Bag-of-Entities Representation for Ranking

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ABSTRACT

This paper presents a new bag-of-entities representation for document ranking, with the help of modern knowledge bases and automatic entity linking. Our system represents query and documents by bag-of-entities vectors constructed from their entity annotations, and ranks documents by their matches with the query in the entity space. Our experiments with Freebase on TREC Web Track datasets demonstrate that current entity linking systems can provide sufficient coverage of the general domain search task, and that bag-of-entities representations outperform bag-of-words by as much as 18% in standard document ranking tasks.

Keywords

Text Representation, Document Representation, Knowledge Base, Bag-of-Entities

1. INTRODUCTION

In the earliest information retrieval systems, query and documents were represented by terms manually picked from predefined controlled vocabularies [6]. The controlled vocabulary representation conveys clean and distilled information, and can be ranked accurately by simple methods. However, it also requires manual annotations and suffers from the small size of controlled vocabularies, thus is mainly used for specific search domains. As full-text search became popular, query and documents are mainly represented by their bag-of-words vectors, and more sophisticated ranking models are used to rank documents in the word space.

Recently, knowledge bases, as the modern version of controlled vocabularies but at larger scale, have provided a new opportunity to improve ranking. The rich semantic information in knowledge bases has been successfully used by ranking systems to better understand general domain queries, for example, to generate better expansion terms [8], richer learning to rank features [1], and additional connections between query and documents [5, 7]. Also, automatic entity annotation is made possible by entity linking research, and is

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becoming increasingly efficient and effective for both query and documents.

This paper presents a new bag-of-entities based representation for document ranking, as a heritage of the classic controlled vocabulary based representation, but with the aid of modern large scale knowledge bases and automatic entity linking systems. We represent query and documents by their bag-of-entities constructed from the annotations provided by three entity linking systems: Google's FACC1 [3] with high precision, CMNS [4] with high recall, and TagMe [2] with balanced precision and recall. With the deeper text understanding provided by entity linking, documents can be ranked by their overlap with the query in the entity's explicit semantic space.

To investigate the effectiveness of bag-of-entities representations, we conducted experiments with a state-of-the-art knowledge base, Freebase, and two large scale web corpora, ClueWeb09-B and ClueWeb12-B13, together with their queries from the TREC Web Track. Our evaluation results first confirm that current entity linking systems can provide sufficient coverage over general domain queries and documents. Then we compare bag-of-entities with bag-of-words in standard document ranking tasks and demonstrate that although the accuracy of entity linking is not perfect (about 50%-60% on TREC Web Track queries), the ranking performance can be improved by as much as 18% with bag-of-entities representations.

2. BAG-OF-ENTITIES REPRESENTATION

We construct bag-of-entities representations for queries and documents using several entity linking systems. When annotating texts, an entity linking system does not just match the n-grams with entity names, but makes the decision jointly by also considering external evidences such as the entity's descriptions and relationships in the knowledge base, and corpus statistics like commonness, linked probability and contexts of entities. As a result, representing texts by entities naturally incorporates deeper text understanding from the linking process: Entity synonyms are aligned, polysemy in entity mentions is disambiguated, and global coherence between entities is incorporated.

The choice of knowledge base in this paper is Freebase, one of the largest public knowledge bases frequently used in recent IR research [1, 5, 7, 8]. Several entity linking systems have been developed for it. This work explore the following three popular ones to annotate queries and documents with Freebase entities.

FACC1 is the Freebase annotation of TREC queries and ClueWeb corpora provided by Google [3]. It aims to achieve high precision, which is believed to be around 80-85% based on a small-scale human evaluation¹.

TagMe is an entity linking system [2] widely used in prior research [4, 7]. It balances precision and recall, both at about 60% in various evaluations.

CMNS is an entity linking system that spots texts using surface forms from FACC1 annotation, and links all of them to their most frequently linked entities [4]. It can achieve almost 100% recall on some query entity linking datasets, but the precision may be lower [4].

Given the annotations of a query or document, we construct its bag-of-entities vector \vec{E}_q or \vec{E}_d , in which each dimension $(\vec{E}_q(e))$ or $\vec{E}_d(e)$) refers to an entity e in Freebase, and its weight is the frequency of that entity appears in the annotation of the query or the document.

The bag-of-entities representation uses entities as its basic information unit. As with controlled vocabulary terms, entities are more informative than words. However, whereas controlled vocabulary terms are often assigned manually, entity linking is done automatically, which is more efficient but also more uncertain. With controlled vocabularies, simple ranking methods such as Boolean retrieval work well, because the representation is clean and distilled [6], while with bag-of-words more sophisticated ranking models are more effective. Given the heritage to the classic controlled vocabulary based search systems, we start simple and use the following two basic ranking models to study the power of bag-of-entities representations.

Coordinate Match (COOR) ranks a document by the number of query entities it contains:

$$f_{\text{COOR}}(q, d) = \sum_{e: \vec{E}_q(e) > 0} \mathbb{1}(\vec{E}_d(e) > 0)$$
 (1)

Entity Frequency (EF) ranks document by the frequency of query entities in it:

$$f_{\text{EF}}(q,d) = \sum_{e: \vec{E}_q(e) > 0} \vec{E}_q(e) \log(\vec{E}_d(e))$$
 (2)

 $f_{\text{COOR}}(q,d)$ and $f_{\text{EF}}(q,d)$ are the ranking scores of document d for query q using coordinate match (COOR) and entity frequency (EF) respectively. $\mathbb{1}(\cdot)$ is the indicator function.

COOR performs Boolean retrieval, which is the most basic ranking method and often works well with controlled vocabularies. EF studies the value of term frequency information, another basis for document ranking. These simple models investigate basic properties of ranking with bag-of-entities, and provide understanding and intuition for the future development of more advanced ranking models.

3. EXPERIMENT METHODOLOGY

Dataset: Our experiments are conducted on TREC Web Track datasets. TREC Web Tracks use two large web corpora: ClueWeb09 and ClueWeb12. We use the ClueWeb09-B and ClueWeb12-B13 subsets. There are 200 queries with relevance judgments from TREC 2009-2012 for ClueWeb09, and 100 queries in 2013-2014 for ClueWeb12. Manual annotations provided by Dalton et al. [1] and Liu et al. [5] are used as query annotation labels.

Table 1: Entity linking performance on ClueWeb queries. All methods are evaluated by **Prec**ison, **Rec**all and **F1**.

	CV	709 Qu	ery	CW12 Query				
	Prec	Rec	F 1	Prec	Rec	F1		
FACC1	0.274	0.236	0.254	NA	NA	NA		
TagMe	0.581	0.597	0.589	0.460	0.555	0.503		
CMNS	0.577	0.596	0.587	0.485	0.575	0.526		

Indexing: We indexed both corpora with Indri, using their bag-of-words. Default stemming and stopword removal were used. Spam in ClueWeb09 was filtered using the default threshold (70%) of Waterloo spam scores. Spam filtering was not used for ClueWeb12 because its effectiveness is unclear. The evaluation of entity linking, and the reranking using bag-of-entities are performed on the top 100 documents per query retrieved by Indri's language model with default Dirichlet smoothing ($\mu = 2500$).

Entity Linking Systems: We used TagMe software provided by Ferragina et al. [2] to annotate queries and documents with Wikipedia entities, which are then aligned to Freebase entities using the Wikipedia ID field in Freebase.

CMNS is implemented by ourselves, following Hasibi et al. [4]. The boundary overlaps of surface forms are resolved by only linking the earliest and then the longest one [4].

FACC1 entity annotations for ClueWeb documents are provided by Google [3]. They also annotated ClueWeb09 queries' intent descriptions, but not the queries. We used the descriptions' annotations as approximations of queries' annotations, and manually filtered out entities that did not appear in the original queries to reduce disturbance. ClueWeb12's queries are not annotated by Google so we are only able to study FACC1 annotations on ClueWeb09.

Baselines: We used two standard unsupervised bag-of-words ranking models as baselines: Indri's unstructured language model (Lm) and sequential dependency model (SDM), both with default parameters: $\mu=2500$ for Lm, and query weights (0.8,0.1,0.1) for SDM. Typically these baselines do well in competitive evaluations such as TREC. There are better rankers, for example learning to rank methods. However, methods that combine many sources of evidence usually outperform methods that use a single source of evidence, thus such comparison would not reveal much about the value of the bag-of-entities representation.

There were three representations for ClueWeb09 (FACC1, TagMe and CMNS), and two for ClueWeb12 (TagMe and CMNS). All annotations are done automatically. All annotated entities are used, since filtering using the annotation score lowers the annotation accuracy in our experiments. COOR and EF were used to re-rank the top 100 documents per query retrieved by Lm. Ties were broken by Lm's score.

Evaluation Metrics: The entity annotations were evaluated by the lean evaluation metric from Hasibi et al. [4]. It averages the annotation performances (precision or recall) of at the whole query level, e.g. whether all entities in a query are correctly annotated or not, and on the individual entity level. The ranking performances were evaluated by the TREC Web Track Ad-hoc Task's official evaluation metrics: ERR@20 and NDCG@20. Statistical significance was tested by the Fisher randomization test (permutation test) with p < 0.05.

¹http://lemurproject.org/clueweb09/FACC1/

Table 2: Coverage of annotations. Freq and Dens are the average number of entities linked per query/document and per word respectively. Missed is the percentage of queries or documents that have no annotation at all. ClueWeb12 queries do not have FACC1 annotations as they are published later than FACC1.

	ClueWeb09						ClueWeb12					
	Query Document				Query Document					ent		
	Freq	Dens	Missed	Freq	Dens	Missed	Freq	Dens	Missed	Freq	Dens	Missed
FACC1	0.42	0.20	62%	15.95	0.13	30%	NA	NA	NA	24.52	0.06	26%
TagMe	1.54	0.70	1%	92.31	0.20	2%	1.77	0.57	0%	246.76	0.37	0%
CMNS	1.50	0.69	1%	252.41	0.55	0%	1.75	0.55	0%	324.37	0.48	0%

4. EVALUATION RESULTS

This section evaluates the accuracy and coverage of entity annotations, and bag-of-entities' performance in ranking.

4.1 Annotation Accuracy and Coverage

Table 1 shows the precision, recall, and F1 of FACC1, TagMe and CMNS on ClueWeb queries. TagMe performs the best on ClueWeb09 queries with higher precision, while CMNS performs better on ClueWeb12 queries. The ClueWeb09 queries are more ambiguous because they needed to support the TREC Web Track's Diversity task; TagMe's disambiguation was more useful on this set. ClueWeb12 queries needed to support risk minimization research, and have been shown to be harder; both systems perform worse on them. FACC1 query annotation does not perform well as its goal was to annotate the query's description, not the query itself.

There is no gold standard entity annotation for ClueWeb documents. Nevertheless, our manual examination confirms that FACC1 has high precision; TagMe performs a little better on documents with more contexts; and CMNS performs worse than TagMe on documents as it only uses the surface forms.

One concern of controlled vocabulary based search systems is the low coverage on general domain queries, restricting their usage mainly to specific domains. With the much larger scale of current knowledge bases, it is interesting to study whether they can influence the majority of general domain queries. Table 2 shows the coverage results of our entity annotations on ClueWeb queries and their top 100 retrieved documents. Freq and Dens are the average number of entities linked per query/document, and per word respectively. Missed is the percentage of queries/documents that have no linked entity. The results show that TagMe and CMNS have good coverage on ClueWeb queries and documents. Almost all queries and documents have at least one linked entity. The annotations are no longer sparse. There can be up to 324 entities linked per documents on average. However, precision and coverage have not been achieved together yet. FACC1 has the highest precision but provides very few entities per document and misses many documents.

These results show that the entity linking is still an open research problem. Precision and coverage can not yet be achieved at the same time. Thus, the ranking method must be robust and able to accommodate a noisy representation.

4.2 Ranking Performance

The performances of bag-of-entities in ranking are shown in Table 3a. EF and COOR rerank top retrieved documents using the bag-of-entities from FACC1, TagMe and CMNS. The percentages are relative performances over SDM. W/T/L refer to the number of queries improved (Win), unchanged (Tie) and hurt (Loss) comparing with SDM.

On ClueWeb09, both TagMe and CMNS work well with EF and COOR, and outperform all baselines on all evaluation metrics. The best method, TagMe-EF, outperforms SDM as much as 18% on ERR@20. On ClueWeb12, COOR outperforms all baselines on all evaluation metrics by about 12%. These results demonstrate that even with current imperfect entity linking systems, bag-of-entities is a valuable representation on which very basic ranking models can significantly outperform standard bag-of-words based ranking.

Bag-of-entities' representation power correlates with the entity linking system's accuracy. ClueWeb09 queries are more ambiguous, favoring TagMe in annotation accuracy, and TagMe provides the most improvements when ranking for ClueWeb09 queries. ClueWeb12 queries have lower annotation quality, and bag-of-entities based ranking is not as powerful as on ClueWeb09 queries. FACC1's coverage is too low and can not well represent the documents. This also explains why prior research mainly uses it as a pool to select query entities [1, 7, 8].

Entity linking is a rapidly developing area; improvement in the future is likely. To study how the bag-of-entities can benefit from improvements in annotation accuracy, we used the manual query annotations [1, 5] to divide queries into two groups: Correctly Annotated, whose ground truth entities are all correctly linked, and Mistakenly Annotated, whose entities are not all correctly linked. Table 3b shows the ranking performances of TagMe and CMNS on the two groups in ClueWeb09. We omit FACC1 as it always hurts, and ClueWeb12 queries as there are not enough queries in either group to provide reliable observations. The relative performance, W/T/L and statistical significance over SDM are calculated on the same group of queries for each method. The results are as expected: On Correctly Annotated queries, bag-of-entities provides more accurate ranking; on Mistakenly Annotated queries, the improvements are smaller, and sometimes bag-of-entities reduces accuracy.

Our experiments show that intuitions developed for bagof-words representations do not necessarily apply directly to bag-of-entitites representations. A long line of research shows that frequency based (e.g., tf.idf) ranking models are superior to Boolean ranking models. Thus, one might expect EF to provide consistently more accurate ranking than COOR, however that is not the case in our experiments. We found that the majority of annotation errors are missed annotations, which makes entity frequency counts less reliable. However, it is rare for the entity linker to miss every mention of an important entity in a document, thus the Boolean model is robust to this majority type of errors.

We also examined the effectiveness of other ranking intuitions, such as inverse document frequency (idf) and document length normalization. In our bag-of-entities represen-

Table 3: Ranking accuracy of bag-of-entities based ranking models. FACC1, TagMe and CMNS refer to the bag-of-entities representation constructed from each type of annotation. COOR and EF refer to the coordinate match and entity frequency ranking models. Percentages show the relative changes compared to SDM. W/T/L are the number of queries improved (Win), unchanged (Tie) and hurt (Loss) compared to SDM. \dagger and \ddagger indicate statistic significance (p < 0.05 in permutation test) over Lm and SDM. The best method for each metric is marked bold.

((a)	Overall	Accuracy

			ClueWe	b09		ClueWeb12				
	NDCG@20		ERR@20		m W/T/L	NDCG@20		ERR@20		W/T/L
Lm	0.176	-12.92%	0.119	-5.23%	39/88/71	0.106	-2.10%	0.086	-4.69%	28/29/43
SDM	0.202^{\dagger}	_	0.126^{\dagger}	_	_	0.108	_	0.090	-	_
FACC1-COOR	0.173	-14.16%	0.126	-0.21%	64/56/78	NA	NA	NA	NA	NA
FACC1-EF	0.167	-17.32%	0.116	-8.14%	63/51/84	NA	NA	NA	NA	NA
TagMe-COOR	0.211^{\dagger}	4.55%	0.133^{\dagger}	5.55%	108/35/55	$0.117^{\dagger,\ddagger}$	8.35%	0.095^{\dagger}	5.02%	42/20/38
TagMe-EF	$0.229^{\dagger,\ddagger}$	13.71%	0.149^{\dagger}	18.04%	96/24/78	0.107	-0.90%	0.091	1.08%	42/18/40
CMNS-COOR	0.210^{\dagger}	4.08%	0.131^{\dagger}	4.21%	105/37/56	$0.120^{\dagger, \ddagger}$	11.03%	0.101^{\dagger}	11.20%	43/22/35
CMNS-EF	0.216^{\dagger}	6.97%	0.136	7.52%	97/22/79	0.110	2.03%	0.102	$\boldsymbol{12.71\%}$	36/20/44

(b) Accuracy on queries whose entities are all correctly annotated and those whose are not all correctly annotated. The relative performance, W/T/L and statistical significance are calculated by comparing with SDM on the same query set for each method.

	Correctly	Annotated Queri	ies	Mistakenly Annotated Queries					
	NDCG@20	ERR@20	W/T/L	NDCG@20	ERR@20	W/T/L			
TagMe-COOR	$0.214^{\dagger,\ddagger}$ 14.56%	$0.153^{\dagger,\ddagger}$ 12.71%	59/16/17	0.200^{\dagger} -3.28%	0.111 -1.93%	49/21/38			
TagMe-EF	$0.243^{\dagger, \ddagger} \ 30.43\%$	$0.178^{\dagger, \ddagger} \ 31.19\%$	53/10/29	0.209 0.65%	0.118 4.30%	43/16/49			
CMNS-COOR	0.211^{\dagger} 4.28%	0.146^{\dagger} 4.89%	53/22/20	$egin{array}{ccc} 0.201^\dagger & 3.90\% \end{array}$	0.112 $3.40%$	52/17/36			
CMNS-EF	$0.240^{\dagger, \ddagger}$ 18.44%	0.168 20.74%	52/11/32	0.185 -4.09%	0.100 -8.12%	45/13/47			

tations they did not provide improvements when used individually or in language modeling and BM25 rankers. We speculate that idf had less impact because most queries contained just one or two entities, thus most of the queries were 'short' in the entity space; idf is known to be less important for short queries. We also speculate that the lack of improvement from document length normalization is related to the lack of improvement from frequency based weighting (EF), as discussed above. Our work suggests that better ranking will require thinking carefully about models designed for the unique characteristics of entities, rather than simply assuming that entities behave like words.

CONCLUSIONS AND FUTURE WORK

This paper presents a new bag-of-entities representation for ranking documents. Query and documents are represented by bag-of-entities representations developed from entity annotations, and ranking is performed by matching them in the entity space. Experiments on TREC Web Track datasets demonstrate that the coverage of bag-of-entities representations is sufficient and bag-of-entities representations can outperform bag-of-words representations by as much as 18% in standard document ranking tasks.

Entity linking is a rapidly-developing research area; its further improvements is likely to improve ranking accuracy, for example by providing more reliable entity frequencies. The bag-of-entities provides new evidence from knowledge bases, but also introduces new types of errors and uncertainties. How to better utilize bag-of-entities' strength and handle its noises is an important future research direction.

Prior research on using knowledge bases for search was mainly query-based, for example, selecting a few entities for the query and using them to enhance the bag-of-words

based ranking [1, 5, 7, 8]. This work focuses more on the document representation and operates directly in the entity space. How to combine the earlier work with the work described in this paper is another open problem.

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