

# Topology II - Stiefel manifolds

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October 4, 2021

Let  $0 \leq k \leq n$ . The Stiefel manifold is defined by

$$V_{k,n} = \left\{ (v_1, \dots, v_k) \in (\mathbb{R}^n)^k : \langle v_i, v_j \rangle = \begin{cases} 1 & \text{if } i = j \\ 0 & \text{if } i \neq j \end{cases} \right\} \quad (1)$$

$$= \text{space of orthogonal } k\text{-frames in } \mathbb{R}^n \quad (2)$$

$V_{k,n}$  comes with the subspace topology of  $(\mathbb{R}^n)^k$ ; since  $V_{k,n} \subset (S^{n-1})^k$  is a closed subset,  $V_{k,n}$  is compact.

**Example 0.1.**

$V_{0,n} = \{\emptyset\}$  is a one-point space.

$$V_{1,n} = S^{n-1}$$

$$F : V_{n,n} \xrightarrow{\cong} O(n) : G$$

where  $F$  maps  $(v_1, \dots, v_n)$  to the matrix with columns  $v_i$  and  $G$  maps  $A$  to  $(Ae_1, \dots, Ae_n)$  where  $e_i$  is the unit vector with a 1 in the  $i$ -th entry and 0's elsewhere.

The map  $F : SO(n) \rightarrow V_{n-1,n}$  defined by  $A \mapsto (Ae_1, \dots, Ae_{n-1})$ , is a continuous bijection between compact Hausdorff spaces, and hence a homeomorphism.

**Bijectivity:** Let  $(v_1, \dots, v_{n-1})$  be an  $(n-1)$ -frame in  $\mathbb{R}^n$ , then the orthogonal complement of the span of  $v_1, \dots, v_{n-1}$  is 1-dim. So there are exactly 2 unit vectors in this complement. Exactly one of these makes  $(v_1, \dots, v_{n-1}, v_n)$  into an orthogonal basis of determinant +1.

**Proposition 0.2.** The space  $V_{k,n}$  is a manifold of dimension

$$(n-1) + (n-2) + \dots + (n-k) = nk - \frac{k(k+1)}{2}.$$

*Proof.* By induction on  $k$ . For  $k=0$ ,  $V_{0,n} = \{\emptyset\}$  is a 0-manifold and for  $k=1$ ,  $V_{1,n} = S^{n-1}$  is a  $(n-1)$ -manifold.

Now suppose  $k \geq 2$ . We consider the map  $\phi : S_+^{n-1} \rightarrow O(n)$ , where

$$S_+^{n-1} = \{w \in S^{n-1} : w_1 > 0\},$$

defined by the composition

$$S_+^{n-1} \longrightarrow \text{GL}_n(\mathbb{R}) \xrightarrow{\text{Gram-Schmidt Orth.}} O(n)$$

$$O(n) \xrightarrow{\cong} \begin{pmatrix} w_1 & 0 & \dots & 0 \\ w_2 & 1 & & 0 \\ \vdots & & \ddots & \\ w_n & 0 & & 1 \end{pmatrix}$$

Properties of  $\psi$ :

- $\psi$  is continuous
- $\psi(e_1) = \psi(1, 0, \dots, 0) = E_n = \text{identity matrix}$

- $\psi(w) \cdot e_1 = w$  for all  $w \in S_+^{n-1}$

Warning: There is not continues map  $\psi : S^{n-1} \rightarrow O(n)$  such that  $\psi(w) \cdot w = w$  for all  $w \in S^{n-1}$ . We define  $U = \{(v_1, \dots, v_k) \in V_{k,n} : v_1 \in S_+^{n-1}\}$ ; this is an open neighbourhood of  $(e_1, \dots, e_k) \in V_{k,n}$ . The map  $\xi : U \rightarrow S_+^{n-1} \times V_{k-1,n-1}$  defined by

$$(v_1, \dots, v_k) \mapsto (v_1, \psi(v_1)^{-1}(v_2), \dots, \psi(v_1)^{-1}(v_k))$$

is a homeomorphism.

- $\xi$  is well-defined:  $\psi(v_1)^{-1}$  is an orthogonal matrix such that  $\psi(v_1)^{-1}(v_1) = e_1$ , since  $\psi(v_1)^{-1}$  is orthogonal and  $v_2, \dots, v_k$  define a  $k$ -frame in  $(v_1)^\perp$ . So  $\psi(v_1)^{-1}(v_2), \dots, \psi(v_1)^{-1}(v_k)$  defines a  $k$ -frame in  $(e_1)^\perp = 0 \otimes \mathbb{R}^{n-1}$ .
- $\xi$  is continues
- $\xi$  has a continues inverse:

$$S_+^{n-1} \times V_{k-1,n-1} \rightarrow U; \quad (v, w_1, \dots, w_{k-1}) \mapsto (v, \psi(v)(0, w_1), \dots, \psi(v)(0, w_{k-1})),$$

where  $(0, w_i) \in \mathbb{R}^n = \mathbb{R} \otimes \mathbb{R}^{n-1}$ .

Conclusion: The point  $(e_1, \dots, e_n) \in V_{k,n}$  has an open neighbourhood homeomorphic to  $S_+^{n-1} \times V_{k-1,n-1}$ , which is a manifold of dimension

$$d = (n-1) + (n-2) + (n-3) + \dots + ((n-1) - (k-1)),$$

by induction. So  $(e_1, \dots, e_k)$  has an open neighbourhood homeomorphic to  $\mathbb{R}^d$ .

Now let  $(v_1, \dots, v_k) \in V_{k,n}$  be any point. Complete to an orthogonal basis

$$A = (v_1, \dots, v_k, v_{k+1}, \dots, v_n) \in O(n).$$

Then

$$A : V_{k,n} \rightarrow V_{k,n}; \quad (w_1, \dots, w_k) \mapsto (Aw_1, \dots, Aw_k)$$

is a self-homeomorphism of  $V_{k,n}$  that sends  $(e_1, \dots, e_k)$  to  $(v_1, \dots, v_k)$ . So also  $(v_1, \dots, v_k)$  has an open neighbourhood homeomorphic to  $\mathbb{R}^d$ .  $\square$

*Remark 0.3.* What we really showed is that the map  $V_{k,n} \rightarrow S^{n-1}$  defined by  $(v_1, \dots, v_k) \mapsto v_1$  is a "locally trivial fibre bundle" with fibre  $V_{k-1,n-1}$ .

## 0.1 Complex Steifel manifolds:

Let

$$V_{k,n}^{\mathbb{C}} = \{(v_1, \dots, v_k) \in (\mathbb{C}^n)^k : \langle v_i, v_j \rangle = \begin{cases} 1 & \text{if } i = j \\ 0 & \text{if } i \neq j \end{cases} \} = \text{space of (complex) } k\text{-frames in } \mathbb{C}^n$$

As in the real case, one shows that  $V_{k,n}^{\mathbb{C}}$  is a compact  $d$ -manifold, where

$$d = (2n-1) + (2n-3) + \dots + (2n-2k+1) = 2nk - k^2.$$

### 0.1.1 special case

$$\begin{aligned} V_{1,n}^{\mathbb{C}} &= \text{unit sphere in } \mathbb{C}^n = S^{2n-1} \\ V_{n-1,n}^{\mathbb{C}} &\cong \text{SU}(n), \\ V_{n,n}^{\mathbb{C}} &\cong \text{U}(n) \end{aligned}$$

Same induction proof, with Gram-Schmidt orthonormalization for hermitian inner product spaces; In the inductive step, you work over  $S_+^{2n-1} = \{(v_1, \dots, v_n) \in S^{2n-1} : \Re(v_1) > 0\}$ .

## 0.2 Quaternion Stiefel manifolds:

$V_{k,n}^{\mathbb{H}}$  defines compact manifolds of dimension

$$(4n - 1) + (4n - 5) + \dots + (4n - 4k + 3) = 4nk - k(2k - 1).$$

### 0.2.1 special case

$$V_{1,n}^{\mathbb{H}} = \text{unit sphere in } \mathbb{H}^n \cong S^{4n-1},$$

$$V_{n,n}^{\mathbb{H}} = \text{Sp}(n) = \{A \in M(n \times n, \mathbb{H}) : A A^T = \bar{A}^T \cdot A = E_n\}.$$