

# APPENDIX

## Forbidden Time Travel: Characterization of Time-Consistent Tree Reconciliation Maps

### A Observable Scenarios

**Lemma 1.** Condition (O2) implies that  $\{L_{T_{\bar{\mathcal{E}}}}(\rho_1), \dots, L_{T_{\bar{\mathcal{E}}}}(\rho_k)\}$  forms a partition of  $\mathbb{G}$ .

**Proof.** Since  $L_{T_{\bar{\mathcal{E}}}}(\rho_i) \subseteq V(T)$ , it suffices to show that  $L_{T_{\bar{\mathcal{E}}}}(\rho_i)$  does not contain vertices of  $V(T) \setminus \mathbb{G}$ . Note,  $x \in L_{T_{\bar{\mathcal{E}}}}(\rho_i)$  with  $x \notin \mathbb{G}$  is only possible if all edges  $(x, y)$  are removed.

Let  $x \in V$  with  $t(x) = \Delta$  such that all edges  $(x, y)$  are removed. Thus, all such edges  $(x, y)$  are contained in  $\mathcal{E}$ . Therefore, every edge of the form  $(x, y)$  is a transfer edge; a contradiction to (O2). ◀

Recall that all edges labeled “0” transmit the genetic material vertically, i.e., from one species to a descendant lineage.

**Lemma.** Conditions (O1) – (O3) imply  $(\Sigma 1)$ .

**Proof.** Since (O2) is satisfied we can apply Lemma 1 and conclude that neither  $\sigma(L_{T_{\bar{\mathcal{E}}}}(v)) = \emptyset$  nor  $\sigma(L_{T_{\bar{\mathcal{E}}}}(w)) = \emptyset$ . Let  $x \in V(T)$  with  $t(x) = \bullet$ . By Condition (O1)  $x$  has (at least two) children. Moreover, (O3) implies that there are (at least) two children  $v$  and  $w$  in  $T$  that are contained in distinct species  $V$  and  $W$  that are incomparable in  $S$ . Note, the edges  $(x, v)$  and  $(x, w)$  remain in  $T_{\bar{\mathcal{E}}}$ , since only transfer edges are removed. Since no transfer is contained in  $T_{\bar{\mathcal{E}}}$ , the genetic material  $v$  and  $w$  of  $V$  and  $W$ , respectively, is always vertically transmitted. Therefore, for any leaf  $v' \in L_{T_{\bar{\mathcal{E}}}}(v)$  we have  $\sigma(v') \preceq_S V$  and for any leaf  $w' \in L_{T_{\bar{\mathcal{E}}}}(w)$  we have  $\sigma(w') \preceq_S W$  in  $S$ . Assume now for contradiction, that  $\sigma(L_{T_{\bar{\mathcal{E}}}}(v)) \cap \sigma(L_{T_{\bar{\mathcal{E}}}}(w)) \neq \emptyset$ . Let  $z_1 \in L_{T_{\bar{\mathcal{E}}}}(v)$  and  $z_2 \in L_{T_{\bar{\mathcal{E}}}}(w)$  with  $\sigma(z_1) = \sigma(z_2) = Z$ . Since  $Z \preceq_S V, W$  and  $S$  is a tree, the species  $V$  and  $W$  must be comparable in  $S$ ; a contradiction to (O3). ◀

**Lemma.** Conditions (O1) – (O3) imply  $(\Sigma 2)$ .

**Proof.** Since (O2) is satisfied we can apply Lemma 1 and conclude that neither  $\sigma(L_{T_{\bar{\mathcal{E}}}}(v)) = \emptyset$  nor  $\sigma(L_{T_{\bar{\mathcal{E}}}}(w)) = \emptyset$ . Let  $(v, w) \in \mathcal{E}$ . By (O3) the species containing  $V$  and  $W$  are incomparable in  $S$ . Now we can argue along the same lines as in the proof of the previous Lemma to conclude that  $\sigma(L_{T_{\bar{\mathcal{E}}}}(v)) \cap \sigma(L_{T_{\bar{\mathcal{E}}}}(w)) = \emptyset$ . ◀

### B DTL-scenario

In case that the event-labeling of  $T$  is unknown, but the gene tree  $T$  and a species tree  $S$  are given, the authors in [21, 3] provide an axiom set, called DTL-scenario, to reconcile  $T$  with  $S$ . This reconciliation is then used to infer the event-labeling  $t$  of  $T$ . Instead of defining a DTL-scenario as octuple [21, 3], we use the notation established above:

► **Definition 13** (DTL-scenario). For a given gene tree  $(T; t, \sigma)$  on  $\mathbb{G}$  and a species tree  $S$  on  $\mathbb{S}$  the map  $\gamma : V(T) \rightarrow V(S)$  maps the gene tree into the species tree such that

- (I) For each leaf  $x \in \mathbb{G}$ ,  $\gamma(x) = \sigma(x)$ .
- (II) If  $u \in V(T) \setminus \mathbb{G}$  with children  $v, w$ , then

- (a)  $\gamma(u)$  is not a proper descendant of  $\gamma(v)$  or  $\gamma(w)$ , and
- (b) at least one of  $\gamma(v)$  or  $\gamma(w)$  is a descendant of  $\gamma(u)$ .
- (III)  $(u, v)$  is a transfer edge if and only if  $\gamma(u)$  and  $\gamma(v)$  are incomparable.
- (IV) If  $u \in V(T) \setminus \mathbb{G}$  with children  $v, w$ , then
  - (a)  $t(u) = \triangle$  if and only if either  $(u, v)$  or  $(u, w)$  is a transfer-edge,
  - (b) If  $t(u) = \bullet$ , then  $\gamma(u) = \text{lca}_S(\gamma(v), \gamma(w))$  and  $\gamma(v), \gamma(w)$  are incomparable,
  - (c) If  $t(u) = \square$ , then  $\gamma(u) \succeq \text{lca}_S(\gamma(v), \gamma(w))$ .

DTL-scenarios are explicitly defined for fully resolved binary gene and species trees. Indeed, Fig. 1 (right) shows a valid reconciliation between a gene tree  $T$  and a species tree  $S$  that is not consistent with DTL-scenario. To see this, let us call the duplication vertex  $v$ . The vertex  $v$  and the leaf  $a$  are both children of the speciation vertex  $\rho_T$ . Condition (IVb) implies that  $a$  and  $v$  must be incomparable. However, this is not possible since  $\gamma(v) \succeq_S \text{lca}_S(B, C)$  (Cond. (IVc)) and  $\gamma(a) = A$  (Cond. (I)) and therefore,  $\gamma(v) \succeq_S \text{lca}_S(B, C) = \text{lca}_S(A, B, C) \succ_S \gamma(a)$ .

Nevertheless, we show in the following that, in case both gene and species trees are binary, our choice of reconciliation map is equivalent to the definition of a DTL-scenario [21, 3]. To this end, we provide first the following lemmas that establishes useful properties of the reconciliation map

► **Lemma 14.** *Let  $\mu$  be a reconciliation map from  $(T; t, \sigma)$  to  $S$  and assume that  $T$  is binary. Then the following conditions are satisfied:*

1. *If  $v, w \in V(T)$  are in the same connected component of  $T_{\bar{\mathcal{E}}}$ , then  $\mu(\text{lca}_{T_{\bar{\mathcal{E}}}}(v, w)) \succeq_S \text{lca}_S(\mu(v), \mu(w))$ .*
- Let  $u$  be an arbitrary interior vertex of  $T$  with children  $v, w$ , then:*
2.  *$\mu(u)$  and  $\mu(v)$  are incomparable in  $S$  if and only if  $(u, v) \in \mathcal{E}$ .*
3. *If  $t(u) = \bullet$ , then  $\mu(v)$  and  $\mu(w)$  are incomparable in  $S$ .*
4. *If  $\mu(v), \mu(w)$  are comparable or  $\mu(u) \succ_S \text{lca}_S(\mu(v), \mu(w))$ , then  $t(u) = \square$ .*

**Proof.** We prove the Items 1 - 4 separately. Recall, Lemma 1 implies that  $\sigma(L_{T_{\bar{\mathcal{E}}}}(x)) \neq \emptyset$  for all  $x \in V(T)$ .

*Proof of Item 1:* Let  $v$  and  $w$  be distinct vertices of  $T$  that are in the same connected component of  $T_{\bar{\mathcal{E}}}$ . Consider the unique path  $P$  connecting  $w$  with  $v$  in  $T_{\bar{\mathcal{E}}}$ . This path  $P$  is uniquely subdivided into a path  $P'$  and a path  $P''$  from  $\text{lca}_{T_{\bar{\mathcal{E}}}}(v, w)$  to  $v$  and  $w$ , respectively. Condition (M3) implies that the images of the vertices of  $P'$  and  $P''$  under  $\mu$ , resp., are ordered in  $S$  with regards to  $\preceq_S$  and hence, are contained in the intervals  $Q'$  and  $Q''$  that connect  $\mu(\text{lca}_{T_{\bar{\mathcal{E}}}}(v, w))$  with  $\mu(v)$  and  $\mu(w)$ , respectively. In particular,  $\mu(\text{lca}_{T_{\bar{\mathcal{E}}}}(v, w))$  is the largest element (w.r.t.  $\preceq_S$ ) in the union of  $Q' \cup Q''$  which contains the unique path from  $\mu(v)$  to  $\mu(w)$  and hence also  $\text{lca}_S(\mu(v), \mu(w))$ .

*Proof of Item 2:* If  $(u, v) \in \mathcal{E}$  then,  $t(u) = \triangle$  and (M2iii) implies that  $\mu(u)$  and  $\mu(v)$  are incomparable. To see the converse, let  $\mu(u)$  and  $\mu(v)$  be incomparable in  $S$ . Item (M3) implies that for any edge  $(x, y) \in E(T_{\bar{\mathcal{E}}})$  we have  $\mu(y) \preceq_S \mu(x)$ . However, since  $\mu(u)$  and  $\mu(v)$  are incomparable it must hold that  $(u, v) \notin E(T_{\bar{\mathcal{E}}})$ . Since  $(u, v)$  is an edge in the gene tree  $T$ ,  $(u, v) \in \mathcal{E}$  is a transfer edge.

*Proof of Item 3:* Let  $t(u) = \bullet$ . Since none of  $(u, v)$  and  $(u, w)$  are transfer-edges, it follows that both edges are contained in  $T_{\bar{\mathcal{E}}}$ . Then, since  $T$  is a binary tree, it follows that  $L_{T_{\bar{\mathcal{E}}}}(u) = L_{T_{\bar{\mathcal{E}}}}(v) \cup L_{T_{\bar{\mathcal{E}}}}(w)$  and therefore,  $\sigma_{T_{\bar{\mathcal{E}}}}(u) = \sigma_{T_{\bar{\mathcal{E}}}}(v) \cup \sigma_{T_{\bar{\mathcal{E}}}}(w)$ .

Therefore and by Item (M2i),

$$\mu(u) = \text{lca}_S(\sigma_{T_{\bar{\mathcal{E}}}}(u)) = \text{lca}_S(\sigma_{T_{\bar{\mathcal{E}}}}(v) \cup \sigma_{T_{\bar{\mathcal{E}}}}(w)) = \text{lca}_S(\text{lca}_S(\sigma_{T_{\bar{\mathcal{E}}}}(v)), \text{lca}_S(\sigma_{T_{\bar{\mathcal{E}}}}(w))).$$

Assume for contradiction that  $\mu(v)$  and  $\mu(w)$  are comparable, say,  $\mu(w) \succeq_S \mu(v)$ . By Lemma 3,  $\mu(w) \succeq_S \mu(v) \succeq_S \text{lca}_S(\sigma_{T_{\bar{\mathcal{E}}}}(v))$  and  $\mu(w) \succeq_S \text{lca}_S(\sigma_{T_{\bar{\mathcal{E}}}}(w))$ . Thus,

$$\mu(w) \succeq_S \text{lca}_S(\text{lca}_S(\sigma_{T_{\bar{\mathcal{E}}}}(v)), \text{lca}_S(\sigma_{T_{\bar{\mathcal{E}}}}(w))).$$

Thus,  $\mu(w) \succeq_S \mu(u)$ ; a contradiction to (M3ii).

*Proof of Item 4:* Let  $\mu(v), \mu(w)$  be comparable in  $S$ . Item 3 implies that  $t(u) \neq \bullet$ . Assume for contradiction that  $t(u) = \Delta$ . Since by (O2) only one of the edges  $(u, v)$  and  $(u, w)$  is a transfer edge, we have either  $(u, v) \in \mathcal{E}$  or  $(u, w) \in \mathcal{E}$ . W.l.o.g. let  $(u, v) \in \mathcal{E}$  and  $(u, w) \in E(T_{\bar{\mathcal{E}}})$ . By Condition (M3),  $\mu(u) \succeq_S \mu(w)$ . However, since  $\mu(v)$  and  $\mu(w)$  are comparable in  $S$ , also  $\mu(u)$  and  $\mu(v)$  are comparable in  $S$ ; a contradiction to Item 2. Thus,  $t(u) \neq \Delta$ . Since each interior vertex is labeled with one event, we have  $t(u) = \square$ .

Assume now that  $\mu(u) \succ_S \text{lca}_S(\mu(v), \mu(w))$ . Hence,  $\mu(u)$  is comparable to both  $\mu(v)$  and  $\mu(w)$  and thus, (M2iii) implies that  $t(u) \neq \Delta$ . Lemma 3 implies  $\mu(v) \succeq_S \text{lca}_S(\sigma_{T_{\bar{\mathcal{E}}}}(v))$  and  $\mu(w) \succeq_S \text{lca}_S(\sigma_{T_{\bar{\mathcal{E}}}}(w))$ .

$$\text{lca}_S(\mu(v), \mu(w)) \succeq_S \text{lca}_S(\text{lca}_S(\sigma_{T_{\bar{\mathcal{E}}}}(v)), \text{lca}_S(\sigma_{T_{\bar{\mathcal{E}}}}(w))) = \text{lca}_S(\sigma_{T_{\bar{\mathcal{E}}}}(v) \cup \sigma_{T_{\bar{\mathcal{E}}}}(w)).$$

Since  $T(u) \neq \Delta$  it follows that neither  $(u, v) \in \mathcal{E}$  nor  $(u, w) \in \mathcal{E}$  and hence, both edges are contained in  $T_{\bar{\mathcal{E}}}$ . By the same argumentation as in Item 3 it follows that  $\sigma_{T_{\bar{\mathcal{E}}}}(u) = \sigma_{T_{\bar{\mathcal{E}}}}(v) \cup \sigma_{T_{\bar{\mathcal{E}}}}(w)$  and therefore,  $\text{lca}_S(\sigma_{T_{\bar{\mathcal{E}}}}(v) \cup \sigma_{T_{\bar{\mathcal{E}}}}(w)) = \text{lca}_S(\sigma_{T_{\bar{\mathcal{E}}}}(u))$ . Hence,  $\mu(u) \succ_S \text{lca}_S(\mu(v), \mu(w)) \succeq_S \text{lca}_S(\sigma_{T_{\bar{\mathcal{E}}}}(u))$ . Now, (M2i) implies  $t(u) \neq \bullet$ . Since each interior vertex is labeled with one event, we have  $t(u) = \square$ .  $\blacktriangleleft$

► **Lemma 15.** *Let  $\mu$  be a reconciliation map for the gene tree  $(T; t, \sigma)$  and the species tree  $S$  as in Definition 2. Moreover, assume that  $T$  and  $S$  are binary. Set for all  $u \in V(T)$ :*

$$\gamma(u) = \begin{cases} \mu(u) & , \text{if } \mu(u) \in V(S) \\ y & , \text{if } \mu(u) = (x, y) \in E(S) \end{cases}$$

*Then  $\gamma : V(T) \rightarrow V(S)$  is a map according to the DTL-scenario.*

**Proof.** We first emphasize that, by construction,  $\mu(u) \succeq_S \gamma(u)$  for all  $u \in V(T)$ . Moreover,  $\mu(u) = \mu(v)$  implies that  $\gamma(u) = \gamma(v)$ , and  $\gamma(u) = \gamma(v)$  implies that  $\mu(u)$  and  $\mu(v)$  are comparable. Furthermore,  $\mu(u) \prec_S \mu(v)$  implies  $\gamma(u) \preceq_S \gamma(v)$ , while  $\gamma(u) \prec_S \gamma(v)$  implies that  $\mu(u) \prec_S \mu(v)$ . Thus,  $\mu(u)$  and  $\mu(v)$  are comparable if and only if  $\gamma(u)$  and  $\gamma(v)$  are comparable.

Item (I) and (M1) are equivalent.

For Item (II) let  $u \in V(T) \setminus \mathbb{G}$  be an interior vertex with children  $v, w$ . If  $(u, w) \notin \mathcal{E}$ , then  $w \prec_{T_{\bar{\mathcal{E}}}} u$ . Applying Condition (M3) yields  $\mu(w) \preceq_S \mu(u)$  and thus, by construction,  $\gamma(w) \preceq_S \gamma(u)$ . Therefore,  $\gamma(u)$  is not a proper descendant of  $\gamma(w)$  and  $\gamma(w)$  is a descendant of  $\gamma(u)$ . If one of the edges, say  $(u, v)$ , is a transfer edge, then  $t(u) = \Delta$  and by Condition (M2iii)  $\mu(u)$  and  $\mu(v)$  are incomparable. Hence,  $\gamma(u)$  and  $\gamma(v)$  are incomparable. Therefore,  $\gamma(u)$  is no proper descendant of  $\gamma(v)$ . Note that (O2) implies that for each vertex  $u \in V(T) \setminus \mathbb{G}$  at least one of its outgoing edges must be a non-transfer edge, which implies that  $\gamma(w) \preceq_S \gamma(u)$  or  $\gamma(v) \preceq_S \gamma(u)$  as shown before. Hence, Item (IIa) and (IIb) are satisfied.

For Item (III) assume first that  $(u, v) \in \mathcal{E}$  and therefore  $t(u) = \Delta$ . Then, (M2iii) implies that  $\mu(u)$  and  $\mu(v)$  are incomparable and thus,  $\gamma(u)$  and  $\gamma(v)$  are incomparable. Now assume that  $(u, v)$  is an edge in the gene tree  $T$  and  $\gamma(u)$  and  $\gamma(v)$  are incomparable. Therefore,  $\mu(u)$  and  $\mu(v)$  are incomparable. Now, apply Lemma 14(2).

Item (IVa) is clear by the event-labeling  $t$  of  $T$  and since (O2). Now assume for (IVb) that  $t(u) = \bullet$ . Lemma 14(3) implies that  $\mu(v)$  and  $\mu(w)$  are incomparable and thus,  $\gamma(v)$  and  $\gamma(w)$  must be incomparable as well. Furthermore, Condition (M2i) implies that  $\mu(u) = \text{lca}_S(\sigma_{T_{\bar{e}}}(u))$ . Lemma 3 implies that  $\mu(v) \succeq_S \text{lca}_S(\sigma_{T_{\bar{e}}}(v))$  and  $\mu(w) \succeq_S \text{lca}_S(\sigma_{T_{\bar{e}}}(w))$ . The latter together with the incomparability of  $\mu(v)$  and  $\mu(w)$  implies that

$$\begin{aligned} \text{lca}_S(\mu(v), \mu(w)) &= \text{lca}_S(\text{lca}_S(\sigma_{T_{\bar{e}}}(v)), \text{lca}_S(\sigma_{T_{\bar{e}}}(w))) \\ &= \text{lca}_S(\sigma_{T_{\bar{e}}}(v) \cup \sigma_{T_{\bar{e}}}(w)) = \text{lca}_S(\sigma_{T_{\bar{e}}}(u)) = \mu(u). \end{aligned}$$

If  $\mu(v)$  is mapped on the edge  $(x, y)$  in  $T$ , then  $\gamma(v) = y$ . By definition of lca for edges,  $\text{lca}_S(\mu(v), \gamma(w)) = \text{lca}_S(y, \gamma(w)) = \text{lca}_S(\gamma(v), \gamma(w))$ . The same argument applies if  $\mu(w)$  is mapped on an edge. Since for all  $z \in V(T)$  either  $\mu(z) \succ_S \gamma(z)$  (if  $\mu(z)$  is mapped on an edge) or  $\mu(z) = \gamma(z)$ , we always have

$$\text{lca}_S(\gamma(v), \gamma(w)) = \text{lca}_S(\mu(v), \mu(w)) = \mu(u).$$

Since  $t(u) = \bullet$ , (M2i) implies that  $\mu(u) \in V(S)$  and therefore, by construction of  $\gamma$  it holds that  $\mu(u) = \gamma(u)$ . Thus,  $\gamma(u) = \text{lca}_S(\gamma(v), \gamma(w))$ . For (IVc) assume that  $t(u) = \square$ . Condition (M3) implies that  $\mu(u) \succeq_S \mu(v), \mu(w)$  and therefore,  $\gamma(u) \succeq_S \gamma(v), \gamma(w)$ . If  $\gamma(v)$  and  $\gamma(w)$  are incomparable, then  $\gamma(u) \succeq_S \gamma(v), \gamma(w)$  implies that  $\gamma(u) \succeq_S \text{lca}_S(\gamma(v), \gamma(w))$ . If  $\gamma(v)$  and  $\gamma(w)$  are comparable, say  $\gamma(v) \succeq_S \gamma(w)$ , then  $\gamma(u) \succeq_S \gamma(v) = \text{lca}_S(\gamma(v), \gamma(w))$ . Hence, Statement (IVc) is satisfied.  $\blacktriangleleft$

► **Lemma 16.** *Let  $\gamma : V(T) \rightarrow V(S)$  be a map according to the DTL-scenario for the binary the gene tree  $(T; t, \sigma)$  and the binary species tree  $S$ .*

*Set for all  $u \in V(T)$ :*

$$\mu(u) = \begin{cases} \gamma(u) & , \text{if } t(u) \in \{\bullet, \odot\} \\ (x, \gamma(u)) \in E(S) & , \text{if } t(u) \in \{\triangle, \square\} \end{cases}$$

*Then  $\mu : V(T) \rightarrow V(S) \cup E(S)$  is a reconciliation map according to Definition 2.*

**Proof.** Let  $\gamma : V(T) \rightarrow V(S)$  be a map a DTL-scenario for the binary the gene tree  $(T; t, \sigma)$  and the species tree  $S$ .

Condition (M1) is equivalent to (I).

For (M3) assume that  $v \preceq_{T_{\bar{e}}} w$ . The path  $P$  from  $v$  to  $w$  in  $T_{\bar{e}}$  does not contain transfer edges. Thus, by (III) all vertices along  $P$  are comparable. Moreover, by (IIa) we have that  $\gamma(w)$  is not a proper descendant of the image of its child in  $S$ , and therefore, by repeating these arguments along the vertices  $x$  in  $P_{wv}$ , we obtain  $\gamma(v) \preceq_S \gamma(x) \preceq_S \gamma(w)$ .

If  $\gamma(v) \prec_S \gamma(w)$ , then by construction of  $\mu$ , it follows that  $\mu(v) \prec_S \mu(w)$ . Thus, (M3) is satisfied, whenever  $\gamma(v) \prec_S \gamma(w)$ . Assume now that  $\gamma(v) = \gamma(w)$ . If  $t(v), t(w) \in \{\square, \triangle\}$  then  $\mu(v) = (x, \gamma(v)) = (x, \gamma(w)) = \mu(w)$  and thus (M3i) is satisfied. If  $t(v) = \bullet$  and  $t(w) \neq \bullet$  then since  $\mu(v) = \gamma(v)$  and  $\mu(w) = (x, \gamma(w))$ . Thus  $\mu(v) \prec_S \mu(w)$ . Now assume that  $\gamma(v) = \gamma(w)$  and  $w$  is a speciation vertex. Since  $t(w) = \bullet$ , for its two children  $w'$  and  $w''$  the images  $\gamma(w')$  and  $\gamma(w'')$  must be incomparable due to (IVb). W.l.o.g. assume that  $w'$  is a vertex of  $P_{wv}$ . Since  $\gamma(v) \preceq_S \gamma(x) \preceq_S \gamma(w)$  for any vertex  $x$  along  $P_{wv}$  and  $\gamma(v) = \gamma(w)$ , we obtain  $\gamma(w') = \gamma(w)$ . However, since  $\gamma(w'') \preceq_S \gamma(w)$ , the vertices  $\gamma(w')$  and  $\gamma(w'')$  are comparable in  $S$ ; contradicting (IVb). Thus, whenever  $w$  is a speciation vertex,  $\gamma(w') = \gamma(w)$  is not possible. Therefore,  $\gamma(v) \preceq_S \gamma(w') \prec_S \gamma(w)$  and, by construction of  $\mu$ ,  $\mu(v) \prec_S \mu(w)$ . Thus, (M3ii) is satisfied.

Finally, we show that (M2) is satisfied. To this end, observe first that (M2ii) is fulfilled by construction of  $\mu$  and (M2iii) is an immediate consequence of (III). Thus, it remains to show that (M2i) is satisfied. Thus, for a given speciation vertex  $u$  we need to show that  $\mu(u) = \text{lca}_S(\sigma_{T_{\bar{\mathcal{E}}}}(u))$ . By construction,  $\mu(u) = \gamma(u)$ . Note,  $T_{\bar{\mathcal{E}}}$  does not contain transfer edges. Applying (III) implies that for all edges  $(x, y)$  in  $T_{\bar{\mathcal{E}}}$  the images  $\gamma(x)$  and  $\gamma(y)$  must be comparable. The latter and (IIa) implies that for all edges  $(x, y)$  in  $T_{\bar{\mathcal{E}}}$  we have  $\gamma(y) \preceq_S \gamma(x)$ . Take the latter together,  $\sigma(z) = \gamma(z) \preceq_S \gamma(u)$  for any leaf  $z \in L_{T_{\bar{\mathcal{E}}}}(u)$ . Therefore  $\text{lca}_S(\sigma_{T_{\bar{\mathcal{E}}}}(u)) \preceq_S \gamma(u) = \mu(u)$ . Assume for contradiction that  $\text{lca}_S(\sigma_{T_{\bar{\mathcal{E}}}}(u)) \prec_S \gamma(u) = \mu(u)$ . Consider the two children  $u'$  and  $u''$  of  $u$  in  $T_{\bar{\mathcal{E}}}$ . Since neither  $(u, u') \in \mathcal{E}$  nor  $(u, u'') \in \mathcal{E}$  and  $T$  is a binary tree, it follows that  $L_{T_{\bar{\mathcal{E}}}}(u) = L_{T_{\bar{\mathcal{E}}}}(u') \cup L_{T_{\bar{\mathcal{E}}}}(u'')$  and we obtain that  $\sigma_{T_{\bar{\mathcal{E}}}}(u) = \sigma_{T_{\bar{\mathcal{E}}}}(u') \cup \sigma_{T_{\bar{\mathcal{E}}}}(u'')$ . Moreover, re-using the arguments above,  $\text{lca}_S(\sigma_{T_{\bar{\mathcal{E}}}}(u')) \preceq_S \gamma(u')$  and  $\text{lca}_S(\sigma_{T_{\bar{\mathcal{E}}}}(u'')) \preceq_S \gamma(u'')$ . By the arguments we used in the proof for (M3), we have  $\gamma(u') \prec_S \gamma(u)$  and  $\gamma(u'') \prec_S \gamma(u)$ . In particular,  $\gamma(u')$  and  $\gamma(u'')$  must be contained in the subtree of  $S$  that is rooted in the child  $a$  of  $\gamma(u)$  in  $S$  with  $\text{lca}_S(\sigma_{T_{\bar{\mathcal{E}}}}(u)) \preceq_S a$ , as otherwise,  $\text{lca}_S(\sigma_{T_{\bar{\mathcal{E}}}}(u')) \not\preceq_S \gamma(u')$  or  $\text{lca}_S(\sigma_{T_{\bar{\mathcal{E}}}}(u'')) \not\preceq_S \gamma(u'')$ . Moreover, neither  $\text{lca}_S(\sigma_{T_{\bar{\mathcal{E}}}}(u)) \preceq_S \text{lca}_S(\sigma_{T_{\bar{\mathcal{E}}}}(u'))$  nor  $\text{lca}_S(\sigma_{T_{\bar{\mathcal{E}}}}(u)) \preceq_S \text{lca}_S(\sigma_{T_{\bar{\mathcal{E}}}}(u''))$  is possible since then  $\text{lca}_S(\sigma_{T_{\bar{\mathcal{E}}}}(u')) \preceq_S \gamma(u')$  and  $\text{lca}_S(\sigma_{T_{\bar{\mathcal{E}}}}(u'')) \preceq_S \gamma(u'')$  implies that  $\gamma(u')$  and  $\gamma(u'')$  would be comparable; contradicting (IVb). Hence, there remains only one way to locate  $\gamma(u')$  and  $\gamma(u'')$ , that is, they must be located in the subtree of  $S$  that is rooted in  $\text{lca}_S(\sigma_{T_{\bar{\mathcal{E}}}}(u))$ . But then we have  $\text{lca}_S(\gamma(u'), \gamma(u'')) \preceq_S \text{lca}_S(\sigma_{T_{\bar{\mathcal{E}}}}(u)) \prec_S \gamma(u)$ ; a contradiction to (IVb)  $\gamma(u) = \text{lca}_S(\gamma(u'), \gamma(u''))$ . Therefore,  $\text{lca}_S(\sigma_{T_{\bar{\mathcal{E}}}}(u)) = \gamma(u) = \mu(u)$  and (M2i) is satisfied.  $\blacktriangleleft$

Lemma 15 and 16 imply

► **Theorem 17.** *For a binary gene tree  $(T; t, \sigma)$  and a binary species tree  $S$  there is DTL-scenario if and only if there is a reconciliation  $\mu$  for  $(T; t, \sigma)$  and  $S$*

## C Proof of Theorem 7

**Proof.** In the following,  $x$  and  $u$  denote vertices in  $S$  and  $T$ , respectively.

( $\implies$ ) Assume that there is a time-consistent reconciliation map  $\mu$  from  $(T; t, \sigma)$  to  $S$ , and thus two time-maps  $\tau_S$  and  $\tau_T$  for  $S$  and  $T$ , respectively, that satisfy (C1) and (C2).

To see (D1), observe that if  $\mu(u) = x \in V(S)$ , then (M1) and (M2) imply that  $t(u) \in \{\bullet, \odot\}$ . Now apply (C1).

To show (D2), assume that  $t(u) \in \{\square, \triangle\}$  and  $x \preceq_S \text{lca}_S(\sigma_{T_{\bar{\mathcal{E}}}}(u))$ . By Condition (M2) it holds that  $\mu(u) = (y, z) \in E(S)$ . Together with Lemma 3 we obtain that  $x \preceq_S \text{lca}_S(\sigma_{T_{\bar{\mathcal{E}}}}(u)) \preceq_S z \prec_S \mu(u)$ . By the properties of  $\tau_S$  we have

$$\tau_S(x) \geq \tau_S(\text{lca}_S(\sigma_{T_{\bar{\mathcal{E}}}}(u))) \geq \tau_S(z) \stackrel{(C2)}{>} \tau_T(u).$$

To see (D3), assume that  $(u, v) \in \mathcal{E}$  and  $z := \text{lca}_S(\sigma_{T_{\bar{\mathcal{E}}}}(u) \cup \sigma_{T_{\bar{\mathcal{E}}}}(v)) \preceq_S x$ . Since  $t(u) = \triangle$  and by (M2ii), we have  $\mu(u) = (y, y') \in E(S)$ . Thus,  $\mu(u) \prec_S y$ . By (M2iii)  $\mu(u)$  and  $\mu(v)$  are incomparable and therefore, we have either  $\mu(v) \prec_S y$  or  $\mu(v)$  and  $y$  are incomparable. In either case we see that  $y \preceq_S z$ , since Lemma 3 implies that  $\text{lca}_S(\sigma_{T_{\bar{\mathcal{E}}}}(u)) \preceq_S \mu(u)$  and  $\text{lca}_S(\sigma_{T_{\bar{\mathcal{E}}}}(v)) \preceq_S \mu(v)$ . In summary,  $\mu(u) \prec_S y \preceq_S z \preceq_S x$ . Therefore,

$$\tau_T(u) \stackrel{(C2)}{>} \tau_S(y) \geq \tau_S(z) \geq \tau_S(x).$$

Hence, conditions (D1)-(D3) are satisfied.

( $\Leftarrow$ ) To prove the converse, assume that there exists a reconciliation map  $\mu$  that satisfies (D1)-(D3) for some time-maps  $\tau_T$  and  $\tau_S$ . In the following we will make use of  $\tau_S$  and  $\tau_T$  to construct a time-consistent reconciliation map  $\mu'$ .

First we define “anchor points” by  $\mu'(v) = \mu(v)$  for all  $v \in V(T)$  with  $t(v) \in \{\bullet, \odot\}$ . Condition (D1) implies  $\tau_T(v) = \tau_S(\mu(v))$  for these vertices, and therefore  $\mu'$  satisfies (C1).

The next step will be to show that for each vertex  $u \in V(T)$  with  $t(u) \in \{\square, \triangle\}$  there is a unique edge  $(x, y)$  along the path from  $\text{lca}_S(\sigma_{T_{\bar{\varepsilon}}}(u))$  to  $\rho_S$  with  $\tau_S(x) < \tau_T(u) < \tau_S(y)$ . We set  $\mu'(u) = (x, y)$  for these points. In the final step we will show that  $\mu'$  is a valid reconciliation map.

Consider the unique path  $\mathcal{P}_u$  from  $\text{lca}_S(\sigma_{T_{\bar{\varepsilon}}}(u))$  to  $\rho_S$ . By construction,  $\tau_S(\rho_S) < \tau_T(\rho_T) \leq \tau_T(u)$  and by Condition (D2) it we have  $\tau_T(u) < \tau_S(\text{lca}_S(\sigma_{T_{\bar{\varepsilon}}}(u)))$ . Since  $\tau_S$  is a time map for  $S$ , every edge  $(x, y) \in E(S)$  satisfies  $\tau_S(x) < \tau_S(y)$ . Therefore, there is a unique edge  $(x_u, y_u) \in E(S)$  along  $\mathcal{P}_u$  such that either  $\tau_S(x_u) < \tau_T(u) < \tau_S(y_u)$ ,  $\tau_S(x_u) = \tau_T(u) < \tau_S(y_u)$ , or  $\tau_S(x_u) < \tau_T(u) = \tau_S(y_u)$ . The addition of a sufficiently small perturbation  $\epsilon_u$  to  $\tau_T(u)$  does not violate the conditions for  $\tau_T$  being a time-map for  $T$ . Clearly  $\epsilon_u$  can be chosen to break the equalities in the latter two cases in such a way that  $\tau_S(x_u) < \tau_T(u) < \tau_S(y_u)$  for each vertex  $u \in V(T)$  with  $t(u) \in \{\square, \triangle\}$ . We then continue with the perturbed version of  $\tau_T$  and set  $\mu'(u) = (x_u, y_u)$ . By construction,  $\mu'$  satisfies (C2).

It remains to show that  $\mu'$  is a valid reconciliation map from  $(T; t, \sigma_{T_{\bar{\varepsilon}}})$  to  $S$ . Again, let  $\mathcal{P}_u$  denote the unique path from  $\text{lca}_S(\sigma_{T_{\bar{\varepsilon}}}(u))$  to  $\rho_S$  for any  $u \in V(T)$ .

By construction, Conditions (M1), (M2i), (M2ii) are satisfied. To check condition (M2iii), assume  $(u, v) \in \mathcal{E}$ . The original map  $\mu$  is a valid reconciliation map, and thus, Lemma 3 implies that  $\text{lca}_S(\sigma_{T_{\bar{\varepsilon}}}(u)) \prec_S \mu(u)$  and  $\text{lca}_S(\sigma_{T_{\bar{\varepsilon}}}(v)) \preceq_S \mu(v)$ . Since  $\mu(u)$  and  $\mu(v)$  are incomparable in  $S$  and  $\text{lca}_S(\sigma_{T_{\bar{\varepsilon}}}(u) \cup \sigma_{T_{\bar{\varepsilon}}}(v))$  lies on both paths  $\mathcal{P}_u$  and  $\mathcal{P}_v$  we have  $\mu(u), \mu(v) \preceq_S \text{lca}_S(\sigma_{T_{\bar{\varepsilon}}}(u) \cup \sigma_{T_{\bar{\varepsilon}}}(v)) =: x$ . In particular,  $x \neq \text{lca}_S(\sigma_{T_{\bar{\varepsilon}}}(u))$  and  $x \neq \text{lca}_S(\sigma_{T_{\bar{\varepsilon}}}(v))$ .

Conditions (D1) and (D2) imply that  $\tau_S(x) < \tau_T(u) < \tau_S(\text{lca}_S(\sigma_{T_{\bar{\varepsilon}}}(u)))$  and  $\tau_S(x) < \tau_T(v) \leq \tau_S(\text{lca}_S(\sigma_{T_{\bar{\varepsilon}}}(v)))$ . By construction of  $\mu'$ , the vertex  $u$  is mapped to a unique edge  $e_u = (x_u, y_u)$  and  $v$  is mapped either to  $\text{lca}_S(\sigma_{T_{\bar{\varepsilon}}}(v)) \neq x$  or to the unique edge  $e_v = (x_v, y_v)$ , respectively. In particular,  $\mu'(u)$  lies on the path  $\mathcal{P}'$  from  $x$  to  $\text{lca}_S(\sigma_{T_{\bar{\varepsilon}}}(u))$  and  $\mu'(v)$  lies on the path  $\mathcal{P}''$  from  $x$  to  $\text{lca}_S(\sigma_{T_{\bar{\varepsilon}}}(v))$ . The paths  $\mathcal{P}'$  and  $\mathcal{P}''$  are edge-disjoint and have  $x$  as their only common vertex. Hence,  $\mu'(u)$  and  $\mu'(v)$  are incomparable in  $S$ , and (M2iii) is satisfied.

In order to show (M3), assume that  $u \prec_{T_{\bar{\varepsilon}}} v$ . Since  $u \prec_{T_{\bar{\varepsilon}}} v$ , we have  $\sigma_{T_{\bar{\varepsilon}}}(u) \subseteq \sigma_{T_{\bar{\varepsilon}}}(v)$ . Hence,  $\text{lca}_S(\sigma_{T_{\bar{\varepsilon}}}(u)) \preceq \text{lca}_S(\sigma_{T_{\bar{\varepsilon}}}(v)) \preceq_S \rho_S$ . In other words,  $\text{lca}_S(\sigma_{T_{\bar{\varepsilon}}}(v))$  lies on the path  $\mathcal{P}_u$  and thus,  $\mathcal{P}_v$  is a subpath of  $\mathcal{P}_u$ . By construction of  $\mu'$ , both  $\mu'(u)$  and  $\mu'(v)$  are comparable in  $S$ . Moreover, since  $\tau_T(u) > \tau_T(v)$  and by construction of  $\mu'$ , it immediately follows that  $\mu'(u) \preceq_S \mu'(v)$ .

Its now an easy task to verify that (M3) is fulfilled by considering the distinct event-labels in (M3i) and (M3ii), which we leave to the reader.  $\blacktriangleleft$

## D Theorem 18

► **Theorem 18.** *Let  $\mu$  be a reconciliation map from  $(T; t, \sigma)$  to  $S$ . There is a time-consistent reconciliation map  $(T; t, \sigma)$  to  $S$  if and only if there is a time map  $\tau_T$  such that for all  $u, v, w \in V(T)$ :*

**(T1)** *If  $t(u) = t(v) \in \{\bullet, \odot\}$  then*

*(a) If  $\mu(u) = \mu(v)$ , then  $\tau_T(u) = \tau_T(v)$ .*

- (b) If  $\mu(u) \prec_S \mu(v)$ , then  $\tau_T(u) > \tau_T(v)$ .
- (T2) If  $t(u) \in \{\bullet, \odot\}$ ,  $t(v) \in \{\square, \triangle\}$  and  $\mu(u) \preceq_S \text{lca}_S(\sigma_{T_{\bar{\varepsilon}}}(v))$ , then  $\tau_T(u) > \tau_T(v)$ .
- (T3) If  $(u, v) \in \mathcal{E}$  and  $\text{lca}_S(\sigma_{T_{\bar{\varepsilon}}}(u) \cup \sigma_{T_{\bar{\varepsilon}}}(v)) \preceq_S \text{lca}_S(\sigma_{T_{\bar{\varepsilon}}}(w))$  for some  $w \in V(T)$ , then  $\tau_T(u) > \tau_T(w)$

**Proof.** Suppose that  $\mu$  is a time-consistent reconciliation map from  $(T; t, \sigma)$  to  $S$ . By Definition 5 and Theorem 7, there are two time maps  $\tau_T$  and  $\tau_S$  that satisfy (D1)-(D3). We first show that  $\tau_T$  also satisfies (T1)-(T3), for all  $u, v \in V(T)$ . Condition (T1a) is trivially implied by (D1). Let  $t(u), t(v) \in \{\bullet, \odot\}$ , and  $\mu(u) \prec_S \mu(v)$ . Since  $\tau_T$  and  $\tau_S$  are time maps, we may conclude that

$$\tau_T(u) \stackrel{(D1)}{=} \tau_S(\mu(u)) < \tau_S(\mu(v)) \stackrel{(D1)}{=} \tau_T(v).$$

Hence, (T1b) is satisfied.

Now, assume that  $t(u) \in \{\bullet, \odot\}$ ,  $t(v) \in \{\square, \triangle\}$  and  $\mu(u) \preceq_S \text{lca}(\sigma_{T_{\bar{\varepsilon}}}(v))$ . By the properties of  $\tau_S$ , we have:

$$\tau_T(u) \stackrel{(D1)}{=} \tau_S(\mu(u)) \stackrel{(D2)}{>} \tau_T(v).$$

Hence (T2) is fulfilled.

Finally, assume that  $(u, v) \in \mathcal{E}$ , and  $x := \text{lca}_S(\sigma_{T_{\bar{\varepsilon}}}(u) \cup \sigma_{T_{\bar{\varepsilon}}}(v)) \preceq_S \text{lca}_S(\sigma_{T_{\bar{\varepsilon}}}(w))$  for some  $w \in V(T)$ . Lemma 3 implies that  $\text{lca}_S(\sigma_{T_{\bar{\varepsilon}}}(w)) \preceq_S \mu(w)$  and we obtain

$$\tau_T(w) \stackrel{(D2)}{<} \tau_S(x) \leq \tau_S(\text{lca}(\sigma_{T_{\bar{\varepsilon}}}(w))) \stackrel{(D3)}{<} \tau_T(u).$$

Hence, (T3) is fulfilled.

To see the converse, assume that there exists a reconciliation map  $\mu$  that satisfies (T1)-(T3) for some time map  $\tau_T$ . In the following we construct a time map  $\tau_S$  for  $S$  that satisfies (D1)-(D3). To this end, we first set

$$\tau_S(x) = \begin{cases} -1 & \text{if } x = \rho_S \\ \tau_T(v) & \text{else if } v \in \mu^{-1}(x) \\ * & \text{else, i.e., } \mu^{-1}(x) = \emptyset \text{ and } x \neq \rho_S. \end{cases}$$

We use the symbol  $*$  to denote the fact that so far no value has been assigned to  $\tau_S(x)$ . Note, by (M2i) and (T1a) the value  $\tau_S(x)$  is uniquely determined and thus, by construction, (D1) is satisfied. Moreover, if  $x, y \in V(S)$  have non-empty preimages w.r.t.  $\mu$  and  $x \prec_S y$ , then we can use the fact that  $\tau_T$  is a time map for  $T$  together with condition (T1) to conclude that  $\tau_S(x) > \tau_S(y)$ .

If  $x \in V(S)$  with  $a \in \mu^{-1}(x)$ , then (T2) implies (D2) (by (D1) and setting  $u = a$  in (T2)) and (T3) implies (D3) (by (D1) and setting  $w = a$  in (T3)). Thus, (D2) and (D3) is satisfied for all  $x \in V(S)$  with  $\mu^{-1}(x) \neq \emptyset$ .

Using our choices  $\tau_S(\rho_T) = 0$  and  $\tau_S(\rho_S) = -1$  for the augmented root of  $S$ , we must have  $\mu^{-1}(\rho_S) = \emptyset$ . Thus,  $\rho_S \succ_S \text{lca}_S(\sigma_{T_{\bar{\varepsilon}}}(v))$  for any  $v \in V(T)$ . Hence, (D2) is trivially satisfied for  $\rho_S$ . Moreover,  $\tau_T(\rho_T) = 0$  implies  $\tau_T(u) > \tau_S(\rho_S)$  for any  $u \in V(T)$ . Hence, (D3) is always satisfied for  $\rho_S$ .

In summary, Conditions (D1)-(D3) are met for any vertex  $x \in V(S)$  that up to this point has been assigned a value, i.e.,  $\tau_S(x) \neq *$ .



We will now assign to all vertices  $x \in V(S)$  with  $\mu^{-1}(x) = \emptyset$  a value  $\tau_S(x)$  in a stepwise manner. To this end, we give upper and lower bounds for the possible values that can be assigned to  $\tau_S(x)$ . Let  $x \in V(S)$  with  $\tau_S(x) = *$ . Set

$$\begin{aligned} \text{LO}(x) &= \{\tau_S(y) \mid x \prec_S y, y \in V(S) \text{ and } \tau_S(y) \neq *\} \\ \text{UP}(x) &= \{\tau_S(y) \mid x \succ_S y, y \in V(S) \text{ and } \tau_S(y) \neq *\}. \end{aligned}$$

We note that  $\text{LO}(x) \neq \emptyset$  and  $\text{UP}(x) \neq \emptyset$  because the root and the leaves of  $S$  already have been assigned a value  $\tau_S$  in the initial step. In order to construct a valid time map  $\tau_S$  we must ensure  $\max(\text{LO}(x)) < \tau_S(x) < \min(\text{UP}(x))$ .

Moreover, we strengthen the bounds as follows. Put

$$\begin{aligned} \text{lo}(x) &= \{\tau_T(u) \mid t(u) \in \{\square, \triangle\} \text{ and } x \preceq_S \text{lca}_S(\sigma_{T_{\bar{\varepsilon}}}(u))\} \\ \text{up}(x) &= \{\tau_T(u) \mid \text{where } (u, v) \in \mathcal{E} \text{ and } \text{lca}_S(\sigma_{T_{\bar{\varepsilon}}}(u) \cup \sigma_{T_{\bar{\varepsilon}}}(v)) \preceq_S x \}. \end{aligned}$$

Observe that  $\max(\text{lo}(x)) < \min(\text{up}(x))$ , since otherwise there are vertices  $u, w \in V(T)$  with  $\tau_T(w) \in \text{lo}(x)$  and  $\tau_T(u) \in \text{up}(x)$  and  $\tau_T(w) \geq \tau_T(u)$ . However, this implies that  $\text{lca}_S(\sigma_{T_{\bar{\varepsilon}}}(u) \cup \sigma_{T_{\bar{\varepsilon}}}(v)) \preceq_S x \preceq_S \text{lca}_S(\sigma_{T_{\bar{\varepsilon}}}(w))$ ; a contradiction to (T3).

Since (D2) is satisfied for all vertices  $y$  that obtained a value  $\tau_S(y) \neq *$ , we have  $\max(\text{lo}(x)) < \min(\text{UP}(x))$ . Likewise because of (D3), it holds that  $\max(\text{LO}(x)) < \min(\text{up}(x))$ . Thus we set  $\tau_S(x)$  to an arbitrary value such that

$$\max(\text{LO}(x) \cup \text{lo}(x)) < \tau_S(x) < \min(\text{UP}(x) \cup \text{up}(x)).$$

By construction, (D1), (D2), and (D3) are satisfied for all vertices in  $V(S)$  that have already obtained a time value distinct from  $*$ . Moreover, for all such vertices with  $x \prec_T y$  we have  $\tau_S(x) > \tau_S(y)$ . In each step we chose a vertex  $x$  with  $\tau_S(x) = *$  that obtains then a real-valued time stamp. Hence, in each step the number of vertices that have value  $*$  is reduced by one. Therefore, repeating the latter procedure will eventually assign to all vertices a real-valued time stamp such that, in particular,  $\tau_S$  satisfies (D1), (D2), and (D3) and thus is indeed a time map for  $S$ .  $\blacktriangleleft$

## E Proof of Theorem 9

**Proof.** Assume that  $\mu$  is time-consistent. By Theorem 7, there are two time-maps  $\tau_T$  and  $\tau_S$  satisfying (C1) and (C2). Let  $\tau = \tau_T \cup \tau_S$  be the map from  $V(T) \cup V(S) \rightarrow \mathbb{R}$ . Let  $A'$  be the directed graph with  $V(A') = V(S) \cup V(T)$  and set for all  $x, y \in V(A')$ :  $(x, y) \in E(A')$  if and only if  $\tau(x) < \tau(y)$ . By construction  $A'$  is a DAG since  $\tau$  provides a topological order on  $A'$  [17].

We continue to show that  $A'$  contains all edges of  $A_1$ .

To see that (A1) is satisfied for  $E(A')$  let  $(u, v) \in E(T)$ . Note,  $\tau(v) > \tau(u)$ , since  $\tau_T$  is a time map for  $T$  and by construction of  $\tau$ . Hence, all edges  $(u, v) \in E(T)$  are also contained in  $A'$ , independent from the respective event-labels  $t(u), t(v)$ . Moreover, if  $t(u)$  or  $t(v)$  are speciation vertices or leaves, then (C1) implies that  $\tau_S(\mu(u)) = \tau_T(u) > \tau_T(v)$  or  $\tau_T(u) > \tau_T(v) = \tau_S(\mu(v))$ . By construction of  $\tau$ , all edges satisfying (A1) are contained in  $E(A')$ . Since  $\tau_S$  is a time map for  $S$ , all edges as in (A2) are contained in  $E(A')$ . Finally, (C2) implies that all edges satisfying (A5) are contained in  $E(A')$ .

Although,  $A'$  might have more edges than required by (A1), (A2) and (A5), the graph  $A_1$  is a subgraph of  $A'$ . Since  $A'$  is a DAG, also  $A_1$  is a DAG.

For the converse assume that  $A_1$  is a directed graph with  $V(A_1) = V(S) \cup V(T)$  and edge set  $E(A_1)$  as constructed in Def. 8 (A1), (A2) and (A5). Moreover, assume that  $A_1$  is a DAG.



Hence, there is a topological order  $\tau$  on  $A_1$  with  $\tau(x) < \tau(y)$  whenever  $(x, y) \in E(A_1)$ . In what follows we construct the time-maps  $\tau_T$  and  $\tau_S$  such that they satisfy (C1) and (C2). Set  $\tau_S(x) = \tau(x)$  for all  $x \in V(S)$ . Additionally, set for all  $u \in V(T)$ :

$$\tau_T(u) = \begin{cases} \tau(\mu(u)) & \text{if } t(u) \in \{\odot, \bullet\} \\ \tau(u) & \text{otherwise.} \end{cases}$$

By construction it follows that (C1) is satisfied. Due to (A2),  $\tau_S$  is a valid time map for  $S$ . It follows from the construction and (A1) that  $\tau_T$  is a valid time map for  $T$ . Assume now that  $u \in V(T)$ ,  $t(u) \in \{\square, \triangle\}$ , and  $\mu(u) = (x, y) \in E(S)$ . Since  $\tau$  provides a topological order we have:

$$\tau(x) \stackrel{(A5)}{<} \tau(u) \stackrel{(A5)}{<} \tau(y).$$

By construction, it follows that  $\tau_S(x) < \tau_T(u) < \tau_S(y)$  satisfying (C2). ◀

## F Proof of Theorem 10

**Proof.** Let  $\mu$  be a reconciliation map for  $(T; t, \sigma)$  and  $S$  and  $\mu'$  be a time-consistent reconciliation map for  $(T; t, \sigma)$  and  $S$ . Let  $A_2$  and  $A'_2$  be the auxiliary graphs that satisfy Def. 8 (A1) – (A4) for  $\mu$  and  $\mu'$ , respectively. Since  $\mu(u) = \mu'(u)$  for all  $u \in V(T)$  with  $t(u) \in \{\odot, \bullet\}$  and (A2) – (A4) don't rely on the explicit reconciliation map, it is easy to see that  $A_2 = A'_2$ .

Now we can re-use similar arguments as in the proof of Theorem 9. Assume there is a time-consistent reconciliation map  $(T; t, \sigma)$  to  $S$ . By Theorem 7, there are two time-maps  $\tau_T$  and  $\tau_S$  satisfying (D1)–(D3). Let  $\tau$  and  $A'$  be defined as in the proof of Theorem 9.

Analogously to the proof of Theorem 9, we show that  $A'$  contains all edges of  $A_2$ . Application of (D1) immediately implies that all edges satisfying (A1) and (A2) are contained in  $E(A')$ . By condition (D2), it yields  $(u, \text{lca}_S(\sigma_{T_{\bar{\varepsilon}}}(u))) \in E(A')$  and (D3) implies  $(\text{lca}_S(\sigma_{T_{\bar{\varepsilon}}}(u) \cup \sigma_{T_{\bar{\varepsilon}}}(v)), u) \in E(A')$ . We conclude by the same arguments as before that the graph  $A_2$  is a DAG.

For the converse, assume we are given the directed acyclic graph  $A_2$ . As before, there is a topological order  $\tau$  on  $A_2$  with  $\tau(x) < \tau(y)$  only if  $(x, y) \in E(A_2)$ . The time-maps  $\tau_T$  and  $\tau_S$  are given as in the proof of Theorem 9.

By construction, it follows that (D1) is satisfied. Again, by construction and the Properties (A1) and (A2),  $\tau_S$  and  $\tau_T$  are valid time-maps for  $S$  and  $T$  respectively.

Assume now that  $u \in V(T)$ ,  $t(u) \in \{\square, \triangle\}$ , and  $x \preceq_S \text{lca}_S(\sigma_{T_{\bar{\varepsilon}}}(u))$  for some  $x \in V(S)$ . Since there is a topological order on  $V(A_2)$ , we have

$$\tau(x) \stackrel{(A2)}{\geq} \tau(\text{lca}_S(\sigma_{T_{\bar{\varepsilon}}}(u))) \stackrel{(A3)}{>} \tau(u).$$

By construction, it follows that  $\tau_S(x) > \tau_T(u)$ . Thus, (D2) is satisfied.

Finally assume that  $(u, v) \in \mathcal{E}$  and  $\text{lca}_S(\sigma_{T_{\bar{\varepsilon}}}(u) \cup \sigma_{T_{\bar{\varepsilon}}}(v)) \preceq_S x$  for some  $x \in V(S)$ . Again, since  $\tau$  provides a topological order, we have:

$$\tau(x) \stackrel{(A2)}{\leq} \tau(\text{lca}_S(\sigma_{T_{\bar{\varepsilon}}}(u) \cup \sigma_{T_{\bar{\varepsilon}}}(v))) \stackrel{(A4)}{<} \tau(u).$$

By construction, it follows that  $\tau_S(x) < \tau_T(u)$ , satisfying (D3).

Thus  $\tau_T$  and  $\tau_S$  are valid time maps satisfying (D1)–(D3). ◀

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**Algorithm 2** Compute  $\ell(u) = \text{lca}_S(\sigma_{T_{\bar{\mathcal{E}}}}(u))$  for all  $u \in V(T)$ 


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1: function COMPUTELCASIGMA( $(T; t, \sigma), S$ )
2:    $\ell(u) \leftarrow \emptyset$  for all  $u \in V(T)$  ▷ “ $\emptyset$ ” means uninitialized
3:    $A \leftarrow$  empty stack
4:    $A.\text{push}(\rho_T)$ 
5:   while  $A$  is not empty do
6:      $u \leftarrow A.\text{pop}()$ 
7:     if  $t(u) = \odot$  then  $\ell(u) \leftarrow \sigma(u)$ 
8:     else if  $\ell(v) = \emptyset$  for some child  $v$  of  $u$  then  $A.\text{push}(u), A.\text{push}(v)$ 
9:     else
10:       $\ell(u) \leftarrow \text{lca}_S(\{\ell(v) \mid (u, v) \in E(T) \text{ and } t((u, v)) = 0\})$ 
11:   return  $\ell$ 

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### G

 Algorithm 2, Proof of Lemma 11 and Theorem 12

**Proof of Lemma 11.** Let  $u \in V(T)$ . In what follows, we show that  $\ell(u) = \text{lca}_S(\sigma_{T_{\bar{\mathcal{E}}}}(u))$ . In fact, the algorithm is (almost) a depth first search through  $T$  that assigns the (species tree) vertex  $\ell(u)$  to  $u$  if and only if every child  $v$  of  $u$  has obtained an assignment  $\ell(v)$  (cf. Line (9) - (10)). That there are children  $v$  with non-empty  $\ell(v)$  at some point is ensured by Line (7). That is, if  $t(u) = \odot$ , then  $\ell(u) = \text{lca}_S(\sigma_{T_{\bar{\mathcal{E}}}}(u)) = \sigma(u)$ . Now, assume there is an interior vertex  $u \in V(T)$ , where every child  $v$  has been assigned a value  $\ell(v)$ , then

$$\begin{aligned}
\text{lca}_S(\sigma_{T_{\bar{\mathcal{E}}}}(u)) &= \text{lca}_S(\sigma_{T_{\bar{\mathcal{E}}}}(\{\sigma_{T_{\bar{\mathcal{E}}}}(v) \mid (u, v) \in E(T) \text{ and } t(u, v) = 0\})) \\
&= \text{lca}_S(\sigma_{T_{\bar{\mathcal{E}}}}(\{\text{lca}_S(\sigma_{T_{\bar{\mathcal{E}}}}(v)) \mid (u, v) \in E(T) \text{ and } t(u, v) = 0\})) \\
&= \text{lca}_S(\sigma_{T_{\bar{\mathcal{E}}}}(\{\ell(v) \mid (u, v) \in E(T) \text{ and } t(u, v) = 0\}))
\end{aligned}$$

The latter is achieved by Line (10).

Since  $T$  is a tree and the algorithm is in effect a depth first search through  $T$ , the while loop runs at most  $O(V(T) + E(T))$  times, and thus in  $O(V(T))$  time.

The only non-constant operation within the while loop is the computation of  $\text{lca}_S$  in Line (10). Clearly  $\text{lca}_S$  of a set of vertices  $C = \{c_1, c_2 \dots c_k\}$ , where  $c_i \in V(S)$ , for all  $c_i \in C$  can be computed as sequence of  $\text{lca}_S$  operations taking two vertices:  $\text{lca}_S(c_1, \text{lca}_S(c_2, \dots \text{lca}_S(c_{k-1}, c_k)))$ , each taking  $O(\lg(|V(S)|))$  time. Note however, that since Line (10) is called exactly once for each vertex in  $T$ , the number of  $\text{lca}_S$  operations taking two vertices is called at most  $|E(T)|$  times through the entire algorithm. Hence, the total time complexity is  $O(|V(T)| \lg(|V(S)|))$ . ◀

**Proof of Theorem 12.** In order to produce a time-consistent reconciliation map, we first construct some valid reconciliation map  $\mu$  from  $(T; t, \sigma)$  to  $S$ . Using the lca-map  $\ell$  from Algorithm 2,  $\mu$  will be adjusted to become time-consistent, if possible.

By assumption, there is a reconciliation map from  $(T; t, \sigma)$  to  $S$ . The for-loop (Line (3)-(5)) ensures that each vertex  $u \in V$  obtained a value  $\mu(u)$ . We continue to show that  $\mu$  is a valid reconciliation map satisfying (M1)-(M3).

Assume that  $t(u) = \odot$ , in this case  $\ell(u) = \sigma(u)$ , and thus (M1) is satisfied. If  $t(u) = \bullet$ , it holds that  $\mu(u) = \ell(u) = \text{lca}_S(\sigma_{T_{\bar{\mathcal{E}}}}(u))$ , thus satisfying (M2i). Note that  $\rho_S \succ_S \ell(u)$ , and hence,  $\mu(u) \in F$  by Line (5), implying that (M2ii) is satisfied. Now, assume  $t(u) = \triangle$  and  $(u, v) \in \mathcal{E}$ . By assumption, we know there exists a reconciliation map from  $T$  to  $S$ , thus by

( $\Sigma 2$ ):

$$\sigma_{T_{\bar{\varepsilon}}}(u) \cap \sigma_{T_{\bar{\varepsilon}}}(v) = \emptyset$$

It follows that,  $\ell(u)$  is incomparable to  $\ell(v)$ , satisfying (M2iii).

Now assume that  $u, v \in V$  and  $u \prec_{T_{\bar{\varepsilon}}} v$ . Note that  $\sigma_{T_{\bar{\varepsilon}}}(u) \subseteq \sigma_{T_{\bar{\varepsilon}}}(v)$ . It follows that  $\ell(u) = \text{lca}_S(\sigma_{T_{\bar{\varepsilon}}}(u)) \preceq_S \text{lca}_S(\sigma_{T_{\bar{\varepsilon}}}(v)) = \ell(v)$ . By construction, (M3) is satisfied. Thus,  $\mu$  is a valid reconciliation map.

By Theorem 10, two time maps  $\tau_T$  and  $\tau_S$  satisfying (D1)-(D3) only exists if the auxiliary graph  $A$  build on Line (6) is a DAG. Thus if  $A$  contains a cycle, no such time-maps exists and the statement “No time-consistent reconciliation map exists.” is returned (Line (7)). On the other hand, if  $A$  is a DAG, the construction in Line (8)-(11) is identical to the construction used in the proof of Theorem 10. Hence correctness of this part of the algorithm follows directly from the proof of Theorem 10.

Finally, we adjust  $\mu$  to become a time-consistent reconciliation map.. By the latter arguments,  $\tau_T$  and  $\tau_S$  satisfy (D1)-(D3) w.r.t. to  $\mu$ . Note, that  $\mu$  is chosen to be the “lowest point” where a vertex  $u \in V$  with  $t(u) \in \{\square, \triangle\}$  can be mapped, that is,  $\mu(u)$  is set to  $(p(x), x)$  where  $x = \text{lca}_S(\sigma_{T_{\bar{\varepsilon}}}(u))$ . However, by the arguments in the proof of Theorem 7, there is a unique edge  $(y, z) \in W$  on the path from  $x$  to  $\rho_S$  such that  $\tau_S(y) < \tau_T(u) < \tau_S(z)$ . The latter is ensured by choosing a different value for distinct vertices in  $V(A)$ , see comment in Line (9). Hence, Line (14) ensures, that  $\mu(u)$  is mapped on the correct edge such that (C2) is satisfied. It follows that adjusted  $\mu$  is a valid time-consistent reconciliation map.

We are now concerned with the time-complexity. By Lemma 11, computation of  $\ell$  in Line (1) takes  $O(|V| \log(|W|))$  time and the for-loop (Line (3)-(5)) takes  $O(|V|)$  time. We continue to show that the auxiliary graph  $A$  (Line (6)) can be constructed in  $O(|V| \log(|W|))$  time.

Since we know  $\ell(u) = \text{lca}_S(\sigma_{T_{\bar{\varepsilon}}}(u))$  for all  $u \in V$  and since  $T$  and  $S$  are trees, the subgraph with edges satisfying (A1)-(A3) can be constructed in  $O(|V| + |W| + |E| + |F|) = O(|V| + |W|)$  time. To ensure (A4), we must compute for a possible transfer edges  $(u, v) \in \mathcal{E}$  the vertex  $\text{lca}_S(\sigma_{T_{\bar{\varepsilon}}}(u) \cup \sigma_{T_{\bar{\varepsilon}}}(v))$ . which can be done in  $O(\log(|W|))$  time. Note, the number of transfer edges is bounded by the number of possible transfer event  $O(|V|)$ . Hence, generating all edges satisfying (A4) takes  $O(|V|(\log(|W|)))$  time. In summary, computing  $A$  can done in  $O(|V| + |W| + |V|(\log(|W|))) = O(|V|(\log(|W|)))$  time.

To detect whether  $A$  contains cycles one has to determine whether there is a topological order  $\tau$  on  $V(A)$  which can be done via depth first search in  $O(|V(A)| + |E(A)|)$  time. Since  $|V(A)| = |V| + |W|$  and  $O(|E(A)|) = O(|F| + |E| + |W| + |V|)$  and  $S, T$  are trees, the latter task can be done in  $O(|V| + |W|)$  time. Clearly, Line (10)-(11) can be performed on  $O(|V| + |W|)$  time.

Finally, we have to adjust  $\mu$  according to  $\tau_T$  and  $\tau_S$ . Note, that for each  $u \in V$  with  $t(u) \in \{\square, \triangle\}$  (Line (12)) we have possibly adjust  $\mu$  to the next edge  $(p(x), x)$ . However, the possibilities for the choice of  $(p(x), x)$  is bounded by the height of  $S$ , which is in the worst case  $\log(|W|)$ . Hence, the for-loop in Line (12) has total-time complexity  $O(|V| \log(|W|))$ .

In summary, the overall time complexity of Algorithm 1 is  $O(|V| \log(|W|))$ . ◀

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