

Algebraic Geometry

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Lecture 2: projective curves and projective transformations



Complex projective plane

$\mathbb{P}_{\mathbb{C}}^2$ consists of 3-tuples $[x : y : z]$ with $(x, y, z) \neq (0, 0, 0)$ such that

★ $[x : y : z] = [\lambda x : \lambda y : \lambda z]$ for every non-zero $\lambda \in \mathbb{C}$.

Let U_x be the complement in $\mathbb{P}_{\mathbb{C}}^2$ to the line $x = 0$.

► Then $U_x = \mathbb{C}^2$ with coordinates $\tilde{y} = \frac{y}{x}$ and $\tilde{z} = \frac{z}{x}$.

Let U_y be the complement in $\mathbb{P}_{\mathbb{C}}^2$ to the line $y = 0$.

► Then $U_y = \mathbb{C}^2$ with coordinates $\hat{x} = \frac{x}{y}$ and $\hat{z} = \frac{z}{y}$.

Let U_z be the complement in $\mathbb{P}_{\mathbb{C}}^2$ to the line $z = 0$.

► Then $U_z = \mathbb{C}^2$ with coordinates $\bar{x} = \frac{x}{z}$ and $\bar{y} = \frac{y}{z}$.

Then $\mathbb{P}_{\mathbb{C}}^2$ is $U_x = \mathbb{C}^2$, $U_y = \mathbb{C}^2$, $U_z = \mathbb{C}^2$ patched together by

$$\tilde{y} = \frac{1}{\hat{x}} = \frac{\bar{y}}{\bar{x}}, \tilde{z} = \frac{\hat{z}}{\hat{x}} = \frac{1}{\bar{x}}$$

$$\hat{x} = \frac{\bar{x}}{\bar{y}} = \frac{1}{\tilde{y}}, \hat{z} = \frac{1}{\tilde{y}} = \frac{\tilde{z}}{\tilde{y}}$$

$$\bar{x} = \frac{1}{\tilde{z}} = \frac{\hat{z}}{\hat{x}}, \bar{y} = \frac{\tilde{y}}{\tilde{z}} = \frac{1}{\hat{x}}$$

Lines and conics

Definition

A **line** in $\mathbb{P}_{\mathbb{C}}^2$ is the subset given by

$$\mathbf{a}x + \mathbf{b}y + \mathbf{c}z = 0$$

for complex numbers \mathbf{a} , \mathbf{b} and \mathbf{c} such that $(\mathbf{a}, \mathbf{b}, \mathbf{c}) \neq (0, 0, 0)$.

Definition

A **conic** in $\mathbb{P}_{\mathbb{C}}^2$ is a subset that is given by

$$\mathbf{a}x^2 + \mathbf{b}xy + \mathbf{c}y^2 + \mathbf{d}xz + \mathbf{e}yz + \mathbf{f}z^2 = 0$$

for $\mathbf{a}, \mathbf{b}, \mathbf{c}, \mathbf{d}, \mathbf{e}, \mathbf{f}$ in \mathbb{C} such that $(\mathbf{a}, \mathbf{b}, \mathbf{c}, \mathbf{d}, \mathbf{e}, \mathbf{f}) \neq (0, 0, 0, 0, 0, 0)$.

- ▶ The **conic** is said to be **irreducible** if the polynomial

$$\mathbf{a}x^2 + \mathbf{b}xy + \mathbf{c}y^2 + \mathbf{d}xz + \mathbf{e}yz + \mathbf{f}z^2$$

is **irreducible**.

- ▶ Otherwise the **conic** is said to be **reducible**.

Complex irreducible plane curves

Definition

An **irreducible curve** in $\mathbb{P}_{\mathbb{C}}^2$ of degree $d \geq 1$ is a subset given by

$$f(x, y, z) = 0$$

for an **irreducible** homogeneous polynomial $f(x, y, z)$ of degree d .

Let us give few examples. The equation

$$2x^2 - y^2 + 2z^2 = 0$$

defines an **irreducible conic** in $\mathbb{P}_{\mathbb{C}}^2$. The equation

$$zy^2 - x(x - z)(x + z) = 0$$

defines an **irreducible cubic** curve in $\mathbb{P}_{\mathbb{C}}^2$. The equation

$$(2x^2 - y^2 + 2z^2)(zy^2 - x(x - z)(x + z)) = 0$$

defines the **union** of the two curves above.

Projective transformations

Let \mathbf{M} be a complex 3×3 matrix

$$\begin{pmatrix} a_{11} & a_{12} & a_{13} \\ a_{21} & a_{22} & a_{23} \\ a_{31} & a_{32} & a_{33} \end{pmatrix}.$$

Let $\phi: \mathbb{P}_{\mathbb{C}}^2 \rightarrow \mathbb{P}_{\mathbb{C}}^2$ be the map given by

$$[x : y : z] \mapsto [a_{11}x + a_{12}y + a_{13}z : a_{21}x + a_{22}y + a_{23}z : a_{31}x + a_{32}y + a_{33}z].$$

Recall that there is no such point in $\mathbb{P}_{\mathbb{C}}^2$ as $[0 : 0 : 0]$.

Question

When ϕ is **well-defined**?

The map ϕ is **well-defined** $\iff \det(\mathbf{M}) \neq 0$.

Definition

If $\det(\mathbf{M}) \neq 0$, we say that ϕ a **projective** transformation.

Projective linear group

Projective transformations of $\mathbb{P}_{\mathbb{C}}^2$ form a **group**.

- ▶ Let \mathbf{M} be a matrix in $\mathrm{GL}_3(\mathbb{C})$.
- ▶ Denote by $\phi_{\mathbf{M}}$ the corresponding projective transformation.

Question

When $\phi_{\mathbf{M}}$ is an **identity** map?

The map $\phi_{\mathbf{M}}$ is an **identity** map $\iff \mathbf{M}$ is **scalar**.

Recall that \mathbf{M} is said to be **scalar** if

$$M = \begin{pmatrix} \lambda & 0 & 0 \\ 0 & \lambda & 0 \\ 0 & 0 & \lambda \end{pmatrix}$$

for some complex number λ .

Corollary

Let \mathbf{G} be a subgroup in $\mathrm{GL}_3(\mathbb{C})$ consisting of **scalar** matrices.

The group of projective transformations of $\mathbb{P}_{\mathbb{C}}^2$ is isomorphic to

$$\mathrm{PGL}_3(\mathbb{C}) = \mathrm{GL}_3(\mathbb{C})/\mathbf{G}.$$

Rational maps

Let \mathbf{M} be a complex matrix with non-zero determinant

$$\begin{pmatrix} a_{11} & a_{12} & a_{13} \\ a_{21} & a_{22} & a_{23} \\ a_{31} & a_{32} & a_{33} \end{pmatrix}.$$

Let ϕ be the **projective** transformation:

$$[x : y : z] \mapsto [a_{11}x + a_{12}y + a_{13}z : a_{21}x + a_{22}y + a_{23}z : a_{31}x + a_{32}y + a_{33}z].$$

Then ϕ maps the line $a_{31}x + a_{32}y + a_{33}z = 0$ to the line $z = 0$.

- ▶ Let U_z be the subset in $\mathbb{P}_{\mathbb{C}}^2$ given by $z \neq 0$.
- ▶ Identify $U_z = \mathbb{C}^2$ with coordinates $\bar{x} = \frac{x}{z}$ and $\bar{y} = \frac{y}{z}$.

Then ϕ induces the **rational** map $U_z \dashrightarrow U_z$ given by

$$(\bar{x}, \bar{y}) \mapsto \left(\frac{a_{11}\bar{x} + a_{12}\bar{y} + a_{13}}{a_{31}\bar{x} + a_{32}\bar{y} + a_{33}}, \frac{a_{21}\bar{x} + a_{22}\bar{y} + a_{23}}{a_{31}\bar{x} + a_{32}\bar{y} + a_{33}} \right).$$

When is this **rational** map $U_z \dashrightarrow U_z$ well-defined?

Four points in the plane

Let P_1, P_2, P_3, P_4 be four points in $\mathbb{P}_{\mathbb{C}}^2$ such that

- no three points among them are collinear.

Then there is a **projective** transformation $\mathbb{P}_{\mathbb{C}}^2 \rightarrow \mathbb{P}_{\mathbb{C}}^2$ such that

$$P_1 \mapsto [1 : 0 : 0], P_2 \mapsto [0 : 1 : 0], P_3 \mapsto [0 : 0 : 1], P_4 \mapsto [1 : 1 : 1].$$

Let $P_1 = [a_{11} : a_{12} : a_{13}]$, $P_2 = [a_{21} : a_{22} : a_{23}]$, $P_3 = [a_{31} : a_{32} : a_{33}]$.

Let ϕ be the **projective** transformation

$$[x : y : z] \mapsto [a_{11}x + a_{21}y + a_{31}z : a_{12}x + a_{22}y + a_{32}z : a_{13}x + a_{23}y + a_{33}z].$$

Then $\phi([1 : 0 : 0]) = P_1$, $\phi([0 : 1 : 0]) = P_2$, $\phi([0 : 0 : 1]) = P_3$.

Let ψ be the **inverse** of the map ϕ . Write $\psi(P_4) = [\alpha : \beta : \gamma]$.

Let τ be the **projective** transformation

$$[x : y : z] \mapsto \left[\frac{x}{\alpha} : \frac{y}{\beta} : \frac{z}{\gamma} \right] = [\beta\gamma x : \alpha\gamma y : \alpha\beta z].$$

Then $\tau \circ \psi$ is the required **projective** transformation.

Conics and their tangent lines

Let L be a line in $\mathbb{P}_{\mathbb{C}}^2$, and let \mathcal{C} be an **irreducible conic** in $\mathbb{P}_{\mathbb{C}}^2$.

Question

When $|L \cap \mathcal{C}| = 1$?

We may assume that $[0 : 0 : 1] \in L \cap \mathcal{C}$. Then \mathcal{C} is given by

$$\mathbf{a}x^2 + \mathbf{b}xy + \mathbf{c}y^2 + \mathbf{d}xz + \mathbf{e}yz = 0$$

for some $[\mathbf{a} : \mathbf{b} : \mathbf{c} : \mathbf{d} : \mathbf{e}] \in \mathbb{P}_{\mathbb{C}}^4$.

We may assume that L is given by $x = 0$. Then

$$L \cap \mathcal{C} = [0 : 0 : 1] \cup [0 : \mathbf{e} : -\mathbf{c}].$$

Thus, we have $|L \cap \mathcal{C}| = 1 \iff \mathbf{e} = 0$.

- ▶ Let U_z be the complement in $\mathbb{P}_{\mathbb{C}}^2$ to the line $z = 0$.
- ▶ Identify U_z and \mathbb{C}^2 with coordinates $\bar{x} = \frac{x}{z}$ and $\bar{y} = \frac{y}{z}$.

Then $U_z \cap \mathcal{C}$ is given by $\mathbf{a}\bar{x}^2 + \mathbf{b}\bar{x}\bar{y} + \mathbf{c}\bar{y}^2 + \mathbf{d}\bar{x} + \mathbf{e}\bar{y} = 0$.

- ▶ $\mathbf{d}\bar{x} + \mathbf{e}\bar{y} = 0$ is the **tangent** line to $U_z \cap \mathcal{C}$ at $(0, 0)$.
- ▶ $\mathbf{d}x + \mathbf{e}y = 0$ is the **tangent** line to \mathcal{C} at $[0 : 0 : 1]$.

Then $|L \cap \mathcal{C}| = 1 \iff L$ is **tangent** to \mathcal{C} at the point $L \cap \mathcal{C}$.

Smooth complex plane curves

Let C be an **irreducible curve** in $\mathbb{P}_{\mathbb{C}}^2$ of degree d given by

$$f(x, y, z) = 0,$$

where $f(x, y, z)$ is a **homogeneous** polynomial of degree d .

Definition

A point $[a : b : c] \in \mathbb{P}_{\mathbb{C}}^2$ is a singular point of the curve C if

$$\frac{\partial f(a, b, c)}{\partial x} = \frac{\partial f(a, b, c)}{\partial y} = \frac{\partial f(a, b, c)}{\partial z} = 0.$$

- ▶ Denote by $\text{Sing}(C)$ the set of **singular** points of the curve C .
- ▶ Non-singular points of the curve C are called **smooth**.
- ▶ The curve C is said to be **smooth** if $\text{Sing}(C) = \emptyset$

Example

1. If $f = zx^{d-1} - y^d$ and $d \geq 3$, then $\text{Sing}(C) = [0 : 0 : 1]$.
2. If $f = x^d + y^d + z^d$, then $\text{Sing}(C) = \emptyset$.

Tangent lines

- ▶ Let C be an **irreducible curve** in $\mathbb{P}_{\mathbb{C}}^2$ of degree d given by

$$f(x, y, z) = 0,$$

where $f(x, y, z)$ is a **homogeneous** polynomial of degree d .

- ▶ Let $P = [\alpha : \beta : \gamma]$ be a smooth point in C . Then the line

$$\frac{\partial f(\alpha, \beta, \gamma)}{\partial x}x + \frac{\partial f(\alpha, \beta, \gamma)}{\partial y}y + \frac{\partial f(\alpha, \beta, \gamma)}{\partial z}z = 0$$

is the **tangent** line to the curve C at the point P .

Remark

We may assume that $P = [0 : 0 : 1]$. Then

$$f(x, y, z) = z^{d-1}h_1(x, y) + z^{d-2}h_2(x, y) + \cdots + zh_{d-1}(x, y) + h_d(x, y) = 0,$$

where $h_i(x, y)$ is a homogenous polynomial of degree i .

Then $\boxed{h_1(x, y) = 0}$ is the **tangent** line to C at the point P .

Conics and projective transformation

Let \mathcal{C} be a conic in $\mathbb{P}_{\mathbb{C}}^2$. Then \mathcal{C} is given by

$$\mathbf{a}x^2 + \mathbf{b}xy + \mathbf{c}y^2 + \mathbf{d}xz + \mathbf{e}yz + \mathbf{f}z^2 = 0$$

for $\mathbf{a}, \mathbf{b}, \mathbf{c}, \mathbf{d}, \mathbf{e}, \mathbf{f}$ in \mathbb{C} such that $(\mathbf{a}, \mathbf{b}, \mathbf{c}, \mathbf{d}, \mathbf{e}, \mathbf{f}) \neq (0, 0, 0, 0, 0, 0)$.

Theorem

There is a *projective* transformations ϕ such that $\phi(\mathcal{C})$ is given by

1. either $xy = z^2$ (an irreducible smooth conic),
2. or $xy = 0$ (a union of two lines in $\mathbb{P}_{\mathbb{C}}^2$),
3. or $x^2 = 0$ (a line in $\mathbb{P}_{\mathbb{C}}^2$ taken with multiplicity 2).

Example

Let \mathcal{C} be a conic in $\mathbb{P}_{\mathbb{C}}^2$ given by $(x - 3y + z)(x + 7y - 5z) = 0$.

Let $\phi: \mathbb{P}_{\mathbb{C}}^2 \rightarrow \mathbb{P}_{\mathbb{C}}^2$ be a *projective* transformations given by

$$[x : y : z] \mapsto [x - 3y + z : x + 7y - 5z : z].$$

Then $\phi(\mathcal{C})$ is a conic in $\mathbb{P}_{\mathbb{C}}^2$ that is given by $xy = 0$.

Irreducible conics

Let \mathcal{C} be a **conic** in $\mathbb{P}_{\mathbb{C}}^2$. Then \mathcal{C} that is given by

$$\begin{pmatrix} x & y & z \end{pmatrix} \begin{pmatrix} \mathbf{a} & \frac{\mathbf{b}}{2} & \frac{\mathbf{d}}{2} \\ \frac{\mathbf{b}}{2} & \mathbf{c} & \frac{\mathbf{e}}{2} \\ \frac{\mathbf{d}}{2} & \frac{\mathbf{e}}{2} & \mathbf{f} \end{pmatrix} \begin{pmatrix} x \\ y \\ z \end{pmatrix} = 0.$$

for $\mathbf{a}, \mathbf{b}, \mathbf{c}, \mathbf{d}, \mathbf{e}, \mathbf{f}$ in \mathbb{C} such that $(\mathbf{a}, \mathbf{b}, \mathbf{c}, \mathbf{d}, \mathbf{e}, \mathbf{f}) \neq (0, 0, 0, 0, 0, 0)$.

► Denote this 3×3 matrix by \mathcal{M} .

Lemma

The **conic** \mathcal{C} is irreducible if and only if $\det(\mathcal{M}) \neq 0$.

Proof.

Let $\phi: \mathbb{P}_{\mathbb{C}}^2 \rightarrow \mathbb{P}_{\mathbb{C}}^2$ be a projective transformation given by matrix \mathbf{M} . Let $\mathbf{N} = \mathbf{M}^{-1}$. Then the conic $\phi(\mathcal{C})$ is given by

$$\begin{pmatrix} x & y & z \end{pmatrix} \mathbf{N}^T \begin{pmatrix} \mathbf{a} & \frac{\mathbf{b}}{2} & \frac{\mathbf{d}}{2} \\ \frac{\mathbf{b}}{2} & \mathbf{c} & \frac{\mathbf{e}}{2} \\ \frac{\mathbf{d}}{2} & \frac{\mathbf{e}}{2} & \mathbf{f} \end{pmatrix} \mathbf{N} \begin{pmatrix} x \\ y \\ z \end{pmatrix} = 0.$$

Classification of irreducible conics

Let \mathcal{C} be an **irreducible** conic in $\mathbb{P}_{\mathbb{C}}^2$ given by

$$\mathbf{a}x^2 + \mathbf{b}xy + \mathbf{c}y^2 + \mathbf{d}xz + \mathbf{e}yz + \mathbf{f}z^2 = 0$$

for $\mathbf{a}, \mathbf{b}, \mathbf{c}, \mathbf{d}, \mathbf{e}, \mathbf{f}$ in \mathbb{C} such that $(\mathbf{a}, \mathbf{b}, \mathbf{c}, \mathbf{d}, \mathbf{e}, \mathbf{f}) \neq (0, 0, 0, 0, 0, 0)$.

1. Pick a point in \mathcal{C} and map it to $[0 : 0 : 1]$. This **kills** \mathbf{f} .
2. Map the **tangent** line $\mathbf{d}x + \mathbf{e}y = 0$ to $x = 0$. This **kills** \mathbf{e} .
3. Map the line $z = 0$ to the line

$$z + \alpha y + \beta x = 0$$

for appropriate α and β to **kill** \mathbf{a} and \mathbf{b} .

4. Scale x , y , and z appropriately to get $\mathbf{b} = 1$ and $\mathbf{c} = -1$.

This gives a **projective** transformation $\phi: \mathbb{P}_{\mathbb{C}}^2 \rightarrow \mathbb{P}_{\mathbb{C}}^2$ such that

$$xz = y^2$$

defines the curve $\phi(\mathcal{C})$.

The conic $x^2 + y^2 - 2xy + xz - 3yz + 2z^2 = 0$

Let \mathcal{C} be the conic in $\mathbb{P}_{\mathbb{C}}^2$ that is given by

$$x^2 + y^2 - 2xy + xz - 3yz + 2z^2 = 0.$$

1. Note that $[0 : 1 : 1] \in \mathcal{C}$. Let $\mathbf{y} = y - z$. Then \mathcal{C} is given by

$$x^2 + \mathbf{y}^2 - \mathbf{y}z - 2x\mathbf{y} - xz = 0.$$

2. To map the **tangent** line $x + \mathbf{y} = 0$ to the line $x = 0$, let

$$\mathbf{x} = x + \mathbf{y}.$$

Then \mathcal{C} is given by $\mathbf{x}^2 + 4\mathbf{y}^2 - 4\mathbf{x}\mathbf{y} - \mathbf{x}z = 0$.

3. Let $\mathbf{z} = z + \mathbf{x} - 4\mathbf{y}$. Then \mathcal{C} is given by $4\mathbf{y}^2 - \mathbf{x}\mathbf{z} = 0$.

Since $\mathbf{x} = x + y - z$, $\mathbf{y} = y - z$, and $\mathbf{z} = x - 3y + 4z$, the matrix

$$\begin{pmatrix} 1 & 1 & -1 \\ 0 & 1 & -1 \\ 1 & -3 & 4 \end{pmatrix}$$

gives a **projective** transformation that maps \mathcal{C} to $xz = 4y^2$.

Intersecting two conics

Let \mathcal{C} and \mathcal{C}' be two **irreducible conics** in $\mathbb{P}_{\mathbb{C}}^2$ such that $\mathcal{C} \neq \mathcal{C}'$.

Theorem

One has $1 \leq |\mathcal{C} \cap \mathcal{C}'| \leq 4$.

Proof.

We may assume that \mathcal{C} is given by $xy = z^2$. Then \mathcal{C}' is given by

$$\boxed{\mathbf{a}x^2 + \mathbf{b}xy + \mathbf{c}y^2 + \mathbf{d}xz + \mathbf{e}yz + \mathbf{f}z^2 = 0}$$

for $\mathbf{a}, \mathbf{b}, \mathbf{c}, \mathbf{d}, \mathbf{e}, \mathbf{f}$ in \mathbb{C} such that $(\mathbf{a}, \mathbf{b}, \mathbf{c}, \mathbf{d}, \mathbf{e}, \mathbf{f}) \neq (0, 0, 0, 0, 0, 0)$.

- ▶ Let L be the line $y = 0$. Then $L \cap \mathcal{C} \cap \mathcal{C}' \subset [1 : 0 : 0]$.
- ▶ One has $L \cap \mathcal{C} \cap \mathcal{C}' = [1 : 0 : 0] \iff \mathbf{a} = 0$.
- ▶ Let $U_y = \mathbb{P}_{\mathbb{C}}^2 \setminus L$. Then $U_y \cap \mathcal{C} \cap \mathcal{C}'$ is given by

$$y - 1 = x - z^2 = \mathbf{a}z^4 + \mathbf{d}z^3 + (\mathbf{b} + \mathbf{f})z^2 + \mathbf{e}z + \mathbf{c} = 0.$$

If $\mathbf{a} = 0$, then $L \cap \mathcal{C} \cap \mathcal{C}' = [1 : 0 : 0]$ and $0 \leq |U_y \cap \mathcal{C} \cap \mathcal{C}'| \leq 3$.

If $\mathbf{a} \neq 0$, then $L \cap \mathcal{C} \cap \mathcal{C}' = \emptyset$ and $1 \leq |U_y \cap \mathcal{C} \cap \mathcal{C}'| \leq 4$. □

Transversal intersection of two conics

Let \mathcal{C} and \mathcal{C}' be two **irreducible conics** in $\mathbb{P}_{\mathbb{C}}^2$.

Question

When the intersection $\mathcal{C} \cap \mathcal{C}'$ consists of 4 points?

Let P be a point in $\mathcal{C} \cap \mathcal{C}'$.

- ▶ \exists **unique** line $L \subset \mathbb{P}_{\mathbb{C}}^2$ such that $P \in L$ and $|L \cap \mathcal{C}| = 1$.
- ▶ \exists **unique** line $L' \subset \mathbb{P}_{\mathbb{C}}^2$ such that $P \in L'$ and $|L' \cap \mathcal{C}| = 1$.

The lines L and L' are **tangent** lines to \mathcal{C} and \mathcal{C}' at P , respectively.

Definition

We say that \mathcal{C} intersects \mathcal{C}' **transversally** at P if $L \neq L'$.

- ▶ The answer to the question above is given by

Theorem

The following two conditions are equivalent:

1. *the intersection $\mathcal{C} \cap \mathcal{C}'$ consists of 4 points,*
2. *\mathcal{C} intersects \mathcal{C}' **transversally** at every point of $\mathcal{C} \cap \mathcal{C}'$.*