

# End-to-end conversational agents: what's missing?

Marco Baroni

Facebook Artificial Intelligence Research

Let's Discuss Workshop at NIPS 2016

# With many thanks to...

- Angeliki Lazaridou, Alex Peysakhovich
- Gemma Boleda
- Jason Weston, Marc'Aurelio Ranzato, Sumit Chopra, Antoine Bordes
- Tomas Mikolov, Armand Joulin, Germán Kruszewski
- Raquel Fernandez
- Aurelie Herbelot
- ...

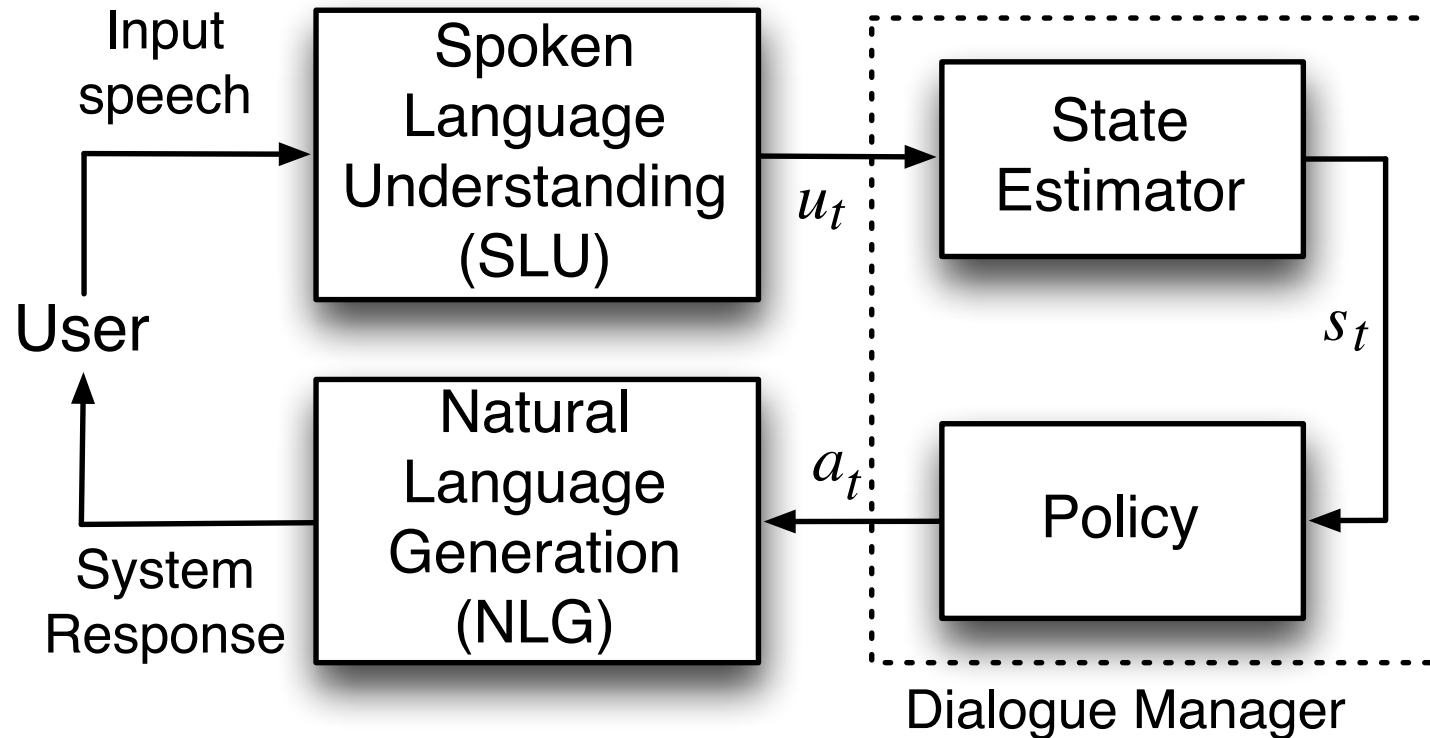
# Why is conversation so easy?

**Simon Garrod<sup>1</sup> and Martin J. Pickering<sup>2</sup>**

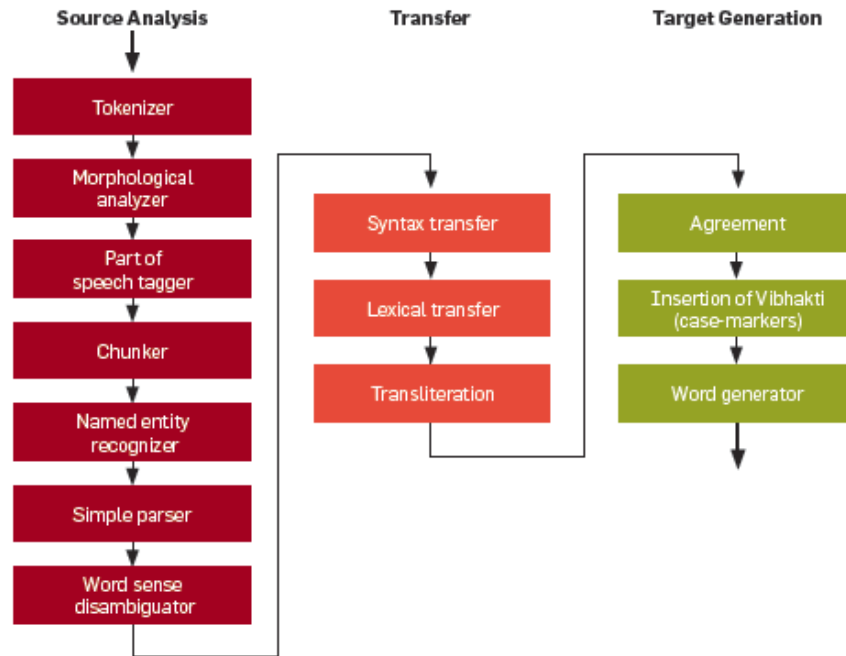
<sup>1</sup>University of Glasgow, Department of Psychology, Glasgow, UK, G12 8QT

<sup>2</sup>University of Edinburgh, Department of Psychology, Edinburgh, UK, EH8 9JZ

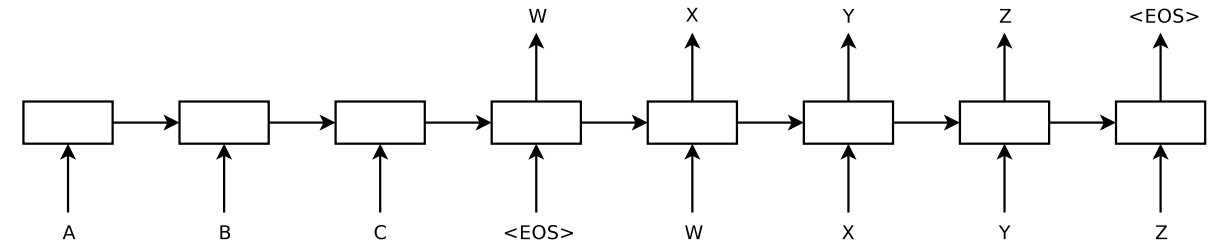
# The conversational agent pipeline



# From pipelines to end-to-end machine translation



Anthes 2010



Sutskever et al. 2014

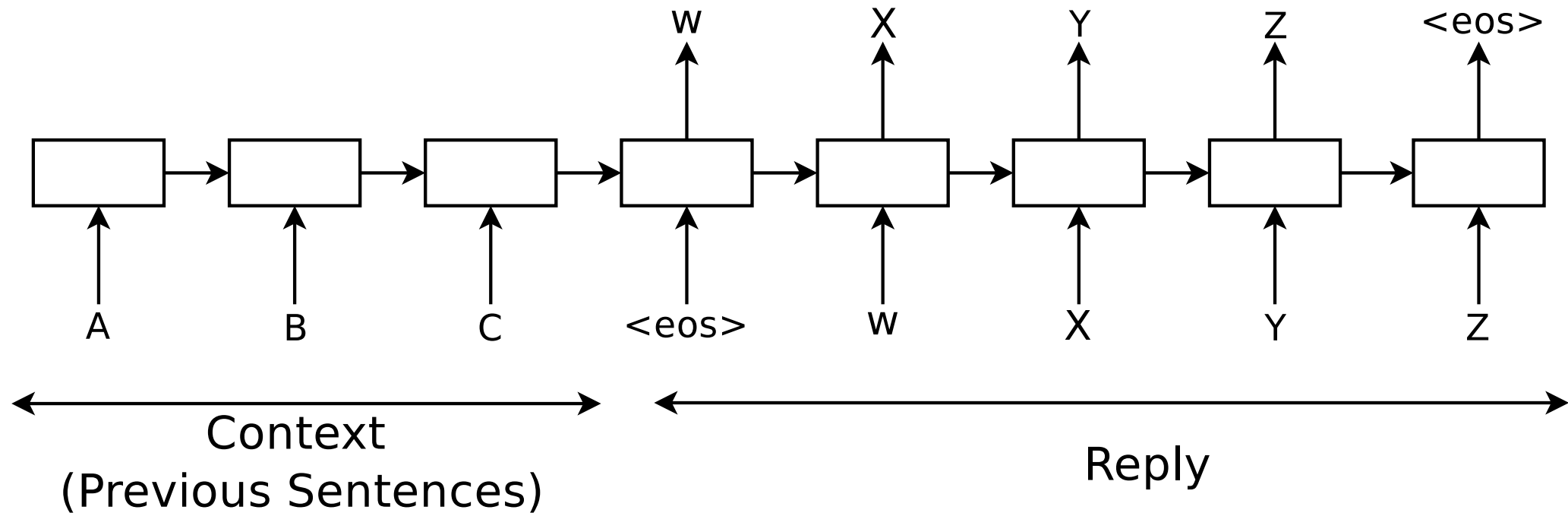
# Training end-to-end machine translation

Io sono il dottore di cui in questa novella si parla talvolta con parole poco lusinghiere.



I am the doctor occasionally mentioned in this story, in unflattering terms.

# End-to-end conversational agents



# Training end-to-end conversational agents

A: Shall we call Alan Turner?

B: Alan Turner?

A: Yeah.

B: I haven't got his number have you got it?

<http://bncweb.lancs.ac.uk/>



# Training end-to-end conversational agents

A: Shall we call Alan Turner?

B: Alan Turner?

A: Yeah.



B: I haven't got his number have you got it?

# End-to-end conversational agents

H: i am seeing an error related to vpn

M: what is the error message that you are getting when connecting to vpn using network connect?

H: connection refused or something like that

M: try this, goto <URL> page and enter your name and password

H: done

M: try logging in with <NAME> and check if it's working fine now

H: yes, now it works!

# End-to-end conversational agents

H: : what do you think about messi?

M: he's a great player.

H: what do you think about cleopatra?

M: oh, she's very regal.

H: what do you think about england during the reign of elizabeth?

M: it was a great place.

# End-to-end conversational agents

H: what is your job?

M: i'm a lawyer.

H: what do you do?

M: i'm a doctor.

# End-to-end conversational agents

H: what are you doing?

M: nothing.

H: what is your name?

M: i don't know, sir.

H: how old are you?

M: i'm not sure.

# Conversation as a joint project

Clark 1996

- **(Extra-linguistic) context:** a conversation will take place in a certain state of the world
- **Common ground:** the participants must coordinate on a (dynamic) set of "mutual knowledge, mutual beliefs, and mutual assumptions"
- **Purpose:** we engage in a conversation in order to achieve something

# Context

A: Shall we call Alan Turner?

B: Alan Turner?

A: Yeah.

[B knows Alan Turner number]

B: I haven't got his number have you got it?

# Context

A: Shall we call Alan Turner?

B: Alan Turner?

A: Yeah.

[Alan Turner's number is 333 454443]

B: His number is 911



# Accessing extra-linguistic context

- Teach machine to query a data-base (Bordes and Weston 2016):

A: Shall we call Alan Turner?

B: Alan Turner?

A: Yeah.

B: *db\_search(Alan\_Turner, Has\_Number, \*)*)

*DB: 333 454443*

B: Alan's number is 333 454443

# Accessing extra-linguistic context

## Visual Dialog



A cat drinking water out of a coffee mug.



White and red

What color is the mug?



No, something is there can't tell what it is

Are there any pictures on it?



Yes, they are

Is the mug and cat on a table?



Yes, magazines, books, toaster and basket, and a plate

Are there other items on the table?

# Accessing extra-linguistic context: problems and solutions

- Subject-elicited data: small
- Scripted interactions: limited, artificial
- Mix large-scale purely-text-based training and small/artificial real-world-based training (how?)
- Clever ways to collect grounded data (games?)
- Grounded data in the wild (movies?)

# How to use contextual information

## Contextual vs generic

- What's the color of cows?
- What's the color of this cow?



## Precise vs vague

- Where does Alan Turner live?
- 34 Washington Street / 35  
Lincoln Avenue / In the US
- In the US / In the United States /  
In Winesburg, Ohio / 34  
Washington Street

# Conversation as a joint project

Clark 1996

- **(Extra-linguistic) context:** a conversation will take place in a certain state of the world
- **Common ground:** the participants must coordinate on a (dynamic) set of "mutual knowledge, mutual beliefs, and mutual assumptions"
- **Purpose:** we engage in a conversation in order to achieve something

# Common ground

Clark and Brennan 1991

A: Shall we call **Alan Turner**?

B: Alan Turner?

A: Yeah.

B: I haven't got **his** number have you got **it**?

# Common ground

Clark and Brennan 1991

A: Shall we call Alan Turner?

B: Alan Turner?

A: Yeah.

B: I haven't got his number have you got it?

# Common ground

Leslie: Gotta see **them next time**.

Leslie : See, **I was a bit shocked**, you know

Leslie: **Mike** said he went to **Chessington**, was it?

Rob: **Yeah**.

Leslie: **Ha ha!**

Leslie: Why didn't **he do that** when **we** were **here**?



# Common ground in end2end systems

H: i am seeing an error related to vpn

M: what is **the error message that you are getting when connecting to vpn using network connect?**

H: connection refused or something like that

M: try this, goto <URL> page and enter your name and password

H: done

M: try logging in with <NAME> and **check if it's working fine now**

H: yes, now it works!

# Common ground in end2end systems

H: what is your job?

M: i'm a lawyer.

H: what do you do?

M: i'm a doctor.

# Common ground and conversation partners

Rob: Let me introduce you to my friend **Mike**...

Machine: Nice to meet you!

...

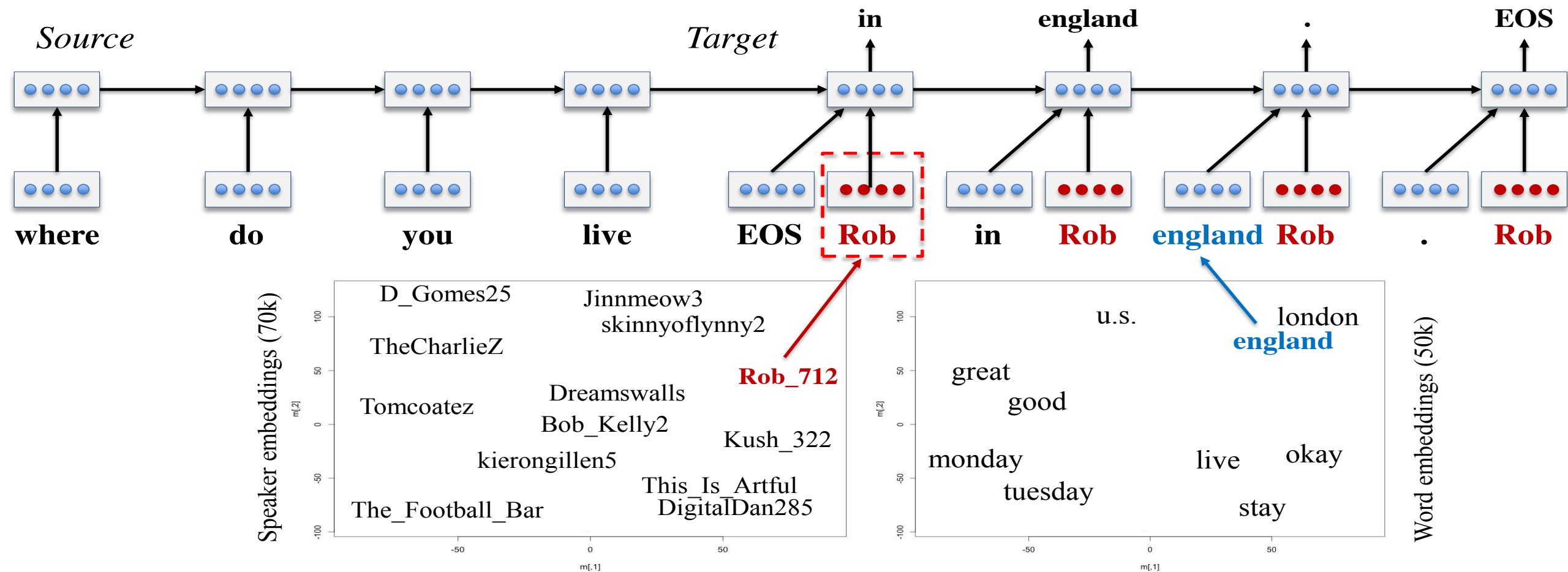
Machine: **Mike** said he went to Chessington, was it?

Rob: Yeah.

Machine: I know **this person, Mike**, who went to Chessington.

Alice: Yeah

# Personas (Li et al. 2016b)



# Negotiating common ground

Alan: In fact it was the Society's policy now that we won't put animals to sleep unless there is an extreme cause for that...

James: Why is it that in the north of England, particularly in this region of the north of England, we seem to be worse than anywhere else?

Alan: I don't think you are. You know, since I've been down in Horsham, I have found that the northeast is not alone. There are many other areas in the country where animals are seriously abused. The northeast isn't the only place.

# Updating common ground

A: Shall we call Alan Turner?

B: Alan Turner?

A: Yeah.

B: I haven't got his number have you got it?

...

A: Shall we call our... his name isn't Alan Turner.

B: Well what's his name?

A: His name is... Richard... Thorpe, which is why am I looking under T in my book...

# Conversation as a joint project

Clark 1996

- **(Extra-linguistic) context:** a conversation will take place in a certain state of the world
- **Common ground:** the participants must coordinate on a (dynamic) set of "mutual knowledge, mutual beliefs, and mutual assumptions"
- **Purpose:** we engage in a conversation in order to achieve something

# The purpose of conversations

## **Task-oriented conversations**

A: I'd like to book a restaurant for tonight.

B: What kind of food?

A: Indian, perhaps?

B: How about Shahi Tandoor on George Street?

A: That sounds great, thank you!

## **Chitchat?**

A: Shall we call Alan Turner?

B: Alan Turner?

A: Yeah.

B: I haven't got his number have you got it?



# The purpose of conversations

A: Shall we call Alan Turner?

B: Alan Turner?

A: Yeah.

B: I haven't got his number have you got it?

# The purpose of conversations

B: Before the end of the show, we've got ten minutes left...

A: Shall we call Alan Turner?

B: Alan Turner?

A: Yeah.

B: I haven't got his number have you got it?

A: No... hey, have you seen they're filming in Harewood this week?

Conversational success  
is inherently rewarding

Opinion

**Cell**  
P R E S S

# Brain-to-brain coupling: a mechanism for creating and sharing a social world

Uri Hasson<sup>1,2</sup>, Asif A. Ghazanfar<sup>1,2</sup>, Bruno Galantucci<sup>3,4</sup>, Simon Garrod<sup>5,6</sup> and Christian Keysers<sup>7,8</sup>

# Learning and transferring linguistic cues of success

See also Li et al. 2016c

## Explicit reward

A: I'd like to book a restaurant for tonight.

B: What kind of food?

A: Indian, perhaps?

B: How about Shahi Tandoor on George Street?

A: That sounds great, thank you!

+1

## Implicit reward

A: Shall we call Alan Turner?

B: Alan Turner?

A: Yeah.

B: Here's his number: 333 454443

A: Great, thank you!

# Conversation as a joint project

Clark 1996

- **(Extra-linguistic) context:** a conversation will take place in a certain state of the world
- **Common ground:** the participants must coordinate on a (dynamic) set of "mutual knowledge, mutual beliefs, and mutual assumptions"
- **Purpose:** we engage in a conversation in order to achieve something

A: Shall we call Alan Turner?

B: Well, this is the last slide, we ran out of time again!

- Anthes. 2010. Automated translation of Indian languages. Comm ACM.
- Border and Weston. 2016. Learning end-to-end goal-oriented dialog. arXiv.
- Clark. 1996. Using language. CUP.
- Clark and Brennan. 1991. Grounding in communication. In Perspectives on socially shared cognition.
- Das et al. 2016. Visual dialog. arXiv.
- Garrod and Pickering. 2004. Why is conversation so easy. TICS.
- Hasson et al. 2012. Brain-to-brain coupling: A mechanism for creating and sharing a social world. Trends Cog Sci.
- Li et al 2016a. A diversity-promoting objective function for neural conversation models. NAACL 2016.
- Li et al 2016b. A persona-based neural conversation model. ACL 2016.
- Li et al 2016c. Deep reinforcement learning for dialogue generation. EMNLP 2016.
- Sutskever et al. 2014. Sequence to sequence learning with neural networks. NIPS.
- Vinyals and Le. 2015. A neural conversational model. ICML DLW.
- Young et al. 2013. POMDP-based statistical spoken dialogue systems: A review. Proc IEEE.