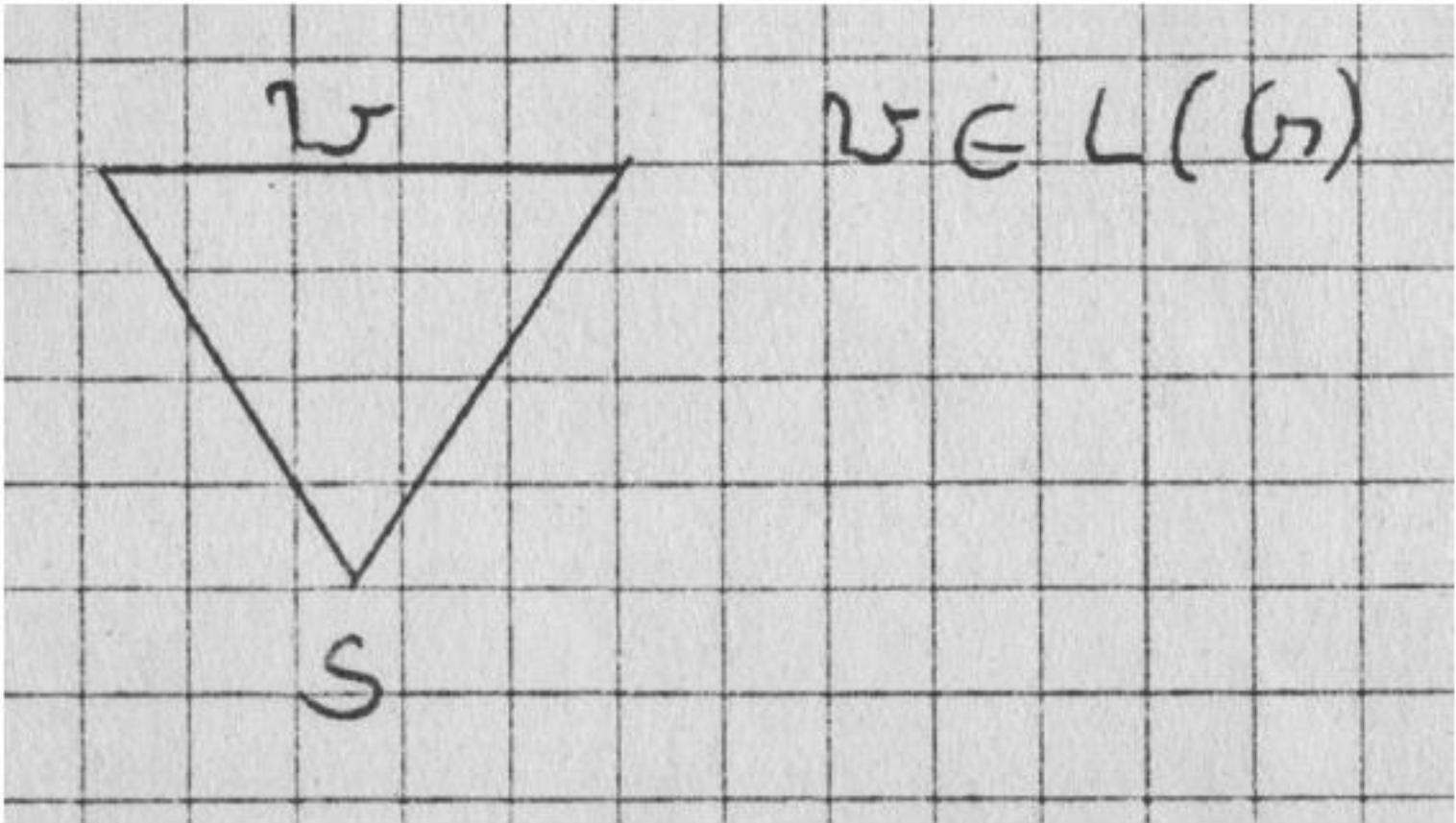
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$$(r, w\beta, v\alpha) \vdash^* (r, \beta, \alpha)$$



Lemma implies claim:  $w \in L(G)$  implies derivation tree as in figure 4



$(z_0, w, \epsilon) \vdash (r, w, S)$ 
(construction 1)

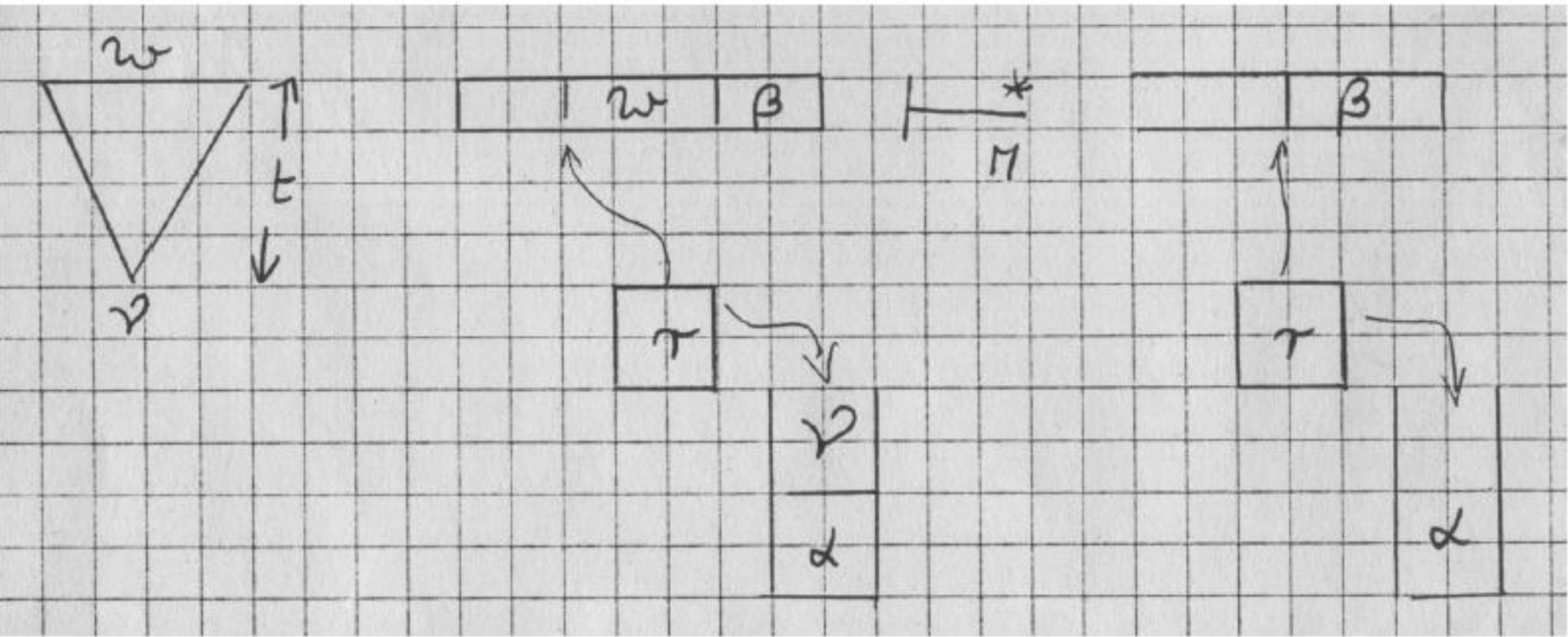
$\vdash^* (r, \epsilon, \epsilon)$ 
(lemma 6)

pushing S

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**proof of lemma 6** by induction on  $t$ :

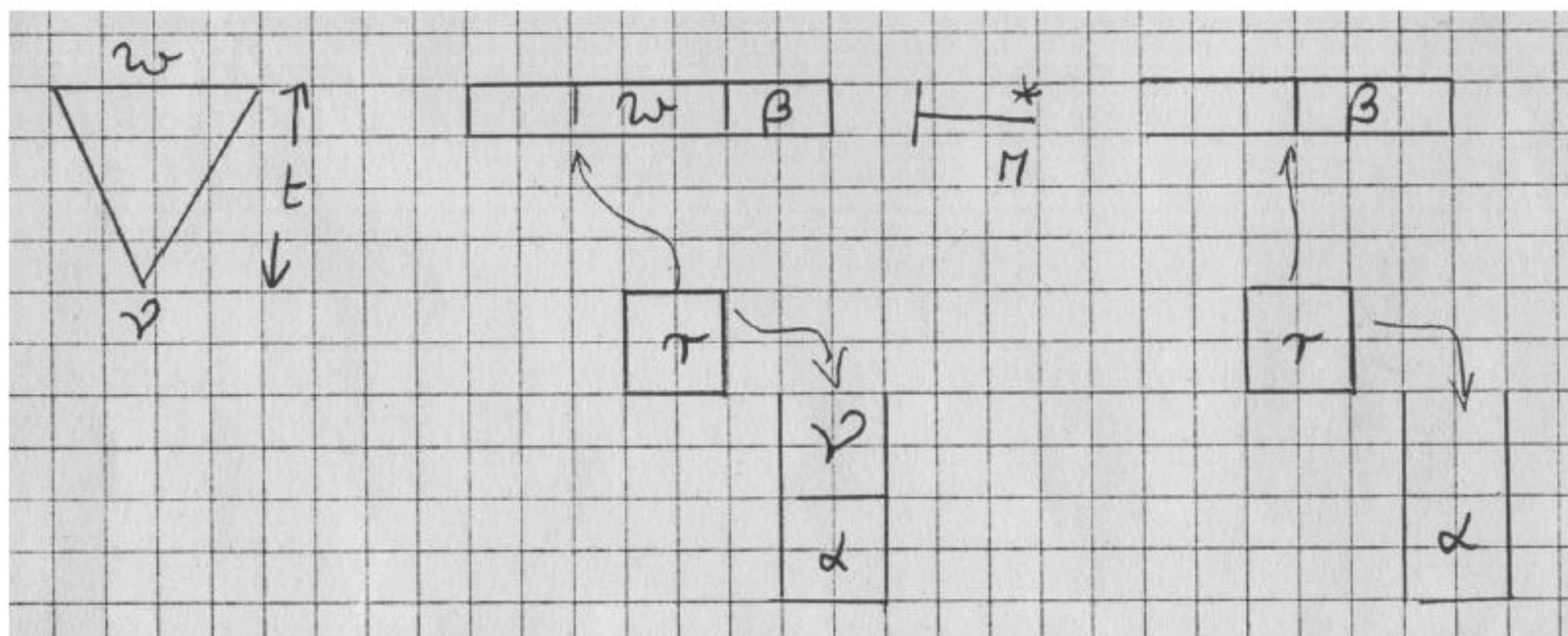
- $t = 0$  :  $v = w \in T$ . Construction 3  $\rightarrow$  claim. cancelling matching terminals



## 4.2 $w \in L(G) \rightarrow w \in L(M)$ :

**Lemma 6.** Let  $w, \beta \in T^*$  and  $v \in N \cup T$  and  $v \rightarrow^* w$  by a derivation tree of depth  $\leq t$ . Then

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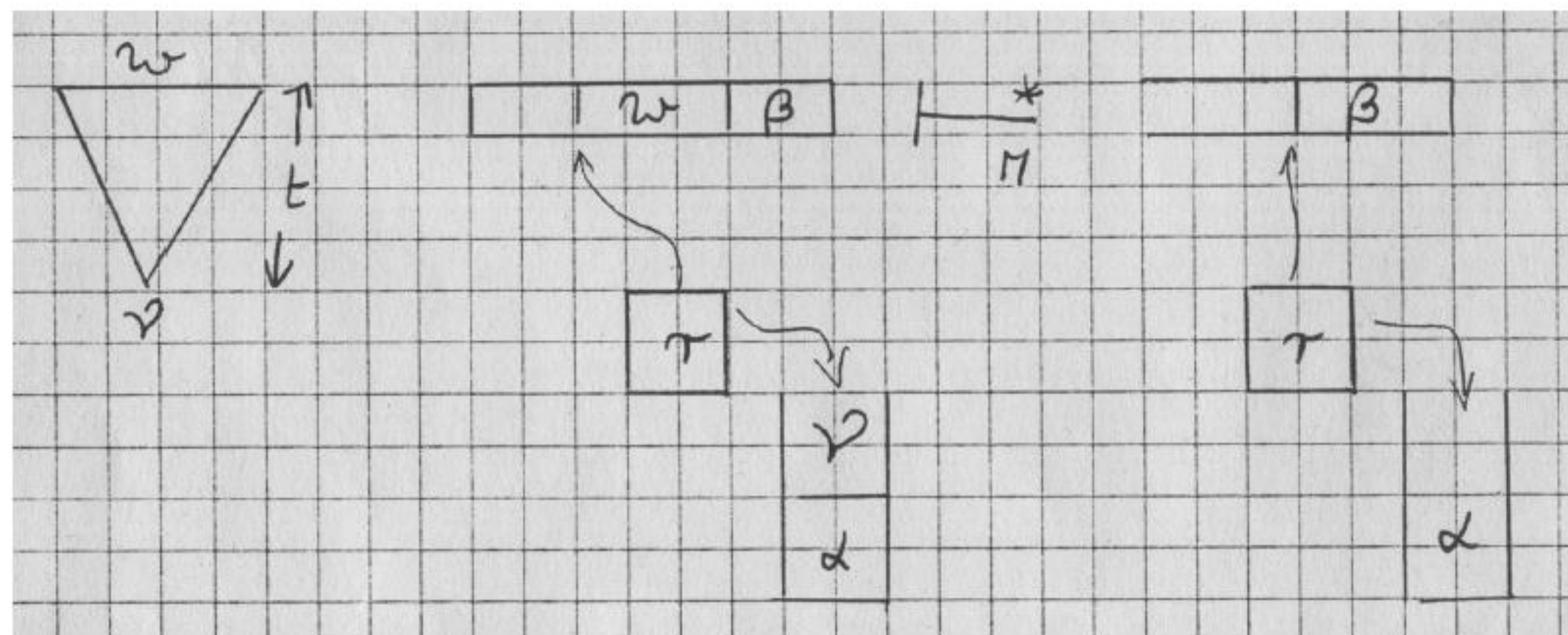
- $t = 0$ :  $v = w \in T$ . Construction 3  $\rightarrow$  claim.
- $t - 1 \rightarrow t$ :  
special case  $v \rightarrow \varepsilon$ . Construction 2 for  $g(i) = 0 \rightarrow$  claim.

$$\delta(r_i, \varepsilon, n_i) = \begin{cases} \{(r_{i,g(i)}, pop)\} & g(i) \geq 1 \\ \{(r, pop)\} & g(i) = 0 \text{ i.e. } n_i \rightarrow \varepsilon \end{cases}$$

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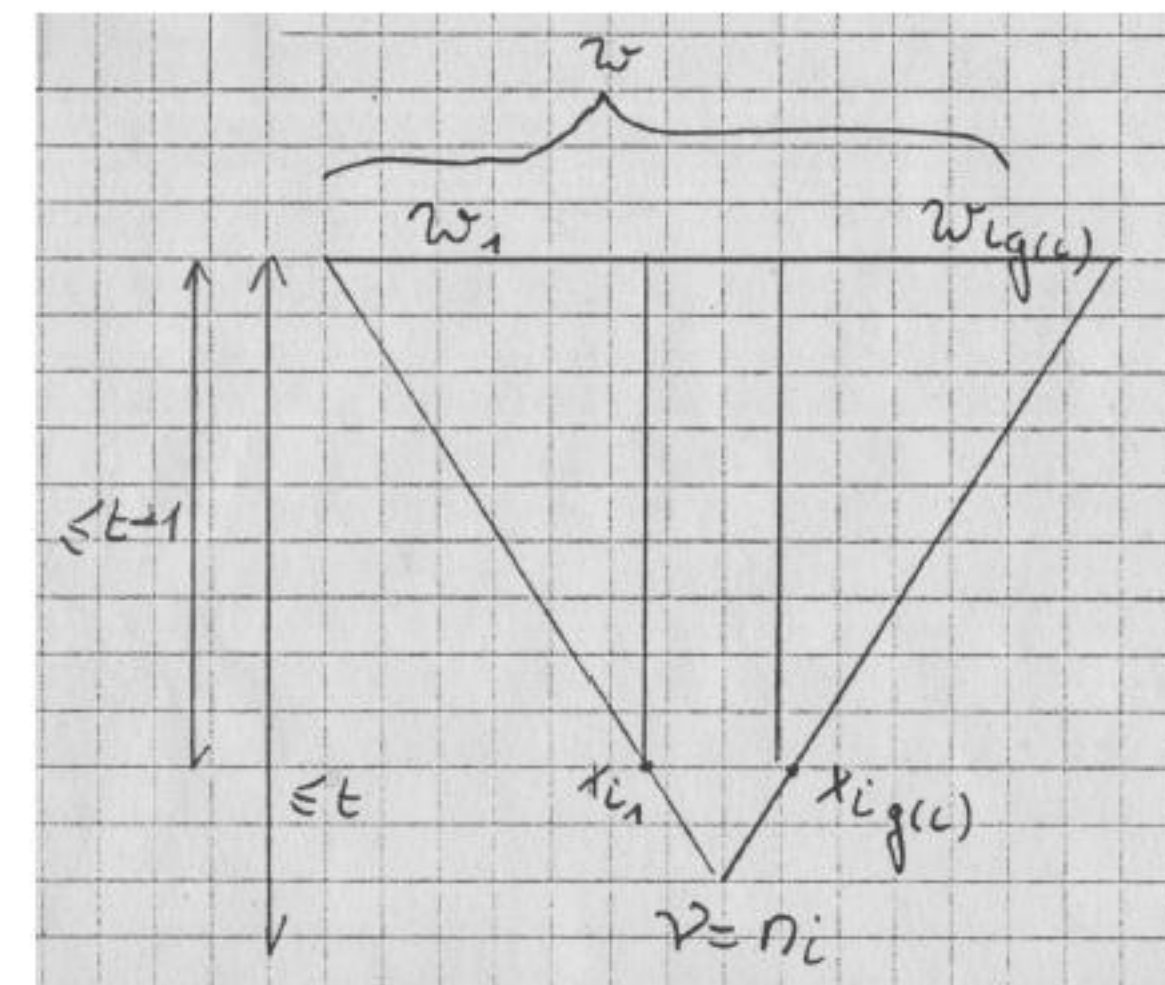


Figure 5: derivation tree of  $w$  from  $v = n_i$

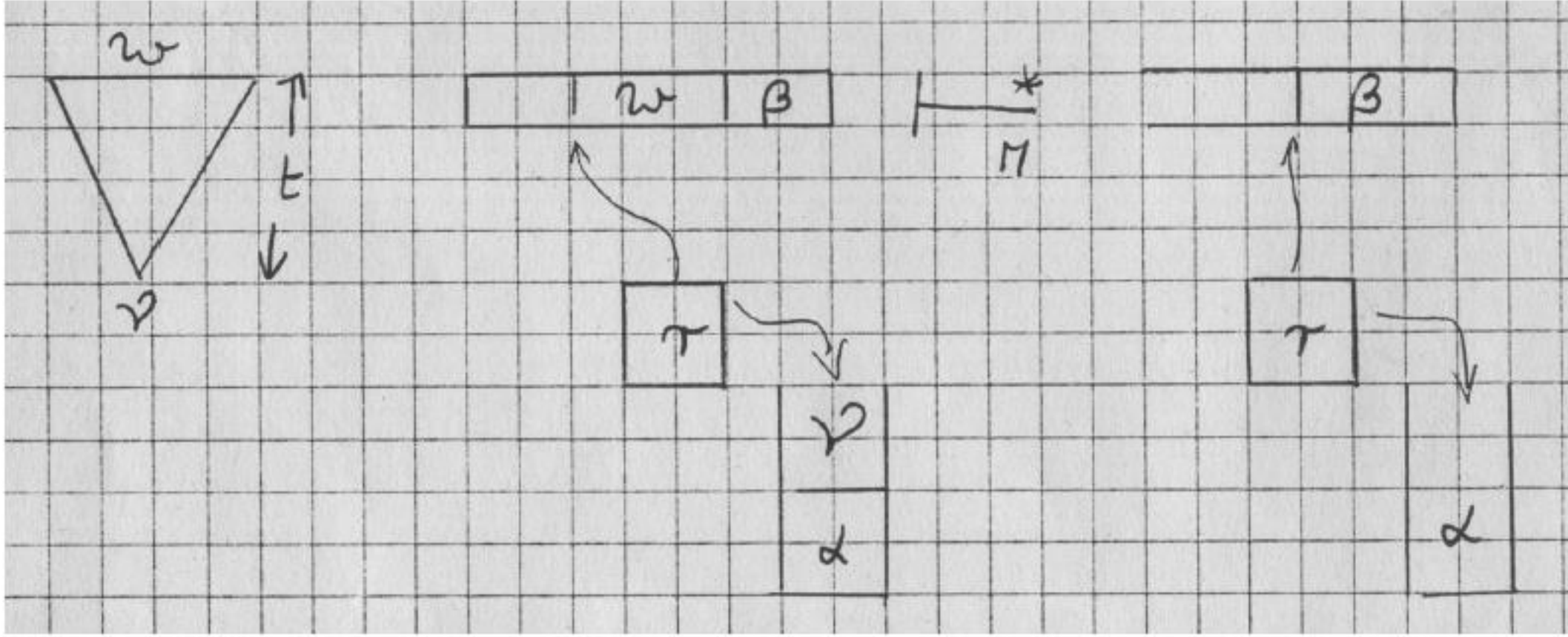
$$\begin{aligned} (r, w\beta, v\alpha) &\vdash_{\text{constr.2}}^* (r, w_1 \dots w_{g(i)}\beta, X_{i_1} \dots X_{i_{g(i)}}\alpha) \\ &\vdash_{IH}^* (r, w_2 \dots w_{g(i)}\beta, X_{i_2} \dots X_{i_{g(i)}}\alpha) \\ &\dots \\ &\vdash_{IH}^* (r, \beta, \alpha) \end{aligned}$$



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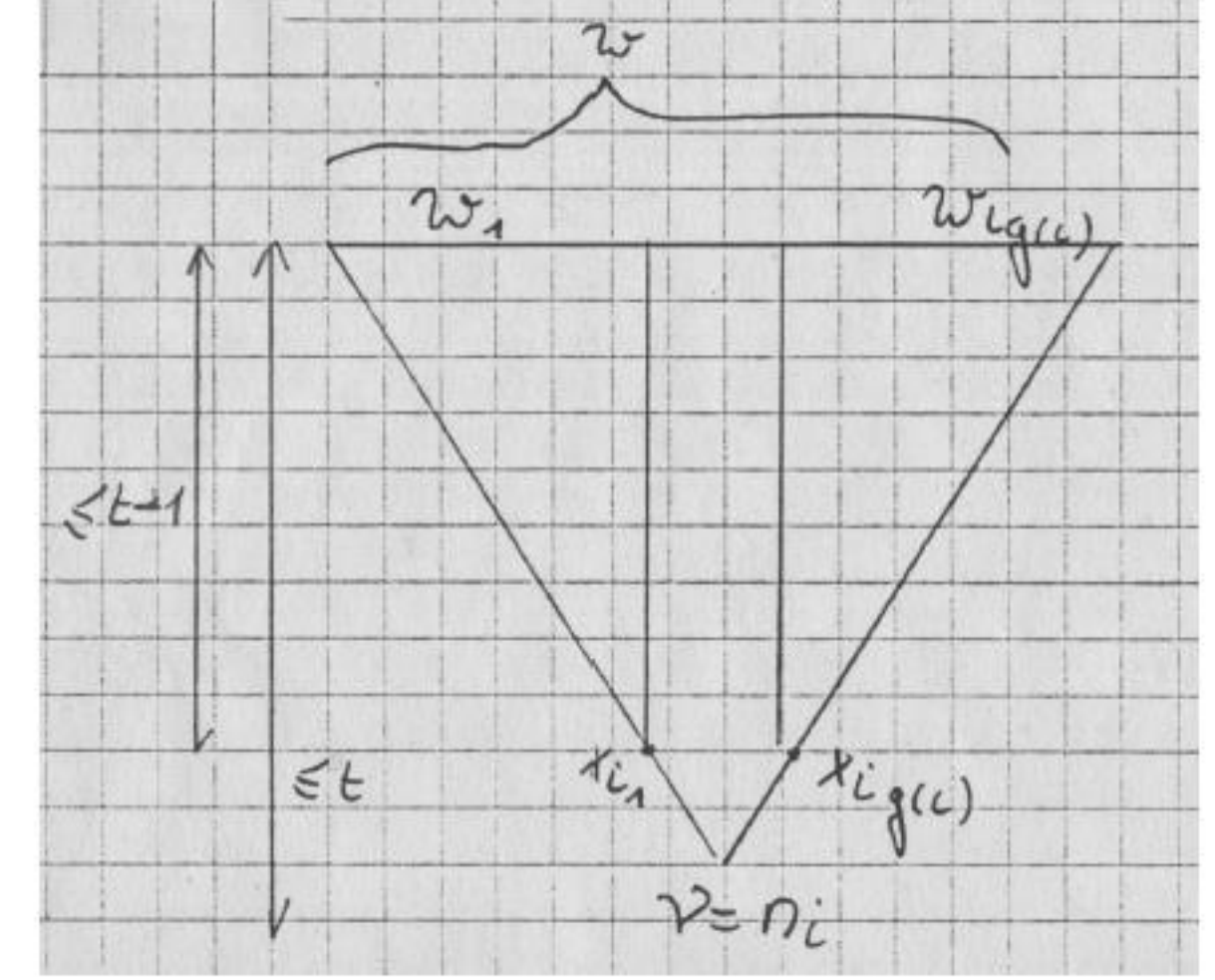


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•

$$Z_A = \{z_s, r\}$$

**4.3**  $w \in L(M) \rightarrow w \in L(G)$ :

Let  $w \in L(M)$ . Consider accepting computation

$$R = (k_0, \dots, k_s)$$

of  $M$  started with  $w$

$$k_0 = (z_0, w, \varepsilon) \quad , \quad k_s = (r, \varepsilon, \varepsilon)$$

For

$$k \in \{k_0, \dots, k_s\} \quad , \quad k = (r, \mu, \boxed{p\alpha}) \quad , \quad p \in N \cup T$$

define  $N(k)$  as first configuration after  $k$  with state  $r$  and stack  $\alpha$

$$N(k) = (r, \mu', \boxed{\alpha})$$

Define  $\tau(k)$  as number of steps between  $k$  and  $N(k)$ .



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- $\tau(k) = 1$ : construction 3  $\rightarrow$

$$\mu = v \in T \quad , \quad v \rightarrow^0 \mu$$

cancelling  
matching terminals

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- $\tau(k) > 1$

special case of construction 2:  $g(i) = 0$ . Then

$$v \rightarrow \varepsilon \in P \quad , \quad \mu = \varepsilon \quad , \quad v \rightarrow^1 \mu$$

$$\delta(r_i, \varepsilon, n_i) = \begin{cases} \{(r_{i,g(i)}, pop)\} & g(i) \geq 1 \\ \{(r, pop)\} & g(i) = 0 \quad \text{i.e. } n_i \rightarrow \varepsilon \end{cases}$$



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otherwise

$$g(i) \geq 1, v = n_i$$

decompose computation

$$\begin{aligned} (r, \mu\beta, v\alpha) & \vdash^* k_1 = (r, \mu\beta, X_{i_1} \dots X_{i_{g(i)}} \alpha) \quad (\text{construction 2}) \\ & \vdash^{\tau(k_1)} k_2 = (r, \overline{\mu_1}\beta, X_{i_2} \dots X_{i_{g(i)}} \alpha) \quad \text{with } \mu = \mu_1 \circ \overline{\mu_1} \\ & \dots \\ & \vdash^{\tau(k_{g(i)-1})} k_{g(i)} = (r, \overline{\mu_{g(i)-1}}\beta, X_{i_{g(i)}} \alpha) \\ & \vdash^{\tau(k_{g(i)})} N(k) = (r, \beta, \alpha) \end{aligned}$$

with  $\tau(k_j) < \tau(k)$  for all  $j$ .

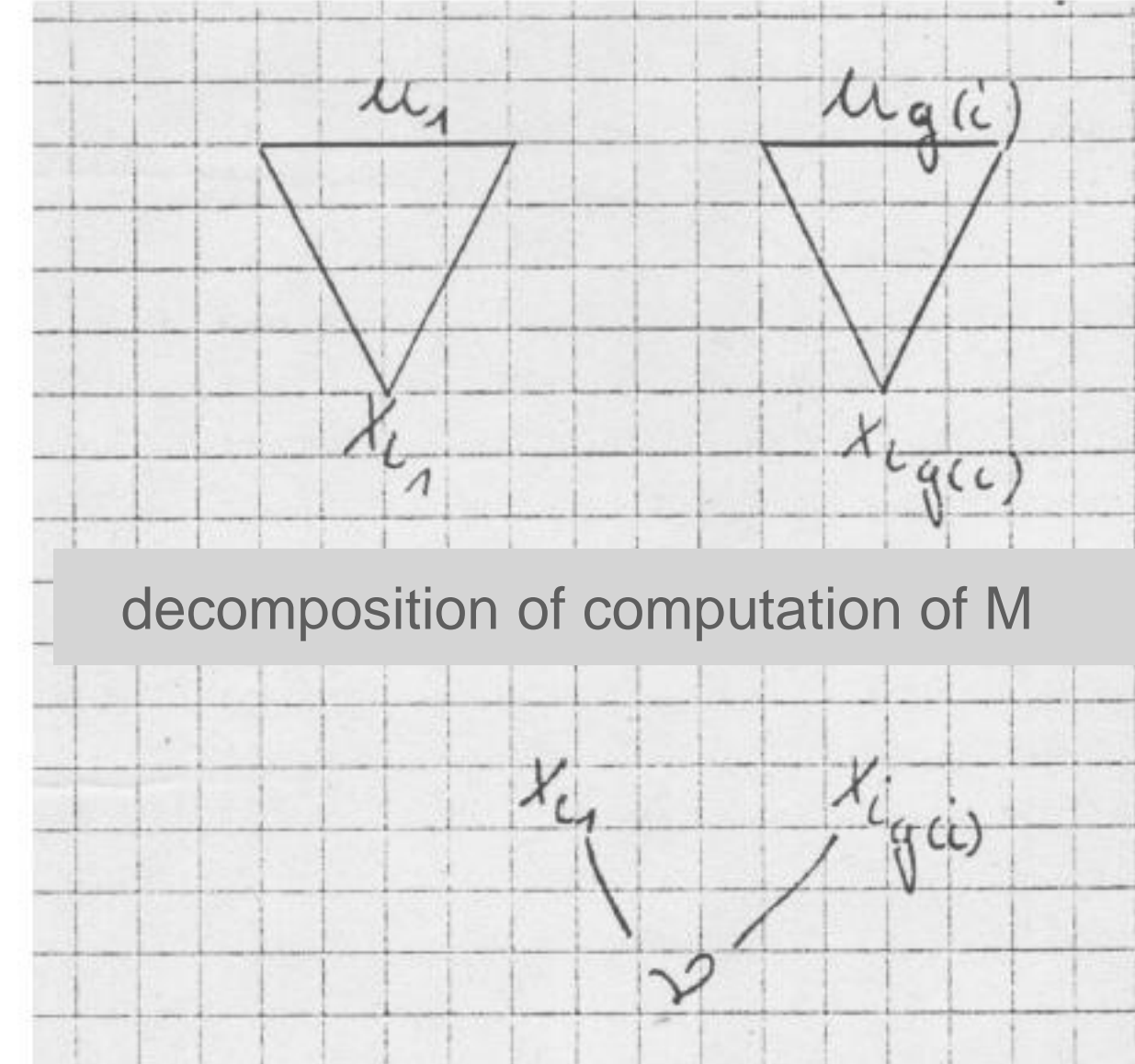


Figure 6: derivation tree of  $w$  from  $v = n_i$ . Upper subtrees exist by induction hypothesis, production at the root exists by construction of  $M$ .



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part 1: reasonably straight forward construction  
parts 2 and 3: clever induction hypothesis,  
Then: bookkeeping.

decompose computation

$$\begin{aligned} (r, \mu\beta, v\alpha) &\vdash^* k_1 = (r, \mu\beta, X_{i_1} \dots X_{i_{g(i)}} \alpha) \quad (\text{construction 2}) \\ &\vdash^{\tau(k_1)} k_2 = (r, \overline{\mu_1}\beta, X_{i_2} \dots X_{i_{g(i)}} \alpha) \quad \text{with } \mu = \mu_1 \circ \overline{\mu_1} \\ &\dots \\ &\vdash^{\tau(k_{g(i)-1})} k_{g(i)} = (r, \overline{\mu_{g(i)-1}}\beta, X_{i_{g(i)}} \alpha) \\ &\vdash^{\tau(k_{g(i)})} N(k) = (r, \beta, \alpha) \end{aligned}$$

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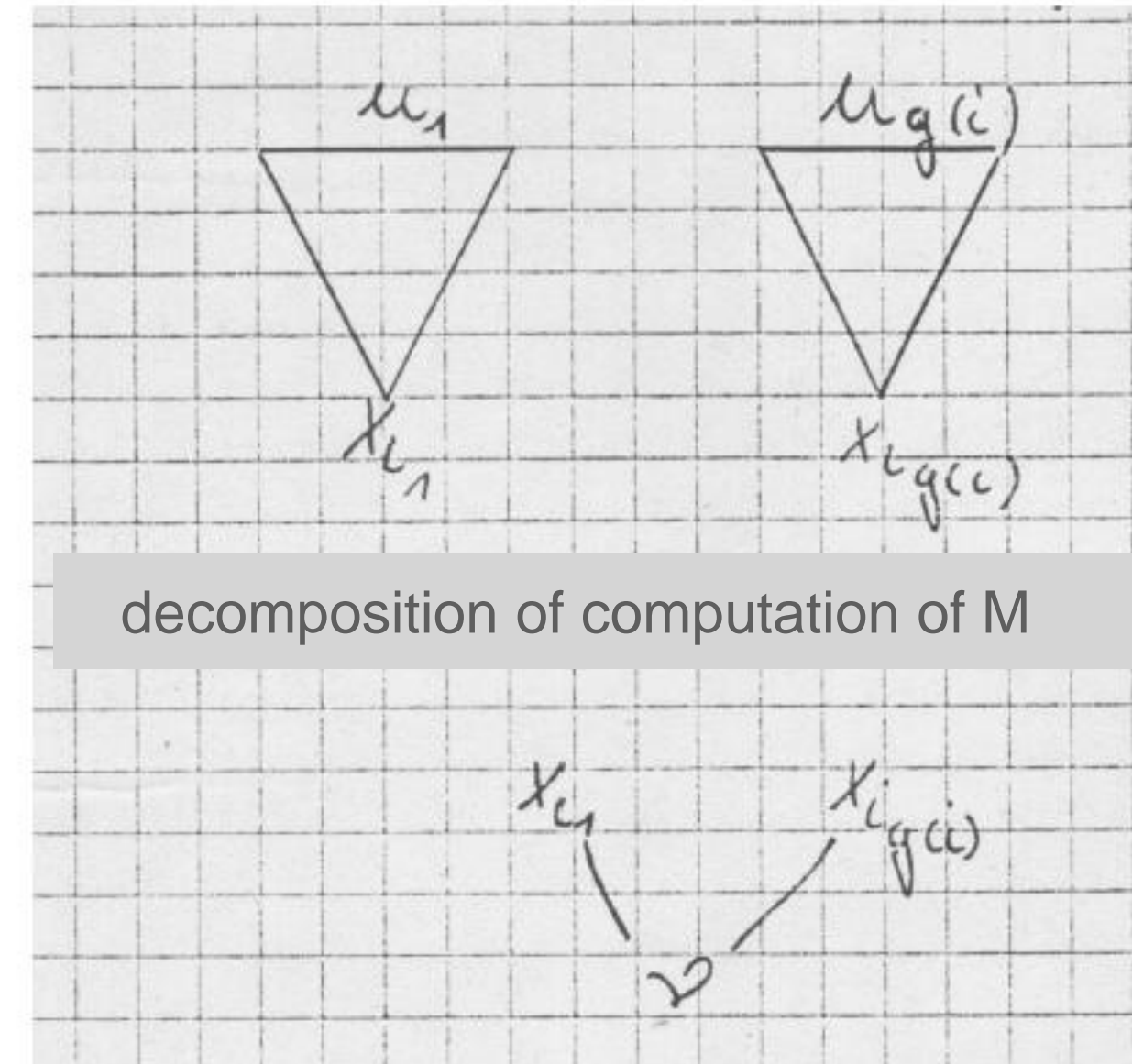


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## 5 Languages accepted by npda's are context free

,computing with grammars'

**Lemma 8.** *Let  $M = (Z, \Sigma, \Gamma, \delta, z_0, Z_A)$  be an npda. Then there is a context free grammar  $G = (N, T, P, S)$  with*

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Proof in 3 steps:

1. construction of  $G$
2.  $x \in L(G) \rightarrow x \in L(M)$
3.  $x \in L(M) \rightarrow x \in L(G)$

**Notation** For  $n \in N$  we define the language generated by  $n$  as

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## 5.1 Construction of $G$

$$\begin{aligned} T &= \Sigma \\ N &= \{\langle q, A, p \rangle : p, q \in Z, A \in \Gamma_\epsilon\} \cup \{S\} \\ S &= S \end{aligned}$$

**intention:**

$$L(\langle q, A, p \rangle) = \{w : (q, w, A) \vdash^* (p, \epsilon, \epsilon)\}$$

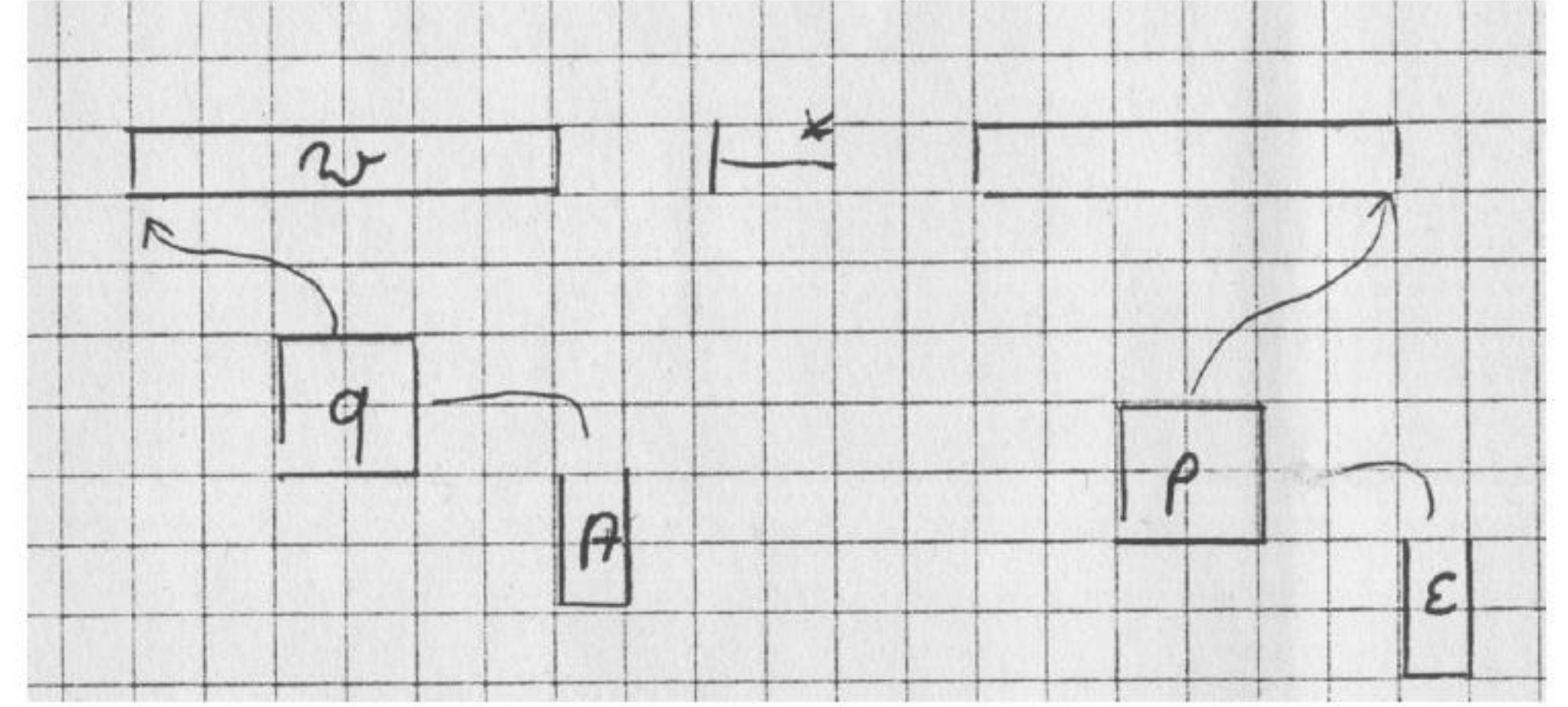


Figure 7: Symbol  $\langle q, A, p \rangle$  should generate all words  $w$  such that  $M$  started in state  $q$  with input  $w$  and stack  $A$  consumes the input, empties the stack and ends in state  $p$



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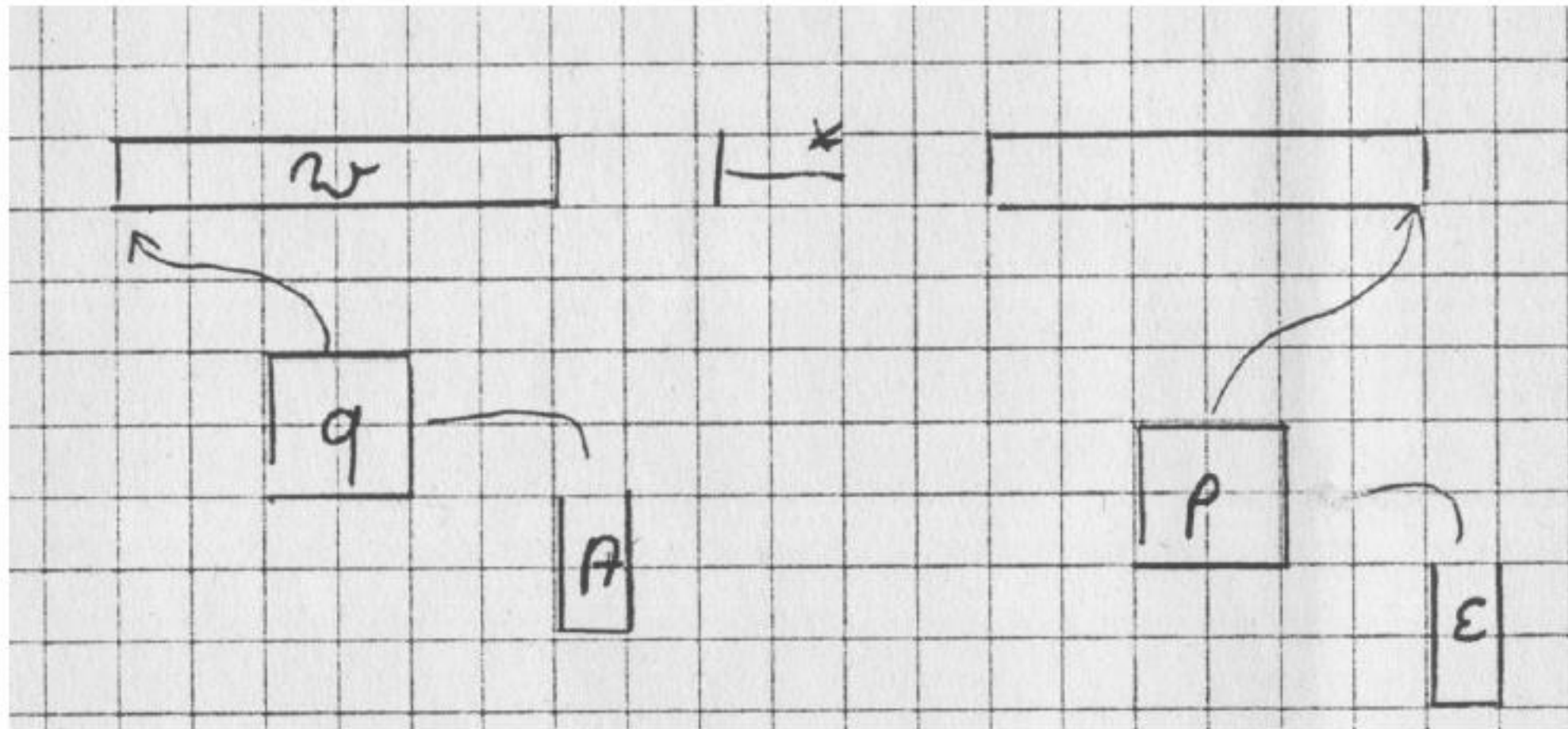


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1. for all accepting states  $f \in Z_A$ :

$$S \rightarrow \langle z_0, \epsilon, f \rangle$$

2. if

$$(r, \text{push } a) \in \delta(q, u, A) \quad \text{with} \quad u \in \Sigma_\epsilon \quad a \in \Gamma_\epsilon, \quad A \in \Gamma$$

then for all  $q_1, p \in Z$  **intermediate and end states**

$$\langle q, A, p \rangle \rightarrow u \langle r, a, q_1 \rangle \langle q_1, A, p \rangle$$

3. similar; if

$$(r, \text{push } a) \in \delta(q, u, \epsilon) \quad \text{with} \quad u \in \Sigma_\epsilon \quad a \in \Gamma_\epsilon$$

then for all  $q_1, p \in Z$  and  $b \in \Gamma_\epsilon$ : **also possible top(stack)**

$$\langle q, b, p \rangle \rightarrow u \langle r, a, q_1 \rangle \langle q_1, b, p \rangle$$



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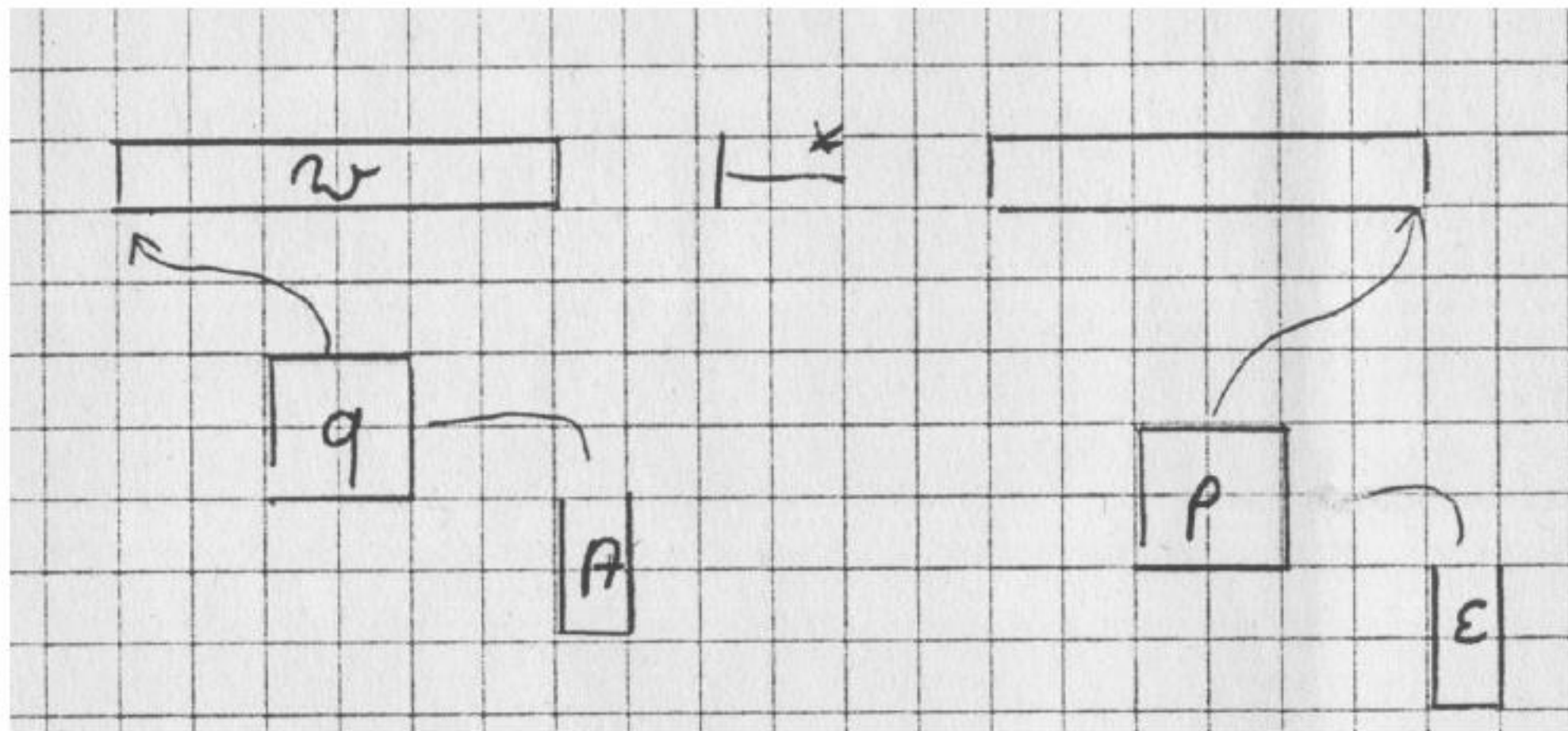


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4. if

$$(r, \text{pop}) \in \delta(q, u, A) \quad \text{with} \quad u \in \Sigma_\epsilon \quad A \in \Gamma_\epsilon$$

then for all  $p \in Z$  **end states**

$$\langle q, A, p \rangle \rightarrow u \langle r, \epsilon, p \rangle$$

5. similar; if

$$(r, \text{pop}) \in \delta(q, u, \epsilon) \quad \text{with} \quad u \in \Sigma_\epsilon$$

then for all  $b \in \Gamma$  and  $p \in Z$  **also possible top(stack)**

$$\langle q, b, p \rangle \rightarrow u \langle r, \epsilon, p \rangle$$



## 5.1 Construction of $G$

$$T = \Sigma$$

$$N = \{\langle q, A, p \rangle : p, q \in Z, A \in \Gamma_\varepsilon\} \cup \{S\}$$

$$S = S$$

intention:

$$L(\langle q, A, p \rangle) = \{w : (q, w, A) \vdash^* (p, \varepsilon, \varepsilon)\}$$

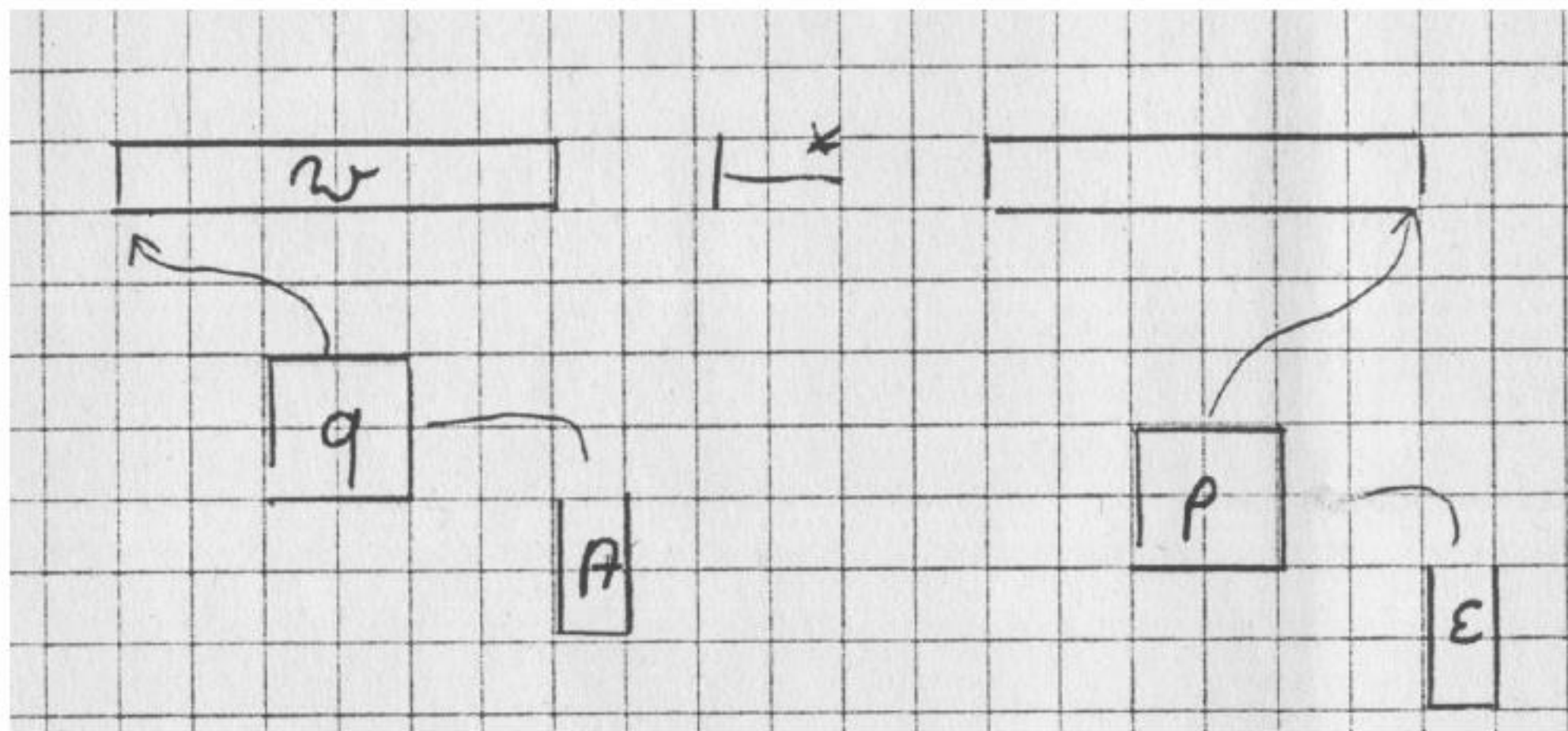


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6. for all  $q \in Z$

$$\langle q, \varepsilon, q \rangle \rightarrow \varepsilon$$

1. for all accepting states  $f \in Z_A$ :

$$S \rightarrow \langle z_0, \varepsilon, f \rangle$$

2. if

$$(r, \text{push } a) \in \delta(q, u, A) \quad \text{with} \quad u \in \Sigma_\varepsilon \quad a \in \Gamma_\varepsilon, \quad A \in \Gamma$$

then for all  $q_1, p \in Z$  **intermediate and end states**

$$\langle q, A, p \rangle \rightarrow u \langle r, a, q_1 \rangle \langle q_1, A, p \rangle$$

3. similar; if

$$(r, \text{push } a) \in \delta(q, u, \varepsilon) \quad \text{with} \quad u \in \Sigma_\varepsilon \quad a \in \Gamma_\varepsilon$$

then for all  $q_1, p \in Z$  and  $b \in \Gamma_\varepsilon$ : **also possible top(stack)**

$$\langle q, b, p \rangle \rightarrow u \langle r, a, q_1 \rangle \langle q_1, b, p \rangle$$

4. if

$$(r, \text{pop}) \in \delta(q, u, A) \quad \text{with} \quad u \in \Sigma_\varepsilon \quad A \in \Gamma_\varepsilon$$

then for all  $p \in Z$

**end states**

$$\langle q, A, p \rangle \rightarrow u \langle r, \varepsilon, p \rangle$$

~~5. similar; if~~

~~$$(r, \text{pop}) \in \delta(q, u, \varepsilon) \quad \text{with} \quad u \in \Sigma_\varepsilon$$~~

~~then for all  $b \in \Gamma$  and  $p \in Z$~~

~~**also possible top(stack)**~~

~~$$\langle q, b, p \rangle \rightarrow u \langle r, \varepsilon, p \rangle$$~~



**Lemma 9.**

**5.2**    $x \in L(G) \rightarrow x \in L(M)$

$$x \in L(\langle q,A,p \rangle) \rightarrow (q,x,A) \vdash^* (p,\varepsilon,\varepsilon)$$

**Lemma 9.**

$$5.2 \quad x \in L(G) \rightarrow x \in L(M)$$

$$x \in L(\langle q, A, p \rangle) \rightarrow (q, x, A) \vdash^* (p, \varepsilon, \varepsilon)$$

Proof by induction on depth  $t$  of derivation tree from  $\langle q, A, p \rangle$  to  $x$

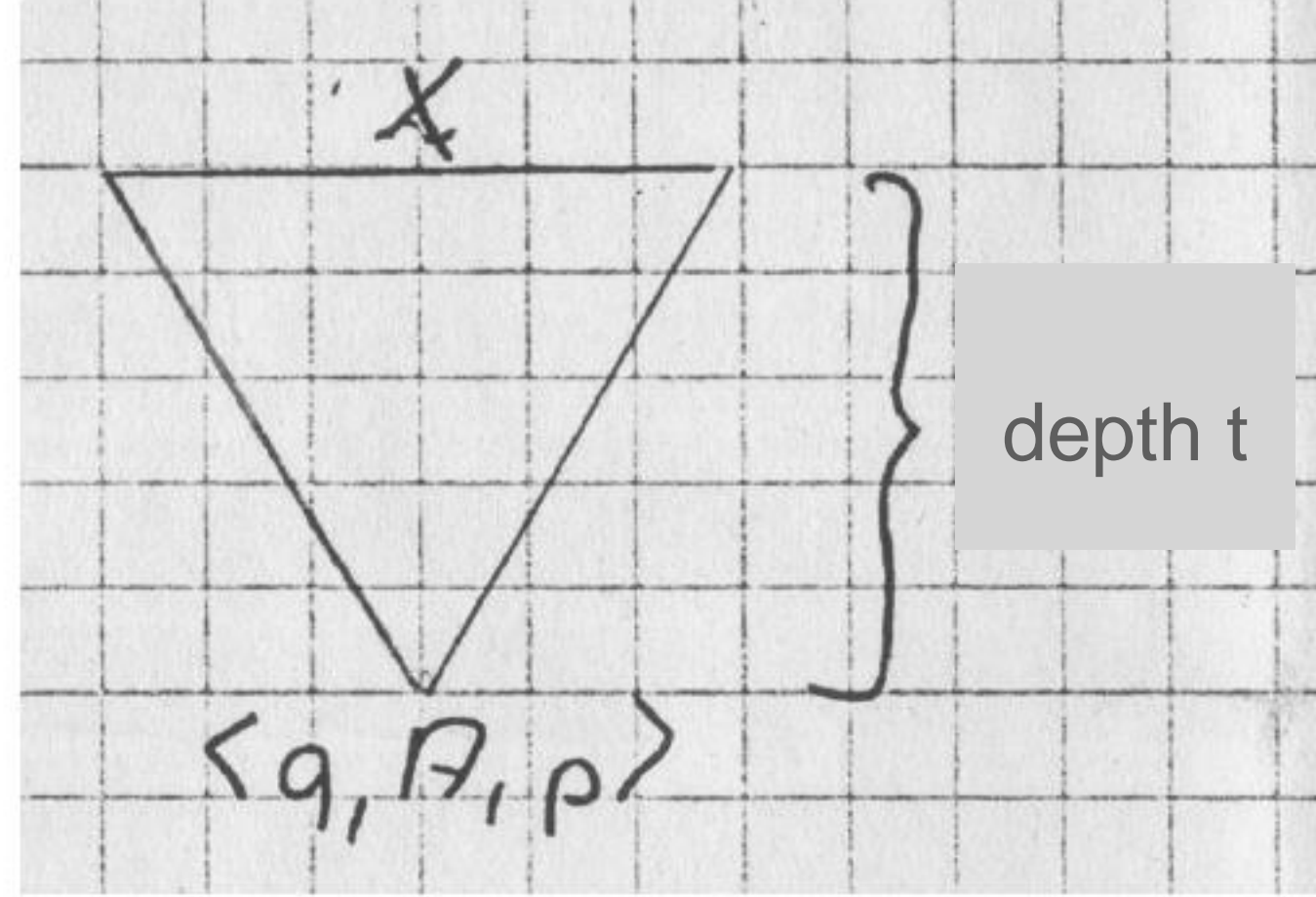


Figure 8: by induction on the depth  $t$  of the derivation tree we want to conclude  $(q, x, A) \vdash^* (p, \varepsilon, \varepsilon)$ .

- $t = 1$  only for construction 6.

$$\langle q, \varepsilon, q \rangle \rightarrow \varepsilon \quad , \quad \varepsilon \in T^*$$

$$p = q, x = A = \varepsilon$$

$$(q, x, A) = (q, \varepsilon, \varepsilon) \vdash^0 (q, \varepsilon, \varepsilon) = (p, \varepsilon, \varepsilon)$$



**Lemma 9.**

$$x \in L(\langle q, A, p \rangle) \rightarrow (q, x, A) \vdash^* (p, \varepsilon, \varepsilon)$$

Proof by induction on depth  $t$  of derivation tree from  $\langle q, A, p \rangle$  to  $x$

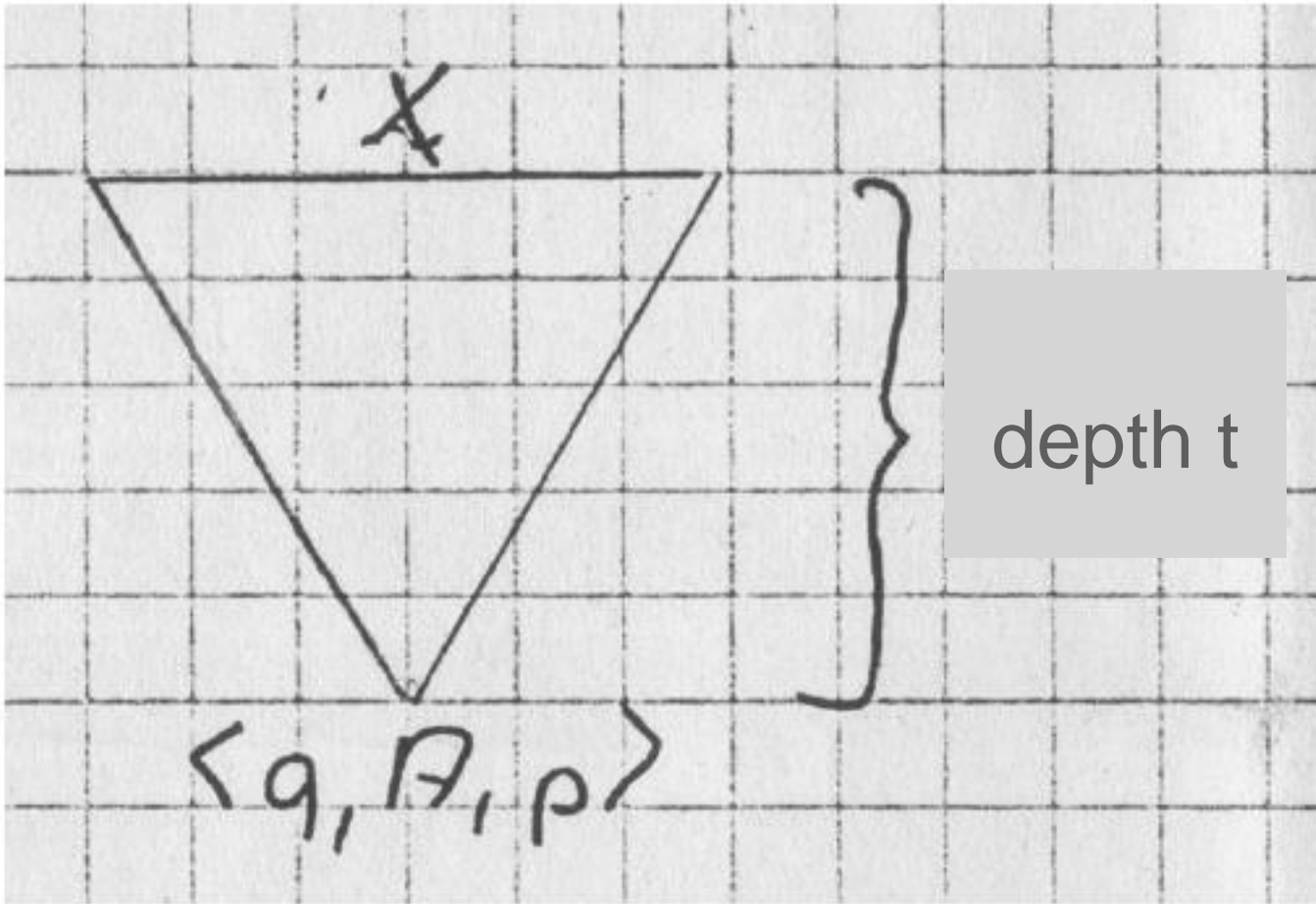


Figure 8: by induction on the depth  $t$  of the derivation tree we want to conclude  $(q, x, A) \vdash^* (p, \varepsilon, \varepsilon)$ .

- $t - 1 \rightarrow t$

construction 2: see figure 9      push a with  $A \neq \epsilon$

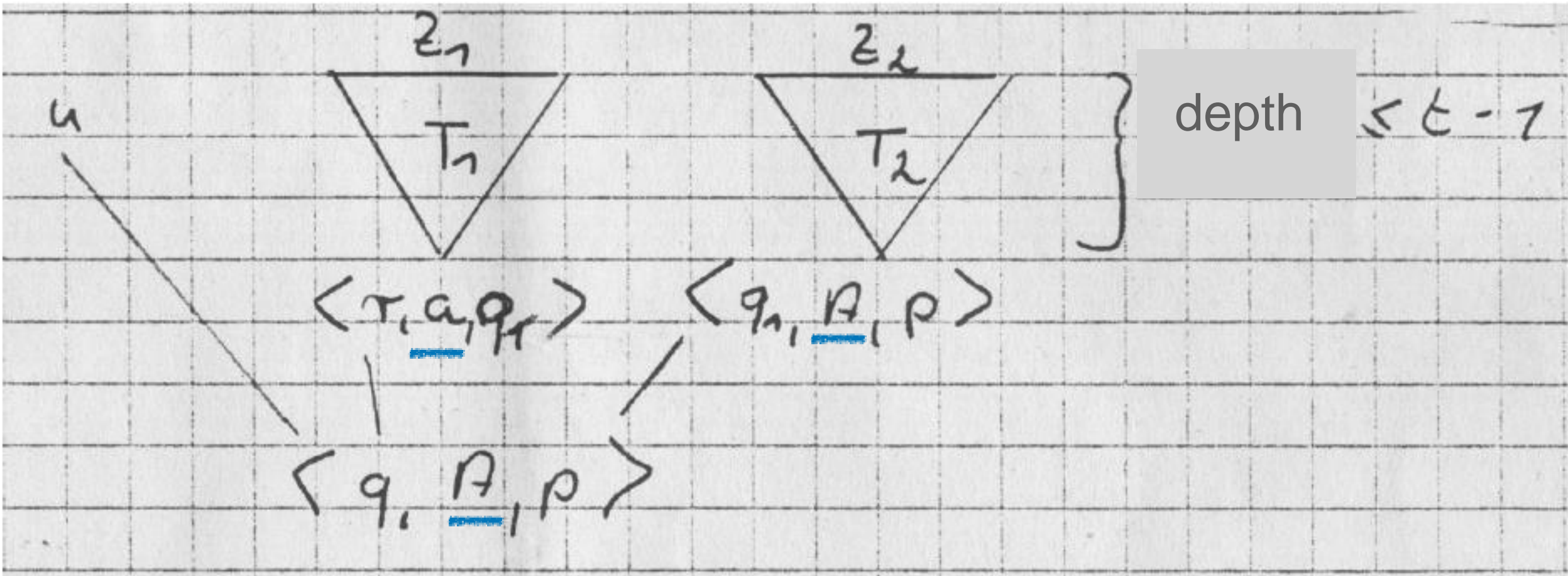


Figure 9: derivation tree for case 2 of the construction. The input word is  $x = uz_1z_2$



Lemma 9.

$$x \in L(\langle q, A, p \rangle) \rightarrow (q, x, A) \vdash^* (p, \epsilon, \epsilon)$$

Proof by induction on depth  $t$  of derivation tree from  $\langle q, A, p \rangle$  to  $x$

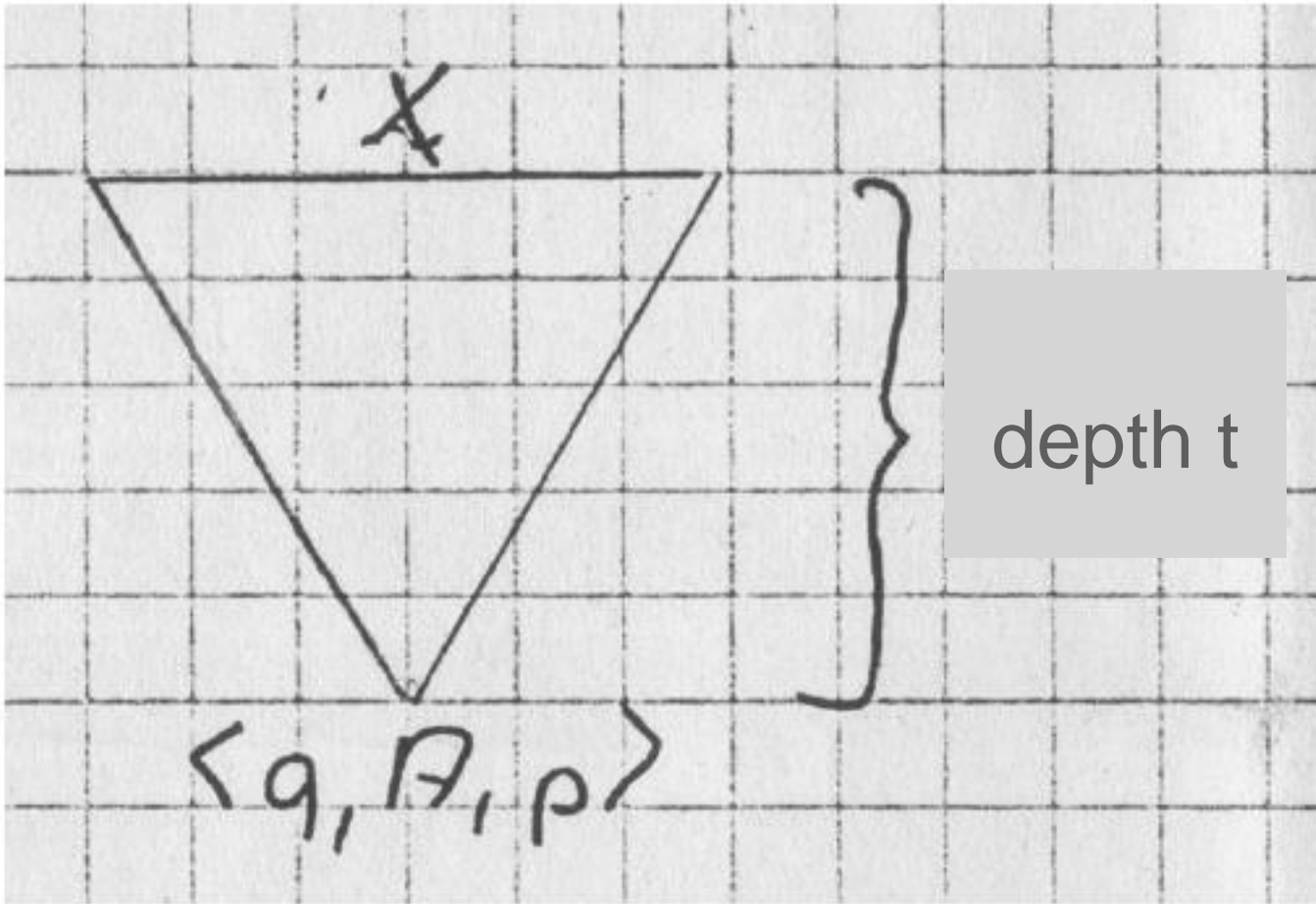


Figure 8: by induction on the depth  $t$  of the derivation tree we want to conclude  $(q, x, A) \vdash^* (p, \epsilon, \epsilon)$ .

- $t - 1 \rightarrow t$

construction 2: see figure 9      push a with  $A \neq \epsilon$

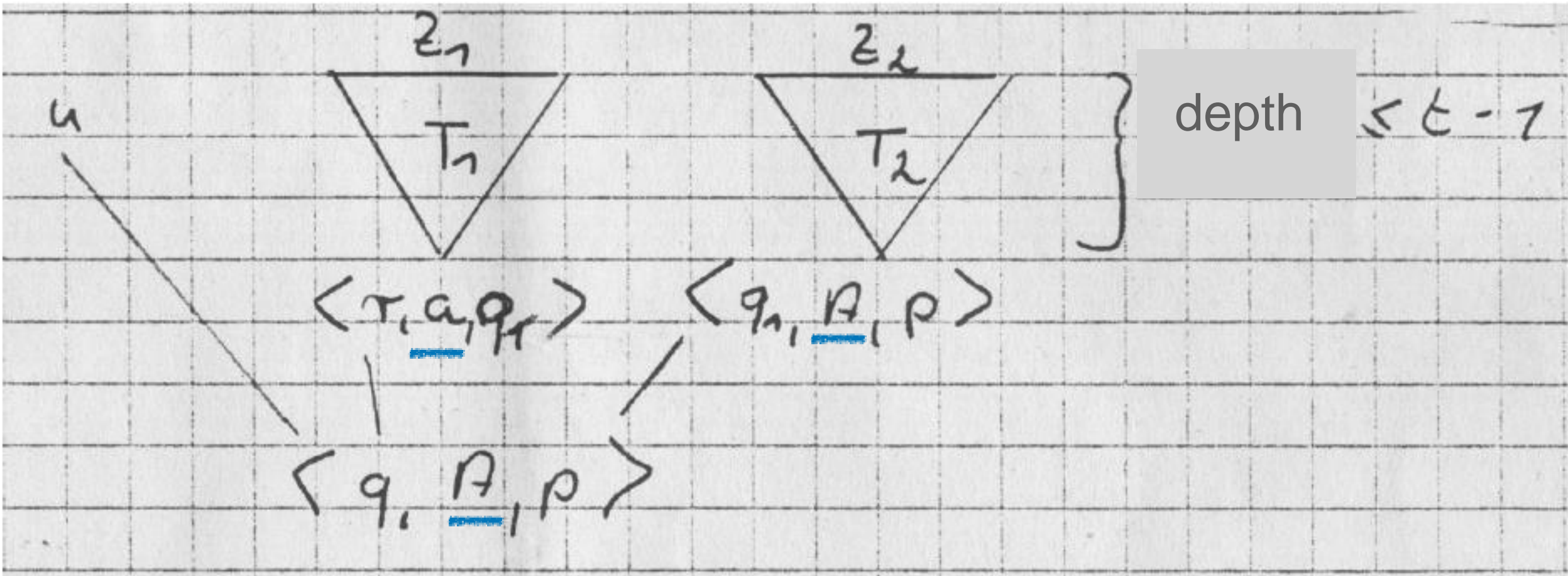


Figure 9: derivation tree for case 2 of the construction. The input word is  $x = uz_1z_2$

$$\begin{aligned} (q, x, A) &\vdash (r, z_1z_2, aA) \quad (\text{construction of } G) \\ (r, z_1, a) &\vdash^* (q_1, \epsilon, \epsilon) \quad (\text{induction hypothesis for } T_1) \end{aligned}$$



**Lemma 9.**

$$x \in L(\langle q, A, p \rangle) \rightarrow (q, x, A) \vdash^* (p, \varepsilon, \varepsilon)$$

Proof by induction on depth  $t$  of derivation tree from  $\langle q, A, p \rangle$  to  $x$

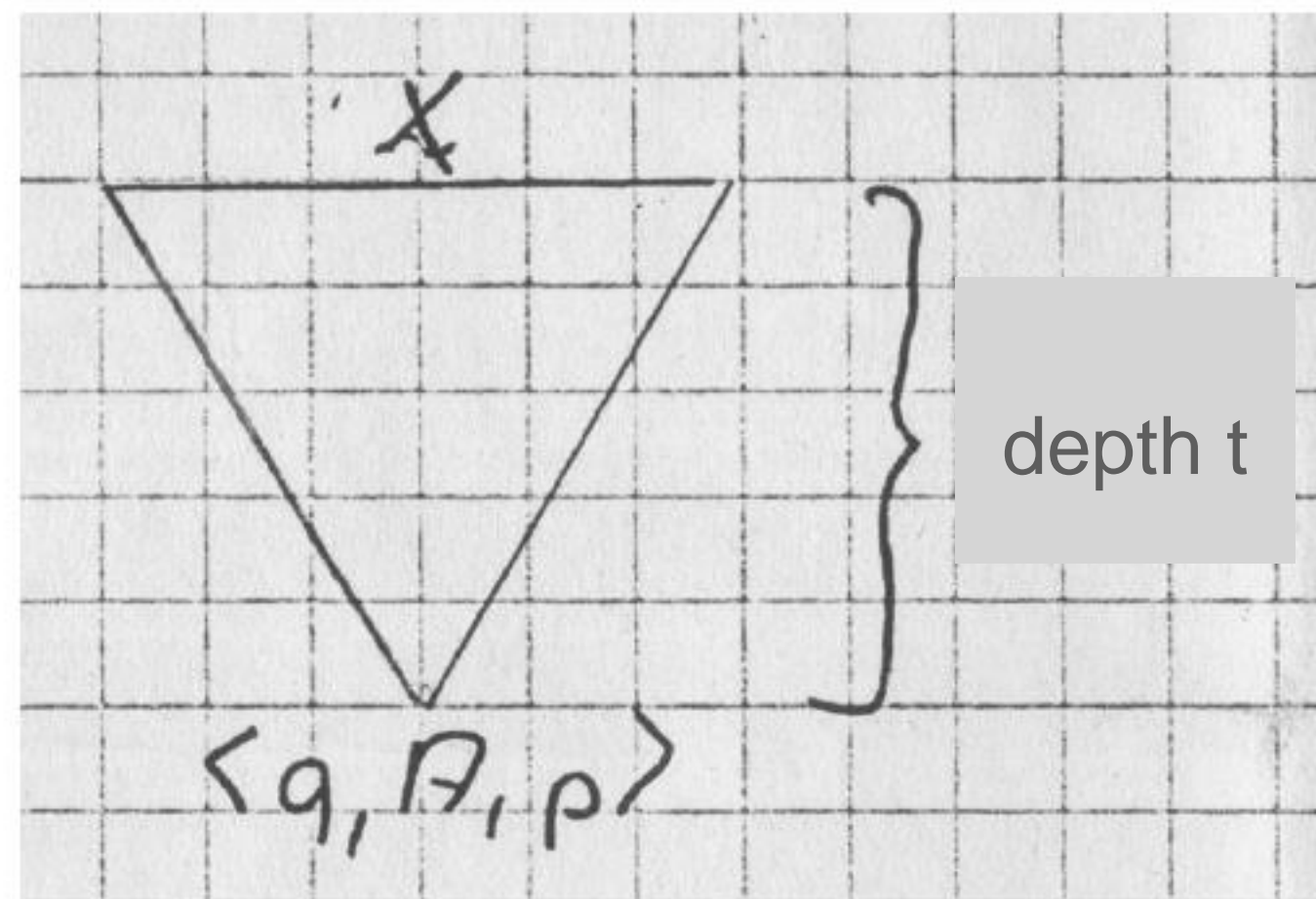


Figure 8: by induction on the depth  $t$  of the derivation tree we want to conclude  $(q, x, A) \vdash^* (p, \varepsilon, \varepsilon)$ .

- $t - 1 \rightarrow t$

construction 2: see figure 9

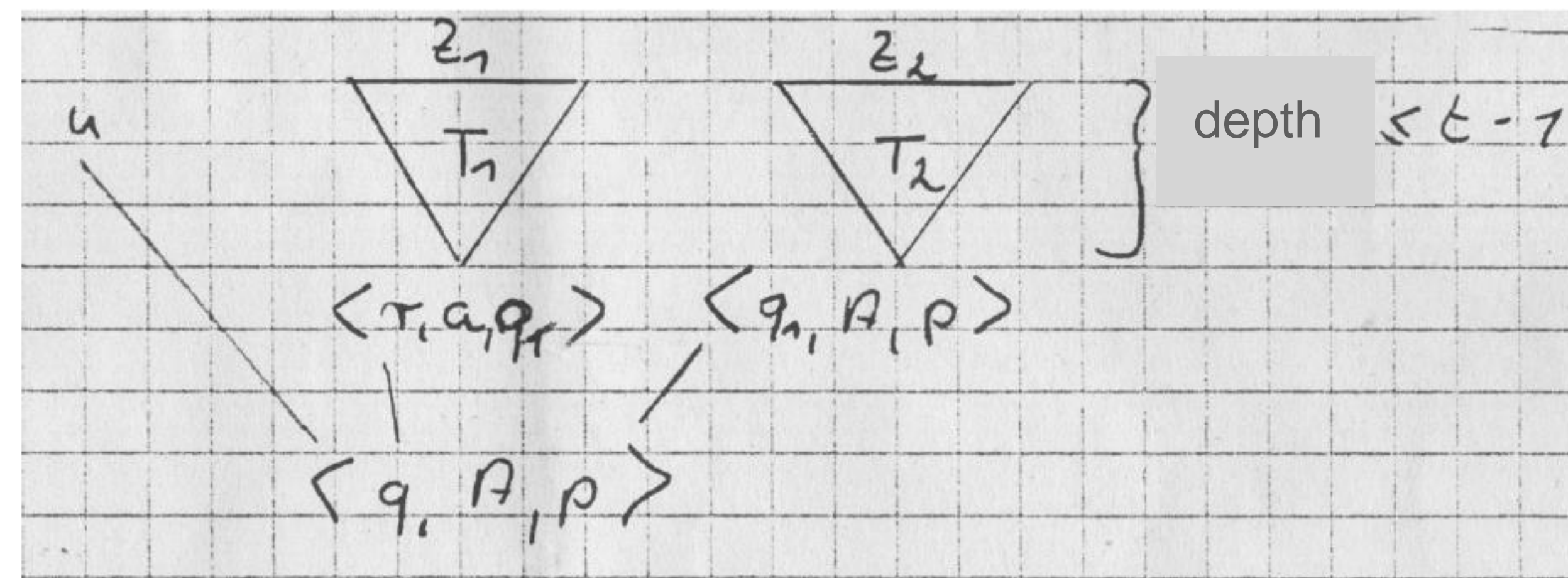


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Proof by induction on depth  $t$  of derivation tree from  $\langle q, A, p \rangle$  to  $x$

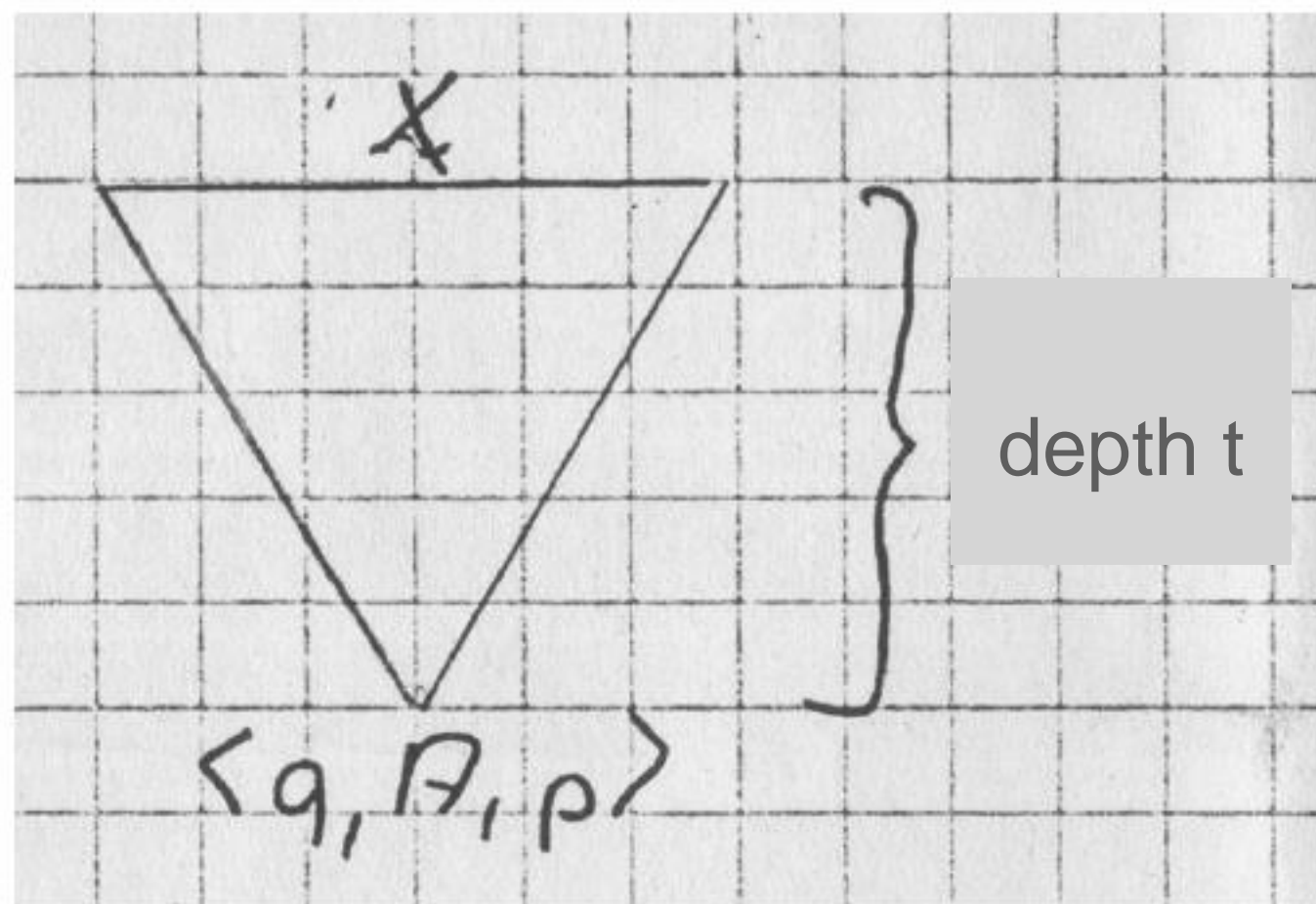


Figure 8: by induction on the depth  $t$  of the derivation tree we want to conclude  $(q, x, A) \vdash^* (p, \varepsilon, \varepsilon)$ .

- $t - 1 \rightarrow t$

construction 2: see figure 9

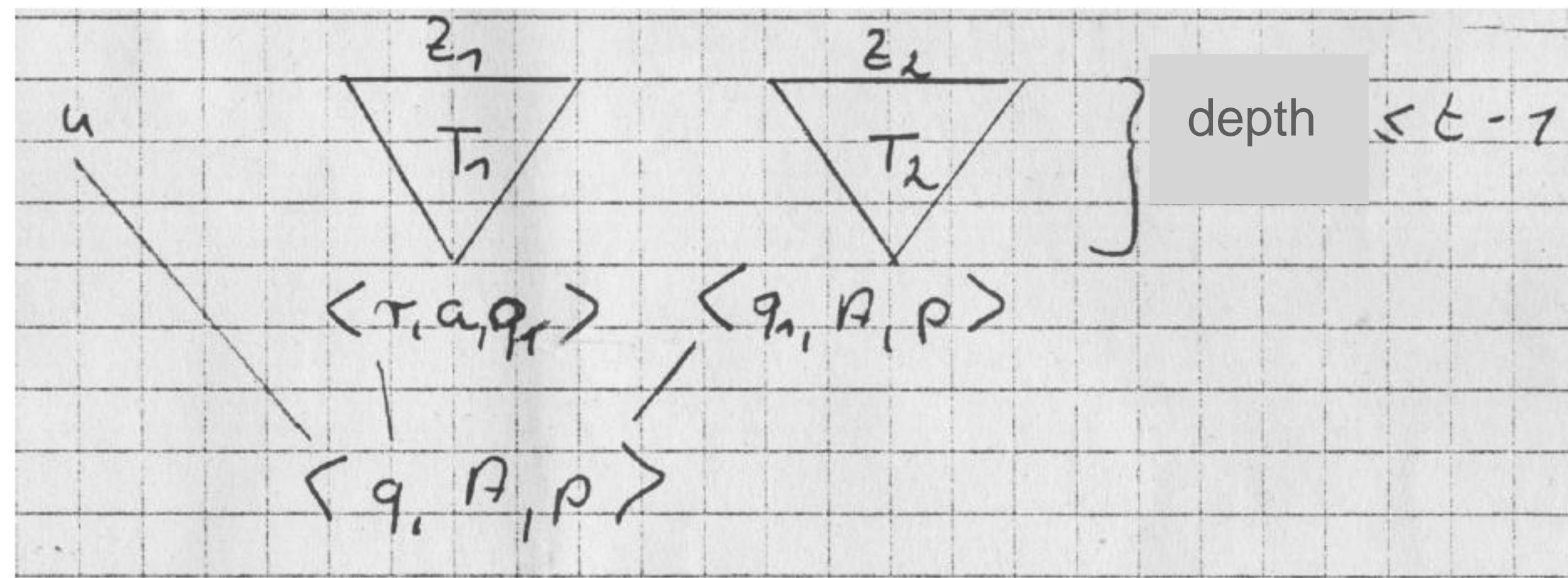


Figure 9: derivation tree for case 2 of the construction. The input word is  $x = uz_1z_2$

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hence

$$\begin{aligned} (r, z_1z_2, aA) &\vdash^* (q_1, z_2, A) \quad (\text{pushdown automata work this way, def of } \vdash) \\ &\vdash^* (p, \varepsilon, \varepsilon) \quad (\text{induction hypothesis for } T_2) \end{aligned}$$



**Lemma 9.**

$$x \in L(\langle q,A,p \rangle) \rightarrow (q,x,A) \vdash^* (p,\varepsilon,\varepsilon)$$

Proof by induction on depth  $t$  of derivation tree from  $\langle q,A,p \rangle$  to  $x$

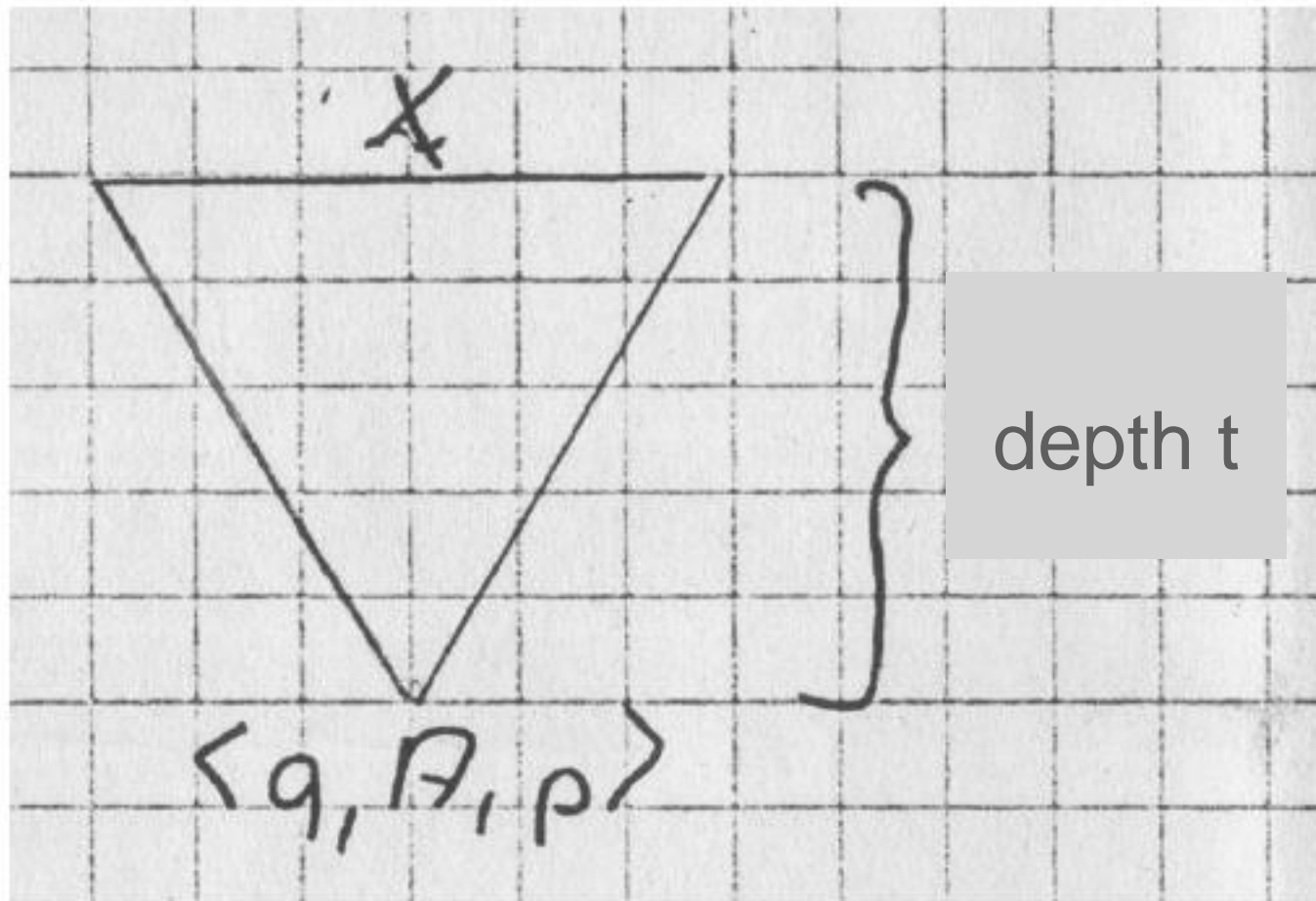


Figure 8: by induction on the depth  $t$  of the derivation tree we want to conclude  $(q,x,A) \vdash^* (p,\varepsilon,\varepsilon)$ .

- $t - 1 \rightarrow t$

construction 2: see figure 9

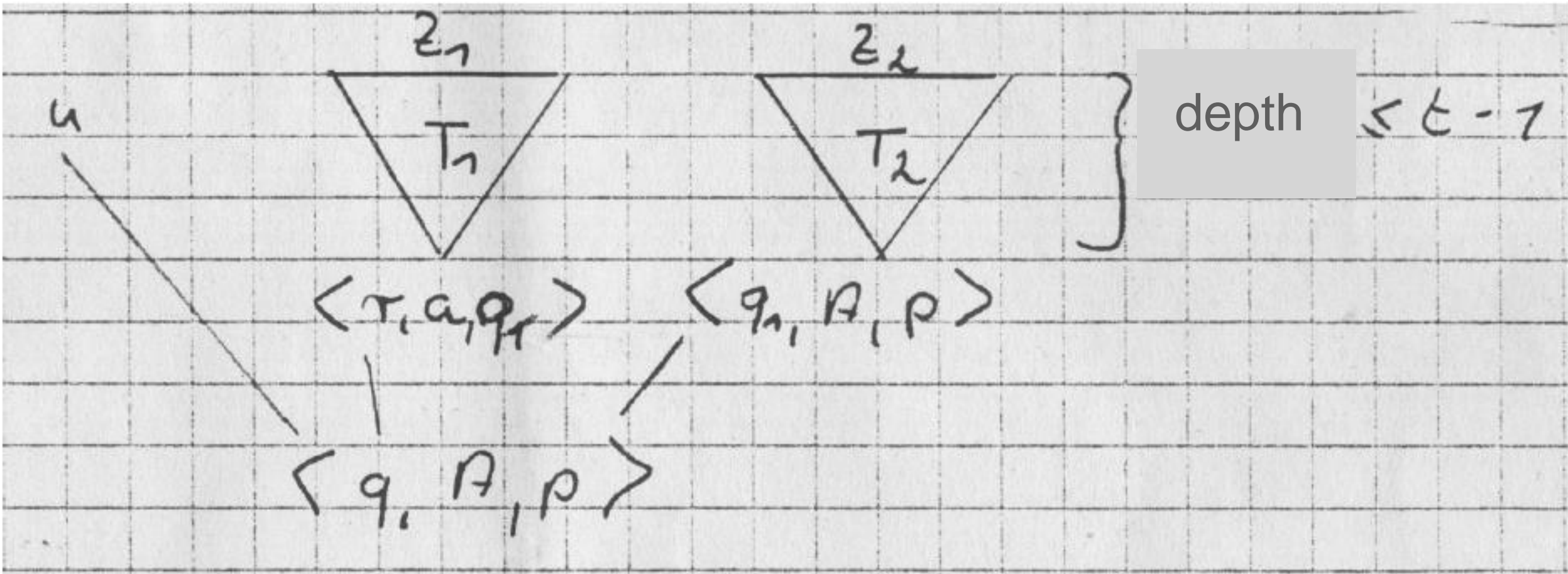


Figure 9: derivation tree for case 2 of the construction. The input word is  $x = uz_1z_2$

$$\begin{aligned} (q,x,A) &\vdash (r,z_1z_2,aA) \quad (\text{construction of } G) \\ (r,z_1,a) &\vdash^* (q_1,\varepsilon,\varepsilon) \quad (\text{induction hypothesis for } T_1) \end{aligned}$$

hence

$$\begin{aligned} (r,z_1z_2,aA) &\vdash^* (q_1,z_2,A) \quad (\text{pushdown automata work this way, def of } \vdash) \\ &\vdash^* (p,\varepsilon,\varepsilon) \quad (\text{induction hypothesis for } T_2) \end{aligned}$$

construction 3: exercise



Lemma 9.

$$x \in L(\langle q, A, p \rangle) \rightarrow (q, x, A) \vdash^* (p, \varepsilon, \varepsilon)$$

Proof by induction on depth  $t$  of derivation tree from  $\langle q, A, p \rangle$  to  $x$

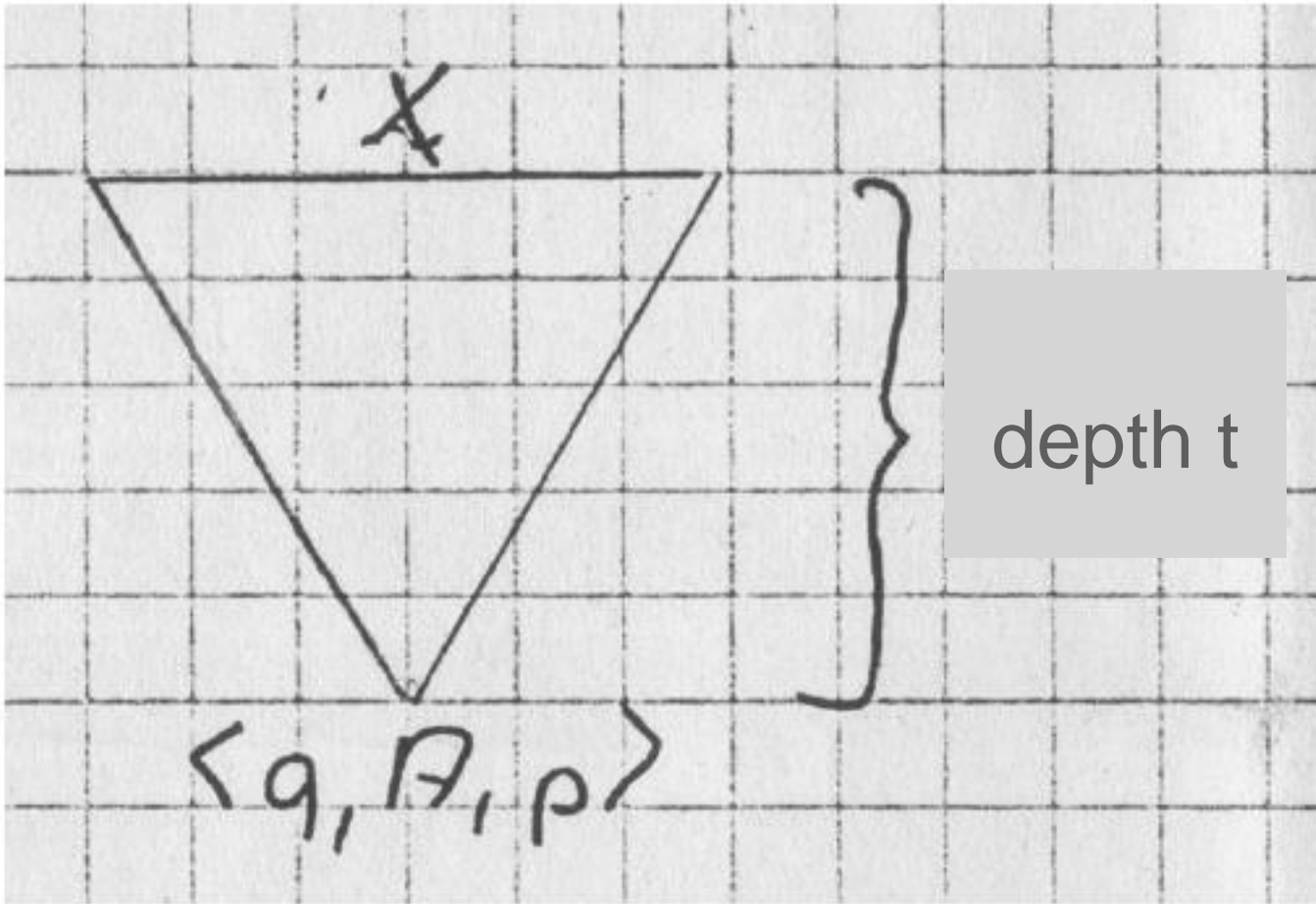


Figure 8: by induction on the depth  $t$  of the derivation tree we want to conclude  $(q, x, A) \vdash^* (p, \varepsilon, \varepsilon)$ .

construction 4:      pop with  $A \neq \epsilon$

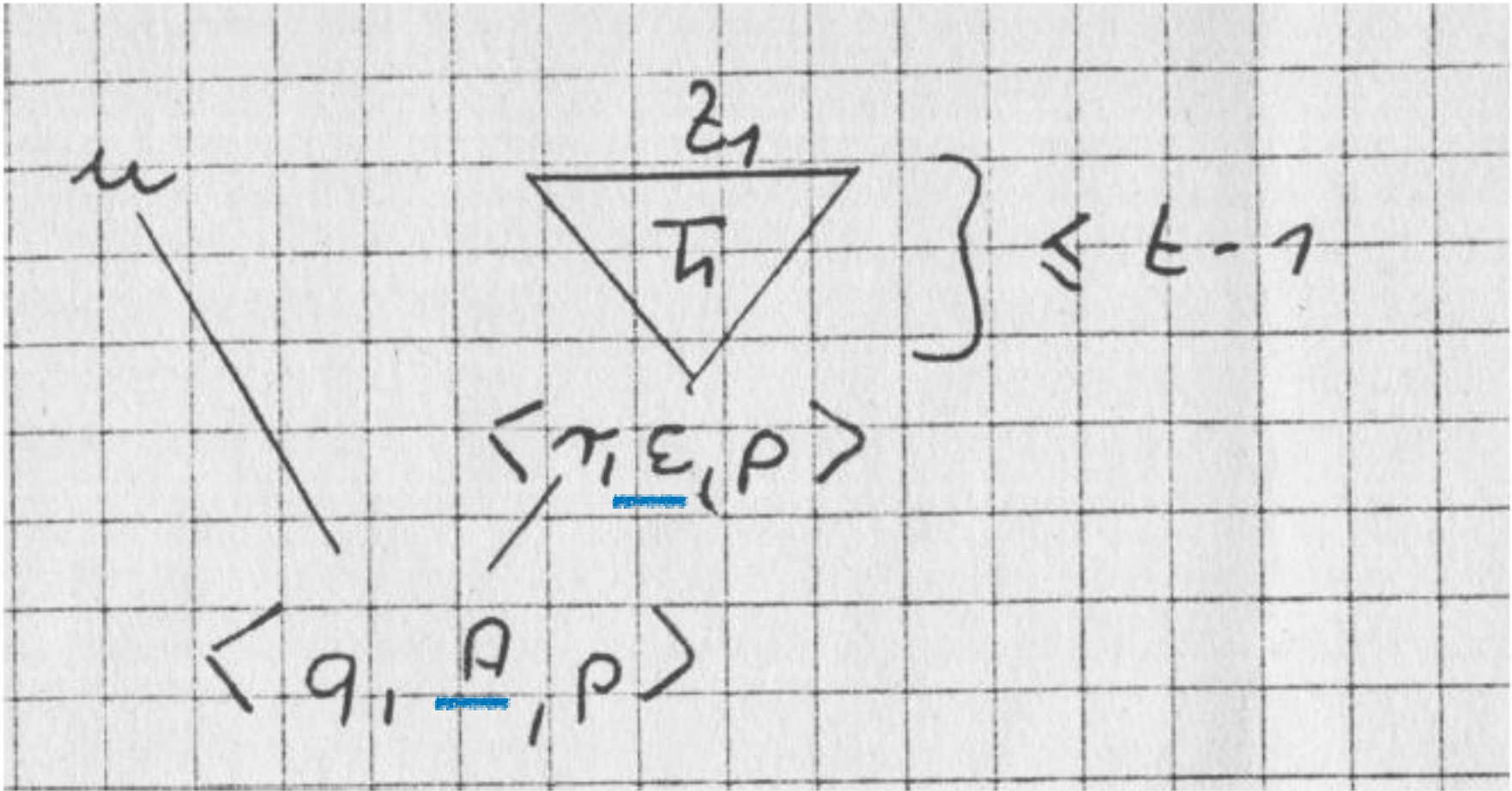


Figure 10: derivation tree for case 4 of the construction. The input word is  $x = uz_1$



Lemma 9.

$$x \in L(\langle q, A, p \rangle) \rightarrow (q, x, A) \vdash^* (p, \epsilon, \epsilon)$$

Proof by induction on depth  $t$  of derivation tree from  $\langle q, A, p \rangle$  to  $x$

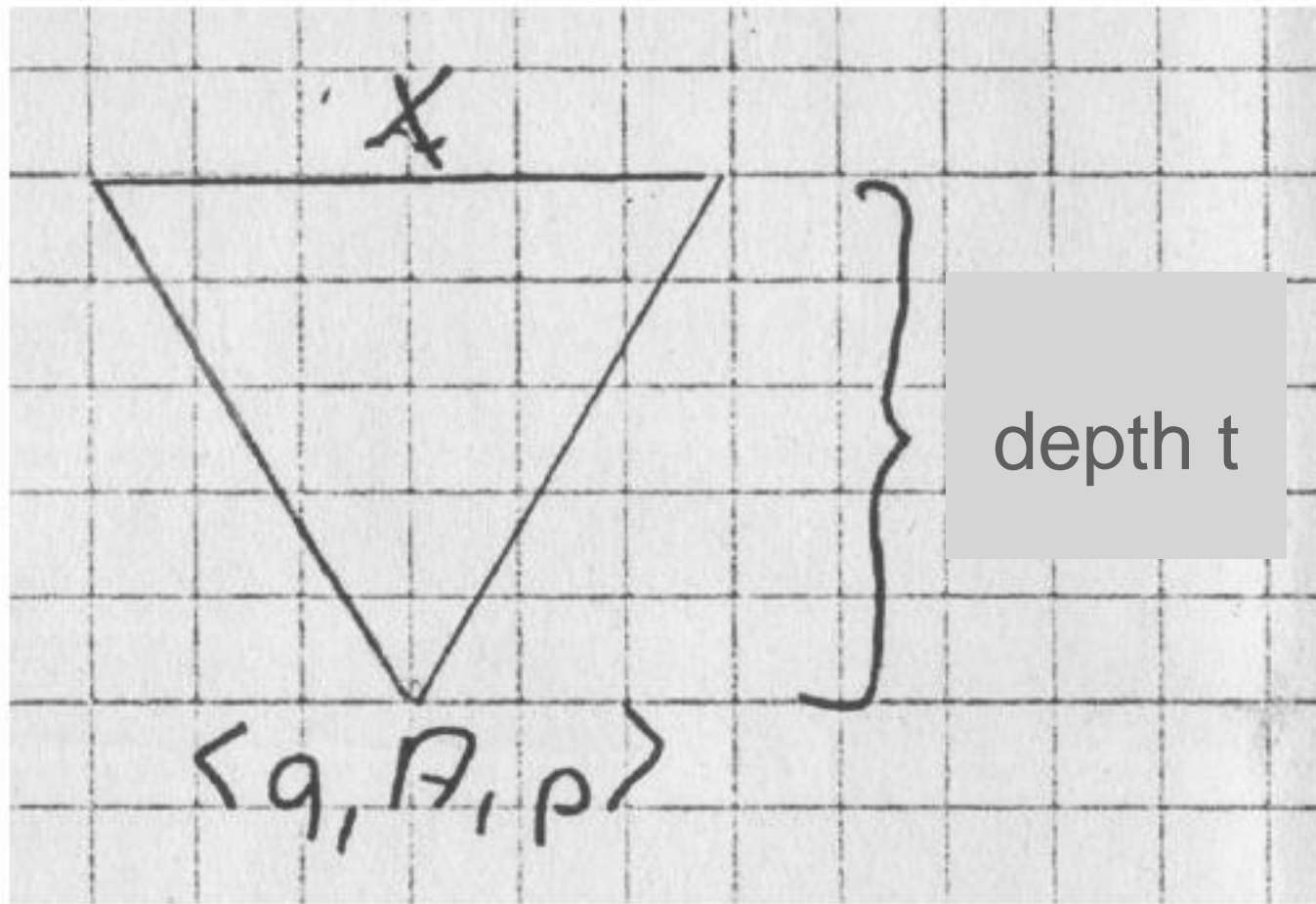


Figure 8: by induction on the depth  $t$  of the derivation tree we want to conclude  $(q, x, A) \vdash^* (p, \epsilon, \epsilon)$ .

construction 4: pop with  $A \neq \epsilon$

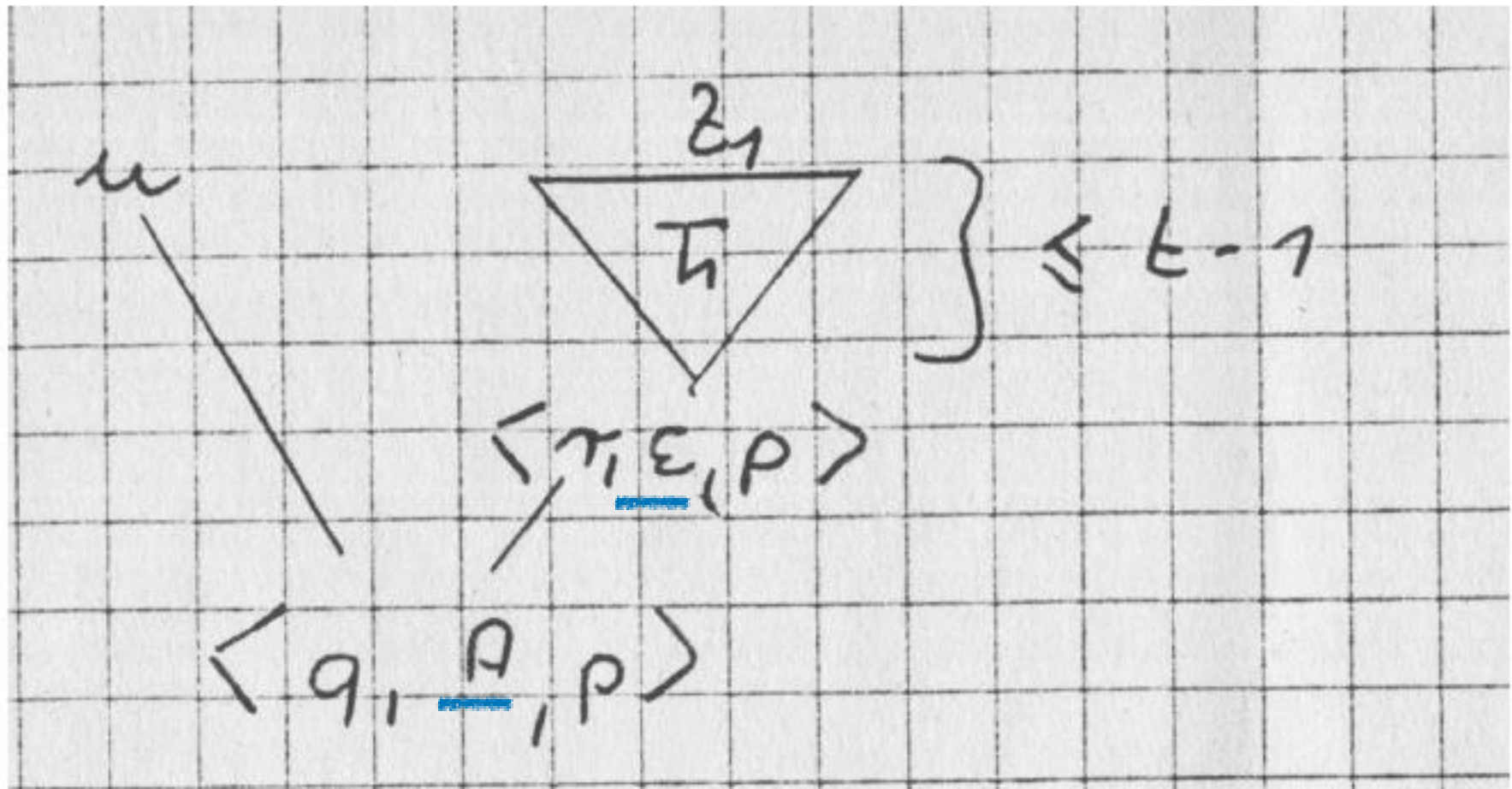


Figure 10: derivation tree for case 4 of the construction. The input word is  $x = uz_1$

$$\begin{array}{ll} (q, x, A) \vdash (r, z_1, \epsilon) & \text{(construction of } G) \\ \vdash^* (p, \epsilon, \epsilon) & \text{(induction hypothesis for } T_1) \end{array}$$



Lemma 9.

$$x \in L(\langle q, A, p \rangle) \rightarrow (q, x, A) \vdash^* (p, \epsilon, \epsilon)$$

Proof by induction on depth  $t$  of derivation tree from  $\langle q, A, p \rangle$  to  $x$

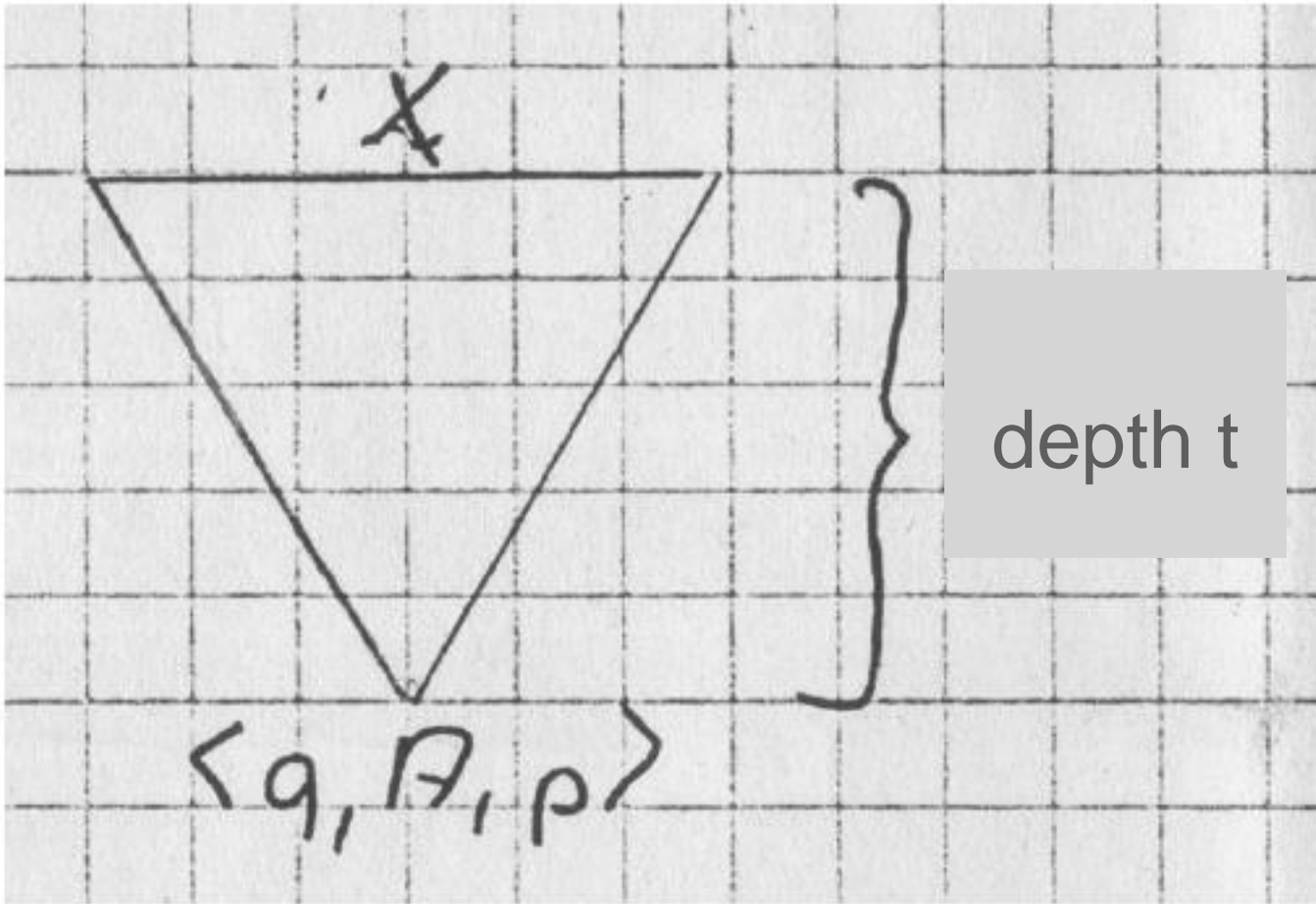


Figure 8: by induction on the depth  $t$  of the derivation tree we want to conclude  $(q, x, A) \vdash^* (p, \epsilon, \epsilon)$ .

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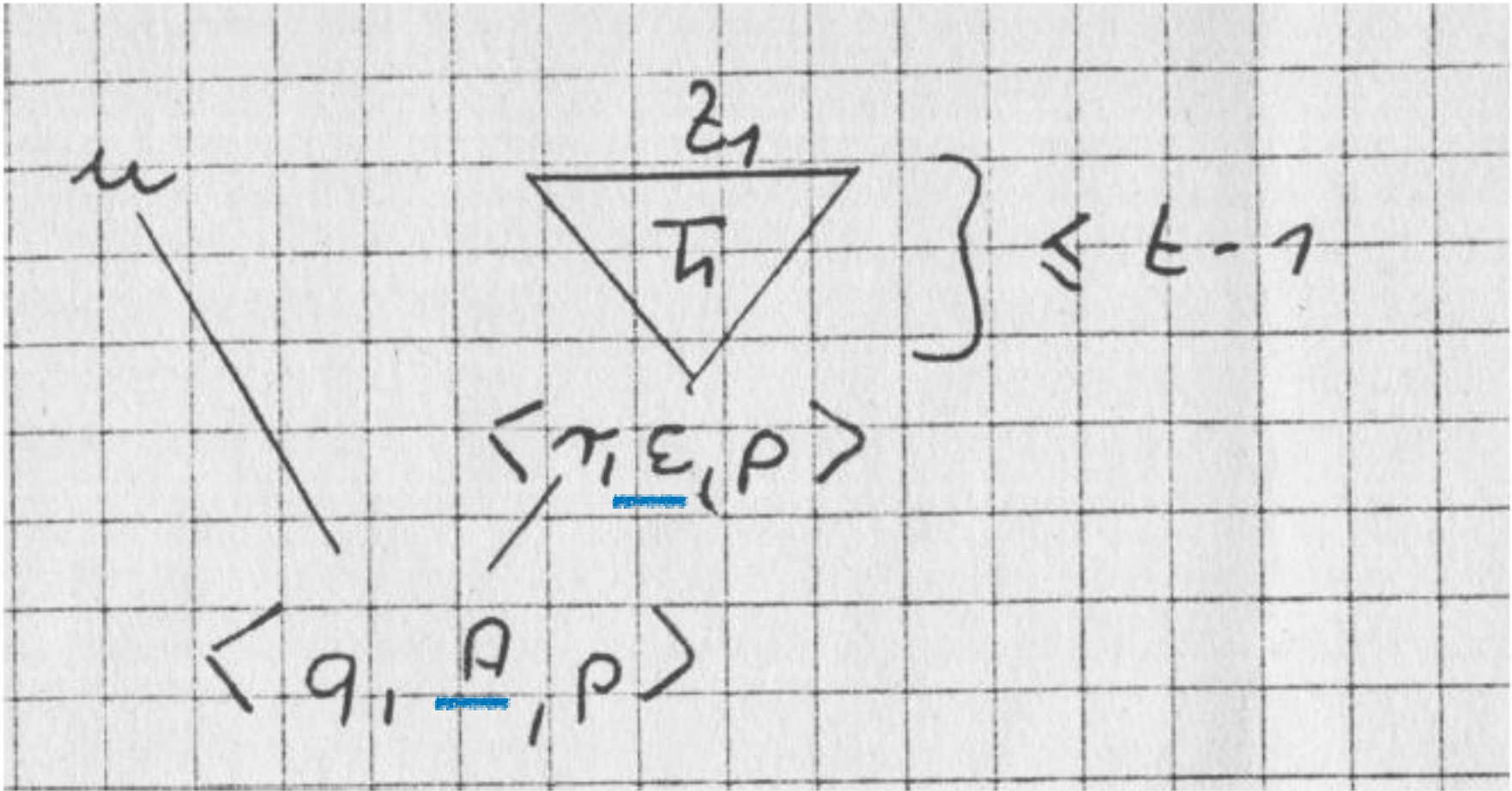


Figure 10: derivation tree for case 4 of the construction. The input word is  $x = uz_1$

$$\begin{array}{ll} (q, x, A) \vdash (r, z_1, \epsilon) & \text{(construction of } G) \\ \vdash^* (p, \epsilon, \epsilon) & \text{(induction hypothesis for } T_1) \end{array}$$

~~construction 5: exercise~~

classical place for errors (although I did not find it yet)



**Lemma 9.**

$$x \in L(\langle q,A,p \rangle) \rightarrow (q,x,A) \vdash^* (p,\varepsilon,\varepsilon)$$

Proof by induction on depth  $t$  of derivation tree from  $\langle q,A,p \rangle$  to  $x$

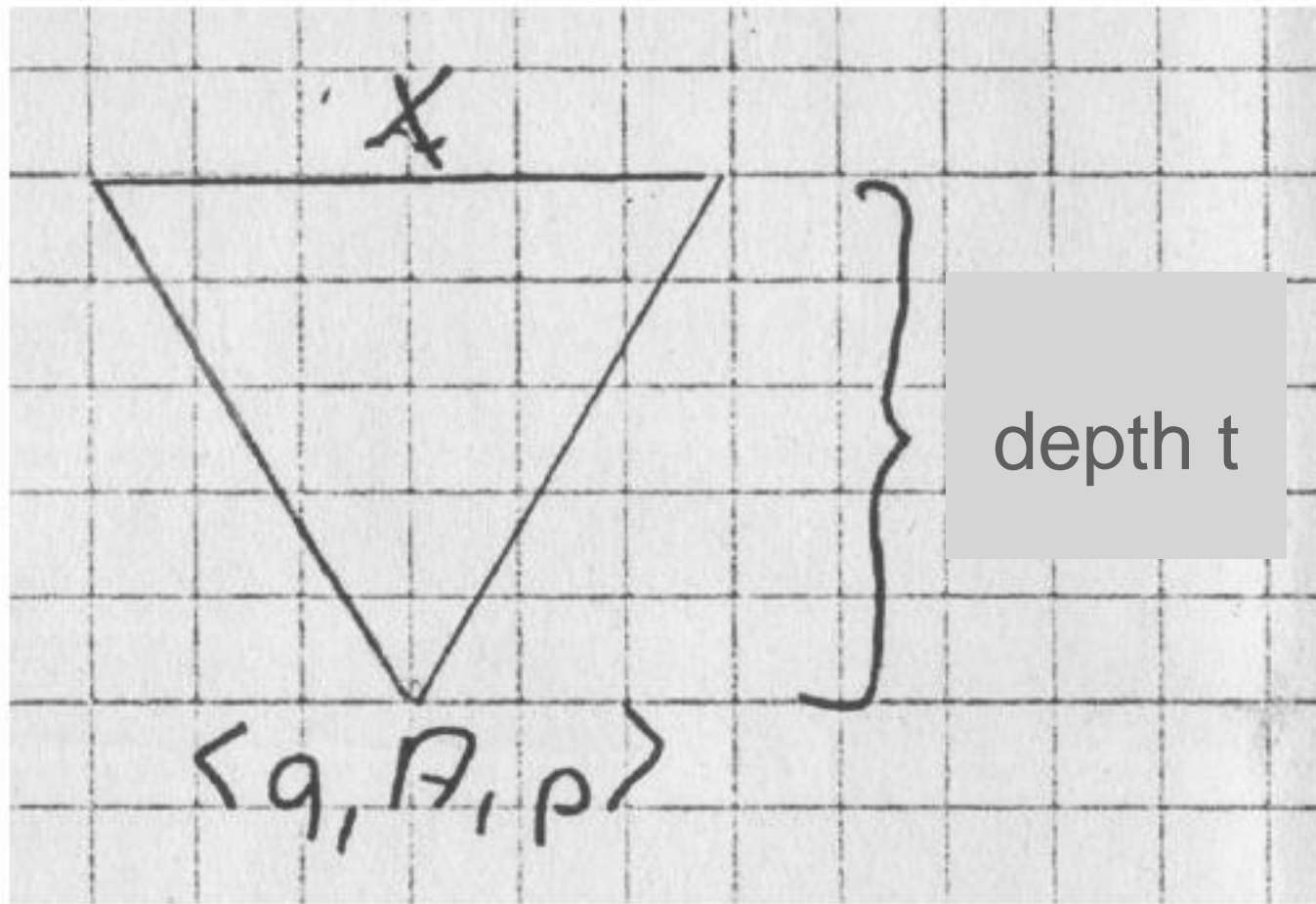


Figure 8: by induction on the depth  $t$  of the derivation tree we want to conclude  $(q,x,A) \vdash^* (p,\varepsilon,\varepsilon)$ .

**lemma implies claim**  $w \in L(G) = L(S)$  has derivation tree as in figure 11 .

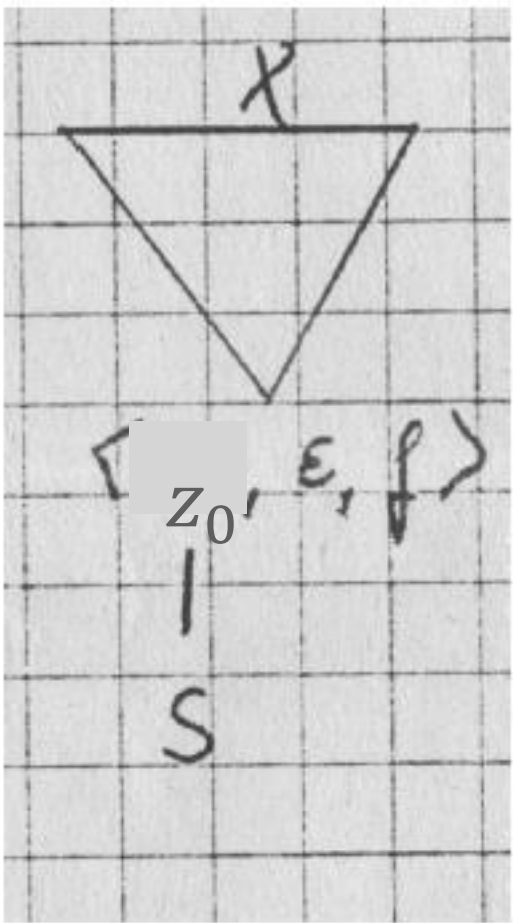


Figure 11: derivation tree for  $x$  from start symbol  $S$

The lemma implies

$$(z_0,x,\varepsilon) \vdash^* (f,\varepsilon,\varepsilon)$$

$$f \in Z_A \rightarrow x \in L(M)$$

**Lemma 10.** *For all  $x \in \Sigma^*$  and  $A \in \Gamma_\varepsilon$  and  $p, q \in Z$  holds: if*

**5.3**     $x \in L(M) \rightarrow x \in L(G)$

$$(q, x, A) \vdash^t (p, \varepsilon, \varepsilon)$$

*then*

$$\langle q, A, p \rangle \rightarrow^* x$$



**Lemma 10.** *For all  $x \in \Sigma^*$  and  $A \in \Gamma_\varepsilon$  and  $p, q \in Z$  holds: if*

$$\mathbf{5.3} \quad x \in L(M) \rightarrow x \in L(G)$$

$$(q,x,A) \vdash^t (p,\varepsilon,\varepsilon)$$

*then*

$$\langle q,A,p \rangle \rightarrow^* x$$

**lemma implies claim:**    choose

$$q = z_0 \text{ , } A = \varepsilon, \text{ } p = f \in Z_A$$

Then

$$S \rightarrow \langle z_0,\varepsilon,f \rangle \rightarrow^* x$$

**Lemma 10.**
*For all  $x \in \Sigma^*$  and  $A \in \Gamma_\varepsilon$  and  $p, q \in Z$  holds: if*

**5.3**
 $x \in L(M) \rightarrow x \in L(G)$

$$(q,x,A) \vdash^t (p,\varepsilon,\varepsilon)$$

*then*

$$\langle q,A,p \rangle \rightarrow^* x$$

**proof of lemma**    by induction on  $t$

- $t = 0$

$$q = p \text{ , } x = \varepsilon \text{ , } A = \varepsilon$$

$$\langle q,\varepsilon,q \rangle \rightarrow \varepsilon \quad (\text{construction 6})$$



**Lemma 10.** *For all  $x \in \Sigma^*$  and  $A \in \Gamma_\varepsilon$  and  $p, q \in Z$  holds: if*

$$\mathbf{5.3} \quad x \in L(M) \rightarrow x \in L(G)$$

$$(q, x, A) \vdash^t (p, \varepsilon, \varepsilon)$$

*then*

$$\langle q, A, p \rangle \rightarrow^* x$$

•  $t - 1 \rightarrow t$ :

case 1: computation starts with *push*  $a$  for some  $a \in \Gamma_\varepsilon$ .

$$(q, x, A) \vdash (r, z, aA) \vdash^{t-1} (p, \varepsilon, \varepsilon) \quad , \quad x = uz \text{ , } u \in \Sigma_\varepsilon$$

**Lemma 10.** For all  $x \in \Sigma^*$  and  $A \in \Gamma_\varepsilon$  and  $p, q \in Z$  holds: if

$$\mathbf{5.3} \quad x \in L(M) \rightarrow x \in L(G)$$

$$(q, x, A) \vdash^t (p, \varepsilon, \varepsilon)$$

then

$$\langle q, A, p \rangle \rightarrow^* x$$

•  $t - 1 \rightarrow t$ :

case 1: computation starts with *push a* for some  $a \in \Gamma_\varepsilon$ .

$$(q, x, A) \vdash (r, z, aA) \vdash^{t-1} (p, \varepsilon, \varepsilon) \quad , \quad x = uz \quad , \quad u \in \Sigma_\varepsilon$$

then

$$(r, \text{push } a) \in \delta(q, u, A) \quad \text{or} \quad (r, \text{push } a) \in \delta(q, u, \varepsilon)$$

in both cases by construction 2 and 3 there is production

$$\langle q, A, p \rangle \rightarrow u \langle r, a, q_1 \rangle \langle q_1, A, p \rangle \quad \text{for all } q_1 \in Z$$



**Lemma 10.** For all  $x \in \Sigma^*$  and  $A \in \Gamma_\varepsilon$  and  $p, q \in Z$  holds: if

$$(q, x, A) \vdash^t (p, \varepsilon, \varepsilon)$$

then

$$\langle q, A, p \rangle \rightarrow^* x$$

•  $t - 1 \rightarrow t$ :

case 1: computation starts with *push a* for some  $a \in \Gamma_\varepsilon$ .

$$(q, x, A) \vdash (r, z, aA) \vdash^{t-1} (p, \varepsilon, \varepsilon) \quad , \quad x = uz, \quad u \in \Sigma_\varepsilon$$

then

$$(r, \text{push } a) \in \delta(q, u, A) \quad \text{or} \quad (r, \text{push } a) \in \delta(q, u, \varepsilon)$$

in both cases by construction 2 and 3 there is production

$$\langle q, A, p \rangle \rightarrow u \langle r, a, q_1 \rangle \langle q_1, A, p \rangle \quad \text{for all } q_1 \in Z$$

Determining  $q_1$ : choose  $t_1$  as first  $t$  when  $a$  is popped from stack.

$$(r, z, aA) \vdash^{t_1} (q_1, z_2, A) \vdash^{t_2} (p, \varepsilon, \varepsilon) \quad \text{with} \quad z = z_1 z_2, \quad t_1 + t_2 = t$$

$M$  is pushdown automaton/definition of  $\vdash$ :

$$(r, z_1, a) \vdash^{t_1} (q_1, \varepsilon, \varepsilon)$$

$$5.3 \quad x \in L(M) \rightarrow x \in L(G)$$

induction hypothesis gives derivation trees  $T_1, T_2$  of figure 12

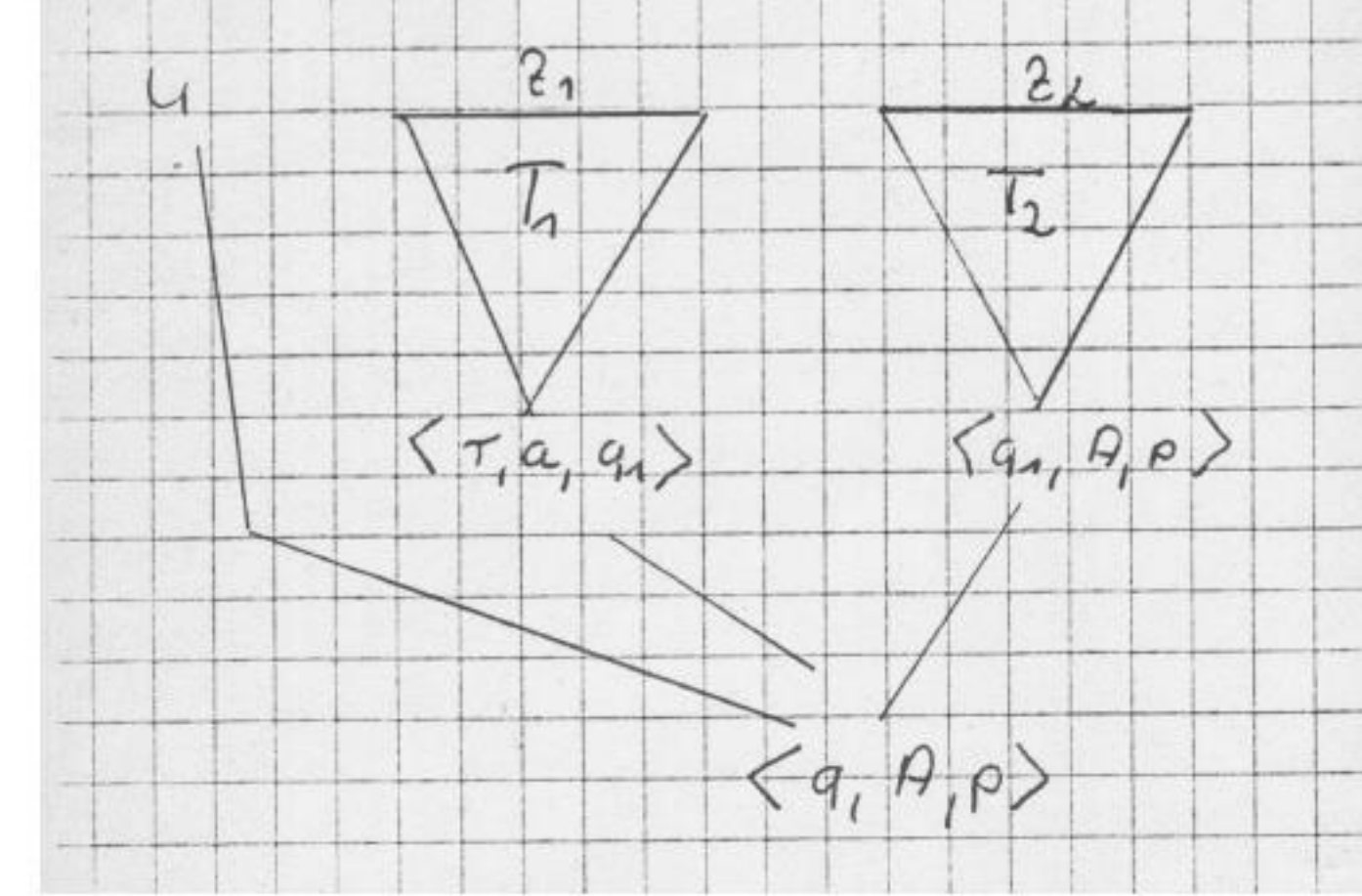


Figure 12: derivation tree for  $x$  if the computation starts with *push a*

**Lemma 10.** *For all  $x \in \Sigma^*$  and  $A \in \Gamma_\varepsilon$  and  $p, q \in Z$  holds: if*

$$\mathbf{5.3} \quad x \in L(M) \rightarrow x \in L(G)$$

$$(q, x, A) \vdash^t (p, \varepsilon, \varepsilon)$$

*then*

$$\langle q, A, p \rangle \rightarrow^* x$$

case 2: computation starts with *pop*

$$(q, x, A) \vdash (r, z, \varepsilon) \vdash^{t-1} (p, \varepsilon, \varepsilon) \quad , \quad x = uz \quad , \quad u \in \Sigma_\varepsilon$$

then

$$(r, pop) \in \delta(q, u, A) \quad \text{or} \quad (r, pop) \in \delta(q, u, \varepsilon)$$

in both cases by construction 4 and 5 there is production

$$\langle q, A, p \rangle \rightarrow u \langle r, \varepsilon, p \rangle$$



**Lemma 10.** *For all  $x \in \Sigma^*$  and  $A \in \Gamma_\varepsilon$  and  $p, q \in Z$  holds: if*

**Lemma 10.** *For all  $x \in \Sigma^*$  and  $A \in \Gamma_\varepsilon$  and  $p, q \in Z$  holds: if*

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*then*

$$\langle q, A, p \rangle \rightarrow^* x$$

case 2: computation starts with  $pop$

$$(q, x, A) \vdash (r, z, \varepsilon) \vdash^{t-1} (p, \varepsilon, \varepsilon) \quad , \quad x = uz \text{ , } u \in \Sigma_\varepsilon$$

then

$$(r, pop) \in \delta(q, u, A) \quad \text{or} \quad (r, pop) \in \delta(q, u, \varepsilon)$$

in both cases by construction 4 ~~and 5~~ there is production

$$\langle q, A, p \rangle \rightarrow u \langle r, \mathcal{E}, p \rangle$$

induction hypothesis gives derivation tree  $T_1$  of figure 13

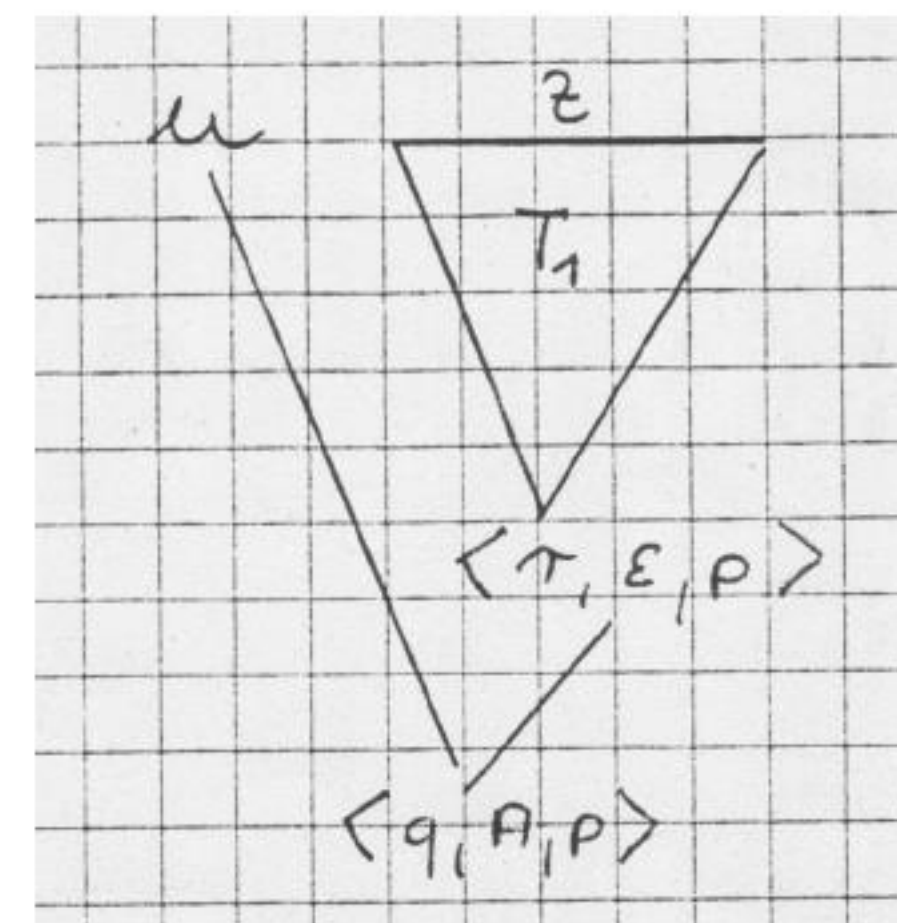


Figure 13: derivation tree for  $x$  if the computation starts with  $pop$





**Lemma 10.** For all  $x \in \Sigma^*$  and  $A \in \Gamma_\varepsilon$  and  $p, q \in Z$  holds: if

$$(q, x, A) \vdash^t (p, \varepsilon, \varepsilon)$$

then

$$\langle q, A, p \rangle \rightarrow^* x$$

case 2: computation starts with *pop*

$$(q, x, A) \vdash (r, z, \varepsilon) \vdash^{t-1} (p, \varepsilon, \varepsilon) \quad , \quad x = uz, \quad u \in \Sigma_\varepsilon$$

then

$$(r, pop) \in \delta(q, u, A) \quad \text{or} \quad (r, pop) \in \delta(q, u, \varepsilon)$$

in both cases by construction 4 ~~and 5~~ there is production

$$\langle q, A, p \rangle \rightarrow u \langle r, \varepsilon, p \rangle$$

**done**

remember: this is  
hardcore CS  
from 1962

I was 11 years old

$$5.3 \quad x \in L(M) \rightarrow x \in L(G)$$

induction hypothesis gives derivation tree  $T_1$  of figure 13

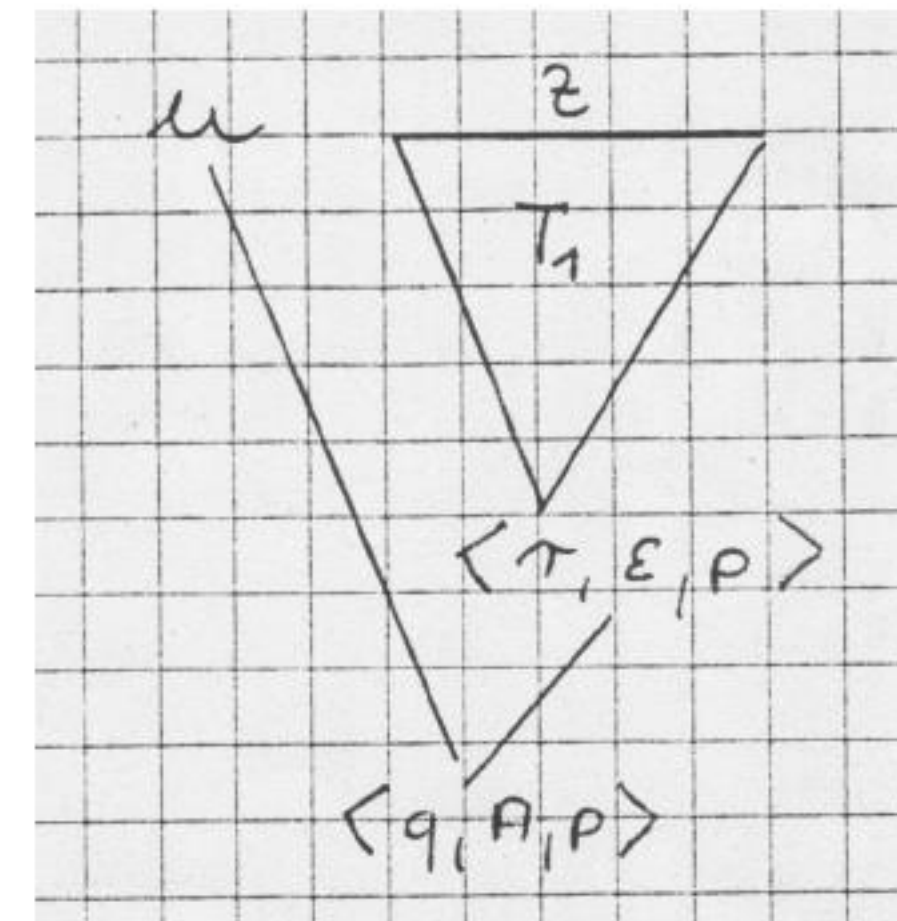


Figure 13: derivation tree for  $x$  if the computation starts with *pop*