

# Deadlock Analysis

Norman Dunbar



# Table of Contents

1. Introduction .....	1
2. Execution .....	3
3. How it Works .....	5
4. Reports .....	7
4.1. Contents .....	7
4.2. Trace File Summary .....	7
4.3. Deadlock Summary .....	7
4.4. Deadlock Graph .....	8
4.5. Deadlock Waiters .....	9
4.6. Exit Codes .....	9



# Chapter 1. Introduction

The purpose of the Deadlock Analysis tool is to read one or more trace files, created either by an ORA-00060 error or an event 10046 (or equivalent), and to extract details and potential reasons for any deadlocks that it finds in the file.

A report is created, in HTML format, for each trace file passed as a command line argument. The report is created in the same path as that of the trace file, and is named exactly as per the input trace file, but with `.html` as the file's extension as opposed to `.trc`.



## Chapter 2. Execution

if the directory that the executable lives in is on your path, then:

```
DeadlockAnalysis list_of_trace_file_names
```

Otherwise you can:

```
cd place/where/executable/lives  
./DeadlockAnalysis list_of_trace_file_names
```

or:

```
full/path/to/DeadlockAnalysis list_of_trace_file_names
```

The report(s) will be created in the location of the trace files. One report for each trace file.





# Chapter 3. How it Works

The trace file is read and searched for any deadlocks. There may be more than one found. For each one found, the deadlock graph is extracted along with the objects etc waited upon by the waiting sessions.

The signature of the deadlock is then extracted from the graph. This is used to provide potential reasons for the deadlock. At present the utility lists the following:

Signature	Reason(s)
UL	User defined locking has caused the problem. Things like <code>select for update</code> , <code>lock table</code> , <code>dbms_lock</code> , etc. You are pretty much on your own in sorting that out I'm afraid!
TX-X-X	Typical application code fault. Resources usually locked in different orders.
	Self deadlock with or without autonomous transactions.
TX-X-S	ITL shortage in the affected data blocks.
	Bitmap Indexes in use.
	Primary key - multiple identical <code>INSERTS</code> or <code>UPDATE</code> .
	Unique key - multiple identical <code>INSERTS</code> or <code>UPDATE</code> .
TM-SX-SSX-SX-SSX	Unindexed foreign key column(s) on child table(s).

The objects involved in the deadlock are extracted from the "waited on resource" section of the trace and listed on the report.

The report will *attempt* to diagnose the reason for the deadlock based on the trace's 'process state' and the full wait stack, extracted from that section, will also be reported, and is used to limit the number of possible reasons for the deadlock. For example, if the current wait state is `waiting for 'enq: TX - allocate ITL entry'` then there's no point advising that it *could* be bitmap indexes etc.



# Chapter 4. Reports

Each report is made up of a number of sections.

## 4.1. Contents

The contents is displayed at the top left of the report, when opened in a browser, and has links to the trace file summary details, and to each deadlock found within the trace file.



## 4.2. Trace File Summary

Tracefile Summary	
Trace File	C:\Users\hisg494\CodeBlocks\SourceCode\DeadlockAnalysys\test.trc
Original Trace File	/u01/app/oracle/diag/rdbms/orcl/ORCL/trace/orcl_ora_33751314.trc
System	AIX
Server name	axukprdrtdb01
Oracle Home	u01/app/oracle/product/11.2.0.4_dbhome1
Instance Name	pnet01p1
Analysis	<b>There were 2 deadlocks in the trace file:</b>  <a href="#">Deadlock 1</a> : <b>Waiting for 'enq: TX - allocate ITL entry'</b> <a href="#">Deadlock 2</a> : <b>Waiting for 'enq: TX - row lock contention'</b>

The trace file summary is displayed at the start of the report. It simply shows a few details from the original trace file and the number of deadlocks that it found.

## 4.3. Deadlock Summary

## Deadlock 1

### Deadlock Summary

<b>Line Number</b>	30
<b>Sessions</b>	3
<b>Dumped SID</b>	272
<b>Current Wait</b>	Waiting for 'enq: TX - allocate ITL entry'
<b>Wait Stack</b>	Waited for 'enq: TX - allocate ITL entry' for 5.000086 sec(s) Waited for 'enq: TX - allocate ITL entry' for 4.000069 sec(s) Waited for 'enq: TX - allocate ITL entry' for 4.000334 sec(s) Waited for 'enq: TX - allocate ITL entry' for 2.000042 sec(s) Waited for 'enq: TX - allocate ITL entry' for 2.000103 sec(s) Waited for 'enq: TX - allocate ITL entry' for 1.000035 sec(s) Waited for 'enq: TX - allocate ITL entry' for 1.008049 sec(s) Waited for 'db file sequential read' for 0.007333 sec(s) Waited for 'db file sequential read' for 0.001132 sec(s) Waited for 'db file sequential read' for 0.007352 sec(s)
<b>Signature</b>	TX-X-S
<b>Probable Cause</b>	<b>Insufficient ITL entries (See docId 1552191.1);</b> <b>Increase the INITRANS settings on the affected object (see below) and then ALTER xxx MOVE; to make the change stick.</b>
<b>Aborted SQL</b>	update some_table set one_column = :0 where id = :1

Each deadlock has it's own sections in the report. There is a summary, the graph and details of the waiting sessions for each deadlock.

The deadlock summary lists overall details of the particular deadlock itself. Here you will find:

- The line number in the trace file where the deadlock dump starts;
- The number of sessions involved in this particular deadlock;
- The SID of the session that was dumped out after having it's SQL statement rolled back (not the transaction though, just the statement);
- The reason for the deadlock;
- The wait stack for the dumped session;
- The deadlock signature - as per the various Oracle documents on the matter of diagnosing deadlock reasons;
- A probable cause for the deadlock;
- The SQL statement that was rolled back because of the deadlock.

## 4.4. Deadlock Graph

## Deadlock Graph

Resource Name	Blockers				Waiters			
	Process	SID	Holding	Waiting	Process	SID	Holding	Waiting
TX-0018001f-0025006a	985	272	X		821	1019		S
TX-0004000e-004a8a86	821	1019	X		779	2156		S
TX-00360007-001b448f	779	2156	X		985	272		S

This section is just a duplication, pretty much, of the deadlock graph in the trace file.

## 4.5. Deadlock Waiters

### Deadlock Waiters

Resource Name	SID	Blocker	Rowid Waited	File No.	Block No.	Slot No.	Object Id
TX-0018001f-0025006a	1019	272	AATFxWAQAAOgdrGAAA	1024	243391200	0	5004374
TX-0004000e-004a8a86	2156	1019	AATFxWAQAAOgdi7AAA	1024	243390651	0	5004374
TX-00360007-001b448f	272	2156	AATFxWAQAAOgakFAAA	1024	243378437	0	5004374

This sections lists the waiting sessions, and details of the resources they are waiting on at the time of the deadlock.

## 4.6. Exit Codes

In the event of an error while executing, the following exit codes are returned:

- 0: Everything worked.
- 1: Not enough parameters supplied. There must be at least one trace file name passed.
- 2: The trace file cannot be opened.
- 3: There was an error reading the trace file.
- 4: There was a problem creating the report file for the trace.