

# Preface

This thesis constitutes the final part of a “Sivilingeniør” (M.Sc.) degree in Physics and Mathematics at the Norwegian University of Science and Technology. It was written during the spring of 2022, after a five year study program.

I would like to thank my supervisor, Alireza Qaiumzadeh, for excellent guidance through this last year. This has been a novel topic for the both of us, and our weekly meetings have been filled with interesting discussions and conversations. Thank you for being a guiding lantern through an overwhelming maze of information, letting me see what is relevant and what is not.

Furthermore, I want to thank [María A. H. Vozmediano](#)<sup>1</sup> and [Alberto Cortijo](#)<sup>1</sup> for excellent discussions during the finalization of the thesis. Through our meetings the significance and interpretation of the results was made more clear, and interesting questions and continuations discussed. It was also reassuring to hear that you had struggled with some of the same issues that we have faced.

The work presented in this thesis is currently being worked into a manuscript written primarily by me and Alireza, in collaboration with Maria and Alberto.

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Emergent Dirac equations in topological condensed matter physics may, as opposed to their high energy physics equivalents, have Lorentz breaking terms. Several such systems have been discovered both theoretically and experimentally, among them the tilted Dirac and Weyl semimetals. Non-tilted Dirac and Weyl semimetals have previously been shown to house transverse charge current response to thermal gradients in a magnetic field, a Nernst effect. The origin of the effect is the conformal anomaly, a quantum anomaly related to non-flat spacetime. The effect, importantly, is finite even for zero chemical potential and temperature. Using the Kubo formalism, we have extended the calculation to find the response function for a system with tilt.

Using Luttinger's relation, we introduce an effective gravitational field from the thermal gradient, which couples to the energy density. By employing the conservation of energy, we can reformulate the response as a response to the derivatives of elements of the energy-momentum tensor.

We find the effect to be tunable by the direction and magnitude of the tilt with respect to the magnetic field. Several possible candidates for experimental signatures are presented, and thus this may give a possible venue for experimental investigation of tilted Dirac and Weyl semimetals. Furthermore, we show the importance of the specific choice of the energy-momentum tensor, which for non-zero tilt directly affects the computed response. The ambiguity of the energy-momentum tensor is well known, however, our results show explicitly that in these types of systems, the choice is not only a conceptual formality but has qualitative consequences.

# Oppsummering

Effektive Dirac likninger i topologisk faststoff-fysikk kan, i motsetning til høy-energi ekvivalentene, ha ledd som bryter Lorentz invarianse. Flere slike systemer har blitt oppdaget, både teoretisk og eksperimentelt, deriblant skråstilte Dirac og Weyl semimetaller. Det har tidligere blitt vist at ikke-skråstilte Dirac og Weyl semimetaller gir opphav til transversale ladningsstrømmer som respons på temperaturgradienter i magnetiske felt, en Nernst effekt. Effektens opphav er den konforme anomaliteten, en kvante-anomalitet relatert kurvet romtid. Effekten består, viktig nok, også ved null kjemisk potensiale og temperatur. Vi har generalisert utregningen til skråstilte systemer, ved hjelp av Kubo-formalismen.

Gjennom Luttingers relasjon, introduserer vi et effektivt gravitasjonsfelt fra den termiske gradienten, som vekselvirker med energitettheten. Ved bevaringsloven for energi, kan dette omformuleres som en respons på den deriverte til elementer av energi-impuls-tensoren.

Vi ser at effekten er justerbar ved retning og størrelse på skråstillingen i forhold til magnetfeltet. Flere kandidater til eksperimentell signatur presenteres, og dette kan dermed være en mulighet for eksperimentell utforskning av skråstilte Dirac og Weyl semimetaller. Videre viser vi viktigheten av valg av energi-impuls-tensoren, som for skråstilte systemer påvirker resultatet av beregningen. Tvetydigheten rundt definisjonen av tensoren er velkjent, men vårt resultat viser eksplisitt at i denne typen systemer, så har valget ikke bare konseptuell betydning, men direkte kvalitative effekt.

# Conventions and Symbols

- $e$  is the fundamental charge, i.e.  $e = |e|$ .
- $l_B$  is the characteristic length of a  $B$ -field, given as  $l_B = \sqrt{\hbar/eB}$ , with  $e$  the fundamental charge defined above.
- The signature of the Minkowski metric is taken to be  $-2$ , i.e. the metric tensor  $\eta_{\mu\nu} = \text{diag}(+1, -1, -1, -1)$ .
- The Fourier transform will be taken to be on the asymmetric form, with opposite sign of the temporal and spatial part, thus treating it as a four vector. For a  $3 + 1$  dimensional case ( $q$  three dimensional), the Fourier transform is defined as

$$A(q, \omega) = \iint dt dr e^{i(\omega t - q r)} A(r, t), \quad A(r, t) = \iint \frac{d\omega dq}{(2\pi)^4} e^{-i(\omega t - q r)} A(q, \omega). \quad (1)$$

For other dimensionalities, the exponent of the  $2\pi$  factor must be chosen accordingly.

- Vectors will be written in bold font,  $\boldsymbol{v} = (v_1, v_2, v_3)$ , and with Roman indices,  $i, j, k$ , for two and three-dimensional vectors. Four dimensional vectors will be typed in normal weight,  $v$ , with Greek indices,  $\mu, \nu, \lambda$ , and upper and lower indices indicating contravariant and covariant quantities
- Natural units  $\hbar = c = 1$  will be used in parts of the thesis, for more clear notation and in order to make it easier for the reader to recognize the similarities with high energy physics literature.
- For spin degrees of freedom, the Pauli matrices will be denoted by  $\sigma$  for real spin and  $\tau$  for pseudo-spin.
- Operators will in general be typed with as normal quantities:  $O$  for scalar operators and  $\boldsymbol{O}$  or  $\hat{O}$  for vector operators, depending on their dimensions. The hat symbol,  $\hat{O}$ , will not be used unless not including a hat will be confusing.
- In Chapter 4, we will use capital letters  $M, N$  to indicate the absolute value of the corresponding quantity,  $M = |m|$ .

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# Introduction

Topological materials have been of central interest in condensed matter physics for several decades [FC13], with the first topological phases arising in the context of integer quantum Hall effect [KDP80; as cited in FC13]. A solid understanding of the topological theory behind this has been developed during the last decade and a half [FC13; BH13], with the Nobel Prize in Physics 2016 awarded for theoretical work on topological matter []. An excellent review of topological materials is given in [FC13].

One interesting phenomena in topological materials is the emergence of quantum anomalies and their analogy to fundamental particles in quantum field theory. Noether's theorem says that for any continuous symmetry of the action of a system, there is an accompanying conserved current. This explains, for example, the conservation of momentum and energy as a result of the position and time independence of our universe. In a quantum mechanical treatment, however, the symmetry of the classical theory may be broken, which gives rise to an *anomaly*. The chiral anomaly, for example, has been of great interest in condensed matter research in recent years [ACV19]. The chiral anomaly explains the non-conservation of the axial current [Zee10], and gives rise to interesting transport phenomena in condensed matter systems [Bur15; WBB14; Bur16]. A less investigated anomaly is the conformal anomaly, the appearance of a non-vanishing trace of the stress-energy tensor in a conformally scaled metric. Transport from the conformal anomaly has recently been investigated and shown in Weyl and Dirac semimetals [Che16; CCV18; ACV19].

In this thesis, we extend the Kubo calculation done by Arjona, Chernodub, and Vozmediano [ACV19] to find charge current response to thermal perturbations in *tilted* Dirac and Weyl semimetals – Lorentz breaking extensions of the Dirac equation. The work combines important theory and concepts from both high energy and condensed matter physics.

The thesis consists of four chapters; the three first chapters introduce concepts central to the main derivation of the thesis, while the fourth chapter represent the bulk work of the thesis, namely the derivation of the transverse charge current response from thermal perturbations in tilted Dirac and Weyl semimetals. Note that some sections of the first and second chapter, and most of the third chapter, started as parts of a specialization project report written in the fall of 2021.

The first chapter gives an overview of concepts important to topological

materials, starting with symmetries and ending with a more in depth discussion of Weyl and Dirac semimetals, with special focus on the tilted type. In the second chapter, linear responses theory is introduced in light of the Kubo formalism and the Luttinger formalism of thermal transport. In chapter three, we introduce anomalies in the context of high energy quantum field theory. The thesis also contains some appendices; Appendix A contains long expressions not included in the main text, Appendix B contains a lengthy calculation that runs somewhat in parallel to the main text, for an alternative choice of the energy-momentum tensor (details discussed in the main text), and lastly Appendix C contains several minor results the author finds interesting, that are only tangentially relevant to the main work.



# Topological materials

In this chapter, we consider various concepts from physics that are relevant in the context of topological materials. Firstly, the symmetry-related concepts of parity, time reversal, Kramer's degeneracy, and accidental degeneracy are explained. Then, the concept of linear dispersion in Weyl and Dirac cones is discussed, along with some useful results. Lastly follows a quick summary of spin-orbit interactions. The chapter is intended as a quick introduction to the vast field of topological materials for someone who is not familiar with these concepts.

Some topics discussed are directly applicable to the thesis, while others are included both in order to put the concepts of the thesis in a greater context, and also with regard to further continuation of this work.

## 1.1. Parity

We consider now the discrete transformation of space inversion, or *parity*. Firstly, basic properties of the transformation will be presented and discussed. Its effect on the position, momentum, and angular momentum operators will be discussed, before a more general discussion on how it transforms proper- and pseudo-tensors. This will be applied to see how the parity transformation affects electric and magnetic fields.

Let the parity operator  $P$  be a unitary operator

$$P : |a\rangle \rightarrow P|a\rangle. \quad (1.1)$$

By definition, we require

$$P^\dagger x P = -x, \quad (1.2)$$

$$P^\dagger p P = -p, \quad (1.3)$$

where  $x, p$  are the position and momentum operators. By the unitarity of  $P$ , which means that  $P^\dagger P = I$ ,

$$xP = -Px.$$

We now use this anticommutation to find an explicit form of the transformation in the position representation. By noting that, given the position eigenstate

$$|x_1\rangle, \quad xP|x_1\rangle = -Px_1|x_1\rangle = -x_1P|x_1\rangle, \quad (1.4)$$

with  $x_1$  the eigenvalue of the state, we may conclude

$$P|x_1\rangle = |-x_1\rangle$$

up to some arbitrary phase. We chose this phase to be unity. Then

$$P^2|x_1\rangle = |x_1\rangle \quad (1.5)$$

for any position eigenstate, which gives the operator relation  $P^2 = 1 \implies P = \pm 1$ . This also means that  $P$  is Hermitian,

$$P = P^{-1} = P^\dagger.$$

The treatment of angular momentum is somewhat more involved. Some sources simply state that as the orbital angular momentum

$$L = x \times p$$

is a product of two odd quantities, it must be even under parity. This, of course, is a gross over simplification, as extra care must be taken when considering the spin angular momentum  $S$  contributing to the total angular momentum

$$J = L + S.$$

The angular momentum operator is the generator of rotations

$$R = e^{-i\epsilon J \cdot n} \approx 1 - i\epsilon J \cdot n$$

where we expanded the operator under the assumption of a small angle,  $\epsilon \ll 1$ . As rotations are invariant during space inversion,

$$P^\dagger R P = R \quad (1.6)$$

$$\implies P^\dagger J \cdot n P = J \cdot n \quad (1.7)$$

from which it follows that

$$P^\dagger J P = J, \quad (1.8)$$

as the parity operator obviously does not act on the normal vector  $n$ . Thus, the angular momentum operator, unlike the linear momentum operator, is even under parity.

For a general vector-like<sup>1</sup> quantity  $V$ , we will consider how it transforms during space inversion. If the quantity “flips” during space inversion,  $P^\dagger V P = -V$ , we say simply that it is a vector, also sometimes known as a polar vector. Quantities that do not “flip”, so that they turn into their opposites in the flipped image, we denote pseudo vectors. Thus, depending on whether the eigenvalue of an operator under space inversion is  $+1$  or  $-1$  we say that it is either a pseudo-vector or vector, respectively. Position and momentum are examples of vectors, while angular momentum and the magnetic field are examples of pseudo-vectors. An illustrative explanation of this is shown in Figure 1.1, which explains both angular momentum and magnetic fields.

*Remark about dimensionality:* The above discussion about parity, which is the standard way to present parity in condensed matter physics, is valid for three dimensions. In two dimensions, however, one must separate *parity* and *space inversion*. The former takes a right-handed system to a left-handed system [SN17], while the latter inverts space,  $x \rightarrow -x$ . In odd dimensions this is the same, while in even dimensions they differ. In even dimensions, inversion corresponds to a rotation, while a parity transform is different from any rotation. In more formal terms, inversion is part of the group of proper rotations  $SO(n)$  for even dimensions, as the determinant is  $+1$ , the definition of a proper rotation. Parity should in general be taken to be the operation  $P$  such that the group of all rotations  $O(n) = SO(n) \times \{E, P\}$ , with  $E$  the identity transformation. This will not be of direct importance here, but it is an important detail to note.

## 1.2. Time reversal

We will now consider the time reversal operator  $\Theta$ . Firstly we will show that it must be antiunitary, then we will show  $\Theta^2 = \pm 1$ , and find a more specific form of  $\Theta$  for half-integer spin systems.

The time reversal operator by definition will invert the value of the time

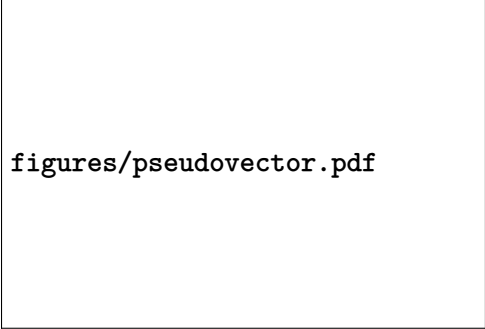
$$\Theta : t \rightarrow -t$$

while leaving space unchanged. The invariance of space is summaries by the operator relation,

$$\Theta x \Theta^{-1} = x, \tag{1.9}$$

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<sup>1</sup>We use the term *vector-like* instead of vector, as the term vector is defined as something that is odd under parity, as opposed to for example a pseudo vector, even though they naively “look” like vectors. This can be compared to tensors. The definition of a tensor is something that transforms like a tensor under a Lorentz transformation, so we may have matrix objects that “look” like tensors, but transforms differently.



figures/pseudovector.pdf

Figure 1.1.: Schematic illustration of vectors and pseudovectors. A vector field with curl, which may be taken to be either momentum or current, is shown as a rotating arrow. The curl of this field, which will respectively be the angular momentum or  $B$ -field, is shown as a straight arrow. Under inversion, shown as a mirror operation, the curl generated by the field is inverted in addition to the mirroring, i.e. rotated. This non-formal illustration gives an intuitive explanation of the concepts vector and pseudovector. Note that as the example is two-dimensional, mirror symmetry here the same as parity, and not inversion. See main text for details.

where  $x$  is understood as the position operator. The momentum operator, however, is flipped due to its time dependence

$$\Theta p \Theta^{-1} = -p. \quad (1.10)$$

A schematic representation of inversion symmetry and time reversal symmetry is given in Figure 1.2.

We are now in a position to show that  $\Theta$  must be antiunitary by requiring the invariance of the commutation relation between momentum and position,  $[x, p] = i\hbar$ .

$$\Theta[x, p]\Theta^{-1} = \Theta i\hbar \Theta^{-1} = -[x, p] = -i\hbar. \quad (1.11)$$

In the first equality, the commutation relation was used directly. In the second equality, Eqs. (1.9) and (1.10) were used to gain a minus sign. This all leads to the relation

$$\Theta i \Theta^{-1} = -i. \quad (1.12)$$

From this, we gather that the time reversal operator must be antiunitary. An antiunitary transformation is a transformation

$$|a\rangle \rightarrow |\tilde{a}\rangle = \theta |a\rangle, \quad |b\rangle \rightarrow |\tilde{b}\rangle = \theta |b\rangle,$$

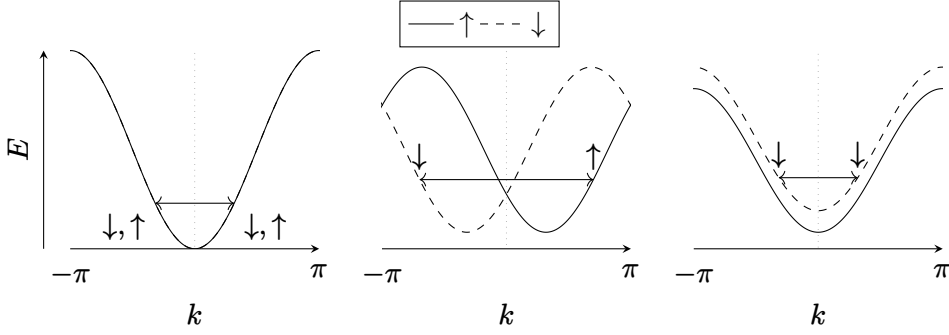


Figure 1.2.: Schematic illustration of time and inversion breaking of degenerate energy bands of a two-level system. The two levels are denoted  $\uparrow$  and  $\downarrow$ . **(Left:)** Both time-reversal and inversion symmetry present, with the two energy bands being degenerate at all momenta. **(Center:)** Inversion symmetry is broken. Notice how at the **TRIM (time reversal independent momenta)** points,  $-\pi, 0, \pi$ , the two energy levels are degenerate, as, by definition, we have  $k = -k$ . **(Right:)** Time reversal symmetry is broken. Notice how in the time reversal symmetric case Kramer's doublet is present, as for any state at  $k$ , the state at  $-k$  is degenerate in energy and has opposite spin. This is not the case when time reversal symmetry is broken, as the spin at  $-k$  has the same spin. Figure inspired by Ramazashvili [Ram19].

such that

$$\langle \tilde{b} | \tilde{a} \rangle = \langle b | a \rangle^*, \quad (1.13)$$

$$\theta (c_1 |a\rangle + c_2 |b\rangle) = c_1^* \theta |a\rangle + c_2^* \theta |b\rangle. \quad (1.14)$$

*A note of caution:* the Dirac bra-ket notation was originally designed to handle linear operators, where it excels. For anti-linear operators, which antiunitary operators are, the bra-ket notation can be deceiving. We will always take anti-linear operators to work on kets, never on bras from the right. So, for example,

$$\langle a | O | b \rangle$$

should be understood as

$$\langle a | (|O|b\rangle)$$

and *never*

$$(\langle a | O |) |b\rangle.$$

The left operation of an anti-linear operator on a bra,  $\langle a|O$ , will not be defined.

We will in general write

$$\Theta = UK \quad (1.15)$$

where  $U$  is a unitary transformation and  $K$  is the complex conjugation. Now, we will show that  $\Theta^2 = \pm 1$ , by an elegant method inspired by Bernevig and Hughes [BH13]. Consider

$$\Theta^2 = UKUK = UU^* = U(U^T)^{-1} \equiv \phi, \quad (1.16)$$

where we in the second last equality used the unitarity of  $U$ . As applying the time reversal operator twice must result in the original state, up to some phase,  $\phi$  must surely be diagonal. From Eq. (1.16) it follows

$$U = \phi U^T, \quad U^T = U\phi \quad (1.17)$$

where the fact that  $\phi^T = \phi$  for any diagonal matrix was used. From this follows that

$$U = \phi U \phi \Rightarrow U \phi^{-1} = \phi U. \quad (1.18)$$

This holds in general only for  $\phi = \pm 1$ , and thus  $\Theta^2 = \pm 1$ . Furthermore, we will later show that for integer spin particles  $\Theta^2 = 1$  while for half-integer spin particles  $\Theta^2 = -1$ .

### 1.2.1. Time reversal operator on spinful particles

When considering spinful particles, we must enforce yet another property on the time reversal operator. As spin is odd under time reversal one must have

$$\Theta S \Theta^{-1} = -S. \quad (1.19)$$

Consider now specifically a spin- $s$  state, with the basis  $|s, m\rangle$ , being an eigenstate of  $S_z, S^2$ , with eigenvalues  $m\hbar, s(s+1)\hbar^2$  respectively. By Eq. (1.19) it follows that  $\Theta |s, m\rangle$  is also an eigenstate of  $S_z$ , with eigenvalue  $-m\hbar$ , since

$$S_z \Theta |s, m\rangle = -\Theta S_z |s, m\rangle = -m\hbar \Theta |s, m\rangle. \quad (1.20)$$

Let

$$\Theta |s, m\rangle = \eta |s, -m\rangle,$$

where  $\eta$  is some phase. Consider now the commutation of the ladder operators  $J_{\pm} = S_x \pm iS_y$  with the time reversal operator.

$$\begin{aligned} \underbrace{[S_x \pm iS_y]}_{S_{\pm}} \Theta &= -\Theta S_x \mp i\Theta S_y \\ &= -\Theta \underbrace{[S_x \mp iS_y]}_{S_{\mp}}, \end{aligned} \quad (1.21)$$

where the anti-linearity of  $\Theta$  is emphasized. Thus, operating with  $S_+$  on  $\Theta |s, m\rangle$  gives

$$S_+ \Theta |s, m\rangle = \eta_{sm} S_+ |s, -m\rangle \quad (1.22)$$

$$= \eta_{sm} \hbar \sqrt{(s+m)(s-m+1)} |s, -m+1\rangle. \quad (1.23)$$

On the other hand, commuting the two operators first gives

$$S_+ \Theta |s, m\rangle = -\Theta S_- |s, m\rangle \quad (1.24)$$

$$= -\Theta \hbar \sqrt{(s+m)(s-m+1)} |s, m-1\rangle \quad (1.25)$$

$$= -\hbar \sqrt{(s+m)(s-m+1)} \eta_{s, m-1} |s, -m+1\rangle. \quad (1.26)$$

By comparison,  $\eta_{sm} = -\eta_{s, m-1}$ ;  $\eta_{sm}$  has a flip of its sign under increments of  $m$ . The  $m$  dependence should therefore be  $(-1)^m$ . For later convenience, we will choose to also include an  $s$ -term in the exponent, so that the exponent is integer also for half-integer systems, resulting in

$$\eta_{sm} = (-1)^{s-m} f(s), \quad (1.27)$$

where  $f(s)$  is some phase that does not depend on  $m$ . We are now in a position where we may find  $\Theta^2$ , by acting on a general spin  $s$  system.

$$\Theta^2 \sum_{m=-s}^s a_m |s, m\rangle = \Theta \sum_m a_m^* f(s) (-1)^{s-m} |s, -m\rangle \quad (1.28)$$

$$= \sum_m a_m f^*(s) (-1)^{s-m} \Theta |s, -m\rangle \quad (1.29)$$

$$= \sum_m a_m |f(s)|^2 (-1)^{2s} |s, m\rangle. \quad (1.30)$$

Note that it was important that  $(-1)^{s-m}$  was real, which is taken care of by the  $s$ -term. As  $f(s)$  is only a phase, this gives

$$\Theta^2 = (-1)^{2s}, \quad (1.31)$$

for any spin  $s$  system. Thus, for half integer spin,  $\frac{1}{2}, \frac{3}{2}, \dots$ ,  $\Theta^2 = -1$ , while for integer spin  $\Theta^2 = +1$ .

### 1.3. Kramer's degeneracy

Kramer's degeneracy states that for any half-integer system that is time-reversal symmetric, energy levels are at least two-fold degenerate. The proof of this is simple, and uses the fact that for any half-integer spin system,  $\Theta^2 = -1$ . A heuristic way to see this is the fact that spin is odd under time-reversal, and for half-integer systems there is no zero-spin state, so reversing the spin cannot result in the same state.

*Proof:* Assume

$$[H, \Theta] = 0$$

and that  $|n\rangle$  is an eigenstate of the system

$$H |n\rangle = E_n |n\rangle.$$

Then

$$H\Theta |n\rangle = \Theta H |n\rangle = \Theta E_n |n\rangle = E_n \Theta |n\rangle$$

and so  $\Theta |n\rangle$  is also an eigenstate with the eigenvalue  $E_n$ . To assert that the eigenvalue is in fact degenerate, one must also show that the two states are not the same ray. That is  $\Theta |n\rangle \neq e^{i\delta} |n\rangle$ , where  $\delta$  is some phase. Suppose that the above is *not* true,  $\Theta |n\rangle = e^{i\delta} |n\rangle$ . Then,

$$\Theta^2 |n\rangle = \Theta e^{i\delta} |n\rangle = e^{-i\delta} \Theta |n\rangle = + |n\rangle.$$

However, as was stated above,  $\Theta^2 = -1$  for all half-integer systems. The assumption must therefore be wrong, and the eigenvalue is degenerate.  $\square$

The two states,  $|n\rangle$  and  $\Theta |n\rangle$ , are often referred to as Kramer's doublet. Note that the two states have opposite spin.

#### 1.3.1. Generalization to the $P\Theta$ operator

Consider now a time reversal and parity symmetric system,  $[H, P\Theta] = 0$ . This will, similarly to the case for time reversal, make the energy levels at least two-fold degenerate.

*Proof:* Assume

$$[H, P\Theta] = 0$$

and that  $|n\rangle$  is an eigenstate of the system

$$H |n\rangle = E_n |n\rangle.$$



Then

$$HP\Theta|n\rangle = P\Theta H|n\rangle = P\Theta E_n|n\rangle = E_n P\Theta|n\rangle.$$

Assume now that  $P\Theta|n\rangle = e^{i\delta}|n\rangle$ , which we will prove to be false. That would lead to

$$(P\Theta)^2|n\rangle = P\Theta e^{i\delta}|n\rangle = |n\rangle.$$

However, as  $[P, \Theta] = 0$ , we have

$$(P\Theta)^2 = P\Theta P\Theta = P\Theta^2 P = -1$$

as  $P^2 = 1$ . As above, the states are thus different, and the eigenvalue is degenerate.  $\square$

## 1.4. Accidental degeneracy

In general, for a two level system depending on some parameter the energy levels of the two levels will not cross, i.e. be degenerate, unless there are symmetries in the system forcing them to be degenerate, as is the case in for example Kramer's degeneracy. However, even without any symmetries<sup>2</sup> there will be so-called *accidental degeneracies* if the parameter space is sufficiently large. Consider a general two-level Hamiltonian

$$H = f_1\sigma_x + f_2\sigma_y + f_3\sigma_z, \quad (1.32)$$

which will have an energy splitting between the two levels

$$\Delta E = 2\sqrt{f_1^2 + f_2^2 + f_3^2}. \quad (1.33)$$

In general, we may solve  $\Delta E = 0$  by tuning the three parameters simultaneously, and thus there must be degenerate points – accidental degeneracies. Supposing that the parameters  $f_i$  can be expressed as functions of the momentum components,  $f_i = f_i(p_i)$ , this will correspond to degenerate points in momentum space.

If there are in addition some symmetry constraints on the system, the space of degenerate points may increase. Suppose, for example, the system is time reversal symmetric. Recalling the time reversal operator defined in Eq. (1.15)

$$\Theta = UK,$$

with  $U$  being a unitary operator and  $K$  the complex conjugate, the imaginary Pauli matrix  $\sigma_y$  must be excluded. Thus, the solution to the closing of the band gap has a free parameter, and the degenerate space has dimension one.

<sup>2</sup>There will always, for a degenerate system, be some symmetry, although it might be a *hidden* symmetry. We here mean no a priori apparent symmetry.

## 1.5. Spin-orbit interaction

Spin-orbit interactions are not used directly in this thesis. It is, however, relevant to include some superficial introduction to the subject, both in order to conclude that spin-orbit interactions are not something one has to consider in later derivations of this thesis, and also that it might prove useful in future applications of the ideas and theory discussed in the thesis.

Spin-1/2 particles are in general governed by the Dirac equation. In the non-relativistic regime, as is the case in condensed matter physics, we may reduce the equation to the Pauli equation. This equation contains as a relativistic correction the spin orbit coupling term [ERH07]

$$H_{SO} = \lambda_{\text{vac}} \boldsymbol{\sigma} \cdot (\mathbf{k} \times \nabla \tilde{V}), \quad (1.34)$$

where  $\lambda_{\text{vac}}$  is a constant with dimension length squared,  $\boldsymbol{\sigma}$  are the Pauli matrices representing spin, and  $\tilde{V}$  is the total potential in the system. In preparation of the considerations to come, split up the potential in the periodic crystal potential  $V_{\text{cr}}$  and the remaining potential  $V$  from impurities

$$\tilde{V} = V_{\text{cr}} + V. \quad (1.35)$$

### Should this be the Bloch basis

Changing basis to a quasi-particle picture of free particles, thus eliminating  $V_{\text{cr}}$  from the equation, one gets the effective Hamiltonian [ERH07]

$$H_{\text{eff}} = \epsilon_k + V + H_{\text{int}} + H_{\text{ext}}, \quad (1.36)$$

$$H_{\text{int}} = -\frac{1}{2} \mathbf{b}(\mathbf{k}) \cdot \boldsymbol{\sigma}, \quad (1.37)$$

$$H_{\text{ext}} = \lambda \boldsymbol{\sigma} \cdot (\mathbf{k} \times \nabla V). \quad (1.38)$$

Here, the subscripts denote the effective Hamiltonian  $H_{\text{eff}}$ , consisting of an intrinsic part,  $H_{\text{int}}$ , and an extrinsic part,  $H_{\text{ext}}$ .  $\mathbf{b}(\mathbf{k})$  is the intrinsic spin-orbit field, the part of the crystal potential  $V_{\text{cr}}$  that is not eliminated by our change of basis. As the intrinsic spin-orbit interaction should be time reversal invariant, we can argue that  $\mathbf{b}$  must be an odd function.

$$\Theta H_{\text{int}} \Theta^{-1} = H_{\text{int}} \implies \mathbf{b}(\mathbf{k}) \cdot \boldsymbol{\sigma} = -\mathbf{b}(-\mathbf{k}) \cdot \boldsymbol{\sigma}, \quad (1.39)$$

where the well known effects of the time reversal operator was applied to the momentum and spin, as  $\Theta \mathbf{k} \Theta^{-1} = -\mathbf{k}$  and  $\Theta \boldsymbol{\sigma} \Theta^{-1} = -\boldsymbol{\sigma}$ . Obviously, this

means that inversion symmetry must be broken for the intrinsic interaction term to be finite. This is easily seen as, with  $P$  being the parity operator,

$$PH_{\text{int}}P^{-1} = H_{\text{int}} \Rightarrow b(-k) = b(k), \quad (1.40)$$

since spin is invariant under inversion.

The external contribution to the spin-orbit interaction is contained in  $H_{\text{ext}}$ , which does not require any particular symmetry to be present. A Zeeman term, where time reversal is broken, would be represented in the external part of the Hamiltonian.

The spin-orbit field  $b(k)$  may take many forms depending on the specifics of the system at hand. The Dresselhaus term

$$H_D = \alpha p_x(p_y^2 - p_z^2)\sigma_x + \text{c.p.} \quad (1.41)$$

where c.p. denotes terms of circular permutation of the indices, [Man+15] and the Rashba term [Wu+20]

$$H_R = \alpha(p_y\sigma_x - p_x\sigma_y), \quad (1.42)$$

are arguably the most well-known models.

We immediately see that the Rashba Hamiltonina (1.100) does not break time reversal invariance, as both momentum and spin are odd under time reversal. It is however odd under inversion. This is of course exactly opposite of a Zeeman term, where we introduce an external magnetic field, thus breaking time reversal symmetry. Consider a free electron model where we add a Rashba term

$$H = \frac{p^2}{2m} + \alpha(p_y\sigma_x - p_x\sigma_y). \quad (1.43)$$

The Hamiltonian commutes with the momentum operator, so we may replace the momentum operator with its eigenvalue  $\hbar k$ . Solving for the eigenvalue is straight forward, and gives

$$E_{\pm} = \frac{\hbar k^2}{2m} \pm \alpha k, \quad (1.44)$$

where  $k = |k|$ . We expect the eigenvalues to be linear combinations of spin up and spin down states, and also that the coefficients depend on  $k$ , as the Rashba term has coupled spin and momentum. Take

$$\psi_{\pm} = \frac{e^{ikr}}{\sqrt{2}} (|\uparrow\rangle + b|\downarrow\rangle), \quad (1.45)$$

where  $b$  is some phase we must find. By inserting into the time-independent Schrödinger equation, we find  $b = \mp i(k_x + k_y)/k$ , which is obviously  $b = \mp i \exp(i\theta)$ , where  $\theta$  is the angle of the momentum,  $\mathbf{k} = (k \cos \theta, k \sin \theta)$ . Using the matrix representation  $|\uparrow\rangle = (1, 0)^T$ ,  $|\downarrow\rangle = (0, 1)^T$ , the eigenvalues are given as

$$\psi_{\pm} = \frac{e^{ikr}}{\sqrt{2}} \begin{pmatrix} 1 \\ \mp i e^{i\theta} \end{pmatrix}. \quad (1.46)$$

These states have interesting spin expectation values

$$\langle \psi_{\pm} | \boldsymbol{\sigma} | \psi_{\pm} \rangle = \pm [\sin \theta \hat{x} - \cos \theta \hat{y}]. \quad (1.47)$$

The spin is orthogonal to the momentum, making a circular pattern around the origin. The direction of the rotation defines the chirality of the state. The spin together with the energy solutions are shown in Figure 1.12.

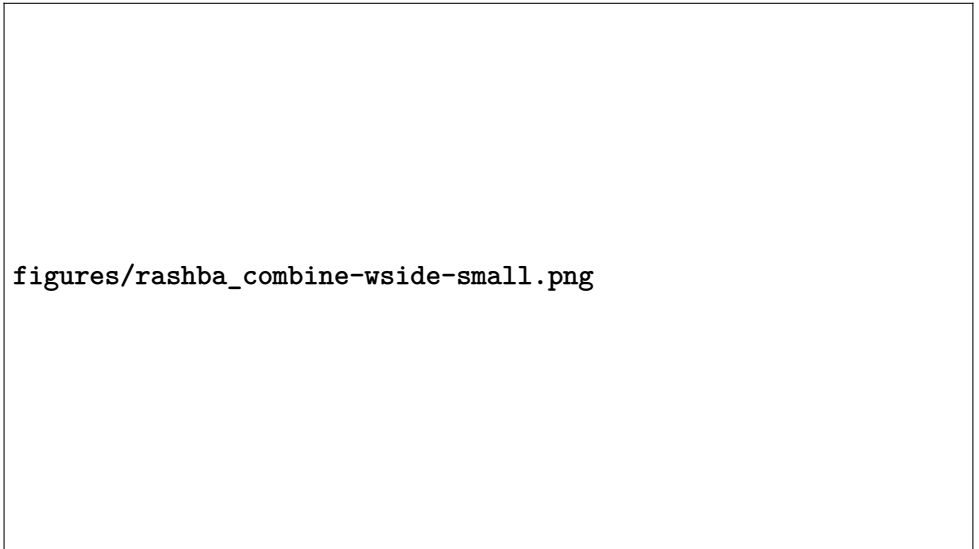


Figure 1.3.: Dispersion curves for a system with Rashba spin-orbit coupling. **Left:** Seen from above. **Right:** Seen from the front. The projection into the  $xy$ -plane is shown, as well as a cross section in a plane perpendicular to the  $xy$ -plane. The spin of the two states are shown as arrow above the dispersion curves, which defines the chirality of each state. Notice, as is most easily seen in the projection, that the two solutions together form a pair of parabolas separated in momentum.

## 1.6. Weyl and Dirac cones in condensed matter physics

Dirac and Weyl cones are the emergence of non-gapped linear energy bands in condensed matter physics, in effect exhibiting relativistic behavior at non-relativistic speeds. We here give a brief introduction to these materials. The system and its relation to high energy physics is discussed first. Then, several perturbations of the system are introduced. The topological nature of the system is considered in the context of Chern numbers and Berry curvature. Lastly, we go into tilted Dirac cones in some more depth. The reader is also advised to consult the many recent reviews on the topic, notably Armitage, Mele, and Vishwanath [AMV18] for a general introduction and overview of the field, Jia, Xu, and Hasan [JXH16] with a focus on material realizations, and Chernodub et al. [Che+21] which is the most directly relevant for our work, discussing in particular thermal transport and the analogy to high energy physics.

The standard model for metals in condensed matter physics is the Landau Fermi liquid [Lan56; Che+21], where electrons are described by the Hamiltonian  $p^2/2m^*$ , with  $m^*$  some effective mass. The model works remarkably well for many systems, but fails for Dirac materials, where the electrons behave as “Dirac fermions”. The notion of a “Dirac fermion” is almost comical from a high energy point of view [Che+21; Voz21] – what else can they be? A fermion is by its very definition a Dirac spinor. In condensed matter language, however, we mean by fermion that it obeys the Pauli exclusion principle and follows the Fermi-Dirac distribution. By Dirac fermion in condensed matter we mean fermions whose effective Hamiltonian is linear in momenta, they obey an effective Dirac equation.

This field unifies concepts from high and low energy physics; a “new era of grand unification of low and high energy physics” as Chernodub et al. [Che+21] puts it. The emergent Dirac and Weyl cones in condensed matter physics follow in beautiful analogy their high energy counterparts. Thus, the theory and results from high energy physics may be applied in these emergent Dirac systems. Likewise, these materials offer the opportunity to probe the fundamental theories of our universe, and beyond, at much lower energy and cost scales. Unfortunately, the language of QFT (quantum field theory) and high energy physics is somewhat inaccessible for condensed matter physicists. At the same time, the condensed matter descriptions have been difficult to relate back to the QFT formalism. So while the intersection of the two fields offer the possibility of great new insight, it also comes with some misunderstandings. Some phenomena are known under different names, while different phenomena may be mistaken for the same. The recent and

excellent review paper by Chernodub et al. [Che+21] attempts to make the topics approachable for researchers from both fields, with its “main purpose [...] to present the basic notions underlying new developments in condensed matter in a language equally accessible to both high energy and condensed matter communities”.

We wish here to briefly illuminate the connection between the high energy Dirac theory and the Dirac and Weyl semimetals of condensed matter physics, assuming the reader to be an expert in neither. The (massive) Dirac equation reads

$$(i\cancel{\partial} - m)\psi = 0, \quad (1.48)$$

where  $\cancel{\partial} = \gamma^\mu \partial_\mu$ ,  $\gamma^\mu$  are the so-called *gamma matrices*<sup>3</sup>,  $m$  is some mass parameter, and  $\psi$  the Dirac spinor. Note also that natural units  $c = \hbar = 1$ , as usual, is used and that the systems is  $4 \times 4$ . It may of course be written as the Schrodinger equation [Che+21]  $i\partial_t\psi = H\psi$ , with  $H = \gamma^0 m + \gamma^0 \gamma^i p_i$ . The great insight of Dirac, was that due to the requirement of Lorentz invariance, the momentum and time operators had to appear at the same order, as opposed to the standard free particle  $H = p^2/2m$ . Shortly after Dirac published his theory, Weyl commented that for a massless particle, the equation could be decomposed into two  $2 \times 2$  equations – a Weyl decomposition. This yields two independent subsystems, themselves also linear in momentum,

$$H_\pm = \pm \sigma \cdot p, \quad (1.49)$$

with the  $\pm$  defining the *chirality*.

Interestingly, massless Dirac fermions may appear in condensed matter as low energy effective descriptions of electronic systems near a two-band crossing. Instead of obeying the Landau Fermi liquid theory, as most materials, they obey a Dirac equation, with the speed of light being replaced by the Fermi velocity  $v_F$ . As in the high energy case, the Dirac equation may be decomposed into chiral Weyl equations

$$H_D = s v_F \sigma p, \quad (1.50)$$

where  $\sigma$  are the Pauli matrices,  $v_F$  the Fermi velocity,  $p$  the momentum, and  $s = \pm 1$  denotes the chirality. It is here important to note that the Pauli matrices represent either real spin degree of freedom or some pseudo spin degree of freedom. Examples of pseudo spin is that of bipartite lattices, such as Graphene, in which case one must be careful when for example applying

---

<sup>3</sup>Also known as the Dirac matrices. They are any irreducible matrix representation of the Clifford algebra.

time reversal, as only real spin is odd under this operation, and not pseudo spin.

These linear low energy emergent systems may appear in both 2D and 3D. There are, however, important differences depending on the dimensionality. When we here refer to Dirac and Weyl materials, we always mean 3D systems, which has become the norm in the literature. In some older literature, the term might also be applied to 2D systems, such as graphene.

The dispersion of the Hamiltonian (1.50) has a band crossing at  $p = 0$ . For the two-dimensional case, a perturbation on the form  $m\sigma_z$ , with  $m$  some parameter, opens a gap in the dispersion. This is easily verified by writing out the Hamiltonian and solving the eigenproblem

$$H_D^{(2D)} = sv_F(p_x\sigma_x + p_y\sigma_y) + m\sigma_z, \quad (1.51)$$

$$|H_D^{(2D)} - E| = 0. \quad (1.52)$$

As the Hamiltonian commutes with the momentum operator, we replace the momentum operator with its eigenvalues

$$E_{\pm} = \pm v_F \hbar \sqrt{k_x^2 + k_y^2 + \frac{m^2}{\hbar^2 v_F^2}}. \quad (1.53)$$

For a finite  $m$ , there are no solutions  $k_x, k_y$  making the energy levels degenerate (i.e.  $E_{\pm} = 0$ ). The crossing is thus only protected by symmetry considerations, and is not *topologically protected*.

**Is this usage of topologically protected correct?**

In three dimensions the situation is somewhat different, with the Hamiltonian

$$H_D^{(3D)} = sv_F(p_x\sigma_x + p_y\sigma_y + p_z\sigma_z). \quad (1.54)$$

In this case, no perturbing term may open a gap at the crossing. There is no  $2 \times 2$  matrix  $\sigma_4$  that anticommutes with the Pauli matrices and while also being linearly independent, i.e. there is no “fourth” Pauli matrix; therefore no perturbative term will open the gap. Say for example we add a term like  $m\sigma_z$ , where the  $z$ -direction was chosen arbitrarily. The only effect this will have on the crossing is to translate it in  $p_z$ . Tying this back to the accidental degeneracy of section 1.4, we see that no matter the perturbation, the three-dimensional momentum space will always have a point of degeneracy, i.e., a crossing. The crossing is *topologically protected*. A more formal approach to topological materials, is that of topological invariants – numbers related to the

topology of the material. Having a non-trivial topological invariant number, is the very definition of topological materials, and we will in subsection 1.6.1 show that Dirac cones makes the Chern number of these materials non-trivial.

Opposed to high energy physics, the emergent Dirac equation in condensed matter physics need of course not be Lorentz invariant. We may therefore introduce terms that break Lorentz invariance. Introduce to the system a pseudospin degree of freedom, thus extending the system to  $4 \times 4$ -matrices; in effect re-construct the full Dirac equation from the Weyl equations, and then introduce perturbations. The Hamiltonian of the system

$$H = v_F \tau_x \otimes \sigma p + m \tau_z \otimes I_2 + b I_2 \otimes \sigma_z + b' \tau_z \otimes \sigma_x, \quad (1.55)$$

with  $\tau$  the Pauli matrices related to the pseudospin, and  $I_2$  the identity matrix of dimension 2. The perturbing parameters  $m, b, b'$  are a mass parameter, and Zeeman fields in the  $z$  and  $x$  direction, respectively [AMV18]. Ignore for now  $b'$ , i.e.  $b' = 0$ , which is related to a state known as the line node semimetal. Notice that the  $b$  term breaks time reversal symmetry in the system, as the real spin  $\sigma$  is odd under time reversal. The eigenvalues of this system [AMV18]

$$E_{s\mu}(k) = s \left[ m^2 + b^2 + v_F^2 k^2 + 2\mu b \sqrt{v_F^2 k_z^2 + m^2} \right]^{\frac{1}{2}}, \quad (1.56)$$

with  $s = \pm 1, \mu = \pm 1$  encoding the degeneracies related to the spin and pseudospin degrees of freedom, respectively. There are still linear dispersions for  $b > m$ , for  $b < m$ , a gap opens, and the dispersion is non-linear. In fact, for  $b > m$ , the perturbation is simply a shift in  $k_z$  of the Dirac cone, as is seen by rewriting

$$E_{s\mu}(k) = s v_F \left[ k_x^2 + k_y^2 + \left( \sqrt{k_z^2 + \frac{m^2}{v_F^2}} + \mu \frac{b}{v_F} \right)^2 \right]^{\frac{1}{2}}. \quad (1.57)$$

This still has Weyl node solutions at  $k_z^2 = (b^2 - m^2)/v_F^2$ , where the dispersion is linear in the vicinity of the nodal solutions. This thus separates two Dirac nodes in momentum space, giving a *Weyl* semimetal. This also illustrates that the decomposition in Eq. (1.50) is valid around either of the shifted nodes. Expanding around one of the Dirac points of the Weyl semimetal, the Hamiltonian is exactly Eq. (1.50), after decomposing the  $4 \times 4$  Hamiltonian into its two chiral  $2 \times 2$  Weyl constituents. If one instead perturbs the system with a Zeeman field in the  $x$ -direction,  $b' \neq 0$ , the separation is instead in



energy, giving nodal loop where the two cones intersect. We will not go into any depth on these types of materials.

The three cases described here: unperturbed, where the two cones are superimposed; perturbed by  $b$ , where the cones are separated in momentum; and perturbed by  $b'$ , where the cones are separated in energy, are shown in Figure 1.4. Notice that in the two latter cases, the Dirac points, i.e. crossings, are not superimposed. As will be substantiated more in section 1.6.1, this makes the crossings very robust, as the two nodes must merge before a gap may be opened.

The Hamiltonian in Eq. (1.50) is not the most general  $2 \times 2$  Weyl system, if we allow for anisotropy in the system. In three dimensions we have more generally the *tilted* Weyl Hamiltonian

$$H_s = (t^s + s\sigma)(v \odot p), \quad (1.58)$$

where  $t$  is the *tilt vector*,  $v$  is some anisotropic velocity,  $(v \odot p)_i = v_i p_i$  is the Hadamard product of the anisotropic velocity and the momentum, and  $\sigma$  are the Pauli matrices corresponding to spin degree of freedom. By a simple rescaling of the momenta, we may in general consider a system with isotropic Fermi velocity  $v_F$ , giving

$$H_s = sv_F \sigma p + v_F t^s p. \quad (1.59)$$

The energy bands are

$$E_s(k) = \pm v_F |k| + v_F t^s k. \quad (1.60)$$

These types of systems, which are the systems of interest for this thesis, are considered in detail in section 1.6.2.

### 1.6.1. Chern number of the Weyl point

In order to more explicitly demonstrate the topological nature of the tilted Weyl cone in Eq. (1.50), we will find a non-zero topological invariant associated with that state. Thereby showing that the material is a topological material. The topological number we will calculate is the Chern number, related to the Berry curvature of the bands in some enclosed surface. In order to calculate the Chern number, we must first find an expression for the Berry curvature of our system. This derivation will follow closely Berry's original derivation [Ber84] of the Berry phase of a two-level system with the Hamiltonian

$$H(R) = \frac{1}{2} \sigma R. \quad (1.61)$$

figures/cones-types-col1-opaque-small.png

Figure 1.4.: Dispersion curves in the  $k_z, k_x$ -plane. **(Left)** Dirac material with superimposed cones. **(Center)** Time reversal symmetry broken, giving a Weyl material with the cones separated in momentum space. **(Right)** The cones shifted in energy, giving a nodal loop.

**Consider making middle one with mass term.**

figures/cones-tilt-color1-small.png

Figure 1.5.: Tilted Dirac cones. From left to right the tilt increases, from no tilt in the first cone to overtilt in the last. The three first are Type-I Weyl semimetals, the last is a Type-II semimetal. The Fermi surface is marked in red. See main text for details.

Some notation has been modernized with inspiration from the treatment of the Berry phase of the spin-1/2 particle in an external magnetic field in Holstein [Hol89].

Suppose we have a Hamiltonian  $H(t)$ , and that its  $t$ -dependence can be parameterized by  $\mathbf{R} = \mathbf{R}(t)$ , as in  $H(t) = H(\mathbf{R}(t))$ . Any evolution of the Hamiltonian through time, may then be described as a geometric path through the  $\mathbf{R}$ -space. As the reader might be aware, Berry's most famous discovery was that a closed path through  $\mathbf{R}$ -space gives an observable phase to the system, unlike the non-physical dynamical phase, which may be removed by a suitable choice of gauge. Here we will however focus on the so-called Berry curvature,  $\mathbf{B}$ , a vector field which will be shown to be useful in the categorization of topological materials. Note that there is some variation in the literature on the naming of the various quantities, and the sign convention used. In particular, the word Berry curvature will in some literature refer to a rank two tensor, while our quantity  $\mathbf{B}$  is referred to as the Berry field strength. In particular, if we let the rank two tensor be denoted  $F_{ij}$ , the Berry field strength  $\mathbf{B}$  is given by

$$B_i = \epsilon_{ijk} F_{jk}. \quad (1.62)$$

**Consider rewriting some of this. Look in topology book**

The Berry curvature for the state  $n$  is explicitly defined as [Ber84]

**Should we add some more comments about adiabatic? See topo book**

$$B_n(\mathbf{R}) = -Im \sum_{m \neq n} \frac{\langle n(\mathbf{R}) | \nabla_{\mathbf{R}} H | m(\mathbf{R}) \rangle \times \langle m(\mathbf{R}) | \nabla_{\mathbf{R}} H | n(\mathbf{R}) \rangle}{(E_m(\mathbf{R}) - E_n(\mathbf{R}))^2}, \quad (1.63)$$

where  $\times$  denotes the cross product. Notice that for a degeneracy  $E_n = E_m$  there will be an infinity in  $B_n$ . Considering the Berry curvature as a field in  $\mathbf{R}$ -space, this resembles a source, as will become relevant later. This may now be applied to for example the Weyl semimetal, both in the interest of solidifying the above theory, and as it will be useful in future consideration.

The Hamiltonian around the (untilted) Weyl point is

$$H = v_F \boldsymbol{\sigma} \cdot \mathbf{p}, \quad (1.64)$$

with  $v_F$  the Fermi velocity,  $\boldsymbol{\sigma}$  the Pauli matrices, and  $\mathbf{p}$  the momentum operator. By letting  $\mathbf{R} = v_F \mathbf{p}$ , the Berry curvature of the Hamiltonian can be found. The eigenvalues of this system are

$$E_+ = -E_- = |\mathbf{R}|. \quad (1.65)$$

The aforementioned degeneracy is here of course the Weyl point, where  $E_+ = E_- = 0$ . Noting that

$$\nabla_R H = \sigma, \quad (1.66)$$

we can calculate the Berry curvature easily. Denote by  $|+\rangle$  the state with the eigenvalue  $E_+$  and  $|-\rangle$  the state with the eigenvalue  $E_-$ . Take also, without loss of generality,  $\mathbf{R}$  to be in the  $z$ -direction. This gives

$$B_+ = -Im \frac{\langle +|\sigma|-\rangle \times \langle -|\sigma|+\rangle}{4R^2}. \quad (1.67)$$

As  $|+\rangle$  and  $|-\rangle$  are eigenstates of  $\sigma_z$  and orthogonal to each other, only the  $z$ -component of the cross product may contain non-zero contributions.

$$\begin{aligned} B_+ &= -\frac{\hat{z}}{4R^2} Im (\langle +|\sigma_x|-\rangle \langle -|\sigma_y|+\rangle - \langle +|\sigma_y|-\rangle \langle -|\sigma_x|+\rangle) \\ &= -\frac{\hat{z}}{2R^2}. \end{aligned} \quad (1.68)$$

Here, the effect of the Pauli matrices on the eigenvectors was used, according to

$$\sigma_x |\pm\rangle = |\mp\rangle \quad (1.69)$$

$$\sigma_y |\pm\rangle = \pm i |\mp\rangle \quad (1.70)$$

Returning to general axis orientations, one has

$$B_+ = -\hat{\mathbf{R}}/2R^2 = -\mathbf{R}/2R^3. \quad (1.71)$$

For the  $|+\rangle$ -band, the Weyl point thus takes the form of a negative monopole in  $\mathbf{R}$ -space; this motivates the requirement that Weyl points must always appear in pairs of opposite chirality, as the divergence of the Berry curvature must always be zero over the entire sample.

**There should probably be some care taken here with the sign of  $v_F$ .**

Extending the calculation to a tilted Weyl cone

$$H = v_F \sigma \cdot \mathbf{p} + v_F t \cdot \mathbf{p}, \quad (1.72)$$

is trivial. The energies gain a factor  $v_F t \cdot \mathbf{p} = t \cdot \mathbf{R}$ , however, this does not change the difference between the energies of the states. Furthermore, the gradient of the Hamiltonian, Eq. (1.66), gains a factor

$$\nabla_R H = \sigma + t, \quad (1.73)$$

which does not affect the result, as  $\langle \pm | t | \mp \rangle = 0$ .

As mentioned, the Chern number is one of several numbers that is used to classify topological materials. The Chern number is defined as

$$C = \frac{1}{2\pi} \oint_{\partial C} \mathbf{B}_+ \cdot d\mathbf{S}, \quad (1.74)$$

where the integral is taken over the closed surface  $\partial C$ , enclosing the volume  $C$ . Noting that the Berry curvature has the shape of a monopole source at  $\mathbf{p} = 0$ , we immediately know the value of this quantity from electromagnetism. We will, however, carry out the computation explicitly here. With the divergence theorem in mind, it behooves us to find the divergence of the Berry curvature. This divergence is zero everywhere except in the monopole source, giving

$$\nabla \cdot \mathbf{B}_+ = -\frac{1}{2} \nabla \cdot \hat{\mathbf{R}}/R^2 = -2\pi\delta(\mathbf{p}), \quad (1.75)$$

where  $\delta$  is the Dirac delta distribution. By virtue of the divergence theorem the Chern number is then found to be

$$C = \frac{1}{2\pi} \int_C \nabla \cdot \mathbf{B}_+ dC = -1, \quad (1.76)$$

where the property of integrals over Dirac delta distributions was used.

Note that some literature will have a Chern number differing from (1.76) by the sign of the Fermi velocity,

$$C = -\text{sign}(v_F). \quad (1.77)$$

This simply comes from the definition of the eigenstates. We have put the sign dependence in the state, making the  $E_+$  state always have positive eigenenergy. In literature that instead defines  $E_+ = v_F|R|$  the state's energy will depend on the sign of the Fermi velocity, and as a consequence, the sign dependence will end up in the Chern number instead.

The overall divergence of Berry curvature must be zero, or equivalently, the sum of the Chern numbers must be zero. The Hamiltonian Eq. (1.61) chosen with the opposite chirality,

$$H(\mathbf{R}) = -\frac{1}{2} \boldsymbol{\sigma} \mathbf{R}, \quad (1.78)$$

has the opposite Berry curvature, and also the opposite Chern number. Thus, Dirac cones must appear in pairs of opposite chirality, either superimposed as the Dirac semimetal case or separated in momentum space, as the Weyl semimetal.

In light of the interpretation of the Dirac point as a monopole of Berry curvature, the discussion in section 1.6, on page 17, on the stability of the band crossing in two and three dimensions gets an intuitive and geometric interpretation. In Figure 1.6 the Berry curvature pole is shown in  $p$ -space, together with a plane parallel to the  $xy$ -plane, which we will denote the *state plane*. In the two-dimensional case, the state is confined to the state plane, with the  $z$ -position of the plane given by any mass terms  $m\sigma_z$ . In the three-dimensional case, the state is not confined to this plane, as the parameter  $p_z$  is a free variable, or alternatively it may be considered as a freedom to move the state plane freely, with its initial position simply shifted by any mass terms. It is thus obvious that one may never reach the monopole in the two-dimensional case, and thus for no  $k$  is there a band crossing. Importantly, the Berry curvature is indeed non-zero, however any closed curve of integration will give a Chern number of zero; the monopole has been moved outside the dimensionality of freedom.

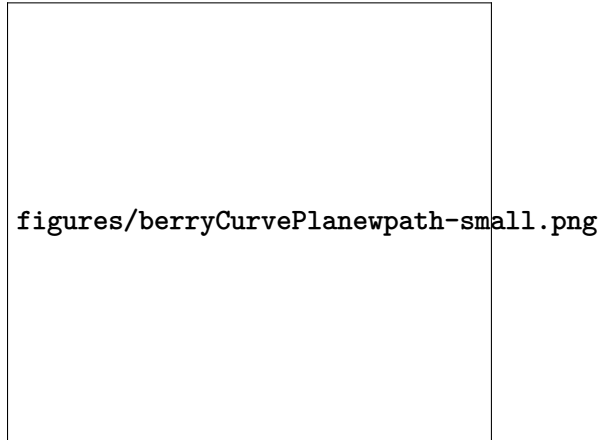


Figure 1.6.: The state plane, transparent yellow, parallel to the  $xy$ -plane and a Berry curvature monopole at the origin. An integration contour is shown in blue dashed. See main text for details.

### 1.6.2. Tilted Dirac semimetals

The conic section problem with the intersecting plane restricted to pass through the node of the cone is trivially seen to have two solutions: a point and two intersecting lines, shown schematically in Figure 1.7. Despite this, the possibility of a Weyl cone tilted beyond the Fermi level was never considered

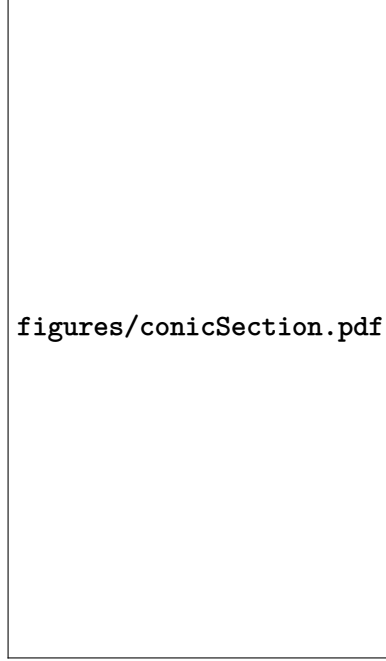


Figure 1.7.: Sketch of the conic section with the plane passing through the node of the cone. The intersection surface is either a point at the node or two intersecting lines.

before Soluyanov et al. [Sol+15] described this new class of Weyl semimetals in 2015. This now seemingly obvious possibility made an already rich field even more exciting, opening up for a wider range of novel and interesting effects.

**add some concrete examples or cites**

In this section, we investigate in more detail the tilted Weyl cone, the star of this thesis. The tilted Hamiltonian was introduced in Eq. (1.59)

$$H_s = sv_F\sigma p + v_F t^s p,$$

where we chose isotropic Fermi velocity. As discussed earlier, the proper Dirac equation of particle physics cannot include such a tilting term, as it obviously breaks Lorentz invariance. The emergent Dirac equation of condensed matter physics, however, need not respect the Lorentz invariance and such a tilting term is no problem.

**I am pretty sure there is some neat analogy here that should be included. Light cone etc.**

As was alluded to in the introduction to the section, the Weyl cone has two distinct phases: Type-I and Type-II. Tilting the Weyl cone, the upper and lower bands will at some tilt angle touch the Fermi level, a *critical* tilt. Going beyond this, the upper (lower) band dips below (above) the Fermi level, and we have what is known as a Type-II Weyl semimetal. Although the two states are similar in many ways, they also have hugely important differences separating them from one another. In the Type-I regime, the density of states goes to zero at the Fermi level. In the Type-II regime, however, particle and hole pockets appear – the intersection of the cone and the Fermi level goes from a singular point to two infinite lines (shown in figure 1.5 on page 20). This abrupt change of the topology of the Fermi surface, from closed to open, is known as a Lifshitz transition [Vol17]. This gives Type-II Weyl semimetals manifestly different properties from Type-I, useful both in practical applications and as an interesting phenomenon seen from a purely scientific perspective.

### Linear Dirac equation from tight binding model

We will firstly consider a slightly more realistic tight binding toy model for a Weyl semimetal, with a parameter taking the system from a Type-I to a Type-II. This is instructive both in order to more intuitively see the origin of the terms causing the tilting of the Dirac cone, and also to discuss the validity of the linear model in different contexts. We will linearize the model around the Weyl points, regaining the familiar form of a Dirac cone, with an additional anisotropy term causing the tilt.

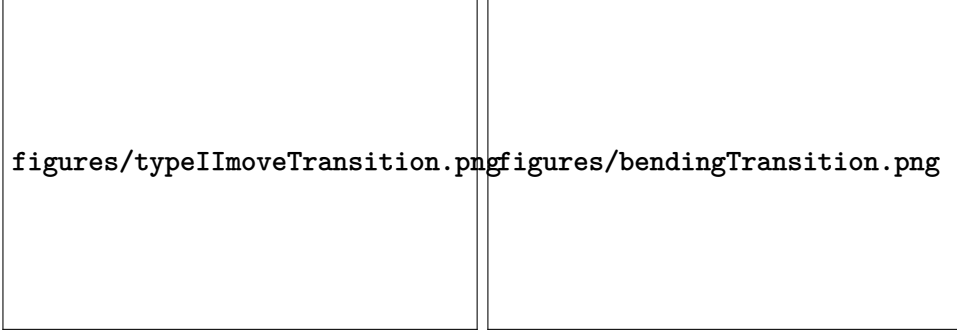
We will use the general time-reversal breaking model described by McCormick, Kimchi, and Trivedi [MKT17]

$$H(\mathbf{k}) = [(\cos k_y + \cos k_z - 2)m - 2t(\cos k_x - \cos k_0)]\sigma_1 - 2t \sin k_y \sigma_2 - 2t \sin k_z \sigma_3 + \gamma(\cos k_x - \cos k_0), \quad (1.79)$$

where  $t$  must not be confused with the tilt term of the linear model. There are Weyl nodes at  $\mathbf{K}' = (\pm k_0, 0, 0)$ , and the parameter  $\gamma$  controls the tilting of the emerging cones. For  $k_0 = \pi/2$ , the cones are isotropic in low-energy expansion. As  $k_0$  is reduced, the cones are brought closer together and made anisotropic, as the effective Fermi velocity is not the same in the  $x$  and  $y$  direction, as shown in figure 1.8a, where two cones are moved until they meet at the origin. Figure 1.8b shows the eigenvalues of the system, as  $\gamma$  is



increased from 0 to  $3t$ . A value of  $\gamma = 0$  gives no tilt, while for  $\gamma > |2t|$  the Type-II system emerges. The  $\gamma$ -term “warps” the bands, and in the limit of Type-II the hole band crosses the Fermi level into positive energy, while the particle band crosses the Fermi level into negative energies. We call these electron and hole pockets, respectively. Note that in this model, the pockets are shared between the two nodes. One may also construct tight binding models with isolated pockets [MKT17].



- (a) A Type-II Weyl semimetal with separation between the nodes  $2k_0 = 0, \pi/2, \pi$ . See main text for details about the model.
- (b) Tight binding model of tilted Dirac cones, with the parameter  $\gamma$  increased from left to right, transitioning the system from Type-I to Type-II. See main text for details about the model.

Linearizing around the Weyl nodes the Hamiltonian reduces to the familiar expression of a Dirac cone

$$H(\mathbf{K}'^{\pm} + \mathbf{k}) \approx \mp 2tk_x \sin k_0 \sigma_1 - 2t(k_y \sigma_2 + k_z \sigma_3) \mp \gamma k_x \sin k_0 \sigma_0, \quad k_x, k_y, k_z \ll 1. \quad (1.80)$$

When the separation between the two nodes is  $\pi$ , i.e.  $k_0 = \pi/2$ , the linearized Hamiltonian around the cone is

$$H'(\mathbf{k}) = \mp 2tk_x \sigma_x - 2tk_y \sigma_y - 2tk_z \sigma_z \mp \gamma k_x, \quad (1.81)$$

with  $\mp$  corresponding to the node at  $\mathbf{K}'^{\pm}$ . For a system

$$H = \gamma_i k_i + k_i A_{ij} \sigma_j, \quad (1.82)$$

the chirality of the node  $s = \det(A_{ij})$  [MKT17], and we see this gives a negative cone at  $k_x = \pi/2$  and positive at  $k_x = -\pi/2$ . We could arrive at a more familiar form of the expression by letting  $2t \rightarrow v_F$ ,  $\gamma \rightarrow v_F t$ , and do a  $\pi$  rotation around  $x$  at the positive cone, giving

$$H'^s(\mathbf{k}) = sv_F \mathbf{k} \cdot \boldsymbol{\sigma} + sv_F t k_x. \quad (1.83)$$

The model thus gives rise to a pair of Weyl cones, with an inversion symmetric tilt, i.e. they tilt with equal magnitude in the opposite direction. Moving the two nodes closer together, the effective Fermi velocity in the  $x$ -direction is rescaled, and the system is anisotropic even for no tilt ( $\gamma = 0$ ). As discussed earlier, this may be mitigated by a rescaling of  $k_x$ .

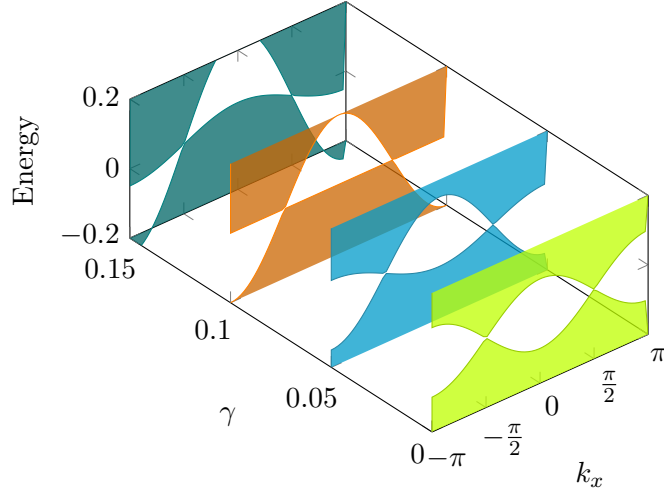


Figure 1.9.: The values of the parameters were chosen to be  $m = 0.15$ ,  $t = -0.05$ , and  $2k_0 = \pi$ .

**Write this**

The linearized model is accurate in describing low energy interactions around the Fermi level. For higher energies its validity falls apart, and more complex models are warranted. For our calculations, we will take the linear model to be sufficient. It is much easier to work with, and sufficient in most cases.

One of the most obvious differences between the tight binding model and the linear model is the finiteness of the former. This is particularly important with regards to two aspects: the Dirac sea and the topology of the Fermi surface. In high energy physics, the Dirac sea is infinitely deep [Bur16; Voz21], whereas in condensed matter physics, it is not. As is seen from the tight binding model, the Dirac sea of the two cones, is really connected; this has consequences among other for the interpretation of the chiral anomaly. In our context, also the topology of the Fermi surface is of importance. As mentioned, in the Lifshitz transition from Type-I to Type-II, the Fermi surface goes from

being closed to open in the linear model. This is not the case in the tight binding model, whose Fermi surface is shown in figure 1.10. According to Ferreiros, Zyuzin, and Bardarson [FZB17] the linear model will be able to give qualitatively correct results for Type-II in the deep tilt limit. We propose yet another argument for this claim here. Consider again the Fermi surface in figure 1.10; as the tilt is increased the Fermi surface resemble more and more that of the linear model. Although this is in no way rigorous, it gives hope that the linear model may give qualitatively valid results for Type-II materials in the deep tilt limit.

A priori, it is not obvious when and how the linear model falls short, and a critical interpretation and evaluation of results derived from it is always warranted. It is, however, a very useful and interesting model. One of the more obvious remedies one might consider, is a momentum cutoff, restricting the model to the region where it is the most correct, as is common in for example graphene calculations.

**cite graphene**

**discussion a la second order contrib ... deep in tilt see ferreirosAnomalousNernstThermal2017**

**Fermi surface when tilt, cutoff, finite sea ....**

### The tilt term – symmetries and Type-I vs. Type-II

In this thesis, we consider the tilted Weyl cone Hamiltonian

$$H^s = sv_F\sigma p + v_F t^s p.$$

The tilt vector will in general depend on the chirality of the cone. As the cones always appear in pairs,  $t^s = st$  will give a system with inversion symmetry, as was the result from the tight binding model in the previous subsection. In the case of broken inversion symmetry, we will consider the case of a tilt equal in direction and magnitude between the two cones,  $t^s = t$ . In short, we define

$$t^s = \begin{cases} t & \text{broken inversion symmetry,} \\ st & \text{inversion symmetry.} \end{cases} \quad (1.84)$$

This convention is used in most literature [vdWS19; FZB17].

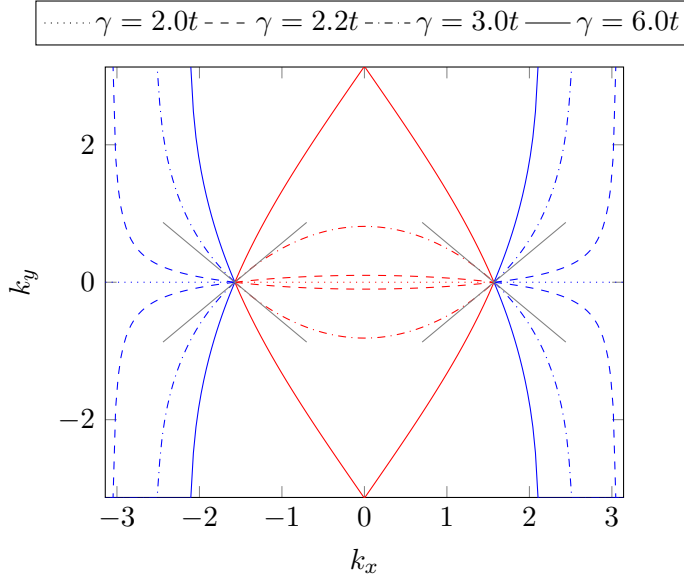


Figure 1.10.: The Fermi surface of the tight binding model in the Type-II phase, with the Fermi surface of the linear model for  $\gamma = 3t$  superimposed (gray). The Figure shows the  $k_x, k_y$  plane, with  $k_z = 0$ . Electron pockets are shown in red, hole pockets shown in blue. Note that the Fermi surface of the linear model is infinite, and here truncated for clarity. Figure inspired by McCormick, Kimchi, and Trivedi [MKT17].

With no magnetic field, the eigenvalues of the system are

$$E_s(\mathbf{k}) = \pm v_F |\mathbf{k}| + v_F t^s \mathbf{k}, \quad (1.85)$$

where in the literature the first term is sometimes referred to as the *potential* term while the latter is the *kinetic* term. The definition for the system to be Type-II is that there exists a direction in momentum space for which the kinetic term dominates over the potential term [Sol+15]. The  $t$ -vector is thus a convenient tool for categorization – if  $t > 1$  we have a Type-II, else we have a Type-I.

*Proof:* We may always rotate our coordinate system such that, without loss of generality,  $t = t\hat{x}$ . In that case, the first term obviously dominates in the  $x$ -direction, when  $t > 1$ .  $\square$

When considering the symmetry properties of the system, we must consider the full  $4 \times 4$  Dirac equation. The  $2 \times 2$  Weyl equation describing one cone

does not capture the symmetries of the full system, which involve both Weyl cones. Let

$$H = v_F \tau_z \otimes \sigma p,$$

where  $\tau$  is some pseudo spin degree of freedom, transforming like  $r$ . This system describes two superimposed cones at the origin, with opposite chirality. The effect of parity  $\mathcal{P}$  and time reversal  $\mathcal{T}$  is

$$\begin{aligned} \mathcal{P}\tau\mathcal{P}^\dagger &= -\tau, & \mathcal{T}\tau\mathcal{T}^\dagger &= +\tau, \\ \mathcal{P}\sigma\mathcal{P}^\dagger &= +\sigma, & \mathcal{T}\sigma\mathcal{T}^\dagger &= -\sigma, \\ \mathcal{P}k\mathcal{P}^\dagger &= -k, & \mathcal{T}k\mathcal{T}^\dagger &= -k, \end{aligned} \tag{1.86}$$

compactly summarized in Table 1.1. Obviously then, the Hamiltonian is

	$\mathcal{P}$	$\mathcal{T}$
$\tau$	-	+
$\sigma$	+	-
$p$	-	-

Table 1.1.: The transformation rules for  $\tau, \sigma, p$  under parity  $\mathcal{P}$  and time reversal  $\mathcal{T}$ .

both time reversal and parity invariant, as  $\mathcal{P}\mathcal{P}^\dagger = \mathcal{T}\mathcal{T}^\dagger = 1$ . Notice that as  $\mathcal{P}\tau\mathcal{P}^\dagger = -\tau$ , the chiralities of the cones are interchanged under a parity transformation.

The tilt term takes the form  $v_F \tau_z^i \otimes \mathcal{I}_2 t \cdot p$ , where  $i = 1$  for inversion symmetric systems ( $t^s = st$ ) and  $i = 2$  for broken inversion symmetry ( $t^s = t$ ). We thus see explicitly, by applying the parity and time reversal operators, that the term breaks time reversal symmetry, and that we get self consistency for the parity transformation.

The unperturbed Dirac Hamiltonian is Lorentz invariant, given that we consider an “effective speed of light”, namely the Fermi velocity, instead of the actual speed of light  $c$ . Specifically, Lorentz invariance means invariance under the *Lorentz group*. The Lorentz group is the  $O(1, 3)$  Lie group that conserves

$$x_\mu x^\mu = t^2 - x^2 - y^2 - z^2,$$

i.e. all isometries of Minkowski space. More specifically, the group consists of all 3D rotations,  $O(3)$ , and all *boosts*. A boost is a hyperbolic rotation from a

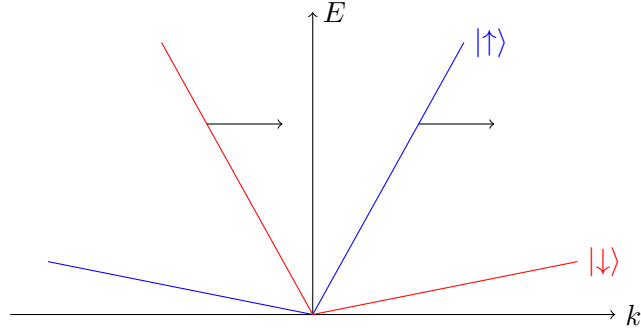


Figure 1.11.: Time reversal breaking in tilted system. Cross section in the tilt direction shown, with blue showing one cone and red the other. Black arrows indicate spin direction, which for  $|\uparrow\rangle$  is proportional to  $k$  while for  $|\downarrow\rangle$  is proportional to  $-k$ .

**Must be referred to in text. Related to the spin structure of the cone**

spatial dimension to the temporal dimension. If we now direct our focus at the Hamiltonian of the Dirac cone

$$H = \pm v_F \sigma p,$$

we may easily show the Lorentz invariance of the system. The time independent Schrodinger equation is

$$H |\psi\rangle = E |\psi\rangle \implies (H^2 - E^2) = 0. \quad (1.87)$$

As

$$p^\mu = \left( \frac{E}{c}, \mathbf{p} \right),$$

the operator in Eq. (1.87) is nothing more than

**Make clear the matrix structure here. There is an implicit identity matrix of size 2**

$$H^2 - E^2 = v_F^2 p^2 - c^2 (p^0)^2, \quad (1.88)$$

where we used the anticommutation relation

$$\{\sigma_i, \sigma_j\} = 2\delta_{ij}$$

of the Pauli matrices. Using now the effective speed of light  $c = v_F$ , Eq. (1.87) is

$$-v_F^2 p_\mu p^\mu = 0. \quad (1.89)$$

The invariance of  $x^\mu x_\mu$  is the very definition of the Lorentz group, and so is obviously Lorentz invariant.

Consider now a *tilted* Dirac cone

$$H = \pm v_F \sigma p + v_F t_x p_x, \quad (1.90)$$

where we, without loss of generality, chose the tilt to be in the  $x$ -direction. By the same argumentation as above, the eigen-equation

$$H |\psi\rangle = E |\psi\rangle \implies (H^2 - E^2) = 0$$

leads to the equation

$$-v_F^2 p^\mu p_\mu + v_F t_x p_x (2E - v_F t_x p_x) = 0. \quad (1.91)$$

This is *not* invariant under a Lorentz transformation, as can be seen by, for example, a rotation around the  $z$ -axis.

**In literature, however, it is sometimes written that Type-II break Lorentz symmetry,**

**cite**

**however we showed that any finite tilt breaks Lorentz invariance. What is meant is the following: the energy of an untilted cone is**

$$E = \pm v_F |k|.$$

**Under a Lorentz boost, which we will take to be in the  $x$  direction without loss of generality, the energy transforms as**

$$\tilde{E} = \gamma(E - \beta v_F k_x) = \gamma v_F (\pm |k| - \beta k_x).$$

**Rescaling the energy by  $\gamma$ , this is a tilted cone. Importantly, the Lorentz boost is only properly defined up to  $|\beta| < 1$ , exactly restricting us to a Type-I system.**

## 1.7. Spin-orbit interaction

Spin-orbit interactions are not used directly in this thesis. It is, however, relevant to include some superficial introduction to the subject, both in order

to conclude that spin-orbit interactions are not something one has to consider in later derivations of this thesis, and also that it might prove useful in future applications of the ideas and theory discussed in the thesis.

Spin-1/2 particles are in general governed by the Dirac equation. In the non-relativistic regime, as is the case in condensed matter physics, we may reduce the equation to the Pauli equation. This equation contains as a relativistic correction the spin orbit coupling term [ERH07]

$$H_{SO} = \lambda_{\text{vac}} \boldsymbol{\sigma} \cdot (\mathbf{k} \times \nabla \tilde{V}), \quad (1.92)$$

where  $\lambda_{\text{vac}}$  is a constant with dimension length squared,  $\boldsymbol{\sigma}$  are the Pauli matrices representing spin, and  $\tilde{V}$  is the total potential in the system. In preparation of the considerations to come, split up the potential in the periodic crystal potential  $V_{\text{cr}}$  and the remaining potential  $V$  from impurities

$$\tilde{V} = V_{\text{cr}} + V. \quad (1.93)$$

### Should this be the Bloch basis

Changing basis to a quasi-particle picture of free particles, thus eliminating  $V_{\text{cr}}$  from the equation, one gets the effective Hamiltonian [ERH07]

$$H_{\text{eff}} = \epsilon_k + V + H_{\text{int}} + H_{\text{ext}}, \quad (1.94)$$

$$H_{\text{int}} = -\frac{1}{2} \mathbf{b}(\mathbf{k}) \cdot \boldsymbol{\sigma}, \quad (1.95)$$

$$H_{\text{ext}} = \lambda \boldsymbol{\sigma} \cdot (\mathbf{k} \times \nabla V). \quad (1.96)$$

Here, the subscripts denote the effective Hamiltonian  $H_{\text{eff}}$ , consisting of an intrinsic part,  $H_{\text{int}}$ , and an extrinsic part,  $H_{\text{ext}}$ .  $\mathbf{b}(\mathbf{k})$  is the intrinsic spin-orbit field, the part of the crystal potential  $V_{\text{cr}}$  that is not eliminated by our change of basis. As the intrinsic spin-orbit interaction should be time reversal invariant, we can argue that  $\mathbf{b}$  must be an odd function.

$$\Theta H_{\text{int}} \Theta^{-1} = H_{\text{int}} \implies \mathbf{b}(\mathbf{k}) \cdot \boldsymbol{\sigma} = -\mathbf{b}(-\mathbf{k}) \cdot \boldsymbol{\sigma}, \quad (1.97)$$

where the well known effects of the time reversal operator was applied to the momentum and spin, as  $\Theta \mathbf{k} \Theta^{-1} = -\mathbf{k}$  and  $\Theta \boldsymbol{\sigma} \Theta^{-1} = -\boldsymbol{\sigma}$ . Obviously, this means that inversion symmetry must be broken for the intrinsic interaction term to be finite. This is easily seen as, with  $P$  being the parity operator,

$$P H_{\text{int}} P^{-1} = H_{\text{int}} \implies \mathbf{b}(-\mathbf{k}) = \mathbf{b}(\mathbf{k}), \quad (1.98)$$



since spin is invariant under inversion.

The external contribution to the spin-orbit interaction is contained in  $H_{\text{ext}}$ , which does not require any particular symmetry to be present. A Zeeman term, where time reversal is broken, would be represented in the external part of the Hamiltonian.

The spin-orbit field  $b(\mathbf{k})$  may take many forms depending on the specifics of the system at hand. The Dresselhaus term

$$H_D = \alpha p_x(p_y^2 - p_z^2)\sigma_x + \text{c.p.} \quad (1.99)$$

where c.p. denotes terms of circular permutation of the indices, [Man+15] and the Rashba term [Wu+20]

$$H_R = \alpha(p_y\sigma_x - p_x\sigma_y), \quad (1.100)$$

are arguably the most well-known models.

We immediately see that the Rashba Hamiltonina (1.100) does not break time reversal invariance, as both momentum and spin are odd under time reversal. It is however odd under inversion. This is of course exactly opposite of a Zeeman term, where we introduce an external magnetic field, thus breaking time reversal symmetry. Consider a free electron model where we add a Rashba term

$$H = \frac{p^2}{2m} + \alpha(p_y\sigma_x - p_x\sigma_y). \quad (1.101)$$

The Hamiltonian commutes with the momentum operator, so we may replace the momentum operator with its eigenvalue  $\hbar\mathbf{k}$ . Solving for the eigenvalue is straight forward, and gives

$$E_{\pm} = \frac{\hbar^2 k^2}{2m} \pm \alpha k, \quad (1.102)$$

where  $k = |\mathbf{k}|$ . We expect the eigenvalues to be linear combinations of spin up and spin down states, and also that the coefficients depend on  $k$ , as the Rashba term has coupled spin and momentum. Take

$$\psi_{\pm} = \frac{e^{i\mathbf{k}\cdot\mathbf{r}}}{\sqrt{2}} (|\uparrow\rangle + b|\downarrow\rangle), \quad (1.103)$$

where  $b$  is some phase we must find. By inserting into the time-independent Schrödinger equation, we find  $b = \mp i(k_x + k_y)/k$ , which is obviously  $b = \mp i \exp(i\theta)$ , where  $\theta$  is the angle of the momentum,  $\mathbf{k} = (k \cos \theta, k \sin \theta)$ . Using

the matrix representation  $|\uparrow\rangle = (1, 0)^T$ ,  $|\downarrow\rangle = (0, 1)^T$ , the eigenvalues are given as

$$\psi_{\pm} = \frac{e^{ikr}}{\sqrt{2}} \begin{pmatrix} 1 \\ \mp ie^{i\theta} \end{pmatrix}. \quad (1.104)$$

These states have interesting spin expectation values

$$\langle\psi_{\pm}|\boldsymbol{\sigma}|\psi_{\pm}\rangle = \pm [\sin\theta\hat{x} - \cos\theta\hat{y}]. \quad (1.105)$$

The spin is orthogonal to the momentum, making a circular pattern around the origin. The direction of the rotation defines the chirality of the state. The spin together with the energy solutions are shown in Figure 1.12.

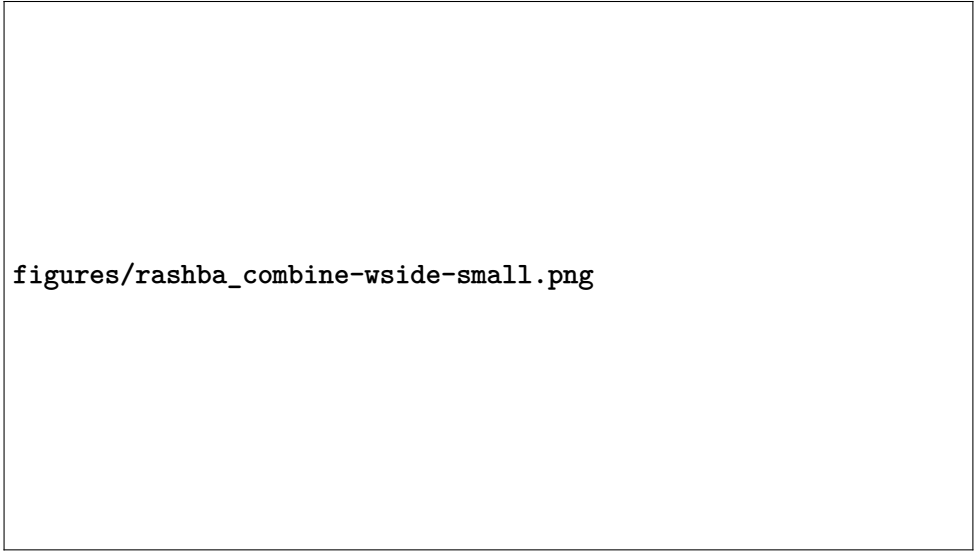


Figure 1.12.: Dispersion curves for a system with Rashba spin-orbit coupling.

**Left:** Seen from above. **Right:** Seen from the front. The projection into the  $xy$ -plane is shown, as well as a cross section in a plane perpendicular to the  $xy$ -plane. The spin of the two states are shown as arrow above the dispersion curves, which defines the chirality of each state. Notice, as is most easily seen in the projection, that the two solutions together form a pair of parabolas separated in momentum.

# Linear response theory

We will now introduce the general theory of linear response, also referred to as the Kubo formalism. Later, the theory will be specialized to thermoelectric response. The material of this section is mostly inspired by the explanations given in Giuliani and Vignale [GV05]. The specialization to the electric response and Luttinger's method is also inspired by Mahan [Mah00].

**Consider making this discussion in both time and space**

We are interested in expressing the response of the observable  $A$  to some field  $F$  coupling to another observable  $B$ . Let the uncoupled system be described by the Hamiltonian  $H_0$  and the coupling term be  $H_F = F(t)B$ . Assume also that the coupling field  $F$  is turned on at  $t = t_0$ , such that  $H_F(t) = 0$  for  $t < t_0$ . Let the unperturbed Hamiltonian be  $H_0$ , which will be assumed time independent. The total Hamiltonian describing the coupled system is

$$H(t) = H_0 + H_F = H_0 + F(t)B. \quad (2.1)$$

Linear response theory tells us then that the response  $\delta A$  is given by [GV05]

$$\delta A = -\frac{i}{\hbar} \int_{t_0}^t \langle [A(t), B(t')] \rangle_0 F(t') dt', \quad (2.2)$$

where  $[A, B]$  is the operator commutator and  $\langle \dots \rangle_0$  denotes the average in the thermal equilibrium ensemble. A non-rigorous motivation for this form of the response is the fact that

$$\dot{A} = -\frac{i}{\hbar} [A, H] + \frac{\partial A_S}{\partial t}, \quad (2.3)$$

with  $A_S$  the Schrödinger picture operator, whose derivative is from here on assumed zero. Taking  $H = H_F$ , the part of the Hamiltonian whose dynamics we are interested in, and integrate over the interaction time, the result is reminiscent of Eq. (2.2). For a proper derivation see for example Giuliani and Vignale [GV05, Chapter 3.3].

**Note about time dependent vs independent operators/picture ? see Giuliani and Vaginal (3.29).**

**Some other formulation for what the  $\langle \dots \rangle_0$  means.**

We will now try to make this expression slightly more manageable, and in the process we will highlight some important physical properties of the expression. Firstly, by taking advantage of the time translation invariance of the uncoupled Hamiltonian  $H_0$ , we may realize that the average taken in the unperturbed basis may be taken at a more convenient time, preserving the time separation of the operators

$$\langle [A(t), B(t')] \rangle_0 = \langle [A(t - t'), B(0)] \rangle_0. \quad (2.4)$$

Inserting this back to Eq. (2.2), and performing a change of variable  $\tau = t - t'$  we have

$$\delta A = -\frac{i}{\hbar} \int_0^{t-t_0} \langle [A(\tau), B(0)] \rangle_0 F(t - \tau) d\tau. \quad (2.5)$$

In this form the retardedness of the coupling is apparent – no observable can be affected by a future perturbation, shown schematically in Figure 2.1.

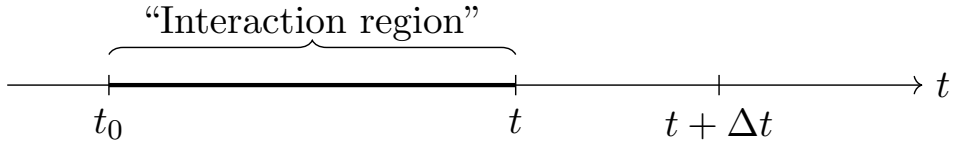


Figure 2.1.: Interacting region of a perturbation turned on at  $t_0$ . Note that the perturbation in the future,  $t + \Delta t$ , does not interact, as this is the retarded interaction.

For future convenience, and convention, we will in this last step introduce the *response function*

$$\chi_{AB}(\tau) = -\frac{i}{\hbar} \Theta(\tau) \langle [A(\tau), B(0)] \rangle_0, \quad (2.6)$$

where the step-function  $\Theta$  was introduced to make the response function explicitly *retarded*. Then our final expression for the response of  $A$  is

$$\delta A = \int_0^{t-t_0} \chi_{AB}(\tau) F(t - \tau) d\tau. \quad (2.7)$$

Note of course that the limits could be altered to  $\int_{-\infty}^{\infty}$  given that the coupling field is zero for times earlier than  $t_0$  and we have chosen the retarded response function.

## 2.1. Linear response in charge current from electromagnetic coupling

We will now discuss the electric *conductivity* in light of the Kubo formalism, as an example to better understand and demonstrate the preceding discussion. Firstly the concept of conductivity will be presented, then it will be derived using the machinery of the Kubo formula. As mentioned above, this part follow the derivation of Mahan [Mah00].

The charge current  $\mathbf{J}$  that is induced from an electric field  $\mathbf{E}$  in the linear scheme is expressed by Ohm's law

$$\mathbf{J}_i(\mathbf{r}, t) = \int_V d\mathbf{x} \int_{-\infty}^t dt \sigma_{ij}(\mathbf{r}, t, \mathbf{x}, s) \mathbf{E}_j(\mathbf{x}, s). \quad (2.8)$$

Above the Einstein summation convention is used, and  $\sigma$  is the *conductivity tensor*. We see of course that this has the familiar form of a response relation. In the case of a simple and isotropic material, meaning symmetric under SO(n) and with no transverse response, the tensor is diagonal with  $\sigma = \sigma I$  and one gets the more well-known version of Ohm's law  $\mathbf{J} = \sigma \mathbf{E}$ .

Again, by the principle of causality, the response of  $\mathbf{J}$  can only depend on  $\mathbf{E}$  in the *past*; thus  $\sigma_{ij}(\mathbf{r}, t, \mathbf{x}, s)$  can be finite only where the time separation  $t - s$  is less than the time light takes to cover the spatial separation  $\mathbf{r} - \mathbf{x}$ . Moreover, if we assume spatial and temporal invariance, i.e. that the response only depends on the separation  $t - s$  and  $\mathbf{r} - \mathbf{x}$ , the expression is simplified somewhat more by transforming it to the Fourier domain. Note that this assumption is *not* valid on an atomic scale; it is here used under the assumption that currents are averaged over multiple unit cells, a common practice in electromagnetism of solids. Let  $\sigma_{ij}(\mathbf{r} - \mathbf{x}, t - s) \equiv \sigma_{ij}(\mathbf{r}, t, \mathbf{x}, s)$  and introduce the Fourier transform

$$A(\mathbf{q}, \omega) = \iint dt d\mathbf{r} e^{i(\omega t - \mathbf{q} \cdot \mathbf{r})} A(\mathbf{r}, t), \quad A(\mathbf{r}, t) = \iint \frac{d\omega d\mathbf{q}}{(2\pi)^4} e^{-i(\omega t - \mathbf{q} \cdot \mathbf{r})} A(\mathbf{q}, \omega). \quad (2.9)$$

Recognizing the right-hand side of Eq. (2.8)

**Is it an issue that the  $t$  integration in (2.8) is only to  $t$ , and not infinity?**

$$\int d\mathbf{x} \int dt \sigma_{ij}(\mathbf{r} - \mathbf{x}, t - s) \mathbf{E}_j(\mathbf{x}, s) \quad (2.10)$$

as a convolution, we can write Eq. (2.8) as

$$\mathbf{J}_i(\mathbf{q}, \omega) = \sigma_{ij}(\mathbf{q}, \omega) \mathbf{E}_j(\mathbf{q}, \omega), \quad (2.11)$$

by using the well known result that the Fourier transform of a convolution is the product of the transformed functions of the convolution [Rot95]. Alternatively, the same result is found by simply inserting the definition Eq. (2.9) for both  $E$  and  $\sigma$  in Eq. (2.8), and use

$$\int dx e^{-ixa} = 2\pi\delta(a).$$

We now attempt to conclude at the result (2.11) using the Kubo formalism. The current couple to the electromagnetic potential  $A$  by a Hamiltonian term

$$H_A = - \int dr J(r) \cdot A(r, t). \quad (2.12)$$

Comparing with the notation introduced earlier for general linear response, where the perturbing Hamiltonian in Eq. (2.1) was

$$F(t)B,$$

we identify the perturbing field  $F$  as  $A$  and the observable  $B$  as the current density. We thus identify the *response function*

$$\chi_{\alpha\beta}(r, t, x, s) = -\frac{i}{\hbar}\Theta(t-s) \langle [J_\alpha(r, t), J_\beta(x, s)] \rangle_0. \quad (2.13)$$

This gives the response

$$\delta J(r, t) = \int_{t_0}^t ds \int dx \chi(r, t, x, s) A(x, s), \quad (2.14)$$

where the indices  $\alpha, \beta$  has been dropped for clearer notation. Assuming spatial and temporal translational invariance,

$$\chi(r-x, t-s) \equiv \chi(r, t, x, s), \quad (2.15)$$

the expression can be simplified quite a bit. Firstly, we will make a change of variables, and then Fourier transform both the spatial and temporal argument. With  $\tau = t - s$  and  $x' = r - x$ ,

$$\delta J(r, t) = \int_0^{t-t_0} d\tau \int dx' \chi(x', \tau) A(r-x', t-\tau). \quad (2.16)$$

By the Fourier transformation introduced in Eq. (2.9)

$$A(q, \omega) = \iint dt dr e^{i(\omega t - qr)} A(r, t),$$

the time transformed version of Eq. (2.16) is

**Should either implicitly or explicitly put the  $t - t_0$  limit of the integral inside of  $A$ , so that the Fourier transform is simple**

$$\delta J(r, \omega) = \int_0^{t-t_0} d\tau \int dx' \chi(x', \tau) \underbrace{\int_{-\infty}^{\infty} dt e^{i\omega t} A(r - x', t - \tau)}_{\equiv e^{i\omega\tau} A(r - x', \omega)}. \quad (2.17)$$

Similarly, Fourier transforming the spatial component yields

$$\delta J(q, \omega) = \int_0^{t-t_0} d\tau \int dx' \chi(x', \tau) e^{i\omega\tau} \underbrace{\int dr e^{-iqr} A(r - x', \omega)}_{\equiv e^{-iqx'} A(q, \omega)}. \quad (2.18)$$

Identifying the remaining part as the Fourier transform of the response function, we finally end up with,

$$\delta J(q, \omega) = \chi(q, \omega) A(q, \omega). \quad (2.19)$$

One could of course also have used the observation that the original expression is a convolution or the direct insertion of the Fourier transform for  $\chi$  and  $A$ , as shown earlier.

In the current derivation, the scalar field potential  $\phi$  is taken to be zero, as transverse electric field is assumed, so the electric field is related to the vector potential as

$$E(r, t) = -\partial_t A(r, t) \implies E(r, \omega) = -i\omega A(r, \omega). \quad (2.20)$$

Thus, the response can be written as

$$\delta J(q, \omega) = \frac{i}{\omega} \chi(q, \omega) E(q, \omega). \quad (2.21)$$

The expression (2.21) found using the Kubo formalism may now be compared to Ohm's equation (2.11), where we see that, re-inserting the component indices explicitly,

$$\sigma_{\alpha\beta}(q, \omega) = \frac{i}{\omega} \chi_{\alpha\beta}(q, \omega), \quad (2.22)$$

$$\begin{aligned} \chi_{\alpha\beta}(q, \omega) &= \int dx \int dt e^{i\omega t - iqx} \chi_{\alpha\beta}(x, t) \\ &= -\frac{i}{\hbar} \int dr \int dt e^{i\omega t - iqx} \Theta(t) \langle [J_\alpha(r, t), J_\beta(0, 0)] \rangle_0. \end{aligned} \quad (2.23)$$

It is here important to remember that it was here assumed only transverse current. If that was not the case, there would be an additional contribution to the  $\sigma_{ii}$  components.

## 2.2. The Luttinger approach to thermal transport

Thermal transport, i.e. response to thermal gradients, is more convoluted than the response to an electromagnetic field, as there is no well-defined Hamiltonian describing the temperature gradient, which of course is a statistical property of the system.

**Consider including some of Mahan’s disucssion about having a thermal gradient when we in the calculations have assumed constant temperature.**

In his now illustrious paper [Lut64] Luttinger seeks to make the theory of transport due to temperature gradients more formal and “mechanical”, as he puts it. Inspired by the mechanical derivation of Kubo for the electric transport, he introduces a method where the transport may be derived mechanically from a phenomenological term in the Hamiltonian – the *Luttinger term*. Earlier calculations of the transport properties of temperature gradients was conducted from local variable theories; Luttinger [Lut64] mentions the derivations of Green and Mori, where they respectively had assumed a Markoff process and “local equilibrium distribution”. Luttinger’s method attempts to put the results of those calculations on a “more solid basis”.

We will here simply outline the basic idea of Luttinger, without a rigorous derivation. Introduce to the Hamiltonian a *gravitational* scalar potential field  $\psi$  coupling to the energy density  $T^{00}$  of the (flat) system [Lut64]

$$H_L = \int d\mathbf{r} \psi T^{00}. \quad (2.24)$$

Note that the  $T^{00}$  component of the stress-energy tensor must not be confused with the temperature  $T$ . Luttinger showed that the system is in equilibrium, i.e. the thermal and gravitational driving forces balance out, given that the gravitational field is related to the temperature by

$$\nabla\psi + \frac{\nabla T}{T} = 0. \quad (2.25)$$

Borrowing the language of Tatara [Tat15], this is essentially a trick to be able to calculate transport coefficients without introducing temperature gradients in the Hamiltonian. Instead, one introduces the fictitious field  $\psi$ , for which the origin is not addressed, and find the transport coefficients for this system. The situation is depicted in Figure 2.2, where the temperature field is shown, together with an accompanying gravitational field.

A temperature gradient, together with external electric field  $E$  and chemical potential  $\mu$ , gives a response in the electrical current  $J$  and energy



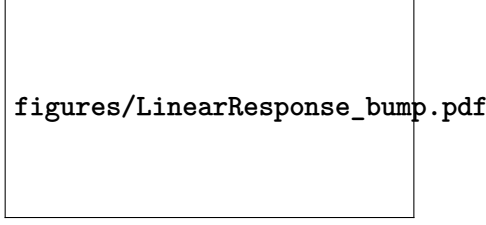


Figure 2.2.: Illustration of Luttinger’s solution to heat transport. To include a temperature fluctuation  $T$ , couple the system to some (fictions) gravitational potential  $\psi$  giving the same current response as the temperature fluctuation.

current  $J_E$  [Mah00]. One commonly defines the transport coefficient tensors  $L^{ab}$ ,  $a, b = 1, 2$  containing the response functions such that

$$J_i = -eL_{ij}^{11} \left[ E_j - T\nabla_j \frac{\mu}{T} \right] - eL_{ij}^{12} T\nabla_j \frac{1}{T}, \quad (2.26)$$

$$J_{E,i} = L_{ij}^{21} \left[ E_j - T\nabla_j \frac{\mu}{T} \right] + L_{ij}^{22} T\nabla_j \frac{1}{T}, \quad (2.27)$$

with the electric charge  $e = |e|$ . Or, more compactly,

$$\begin{pmatrix} -J_i/e \\ J_{E,i} \end{pmatrix} = L_{ij} \begin{pmatrix} E_j - T\nabla_j \frac{\mu}{T} \\ T\nabla_j \frac{1}{T} \end{pmatrix}. \quad (2.28)$$

Importantly, note that these relations are valid when  $\mathbf{J}, \mathbf{J}_E$  are understood as the *transport* currents, as opposed to the total currents containing also local non-transporting currents. This is discussed more with regards to the results of this thesis in section 4.1.1. The coefficients of transportation,  $L_{ij}$  is a widely used convention, however, several slight variations are used, which at times may cause confusion. In particular these differences are on which factors of  $T$  and  $\mu$  are included explicitly; the reason for choosing other definitions might be to have more convenient expressions for the Onsager relation, Seebeck coefficient, thermal conductivity tensor, etc [Mah00; Che+21; LLF14]. The success of Luttinger’s method was that the transport coefficients could now be calculated directly, and yielded the same results as had previously been found by less formal approaches.

By the introduction of the Hamiltonian perturbation  $H_L$ , the response may now be investigated in the Kubo formalism. By the response in Eq. (2.2) the electric current generated from the gravitational perturbation is

$$\langle J^i \rangle(t, \mathbf{r}) = \int dt' d\mathbf{r}' \left\{ \frac{-i}{\hbar} \Theta(t - t') \left\langle \left[ J^i(t, \mathbf{r}), T^{00}(t', \mathbf{r}') \right] \right\rangle \right\} \psi(t', \mathbf{r}'), \quad (2.29)$$

where the integration is taken over the entire spacetime. In order to express this as a response to the thermal gradient, we wish to get the gradient of the gravitational potential. To do this, firstly the 00-element of the stress-energy tensor will be expressed in terms derivatives of  $T^{j0}$ , and then a partial integration will swap the derivative between the stress-energy tensor and gravitational potential. Note first that in the flat system the conservation law of the energy and momentum is simply

$$\partial_0 T^{00}(t, r) + v_F \partial_i T^{i0}(t, r) = 0, \quad (2.30)$$

where  $v_F$  is the Fermi velocity. By the fundamental theorem of calculus this obviously gives for the zero-zero component of the stress-energy tensor

$$T^{00}(t, r) = - \int_{-\infty}^t dt' v_F \partial_i T^{i0}(t', r). \quad (2.31)$$

Introduce Eq. (2.31) in the response relation (2.29, and use integration by parts

$$\int uv' = uv - \int u'v, \quad (2.32)$$

giving

$$\langle J^i \rangle(t, r) = \int_{-\infty}^t dt' \int dr' \int_{-\infty}^{t'} dt'' \left\{ \frac{-iv_F}{\hbar} \left\langle [J^i(t, r), T^{j0}(t'', r')] \right\rangle \right\} \partial'_j \psi(t', r'). \quad (2.33)$$

By Luttinger's relation

$$\langle J^i \rangle(t, r) = \int_{-\infty}^t dt' \int dr' \int_{-\infty}^{t'} dt'' \left\{ \frac{iv_F}{\hbar} \left\langle [J^i(t, r), T^{j0}(t'', r')] \right\rangle \right\} \frac{\partial'_j T(t', r')}{T(t', r')}, \quad (2.34)$$

where care must be taken to distinguish the stress-energy tensor  $T^{j0}$  and the temperature  $T$ , differentiated by the indices, or lack thereof.

**Check the sign here. Depending on how we understand Luttinger's method, it should be positive or negative.**

# Anomalies in quantum field theory

From Noether’s theorem, described in the following section, we know that any continuous symmetry of the Lagrangian  $\mathcal{L}$  in a classical consideration will lead to a conserved current. However, we know from the path integral formulation of **QFT** that for a system with fields  $\phi$  and an external source  $J$ , it is the generating functional

$$Z[J] \equiv \int \mathcal{D}\phi \exp \left[ i \left( S[\phi] + \int d^4x J(x)\phi(x) \right) \right] \quad (3.1)$$

that must be invariant for a transformation to be a symmetry operation of the system. Quantum corrections from the second quantization can lead to the symmetry group of the generating functional to be smaller than the symmetry group of the classical action, in which case we say there is an *anomaly*. In that case, the conserved current predicted by Noether’s theorem is no longer protected by symmetry, as the operation is indeed not a symmetry of the system. The terms breaking the classical conservation are called *anomalies*.

It should also be noted that the terminology *anomaly* and *breaks the classical symmetry* are somewhat misleading; there is no actual symmetry breaking – in the quantum theory there is no symmetry to begin with, and a more fitting language to describe the situation is that there is an anomalous symmetry in the classical Lagrangian, which is not there in the “real” theory. Thus, the situation must not be confused with spontaneous symmetry breaking, and there is no Goldstone boson present.

## 3.1. Noether’s theorem

The following section is inspired by the derivation of Kachelriess [[Kac18](#)].

Noether’s theorem is one of the most central results in theoretical quantum physics. It relates continuous symmetries with conserved quantities, which for example explain fundamental principles such as conservation of momentum and conservation of energy. Given a Lagrangian  $\mathcal{L}(\phi_a, \partial_\mu \phi_a)$  dependent on the fields  $\phi_a$ , we will consider the variations  $\delta\phi_a$  that leave the action, and thus equations of motion, invariant. That is, the variations that are generators for some continuous symmetry of the system. Firstly, we will restrict our

consideration to the case where the Lagrangian itself is invariant

$$0 = \delta\mathcal{L} = \frac{\delta\mathcal{L}}{\delta\phi_a}\delta\phi_a + \frac{\delta\mathcal{L}}{\delta\partial_\mu\phi_a}\delta\partial_\mu\phi_a. \quad (3.2)$$

In the last term use that the variation and derivation may be exchanged,  $[\delta\partial_\mu, \partial_\delta\delta] = 0$ , and in the first term use the Lagrange equations

$$\frac{\delta\mathcal{L}}{\delta\phi_a} = \delta_\mu \left( \frac{\delta\mathcal{L}}{\delta\partial_\mu\phi_a} \right). \quad (3.3)$$

By the product rule it follows that

$$0 = \delta\mathcal{L} = \partial_\mu \left( \frac{\delta\mathcal{L}}{\delta\partial_\mu\phi_a} \right) \delta\phi_a + \frac{\delta\mathcal{L}}{\delta\partial_\mu\phi_a} \partial_\mu\delta\phi_a = \partial_\mu \left( \frac{\delta\mathcal{L}}{\delta\partial_\mu\phi_a} \delta\phi_a \right). \quad (3.4)$$

Thus, we see that the quantity in the parenthesis after the last equality must be conserved. We denote this quantity  $j^\mu$ , and call it a *current*.

So far, we have the result that for any variation  $\delta\phi_a$  that leave the Lagrangian invariant, there is a conserved current

$$j^\mu = \frac{\delta\mathcal{L}}{\delta\partial_\mu\phi_a} \delta\phi_a, \quad \partial_\mu j^\mu = 0. \quad (3.5)$$

There is, however, an even stronger formulation of Noether's theorem. As the equations of motion are only dependent on the transformation being a symmetry transformation of the action, we realize that even a change in the Lagrangian of the form  $\delta\mathcal{L} = \partial_\mu K^\mu$  will not change the equations of motion, as long as boundary terms of the integral over the Lagrangian may be dropped ( $K \rightarrow 0, r \rightarrow \infty$ ). Thus, altering the starting point in Eq. (3.4) to  $0 = \delta\mathcal{L} - \partial_\mu K^\mu$  we get Noether's theorem, theorem 1.

**Theorem 1** (Noether's theorem). *For any continuous transformation that leave the Lagrangian  $\mathcal{L}$  invariant up to a total derivative  $\partial_\mu K^\mu$ , there must be an associated conserved current*

$$j^\mu = \frac{\delta\mathcal{L}}{\delta\partial_\mu\phi_a} \delta\phi_a - K^\mu, \quad \partial_\mu j^\mu = 0. \quad (3.6)$$

## 3.2. The axial/chiral anomaly

We will first give a quick and somewhat superficial introduction to the axial anomaly<sup>1</sup>, and why it matters in condensed matter physics. That discussion will be based on the discussion given in Wehling, Black-Schaffer, and

---

<sup>1</sup>Also known as the chiral anomaly.

Balatsky [WBB14] and Tong [Ton, Ch. 3]. Then we will present a more thorough derivation of the anomaly, based on the derivation of Zee [Zee10] and Kachelriess [Kac18].

In the massless case the Dirac equation reduce to the Weyl equation, whose solutions are right and left moving fermions. In 1+1 dimensions they have the energy dispersion

$$\epsilon_{\pm} = \pm|p|,$$

where the  $\pm$  indicate positive and negative energy solutions. Consider the case now in the Dirac sea picture. The negative energy solutions, antiparticles in high energy physics and holes in condensed matter physics, are all filled, with the energy band going to  $\pm\infty$  momentum. The particles with energy  $\epsilon = +p$  are right moving solutions, while  $\epsilon = -p$  represent left moving solutions. Note that in this language, an antiparticle with negative momentum, is right moving, and of course a particle with positive momentum is right moving. The situation is shown in the left pane of Figure 3.1. Introduce now an electric field  $E$ . This will cause the states to shift, according to  $\dot{p} = eE$ , with  $e$  being the electric coupling, which is here taken to be the fundamental charge; note that this shift does not discriminate against left and right movers, they are both shifted the same. For a field  $E > 0$  the right movers are shifted towards higher energies and the left movers are shifted towards lower energies, shown in the right pane of Figure 3.1. This also shifts the densities of left and right movers! Denote by  $n_+$  the right movers and  $n_-$  the left movers. The total density  $n = n_+ + n_-$  is constant, however, the difference  $n_+ - n_-$  is not conserved. Identifying  $J = n_+ + n_-$  as the vector current and  $J_A = n_+ - n_-$  as the axial current, we see that the vector current is conserved, but the *axial* current is not! Notice how the origin of the anomaly in this context, is the infinite depth of the Dirac sea.

We will now give a purely field theoretical derivation of the axial anomaly, under a gauge transformation

$$\psi \rightarrow e^{i\theta + i\theta\gamma^5} \psi, \quad (3.7)$$

corresponding to a gauge transformation of the coupling fields.

**remove or write more about these coupling fields, as we do not have them in our Lagrangian. See Zee and Kachelriess**

As is often the case, there are many ways to do this. For example, one could show directly that the measure of the path integral is not invariant under a transformation. We will, however, show it in a somewhat crude way, but where there are no complicated formal considerations, only brute force calculation which is hopefully more readily appreciated by those not familiar

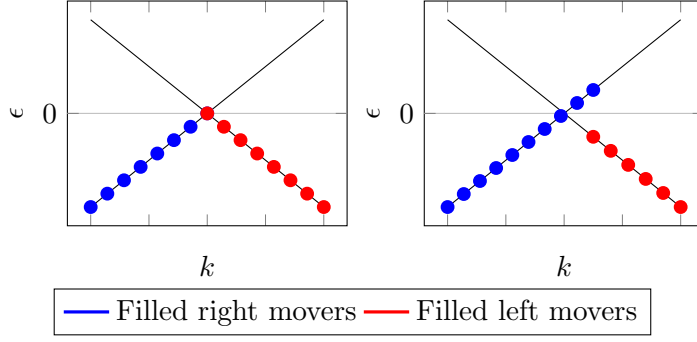


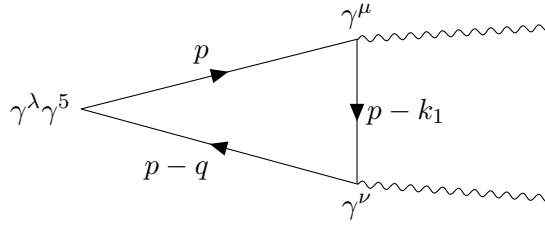
Figure 3.1.: Dispersion of Weyl fermions, black showing unfilled states, blue filled right movers, and red filled left movers. **(Left)** No electric field applied, Fermi level at the crossing. **(Right)** Electric field in the positive direction applied, shifting the filled states. See main text for details.

**Consider changing for solid lines (not marks). In that case, have thiccc lines.**

to the concept. The calculation also has some historical importance, as the problem we will solve is in fact exactly the same as the problem that led to the discovery of anomalies!

**Consider to spice up this historic reference**

We will calculate the triangle diagram



and show that this leads to the conclusion that either the vector current or the axial current is non-conserved. The amplitude of the diagram is

$$\langle 0 | T J_5^\lambda J^\mu J^\nu | 0 \rangle, \quad (3.8)$$

with the vector current  $J^\mu = \bar{\psi} \gamma^\mu \psi$  and the axial current  $J_5^\mu = \bar{\psi} \gamma^\mu \gamma^5 \psi$ .

Written out explicitly in momentum space

$$\begin{aligned} \mathcal{A}^{\lambda\mu\nu}(k_1, k_2) = \\ (-1)i^3 \int \frac{d^4 p}{(2\pi)^4} \text{Tr} \left( \gamma^\lambda \gamma^5 \frac{1}{\not{p} - \not{q}} \gamma^\nu \frac{1}{\not{p} - \not{k}_1} \gamma^\mu \frac{1}{\not{p}} + \gamma^\lambda \gamma^5 \frac{1}{\not{p} - \not{q}} \gamma^\mu \frac{1}{\not{p} - \not{k}_2} \gamma^\nu \frac{1}{\not{p}} \right), \end{aligned} \quad (3.9)$$

where  $q = k_1 + k_2$ . For the vector current to be conserved the requirement  $k_{1\mu} \mathcal{A}^{\lambda\mu\nu} = k_{2\nu} \Delta^{\lambda\mu\nu} = 0$  must hold. For the axial current to be conserved, the requirement is  $q_\lambda \mathcal{A}^{\lambda\mu\nu} = 0$ .

**show or cite the requirements. I think maybe it is quite simple to see from the wick contractions**

One must be careful when carrying out this calculation, as is also stressed in many textbooks dealing with this issue, for example [Kac18] and [Zee10]. Consider the criterion for the vector current to be conserved

$$k_{1\mu} \mathcal{A}^{\lambda\mu\nu}(k_1, k_2) = i \int \frac{d^4 p}{(2\pi)^4} \text{Tr} \left( \gamma^\lambda \gamma^5 \frac{1}{\not{p} - \not{q}} \gamma^\nu \frac{1}{\not{p} - \not{k}_1} - \gamma^\lambda \gamma^5 \frac{1}{\not{p} - \not{k}_2} \gamma^\nu \frac{1}{\not{p}} \right) = 0. \quad (3.10)$$

When calculating the integral it might be tempting to simply perform a change of variables, rendering the two terms equal and thus concluding that the criterion is met. However, we must notice that the integrand goes like  $1/p^2$  while the boundary surface of a 3-sphere is proportional to  $p^3$ . The boundary terms does therefore not vanish, and there is an extra term associated with performing such a change of variables.

Consider that we want to integrate over the function  $f$

$$\int d^d p f(p). \quad (3.11)$$

If we perform the change of variables  $p \rightarrow p + a$ , one could in theory get an extra contribution from boundary terms, which we will now find. We will calculate

$$\int d^d p [f(p + a) - f(p)], \quad (3.12)$$

where we in the first term has “naively” performed a change of variables, without considering the boundary terms. Thus, the result of this integral is indeed the boundary terms. Firstly, we will perform a Wick rotation into Euclidean space

**some note about why this is allowed, i.e. that there exists some Feynman parametrization for the**

$$\int d_E^d p [f(p+a) - f(p)] = \int d_E^d p [a^\mu \partial_\mu f(p) + \dots]. \quad (3.13)$$

Ignoring the higher order terms, the RHS may be rewritten as a surface integral by Gauss's theorem. Taking the average over the surface integral, and denoting by  $S_d(r)$  the surface of a d-sphere with radius r, we write the integral as

$$\lim_{P \rightarrow \infty} a^\mu \left( \frac{P_\mu}{P} \right) f(P) S_{d-1}(P). \quad (3.14)$$

Rotating back to Minkowski space we gain an additional i, with

$$\int d^d p [f(p+a) - f(p)] = \lim_{P \rightarrow \infty} i a^\mu \left( \frac{P_\mu}{P} \right) f(P) S_{d-1}(P). \quad (3.15)$$

We will now perform such a shift of variables in the second term of the trace in Eq. (3.10), as we notice that shifting  $p \rightarrow p - k_1$  makes the two terms cancel, leaving only the boundary term. Let

$$f(p) = \text{Tr} \left( \gamma^\lambda \gamma^5 \frac{1}{\not{p} - \not{k}_2} \gamma^\nu \frac{1}{\not{p}} \right) = \frac{\text{Tr} \left( \gamma^5 (\not{p} - \not{k}_2) \gamma^\nu \not{p} \gamma^\lambda \right)}{(p - k_2)^2 p^2} = \frac{4i\epsilon^{\tau\nu\sigma\lambda} k_{2\tau} P_\sigma}{(p - k_2) p^2}. \quad (3.16)$$

Here we used in the first equality the property  $1/\not{p} = \not{p}/p^2$  twice, and the cyclic permutation invariance of the trace,  $\text{Tr}(ABC) = \text{Tr}(BCA)$ . In the second equality, we first wrote the Feynman slash operator by its definition  $\not{p} = \gamma^\mu a_\mu$ , and then used the property

$$\text{Tr}(\gamma^5 \gamma^\tau \gamma^\nu \gamma^\sigma \gamma^\lambda) = -4i\epsilon^{\tau\nu\sigma\lambda}, \quad (3.17)$$

where  $\epsilon$  is the totally antisymmetric tensor. The trace can be split into two terms, where the first vanishes as it is proportional to  $\epsilon^{\tau\nu\sigma\lambda} p_\tau p_\sigma$ , and one is left with the expression in Eq. (3.16). Thus, Eq. (3.10) becomes

$$k_{1\mu} \mathcal{A}^{\lambda\mu\nu} = \frac{i}{(2\pi)^4} \lim_{P \rightarrow \infty} i(-k_1)^\mu \frac{P_\mu}{P} \frac{4i\epsilon^{\tau\nu\sigma\lambda} k_{2\tau} P_\sigma}{P^4} 2\pi^2 P^3 = \frac{i}{8\pi^2} \epsilon^{\lambda\nu\tau\sigma} k_{1\tau} k_{2\sigma}. \quad (3.18)$$

Consider now, however, what happens if we shift  $p \rightarrow p + k_2$  in the first term of Eq. (3.10) instead. Surely, if our answer above is correct, any arbitrary



shift must yield the same answer. Similarly to before, let

$$\begin{aligned} f(p) &= \text{Tr} \left( \gamma^\lambda \gamma^5 \frac{1}{\not{p} - \not{q}} \gamma^\nu \frac{1}{\not{p} - \not{k}_1} \right) \\ &= \frac{\text{Tr} \left( \gamma^5 (\not{p} - \not{q}) \gamma^\nu (\not{p} - \not{k}_1) \gamma^\lambda \right)}{(p - q)^2 (p - k_1)^2} = \frac{-4i\epsilon^{\tau\nu\sigma\lambda} k_{2\tau} (k_{1\sigma} - p_\sigma)}{(p - q)^2 (p - k_1)^2}, \end{aligned} \quad (3.19)$$

where we as above removed all terms symmetric under  $\sigma \leftrightarrow \tau$ . Now, Eq. (3.10) becomes

$$k_{1\mu} \mathcal{A}^{\lambda\mu\nu} = \frac{i}{(2\pi)^4} \lim_{P \rightarrow \infty} i k_2^\mu \frac{P_\mu}{P} \frac{-4i\epsilon^{\tau\nu\sigma\lambda} k_{2\tau} (k_{1\sigma} - p_\sigma)}{P^4} 2\pi^2 P^3 = \frac{i\epsilon^{\lambda\nu\tau\sigma}}{8\pi^2} k_{2\tau} k_{2\sigma}. \quad (3.20)$$

**Check there is not missing a -1 in last expression**

Where we used that the only term contributing is the  $p_\sigma$ , as the term with  $k_{1\sigma}$  goes like  $P^{-1}$ . Our results differ depending on the non-physical shift of variables! As is shown by several textbooks, [Zee10] [Kac18], this comes from the fact that the integral we started with is in fact linearly divergent – its value is not well-defined. What we will have to do, is consider an arbitrary shift  $a$  in the integration variable of the amplitude Eq. (3.9), which we will show changes the amplitude by a quantity dependent on  $a$ . To cancel this, a counter term must be inserted; however, as we will see, this counter term can only make either the axial current or the vector current conserved! Consider now a shift in the integration variable  $p \rightarrow p - a$  in the amplitude (3.9), where we denote the amplitude with shifted integration variable

$$\begin{aligned} \mathcal{A}^{\lambda\mu\nu}(a, k_1, k_2) &= (-1)i^3 \int \frac{d^4 p}{(2\pi)^4} \text{Tr} \left( \gamma^\lambda \gamma^5 \frac{1}{\not{p} - \not{a} - \not{q}} \gamma^\nu \frac{1}{\not{p} - \not{a} - \not{k}_1} \gamma^\mu \frac{1}{\not{p} - \not{a}} \right. \\ &\quad \left. + \gamma^\lambda \gamma^5 \frac{1}{\not{p} - \not{a} - \not{q}} \gamma^\mu \frac{1}{\not{p} - \not{a} - \not{k}_2} \gamma^\nu \frac{1}{\not{p} - \not{a}} \right). \end{aligned} \quad (3.21)$$

From Eq. (3.15) we already have a formula for the difference

$$\mathcal{A}^{\lambda\mu\nu}(a, k_1, k_2) - \mathcal{A}^{\lambda\mu\nu}(k_1, k_2), \quad (3.22)$$

by choosing

$$f(p) = \frac{i}{(2\pi)^4} \text{Tr} \left( \gamma^\lambda \gamma^5 \frac{1}{\not{p} - \not{q}} \gamma^\nu \frac{1}{\not{p} - \not{k}_1} \gamma^\mu \frac{1}{\not{p}} \right).$$

Ignore for now the prefactor, and note that in the limit

$$\begin{aligned}
 \lim_{p \rightarrow \infty} f(p) &= \frac{\text{Tr}(\gamma^\lambda \gamma^5 \not{p} \gamma^\nu \not{p} \gamma^\mu \not{p})}{p^6} \\
 &= \frac{2 \text{Tr}(\gamma^\lambda \gamma^5 \not{p} \gamma^\nu \not{p}) - p^2 \text{Tr}(\gamma^\lambda \gamma^5 \not{p} \gamma^\nu \gamma^\mu)}{p^6} \\
 &= \frac{4ip_\sigma \epsilon^{\sigma\nu\mu\lambda}}{p^4}.
 \end{aligned} \tag{3.23}$$

In the second equality we used the anti-commutation relation of gamma matrices in  $\not{p}\gamma^\mu = 2p^\mu - \gamma^\mu \not{p}$  and  $\not{a}^2 = a^2$ . In the last equality, we used again Eq. (3.17), and the vanishing of all terms symmetric under interchanging indices when contracted with the fully antisymmetric tensor. We now find the amplitude difference (3.22). Firstly, we simplify the expression slightly as

$$\Delta \mathcal{A}^{\lambda\mu\nu}(a, k_1, k_2) \equiv \int d^4p f(p-a) - f(p) + \{(k_1, \mu) \leftrightarrow (k_2, \nu)\}, \tag{3.24}$$

where the last term indicates to repeat the preceding expression with interchange of  $k_1 \leftrightarrow k_2$  and  $\mu \leftrightarrow \nu$ . Thus, by Eq. (3.15),

$$\begin{aligned}
 \Delta \mathcal{A}^{\lambda\mu\nu}(a, k_1, k_2) &= \lim_{p \rightarrow \infty} ia^\mu \left( \frac{p_\mu}{p} \right) \frac{i}{(2\pi)^4} \frac{4ip_\sigma \epsilon^{\sigma\nu\mu\lambda}}{p^4} 2\pi^2 p^3 \\
 &\quad + \{(k_1, \mu) \leftrightarrow (k_2, \nu)\} \\
 &= \lim_{p \rightarrow \infty} \frac{-ia^\mu}{2\pi^2} \frac{p_\mu p_\sigma}{p^2} \epsilon^{\sigma\nu\mu\lambda} + \{(k_1, \mu) \leftrightarrow (k_2, \nu)\} \\
 &= -\frac{ia_\sigma}{8\pi^2} \epsilon^{\sigma\nu\mu\lambda} + \{(k_1, \mu) \leftrightarrow (k_2, \nu)\}.
 \end{aligned} \tag{3.25}$$

**Figure out if there is missing an overall minus sign**

Now is the time to take a break from the calculations and consider in some detail what this result means, before we will finally carry out the derivation to its end and show the anomaly. A priori  $\mathcal{A}^{\lambda\mu\nu}(a, k_1, k_2)$  should be just as valid as  $\mathcal{A}^{\lambda\mu\nu}(k_1, k_2)$ , i.e. setting  $a = 0$ . In fact, that formulation is quite the misnomer, as  $a = 0$  is no less arbitrary than any  $a \neq 0$  in this setting;  $p$  is simply a name by which we denote the moment transfer in our diagram. *However*, using Eq. (3.25), leading to

$$\begin{aligned}
 k_{1\mu} \mathcal{A}^{\lambda\mu\nu}(a, k_1, k_2) - k_{1\mu} \mathcal{A}^{\lambda\mu\nu}(a = 0, k_1, k_2) &= \\
 -\frac{i}{8\pi^2} \left[ \epsilon^{\sigma\nu\mu\lambda} a_\sigma + \{(k_1, \mu) \leftrightarrow (k_2, \nu)\} \right] k_{1\mu}, &\tag{3.26}
 \end{aligned}$$

we see that the criterion for vector current conservation (3.10) may or may not be met depending on our choice of  $a$ !

**Should this be related to counter terms as Kachelriess does? What does it really mean that we have to choose some shift**

Owing to a trick from Zee [Zee10], we will show that the resolve of this is to chose one particular  $a$ , and the choice will be that  $a$  which preserves the consistency of our theory. Now, this may indeed seem both strange and ad-hoc, how can we justify *choosing* some parameter to get the result we want? This is, in fact, common in QFT. Recall that both the UV-cutoff and dimensional regularization schemes introduce a parameter, which must be determined “outside” of our theory.

Let  $a = \alpha(k_1 + k_2) + \beta(k_1 - k_2)$ . This is allowed as  $k_1, k_2$  are independent, and the only parameters of our equations. The  $\alpha$  term is obviously symmetric under interchange of  $k_1, k_2$ , while the  $\beta$  term is antisymmetric. Thus, we see that in Eq. (3.26) only the  $\beta$  part survives when adding the pair with interchanged indices and momenta. Thus,

$$k_{1\mu} \mathcal{A}^{\lambda\mu\nu}(a, k_1, k_2) = -\frac{i}{4\pi^2} \epsilon^{\sigma\nu\mu\lambda} \beta(k_{1\sigma} - k_{2\sigma}) k_{1\mu} + k_{1\mu} \mathcal{A}^{\lambda\mu\nu}(k_1, k_2) \quad (3.27)$$

$$= \frac{i}{8\pi^2} \left( \epsilon^{\lambda\nu\tau\sigma} k_{1\tau} k_{2\sigma} - 2\epsilon^{\sigma\nu\mu\lambda} \beta(k_{1\sigma} - k_{2\sigma}) k_{1\mu} \right) \quad (3.28)$$

$$= \frac{i}{8\pi^2} \epsilon^{\lambda\nu\tau\sigma} k_{1\tau} k_{2\sigma} (1 + 2\beta). \quad (3.29)$$

Here we inserted our previous result for  $k_{1\mu} \mathcal{A}^{\lambda\mu\nu}$  given in Eq. (3.18). In the last equation we used that  $k_{1\sigma} k_{1\mu}$  vanishes when contracted with the Levi Cevita symbol, and relabeled the dummy indices. It is now apparent that choosing  $\beta = -1/2$  makes the criterion for conservation of vector current hold!

By choosing the shift appropriately, the vector current is preserved. However, it does come at a price. The requirement for the axial current to be conserved, as mentioned earlier, is

$$q_\lambda \mathcal{A}^{\lambda\mu\nu} = 0.$$

This amplitude is in fact also set by the parameter  $\beta$ , as also here  $\alpha$  drops out; we have no free parameter to tune after fixing  $\beta$ . With the choice  $\beta = -1/2$ , required to conserve the vector current, the axial current will not be conserved! This is the chiral anomaly.

We could have, of course, instead chosen  $\beta$  such that the axial current is conserved, at the expense of the conservation of the vector current. However, as Zee [Zee10] describes, this would have catastrophic consequences, rendering

the entire theory useless. A non-conserved vector current, would make the fermion number not conserved, clearly non-acceptable. We therefore chose to sacrifice the axial current instead of the vector current.

### 3.3. The conformal anomaly

Consider massless QED (quantum electrodynamics)

$$\mathcal{L} = -\frac{1}{4}F^{\mu\nu}F_{\mu\nu} + i\bar{\psi}\not{D}\psi, \quad (3.30)$$

with  $\psi$  the Dirac field,  $\bar{\psi} = \psi^\dagger\gamma^0$ ,  $\not{D} = \gamma^\mu D_\mu$ ,  $D$  the covariant derivative  $D_\mu = \partial_\mu - ieA_\mu$ ,  $\gamma^\mu$  the Dirac matrices, and  $F$  the electromagnetic field.  $A$  is the electromagnetic potential,  $\partial_\mu A_\nu - \partial_\nu A_\mu$ , and  $e$  is the coupling, here the fundamental charge. The theory is classically scale invariant. That is, under the transformation

$$x \rightarrow \lambda^{-1}x, \quad A_\mu \rightarrow \lambda A_\mu, \quad \psi \rightarrow \lambda^{\frac{3}{2}}\psi, \quad (3.31)$$

the Lagrangian transforms as

$$\mathcal{L} \rightarrow \lambda^4 \mathcal{L}, \quad (3.32)$$

which is canceled by the transformation of measure  $d^4x \rightarrow d^4x\lambda^{-4}$  in the action. As the action is invariant, thus so are the equations of motion.

By Noether's theorem there must be some conserved current corresponding to this symmetry transformation, which we will now show is the dilation current  $j_D^\mu = T^{\mu\nu}x_\nu$ . Consider a conformal transformation of the type  $g_{\mu\nu} = e^{2\tau}\eta_{\mu\nu}$ , also known as a Weyl transformation of the metric. The variation of the metric is obviously  $\delta g_{\mu\nu} = 2\tau\eta_{\mu\nu}$ . Recall also that the stress-energy tensor is defined as the response of the action to a variation of the metric

$$T_{\mu\nu} = \frac{2}{\sqrt{|g|}} \frac{\delta S}{\delta g^{\mu\nu}}, \quad (3.33)$$

where  $g$  is the determinant of the metric. Now, using this we see that

$$\begin{aligned} \delta S &= \int d^4x \frac{\delta S}{\delta g^{\mu\nu}} \delta g^{\mu\nu} \\ &= \int d^4x \frac{\sqrt{|g|}}{2} T_{\mu\nu} \delta g^{\mu\nu} \\ &= \int d^4x T_{\mu\nu} \sqrt{|g|} \tau(x) \eta^{\mu\nu}(x) \\ &= \int d^4x \sqrt{|g|} \tau(x) T_\mu^\mu. \end{aligned} \quad (3.34)$$

As the scaling is a symmetry operation, Eq. 3.34 must be zero. As the scaling factor  $\tau$  is an arbitrary function, we conclude that the trace  $T^\mu_\mu$  must vanish. The vanishing trace ensures the conservation of the dilation current as

$$\begin{aligned}\partial_\mu J_D^\mu &= T^{\mu\nu} \partial_\mu x_\nu + \overbrace{(\partial_\mu T^{\mu\nu})}^0 x_\nu \\ &= T^{\mu\nu} \delta_{\mu\nu} = T^\mu_\mu,\end{aligned}\tag{3.35}$$

where we used the property of the stress-energy tensor that  $\partial_\mu T^{\mu\nu} = 0$ . As the trace is zero, the dilation current is conserved in the classical picture.

However, this symmetry does not hold when quantum corrections are taken into account. Loop effects give non-vanishing contributions to the trace, and by Eq. (3.35) this makes the dilation current non-conserved. Due to this, the conformal anomaly is also often referred to as the trace anomaly. Recall that when calculating the propagators of the QED theory, we end up with infinities. These, we regularize and renormalize, for example with dimensional regularization or UV-cutoff. In any case, this introduces some dimensionfull scale,  $\mu$ , the renormalization scale and the cutoff energy respectively for the regulators mentioned. This scale dependence is encoded in the beta function of the theory, encoding the dependence of the coupling  $e$  on the scale,

$$\beta(e) = \frac{\partial e}{\partial \log \mu};\tag{3.36}$$

if the beta function does not vanish, our theory now has a scale dependence, rendering our theory no longer scale invariant!

When taking into account the loop effects, the trace of the stress-energy tensor is [Kac18]

$$T^\mu_\mu = \frac{\beta(e)}{2e} F_{\mu\nu} F^{\mu\nu},\tag{3.37}$$

where  $\beta(e)$  is the beta function of the theory. This beta function makes the anomaly not exact in one loop, as opposed to the axial anomaly. In one loop, the massless fermion beta function is [Che16]

$$\beta^{(1)} = \frac{e^3}{12\pi^2}.\tag{3.38}$$



# Charge current from the conformal anomaly

# 4

In 2016 Chernodub [Che16] showed that the conformal anomaly of QED leads to electrical currents in an inhomogeneous gravitational background. This effect was further explored by Chernodub, Cortijo, and Vozmediano [CCV18], showing through Luttinger's method that such an anomalous transport could be generated from a temperature gradient, giving additional contributions to the Nernst current. The same effect was shortly after derived more formally through the Kubo formalism, by Arjona, Chernodub, and Vozmediano [ACV19].

In this chapter we extend the Kubo calculation to tilted Weyl cones. Firstly, the result for the untilted system is rederived, where we also show several simplifications compared to previous computations. The results for the untilted cone are then generalized to tilted cones. The computation is quite lengthy, and the thesis is explicit in each step, with the goal being that a graduate level student should be able to comfortably follow the calculations.

The chapter is divided into sections, each representing a somewhat contained part of the calculation. The text is not, however, written such that a reader should expect to understand a section without reading the preceeding one. Due to the nature of the work, certain sections are rather technical. For the benefit of the reader, we have included summaries of intermediate results, enabling the reader to skip the more technical parts. In particular, the latter part of section 4.2.2 and section 4.5.1 may be skipped without much loss.

We will find the current response of a single Dirac cone, with a temperature gradient  $\nabla_y T$  and a magnetic field  $B_z$ . The current response of interest in the given geometry is thus in the  $x$ -direction,

$$J^x = \chi^{xy} \frac{-\nabla_y T}{T}, \quad (4.1)$$

with  $\chi^{xy}$  being the response<sup>1</sup>. This geometry is shown in Figure 4.1. In the derivation of Chernodub, Cortijo, and Vozmediano [CCV18] the response

$$\chi^{xy} = \frac{e^2 v_F B}{18\pi^2 \hbar} \quad (4.2)$$

---

<sup>1</sup>The sign in Eq. (4.1) depends on the choice of the response function being the response of the gravitational potential or the temperature gradient. Thus, the sign may differ in the literature.

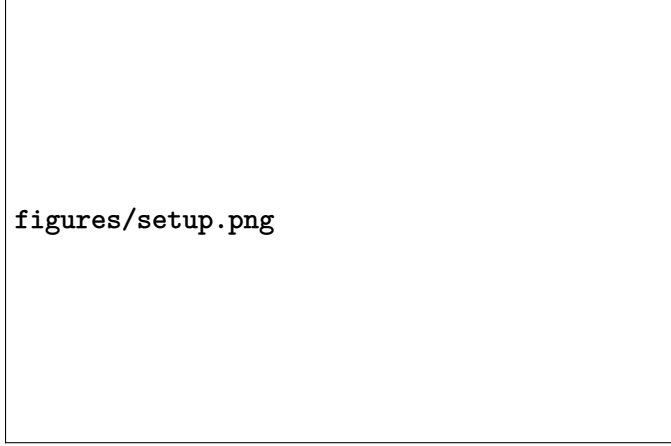


Figure 4.1.: Sketch of the geometry used in the derivation. Note that we consider only bulk response, and the finite sample is only for illustration purposes.

was found, while the derivation of Arjona, Chernodub, and Vozmediano [ACV19] found <sup>2</sup>

$$\chi^{xy} = \frac{e^2 v_F B}{4\pi^2 \hbar}. \quad (4.3)$$

Recall the linear response from the Kubo formalism in Eq. (2.34), found through Luttinger's approach.

$$\langle J^i \rangle(t, r) = \underbrace{\int_{-\infty}^t dt' \int d\mathbf{r}' \int_{-\infty}^{t'} dt'' \left\{ \frac{-iv_F}{\hbar} \langle [J^i(t, r), T^{j0}(t'', r')] \rangle \right\}}_{\chi^{ij}} \frac{-\partial_j' T(t', r')}{T}. \quad (4.4)$$

Fourier transforming now to the frequency and momentum domain, will be beneficial in our calculations. As before, the non-perturbed system will be taken to be time and position invariant, such that the correlator in Eq. (4.4) can be taken to depend only on the differences  $t - t''$  and  $\mathbf{r} - \mathbf{r}'$ . Starting with Fourier transforming the position part, notice that the structure of Eq. (4.4) is

$$\langle J^i \rangle(r) = \int d\mathbf{r}' \chi(\mathbf{r} - \mathbf{r}') (-\partial_j' T(r')/T),$$

---

<sup>2</sup>The paper is somewhat unclear on what is their final result, as there is some possible confusion related to the number of Landau levels included and whether one is including both or only one Dirac cone. The above result is what is meant, to the best of our understanding.



where the temporal parts were dropped for clarity. This is a convolution, and the Fourier transform is thus simply given by the product of the two factors [Rot95].

$$\langle J^i \rangle(q) = -\chi(q)(iq_j)T(q)/T, \quad (4.5)$$

where it was also used that the Fourier transform of a derivative gives the component of the variable. Showing explicitly how to find the form of the response  $\chi$  in momentum space is often overlooked in much literature, and as it does involve some finesse, we want to show it here. This trick is courtesy of Chang [Cha18]. By definition, the Fourier transform of the response is, where the variable of integration has been chosen to be  $\mathbf{r} - \mathbf{r}'$  for later convenience,

$$\chi(q) = \int d(\mathbf{r} - \mathbf{r}') e^{-iq(\mathbf{r} - \mathbf{r}')} \chi(\mathbf{r} - \mathbf{r}') \quad (4.6)$$

$$= \int d(\mathbf{r} - \mathbf{r}') e^{-iq(\mathbf{r} - \mathbf{r}')} C \langle [J^i(\mathbf{r}), T^{j0}(\mathbf{r}')] \rangle, \quad (4.7)$$

$$(4.8)$$

where  $C$  denotes  $t$ -dependent prefactors and integrals over time are omitted, again for clarity of notation. Note that

$$\int d(\mathbf{r} - \mathbf{r}') = \frac{1}{\mathcal{V}} \int d\mathbf{r} d\mathbf{r}', \quad (4.9)$$

where  $\mathcal{V}$  is the volume of the system. Thus,

$$\begin{aligned} \chi(q) &= \frac{1}{\mathcal{V}} \int d\mathbf{r} d\mathbf{r}' e^{-iq(\mathbf{r} - \mathbf{r}')} C \langle [J^i(\mathbf{r}), T^{j0}(\mathbf{r}')] \rangle \\ &= \frac{C}{\mathcal{V}} \langle [J^i(q), T^{j0}(-q)] \rangle. \end{aligned} \quad (4.10)$$

Considering now the temporal part, the procedure is simpler. The linear response still has the form of a convolution, as the response function is only dependent on the difference  $t - t'$  by

### Add indices to energy-momentum tensor

$$\chi(t - t') = \int_{-\infty}^0 dt'' \Theta(t - t') \langle [J^i(t - t'), T^{j0}(t'')] \rangle, \quad (4.11)$$

where  $t''$  was shifted by  $t'$ , and then the translational invariance of the

correlator was used. In frequency space

$$\chi(\omega) = \int dt e^{i\omega t} \chi(t) \quad (4.12)$$

$$= \int dt e^{i\omega t} \int_{-\infty}^0 dt'' \Theta(t) \langle [J^i(t), T^{j0}(t'')] \rangle. \quad (4.13)$$

In frequency and momentum space the response function is thus

$$\chi^{ij}(w, q) = \frac{-iv_F}{\mathcal{V}\hbar} \int dt e^{i\omega t} \int_{-\infty}^0 dt' \Theta(t) \langle [J^i(t, q), T^{j0}(t', -q)] \rangle. \quad (4.14)$$

## 4.1. General remarks

Before beginning the computation, we here briefly mention some complications and considerations important to our result. Firstly we discuss how the charge current form a Kubo calculation relate to experimentally measurable currents. Secondly we discuss the ambiguity related to the energy-momentum tensor.

### 4.1.1. Transport and magnetization

Recall that we generally define the transport coefficients (Eq. (2.26))

$$J^i = -eL_{ij}^{11} \left[ E_j - T \nabla_j \frac{\mu}{T} \right] - eL_{ij}^{12} T \nabla_j \frac{1}{T},$$

where  $J^i$  is the electrical current. In our work, we focus on the  $L^{12}$  coefficient, however the following discussion is valid also more generally. The definition of transport currents becomes more subtle in systems with broken time-reversal symmetry[vdWS19; Che+21]. In such systems, unobservable, circulating *magnetization* currents arise. These currents do not contribute to transport, but the Kubo treatment derives the local current, which in general also includes non-transporting currents. Let

$$\mathbf{J} = \mathbf{J}_{\text{tr}} + \mathbf{J}_M, \quad (4.15)$$

where  $\mathbf{J}$  is the total local current,  $\mathbf{J}_{\text{tr}}$  is the transport current, and  $\mathbf{J}_M$  is the circulating magnetization current. The Kubo formalism generally gives the response to the total local current,  $\chi$ ; we are more interested in the

experimentally measurable transport response  $L_{ij}^{12}$ , related to our Kubo result as [Che+21]

$$L_{ij}^{12} = -\chi_{ij}/e + \epsilon^{ijl} M_l, \quad (4.16)$$

with  $M_l$  the magnetization. For zero chemical potential, however, these magnetization currents have been shown to go to zero as  $T \rightarrow 0$  [vdWS19]. The result from the Kubo calculation is therefore the actual transport current.

#### 4.1.2. Comment on the energy-momentum tensor

There is some ambiguity with regards to the definition of the energy-momentum tensor [Kac18; Che+21; vdWS19; FR04]. The *canonical* energy-momentum tensor, derived from Lagrangian mechanics, is defined as

$$T^{\mu\nu} = \frac{\partial \mathcal{L}}{\partial \partial_\mu \psi_i} \partial^\nu \psi_i - \eta^{\mu\nu} \mathcal{L}. \quad (4.17)$$

On the other hand, from general relativity, the *dynamical* energy-momentum tensor is defined by the variation of the (matter) action with respect to the metric [Kac18]

**Signs depend on choice of  $g$**

$$T^{\mu\nu} = \frac{2}{\sqrt{g}} \frac{\delta S}{\delta g_{\mu\nu}}. \quad (4.18)$$

Immediately, we see that the first definition is in general not symmetric, while the latter is, as the metric is always symmetric<sup>3</sup>. As the energy-momentum tensor is an observable, this presents a problem: how should the tensor be defined? This issue is not trivial, and has puzzled physicists for decades [FR04].

Superficially, we make the following observations. The *defining* property of the energy-momentum tensor is its conservation law

$$\partial_\mu T^{\mu\nu} = 0, \quad (4.19)$$

on a flat manifold. This, of course, only defines the tensor up to a total divergence. Denote by  $\hat{T}^{\mu\nu}$  the *canonical* energy-momentum tensor. We can then define another tensor

$$T^{\mu\nu} = \hat{T}^{\mu\nu} + \partial_\alpha S^{\alpha\mu\nu}. \quad (4.20)$$

---

<sup>3</sup>something with torsion never

By letting the additional term be anti-symmetric  $S^{\alpha\mu\nu} = -S^{\mu\alpha\nu}$ , it is divergence free. This is easily shown as follows:

$$\partial_\mu \partial_\alpha S^{\alpha\mu\nu} = -\partial_\mu \partial_\alpha S^{\mu\alpha\nu} \quad (4.21)$$

$$= -\partial_\alpha \partial_\mu S^{\mu\alpha\nu} \quad (4.22)$$

$$= -\partial_\mu \partial_\alpha S^{\alpha\mu\nu}, \quad (4.23)$$

where we used the commutation of partial derivatives and relabelling of the dummy indices  $\mu, \lambda$ . By an appropriate choice of  $S^{\alpha\mu\nu}$  the canonical energy-momentum tensor may be symmetrized, importantly while still abiding the conservation law. The correction that symmetrizes the energy-momentum tensor is known as the “Belinfante tensor”, which for the Dirac Lagrangian is [Che+21]

$$S^{\alpha\mu\nu} = \frac{1}{8} \bar{\Psi} [\gamma^\alpha, \sigma^{\mu\nu}] \Psi, \quad (4.24)$$

which gives

$$T^{\mu\nu} = \frac{1}{4} \bar{\Psi} (\gamma^\mu D^\nu + \gamma^\nu D^\mu) \Psi. \quad (4.25)$$

Which, in the case of the Dirac Lagrangian, so happens to correspond to the naive symmetrization

$$T_s^{\mu\nu} = \frac{T^{\mu\nu} + T^{\nu\mu}}{2}. \quad (4.26)$$

It is also instructive for our work to consider a more naive line of reasoning. The energy-momentum tensor is used in this work through its conservation law Eq. (4.19), whose first component gives the conservation of energy. Writing it out explicitly

$$\partial_0 T^{00} + \partial_i T^{i0} = \partial_0 \epsilon + \partial_i j_\epsilon^i = 0, \quad (4.27)$$

with  $\epsilon$  the energy density and  $j_\epsilon$  the energy density current, the question is really seen to be finding the energy density current, ignoring all formal arguments about the energy-momentum tensor in a general context. Using such a line of reasoning van der Wurff and Stoof [vdWS19] argued that the appropriate form of the energy-momentum tensor that should be used in linear response calculations of Dirac material systems, is the unsymmetrized canonical tensor. In this work, we will therefore use the canonical energy-momentum tensor, as opposed to the symmetric form used in the linear response calculation of an untitled cone done by Arjona, Chernodub, and Vozmediano [ACV19]. In the untitled case, the two definitions give the same contribution, while for a titled cone, the response from the two definitions differ.

In the case of an untilted system, the components of interest of the canonical energy-momentum tensor reads

$$T^{y0} = \frac{si}{4} \left[ \phi^\dagger \sigma_y \partial_0 \phi - \partial_0 \phi^\dagger \sigma_y \phi \right], \quad (4.28a)$$

$$T^{0y} = \frac{v_F}{4} \left[ \phi^\dagger p_y \phi - p_y \phi^\dagger \phi \right]. \quad (4.28b)$$

The symmetrized energy-momentum tensor used by Arjona, Chernodub, and Vozmediano [ACV19]

$$T_s^{y0} = \frac{T^{y0} + T^{0y}}{2}. \quad (4.29)$$

The response was found to be

$$\chi = [\dots] \sum_{\substack{m,n \\ N=M-1}} \int d\kappa_z (F^{(1)} + F^{(2)}) \alpha_{\kappa_z ms}^2, \quad (4.30)$$

with  $[\dots]$  prefactors not relevant here, and  $F^{(i)}$ ,  $i = 1, 2$  the contribution from  $T^{y0}$  and  $T^{0y}$ , respectively. They are

$$F^{(1)} = \epsilon_{\kappa_z ms} + \epsilon_{\kappa_z ns}, \quad (4.31)$$

$$F^{(2)} = s \alpha_{\kappa_z ns} \sqrt{M-1} + \frac{s\sqrt{M}}{\alpha_{\kappa_z ms}}, \quad (4.32)$$

where we have used the dimensionless

$$\epsilon_{\kappa_z ms} = \frac{\sqrt{2eB}}{v_F} E_{k_z ms}, \quad \kappa_z = \sqrt{2eB} k_z.$$

Using the explicit form of the normalization factor

$$\alpha_{k_z ms} = -\frac{s\sqrt{M}}{\epsilon_m - s\kappa_z},$$

and energy eigenstates

$$\epsilon_m = \text{sign}(m) \sqrt{M + \kappa_z^2},$$

it is not difficult to show that

$$F^{(2)} = \epsilon_{\kappa_z ms} + \epsilon_{\kappa_z ns} = F^{(1)}. \quad (4.33)$$

Tilting parallel to the magnetic field does not alter the eigenstates, it only changes the eigenvalues by a factor  $t_{\parallel} v_F k_z$  [YYY16; TCG16], as we will show

later. The results from the untilted case may thus be applied directly, with rescaled energies. As the normalization factor  $\alpha_{\kappa_z m_s}$  is invariant under the tilt,  $F^{(2)}$  does not change. However,  $F^{(1)}$  changes to

$$F^{(1)} = \epsilon_{\kappa_z m_s} + \epsilon_{\kappa_z n_s} = \epsilon_{\kappa_z m_s}^0 + \epsilon_{\kappa_z n_s}^0 + 2\kappa_z t_{\parallel}, \quad (4.34)$$

where  $\epsilon_{\kappa_z m_s}^0$  is the energy levels of the untilted system. The last term in ?? gives a non-zero contribution to the total response, and so the results for a tilted cone is generally dependent on the choice of the energy-momentum tensor.

The calculation presented in the thesis has for completeness been carried out also for the symmetric energy-momentum tensor as well. The result presented in Appendix B.

### Decide dimless or dimfull quantities

## 4.2. Eigenvalue problem of the Landau levels of a Weyl Hamiltonian

To evaluate the correlator of the response function, the matrix elements of the current and stress-energy tensor must be found. In order to do this, we find eigenstates in the Landau basis of the system. We will first consider the untilted Hamiltonian, which we will then use to find the Landau levels of the tilted Hamiltonian.

### 4.2.1. The untilted Hamiltonian

Couple the Weyl Hamiltonian to the magnetic field through minimal coupling

$$H_s = s v_F \sigma^i (p_i + e A_i), \quad (4.35)$$

with  $s$  being the chirality,  $p_i$  the momentum operator, and  $e = |e|$  the coupling constant to the electromagnetic field  $A$ . Choose coordinates such that  $B = B_z \hat{z}$ , which in the Landau gauge gives  $A = -B_z y \hat{x}$ . As the Hamiltonian is invariant in  $x$  and  $z$ , take the plane wave ansatz  $\phi(r) = e^{ik_x x + ik_z z} \phi(y)$ . It then follows

$$H_s \phi(r) = E \phi(r) \implies \tilde{H}_s \phi(y) = E \phi(y), \quad (4.36)$$

where  $\tilde{H}$  is the result of replacing  $p_z \rightarrow k_z, p_x \rightarrow k_x$  in  $H_s$ , as the plane wave part of  $\phi$  have these eigenvalues. Absorb the chirality  $s$  as a sign in the

velocity  $v_F$ , for more concise notation. Thus, writing everything explicitly, the spectrum is given by

$$-v_F \begin{pmatrix} -k_z & \partial_y + eyB_z/ -k_x \\ -\partial_y + eyB_z/ -k_x & k_z \end{pmatrix} \phi(y) = E\phi(y). \quad (4.37)$$

We will now find the spectrum  $E$  of the Hamiltonian.

Inspired by the derivation for the spectrum of the 2D Dirac Hamiltonian in [WBB14], we introduce the length scale  $l_B = 1/\sqrt{eB}$ , and the dimensionless quantity  $\chi = y/l_B - k_x l_B$ . In dimensionless quantities Eq. (4.37) is

$$-\frac{v_F}{l_B} \begin{pmatrix} -k_z l_B & \partial_\chi + \chi \\ -\partial_\chi + \chi & k_z l_B \end{pmatrix} \phi(y) = E\phi(y). \quad (4.38)$$

Let the operators  $a = (\chi + \partial_\chi)/\sqrt{2}$ ,  $a^\dagger = (\chi - \partial_\chi)/\sqrt{2}$ . One may easily verify the commutation relation  $[a, a^\dagger] = 1$ ; they are ladder operators of the harmonic oscillators, whose eigenstates are  $|n\rangle$ , with  $a|n\rangle = \sqrt{n}|n-1\rangle$ ,  $a^\dagger|n\rangle = \sqrt{n+1}|n+1\rangle$ . In terms of these operators, the system is

$$-\frac{\sqrt{2}v_F}{l_B} \begin{pmatrix} -\frac{k_z l_B}{\sqrt{2}} & a \\ a^\dagger & \frac{k_z l_B}{\sqrt{2}} \end{pmatrix} |\phi\rangle = E|\phi\rangle. \quad (4.39)$$

Take the ansatz

$$|\phi\rangle = \begin{pmatrix} \beta|n-1\rangle \\ \alpha|n\rangle \end{pmatrix}, \quad (4.40)$$

which is the most general form of  $|\phi\rangle$  with any hope of being an eigenstate. This leads to

$$-\frac{\sqrt{2}v_F}{l_B} \begin{pmatrix} (-\gamma\beta + \alpha\sqrt{n})|n-1\rangle \\ (\beta\sqrt{n} + \gamma\alpha)|n\rangle \end{pmatrix} = E|\phi\rangle, \quad (4.41)$$

with  $\gamma = k_z l_B/\sqrt{2}$ . For  $n > 0$  this leads to the equation for  $\phi$  to be an eigenfunction

$$-\gamma + \frac{\alpha}{\beta}\sqrt{n} = \frac{\beta}{\alpha}\sqrt{n} + \gamma. \quad (4.42)$$

Solving for  $\alpha/\beta$  this gives

$$\frac{\alpha}{\beta} = \frac{\gamma}{\sqrt{n}} \pm \sqrt{1 + \frac{\gamma^2}{n}}, \quad (4.43)$$

and thus

$$E = \pm v_F \sqrt{\frac{2n}{l_B^2} + k_z^2} = \pm s v_F \sqrt{2neB + k_z^2}, \quad (4.44)$$

where we reintroduced the explicit  $s$ . For  $n = 0$  the annihilation operator  $a$  destroys the vacuum state  $|0\rangle$ , and the energy is instead  $E_0 = -sk_z v_F$ . The excited energy states are doubly degenerate; we choose to denote the energy levels by  $m \in \mathbb{Z}$ , where the sign from  $\pm s$  is taken care of by the sign of this quantum number, and the harmonic oscillator levels  $n$  are given by its absolute value  $|m|$ . The energy levels are

$$E_{k_z m s} = \text{sign}(m) v_F \sqrt{2|m|eB + k_z^2} \quad \text{for } m \neq 0, \quad (4.45a)$$

$$E_{k_z 0 s} = -sk_z v_F \quad \text{for } m = 0. \quad (4.45b)$$

We now find the corresponding eigenvectors of the system. The solution to the one dimensional harmonic oscillator in position space is, in dimensionless coordinates  $\xi$ , [Olv+, Eq. 18.39.5]

$$\langle \xi | n \rangle = \phi_n(\xi) = \frac{1}{\sqrt{2^n n!}} \pi^{-\frac{1}{4}} e^{-\frac{\xi^2}{2}} H_n(\xi), \quad (4.46)$$

where  $H_n$  are the Hermite polynomials. Thus,

$$\langle \chi | \phi \rangle = \begin{pmatrix} \beta \langle \chi | n-1 \rangle \\ \alpha \langle \chi | n \rangle \end{pmatrix} = e^{-\frac{\chi^2}{2}} \begin{pmatrix} \frac{\beta}{\sqrt{2^{n-1}(n-1)!}\sqrt{\pi}} H_{n-1}(\chi) \\ \frac{\alpha}{\sqrt{2^n n!}\sqrt{\pi}} H_n(\chi) \end{pmatrix}, \quad (4.47)$$

where we defined  $H_{-1} = 0$  in order to get a more general expression. Choosing

$$\alpha = \sqrt{\frac{\gamma^2}{n}} \implies \beta = \frac{1}{1 \pm \sqrt{1 + \frac{n}{\gamma^2}}} = \pm \frac{\gamma^2}{n} \left( \sqrt{1 + \frac{n}{\gamma^2}} - 1 \right), \quad (4.48)$$

gives

$$\phi(\chi) = e^{-\frac{\chi^2}{2}} \sqrt{\frac{\gamma^2}{n}} \begin{pmatrix} \pm \sqrt{\frac{\gamma^2}{n}} \left( \sqrt{1 + \frac{n}{\gamma^2}} - 1 \right) \\ \frac{1}{\sqrt{2^{n-1}(n-1)!}\sqrt{\pi}} H_{n-1}(\chi) \\ \frac{1}{\sqrt{2^n n!}\sqrt{\pi}} H_n(\chi) \end{pmatrix}. \quad (4.49)$$

There are thus four quantum numbers related to the eigenvectors,  $k_x, k_z, m, s$ . Reintroducing  $\chi = (y - k_x l_B^2)/l_B$  and normalizing we get:

### Summary 1

*The Landau levels of a Weyl cone coupled to a magnetic field  $B_z$  has the*



eigenvalues

$$E_{k_z m s} = \text{sign}(m) v_F \sqrt{2eBM + k_z^2} \quad \text{for } m \neq 0, \quad (4.50a)$$

$$E_{k_z 0 s} = -s k_z v_F \quad \text{for } m = 0. \quad (4.50b)$$

The eigenstates are

$$\phi_{k m s}(\mathbf{r}) = \frac{e^{ik_x x} e^{ik_z z}}{\sqrt{L_x L_z}} e^{-\frac{(y - k_x l_B^2)^2}{2l_B^2}} \left( \frac{\alpha_{k_z m s}}{\sqrt{2^{M-1} (M-1)! \sqrt{\pi} l_B}} H_{M-1} \left( \frac{y - k_x l_B^2}{l_B} \right) \right. \\ \left. \frac{1}{\sqrt{2^M M! \sqrt{\pi} l_B}} H_M \left( \frac{y - k_x l_B^2}{l_B} \right) \right), \quad (4.51)$$

where  $\mathbf{k} = (k_x, k_z)$ , and with the normalization factor

$$\alpha_{k_z m s} = \frac{-s \sqrt{2eBM}}{\frac{E_{k_z m s}}{v_F} - s k_z}. \quad (4.52)$$

Here, capital letters indicate absolute value of corresponding quantity,  $M = |m|$ , a convention we will use throughout the chapter.

### 4.2.2. The tilted Hamiltonian

As we have seen, the eigenvalues of a Type-II Weyl semimetal are simple to find, and are not qualitatively different from those of Type-I, other than the appearance of particle and hole pockets at the Fermi level. We will also consider the Landau levels of these materials, which importantly are very different from Type-I. In fact, erroneous treatment of the Landau spectrum of Type-II semimetals caused the original paper describing Type-II materials to mistakenly assert that the chiral anomaly would not be present for certain directions of a background magnetic field [Sol+15; SGT17].

The issue with the Landau level description is that for certain directions of the  $B$ -field, the Landau levels break down. For Type-I materials, the description is valid for all directions of the  $B$ -field, but as the cone tilts into a Type-II material, the description breaks down when the  $B$ -field and tilt direction are perpendicular [SGT17], and as the magnitude of the tilt increases, the Landau levels are only valid up to a certain angle between the tilt direction and magnetic field. We will in this section derive and elucidate the Landau levels and their regions of validity.

Consider again the Hamiltonian

$$H = v_F t^s p + s v_F p \sigma, \quad (4.53)$$

with the *tilt vector* as defined in Eq. (1.84)

$$t^s = \begin{cases} t & \text{broken inversion symmetry,} \\ st & \text{inversion symmetry.} \end{cases}$$

To find the Landau levels in a magnetic field  $B = B_z \hat{z}$ , we will “Lorentz boost” the system to a frame where the cone is not tilted, where we may use the usual approach for finding the Landau levels.

### Make sure this is not a repetition

Generally, consider  $t$  to consist of two components:  $t_{\parallel}$  which is parallel to the magnetic field, and  $t_{\perp}$  perpendicular to the magnetic field. Without loss of generality, we take the magnetic field to be in the  $z$ -direction, with the Landau gauge  $A = -B_z y \hat{x}$ . By a rotation around  $z$ , we may also in general take  $t_{\perp} \parallel \hat{x}$ .<sup>4</sup> Thus, let  $t = (t_{\perp}, 0, t_{\parallel})$ , and introduce the magnetic field through the minimal coupling  $p \rightarrow p^B = p + eA$ .

The Landau level equation is

$$(H_B - E) |\psi\rangle = 0, \quad (4.54)$$

with

$$H_B = v_F (t_{\perp}^s p_x^B + t_{\parallel}^s p_z^B) \mathcal{I}_2 + \sum_i s v_F p_i^B \sigma_i, \quad (4.55)$$

where  $\mathcal{I}_2$  is the identity matrix of size 2. We may again make the plane wave ansatz  $\phi(r) = e^{ik_x x + ik_z z} \phi(y)$ , similar to what was done for the untilted Hamiltonian in section 4.2.1, to replace  $p_{(x/z)} \rightarrow k_{(x/z)}$ . In order to use the ladder operator method used for the untilted cone, we must get rid of the  $k_x^B$  on the diagonal of the Hamiltonian, as we must reformulate the equation in terms of the ladder operators.<sup>5</sup> To achieve this, we will use a “Lorentz

<sup>4</sup>The setup considered in the response calculation does not have  $U(1)$  symmetry around the  $B$ -field, due to the temperature gradient  $\nabla T$ . However, the Landau levels are here computed generally, and when later introducing the symmetry breaking components like the temperature gradient, we simply rotate to an appropriate frame.

<sup>5</sup>It would also be possible to choose the frame such that the tilt was both in  $x$  and  $y$  direction, in which case we would get ladder operators also on the diagonal. This system, albeit tedious, could also have been solved directly.

Verify this

boost”, which as we will show only leave  $k_z$  and  $E$  in the diagonal. Act with the hyperbolic rotation operator  $R = \exp[\Theta/2\sigma_x]$  on Eq. (4.54) from the left, and insert identity on the form  $RR^{-1}$  before the state vector. By introducing the state in the rotated frame  $|\tilde{\psi}\rangle = R^{-1}\mathcal{N}|\psi\rangle$ , with  $\mathcal{N}$  a normalization factor compensating for the non-unitarity of the transformation, we get the eigenvalue equation

$$(RH_B R - ER^2)|\tilde{\psi}\rangle = 0. \quad (4.56)$$

We now make the fortunate observation that the diagonal elements of

$$R\sigma_i R$$

are zero for  $i = y$  and non-zero for  $i = x, z$ . We may thus rotate the  $x$ - and  $z$ -components in and out of the diagonal elements, without accidentally rotating the  $y$ -components into the diagonal.

We will now find the boost parameter that eliminates  $k_x$  from the diagonal. Note that

$$R^2 = e^{\Theta\sigma_x} = \begin{pmatrix} \cosh \theta & \sinh \theta \\ \sinh \theta & \cosh \theta \end{pmatrix} \quad (4.57)$$

and as  $[R, \sigma_x] = 0$ ,

$$R\sigma_x R = R^2\sigma_x = \begin{pmatrix} \sinh \theta & \cosh \theta \\ \cosh \theta & \sinh \theta \end{pmatrix}, \quad (4.58)$$

as the effect of  $\sigma_x$  is to transpose the rows. The problematic part of the Hamiltonian with regards to finding the Landau levels, are the terms containing  $k_x^B$  on the diagonal, i.e.

$$v_F t_\perp^s k_x^B \mathcal{I}_2 + s v_F k_x^B \sigma_x.$$

The requirement for  $k_x^B$  to be rotated out of the diagonal is thus

$$t_\perp^s \cosh \theta + s \sinh \theta = 0. \quad (4.59)$$

Solving for  $\theta$  we get

$$\theta = \log\left(\pm \frac{\sqrt{s - t_\perp^s}}{\sqrt{s + t_\perp^s}}\right). \quad (4.60)$$

Alternatively, written in a slightly suggestive form,

$$\tanh \theta = -s t_\perp^s, \quad (4.61)$$

which is of course on the form of the *rapidity* known from Lorentz transformations, with  $-st_\perp^s$  taking the place of the  $\beta = v/c$  factor. From this observation, we also find it instructive to introduce the Lorentz factor

$$\gamma = \frac{1}{\sqrt{1-\beta^2}} = \frac{1}{\sqrt{1-t_\perp^2}}. \quad (4.62)$$

The required hyperbolic tilt angle to eliminate the  $k_x^B$  in the diagonal elements of the Hamiltonian, originating from the tilt, is thus

$$\theta = -s \tanh^{-1} t_\perp^s. \quad (4.63)$$

The inverse of tan, of course, diverges as the argument approaches  $\pm 1$ , as shown in Figure 4.2. For  $|t_\perp| < 1$  we are able to find an angle  $\theta$  which

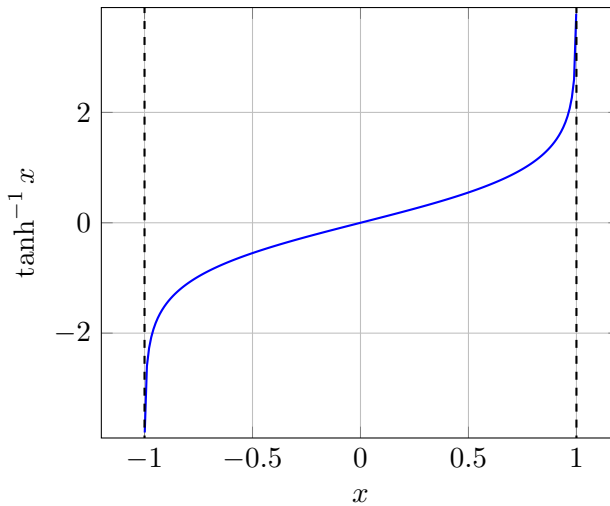


Figure 4.2.: Plot of  $\tanh^{-1}$ , which diverges as the argument goes to  $\pm 1$ .

transforms our Hamiltonian into a form which we may solve. For  $|t_\perp| \geq 1$ , however, no (real) solution of  $\theta$  exists, and the Landau level description collapses. More concretely, as we will show later, the separation of the Landau levels is reduced as the perpendicular tilt increases, and as  $|t_\perp| \rightarrow 1$ , the level separation  $\Delta E \rightarrow 0$ .

Interestingly, there are no restrictions in the perpendicular tilt,  $t_\perp$ . The  $t$  parametrization of the tilt is conveniently visualized by plotting the  $t$ -vector inside a unit sphere, shown in Figure 4.3. If the vector is outside the unit sphere, it is a Type-II, if it is inside, it is a Type-I. Also, if the projection of

the vector onto the  $x, y$ -plane is on the unit disk, the Landau level description is valid, if not, the Landau levels collapse. When the projection is on the unit disc, the system is in the *magnetic* regime, otherwise we denote it by the *electric* regime. All Type-I materials may thus be described by Landau levels, while it for Type-II is only valid for certain directions of  $t$ . As the  $t$ -vector gets larger, the magnetic regime is restricted to smaller angles between  $t$  and  $B$ .



Figure 4.3.: Geometric visualization of the *tilt vector*  $t$ . When the vector is inside the unit sphere ( $t < 1$ ), the system is in the Type-I regime. When the vector is outside the unit sphere ( $t > 1$ ), the system is in the Type-II regime. When the projection onto the  $xy$ -plane is on the unit disc, the system is in the *magnetic* regime, otherwise it is in the *electric* regime. Shown is Type-I tilt (blue), Type-II magnetic (red), and Type-II electric (green). Figure inspired by Tchoumakov, Civelli, and Goerbig [TCG16].

We now return to solving Eq. (4.56), using the solution angle we just found. By insertion, and after some clean up, we get

$$(RH_B R - ER^2) |\tilde{\psi}\rangle = v_F A |\tilde{\psi}\rangle = 0, \quad (4.64)$$

with

$$\begin{aligned} A_{11} &= k_z(s + t_{\parallel}^s \gamma) - E/v_F \gamma, \\ A_{12} &= -s(ik_y + k_z t_{\perp} t_{\parallel} \gamma - k_x/\gamma - E/v_F \gamma t_{\perp}^s), \\ A_{21} &= s(ik_y - k_z t_{\perp} t_{\parallel} \gamma + k_x/\gamma + E/v_F \gamma t_{\perp}^s), \\ A_{22} &= -k_z(s - t_{\parallel}^s \gamma) - E/v_F \gamma. \end{aligned}$$

In order to simplify this further, absorb  $\gamma t_{\perp}^s(k_z t_{\parallel}^s - E/v_F)$  into  $k_x$ . Thus, let

$$\begin{aligned} \tilde{k}_x &= k_x/\gamma + \gamma t_{\perp}^s(E/v_F - k_z t_{\parallel}^s), \\ \tilde{k}_y &= k_y, \\ \tilde{k}_z &= k_z. \end{aligned} \tag{4.65}$$

These expressions warrant some explanation, as the Lorentz boost is of course

$$\tilde{k}_x = \gamma(k_x - t_{\perp} \frac{E}{v_F}), \tag{4.66}$$

where we used the four momentum  $p^{\mu} = (\frac{E}{v_F}, \mathbf{p})$ , and the effective speed of light  $v_F$ . It can thus look like our expression in Eq. (4.65) is wrong. The solution to this seeming inconsistency is that the proper energy is not  $\frac{E}{v_F} - k_z t_{\parallel}$ , but rather  $\frac{E}{v_F} - k_z t_{\parallel} - k_x t_{\perp}$ .

**Something smart here, or remove discussion**

The eigenvalue equation is simply

$$\left[ \gamma \left( t_{\parallel}^s \tilde{k}_z - \frac{E}{v_F} \right) \mathcal{I}_2 + s \tilde{k}_i \sigma_i \right] |\tilde{\psi}\rangle = 0. \tag{4.67}$$

If we now again introduce the magnetic field using minimal coupling,  $k_x \rightarrow k_x - eyB_z$ , this corresponds to an effective field  $B_z/\gamma$  in the new quantities. This is because  $\tilde{k}_x \rightarrow \tilde{k}_x - eyB_z/\gamma$ . The Landau level equation thus reads

$$\left[ \sum_i s v_F (\tilde{k}_i + e \tilde{A}_i) \sigma_i \right] |\tilde{\psi}\rangle = (E - t_{\parallel}^s v_F \tilde{k}_z) \gamma |\tilde{\psi}\rangle, \tag{4.68}$$

where  $\tilde{A} = -B_z/\gamma y \hat{x}$ . We may thus use directly the result for the untilted cone, Eq. (4.45), giving

$$(E - t_{\parallel}^s v_F \tilde{k}_z) \gamma = \text{sign}(m) v_F \sqrt{2|m|e \frac{B}{\gamma} + \tilde{k}_z^2}, \quad m \neq 0, \tag{4.69a}$$

$$(E - t_{\parallel}^s v_F \tilde{k}_z) \gamma = -s \tilde{k}_z v_F, \quad m = 0. \tag{4.69b}$$

Cleaning up, we get

$$E = t_{\parallel}^s v_F \tilde{k}_z + \text{sign}(m) v_F \sqrt{2|m| e \frac{B}{\gamma^3} + \tilde{k}_z^2 / \gamma^2}, \quad m \neq 0, \quad (4.70a)$$

$$E = \tilde{k}_z v_F \left( t_{\parallel}^s - s/\gamma \right), \quad m = 0. \quad (4.70b)$$

As the perpendicular tilt is increased,  $\gamma = 1/\sqrt{1 - t_{\perp}^2}$  diverges to infinity. With the trivial substitution  $\alpha = 1/\gamma$ , which goes to zero, this gets an intuitive interpretation. As the perpendicular tilt increases, the Landau levels converge towards  $t_{\parallel} v_F \tilde{k}_z$ . In particular, the separation between Landau levels is reduced by a factor  $\alpha^{3/2}$ . The effect of the tilt on the Landau levels is to squeeze the Landau levels together, and we will call the  $\alpha$  the *squeezing factor*. We note that when approaching the degree of tilt where we are no longer able to find a boost which enables us to solve for the Landau levels, i.e. when  $|t_{\perp}| \rightarrow 1$ , the squeezing factor goes to zero. As the tilt exceeds this limit, the squeezing factor is imaginary. The Landau level description is only valid for  $|t_{\perp}| < 1$ .

The energy levels of the tilted cone expressed in terms of the energy levels of the untilted cone

$$E = t_{\parallel}^s v_F k_z + \alpha E_{m, \alpha B}^0,$$

where  $E_{m, \alpha B}^0$  is the energy in the untilted case, with magnetic field  $\alpha B$ . Tilting of the Landau levels is induced by the parallel tilt component,  $t_{\parallel}$ . In fact, the Landau levels cross the Fermi level at the transition from Type-I to Type-II as well. The Landau levels are shown in Figure 4.4.

The eigenstate of

$$H = v_F \sigma^i (p_i + e A_i),$$

with  $A_i = -B_z y \delta_{ix}$ , given in the position basis, is

$$\phi_{kms}(\mathbf{r}) = \frac{e^{ik_x x} e^{ik_z z}}{\sqrt{L_x L_z}} \frac{e^{-\frac{(y - k_x l_B^2)^2}{2l_B^2}}}{\sqrt{\alpha_{k_z ms}^2 + 1}} \left( \frac{\frac{\alpha_{k_z ms}}{\sqrt{2^{M-1} (M-1)! \sqrt{\pi} l_B}} H_{M-1} \left( \frac{y - k_x l_B^2}{l_B} \right)}{\frac{1}{\sqrt{2^M M! \sqrt{\pi} l_B}} H_M \left( \frac{y - k_x l_B^2}{l_B} \right)} \right), \quad (4.71)$$

where capital letters indicate absolute value of corresponding quantity,  $M = |m|$ ,  $\mathbf{k} = (k_x, k_z)$ , and with the normalization factor

$$\alpha_{k_z ms} = \frac{-\sqrt{2eBM}}{\frac{E_{k_z ms}}{s v_F} - k_z}. \quad (4.72)$$

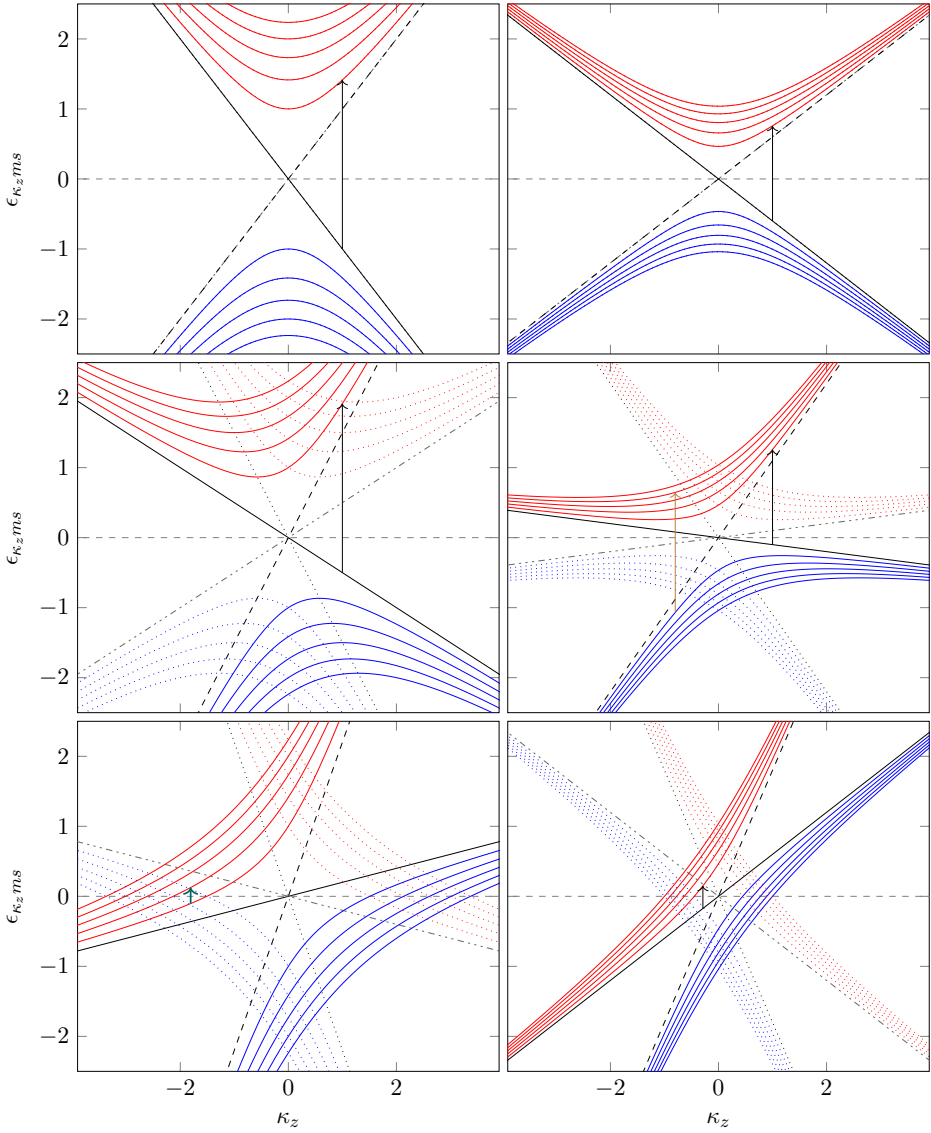


Figure 4.4.: Landau levels for different values of  $t_x, t_z$ . The top two rows show Type-I, while the lowest row shows Type-II. Left column shows  $t_x = 0$ , right column  $t_x = 0.64$  ( $\alpha = 0.6$ ). The rows show  $t_z = 0, 0.5, 1.2$ , from top to bottom. The dotted lines show the Landau levels with opposite sign of  $t_z$ , the dashed show the opposite chirality. The arrows indicate valid “transitions”, namely the  $0 \rightarrow 1$  interband in black,  $-1 \rightarrow 4$  interband in brown, and  $1 \rightarrow 2$  intraband in teal.



Taking care to keep track of boosted and rescaled quantites, the eigenstate in the boosted frame is

$$\tilde{\psi}(\tilde{\mathbf{r}}) = \frac{e^{i\tilde{k}_x\tilde{x}}e^{ik_zz}}{\sqrt{L_xL_z}} e^{-\frac{(\tilde{y}-\tilde{k}_xl_{B'}^2)^2}{2l_{B'}^2}} \left( \frac{\alpha_{\tilde{k}_zms}}{\sqrt{2^{M-1}(M-1)!\sqrt{\pi}l_{B'}}} H_{M-1}\left(\frac{\tilde{y}-\tilde{k}_xl_{B'}^2}{l_{B'}}\right) \right. \\ \left. \frac{1}{\sqrt{2^M M! \sqrt{\pi} l_{B'}}} H_M\left(\frac{\tilde{y}-\tilde{k}_xl_{B'}^2}{l_{B'}}\right) \right), \quad (4.73)$$

with

$$\alpha_{\tilde{k}_zms} = \frac{-\sqrt{2eB'M}}{\gamma \frac{E_{\tilde{k}_zms} - t_{\parallel}^s v_F \tilde{k}_z}{sv_F} - \tilde{k}_z}, \quad (4.74)$$

where

$$B' = B\alpha.$$

We note that  $\alpha_{k_z0s} = 0$ , so using the explicit form of the energy we may simplify the expression some. For  $m \neq 0$

$$\frac{E_{k_zms} - t_{\parallel}^s v_F k_z}{sv_F} = \text{sign}(m) s \alpha \sqrt{2MeB\alpha + k_z^2}$$

and thus

$$\alpha_{k_zms} = \frac{-\sqrt{\alpha M}}{\text{sign}(m) s \sqrt{\alpha M + \kappa^2} - \kappa} \quad (4.75)$$

where we defined the dimensionless  $\kappa_z = \sqrt{2eB}k_z$ .

The original eigenstate  $|\psi\rangle = 1/\mathcal{N}e^{\theta/2\sigma_x}|\tilde{\psi}\rangle$  of the tilted system is easily found. Reinserting explicitly, in the boosted frame, that

$$\tilde{k}_x = \alpha k_x + \frac{t_{\perp}^s}{\alpha} (E_{k_zms}/v_F - k_z t_{\parallel}^s) = \alpha k_x + t_{\perp}^s \frac{E_{m,\alpha B}^0}{v_F}$$

and  $l_{B'} = \frac{l_B}{\sqrt{\alpha}}$  we define

$$\chi = \frac{y - \tilde{k}_xl_{B'}^2}{l_{B'}} = \sqrt{\alpha}(y - k_x l_B^2)/l_B + \frac{t_{\perp}^s l_B}{\sqrt{\alpha} v_F} E_{m,\alpha B}^0, \quad (4.76)$$

which is the argument of the Hermite polynomials. For later convenience, let us explicitly define

$$\tilde{\phi}_{kms}(\tilde{\mathbf{r}}) = \frac{e^{i\tilde{k}_x\tilde{x}+ik_zz}}{\sqrt{L_xL_z}} \underbrace{\frac{e^{-\frac{1}{2}\chi^2} \sqrt[4]{\alpha}}{\sqrt{\alpha_{\tilde{k}_zms}^2 + 1}} \left( \frac{\alpha_{\tilde{k}_zms}}{\sqrt{2^{M-1}(M-1)!\sqrt{\pi}l_B}} H_{M-1}(\chi) \right.} \\ \left. \frac{1}{\sqrt{2^M M! \sqrt{\pi} l_B}} H_M(\chi) \right)}_{\tilde{\phi}_{kms}(y)}, \quad (4.77)$$

and thus

$$\tilde{\phi}_{kms}(y) = e^{-\frac{1}{2}\chi^2} \begin{pmatrix} a_{kms} H_{M-1}(\chi) \\ b_{kms} H_M(\chi) \end{pmatrix}, \quad (4.78)$$

with

$$a_{kms} = \frac{\alpha_{\tilde{k}_z ms} \sqrt[4]{\alpha}}{\sqrt{\alpha_{\tilde{k}_z ms}^2 + 1} \sqrt{2^{M-1}(M-1)!} \sqrt{\pi} l_B}, \quad (4.79)$$

$$b_{kms} = \frac{\sqrt[4]{\alpha}}{\sqrt{\alpha_{\tilde{k}_z ms}^2 + 1} \sqrt{2^M M!} \sqrt{\pi} l_B}. \quad (4.80)$$

We proceed now to find the normalization factor  $\mathcal{N}$ , as it will become necessary in later steps. Recall that

$$|\psi\rangle = \frac{1}{\mathcal{N}} e^{\theta/2\sigma_x} |\tilde{\psi}\rangle,$$

and

$$e^{\theta\sigma_x} = \frac{1}{\alpha} \begin{pmatrix} 1 & -st_{\perp}^s \\ -st_{\perp}^s & 1 \end{pmatrix}.$$

The upper and lower part of the spinor are orthogonal, thus we have

$$\langle\psi|\psi\rangle = \frac{1}{\mathcal{N}^* \mathcal{N}} \frac{1}{\alpha} \langle\tilde{\psi}|\tilde{\psi}\rangle = 1 \implies \mathcal{N}^* \mathcal{N} = \frac{1}{\alpha}. \quad (4.81)$$

We choose  $\mathcal{N} = \alpha^{-\frac{1}{2}}$ .

## Summary 2

*The tilted Hamiltonian*

$$H = v_F t^s p + s v_F p \sigma$$

*in a magnetic field  $B$  has the Landau levels*

$$E = \begin{cases} t_{\parallel}^s v_F k_z + \text{sign}(m) v_F \alpha \sqrt{2eB\alpha M + k_z^2} & m \neq 0, \\ t_{\parallel}^s v_F k_z - s\alpha v_F k_z & m = 0, \end{cases}$$

*with the squeezing factor  $\alpha = \sqrt{1 - t_{\perp}^2}$ . The associated eigenstates in the position basis are*

$$\psi(r) = \sqrt{\alpha} e^{\theta/2\sigma_x} \frac{e^{ik_x x + ik_z z}}{\sqrt{L_x L_z}} \tilde{\psi}(y),$$

where

$$\tilde{\psi}(y) = e^{-\frac{1}{2}\chi^2} \begin{pmatrix} a_{k_z m s} H_{M-1}(\chi) \\ b_{k_z m s} H_M(\chi) \end{pmatrix},$$

where we have defined  $\chi = \sqrt{\alpha} \frac{y - k_x l_B^2}{l_B} + \frac{t^s l_B}{\sqrt{\alpha} v_F} E_{m, \alpha B}^0$  and  $a_{k_z m s}, b_{k_z m s}$  are given in Eqs. (4.79, 4.80).

### 4.3. Analytical expression for the response function

We will here find analytical expressions for the current operator  $J^i(\omega, \mathbf{q})$  and stress-energy tensor  $T^{j0}(\omega, \mathbf{q})$ , needed to calculate the correlation function. The fields are given, in the position basis, by

$$\psi = \sum_{kn} \langle \mathbf{r} | kns \rangle a_{kns}(t) = \sum_{kn} \phi_{kns}(\mathbf{r}) a_{kns}(t), \quad (4.82)$$

$$\psi^\dagger = \sum_{kn} \langle kns | \mathbf{r} \rangle a_{kns}^\dagger(t) = \sum_{kn} \phi_{kns}^*(\mathbf{r}) a_{kns}^\dagger(t). \quad (4.83)$$

**Is the asterisk supposed to be dagger?  $\phi^* \rightarrow \phi^\dagger$  as it must be transpose??**

Here  $a_\lambda^\dagger(t) = \exp(iE_\lambda t) a_\lambda^\dagger$  and  $a_\lambda^\dagger, a_\lambda$  are the creation and annihilation operators of the state with quantum numbers  $\lambda$ .

#### 4.3.1. Expressions for the operators

##### The current operator

The current operator  $\hat{J} = e\hat{v}$ , where  $\hat{v}$  is the velocity operator. Using the relation of Heisenberg operators  $\dot{A} = -i[A, H]$  [SN17], for the operator  $A$  and Hamiltonian  $H$ , and with the minimal coupling  $\mathbf{p}^B = \mathbf{p} + e\mathbf{A}$ ,

$$\mathbf{v} = \dot{\mathbf{r}} = -i[\mathbf{r}, H] \quad (4.84)$$

$$= -iv_F(s\sigma^i + (t^s)^i) [\mathbf{r}, p_i^B] \quad (4.85)$$

$$= v_F(s\sigma + t^s), \quad (4.86)$$

where we used the canonical commutation relation  $[r_i, p_j] = i\delta_{ij}$  and the commutation between the position operator and magnetic potential  $\mathbf{A}$ . We thus get

$$J^x = \psi^\dagger \hat{J}^x \psi = sv_F e \sum_{km, ln} \phi_{kms}^*(\mathbf{r}) (\sigma^x + st_x^s) \phi_{lns}(\mathbf{r}) a_{kms}^\dagger(t) a_{lns}(t). \quad (4.87)$$

### The energy-momentum tensor

The *canonical* energy-momentum tensor is generally defined by

$$T^{\mu\nu} = \frac{\delta\mathcal{L}}{\delta(\partial_\mu\phi_i)}\partial_\nu\phi_i - \eta^{\mu\nu}\mathcal{L}, \quad (4.88)$$

where the index  $i$  runs over the types of fields. This definition is correct for commuting fields, however, for non-commuting fields like ours, this formula is slightly wrong. This is often overlooked in many textbooks and papers, so we will here elucidate the issue to some degree. While a proper derivation requires the use of Grassman variables and defining left and right derivation, which we will not do here, some simple considerations help in understanding the issue. In the standard textbook derivation of the canonical energy-momentum tensor, one expands the total derivative of the Lagrangian  $\mathcal{L}(\psi_i, \partial\psi_i)$  in terms of the fields,

$$\frac{d\mathcal{L}(\psi_i, \partial\psi_i)}{dx_\nu} \equiv d^\nu\mathcal{L} = \frac{\partial\mathcal{L}}{\partial(\partial_\mu\phi_i)}\frac{\partial(\partial_\mu\psi_i)}{\partial x_\nu} + \frac{\partial\mathcal{L}}{\partial\psi_i}\frac{\partial\psi_i}{\partial x_\nu}. \quad (4.89)$$

This expansion, however, ignores the non-commutative nature of the fields. For concreteness, consider  $\psi_i = \bar{\psi}$ . Heuristically, the correct expression would be obtained by reordering the factors in the two terms. By naively employing Eq. (4.88), the resulting canonical energy-momentum tensor of the Dirac theory would be

$$T^{\mu\nu} = \frac{\delta\mathcal{L}}{\delta(\partial_\mu\bar{\psi})}\partial^\nu\bar{\psi} + \frac{\delta\mathcal{L}}{\delta(\partial_\mu\psi)}\partial^\nu\psi - \eta^{\mu\nu}\mathcal{L}, \quad (4.90)$$

while the correct form is [IZ80, Eq. 3-153]

$$T^{\mu\nu} = \partial^\nu\bar{\psi}\frac{\delta\mathcal{L}}{\delta(\partial_\mu\bar{\psi})} + \frac{\delta\mathcal{L}}{\delta(\partial_\mu\psi)}\partial^\nu\psi - \eta^{\mu\nu}\mathcal{L}. \quad (4.91)$$

The untitled Weyl Hamiltonian

$$H_s = s\sigma^i p_i, \quad (4.92)$$

where natural units ( $c = v_F = 1$ ) are used, to have the expressions explicitly match those of QFT literature; the associated Lagrange density [Kac18]

$$\mathcal{L}_s = i\phi^\dagger\sigma_s^\mu\partial_\mu\phi, \quad (4.93)$$

with  $\sigma_s^\mu = (I_2, s\sigma)$ , i.e.  $\sigma_{s=1}^\mu = \sigma^\mu, \sigma_{s=-1}^\mu = \bar{\sigma}^\mu$  known from the Dirac solutions. This is seen directly from the Dirac Lagrangian  $i\bar{\psi}\not{\partial}\psi$  by taking

$\psi = (\phi_L, \phi_R)^T$  and setting, for example,  $\phi_R = 0$ . Symmetrize in daggered and undaggered fields <sup>6</sup>

$$\mathcal{L}_s = \frac{i}{2}(\phi^\dagger \sigma_s^\mu \partial_\mu \phi - \partial_\mu \phi^\dagger \sigma_s^\mu \phi), \quad (4.94)$$

which will prove more convenient to work with. From the definition of the canonical energy-momentum tensor for Dirac fields Eq. (4.91), one gets

$$T^{\mu\nu} = \frac{i}{2}(\phi^\dagger \sigma_s^\mu \partial_\nu \phi - \partial_\nu \phi^\dagger \sigma_s^\mu \phi - \eta^{\mu\nu} \mathcal{L}). \quad (4.95)$$

Consider now the tilted Weyl Hamiltonian

$$H_s = s\sigma^i k_i + (t^s)^i p_i. \quad (4.96)$$

Exactly analogous to the treatment of van der Wurff and Stoof [vdWS19] for the full  $4 \times 4$  tilted Dirac Lagrangian, absorb the tilt term into the Pauli matrices, giving the Lagrangian density

$$\mathcal{L}_s = i\phi^\dagger \tilde{\sigma}_s^\mu \partial_\mu \phi, \quad (4.97)$$

where  $\tilde{\sigma}_s^\mu = \sigma_s^\mu + (t^s)^\mu$ ,  $(t^s)^\mu = (0, t^s)$ . The corresponding energy-momentum tensor, after again symmetrizing in the fields,

$$T^{\mu\nu} = \frac{i}{2}(\phi^\dagger \tilde{\sigma}_s^\mu \partial_\nu \phi - \partial_\nu \phi^\dagger \tilde{\sigma}_s^\mu \phi - \eta^{\mu\nu} \mathcal{L}). \quad (4.98)$$

Reintroducing the explicit effective speed of light  $v_F$  and recalling  $\partial_0 = \partial_t/v_F$  this gives

$$\begin{aligned} T^{y0}(t, r) = & \frac{1}{2} \sum_{km, ln} \phi_{kms}^*(r) (s\sigma^y + (t^s)^y) \phi_{lns}(r) \\ & \times \left[ a_{kms}^\dagger(t) i \partial_t a_{lns}(t) - i \left( \partial_t a_{kms}^\dagger(t) \right) a_{lns}(t) - 2\mu a_{kms}^\dagger(t) a_{lns}(t) \right]. \end{aligned} \quad (4.99)$$

Here, also a non-zero potential  $\mu$  is included by hand<sup>7</sup>,

**The footnote makes the definition sound much like heat current (see Mahan eq. 3.484)**

<sup>6</sup>The Lagrangian itself is unphysical, and we may transform it in any way that leaves the action  $\int \mathcal{L}$  invariant.

<sup>7</sup>This is of course in no way a rigorous treatment of the chemical potential. Heuristically, one may argue that as the  $T^{y0}$  component is to be regarded as the energy flux, the contribution from chemical potential should be the chemical potential multiplied by the particle velocity operator.

similar to what was done in Arjona, Chernodub, and Vozmediano [ACV19]. Our final result will be given at zero potential, however, it is included in the calculations as it is later interesting to consider small deviations from zero chemical potential. Recalling the time dependence of  $a(t), a^\dagger(t)$  we have that

$$i\partial_t a_\lambda(t) = E_\lambda a_\lambda, \quad i\partial_t a_\lambda^\dagger(t) = -E_\lambda a_\lambda^\dagger,$$

which further simplifies the expression.

### Summary 3

*The current- and energy-momentum tensor operator are*

$$J^x = sv_F e \sum_{km,ln} \phi_{kms}^*(r) (\sigma^x + st_x^s) \phi_{lns}(r) a_{kms}^\dagger(t) a_{lns}(t), \quad (4.100)$$

$$\begin{aligned} T^{y0}(t, r) &= \frac{1}{2} \sum_{km,ln} \phi_{kms}^*(r) (s\sigma^y + (t^s)^y) \phi_{lns}(r) \\ &\times [E_{k_zms} + E_{l_zns} - 2\mu] a_{kms}^\dagger(t) a_{lns}(t). \end{aligned} \quad (4.101)$$

#### 4.3.2. Response function in momentum space

Fourier transforming the position gives

$$J^x(t, q) = \sum_{km,ln} J_{kms,lns}^x(q) a_{kms}^\dagger(t) a_{lns}(t), \quad (4.102)$$

$$T^{y0}(t, -q) = \sum_{km,ln} T_{kms,lns}^{y0}(q) a_{kms}^\dagger(t) a_{lns}(t), \quad (4.103)$$

where the matrix elements in momentum space are given by

$$J_{kms,lns}^x(q) = \int dr e^{-iqr} sv_F e \phi_{kms}^*(r) (\sigma^x + st_x^s) \phi_{lns}(r), \quad (4.104)$$

$$T_{kms,lns}^{y0}(q) = \frac{1}{2} \int dr e^{iqr} \phi_{kms}^*(r) (s\sigma^y + (t^s)^y) (E_{k_zms} + E_{l_zns} - 2\mu) \phi_{lns}(r). \quad (4.105)$$

Note that as  $T^{y0}(t, -q)$  will be used later, we here for convenience included the sign into the definition of the matrix element  $T_{kms,lns}^{y0}$ , as is reflected in the sign of the exponent of Eq. (4.105).

As was noted earlier, the eigenvectors are plane waves in the  $x, z$ -directions, and the non-trivial part is the  $y$ -dependent  $\phi(y)$ . Thus, we want to express

these matrix elements in terms of  $\phi(y)$ . The sum over  $l$  in Eq. (4.102) can be replaced by an integration, as it is a good quantum number. As usual, the measure in the integration is given by the density of states in momentum space, the well known  $L_i/2\pi$ , with  $L_i$  being the length of the system in the  $i$ -direction.

$$\begin{aligned} J^x(t, q) &= \sum_{km, n} \int dl_x dl_z \frac{L_x L_z}{4\pi^2} J_{kms, lns}^x(q) a_{kms}^\dagger(t) a_{lns}(t) \\ &= \int dl_x dl_z \int dy e^{-iq_y y} \delta(l_x - k_x - q_x) \delta(l_z - k_z - q_z) \\ &\quad \times sv_F e \phi_{kms}^*(y) \sigma^x \phi_{lns}(y). \end{aligned} \quad (4.106)$$

The Dirac delta functions appeared from taking the integrals from the matrix element over  $x$  and  $z$ , as the integrand in these variables was only plane waves. The exact same procedure may be done for the stress-energy tensor in Eq. (4.103). Eliminating  $l$  by doing the integrals yields

$$J^x(t, q) = \sum_{k, mn} J_{kms, k+qn_s}^x(q) a_{kms}^\dagger(t) a_{k+qn_s}(t), \quad (4.107)$$

$$T^{y0}(t, -q) = \sum_{\kappa, \mu\nu} T_{\kappa\mu s, \kappa-q, \nu s}^{y0}(q) a_{\kappa\mu s}^\dagger(t) a_{\kappa-q\nu s}(t), \quad (4.108)$$

where  $q = (q_x, q_z)$ . Keeping in mind that  $a_\lambda^\dagger(t) = e^{iE_\lambda t/\hbar} a_\lambda^\dagger$ , and that

$$\left\langle \left[ a_{kms}^\dagger a_{k+qn_s}, a_{\kappa\mu s}^\dagger a_{\kappa-q\nu s} \right] \right\rangle = \delta_{k, \kappa-q} \delta_{m, \nu} \delta_{k+q, \kappa} \delta_{n, \mu} [n_{kms} - n_{k+qn_s}], \quad (4.109)$$

where  $n_{kms}$  is the Fermi-Dirac distribution, the correlation function is given by

$$\begin{aligned} \left\langle \left[ J^x(t, q), T^{y0}(t', -q) \right] \right\rangle &= \sum_{kmn} e^{i(E_{kms} - E_{kz+qzns})t} e^{i(E_{kz+qzns} - E_{kzms})t'} \\ &\quad \times J_{kms, k+qn_s}^x(q) T_{k+qn_s, kms}^{y0}(q) [n_{kms} - n_{k+qn_s}]. \end{aligned} \quad (4.110)$$

We are now ready to find the correlation function  $\chi^{xy}$  given in Eq. (4.14)

$$\chi^{xy}(\omega, q) = \frac{-iv_F}{\mathcal{V}} \int dt e^{i\omega t} \int_{-\infty}^0 dt' \Theta(t) \left\langle \left[ J^x(t, q), T^{y0}(t', -q) \right] \right\rangle. \quad (4.111)$$

Introduce as usual a decay factor  $e^{-\eta(t-t')}$  to ensure convergence in the time integrals, and make a change of variables  $t' \rightarrow -t'$ . The integral part of

Eq. (4.111), ignoring everything without time dependence for clarity, is then

$$\begin{aligned} & \lim_{\eta \rightarrow 0} \int_0^\infty dt dt' e^{i(E_{k_z m s} - E_{k_z + q_z n s} + \omega + i\eta)t} e^{i(E_{k_z m s} - E_{k_z + q_z n s} + i\eta)t'} \\ &= \lim_{\eta \rightarrow 0} i [E_{k_z m s} - E_{k_z + q_z n s} + \omega + i\eta]^{-1} i [E_{k_z m s} - E_{k_z + q_z n s} + i\eta]^{-1}. \end{aligned} \quad (4.112)$$

The response function then reads

$$\begin{aligned} \chi^{xy}(\omega, q) &= \frac{iv_F}{\mathcal{V}} \lim_{\eta \rightarrow 0} \sum_{kmn} J_{kms, k+qns}^x(q) T_{k+qns, kms}^{y0}(q) [n_{kms} - n_{k+qns}] \\ & \quad [E_{k_z m s} - E_{k_z + q_z n s} + \omega + i\eta]^{-1} [E_{k_z m s} - E_{k_z + q_z n s} + i\eta]^{-1}, \end{aligned} \quad (4.113)$$

where the matrix elements are

$$J_{kms, k+qns}^x(q) = \int dy e^{-iq_y y} s v_F e \phi_{kms}^*(y) (\sigma^x + s t_x^s) \phi_{k+qns}(y), \quad (4.114)$$

$$\begin{aligned} T_{k+qns, kms}^{y0}(q) &= \frac{1}{2} \int dy e^{iq_y y} \phi_{k+qns}^*(y) (s \sigma^y + t_y^s) \phi_{kms}(y) \\ & \quad \times (E_{k_z m s} + E_{k_z + q_z n s} - 2\mu). \end{aligned} \quad (4.115)$$

We will for the rest of the calculation consider  $\eta \rightarrow 0$ . The calculation was also done with a finite impurity  $\eta$ , which gives no important contributions.

We will consider the response function in the static limit  $\lim_{\omega \rightarrow 0} \lim_{q \rightarrow 0}$ . We may use the property of the limit of a product of functions  $\lim A \cdot B = \lim A \cdot \lim B$  to write

$$\lim_{\omega \rightarrow 0} \lim_{q \rightarrow 0} \chi^{xy}(\omega, q) = \frac{iv_F}{\mathcal{V}} \sum_{kmn} \frac{J_{kms, kns}^x T_{kns, kms}^{y0} [n_{kms} - n_{kns}]}{(E_{k_z m s} - E_{k_z n s})(E_{k_z m s} - E_{k_z n s})}, \quad (4.116)$$

where the current and energy-momentum tensor matrix elements are the expression given in Eqs. (4.114) and (4.115) taken in the limit. Furthermore, we will take the zero temperature limit  $T \rightarrow 0$ , where  $n_{kms} = \theta(\mu - E_{k_z m s})$ .

## 4.4. Response of an untilted cone

### 4.4.1. Explicit form of the matrix elements

Compared to the procedure used by Arjona, Chernodub, and Vozmediano[ACV19], taking the limit of each matrix element by itself greatly simplifies the calculation.



Let

$$\phi_{kms}(y) = e^{-\frac{(y-k_x l_B^2)^2}{2l_B^2}} \begin{pmatrix} a_{k_z ms} H_{M-1} \left( \frac{y-k_x l_B^2}{l_B} \right) \\ b_{k_z ms} H_M \left( \frac{y-k_x l_B^2}{l_B} \right) \end{pmatrix}, \quad (4.117)$$

thus implicitly defining the prefactors  $a_{k_z ms}, b_{k_z ms}$ .

**These are already explicitly defined**

### The current operator

The matrix element

$$J_{kms; k+qns}(q) \quad (4.118)$$

$$\begin{aligned} &= \int dy e^{-iq_y y} s v_F e \phi_{kms}^*(y) \sigma^x \phi_{k+qns}(y) \\ &= s v_F e \int dy \exp \left\{ -iq_y y - \frac{(y-k_x l_B^2)^2 + (y-(k_x+q_x)l_B^2)^2}{2l_B^2} \right\} \end{aligned} \quad (4.119)$$

$$\begin{aligned} &\left[ a_{k_z ms} b_{k_z+q_z ns} H_{M-1} \left( \frac{y-k_x l_B^2}{l_B} \right) H_N \left( \frac{y-(k_x+q_x)l_B^2}{l_B} \right) \right. \\ &+ \left. b_{k_z ms} a_{k_z+q_z ns} H_M \left( \frac{y-k_x l_B^2}{l_B} \right) H_{N-1} \left( \frac{y-(k_x+q_x)l_B^2}{l_B} \right) \right] \\ &= s v_F e \int dy \exp \left[ - \left\{ y + \frac{l_B^2}{2} (iq_y - 2k_x - q_x) \right\}^2 / l_B^2 \right] \end{aligned} \quad (4.120)$$

$$\begin{aligned} &\exp \left[ -\frac{1}{4} l_B^2 \{ q_y^2 + 2i(2k_x + q_x)q_y \} \right] \\ &\left[ a_{k_z ms} b_{k_z+q_z ns} H_{M-1} \left( \frac{y-k_x l_B^2}{l_B} \right) H_N \left( \frac{y-(k_x+q_x)l_B^2}{l_B} \right) \right. \\ &+ \left. b_{k_z ms} a_{k_z+q_z ns} H_M \left( \frac{y-k_x l_B^2}{l_B} \right) H_{N-1} \left( \frac{y-(k_x+q_x)l_B^2}{l_B} \right) \right], \end{aligned}$$

where we completed the square in the exponent, to get the form  $e^{-a(y+b)^2}$ . Also,  $q_y = (q_x, q_y)$ , was introduced, not to be confused with  $q = (q_x, q_z)$ . By

introducing  $\tilde{y} = \frac{y}{l_B} + l_B(iq_y - q_x - 2k_x)/2$  the matrix element may be rewritten

$$J_{kms;k+qns}(q) = sv_F e \int d\tilde{y} l_B \exp \left[ -\frac{1}{4} l_B^2 \left\{ q_y^2 + 2i(2k_x + q_x)q_y \right\} \right] e^{-\tilde{y}^2} \left[ a_{k_z ms} b_{k_z+q_z ns} H_{M-1} \left( \tilde{y} + \frac{l_B}{2}(q_x - iq_y) \right) H_N \left( \tilde{y} + \frac{l_B}{2}(-q_x - iq_y) \right) + b_{k_z ms} a_{k_z+q_z ns} H_M \left( \tilde{y} + \frac{l_B}{2}(q_x - iq_y) \right) H_{N-1} \left( \tilde{y} + \frac{l_B}{2}(-q_x - iq_y) \right) \right]. \quad (4.121)$$

Taking the limit we find the simple form

$$J_{kms;kns} = J_{k_z mns} = sv_F e l_B \int d\tilde{y} e^{-\tilde{y}^2} [a_{k_z ms} b_{k_z ns} H_{M-1}(\tilde{y}) H_N(\tilde{y}) + m \leftrightarrow n], \quad (4.122)$$

where  $m \leftrightarrow n$  are the repetition of the previous term under the interchange of  $m, n$ . We employ now the orthogonality relation of the Hermite polynomials [Olv+, Table 18.3.1]

$$\int_{-\infty}^{\infty} dx e^{-x^2} H_n(x) H_m(x) = \sqrt{\pi} 2^n n! \delta_{n,m} \quad (4.123)$$

to write

$$J_{kms;kns} = J_{k_z mns} = sv_F e l_B \sqrt{\pi} (a_{k_z ms} b_{k_z ns} \delta_{M-1,N} 2^N N! + m \leftrightarrow n). \quad (4.124)$$

With

$$a_{kms} b_{kns} = \frac{\alpha_{k_z ms}}{\sqrt{\alpha_{k_z ms}^2 + 1} \sqrt{\alpha_{k_z ns}^2 + 1}} \left[ 2^{N+M-1} (M-1)! N! \pi l_B^2 \right]^{-\frac{1}{2}}, \quad (4.125)$$

$$b_{kms} a_{kns} = \frac{\alpha_{k_z ns}}{\sqrt{\alpha_{k_z ms}^2 + 1} \sqrt{\alpha_{k_z ns}^2 + 1}} \left[ 2^{N+M-1} (N-1)! M! \pi l_B^2 \right]^{-\frac{1}{2}}. \quad (4.126)$$

we find explicitly

$$J_{kms;kns} = J_{k_z mns} = sv_F e \frac{\alpha_{k_z ms} \delta_{M-1,N} + \alpha_{k_z ns} \delta_{M,N-1}}{\sqrt{\alpha_{k_z ms}^2 + 1} \sqrt{\alpha_{k_z ns}^2 + 1}}. \quad (4.127)$$

### The stress-energy tensor operator

Consider now the matrix element of the energy-momentum tensor

$$T_{k+qns,kms}^{y0}(q) = \frac{1}{2} \int dy e^{iq_y y} \phi_{k+qns}^*(y) s \sigma^y (E_{k_z ms} + E_{k_z+q_z ns} - 2\mu) \phi_{kms}(y). \quad (4.128)$$

Recall that

$$\phi_{kms}(y) = e^{-\frac{(y-k_x l_B^2)^2}{2l_B^2}} \begin{pmatrix} a_{k_z ms} H_{M-1} \left( \frac{y-k_x l_B^2}{l_B} \right) \\ b_{k_z ms} H_M \left( \frac{y-k_x l_B^2}{l_B} \right) \end{pmatrix}. \quad (4.129)$$

The form of the integrand is very similar to the current matrix case, with the exchange of the Pauli matrix  $\sigma^x \rightarrow \sigma^y$ , thus giving an additional  $i$  and a negative sign to the first term.

$$\begin{aligned} T_{k+qns, kms}^{y0}(q) &= \frac{is}{2} (E_{k_z ms} + E_{k_z+q_z ns} - 2\mu) \int dy e^{iq_y y} e^{-\frac{(y-k_x l_B^2)^2 + (y-(k_x+q_x) l_B^2)^2}{2l_B^2}} \\ &\times [-a_{k_z+q_z ns} b_{k_z ms} H_{N-1}(\dots) H_M(\dots) + b_{k_z+q_z ns} a_{k_z ms} H_N(\dots) H_{M-1}(\dots)]. \end{aligned} \quad (4.130)$$

Taking care to note that the factor from the Fourier transform, that was  $e^{-iq_y y}$  in the current matrix element is here  $e^{+iq_y y}$ , a similar completion of the square is done

$$\begin{aligned} T_{k+qns, kms}^{y0}(q) &= \frac{is}{2} (E_{k_z ms} + E_{k_z+q_z ns} - 2\mu) \exp \left[ -\frac{l_B^2}{4} \{q_y^2 - 2iq_y(2k_x + q_x)\} \right] \\ &\times \int dy \exp \left[ -\left\{ y + \frac{l_B^2}{2} (-iq_y - 2k_x - q_x) \right\}^2 / l_B^2 \right] \\ &\times [-a_{k_z+q_z ns} b_{k_z ms} H_{N-1}(\dots) H_M(\dots) + b_{k_z+q_z ns} a_{k_z ms} H_N(\dots) H_{M-1}(\dots)]. \end{aligned} \quad (4.131)$$

The arguments of the Hermite polynomials have been dropped for brevity of notation. As before make a change of variables to get the integral on the form of the shifted orthogonality relation for the Hermite polynomials Eq. (4.159). Upon introducing  $\tilde{y} = \frac{y}{l_B} + l_B(-iq_y - q_x - 2k_x)/2$  the shifted orthogonality relation is used on the expression

$$\begin{aligned} T_{k+qns, kms}^{y0}(q) &= \frac{is}{2} (E_{k\mu s} + E_{\lambda\nu s} - 2\mu) \exp \left[ -\frac{l_B^2}{4} \{q_y^2 - 2iq_y(2k_x + q_x)\} \right] \\ &\int d\tilde{y} l_B e^{-\tilde{y}^2} \left[ -a_{k+qns} b_{kms} H_{N-1} \left( \tilde{y} + \frac{l_B}{2} (iq_y - q_x) \right) H_M \left( \tilde{y} + \frac{l_B}{2} (iq_y + q_x) \right) \right. \\ &\quad \left. + b_{k+qns} a_{kms} H_N \left( \tilde{y} + \frac{l_B}{2} (iq_y - q_x) \right) H_{M-1} \left( \tilde{y} + \frac{l_B}{2} (iq_y + q_x) \right) \right]. \end{aligned} \quad (4.132)$$

The terms in the integrand are exactly the same as in the current matrix element case, just in the reverse order and with  $q_y \rightarrow -q_y$ .

$$T_{kns, kms}^{y0}(q) = \frac{is}{2} \frac{(E_{k_z ms} + E_{k_z ns} - 2\mu)}{\sqrt{\alpha_{k_z ms}^2 + 1} \sqrt{\alpha_{k_z ns}^2 + 1}} (\alpha_{k_z ms} \delta_{M-1, N} - \alpha_{k_z ns} \delta_{M, N-1}). \quad (4.133)$$

#### Summary 4

For a untilted case, in the local limit  $q \rightarrow 0$ , we have the matrix elements

$$J_{kms; kns} = \Gamma_{k_z mns} \text{svfe}(\alpha_{k_z ms} \delta_{M-1, N} + m \leftrightarrow n), \quad (4.134)$$

$$T_{kns, kms}^{y0} = \frac{is \Gamma_{k_z mns}}{2} (E_{k_z ms} + E_{k_z ns} - 2\mu) (\alpha_{k_z ms} \delta_{M-1, N} - m \leftrightarrow n), \quad (4.135)$$

where  $m \leftrightarrow n$  represent the preceding term under the interchange of  $m, n$  and where we have defined  $\Gamma_{k_z mns} = [(\alpha_{k_z ms}^2 + 1)(\alpha_{k_z ns}^2 + 1)]^{-\frac{1}{2}}$ .

#### 4.4.2. Computing the reponse function

It is now finally possible to write out the entire response function. We begin by replacing the sum over  $k$  by an integral. Firstly, we will show that the sum over  $k_x$  is restricted; recall that the eigenfunctions are exponentially centered around  $y_0 = k_x l_B^2$ , which for a finite sample we expect to be restricted to  $0 \leq y_0 \leq L_y$ . This restricts the  $k_x$  sum to  $0 \leq k_x \leq L_y / l_B^2 = L_y eB /$ , resulting in the  $k_x$  summation giving a finite degeneracy contribution [Ton, Ch. 1.4.1; Lin17], as the integrand is independent of  $k_x$ .

$$\sum_k = \sum_{k_x=0}^{L_y eB /} \sum_{k_z} \rightarrow \frac{L_x L_z}{(2\pi)^2} \int_0^{L_y eB /} dk_x \int dk_z \quad (4.136)$$

$$= \frac{\mathcal{V} eB}{(2\pi)^2} \int dk_z. \quad (4.137)$$

Recall the response function

$$\chi^{xy}(\omega, q) = \sum_{k, mn} \frac{1}{\mathcal{V}} \frac{iv_F J_{kms, k+qns}^x(q) T_{k+qns, kms}^{y0}(q) [n_{kms} - n_{k+qns}]}{(E_{k_z ms} - E_{k_z + q_z ns})(E_{k_z ms} - E_{k_z + q_z ns} + \omega)}. \quad (4.138)$$

Firstly, introduce the dimensionless quantities  $\kappa_z \sqrt{2eB} = k_z, \epsilon_{k_z m s} v_F \sqrt{2eB} = E_{k_z m s}$ , in order to facilitate solving the integral over  $k_z$ . Collecting dimensionfull quantities, the response function reads

$$\lim_{\omega \rightarrow 0} \lim_{q \rightarrow 0} \chi^{xy} = -\frac{e^2 v_F B}{2(2\pi)^2} \sum_{mn} \int d\kappa_z [n_{\kappa_z m s} - n_{\kappa_z n s}] [(\alpha_{\kappa_z m s}^2 + 1)(\alpha_{\kappa_z n s}^2 + 1)]^{-1} \times \frac{(\epsilon_{\kappa_z m s} + \epsilon_{\kappa_z n s})(\alpha_{\kappa_z m s}^2 \delta_{M-1, N} - \alpha_{\kappa_z n s}^2 \delta_{N-1, M})}{(\epsilon_{\kappa_z m s} - \epsilon_{\kappa_z n s})^2}. \quad (4.139)$$

Let us now define

$$\xi(\kappa_z) = \frac{[n_{\kappa_z m s} - n_{\kappa_z + q n s}] [(\alpha_{\kappa_z m s}^2 + 1)(\alpha_{\kappa_z + q n s}^2 + 1)]^{-1}}{(\epsilon_{\kappa_z m s} - \epsilon_{\kappa_z + q n s})(\epsilon_{\kappa_z m s} - \epsilon_{\kappa_z + q n s} + \frac{\omega}{v_F \sqrt{2eB}})}. \quad (4.140)$$

As is shown in table 4.1,  $\xi(\kappa_z)$  is odd under interchange of  $m, n$  and inversion of  $\kappa_z$ .

#### Clean up. Is it inversion or sign flip or what?

Using this, we may simplify our expressions some. In the last term of Eq. (4.139), relabel the summation indices  $m \leftrightarrow n$ , and then use that  $\xi$  is odd under interchange of  $m, n$ . This renders the two terms equal, and we may consider

$$\alpha_{\kappa_z m s}^2 \delta_{M-1, N} - \alpha_{\kappa_z n s}^2 \delta_{N-1, M} \rightarrow 2\alpha_{\kappa_z m s}^2 \delta_{M-1, N}.$$

The final expression is then

$$\lim_{\omega \rightarrow 0} \lim_{q \rightarrow 0} \chi^{xy} = -\frac{e^2 v_F B}{(2\pi)^2} \sum_{\substack{mn \\ N=M-1}} \int d\kappa_z \xi(\kappa_z) (\epsilon_{\kappa_z m s} + \epsilon_{\kappa_z n s} - 2\mu) \alpha_{\kappa_z m s}^2. \quad (4.141)$$

Transformation	$\xi(\kappa_z)$	$\epsilon_{\kappa_z m s}$	$\alpha_{\kappa_z m s}$
$(m, n, \kappa_z) \mapsto (-m, -n, -\kappa_z)$	-1	-1	-1
$(\kappa_z, s) \mapsto (-\kappa_z, -s)$	+1	+1	-1
$(m, n) \mapsto (n, m)$	-1		

Table 4.1.: Sign change of factors under various transformations. Note that  $\xi(\kappa_z)$  is taken in the limit  $\omega \rightarrow 0, q \rightarrow 0$ .

Before solving the integral, we note that in addition to the

say the word diatomic?

$N = M - 1$  selection rule of the sum, the factor with the distributions  $n_{\kappa_z m s} - n_{\kappa_z n s}$  impose further restrictions on which transitions are energetically allowed. We consider the limit  $T \rightarrow 0$

**something about the Luttinger in this limit? I.e. the fact we get finite result in  $T \rightarrow 0$  is the interesting thing about this result**

, where the distributions take the form of step functions,  $n_{\kappa_z m s} \rightarrow \theta(-\epsilon_{\kappa_z m s})$ . As the sign of energy level  $m$ , for  $m \neq 0$ , is given by the sign of  $m$  itself, this gives a rather simple restriction on the sum. For the zeroth energy level, the sign of the energy is given by  $\text{sign}(-s\kappa_z)$ . The distribution factor is

$$n_{kms} - n_{kns} = \begin{cases} 0 & mn > 0 \text{ or } m, n = 0, \\ -\text{sign}(m) & m, n \neq 0, \\ -\text{sign}(m)\theta[\text{sign}(m)s\kappa_z] & n = 0. \end{cases} \quad (4.142)$$

Combining this with the selection rule  $N = M - 1$ , we see that the only allowed transitions are

$$M \rightarrow -N = -(M - 1), \quad -M \rightarrow N = (M - 1).$$

The last simplification we will make, is to note that the step function is odd under  $(m, n, \kappa_z) \rightarrow (-m, -n, -\kappa_z)$ , and likewise with  $\epsilon_{\kappa_z m s} - \epsilon_{\kappa_z n s}$ .

**is it supposed to be  $\epsilon + \epsilon$ ?**

In the case of zero chemical potential, the expression may be simplified further, by considering only  $-N \rightarrow M = N + 1$  transitions, adding a factor 2.

Lastly, we now show that the contributions from cones of opposite chirality  $s$  are the same. Under the transformation  $(\kappa_z, s) \mapsto (-\kappa_z, -s)$ , the product  $\kappa_z s$  is obviously invariant. Note that  $\epsilon_{\kappa_z m s}$  only depends on  $s$  and  $\kappa_z$  through the product  $\kappa_z s$ . While it is not the case for  $\alpha_{\kappa_z m s}$ , it is the case for its square. Consequently, the integrand is invariant under  $(\kappa_z, s) \mapsto (-\kappa_z, -s)$ . Similarly to the argumentation used above, as the integral goes over all  $\kappa_z$ , the integral is invariant under  $s \mapsto -s$ .

### Proposition 1

We have shown the following simplifications of Eq. (4.139):

- The contributions from the terms  $\alpha_{\kappa_z m s}^2 \delta_{M-1, N}$  and  $-\alpha_{\kappa_z n s}^2 \delta_{N-1, M}$  are equal, and we consider therefore only one of them, adding a degeneracy factor 2.
- The difference of the step functions takes the form Eq. (4.142), which limits the transitions to states with energies of opposite sign. For each

value of  $M, N$ , this means the only valid transitions are  $m = M, n = -N$  and  $m = -M, n = N$ .

- As the integrand is invariant under  $(m, n, \kappa_z) \mapsto (-m, -n, -\kappa_z)$ , we may consider only one of the transitions mentioned in the previous point, adding once again a degeneracy factor of 2.
- We lastly showed that the contribution is independent of the chirality  $s$ .

For zero chemical potential, the response function is

$$\lim_{\omega \rightarrow 0} \lim_{q \rightarrow 0} \chi^{xy} = -\frac{2e^2 v_F B}{(2\pi)^2} \sum_{N=0} \int d\kappa_z \xi(\kappa_z) (\epsilon_{\kappa_z m s} + \epsilon_{\kappa_z n s}) \alpha_{\kappa_z m s}^2 \Big|_{\substack{m=N+1 \\ n=-N}} \quad (4.143)$$

**Consider change sum to sum over  $i$  to  $N$**

where the integration limits are  $(-\infty, \infty)$  for  $N \neq 0$ ,  $(-\infty, 0)$  for  $N = 0, s = -1$ , and  $(0, \infty)$  for  $N = 0, s = 1$ .

Including only the first term of the sum, we find

$$\lim_{\omega \rightarrow 0} \lim_{q \rightarrow 0} \chi^{xy} = \frac{e^2 v_F B}{2(2\pi)^2 \hbar}, \quad (4.144)$$

where we have reinserted the explicit  $\hbar$ . Including contributions from the  $N$  lowest landau levels, one acquire additional numerical prefactors,

$$\lim_{\omega \rightarrow 0} \lim_{q \rightarrow 0} \chi^{xy} = \gamma_N \frac{e^2 v_F B}{2(2\pi)^2 \hbar}, \quad (4.145)$$

where the factor by analytical integration was found to be  $\gamma_0 = 1, \gamma_{20} \approx 2$ . Furthermore,  $\gamma_N$  goes like  $\log N$ . The first 300 contributions are shown in Figure 4.5.

Solving the integral analytically, we obtained the contribution from each term

$$\gamma_N - \gamma_{N-1} = 1 + 2N \left\{ 1 - (1 + N) \log\left(1 + \frac{1}{N}\right) \right\}, \quad N > 0. \quad (4.146)$$

The sum can be shown to equal the rather nasty expression

$$\begin{aligned} \gamma_N = \gamma_0 + \frac{1}{3} & \left( 6\zeta^{(1,0)}(-2, N+1) - 6\zeta^{(1,0)}(-2, N+2) + 6\zeta^{(1,0)}(-1, N+1) \right. \\ & \left. + 6\zeta^{(1,0)}(-1, N+2) + 12 \log(\xi) + 3N^2 + 6N - 1 \right), \end{aligned} \quad (4.147)$$

where  $\xi \approx 1.28243$  is Glaisher's constant. This expression goes like  $\log N$ .

**Series expand in  $x = \frac{1}{N}$**

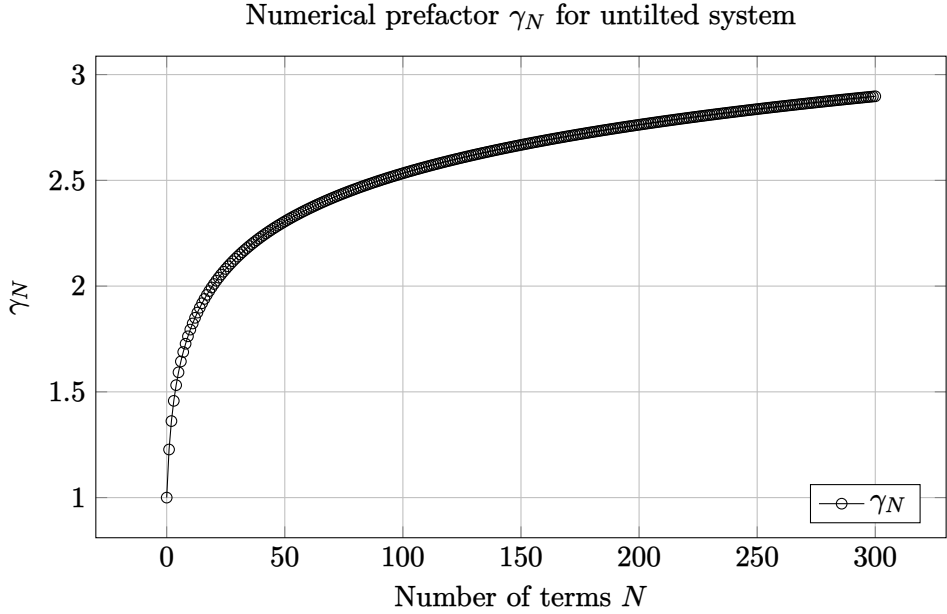


Figure 4.5.: Prefactor  $\gamma_N$  as a function of the number of included Landau levels  $N$ .

## 4.5. The response of a tilted cone

The generalization to the tilted cone follows the same fundamental steps as that of the untilted cone. However, there are important technical and physical complications that must be handled with care. In this section, we compute the response of a tilted cone, following the same structure as was used in the untilted case.

### 4.5.1. Explicit form of the matrix elements

We will here find an explicit form of the matrix elements, starting with the charge current

$$J_{kms;k+qns}(q) = \int dy e^{-iq_y y} s v_F e \phi_{kms}^*(y) (\sigma^x + s t_x^s) \phi_{k+qns}(y), \quad (4.148)$$



which we will split into two parts,  $J^{(1)}, J^{(2)}$ , corresponding to the terms  $\sigma_x$  and  $st_x^s$ . For the first part, we must find the matrix product  $\phi\sigma_x\phi$ . Recall from summary 2 that  $\phi = \sqrt{\alpha}e^{\theta/2\sigma_x}\tilde{\phi}$ , and thus we must find

$$\phi^*\sigma_x\phi = \alpha\tilde{\phi}^*e^{\theta/2\sigma_x}\sigma_x e^{\theta/2\sigma_x}\tilde{\phi} = \alpha\tilde{\phi}^*\sigma_x e^{\theta\sigma_x}\tilde{\phi}.$$

As defined in summary 2

$$\tilde{\phi} = e^{-\frac{1}{2}\chi^2} \begin{pmatrix} a_{kms}H_{M-1}(\chi) \\ b_{kms}H_M(\chi) \end{pmatrix}, \quad \chi = \sqrt{\alpha}\frac{y - k_x l_B^2}{l_B} + \frac{t_\perp^s l_B}{\sqrt{\alpha}v_F} E_{m,\alpha B}^0.$$

With the previously found solution  $\theta = -\tanh^{-1} t_x^s$ , we get the rather simple form

$$e^{\theta\sigma_x} = \begin{pmatrix} 1 & -st_x^s \\ -st_x^s & 1 \end{pmatrix} \frac{1}{\sqrt{1-t_x^s}}.$$

Where we in the untilted case only have off-diagonal contributions from  $\sigma_x$ , the hyperbolic rotation gives contributions on the diagonal as well.

First of all, let us consider the exponent of the product. We want to complete the square similarly to what was done for the untilted cone in section 4.4.1. Due to the extra term in  $\chi$ , this becomes more elaborate. The exponent in the current matrix element Eq. (4.148) is of course

$$\exp\{-iq_y y - \frac{1}{2}\chi_k^2 - \frac{1}{2}\chi_{k+q}^2\}. \quad (4.149)$$

A straightforward but tedious calculation shows that the argument of the exponent can be written as

$$-\frac{\alpha}{l_B^2} \left( y + \frac{l_B^2}{2\alpha} (iq_y - (q'_x + 2k'_x)) \right)^2 - \frac{l_B^2}{4\alpha} (q_y^2 + 2i(q'_x + 2k'_x)q_y + (q'_x)^2), \quad (4.150)$$

where we have defined

$$q'_x = q_x \alpha - \frac{\beta}{v_F} (E_{n,\alpha B}^0 - E_{m,\alpha B}^0), \quad (4.151)$$

$$k'_x = k_x \alpha - \frac{\beta}{v_F} E_{m,\alpha B}^0. \quad (4.152)$$

**check sign of E above**

These must not be confused with the transformed momenta  $\tilde{k}$ , which are

similar in form. Eq. (4.150) is on the same for as in the untilted cone case, and we may thus proceed with the same method. Make a change of variable

$$\tilde{y} = \frac{\sqrt{\alpha}}{l_B} \left( y + \frac{l_B^2}{2\alpha} (iq_y - 2k'_x - q'_x) \right),$$

**Follow up the substitution of the root in the integral. Consider moving the root into  $\Xi$**

to get the exponent on the form  $e^{-\tilde{y}^2}$ . With this substitution,

$$\chi_k = \tilde{y} + \frac{l_B}{2\sqrt{\alpha}} (q'_x - iq_y), \quad (4.153)$$

$$\chi_{k+q} = \tilde{y} + \frac{l_B}{2\sqrt{\alpha}} (-q'_x - iq_y). \quad (4.154)$$

The first part of the current matrix element, Eq. (4.148), is thus

$$\begin{aligned} J_{kms;k+qns}^{(1)}(q) &= \frac{sv_F e}{\sqrt{\alpha}} \int d\tilde{y} l_B \exp \left[ -\frac{l_B^2}{4\alpha} (q_y^2 + 2i(2k'_x + q'_x)q_y + (q'_x)^2) \right] \\ &\quad e^{-\tilde{y}^2} [a_{kms} b_{k+qns} H_{M-1}(\chi_k) H_N(\chi_{k+q}) \\ &\quad - st_x a_{kms} a_{k+qns} H_{M-1}(\chi_k) H_{N-1}(\chi_{k+q}) \\ &\quad + b_{kms} a_{k+qns} H_M(\chi_k) H_{N-1}(\chi_{k+q}) \\ &\quad - st_x b_{kms} b_{k+qns} H_M(\chi_k) H_N(\chi_{k+q})]. \end{aligned} \quad (4.155)$$

Next consider the second term of the current operator,

$$J_{kms;k+qns}^{(2)}(q) = ev_F t_x^s \int dy e^{-iq_y y} \phi_{kms}^*(y) \phi_{k+qns}(y). \quad (4.156)$$

With a procedure similar to above, with the same substitution and completion of the square

$$\begin{aligned} J_{kms;k+qns}^{(2)}(q) &= \frac{sv_F e t_x^s}{\sqrt{\alpha}} \int d\tilde{y} l_B \exp \left[ -\frac{l_B^2}{4\alpha} (q_y^2 + 2i(2k'_x + q'_x)q_y + (q'_x)^2) \right] \\ &\quad e^{-\tilde{y}^2} [a_{kms} H_{M-1}(\chi_k) (s a_{k+qns} H_{N-1}(\chi_{k+q}) - t_x^s b_{k+qns} H_N(\chi_{k+q})) \\ &\quad + b_{kms} H_M(\chi_k) (-t_x^s a_{k+qns} H_{N-1}(\chi_{k+q}) + s b_{k+qns} H_N(\chi_{k+q}))]. \end{aligned} \quad (4.157)$$

By inspection, recalling  $\sqrt{1 - t_x^2} = \alpha$ , we see

$$J_{kms;k+qns}(q) = sv_F e\sqrt{\alpha} \int d\tilde{y} l_B \exp \left[ -\frac{l_B^2}{4\alpha} \left( q_y^2 + 2i(2k'_x + q'_x)q_y + (q'_x)^2 \right) \right] e^{-\tilde{y}^2} \times \left[ a_{kms} b_{k+qns} H_{M-1}(\chi_k) H_N(\chi_{k+q}) + b_{kms} a_{k+qns} H_M(\chi_k) H_{N-1}(\chi_{k+q}) \right]. \quad (4.158)$$

To perform the integration, we use the *shifted orthogonality* relation for Hermite polynomials [GZ15, Eq. (7.377)]

$$\int_{-\infty}^{\infty} dx e^{-x^2} H_m(x+y) H_n(x+z) = 2^n \pi^{\frac{1}{2}} m! y^{n-m} L_m^{n-m}(-2yz), \quad m \leq n, \quad (4.159)$$

where  $L_b^a$  are the *generalized Laguerre polynomial* of order  $b$  and type  $a$ . Define the functions  $\Xi_1, \Xi_2$  by

$$\frac{\sqrt{\alpha} \alpha_{k_z ms} \Xi_1(q, m, n, s)}{\sqrt{\alpha_{k_z ms}^2 + 1} \sqrt{\alpha_{k_z + q_z ns}^2 + 1}} = \int d\tilde{y} e^{-\tilde{y}^2} l_B a_{kms} b_{k+qns} H_{M-1}(\chi_k) H_N(\chi_{k+q}), \quad (4.160)$$

$$\frac{\sqrt{\alpha} \alpha_{k_z + q_z ns} \Xi_2(q, m, n, s)}{\sqrt{\alpha_{k_z ms}^2 + 1} \sqrt{\alpha_{k_z + q_z ns}^2 + 1}} = \int d\tilde{y} e^{-\tilde{y}^2} l_B b_{kms} a_{k+qns} H_M(\chi_k) H_{N-1}(\chi_{k+q}). \quad (4.161)$$

Using that

$$a_{kms} b_{k+qns} = \frac{\sqrt{\alpha} \alpha_{k_z ms}}{\sqrt{\alpha_{k_z ms}^2 + 1} \sqrt{\alpha_{k_z + q_z ns}^2 + 1}} \left[ 2^{N+M-1} (M-1)! N! \pi l_B^2 \right]^{-\frac{1}{2}}, \quad (4.162)$$

$$b_{kms} a_{k+qns} = \frac{\sqrt{\alpha} \alpha_{k_z + q_z ns}}{\sqrt{\alpha_{k_z ms}^2 + 1} \sqrt{\alpha_{k_z + q_z ns}^2 + 1}} \left[ 2^{N+M-1} (N-1)! M! \pi l_B^2 \right]^{-\frac{1}{2}}, \quad (4.163)$$

we use Eq. (4.159) to find explicit expressions

$$\Xi_1^{(1)}(q, m, n, s) = \sqrt{\frac{2^N(M-1)!}{2^{M-1}N!}} \left( \frac{q'_x - iq_y l_B}{2\sqrt{\alpha}} \right)^{N-M+1} L_{M-1}^{N-M+1} \left( \frac{q_y^2 l_B^2}{2\alpha} \right), \quad (4.164a)$$

$$\Xi_1^{(2)}(q, m, n, s) = \sqrt{\frac{2^{M-1}N!}{2^N(M-1)!}} \left( \frac{-q'_x - iq_y l_B}{2\sqrt{\alpha}} \right)^{M-N-1} L_N^{M-N-1} \left( \frac{q_y^2 l_B^2}{2\alpha} \right), \quad (4.164b)$$

$$\Xi_1(q, m, n, s) = \begin{cases} \Xi_1^{(1)} & \text{if } N \geq M-1 \\ \Xi_1^{(2)} & \text{if } N \leq M-1 \end{cases} \text{ for } M > 0, N \geq 0, \quad (4.164c)$$

$$\Xi_2^{(1)}(q, m, n, s) = \sqrt{\frac{2^{N-1}M!}{2^M(N-1)!}} \left( \frac{q'_x - iq_y l_B}{2\sqrt{\alpha}} \right)^{N-1-M} L_M^{N-1-M} \left( \frac{q_y^2 l_B^2}{2\alpha} \right), \quad (4.165a)$$

$$\Xi_2^{(2)}(q, m, n, s) = \sqrt{\frac{2^M(N-1)!}{2^{N-1}M!}} \left( \frac{-q'_x - iq_y l_B}{2\sqrt{\alpha}} \right)^{M-N+1} L_{N-1}^{M-N+1} \left( \frac{q_y^2 l_B^2}{2\alpha} \right), \quad (4.165b)$$

$$\Xi_2(q, m, n, s) = \begin{cases} \Xi_2^{(1)} & \text{if } N-1 \geq M \\ \Xi_2^{(2)} & \text{if } N-1 \leq M \end{cases} \text{ for } M \geq 0, N > 0, \quad (4.165c)$$

Here,  $q_y = (q'_x, q_y)$ .

Thus, the current matrix element in terms of the functions  $\Xi_i$  is

$$J_{kms;k+qns}(q) = ev_F s \alpha^2 \frac{\exp \left[ -\frac{l_B^2}{4\alpha} (q_y^2 + 2i(2k'_x + q'_x)q_y + (q'_x)^2) \right]}{\sqrt{\alpha_{k_z ms}^2 + 1} \sqrt{\alpha_{k_z + q_z ns}^2 + 1}} \times [\alpha_{k_z ms} \Xi_1(q, m, n, s) + \alpha_{k_z + q_z ns} \Xi_2(q, m, n, s)]. \quad (4.166)$$

### Energy-momentum tensor

Consider now the energy-momentum tensor matrix element

$$T_{k+qns, kms}^{0y}(q) = \frac{1}{2} \int dy e^{iq_y y} \phi_{k+qns}^*(y) s \sigma^y (E_{k_z ms} + E_{k_z + q_z ns} - 2\mu) \phi_{kms}(y). \quad (4.167)$$

As

$$\sigma_y e^{\theta/2\sigma_x} = e^{-\theta/2\sigma_x} \sigma_y \quad (4.168)$$

we get the very fortunate result

$$\phi^* \sigma_y \phi = \frac{1}{\mathcal{N}^* \mathcal{N}} \tilde{\phi}^* \sigma_y \tilde{\phi} = \alpha \tilde{\phi}^* \sigma_y \tilde{\phi}. \quad (4.169)$$

The first term of the stress-energy tensor thus has the exact same form as the untilted case, however with a prefactor  $\alpha$  and using the transformed coordinates  $\chi$ . We thus get

$$\begin{aligned} T_{k+qns, kms}^{0y(1)}(q) &= \frac{is\alpha}{2} (E_{k_z ms} + E_{k_z+q_z ns} - 2\mu) \int dy e^{iq_y y} e^{-\frac{1}{2}(\chi_{k+q}^2 + \chi_k^2)} \\ &\quad [-a_{k+qns} b_{kms} H_{N-1}(\chi_{k+q}) H_M(\chi_k) + b_{k+qns} a_{kms} H_N(\chi_{k+q}) H_{M-1}(\chi_k)]. \end{aligned} \quad (4.170)$$

We will perform once again the completion of the square and substitution of  $y$ . The exponent is the same as that which we found for the current operator case, Eq. (4.150), with the change  $q_y \rightarrow -q_y$ . We thus make the change of variables

$$\tilde{y} = \frac{\sqrt{\alpha}}{l_B} \left( y - \frac{l_B^2}{2\alpha} (iq_y + (2k'_x + q'_x)) \right), \quad (4.171)$$

giving

$$\chi_k = \tilde{y} + \frac{l_B}{2\sqrt{\alpha}} (q'_x + iq_y), \quad (4.172)$$

$$\chi_{k+q} = \tilde{y} + \frac{l_B}{2\sqrt{\alpha}} (-q'_x + iq_y). \quad (4.173)$$

Thus, after inserting and employing the defining relations for the  $\Xi_i$  functions, the matrix element reads

$$T_{k+qns, kms}^{0y}(q) = \frac{is\alpha}{2} \frac{E_{k_z ms} + E_{k_z+q_z ns} - 2\mu}{\sqrt{\alpha_{k_z ms}^2 + 1} \sqrt{\alpha_{k_z+q_z ns}^2 + 1}} \quad (4.174)$$

$$\exp \left[ -\frac{l_B^2}{4\alpha} (q_y^2 - 2i(2k'_x + q'_x)q_y + (q'_x)^2) \right] \quad (4.175)$$

$$(-\alpha_{k_z+q_z ns} \Xi_2(\bar{q}, m, n, s) + \alpha_{k_z ms} \Xi_1(\bar{q}, m, n, s)), \quad (4.176)$$

where  $\bar{q} = (q_x, -q_y, q_z)$ .

### Summary 5

In summary we have

$$J_{kms;k+qns}(q) = v_F e s \alpha^2 \Gamma_{kqmn s}^- [\alpha_{k_z m s} \Xi_1(q, m, n, s) + \alpha_{k_z + q_z n s} \Xi_2(q, m, n, s)], \quad (4.177)$$

$$T_{k+qns, kms}^{0y}(q) = \frac{is\alpha}{2} (E_{k_z m s} + E_{k_z + q_z n s} - 2\mu) \Gamma_{kqmn s}^+ \times [-\alpha_{k_z + q_z n s} \Xi_2(\bar{q}, m, n, s) + \alpha_{k_z m s} \Xi_1(\bar{q}, m, n, s)], \quad (4.178)$$

with  $\bar{q} = (q_x, -q_y, q_z)$  and

$$\Gamma_{kqmn s}^\pm = \frac{\exp \left[ -\frac{l_B^2}{4\alpha} (q_y^2 + (q'_x)^2) \pm i q_y l_B^2 (k'_x + \frac{q'_x}{2}) \right]}{\left[ (\alpha_{k_z m s}^2 + 1) (\alpha_{k_z + q_z n s}^2 + 1) \right]^{\frac{1}{2}}}.$$

#### 4.5.2. Static limit and dimensionless form of the matrix elements

We are interested in the response in the static limit  $q \rightarrow 0$ . We may use the property of limits that

$$\lim_{n \rightarrow a} A \cdot B = \lim_{n \rightarrow a} A \cdot \lim_{n \rightarrow a} B.$$

We may thus consider the limits of the current and energy-momentum matrix elements separately, which we will do here. Furthermore, to facilitate for more easily solving the integration later, we will introduce dimensionless quantities.

Let the dimensionless energy and momentum  $\epsilon_{\kappa_z m s} = v_F \sqrt{2eB} E_{k_z m s}$ ,  $\kappa_z = \sqrt{2eB} k_z$ . Consider firstly the exponent in the  $\Gamma^\pm$  factor from summary 5,

$$\Gamma_{kqmn s}^\pm \propto \exp \left[ -\frac{l_B^2}{4\alpha} (q_y^2 + (q'_x)^2) \pm i q_y l_B^2 (k'_x + \frac{q'_x}{2}) \right].$$

Define

$$P = \lim_{q \rightarrow 0} \frac{l_B q'_x}{\sqrt{2\alpha}} = \frac{t_x}{\sqrt{\alpha}} (\epsilon_{n, \alpha B}^0 - \epsilon_{m, \alpha B}^0), \quad (4.179)$$

where  $q'_x$  was defined in Eq. (4.151),

$$q'_x = q_x \alpha - \frac{\beta}{v_F} (E_{n, \alpha B}^0 - E_{m, \alpha B}^0).$$

In the limit, the exponent is thus

$$\lim_{q \rightarrow 0} \Gamma_{kqmn s} \propto \exp \left[ -\frac{\beta^2}{2\alpha} (\epsilon_{n,\alpha B}^0 - \epsilon_{m,\alpha B}^0)^2 \right]. \quad (4.180)$$

The normalization factor  $\alpha_{k_z m s}$  is independent on  $q$ , and already dimensionless. Explicitly, it is given in dimensionless quantities as

$$\alpha_{k_z m s} = -\frac{\sqrt{2e\alpha B M}}{\frac{E_{k_z m s} - t_{\parallel} v_F k_z}{v_F s \alpha} - k_z} = -\frac{\sqrt{\alpha M}}{s \epsilon_{m,\alpha B}^0 - \kappa}. \quad (4.181)$$

In the tilted case, the  $\Xi_i$  functions, defined in Eqs. (4.164, 4.165), do not have a trivial form in the static limit, as was the case in the untilted case. Expressed in the quantities introduced here, they simplify to

$$\Xi_1^{(1)}(q, m, n, s) = \sqrt{\frac{2^N (M-1)!}{2^{M-1} N!}} \left( \frac{P}{\sqrt{2}} \right)^{N-M+1} L_{M-1}^{N-M+1}(P^2), \quad (4.182a)$$

$$\Xi_1^{(2)}(q, m, n, s) = \sqrt{\frac{2^{M-1} N!}{2^N (M-1)!}} \left( -\frac{P}{\sqrt{2}} \right)^{M-N-1} L_N^{M-N-1}(P^2), \quad (4.182b)$$

$$\Xi_2^{(1)}(q, m, n, s) = \sqrt{\frac{2^{N-1} M!}{2^M (N-1)!}} \left( \frac{P}{\sqrt{2}} \right)^{N-1-M} L_M^{N-1-M}(P^2), \quad (4.183a)$$

$$\Xi_2^{(2)}(q, m, n, s) = \sqrt{\frac{2^M (N-1)!}{2^{N-1} M!}} \left( -\frac{P}{\sqrt{2}} \right)^{M-N+1} L_{N-1}^{M-N+1}(P^2), \quad (4.183b)$$

Lastly, notice that in the static limit, the entire expression of the response function is independent of  $k_x$ , and so the same procedure as was done for the untilted cone in section 4.4.2 is valid for the tilted cone, replacing the  $k$  sum with an integral over  $k_z$  and a degeneracy factor

$$\sum_k \rightarrow \frac{\mathcal{V} e B}{(2\pi)^2} \int dk_z. \quad (4.184)$$

Importantly, the degeneracy factor does *not* depend on the renormalized magnetic field  $\alpha B$ , but rather  $B$  itself.

### 4.5.3. Perpendicular tilt

We consider here the specialized situation where  $t = t_x \hat{x}$ , i.e. only tilt perpendicular to the magnetic field. The response function

$$\lim_{\omega \rightarrow 0} \lim_{q \rightarrow 0} \chi^{xy}(\omega, q) = \frac{eBiv_F}{(2\pi)^2} \sum_{mn} \int dk_z [n_{kms} - n_{kns}] \times \frac{J_{kms, kns}^x(q \rightarrow 0) T_{kns, kms}^{y0}(q \rightarrow 0)}{(E_{k_z ms} - E_{k_z ns})(E_{k_z ms} - E_{k_z ns})}.$$

Writing out the matrix products we have

$$J_{kms, kns}^x(q \rightarrow 0) T_{kns, kms}^{y0}(q \rightarrow 0) = \frac{v_F e i \alpha^3}{2} e^{-P^2} \frac{(E_{k_z ms} + E_{k_z ns})(\alpha_{k_z ms}^2 \Xi_1(0, m, n, s)^2 - \alpha_{k_z ns}^2 \Xi_2(0, m, n, s)^2)}{(\alpha_{k_z ms}^2 + 1)(\alpha_{k_z ns}^2 + 1)}. \quad (4.185)$$

And so, inserting into the response function

$$\lim_{\omega \rightarrow 0} \lim_{q \rightarrow 0} \chi^{xy}(\omega, q) = \frac{-e^2 \alpha^3 v_F B}{2(2\pi)^2} \sum_{mn} \int d\kappa_z e^{-P^2} \frac{[n_{\kappa_z ms} - n_{\kappa_z ns}](\epsilon_{\kappa_z ms} + \epsilon_{\kappa_z ns})(\alpha_{\kappa_z ms}^2 \Xi_1(0, m, n, s)^2 - \alpha_{\kappa_z ns}^2 \Xi_2(0, m, n, s)^2)}{(\alpha_{\kappa_z ms}^2 + 1)(\alpha_{\kappa_z ns}^2 + 1)(\epsilon_{\kappa_z ms} - \epsilon_{\kappa_z ns})^2}, \quad (4.186)$$

where we also made a change of variables  $k_z = \sqrt{2eB}\kappa_z$ .

We make the observation that  $\Xi_1(m, n) = \Xi_2(n, m)$ , where it is important to note that  $P$  changes sign under interchange of  $m, n$ . The rest of the factors are invariant under the interchange  $m \leftrightarrow n$ , except for the step functions, which gives an overall sign change. Thus, using  $\Xi_1(m, n) = \Xi_2(n, m)$  and relabelling the summation indices we may consider

$$\alpha_{\kappa_z ms}^2 \Xi_1^2 - \alpha_{\kappa_z ns}^2 \Xi_2^2 \rightarrow 2\alpha_{\kappa_z ms}^2 \Xi_1^2.$$

We may also simplify the step function expression. Physically, the step function term corresponds to only considering transitions between states with energies of opposite sign. For Type-I systems, which we are restricted to here as we consider currently only perpendicular tilt, the energy of the state with quantum number  $n$  has the same sign as  $n$  itself, excluding of course the zeroth state. For the zeroth state, the sign of the energy is  $\text{sign}(-s\kappa_z)$ .



Using these considerations, we may make certain selection rules for the sum. In the  $(m, n)$ -plane, the first and third quadrant give no contribution, as there  $mn > 0$ , i.e. they have the same sign. Our sum is thus restricted to the second and fourth quadrant. It is easy to show that

$$n_{kms} - n_{k+qns} = \begin{cases} 0 & mn > 0 \text{ or } m, n = 0, \\ -\text{sign}(m) & m, n \neq 0, \\ \text{sign}(n)\theta(\text{sign}(n)s\kappa) & m = 0, \\ -\text{sign}(m)\theta(\text{sign}(m)s\kappa) & n = 0. \end{cases} \quad (4.187)$$

Furthermore, the contributions from the second and fourth quadrant are equal, which we will now show. The mapping  $(m, n, \kappa_z) \mapsto (-m, -n, -\kappa_z)$ , i.e. a  $\pi$  rotation, transforms points from the  $m < 0$  half plane to the  $m > 0$  half plane, including mapping the second quadrant to the fourth quadrant. We want to consider how the integrand in question transforms under such a mapping. Recall

$$\alpha_{\kappa_z ms} = -\frac{\sqrt{\alpha M}}{s\epsilon_{m, \alpha B}^0 - \kappa_z},$$

$$\epsilon_{m, \alpha B}^0 = \text{sign}(m)\sqrt{\alpha M + \kappa_z^2}, \quad m \neq 0.$$

Under the above mapping, we have the following relations

$$\epsilon_{m, \alpha B}^0 \mapsto -\epsilon_{m, \alpha B}^0, \quad (4.188)$$

$$\alpha_{\kappa_z ms} \mapsto -\alpha_{\kappa_z ms}, \quad (4.189)$$

$$P \mapsto -P. \quad (4.190)$$

The  $\Xi$  functions also acquires a sign for some values of  $m, n$ , however, we only consider  $\Xi^2$ . The integrand in Eq. (4.186) is thus invariant under the transformation from the second to the fourth quadrant, and so we may consider only the fourth quadrant, adding a degeneracy factor 2. The summation region is shown in Fig. 4.6.

Lastly, completely analogous to the untilted case, the integrand only depend on  $s$  and  $\kappa_z$  through their product  $s\kappa_z$ , and thus is invariant under  $(s, \kappa_z) \mapsto (-s, -\kappa_z)$ . As the integral spans all of  $\kappa_z$ , the contribution is independent of the chirality  $s$ , and may be calculated for a specific choice, which is here taken to be  $s = +1$ .

## Summary 6

<sup>|</sup>The response of a perpendicularly tilted cone with chirality  $s = +1$  is given

by

$$\lim_{\omega \rightarrow 0} \lim_{q \rightarrow 0} \chi^{xy}(\omega, q) = \frac{e^2 v_F B}{2(2\pi)^2} \gamma_N^{t_x}, \quad (4.191)$$

with

$$\gamma_N^{t_x} = -4\alpha^3 \sum_{mn}^N \int d\kappa_z e^{-P^2} \frac{(\epsilon_{\kappa_z ms} + \epsilon_{\kappa_z ns}) \alpha_{\kappa_z ms}^2 \Xi_1(0, m, n, s)^2}{(\alpha_{\kappa_z ms}^2 + 1)(\alpha_{\kappa_z ns}^2 + 1)(\epsilon_{\kappa_z ms} - \epsilon_{\kappa_z ns})^2}, \quad (4.192)$$

where the summation goes over  $m > 0, n \leq 0$ , indicated in Fig. 4.6, capped at the Landau level  $N$ . The integration limits are  $(-\infty, \infty)$ , except for  $n = 0$ , where they are  $[0, \infty)$ .

The tilt  $t_x$  enters the expression only through its square, in  $\alpha$  and  $P$ , and so the contribution is even in  $t_x$ .

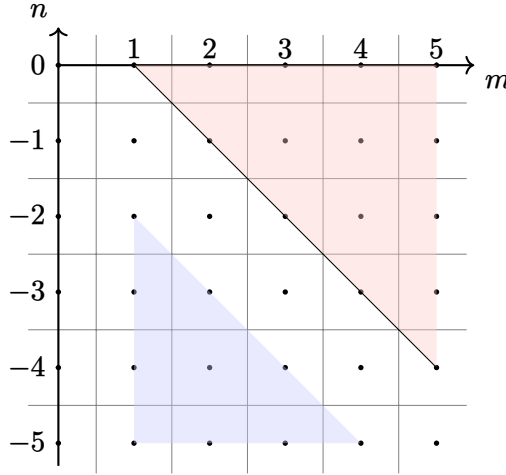


Figure 4.6.: The region of  $(m, n)$  to sum over for a Type-I perpendicularly tilted cone. The black line represents the combinations that give a finite contribution also in the untilted case. As the cone is tilted, this sharp line “diffuse” into the red and blue regions as well, where the contribution is respectively positive and negative. Note that, as  $\Xi_1$  defined only for  $M > 0$ , the region with  $m = 0$  gives no contribution; at  $M = N$  the contribution is also zero.

#### 4.5.4. Tilt parallel to the magnetic field

Even though the treatment above for a general tilt is valid for parallel tilt, the response can be found more directly from the untilted case. For  $t = t_z \hat{z}$ , the energy momentum tensor  $T^{y0}$ , charge current  $J^x$ , and wave functions  $\phi(r)$  are all independent of  $t_z$ , and the only difference compared to the untilted system is a change in the energies of the Landau levels. We may thus immediately use the result from the untilted case

$$\lim_{\omega \rightarrow 0} \lim_{q \rightarrow 0} \chi^{xy} = -\frac{e^2 v_F B}{2(2\pi)^2} \sum_{mn} \int d\kappa_z \xi(\kappa_z) (\epsilon_{\kappa_z m s} + \epsilon_{\kappa_z n s}) \times (\alpha_{\kappa_z m s}^2 \delta_{M-1, N} - \alpha_{\kappa_z n s}^2 \delta_{N-1, M}), \quad (4.193)$$

with

$$\epsilon_{\kappa_z m s} = \begin{cases} t_z^s \kappa_z + \text{sign } m \sqrt{M + \kappa_z^2} & m \neq 0, \\ (t_z^s - s) \kappa_z & m = 0, \end{cases} \quad (4.194)$$

$$\alpha_{\kappa_z m s} = -s \frac{\sqrt{M}}{\epsilon_{\kappa_z m s}^0 - s \kappa_z}, \quad (4.195)$$

$$\lim_{\omega \rightarrow 0} \lim_{q \rightarrow 0} \xi(\kappa_z) = \frac{[n_{\kappa m s} - n_{\kappa n s}] [(\alpha_{\kappa m s}^2 + 1)(\alpha_{\kappa n s}^2 + 1)]^{-1}}{(\epsilon_{\kappa m s} - \epsilon_{\kappa n s})^2}. \quad (4.196)$$

In the untilted case we made several simplifications to this expression, especially with regard to limiting the summation domain. We will here consider which of those simplifications apply also in the case of tilt  $t_z$ .

Under the transformation  $(m, n, \kappa_z) \mapsto (-m, -n, -\kappa_z)$ ,  $\xi(\kappa_z)$ ,  $\epsilon_{\kappa_z m s}$ ,  $\alpha_{\kappa_z m s}$  are all still odd, and so the integrand is invariant under such a transformation. As the integral is over all  $\kappa_z$ , we may therefore consider only half the  $m, n$  plane, as was the case in the untilted case. However, in the untilted case the sum was in fact restricted to only one quadrant, as at  $T \rightarrow 0$  the transitions must be between states with energy of opposite sign. In the case of Type-II systems, this requirement does not restrict the sum to one quadrant. It is thus convenient to consider Type-I and Type-II separately.

In the untilted system, the contributions from the two chiralities where the same, as  $\kappa_z$  and  $s$  always appeared in conjunction,  $\kappa_z s$ . In the case of  $t_z$  tilt, this is not the case. The proof for the response from the two chiralities being the same in the untilted case was that  $s$  and  $\kappa_z$  appeared only through the product  $s \kappa_z$ , and so the expression was invariant under  $(s, \kappa_z) \mapsto (-s, -\kappa_z)$ . As our integration spans all  $\kappa_z$ , the total response is invariant under  $s \rightarrow -s$ . The tilt parameter enters the expression only

through  $\epsilon_{\kappa_z m s} = \epsilon_{\kappa_z m s}^0 + \kappa_z t_z^s$ , and in the inversion symmetric case,  $t_z^s = s t_z$ , the argument still holds. In the case of broken inversion symmetry, however, where  $t_z^s = t_z$ , the argument fails. A similar argument may, however, be made for the transformation  $(s, \kappa_z, t_z) \mapsto (-s, -\kappa_z, -t_z)$ , for which the (inversion broken) system is invariant. The response of a cone with chirality  $s = -1$  is thus equal the response with  $s = +1$  and  $t_z \rightarrow -t_z$ . We therefore compute all responses for  $s = +1$ ; for symmetric systems the response is equal for  $s = -1$ , while for broken inversion symmetry, the response is given at  $t_z \rightarrow -t_z$ .

### Type-I

In Type-I systems, the selection rules from the step functions are independent of  $t_z$ , and the only difference from the untilted case is the term  $\epsilon_{\kappa_z m s} + \epsilon_{\kappa_z n s} = \epsilon_{\kappa_z m s}^0 + \epsilon_{\kappa_z n s}^0 + 2\kappa_z t_z^s$ . The response is therefore

$$\lim_{\omega \rightarrow 0} \lim_{q \rightarrow 0} \chi^{xy} = \frac{e^2 v_F B}{2(2\pi)^2} (\gamma_N^0 + \gamma_{\text{div}, N}), \quad (4.197)$$

where  $\gamma_N^0$  is the prefactor of the untilted case, and according to Eq. (4.143)

$$\gamma_{\text{div}, N} = -4 \sum_{i=0}^N \int d\kappa_z \xi(\kappa_z) 2\kappa_z t_z^s \alpha_{\kappa_z m s}^2 \Big|_{n=-i}^{m=i+1}, \quad (4.198)$$

which has an UV divergence. Introduce the momentum cutoff  $\Lambda$ , in which case the integral can be solved analytically, with the result<sup>8</sup>

$$\gamma_{\text{div}, 0} = 2t_z \left( \Lambda \left( \Lambda - \sqrt{\Lambda^2 + 1} \right) + \sinh^{-1}(\Lambda) \right) \quad (4.199)$$

and the contribution from each term of the sum

$$\begin{aligned} \gamma_{\text{div}, N} - \gamma_{\text{div}, N-1} = 2t_z \Bigg\{ & \Lambda \left( \sqrt{\Lambda^2 + N} - \sqrt{\Lambda^2 + N + 1} \right) \\ & + (N + 1) \tanh^{-1} \left[ \frac{\Lambda}{\sqrt{\Lambda^2 + N + 1}} \right] - N \tanh^{-1} \left[ \frac{\Lambda}{\sqrt{\Lambda^2 + N}} \right] \Bigg\}, \end{aligned} \quad (4.200)$$

where we used the selection rule of the sum  $N = M - 1$  and  $m > 0, n < 0$ . This contribution is shown in figure 4.9.

**is it ok to write 'the contribution (4.200)', or must it always be 'the contribution Eq. (4.200)'?**

<sup>8</sup>Note the minus sign introduced by the step function in  $\xi$ .

The contribution (4.200) is odd in  $t_z$ , and so for systems with broken inversion symmetry, the total contribution from two cones cancel.

Assuming  $\Lambda \gg 1$  the expression is approximated by

$$t_z \left( \left[ -1 + N \log \left( \frac{N}{N+1} \right) - \log \frac{N+1}{4} \right] + 2 \log \Lambda \right) + \mathcal{O} \left( \frac{1}{\Lambda^2} \right). \quad (4.201)$$

The contribution is shown in figure 4.9 for the first Landau levels.

## Type-II

For Type-I semimetals, the sign of energy state  $m \neq 0$  is given by the sign of  $m$  itself. For  $m = 0$  the sign of the energy is given by  $-s \text{ sign } \kappa$ . Due to this, the sum is restricted to  $n = M + 1, m = -M$  and  $n = -M - 1, m = M$ . In the case of Type-II, however, the situation is not so simple. The energy bands cross the Fermi surface, and we must also include in our sum overlap between states of the same sign, i.e.  $n = M + 1, m = M$  and  $n = -M - 1, m = -M$ , which is non-zero for certain intervals of  $\kappa$ . See plot of the tilted Landau levels in figure 4.4.

In order to find explicitly the limits of integration for the Type-II case, we must find the roots of the energy levels. The zeroth Landau level always has only one root, which is in the origin. For the higher order Landau levels, we solve

$$\epsilon_{\kappa_z m s} = t_z^s \kappa_z + \text{sign}(m) \sqrt{M + \kappa_z^2} = 0, \quad (4.202)$$

whose solution is

$$\kappa_z^2 = \frac{M}{t_z^2 - 1}.$$

The actual roots of the energies are

$$\kappa_z = -\text{sign}(m t_x^s) \sqrt{\frac{M}{t_z^2 - 1}}. \quad (4.203)$$

The integration limit for the  $0 \rightarrow 1$  transition is thus, for  $t_z > 1$ ,  $[-\sqrt{t_z^2 - 1}^{-1}, 0]$

The  $1 \rightarrow 2$  transition is  $[-\sqrt{2}/\sqrt{t_z^2 - 1}, -\sqrt{t_z^2 - 1}^{-1}]$ , and so forth. The general  $n \rightarrow m$  transition has the integration limits

$$\left[ -\text{sign}(t_z) \sqrt{\frac{m}{t_z^2 - 1}}, -\text{sign}(t_z n) \sqrt{\frac{-n}{t_z^2 - 1}} \right].$$

The  $0 \rightarrow 1$  transitions was computed analytically, and found to be

$$\gamma_0 = 2 \operatorname{sign}(t_z) \left( |t_z| \sinh^{-1} \left( \frac{1}{\sqrt{t_z^2 - 1}} \right) - 1 \right). \quad (4.204)$$

For a general  $n \rightarrow m$ ,  $N > 0, M = N + 1$  transition, the contribution  $\gamma_N - \gamma_{N-1}$  was found to have very lengthy expressions. Consult Table 4.2 to find the appropriate expressions for positive and negative tilt, and interband and intraband transitions.

		Tilt direction	
		$t_x > 1$	$t_x < -1$
Band type	$n < 0$	Lst. <a href="#">A.1</a>	Lst. <a href="#">A.2</a>
	$n > 0$	Lst. <a href="#">A.3</a>	Lst. <a href="#">A.4</a>

Table 4.2.: Decision matrix for the expression of the  $m \rightarrow n; N > 0, M = N + 1$  transition over different regions. Expressions given in Mathematica code format; the code listings are found in Appendix A. See main text for details.

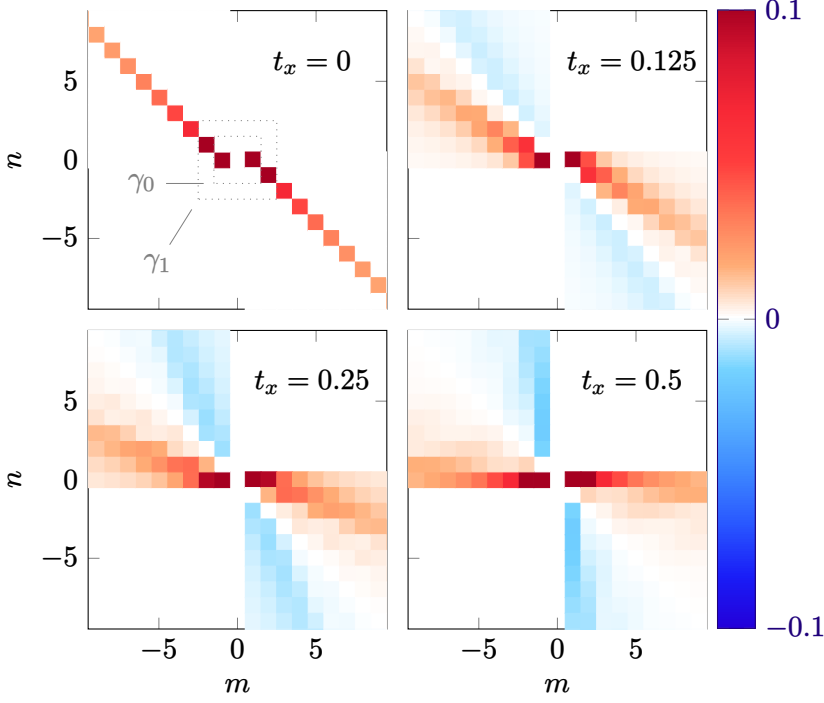


Figure 4.7.: Contributions to  $\gamma_N$  from  $m \rightarrow n$  transitions for different values of  $t_x$ . In order to retain contrast, the color values are capped at 0.1, meaning that the  $\gamma_0$  contributions are clipped. Note that all quadrants of the  $m, n$ -plane are shown, although as proven in the main text, only the fourth quadrant needs to be computed, as the second quadrant contributions are equal. Lastly, the  $\gamma_N$  square regions indicated in the first pane are drawn with lengths  $2N + 1$ , while they in the main text are defined with sides  $2N$ ; this choice of representation is only to make the figure more clear, as the colored tiles have edges at half-integer values.

## 4.6. Results

In the static and local limit  $\lim_{\omega \rightarrow 0} \lim_{q \rightarrow 0}$  the transverse response function  $\chi^{xy}$  of the charge current to a temperature perturbation

$$J^x = \chi^{xy} \frac{-\nabla^y T}{T} \quad (4.205)$$

from a single Weyl cone was found to be

$$\lim_{\omega \rightarrow 0} \lim_{q \rightarrow 0} \chi^{xy} = \gamma_N \frac{e^2 B v_F}{2(2\pi)^2 \hbar}, \quad (4.206)$$

with  $\gamma_N$  a prefactor dependent on the chirality  $s$ , the tilt  $t$ , and how many Landau levels are included in the final evaluation of the response function.

In general, the prefactor  $\gamma_N$  diverges as  $N \rightarrow \infty$ . However, not all Landau levels are filled, and thus the sum should not be taken to all levels. Similarly to a quantum Hall effect, the number of filled bands, the filling factor  $\nu$ , is inverse proportional to the  $B$ -field strength

$$\nu \propto \frac{1}{B}. \quad (4.207)$$

Thus, we expect that the  $N$ -sum should be truncated at a Landau level, given by the filling factor  $\nu$ . A detailed derivation of the exact truncation of the  $N$ -sum has not been done. If a precise result for the numerical prefactor is found to be of importance, this should be straightforward.

As described above, the contribution from the cone with chirality  $s = -1$  can be found from the result of the positive chirality cone. In the case of perpendicular tilt, they are exactly the same. In the case of parallel tilt, it depends on the symmetry of the tilt. For systems with inversion symmetry, the responses from the two cones are the same. On the other hand, for broken inversion symmetry, the contribution from the cone with chirality  $s = -1$  is the same as that of the  $s = +1$  cone at the opposite tilt  $t_z \rightarrow -t_z$ . Therefore, it is useful to separate the contribution into even and odd components, for finding the total contribution from the two cones combined. Separating even and odd components also allows for presenting the data in more compact plots.

For some contribution  $\gamma(t_{x/z})$ , we define

$$\gamma_{\text{even}}(t_{x/z}) = \frac{\gamma(t_{x/z}) + \gamma(-t_{x/z})}{2}, \quad (4.208)$$

$$\gamma_{\text{odd}}(t_{x/z}) = \frac{\gamma(t_{x/z}) - \gamma(-t_{x/z})}{2}. \quad (4.209)$$



All results will be given in terms of these components, at  $t_{x/y} > 0$ . The total contribution  $\gamma_{\text{tot}}$  for the two cones is found by taking the appropriate combinations of Eqs. (4.208) and (4.209), as shown in Table 4.3.

Case	Total contribution, $\gamma_{\text{tot}}/2$
Perpendicular tilt	$\gamma_{\text{even}} + \gamma_{\text{odd}} = \gamma$
Parallel tilt, broken inversion symmetry	$\gamma_{\text{even}}$
Parallel tilt, inversion symmetry	$\gamma_{\text{odd}} + \gamma_{\text{even}} = \gamma$

Table 4.3.: The total contribution from two cones  $\gamma_{\text{tot}}$  is found by linear combinations of the even and odd components of  $\gamma$ , depending on the case at hand. Note that total contribution given in the table is  $\gamma_{\text{tot}}/2$ .

#### 4.6.1. Perpendicular tilt

In the case of a tilt perpendicular to the magnetic field, we are, as previously explained, restricted to Type-I materials, as the Landau level description breaks down for Type-II perpendicular tilt. Importantly, this does not generally mean that the effect is not present for Type-II systems, but simply that the linear model Landau level description is not a good basis for the system. The collapse of the Landau levels caused Soluyanov et al. [Sol+15] to erroneously predict the collapse of the chiral anomaly in their now famous paper first describing Type-II Weyl semimetals.

As explained in section 4.5.3, the  $m, n$  summation is restricted to the fourth quadrant in the  $m, n$  plane. In the case of no tilt, only contributions from  $M = N + 1$  were non-zero; we named the contribution from the  $0 \rightarrow 1$  transition  $\gamma_0$ , the  $-1 \rightarrow 2$  transition  $\gamma_1$  and so forth. For perpendicular tilt, as there are contributions also away from the  $M = N + 1$  line, we denote by  $\gamma_0$  the contributions from inside the square of length 2 centered at the origin. The  $\gamma_1$  contributions are those inside the square of length 4, and in general  $\gamma_n$  the square with length  $2n$ . This is indicated in figure 4.7. This definition effectively sets a ceiling on which Landau levels we consider.

#### Correct which values

The integral was computed numerically for  $M, N \leq 14$  over different values of  $t_x$  with  $t_z = 0$ , with the individual contributions shown in figure 4.7. Note that the figure shows contributions for the entire  $m, n$ -plane, not only the fourth quadrant as discussed above. This is purely for illustration purposes,

and only the fourth quadrant needs to be computed. The total contribution  $\gamma_N$  as a function of  $N$  is shown in figure 4.8. The contribution is even in  $t_x$ , and the two cones have the same contribution, as shown analytically in section 4.5.3. Also shown in figure 4.8 is  $\gamma_0$  as a function of  $t_x$ , which is seen to be strictly decreasing to zero as  $t_x \rightarrow 1$ . This last observation is discussed further in section 4.6.3, under “Perpendicular tilt with only zeroth level transitions”.

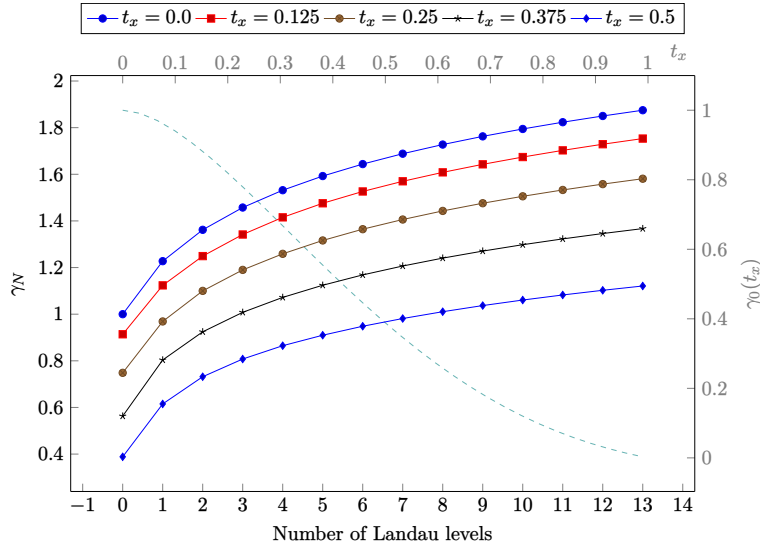


Figure 4.8.: Total contribution  $\gamma_N$  for a perpendicular tilt  $t_x$ , which only has an even component. See main text for details on how  $\gamma_N$  is defined. Shown in dashed teal on secondary axis (gray labels) is  $\gamma_0$  as a function of  $t_x$ , which is strictly decreasing from 1 at  $t_x = 0$  to 0 at  $t_x = 1$ .

## 4.6.2. Parallel tilt

### Type-I

**Should we also compute the momentum cutoff for nontilted terms?**

In the Type-I regime, the contributions differ from that of the untitled system by  $\gamma_{\text{div},N}$ , Eq. (4.198), dependent on a momentum cutoff  $\Lambda = k^{\text{cutoff}}/\sqrt{2eB}$ , where  $k^{\text{cutoff}}$  is the physical cutoff. The contribution from each new Landau level,  $\gamma_{\text{div},N} - \gamma_{\text{div},N}$  is shown in Fig. 4.9. In the large cutoff limit,  $\Lambda \gg 1$ ,

expanding and dropping terms  $\mathcal{O}(1/\Lambda^2)$ , we found Eq. (4.201),

$$\gamma_{\text{div},N} - \gamma_{\text{div},N-1} = t_z \left( \left[ -1 + N \log \left( \frac{N}{N+1} \right) - \log \frac{N+1}{4} \right] + 2 \log \Lambda \right).$$

The first term, independent of  $\Lambda$ , is a negative factor that decreases as  $N$  increases, and goes like  $-\log N$  for large  $N$ . The contribution is proportional to  $t_z$ , i.e. there is no even component, so for systems with broken inversion symmetry, the two chiralities cancel, and the response is equal to the untilted case. In the case of inversion symmetry, the contributions from the two chiralities are equal and add up. The contribution has the same sign as the tilt, and the magnitude depends on the Landau cutoff  $N$  and momentum cutoff  $\Lambda$ .

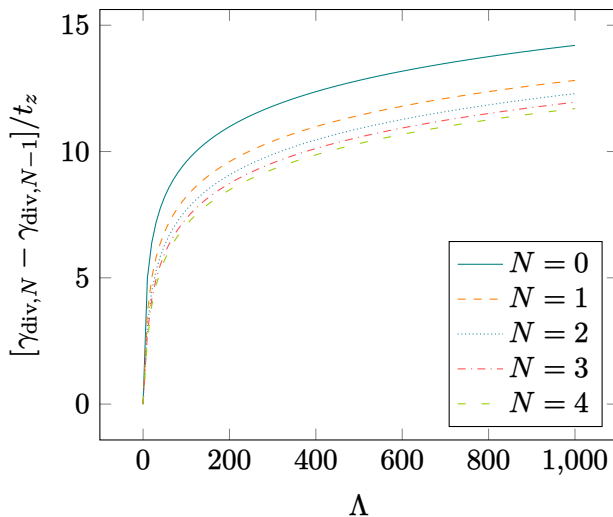


Figure 4.9.: The divergent factor  $\gamma_{\text{div},N}/t_z$  for the first Landau levels, as a function of the momentum cutoff  $\Lambda$ .

## Type-II

In the Type-II regime, the contributions have a more complicated form. Considering firstly only the lowest Landau level contribution, Eq. (4.204), which is odd in  $t_z$ , the total contribution cancels between the chiralities for broken inversion symmetry, while it adds up for inversion symmetric systems. As  $|t_z| \rightarrow 1$  from above, the contribution blows up. This is to be expected as

we move towards the Lifshitz transition, where we expect the linear model to perform poorly.<sup>9</sup> The contribution goes to zero as  $t_z \rightarrow \infty$ , shown in Fig. 4.10.

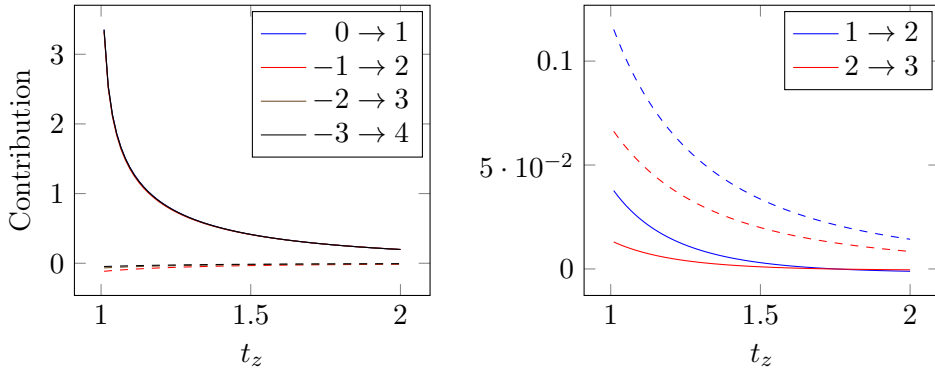
Considering also higher Landau level contributions, both interband and intraband transitions must be included,<sup>10</sup> meaning the summation is no longer restricted to a quadrant in the  $m, n$  plane, but rather to half the plane. The contributions are shown in figure 4.10. These contributions are not odd in  $t_z$  – they have a finite even component. Due to this, the contribution does not cancel for inversion broken systems, however, the even contribution is small in magnitude compared to the other contributions.

A schematic plot of all the contributions of a parallel tilt is shown in Fig. 4.11. In systems with broken inversion symmetry, where only the even contribution survives, we see that in the Type-I regime, the response is independent of the tilt  $t_z$ . In the Type-II regime, the response has the opposite sign, and is heavily reduced in magnitude; for a sufficiently large magnetic field, when only the zeroth Landau level is filled, the effect is non-existent.

One disadvantage of using the Kubo formalism to find the response function, as opposed to the procedure of Chernodub, Cortijo, and Vozmediano [CCV18], who argued more directly from fundamental principles, is that the origin of the effect is less clear. Specifically, it is not clear directly from the Kubo calculation that the origin of the effect is the conformal anomaly. In the case of Type-II, it is in fact not entirely clear what is the origin of the effect. We have not been able to investigate this in depth, but note the following important observation. When the material is tilted into Type-II, the density of states goes abruptly from vanishing to finite at the Dirac point, as mentioned earlier. As the density of states is finite, there is some finite energy related to the system as well. It is then a valid question to ask if the effect is indeed of conformal anomaly origin; the scale invariance of the system is broken by the energy scale introduced by the density of states, and so the anomaly itself is also broken. This is especially pertinent in light of the non-physical behaviour seen around the Lifshitz transition; in the transition from Type-I to Type-II the chiral anomaly is not broken, and chiral anomaly effects have been found to have analytical behaviour in the transition, even for the linear model [SGT17]. This is still an open question.

<sup>9</sup>As the Fermi surface of the linear model is vastly different from the Fermi surface of the tight binding model. See discussion on page 28 in section 1.6.2 and van der Wurff and Stoof [vdWS19].

<sup>10</sup>By band we here refer to the “conduction” band and “valence” band.



(a) Intraband contributions,  $-N \rightarrow N+1$ . (b) Interband contributions,  $N \rightarrow N+1$ .

Figure 4.10.: The contribution from  $n \rightarrow m$  transitions in a Type-II  $t_z$  tilted system. Solid line is the odd component  $\gamma_{\text{odd}}$ , dashed is even component  $\gamma_{\text{even}}$ .

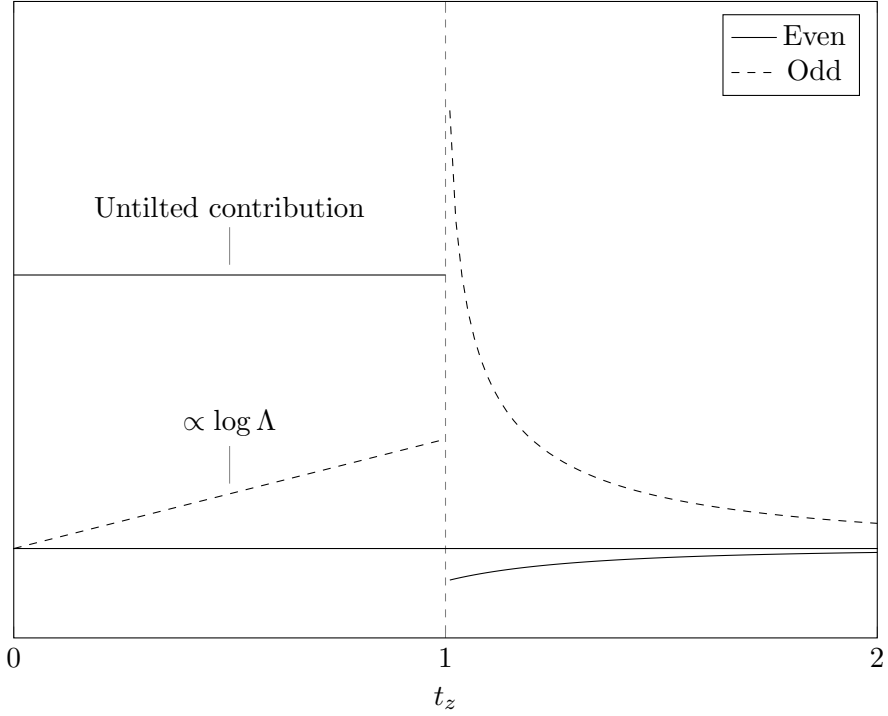


Figure 4.11.: Schematic summary of the contribution for parallel tilt  $t_z$ . Shown is the even (solid line) and odd (dashed line) parts as a function of  $t_z$ . As explained in the main text and shown in Table 4.3, the total contribution for a pair of cones is given by the sum of the components in inversion symmetric systems, and by the even component for broken inversion symmetric systems. Note that, as the contributions depend on factors such as the number of Landau levels and momentum cutoff, their relative magnitudes in the sketch are of little importance.

### 4.6.3. Other observations

We here present some further observations that are of interest, which we have been unable to investigate further due to time constraints. We therefore do not have conclusive result, and do not want to present them together with the main results. However, they are of great importance, and will be investigated further in future work.

#### Perpendicular tilt with only zeroth level transitions

Above, we defined the prefactor  $\gamma_N$  for perpendicular tilt as all transitions  $m \rightarrow n$ ,  $|m|, |n| < N$ ; in other words, all transitions between Landau levels up to some cutoff level  $N$ . However, there are other possible ways one may consider including the Landau level cutoff. In the untilted case, the *dipolar* selection rule  $M = N + 1$  makes the choice obvious. With no such selection rule, the choice is however less obvious, and one other natural choice would be the following. Assuming a large magnetic field, only the lowest Landau level is occupied [Che+21], and so it would be natural to only consider  $0 \rightarrow n$ ,  $|n| < N$  transitions. Doing this, the resulting response is very interesting! If we define by  $\gamma_N$  the sum of all  $0 \rightarrow n$ ,  $|n| < N$  transitions, and compute  $\gamma_N$  as a function of the tilt  $t_x$  for various  $N$ , we get the result shown in figure 4.12. When including transitions to higher Landau levels,  $\gamma_N$  as a function of  $t_x$  is no longer strictly decreasing – it has a maximum at  $0 < t_x < 1$ ! This would be a very interesting experimental signature.

One pertinent question is how the cutoff level  $N$  should be found in this context. The cutoff  $N$  is not tunable in any obvious way – we have already assumed the system to be in the deep quantum limit where only the zeroth level is Landau level is filled. We do note that the effect of including ever-higher levels is diminishing; the  $0 \rightarrow n$  contributions become smaller for higher  $n$ , and seem to converge as  $n$  becomes large<sup>11</sup>.

The procedure, however, is not rigorous. Due to time limitations, we have not been able to investigate this effect further in time for this print, however, we plan to do a more rigorous treatment in the future. In particular, this shows the importance of the choice of how one truncates the Landau level sum when there is no dipolar selection rule; with a dipolar selection rule, as is the case for no tilt and parallel tilt, the truncation yields only additional numerical factors, but does not change the behavior as a function of the tilt magnitude. Here, however, it qualitatively changes the response as a function

<sup>11</sup>This, of course, warrants an investigation into the  $0 \rightarrow n$  transition contributions shown in Fig. 4.7, to find if they are indeed decreasing faster than  $1/n$ .

of the tilt!

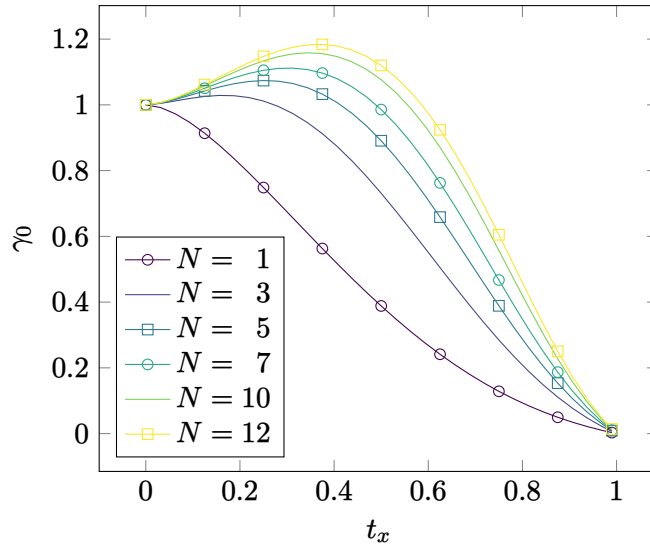


Figure 4.12.: Numerically computed values of the prefactor  $\gamma_N$  with only the first Landau level included for perpendicular tilt  $t_x$ . The contribution is even in  $t_x$ , and vanishes as  $|t_x| \rightarrow 1$ . For clarity, only every 4th mark is drawn.

### Experimental signature at finite potential and temperature

In real materials, the Fermi level is close to, but not exactly at, the Dirac point. Arjona, Chernodub, and Vozmediano [ACV19] investigated this, which is of great interest with regard to experimental observations, by extending the computation to finite chemical potential and temperature. For a sufficiently large magnetic field, only the zeroth Landau level is filled [ACV19; Voz21], and the only transitions are the  $0 \rightarrow \pm 1$  transitions. For a chemical potential  $\mu$  small enough to be contained between the  $\pm 1$  Landau levels, i.e.  $|\mu|/(v_F \sqrt{2eB}) < 1$ , the response function was found to be invariant. Furthermore, for a finite temperature, it was found that thermally activated carriers increased the magnitude of the effect, with a stable plateau around  $\mu = 0$ . The width of the plateau is inversely proportional to the temperature. See figure 4.13.

As tilt is introduced, the energy interval in which one only has the zeroth Landau level is reduced, and as  $t \rightarrow 1$  the interval vanishes. So as the system is tilted, the width of the plateau is reduced. We reproduced the calculation



for finite potential and temperature<sup>12</sup> in the untilted situation, but have not yet extended the computation to the tilted case. This should not present any major differences to what has been done in this work, other than including the Fermi-Dirac distribution when evaluating the  $k_z$  integral in the response function. However, both the issue of which Landau levels to include in the case of perpendicular tilt and the momentum cutoff in the case of parallel tilt has to be given extra care.

We may, however, make some conclusions without explicit calculations in the case of parallel tilt. We restrict ourselves to the Type-I case, where the aforementioned gap is finite. In the case of zero temperature, the situation is rather easy. Recall that the contribution is  $\gamma_N^0 + \gamma_{\text{div},N}$ . The first term explicitly depends on the chemical potential, but it is also independent of the tilt. The second term is tilt-dependent, and the chemical potential enters only through the Fermi-Dirac distribution; when the chemical potential is within the gap, the distribution is independent of the chemical potential, for zero temperature. Thus, the plateau is retained, with a potential independent addition  $\gamma_{\text{div},N}$ ; the width of the plateau, however, is reduced according to the width of the gap. At finite temperature, the thermal activation makes the above argument invalid, as the distribution function in the tilt-dependent term will also be affected by the chemical potential.

---

<sup>12</sup>Using the non-symmetric choice of the energy-momentum tensor, as opposed to the symmetric one used in the original calculation [ACV19].

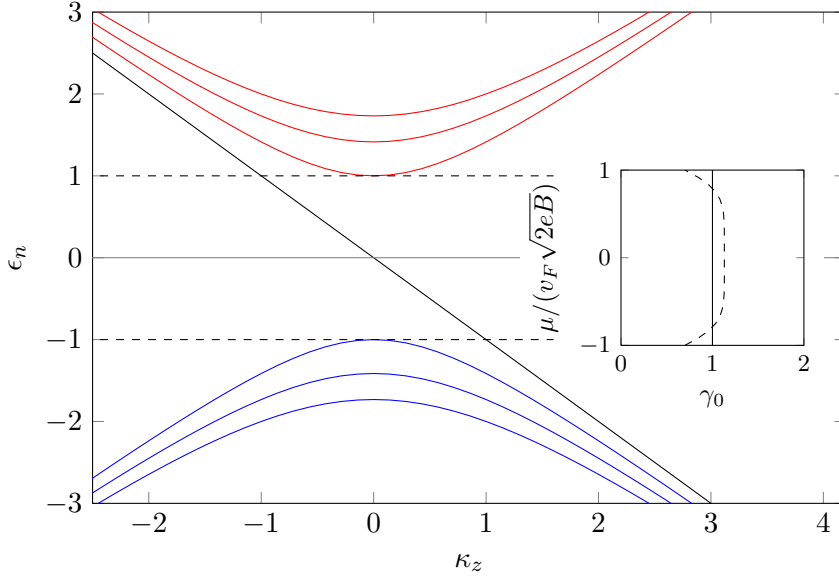


Figure 4.13.: The Landau level of an untilted Weyl cone. The inset shows the prefactor  $\gamma_0$  of the response function for a small finite potential  $\mu$ , within the energy interval indicated with dashed lines. In the inset, the solid line is computed at zero temperature, while the dashed line is computed at a small finite temperature. Figure inspired by Arjona, Chernodub, and Vozmediano [ACV19].

# Conclusion and outlook

We found the response function  $\chi$  to be tunable with the tilt parameter  $t$ . The behavior Landau levels of the tilted Weyl cone is massively different depending on the direction of the tilt with respect to the magnetic field. We found the behavior of  $\chi$  to also be massively different depending on the direction.

The results also offer potential experimental signatures. The response of parallel tilt is odd in the tilt  $t_{\parallel}$  and the response of perpendicular tilt is suppressed with increasing tilt  $t_{\perp}$ . We believe this opens up the possibility of various experimental designs. One example is to rotate the sample in a magnetic field, such that the tilt goes from being parallel to perpendicular. The response of perpendicular tilt discussed in Section 4.6.3, also shown in Fig. 4.12, is a good candidate for an experimental signature as well, however it requires some more work before it is sufficiently rigorous.

**- Dramatic change around the transition from type I to type II**  
**- Opposed to what Sharma found in their semiclassical boltzman computation of chiral anomaly, where there is no abrupt change**

**Discuss whether Type-II is anomalous? We have DoS, so energy scale, and thus maybe not conformal contribution? (Maybe compare with no abrupt change for chiral anomaly from type i to type ii) i.e. we break the scale invariance when we tilt to type ii only the 0th that is truly conformal?? This is probably more result than conclusion material...**

The calculation has also demonstrated the importance of a correct treatment of the energy-momentum tensor. Depending on the definition used, the resulting response is qualitatively different for tilted systems, as opposed to untilted systems.

After this work, we have several unanswered questions, and believe this to be a fruitful topic for the future. Here we propose a selection of ideas and questions that are relevant, which are direct extensions of the work in this thesis.

**Tilt parallel to temperature gradient** Due to time constraints, we were not able to extend the calculation to tilt parallel to  $\nabla T$  in time for writing the thesis. This is a natural extension, and we hope to be able to do this for a manuscript currently being written.

**The energy-momentum tensor** The ambiguity related to the energy-momentum tensor, discussed in Section 4.1.2 is still an open question, which should be explored more. Much literature has been written on the topic, both in general [FR04] and specific to Dirac and Weyl semimetals [vdWS19; ACV19]. We have had discussions on the topic with María Vozmediano and Alberto Cortijo, and have several venues that we wish to explore further on this question.

One of our current ideas involve a fully covariant calculation, absorbing the tilt directly in the metric instead of explicitly including it in the Lagrangian. That will involve combining the curvature of the tilt and Luttinger's perturbation in a Kubo calculation. It is of interest to see if this leads to the same expressions as we found from having explicit tilt in flat space.

- Important symmetries, g<sub>mn</sub> non-symmetric, related to torsion and stress tensors

**Finite chemical potential and temperature** As was discussed in Section 4.6.3 it would be interesting to extend the calculation to finite potential and temperature. In the untitled case, the response has a stable plateau as a function of chemical potential even for finite temperature, related to the energy gap between the  $\pm 1$  Landau levels, where there is only the zeroth Landau level. As the cone is tilted, the gap is reduced, and vanishes at the transition between Type-I and Type-II. An explicit calculation of this is a natural next steps, and requires only minor adaptations of the work done here.

# Long expressions not included in the main text



Listing A.1: Expression for Type-II interband transition with  $t_z > 1$ , given in Mathematica format.

---

```
(m/(-1 + tz^2) - (2*m*tz)/(-1 + tz^2) - (4*(-1 + m)*m*
  tz)/(-1 + tz^2) + (m*tz^2)/(-1 + tz^2) +
  tz*Sqrt[(m*tz^2*(1 + (-1 + m)*tz^2))/(-1 + tz^2)^2] +
    2*(-1 + m)*tz*Sqrt[(m*tz^2*(1 + (-1 + m)*tz^2))
      /(-1 + tz^2)^2] -
  Sqrt[m + (-1 + m)*m*tz^2]/(-1 + tz^2) - (2*(-1 + m)*
    Sqrt[m + (-1 + m)*m*tz^2])/(-1 + tz^2) +
  (2*tz*Sqrt[m + (-1 + m)*m*tz^2])/(-1 + tz^2) + 2*(-1
    + m)*Log[(1 - Sqrt[(1 + (-1 + m)*tz^2)/m])/(1 + tz
      )] +
  (-1 + m)*tz*Log[(1 - Sqrt[(1 + (-1 + m)*tz^2)/m])/(1
    + tz)] -
  2*(-1 + m)^2*Log[((1 + tz)*Sqrt[m/(-1 + tz^2)])/(Sqrt
    [m/(-1 + tz^2)] - Sqrt[(1 + (-1 + m)*tz^2)/(-1 +
      tz^2)])] -
  (-2*(-1 + m)^(3/2)*Sqrt[-1 + m*tz^2] + (-1 + tz)*Sqrt
    [(-1 + m)*(-1 + m*tz^2)] -
    tz*(2*m^2 - (1 + tz)*(-1 + Sqrt[(-1 + m)*(-1 + m*tz
      ^2)]) + m*(-3 + tz*(-1 + 2*Sqrt[(-1 + m)*(-1 + m
        *tz^2)]))) -
  (1 - m)*(-1 + tz)*(-1 + (1 + tz)*(2 + tz)*Log[-((-1
    + tz)*Sqrt[(-1 + m)/(-1 + tz^2)])]) -
  (-1 + tz^2)*(-2 + m*(2 + tz))*Log[(Sqrt[-1 + m] +
    Sqrt[-1 + m*tz^2])/Sqrt[-1 + tz^2]] +
  tz*Log[(-(Sqrt[-1 + m]*tz) + Sqrt[-1 + m*tz^2])/
    Sqrt[-1 + tz^2]] -
  tz^3*Log[(-(Sqrt[-1 + m]*tz) + Sqrt[-1 + m*tz^2])/
    Sqrt[-1 + tz^2]] -
  2*(-1 + m)^2*(tz + (-1 + tz^2)*Log[(-1 + m + Sqrt
    [(-1 + m)*(-1 + m*tz^2)])/(-1 + m + tz - m*tz)])
    )/(-1 + tz^2) -
```

$$t_z * \text{Log}[(m * t_z - \text{Sqrt}[m + (-1 + m) * m * t_z^2]) / (-1 + t_z)]$$


---

Listing A.2: Expression for Type-II interband transition with  $t_z < -1$ , given in Mathematica format.

---

$$\begin{aligned} & (1 + \text{Sqrt}[(-1 + m) * (-1 + m * t_z^2)] - 2 * m * \text{Sqrt}[(-1 + m) * \\ & \quad * (-1 + m * t_z^2)] - \text{Sqrt}[m + (-1 + m) * m * t_z^2] + \\ & \quad 2 * m * \text{Sqrt}[m + (-1 + m) * m * t_z^2] + (-1 + m) * (2 + t_z) * \text{Log} \\ & \quad [ - ((1 + t_z) * \text{Sqrt}[(-1 + m) / (-1 + t_z^2)]) ] + \\ & \quad (-2 + m * (2 + t_z)) * \text{Log}[ - ((-1 + t_z) * \text{Sqrt}[m / (-1 + t_z^2)] \\ & \quad ) ] + 2 * \text{Log}[(\text{Sqrt}[m] * (1 - t_z)) / (\text{Sqrt}[m] + \text{Sqrt}[1 + \\ & \quad (-1 + m) * t_z^2])] - \\ & \quad 4 * m * \text{Log}[(\text{Sqrt}[m] * (1 - t_z)) / (\text{Sqrt}[m] + \text{Sqrt}[1 + (-1 + \\ & \quad m) * t_z^2])] + \\ & \quad 2 * m^2 * \text{Log}[(\text{Sqrt}[m] * (1 - t_z)) / (\text{Sqrt}[m] + \text{Sqrt}[1 + (-1 \\ & \quad + m) * t_z^2])] + \\ & \quad 2 * \text{Log}[(\text{Sqrt}[m] + \text{Sqrt}[1 + (-1 + m) * t_z^2]) / \text{Sqrt}[-1 + \\ & \quad t_z^2]] - 2 * m * \text{Log}[(\text{Sqrt}[m] + \text{Sqrt}[1 + (-1 + m) * t_z \\ & \quad ^2]) / \text{Sqrt}[-1 + t_z^2]] + \\ & \quad t_z * \text{Log}[(\text{Sqrt}[m] + \text{Sqrt}[1 + (-1 + m) * t_z^2]) / \text{Sqrt}[-1 + \\ & \quad t_z^2]] - m * t_z * \text{Log}[(\text{Sqrt}[m] + \text{Sqrt}[1 + (-1 + m) * t_z \\ & \quad ^2]) / \text{Sqrt}[-1 + t_z^2]] + \\ & \quad t_z * \text{Log}[ - (\text{Sqrt}[m] * t_z) + \text{Sqrt}[1 + (-1 + m) * t_z^2]) / \text{Sqrt} \\ & \quad [-1 + t_z^2]] - \\ & \quad t_z * \text{Log}[ - (\text{Sqrt}[-1 + m] * t_z) + \text{Sqrt}[-1 + m * t_z^2]) / \text{Sqrt} \\ & \quad [-1 + t_z^2]] - 2 * \text{Log}[(1 - \text{Sqrt}[(-1 + m * t_z^2) / (-1 + \\ & \quad m)]) / (1 + t_z)] + \\ & \quad 4 * m * \text{Log}[(1 - \text{Sqrt}[(-1 + m * t_z^2) / (-1 + m)]) / (1 + t_z)] \\ & \quad - 2 * m^2 * \text{Log}[(1 - \text{Sqrt}[(-1 + m * t_z^2) / (-1 + m)]) / (1 \\ & \quad + t_z)] + \\ & \quad 2 * \text{Log}[ - \text{Sqrt}[(-1 + m) / (-1 + t_z^2)] + \text{Sqrt}[(-1 + m * t_z \\ & \quad ^2) / (-1 + t_z^2)]] - \\ & \quad 2 * m * \text{Log}[ - \text{Sqrt}[(-1 + m) / (-1 + t_z^2)] + \text{Sqrt}[(-1 + m * t_z \\ & \quad ^2) / (-1 + t_z^2)]] - \\ & \quad m * t_z * \text{Log}[ - \text{Sqrt}[(-1 + m) / (-1 + t_z^2)] + \text{Sqrt}[(-1 + m * \\ & \quad t_z^2) / (-1 + t_z^2)]] \end{aligned}$$


---

Listing A.3: Expression for Type-II intraband transition with  $t_z > 1$ , given in Mathematica format.

---

---


$$\begin{aligned}
& (-((-1 + m) * m * t_z * \mathbf{AppellF1}[1, 1/2, 1/2, 2, (1 - t_z^2) \\
& \quad ^{-1}], (1 - m) / (m * (-1 + t_z^2))]) + \\
& m^2 * t_z * \mathbf{AppellF1}[1, 1/2, 1/2, 2, -(m / ((-1 + m) * (-1 + \\
& \quad t_z^2))], (1 - t_z^2)^{-1}] + \\
& (-1 + t_z^2) * (-2 * \mathbf{Sqrt}[(-1 + m) * m^3] + 2 * m^2 * \mathbf{Sqrt}[(-1 + \\
& \quad m) * (1 + (-1 + m) * t_z^2)] - 4 * m^3 * \mathbf{Sqrt}[(-1 + m) * (1 \\
& \quad + (-1 + m) * t_z^2)] + \\
& 2 * \mathbf{Sqrt}[m^3 * (-1 + m * t_z^2)] - 6 * \mathbf{Sqrt}[m^5 * (-1 + m * t_z \\
& \quad ^2)] + 4 * \mathbf{Sqrt}[m^7 * (-1 + m * t_z^2)] - \\
& (-2 * \mathbf{Sqrt}[(-1 + m) * m^5] + 2 * \mathbf{Sqrt}[(-1 + m) * m^7] + (- \\
& \quad \mathbf{Sqrt}[(-1 + m) * m^3] + \mathbf{Sqrt}[(-1 + m) * m^5]) * t_z) * \mathbf{Log} \\
& \quad [-1 + m] - \\
& 2 * \mathbf{Sqrt}[(-1 + m) * m^5] * \mathbf{Log}[m] + 2 * \mathbf{Sqrt}[(-1 + m) * m^7] * \\
& \quad \mathbf{Log}[m] + \mathbf{Sqrt}[(-1 + m) * m^5] * t_z * \mathbf{Log}[m] + \\
& 2 * \mathbf{Sqrt}[(-1 + m) * m^3] * t_z * \mathbf{Log}[1 + t_z] - \mathbf{Sqrt}[(-1 + m) \\
& \quad * m^3] * t_z * \mathbf{Log}[-1 + t_z^2] - \\
& 4 * \mathbf{Sqrt}[(-1 + m) * m^5] * \mathbf{Log}[(\mathbf{Sqrt}[m] + \mathbf{Sqrt}[1 + (-1 + \\
& \quad m) * t_z^2]) / \mathbf{Sqrt}[-1 + t_z^2]] + \\
& 4 * \mathbf{Sqrt}[(-1 + m) * m^7] * \mathbf{Log}[(\mathbf{Sqrt}[m] + \mathbf{Sqrt}[1 + (-1 + \\
& \quad m) * t_z^2]) / \mathbf{Sqrt}[-1 + t_z^2]] - \\
& 2 * \mathbf{Sqrt}[(-1 + m) * m^3] * t_z * \mathbf{Log}[(\mathbf{Sqrt}[m] + \mathbf{Sqrt}[1 + (-1 \\
& \quad + m) * t_z^2]) / \mathbf{Sqrt}[-1 + t_z^2]] + \\
& 2 * \mathbf{Sqrt}[(-1 + m) * m^5] * t_z * \mathbf{Log}[(\mathbf{Sqrt}[m] + \mathbf{Sqrt}[1 + (-1 \\
& \quad + m) * t_z^2]) / \mathbf{Sqrt}[-1 + t_z^2]] + \\
& 4 * \mathbf{Sqrt}[(-1 + m) * m^5] * \mathbf{Log}[(\mathbf{Sqrt}[-1 + m] + \mathbf{Sqrt}[-1 + \\
& \quad m * t_z^2]) / \mathbf{Sqrt}[-1 + t_z^2]] - \\
& 4 * \mathbf{Sqrt}[(-1 + m) * m^7] * \mathbf{Log}[(\mathbf{Sqrt}[-1 + m] + \mathbf{Sqrt}[-1 + \\
& \quad m * t_z^2]) / \mathbf{Sqrt}[-1 + t_z^2]] - \\
& 2 * \mathbf{Sqrt}[(-1 + m) * m^5] * t_z * \mathbf{Log}[(\mathbf{Sqrt}[-1 + m] + \mathbf{Sqrt}[-1 \\
& \quad + m * t_z^2]) / \mathbf{Sqrt}[-1 + t_z^2]]) / (2 * \mathbf{Sqrt}[-1 + m] * m \\
& \quad ^{(3/2)} * (-1 + t_z^2))
\end{aligned}$$


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Listing A.4: Expression for Type-II intraband transition with  $t_z < -1$ , given in Mathematica format.

---


$$\begin{aligned}
& (4 * m * (-1 + t_z) * \mathbf{Sqrt}[(-1 + m) * (1 + (-1 + m) * t_z^2)] * (-1 + \\
& \quad \mathbf{Abs}[t_z]) - 4 * (-1 + m) * (-1 + t_z) * \mathbf{Sqrt}[m * (-1 + m * t_z \\
& \quad ^2)] * (-1 + \mathbf{Abs}[t_z]) + \\
& 8 * (-1 + m)^{(3/2)} * m * \mathbf{Sqrt}[1 + (-1 + m) * t_z^2] * (1 + t_z * \\
& \quad \mathbf{Abs}[t_z]) - 8 * (-1 + m)^2 * \mathbf{Sqrt}[m * (-1 + m * t_z^2)] * (1 +
\end{aligned}$$

$$\begin{aligned}
 & tz * \text{Abs}[tz] + \\
 & 2 * (-1 + m) * tz * (\text{AppellF1}[1, 1/2, 1/2, 2, (1 - tz^2) \\
 & \quad ^{-1}, (1 - m)/(m * (-1 + tz^2))] - \\
 & \quad \text{AppellF1}[1, 1/2, 1/2, 2, m/(-1 + m - (-1 + m) * tz^2) \\
 & \quad , (1 - tz^2)^{-1}]) - \\
 & 2 * tz * \text{AppellF1}[1, 1/2, 1/2, 2, m/(-1 + m - (-1 + m) * tz \\
 & \quad ^2), (1 - tz^2)^{-1}] - \\
 & 4 * (-1 + m)^{5/2} * \text{Sqrt}[m] * (-1 + tz^2) * (\text{Log}[(-1 + m)/m] \\
 & \quad - 2 * \text{Log}[(\text{Sqrt}[m] + \text{Sqrt}[1 + (-1 + m) * tz^2])/\text{Sqrt} \\
 & \quad [-1 + tz^2]]) + \\
 & 2 * \text{Log}[(\text{Sqrt}[-1 + m] + \text{Sqrt}[-1 + m * tz^2])/\text{Sqrt}[-1 + \\
 & \quad tz^2]]) - \text{Sqrt}[(-1 + m) * m] * (-1 + tz) * \\
 & (-4 + 4 * \text{Abs}[tz] + tz * \text{Log}[m/(-1 + tz^2)] + 4 * tz * \text{Log}[( \\
 & \quad \text{Sqrt}[-1 + m] + \text{Sqrt}[-1 + m * tz^2])/\text{Sqrt}[-1 + tz \\
 & \quad ^2]]) + \\
 & 4 * tz^2 * \text{Log}[(\text{Sqrt}[-1 + m] + \text{Sqrt}[-1 + m * tz^2])/\text{Sqrt} \\
 & \quad [-1 + tz^2]]) + 2 * tz * \text{Log}[1 + \text{Abs}[tz]] - \\
 & 6 * tz * \text{Log}[\text{Sqrt}[m/(-1 + tz^2)] * (1 + \text{Abs}[tz])] - 4 * tz \\
 & \quad ^2 * \text{Log}[\text{Sqrt}[m/(-1 + tz^2)] * (1 + \text{Abs}[tz])] + \\
 & (-1 + m)^{3/2} * \text{Sqrt}[m] * (8 * tz + 8 * \text{Abs}[tz] + \text{Log}[(-1 + \\
 & \quad m)/m] - tz^2 * \text{Log}[(-1 + m)/(-1 + tz^2)] + tz^2 * \text{Log}[ \\
 & \quad m/(-1 + tz^2)] - \\
 & 8 * \text{Log}[(\text{Sqrt}[m] + \text{Sqrt}[1 + (-1 + m) * tz^2])/\text{Sqrt}[-1 + \\
 & \quad tz^2]]) - 4 * tz * \text{Log}[(\text{Sqrt}[m] + \text{Sqrt}[1 + (-1 + m) * \\
 & \quad tz^2])/\text{Sqrt}[-1 + tz^2]]) + \\
 & 8 * tz^2 * \text{Log}[(\text{Sqrt}[m] + \text{Sqrt}[1 + (-1 + m) * tz^2])/\text{Sqrt} \\
 & \quad [-1 + tz^2]]) + \\
 & 4 * tz^3 * \text{Log}[(\text{Sqrt}[m] + \text{Sqrt}[1 + (-1 + m) * tz^2])/\text{Sqrt} \\
 & \quad [-1 + tz^2]]) + \\
 & 8 * \text{Log}[(\text{Sqrt}[-1 + m] + \text{Sqrt}[-1 + m * tz^2])/\text{Sqrt}[-1 + \\
 & \quad tz^2]]) + 4 * tz * \text{Log}[(\text{Sqrt}[-1 + m] + \text{Sqrt}[-1 + m * tz \\
 & \quad ^2])/\text{Sqrt}[-1 + tz^2]]) - \\
 & 8 * tz^2 * \text{Log}[(\text{Sqrt}[-1 + m] + \text{Sqrt}[-1 + m * tz^2])/\text{Sqrt} \\
 & \quad [-1 + tz^2]]) - \\
 & 4 * tz^3 * \text{Log}[(\text{Sqrt}[-1 + m] + \text{Sqrt}[-1 + m * tz^2])/\text{Sqrt} \\
 & \quad [-1 + tz^2]]) + 6 * \text{Log}[\text{Sqrt}[(-1 + m)/(-1 + tz^2) \\
 & \quad ] * (1 + \text{Abs}[tz])] + \\
 & 4 * tz * \text{Log}[\text{Sqrt}[(-1 + m)/(-1 + tz^2)] * (1 + \text{Abs}[tz])] \\
 & \quad - 6 * tz^2 * \text{Log}[\text{Sqrt}[(-1 + m)/(-1 + tz^2)] * (1 + \text{Abs} \\
 & \quad [tz])] -
 \end{aligned}$$



---


$$\begin{aligned}
& 4*tz^3*\text{Log}[\text{Sqrt}[(-1 + m)/(-1 + tz^2)]*(1 + \text{Abs}[tz])] \\
& \quad ] - 6*\text{Log}[\text{Sqrt}[m/(-1 + tz^2)]*(1 + \text{Abs}[tz])] - \\
& 4*tz*\text{Log}[\text{Sqrt}[m/(-1 + tz^2)]*(1 + \text{Abs}[tz])] + 6*tz \\
& \quad ^2*\text{Log}[\text{Sqrt}[m/(-1 + tz^2)]*(1 + \text{Abs}[tz])] + \\
& 4*tz^3*\text{Log}[\text{Sqrt}[m/(-1 + tz^2)]*(1 + \text{Abs}[tz])])]/(4* \\
& \quad \text{Sqrt}[(-1 + m)*m]*(-1 + tz^2))
\end{aligned}$$


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# Contributions from symmetric energy-momentum tensor

As noted in the main text, there are some subtlety in the definition of the energy-momentum tensor. The *canonical* definition, which we have used in the main text, is in general not symmetric. In the calculation by Arjona, Chernodub, and Vozmediano[ACV19], the symmetrized<sup>1</sup> energy-momentum tensor

$$T_S^{\mu\nu} = \frac{T^{\mu\nu} + T^{\nu\mu}}{2}$$

was used. In this appendix we show the contributions of the symmetric tensor. The contributions from  $T^{\mu\nu}$  and  $T^{\nu\mu}$  is shown to be equal in the non-tilted case, while they differ in the tilted case.

In the main text we have already found the contributions from the canonical tensor, and so we focus here on the contributions from  $T_F^{\mu\nu} = T^{\nu\mu}$ . The relevant element is  $T_F^{y0} = T^{0y}$ .

The tilted canonical energy-momentum tensor, Eq. (4.98),

$$T^{\mu\nu} = \frac{i}{2}(\phi^\dagger \tilde{\sigma}_s^\mu \partial_\nu \phi - \partial_\nu \phi^\dagger \tilde{\sigma}_s^\mu \phi - \eta^{\mu\nu} \mathcal{L}),$$

and so the symmetric tensor is

$$T_S^{\mu\nu} = \frac{i}{2}(\phi^\dagger \tilde{\sigma}_s^\mu \overset{\leftrightarrow}{\partial}_\nu \phi + \phi^\dagger \tilde{\sigma}_s^\nu \overset{\leftrightarrow}{\partial}_\mu \phi - \eta^{\mu\nu} \mathcal{L}), \quad (\text{B.1})$$

where we used the notation  $\phi^\dagger \overset{\leftrightarrow}{\partial} \phi = (\phi^\dagger \partial \phi - (\partial \phi^\dagger) \phi)/2$ . We split  $T_S^{y0}$  into three parts; the first part corresponds to the canonical energy-momentum tensor, while the two latter correspond to the two terms of  $T_F^{y0}$ . Explicitly

$$T_S^{y0} = \underbrace{\frac{i}{2} \phi^\dagger \tilde{\sigma}_s^y \overset{\leftrightarrow}{\partial}_0 \phi}_{T^{(1)}} + \underbrace{\frac{i}{4} \phi^\dagger \partial_y \phi}_{T^{(2)}} + \underbrace{\frac{i}{4} \phi^\dagger \partial_y \phi}_{T^{(3)}}. \quad (\text{B.2})$$

In other words, the first part is half that found in the main text, while the two latter are unique to the symmetric tensor. For convenience, we will for the rest of the appendix rename  $T^{\mu\nu} = T_S^{\mu\nu}$ .

<sup>1</sup>See section 4.1.2 for a more precise discussion on the symmetrization of the energy-momentum tensor.

## B.1. No tilt

Begin by considering the matrix elements

$$T_{k+qns, kms}^{0y(2)}(q) = +\frac{1}{4} \int dy e^{iq_y y} v_F \phi_{k+qns}^*(y) p_y \phi_{kms}(y), \quad (\text{B.3})$$

$$T_{k+qns, kms}^{0y(3)}(q) = -\frac{1}{4} \int dy e^{iq_y y} v_F \left( p_y \phi_{k+qns}^*(y) \right) \phi_{kms}(y). \quad (\text{B.4})$$

Recall that  $\phi_{kms}(y)$ , defined in Eq. (4.117), consists of two  $y$ -dependent factors:  $\exp \left[ -\frac{(y-k_x l_B^2)^2}{2l_B^2} \right]$  and the Hermite polynomials. The operator  $p_y$  thus produces two terms when operating on  $\phi$ . The first term, coming from the exponent, is proportional to  $y - k_x l_B^2$ . The operator in Eqs. (B.3) and (B.4) acts on  $\phi$  with the quantum number  $k$  and  $k+q$ , respectively; when summing the two contributions, everything thus cancels except for a term proportional to  $q_x$ , which vanishes in the local limit.

It remains to consider the result of  $p_y$  operating on the Hermite polynomials. Let  $\tilde{p}_y$  indicate the  $p_y$  operator acting only on the Hermite polynomial part of  $\phi$ , and use the property of Hermite polynomials  $\partial_x H_n(x) = 2n H_{n-1}(x)$  [Olv+, Eq. 18.9.25].

$$\begin{aligned} \phi_{k+qns}^*(y) \tilde{p}_y \phi_{kms} &= -i\hbar \exp \left\{ -\frac{(y-k_x l_B^2)^2 + (y-(k_x+q_x)l_B^2)^2}{2l_B^2} \right\} \\ &\frac{2}{l_B} \left\{ (M-1) a_{kms} a_{k+qns} H_{M-2} \left( \frac{y-k_x l_B^2}{l_B} \right) H_{N-1} \left( \frac{y-(k_x+q_x)l_B^2}{l_B} \right) \right. \\ &\quad \left. + M b_{kms} b_{k+qns} H_{M-1} \left( \frac{y-k_x l_B^2}{l_B} \right) H_N \left( \frac{y-(k_x+q_x)l_B^2}{l_B} \right) \right\}. \quad (\text{B.5}) \end{aligned}$$

Completing the square, we get

$$\begin{aligned} \int dy e^{iq_y y} \phi_{k+qns}^*(y) \tilde{p}_y \phi_{kms}(y) &= -i\hbar \exp \left[ -\frac{l_B^2}{4} \left\{ q_y^2 - 2iq_y(2k_x+q_x) \right\} \right] \\ &\int dy \exp \left[ -\left\{ y + \frac{l_B^2}{2} (-iq_y - 2k_x - q_x) \right\}^2 / l_B^2 \right] \\ &\frac{2}{l_B} \left\{ (M-1) a_{kms} a_{k+qns} H_{M-2} \left( \frac{y-k_x l_B^2}{l_B} \right) H_{N-1} \left( \frac{y-(k_x+q_x)l_B^2}{l_B} \right) \right. \\ &\quad \left. + M b_{kms} b_{k+qns} H_{M-1} \left( \frac{y-k_x l_B^2}{l_B} \right) H_N \left( \frac{y-(k_x+q_x)l_B^2}{l_B} \right) \right\}. \quad (\text{B.6}) \end{aligned}$$

Upon introducing  $\tilde{y} = \frac{y}{l_B} + l_B(-iq_y - q_x - 2k_x)/2$ , as was also done in the main text, the expression reduces to

$$\begin{aligned} \int dy e^{iq_y y} \phi_{k+qns}^*(y) \tilde{p}_y \phi_{kms}(y) &= -i\hbar \exp \left[ -\frac{l_B^2}{4} \{q_x^2 + q_y^2 - 2iq_y(2k_x + q_x)\} \right] \\ &\quad \int d\tilde{y} l_B \exp[-\tilde{y}^2] \\ &\quad \frac{2}{l_B} \left\{ (M-1) a_{kms} a_{k+qns} H_{M-2} \left( \tilde{y} + \frac{l_B}{2}(iq_y + q_x) \right) H_{N-1} \left( \tilde{y} + \frac{l_B}{2}(iq_y - q_x) \right) \right. \\ &\quad \left. + M b_{kms} b_{k+qns} H_{M-1} \left( \tilde{y} + \frac{l_B}{2}(iq_y + q_x) \right) H_N \left( \tilde{y} + \frac{l_B}{2}(iq_y - q_x) \right) \right\}. \quad (\text{B.7}) \end{aligned}$$

Considering now the local limit  $q \rightarrow 0$ , the expression greatly simplifies, and we may use the orthogonality relation for the Hermite polynomials Eq. (4.123)

$$\int_{-\infty}^{\infty} dx e^{-x^2} H_n(x) H_m(x) = \sqrt{\pi} 2^n n! \delta_{n,m}$$

to evaluate the integral.

$$\lim_{q \rightarrow 0} \int dy e^{iq_y y} \phi_{k+qns}^*(y) \tilde{p}_y \phi_{kms}(y) = -i\hbar \sqrt{2} \frac{\alpha_{kms} \alpha_{kns} \sqrt{M-1} + \sqrt{M}}{l_B \sqrt{\alpha_{kms}^2 + 1} \sqrt{\alpha_{kns}^2 + 1}} \delta_{N,M-1}. \quad (\text{B.8})$$

Similarly, for  $T_{k+qns,kms}^{0y(3)}(q)$ , one has

$$\begin{aligned} \left( \tilde{p}_y \phi_{k+qns}^*(y) \right) \phi_{kms}(y) &= -i\hbar \exp \left\{ -\frac{(y - k_x l_B^2)^2 + (y - (k_x + q_x) l_B^2)^2}{2l_B^2} \right\} \\ &\quad \frac{2}{l_B} \left\{ (N-1) a_{kms} a_{k+qns} H_{M-1} \left( \frac{y - k_x l_B^2}{l_B} \right) H_{N-2} \left( \frac{y - (k_x + q_x) l_B^2}{l_B} \right) \right. \\ &\quad \left. + N b_{kms} b_{k+qns} H_M \left( \frac{y - k_x l_B^2}{l_B} \right) H_{N-1} \left( \frac{y - (k_x + q_x) l_B^2}{l_B} \right) \right\} \quad (\text{B.9}) \end{aligned}$$

which with the same procedure as above gives

$$\lim_{q \rightarrow 0} \int dy e^{iq_y y} \left( \tilde{p}_y \phi_{k+qns}^*(y) \right) \phi_{kms}(y) = -i\hbar \sqrt{2} \frac{\alpha_{kms} \alpha_{kns} \sqrt{N-1} + \sqrt{N}}{l_B \sqrt{\alpha_{kms}^2 + 1} \sqrt{\alpha_{kns}^2 + 1}} \delta_{M,N-1}. \quad (\text{B.10})$$

### Summary 7

In the untilted case, we have

$$\lim_{q \rightarrow 0} T_{kns, kms}^{y0(2)} = -\frac{i\hbar\sqrt{2}}{4} \frac{\alpha_{kms}\alpha_{kns}\sqrt{M-1} + \sqrt{M}}{l_B\sqrt{\alpha_{kms}^2 + 1}\sqrt{\alpha_{kns}^2 + 1}} \delta_{N, M-1}, \quad (\text{B.11})$$

$$\lim_{q \rightarrow 0} T_{kns, kms}^{y0(3)} = \frac{i\hbar\sqrt{2}}{4} \frac{\alpha_{kms}\alpha_{kns}\sqrt{N-1} + \sqrt{N}}{l_B\sqrt{\alpha_{kms}^2 + 1}\sqrt{\alpha_{kns}^2 + 1}} \delta_{M, N-1}. \quad (\text{B.12})$$

## B.2. With tilt

In the tilted case, we have shown in the main text that

insert ref

$$T^{\mu 0} = \frac{i}{2} \left[ \partial_i \bar{\psi} \Gamma^j \gamma^0 \Gamma^\mu \psi - \bar{\psi} \Gamma^\mu \gamma^0 \Gamma^j \partial_j \psi \right].$$

Swapping the indices, we have for  $\mu \neq 0$  [vdWS19]

$$T^{0i} = \frac{i}{2} [\bar{\psi} \gamma^0 \partial^\mu \psi - \partial^\mu \bar{\psi} \gamma^0 \psi].$$

In our work, we have considered only tilt perpendicular to the thermal gradient, so the component of the energy-momentum tensor of interest are not affected by the tilt.

or

$$T_{k+qns, kms}^{0y(2)}(q) = +\frac{1}{4} \int dy e^{iq_y y} v_F \phi_{k+qns}^*(y) p_y \phi_{kms}(y), \quad (\text{B.13})$$

$$T_{k+qns, kms}^{0y(3)}(q) = -\frac{1}{4} \int dy e^{iq_y y} v_F (p_y \phi_{k+qns}^*(y)) \phi_{kms}(y). \quad (\text{B.14})$$

Firstly, we note that

$$[p_y, e^{\theta/2\sigma_x}] = 0.$$

Furthermore, exactly as for the untilted case, the momentum operator acting on the exponential prefactor of  $\phi$  gives contributions proportional to  $q_x$ . In the local limit  $q \rightarrow 0$  this term vanishes, and we need only consider the effect of the momentum operator acting on the Hermite polynomials.

Denote by  $\tilde{p}_y$  the momentum operator  $p_y$  acting only on the Hermite polynomial part of  $\phi$ . Furthermore, we will use the property of Hermite

polynomials  $\partial_x H_n(x) = 2nH_{n-1}(x)$  [Olv+, Eq. 18.9.25].

$$\tilde{p}_y \phi_{kms} = -i\hbar e^{\theta/2\sigma_x} e^{-\frac{1}{2}\chi^2} \partial_y \left( \frac{a_{kms} H_{M-1}(\chi)}{b_{kms} H_M(\chi)} \right) \quad (\text{B.15})$$

$$= -i\hbar e^{\theta/2\sigma_x} e^{-\frac{1}{2}\chi^2} 2 \frac{\partial \chi}{\partial y} \left( \frac{a_{kms}(M-1)H_{M-2}(\chi)}{b_{kms}(M)H_{M-1}(\chi)} \right) \quad (\text{B.16})$$

$$= -i\hbar e^{\theta/2\sigma_x} e^{-\frac{1}{2}\chi^2} \frac{2\sqrt{\alpha}}{l_B} \left( \frac{a_{kms}(M-1)H_{M-2}(\chi)}{b_{kms}(M)H_{M-1}(\chi)} \right). \quad (\text{B.17})$$

And thus, recalling that

$$e^{\theta\sigma_x} = \begin{pmatrix} 1 & -t_x \\ -t_x & 1 \end{pmatrix} \frac{1}{\sqrt{1-t_x^2}},$$

we find the product

$$\begin{aligned} \phi_{k+qns}^*(y) \tilde{p}_y \phi_{kms} &= -\frac{i\hbar 2\sqrt{\alpha}}{l_B \sqrt{1-t_x^2}} e^{-\frac{1}{2}\chi_k^2 - \frac{1}{2}\chi_{k+q}^2} \\ &\left[ a_{k+qns} H_{N-1}(\chi_{k+q}) \{a_{kms}(M-1)H_{M-2}(\chi_k) - t_x b_{kms} M H_{M-1}(\chi_k)\} \right. \\ &\left. + b_{k+qns} H_N(\chi_{k+q}) \{-t_x a_{kms}(M-1)H_{M-2}(\chi_k) + b_{kms} M H_{M-1}(\chi_k)\} \right]. \end{aligned} \quad (\text{B.18})$$

Completing the square and substituting

$$\tilde{y} = \frac{\sqrt{\alpha}}{l_B} \left( y - \frac{l_B^2}{2\alpha} (iq_y + (2k'_x + q'_x)) \right)$$

gives

$$\begin{aligned} \int dy e^{iq_y} \phi_{k+qns}^*(y) \tilde{p}_y \phi_{kms}(y) &= \exp \left[ -\frac{l_B^2}{4\alpha} (q_y^2 - 2i(2k'_x + q'_x)q_y + (q'_x)^2) \right] \\ &\times \frac{-i\hbar 2\sqrt{\alpha}}{l_B \sqrt{1-t_x^2}} \int d\tilde{y} \frac{l_B}{\sqrt{\alpha}} \\ &\times \left[ a_{k+qns} H_{N-1}(\chi_{k+q}) \{a_{kms}(M-1)H_{M-2}(\chi_k) - t_x b_{kms} M H_{M-1}(\chi_k)\} \right. \\ &\left. + b_{k+qns} H_N(\chi_{k+q}) \{-t_x a_{kms}(M-1)H_{M-2}(\chi_k) + b_{kms} M H_{M-1}(\chi_k)\} \right]. \end{aligned} \quad (\text{B.19})$$

We must now evaluate the integral, and express the result in the  $\Xi$ -functions, defined in Eqs. (4.164) and (4.165) of the main text.

$$\begin{pmatrix} a_{k+qn_s} H_{N-1}(\chi_{k+q}) \\ b_{k+qn_s} H_N(\chi_{k+q}) \end{pmatrix}^T \underbrace{\begin{pmatrix} 1 & -t_x \\ -t_x & 1 \end{pmatrix}}_T \begin{pmatrix} a_{kms}(M-1) H_{M-2}(\chi_k) \\ b_{kms} M H_{M-1}(\chi_k) \end{pmatrix}$$

For each of the entries in  $T$ , we get a product of Hermite polynomials. Where the untilted cone had two such terms, the tilt parameter  $t_x$  now gives two extra products, which we must evaluate. Let  $M_{ij}^{(2)}$  be the product corresponding to  $T_{ij}$ , i.e.

$$M_{11}^{(2)} = a_{k+qn_s} a_{kms} (M-1) H_{N-1}(\chi_{k+q}) H_{M-2}(\chi_k), \quad (\text{B.20})$$

$$M_{12}^{(2)} = -t_x a_{k+qn_s} b_{kms} M H_{N-1}(\chi_{k+q}) H_{M-1}(\chi_k), \quad (\text{B.21})$$

$$M_{21}^{(2)} = -t_x b_{k+qn_s} a_{kms} (M-1) H_N(\chi_{k+q}) H_{M-2}(\chi_k), \quad (\text{B.22})$$

$$M_{22}^{(2)} = b_{k+qn_s} b_{kms} M H_N(\chi_{k+q}) H_{M-1}(\chi_k). \quad (\text{B.23})$$

We want to evaluate

$$F_{ij}^{(2)} = \left[ (\alpha_{k_z ms}^2 + 1) (\alpha_{k_z + q_z ns}^2 + 1) \right]^{\frac{1}{2}} \int d\tilde{y} e^{-\tilde{y}^2} M_{ij}^{(2)}, \quad (\text{B.24})$$

with the prefactor introduced for later convenience.

Notice that

**Verify  $l_B$  in this section**

$$F_{12}^{(2)} = -t_x \sqrt{\alpha} \sqrt{\frac{M}{2}} \alpha_{k+q,n} \Xi_2(\bar{q}, m \mp 1, n). \quad (\text{B.25})$$

and

$$F_{21}^{(2)} = -t_x \sqrt{\alpha} \sqrt{\frac{M-1}{2}} \frac{a_{kms}^2}{l_B a_{km \mp 1s}} \Xi_1(\bar{q}, m \mp 1, n, s). \quad (\text{B.26})$$

$F_{11}^{(2)}$  and  $F_{22}^{(2)}$  are the same as for the untilted case:

$$F_{11}^{(2)} = \sqrt{\alpha} \frac{\alpha_{k_z ms} \alpha_{k_z + q_z ns} \sqrt{M-1}}{l_B \sqrt{2}} \Xi_1(\bar{q}, m \mp 1, n \mp 1, s), \quad (\text{B.27})$$

and

$$F_{22}^{(2)} = \sqrt{\alpha} \frac{\sqrt{M}}{l_B \sqrt{2}} \Xi_1(\bar{q}, m, n, s). \quad (\text{B.28})$$



In summary we have

$$T_{k+qns, kms}^{0y(2)}(q) = +\frac{v_F}{4} \int dy e^{iq_y y} \phi_{k+qns}^*(y) p_y \phi_{kms}(y) \quad (\text{B.29})$$

$$= -\frac{i\hbar v_F}{2} \Gamma_{kqmn}^+ \sum_{i,j} F_{ij}^{(2)}, \quad (\text{B.30})$$

where

$$\Gamma_{kqmn}^+ = \frac{\exp\left[-\frac{l_B^2}{4\alpha}(q_y^2 - 2i(2k'_x + q'_x)q_y + (q'_x)^2)\right]}{\left[(\alpha_{k_zms}^2 + 1)(\alpha_{k_z+q_zns}^2 + 1)\right]^{\frac{1}{2}} \sqrt{1-t_x^2}}$$

In a similar procedure, we find  $T_{k+qns, kms}^{0y(2)}(q)$ .

$$\tilde{p}_y \phi_{k+qns}^* = \frac{-i\hbar\sqrt{\alpha}}{l_B} e^{-\frac{1}{2}\chi^2} \begin{pmatrix} a_{k+qns}(M-1)H_{M-2}(\chi) \\ b_{k+qns}(M)H_{M-1}(\chi) \end{pmatrix}. \quad (\text{B.31})$$

And thus,

$$\begin{aligned} \left(\tilde{p}_y \phi_{k+qns}^*(y)\right) \phi_{kms} &= -\frac{i\hbar 2\sqrt{\alpha}}{l_B \sqrt{1-t_x^2}} e^{-\frac{1}{2}\chi_k^2 - \frac{1}{2}\chi_{k+q}^2} \\ &\left[ a_{k+qns}(N-1)H_{N-2}(\chi_{k+q}) \{a_{kms}H_{M-1}(\chi_k) - t_x b_{kms}H_M(\chi_k)\} \right. \\ &\left. + b_{k+qns}NH_{N-1}(\chi_{k+q}) \{-t_x a_{kms}H_{M-1}(\chi_k) + b_{kms}H_M(\chi_k)\} \right]. \quad (\text{B.32}) \end{aligned}$$

With the now well-known completion of the square and substitution, we have

$$\begin{aligned} \int dy e^{iq_y y} \left[\tilde{p}_y \phi_{k+qns}^*(y)\right] \phi_{kms}(y) &= \exp\left[-\frac{l_B^2}{4\alpha}(q_y^2 - 2i(2k'_x + q'_x)q_y + (q'_x)^2)\right] \\ &\times \frac{-i\hbar 2\sqrt{\alpha}}{l_B \sqrt{1-t_x^2}} \int d\tilde{y} \frac{l_B}{\sqrt{\alpha}} \\ &\times \left[ a_{k+qns}(N-1)H_{N-2}(\chi_{k+q}) \{a_{kms}H_{M-1}(\chi_k) - t_x b_{kms}H_M(\chi_k)\} \right. \\ &\left. + b_{k+qns}NH_{N-1}(\chi_{k+q}) \{-t_x a_{kms}H_{M-1}(\chi_k) + b_{kms}H_M(\chi_k)\} \right]. \quad (\text{B.33}) \end{aligned}$$

Denote the terms of the integrand by

$$M_{11}^{(3)} = a_{k+qns} a_{kms} (N-1) H_{N-2}(\chi_{k+q}) H_{M-1}(\chi_k), \quad (\text{B.34})$$

$$M_{12}^{(3)} = -t_x a_{k+qns} b_{kms} (N-1) H_{N-2}(\chi_{k+q}) H_M(\chi_k), \quad (\text{B.35})$$

$$M_{21}^{(3)} = -t_x b_{k+qns} a_{kms} N H_{N-1}(\chi_{k+q}) H_{M-1}(\chi_k), \quad (\text{B.36})$$

$$M_{22}^{(3)} = b_{k+qns} b_{kms} N H_{N-1}(\chi_{k+q}) H_M(\chi_k). \quad (\text{B.37})$$

We must evaluate

$$F_{ij}^{(3)} = \left[ (\alpha_{k_z m s}^2 + 1)(\alpha_{k_z + q_z n s}^2 + 1) \right]^{\frac{1}{2}} \int d\tilde{y} e^{-\tilde{y}^2} M_{ij}^{(3)}. \quad (\text{B.38})$$

From the untilted case we know

$$F_{11}^{(3)} = \sqrt{\frac{N-1}{2}} \frac{\alpha_{k_z m s} \alpha_{k_z + q_z n s}}{l_B \alpha_{k_z + q_z n \mp 1 s}} \Xi_2(\bar{q}, m \mp 1, n \mp 1, s), \quad (\text{B.39})$$

$$F_{22}^{(3)} = \sqrt{\frac{N}{2}} \frac{1}{l_B \alpha_{k_z + q_z n s}} \Xi_2(\bar{q}, m, n, s). \quad (\text{B.40})$$

Furthermore,

$$F_{12}^{(3)} = -t_x \frac{\alpha_{k_z + q_z n}}{\alpha_{k_z + q_z n \mp 1} l_B} \sqrt{\frac{N-1}{2}} \Xi_2(\bar{q}, m, n \mp 1, s), \quad (\text{B.41})$$

$$F_{21}^{(3)} = -\frac{t_x}{l_B} \sqrt{\frac{N}{2}} \frac{\alpha_{k_z m}}{\alpha_{k_z + q_z n}} \Xi_2(\bar{q}, m \mp 1, n, s). \quad (\text{B.42})$$

We thus have

$$T_{k+qns, kms}^{0y(3)}(q) = -\frac{v_F}{4} \int dy e^{iq_y y} \left( p_y \phi_{k+qns}^*(y) \right) \phi_{kms}(y) \quad (\text{B.43})$$

$$= \frac{i\hbar v_F}{2} \Gamma_{kqmn s}^+ \sum_{ij} F_{ij}^{(3)}. \quad (\text{B.44})$$

## Summary 8

*The non-canonical part of the energy-momentum tensor  $T_F^{\mu\nu} = T^{\nu\mu}$  in a tilted system have the matrix elements*

$$T_{k+qns, kms}^{0y(2)}(q) = -\frac{i\hbar v_F}{2} \Gamma_{kqmn s}^+ \sum_{i,j} F_{ij}^{(2)}, \quad (\text{B.45})$$

$$T_{k+qns, kms}^{0y(3)}(q) = \frac{i\hbar v_F}{2} \Gamma_{kqmn s}^+ \sum_{ij} F_{ij}^{(3)}. \quad (\text{B.46})$$

with

$$\Gamma_{kqmn s}^+ = \frac{\exp \left[ -\frac{l_B^2}{4\alpha} (q_y^2 + (q'_x)^2) + i q_y l_B^2 (k'_x + \frac{q'_x}{2}) \right]}{\left[ (\alpha_{k_z m s}^2 + 1)(\alpha_{k_z + q_z n s}^2 + 1) \right]^{\frac{1}{2}}}$$

and where the factors  $F_{ij}^{(n)}$  were found to be

$$F_{12}^{(2)} = -t_x \sqrt{\alpha} \sqrt{\frac{M}{2}} \alpha_{k+q,n} \Xi_2(\bar{q}, m \mp 1, n), \quad (\text{B.47})$$

$$F_{21}^{(2)} = -t_x \sqrt{\alpha} \sqrt{\frac{M-1}{2}} \frac{a_{kms}^2}{l_B a_{km \mp 1s}} \Xi_1(\bar{q}, m \mp 1, n, s), \quad (\text{B.48})$$

$$F_{11}^{(2)} = \sqrt{\alpha} \frac{\alpha_{k_zms} \alpha_{k_z+q_zns} \sqrt{M-1}}{l_B \sqrt{2}} \Xi_1(\bar{q}, m \mp 1, n \mp 1, s), \quad (\text{B.49})$$

$$F_{22}^{(2)} = \sqrt{\alpha} \frac{\sqrt{M}}{l_B \sqrt{2}} \Xi_1(\bar{q}, m, n, s), \quad (\text{B.50})$$

$$F_{11}^{(3)} = \sqrt{\frac{N-1}{2}} \frac{\alpha_{k_zms} \alpha_{k_z+q_zns}}{l_B \alpha_{k_z+q_zn \mp 1s}} \Xi_2(\bar{q}, m \mp 1, n \mp 1, s), \quad (\text{B.51})$$

$$F_{22}^{(3)} = \sqrt{\frac{N}{2}} \frac{1}{l_B \alpha_{k_z+q_zns}} \Xi_2(\bar{q}, m, n, s), \quad (\text{B.52})$$

$$F_{12}^{(3)} = -t_x \frac{\alpha_{k_z+q_zn}}{\alpha_{k_z+q_zn \mp 1} l_B} \sqrt{\frac{N-1}{2}} \Xi_2(\bar{q}, m, n \mp 1, s), \quad (\text{B.53})$$

$$F_{21}^{(3)} = -\frac{t_x}{l_B} \sqrt{\frac{N}{2}} \frac{\alpha_{k_zm}}{\alpha_{k_z+q_zn}} \Xi_2(\bar{q}, m \mp 1, n, s). \quad (\text{B.54})$$

### B.2.1. Parallel tilt

The procedure greatly simplifies in the case of parallel tilt. As noted in the main text, parallel tilt only rescales the energies Landau levels, while the wave functions and operators stay invariant. The procedure for the untitled cone, done in Appendix B.1, is thus relevant here as well, with an interchange of the energy levels where relevant.

The  $T^{(2)}$  and  $T^{(3)}$  parts of the energy-momentum tensor for parallel tilt is therefore the same as the result without tilt, found in summary 7. In the main text we showed a simplification procedure for terms of the form

$$\alpha_{\kappa_zms}^2 \delta_{M-1,N} - \alpha_{\kappa_zns}^2 \delta_{N-1,M} \quad (\text{B.55})$$

in the total response function. The outline of the idea was to note that we sum over all  $m, n$ , and by certain symmetries of the terms under interchange

of  $m \leftrightarrow n$ , we could rename summation indices and replace

$$\alpha_{\kappa_z ms}^2 \delta_{M-1,N} - \alpha_{\kappa_z ns}^2 \delta_{N-1,M} \rightarrow 2\alpha_{\kappa_z ms}^2 \delta_{M-1,N}. \quad (\text{B.56})$$

For details on the procedure see Section 4.4.2 of the main text. By simply inserting  $T^{(2)}, T^{(3)}$  in the response function, one may easily show that the resulting term is on the form Eq. (B.55), with the first term corresponding to  $T^{(3)}$  and the second to  $T^{(2)}$ . The response from  $T^{(2)}$  and  $T^{(3)}$  is thus equal.

By the procedure explained in Section 4.1.2, the response of  $T^{(2)} + T^{(3)}$  may be rewritten as the response of  $T^{(1)}$ , which contains the factor  $E_{k_z ms} + E_{k_z ns}$ , with the energies replaced with the untilted energies. In other words, using the energy momentum tensor  $T_F^{\mu\nu}$ , the response is the same as the response found for parallel tilt in the main text, Eq. (4.193),

$$\begin{aligned} \lim_{\omega \rightarrow 0} \lim_{q \rightarrow 0} \chi^{xy} = & -\frac{e^2 v_F B}{2(2\pi)^2} \sum_{mn} \int d\kappa_z \xi(\kappa_z) (\epsilon_{\kappa_z ms} + \epsilon_{\kappa_z ns}) \\ & \times (\alpha_{\kappa_z ms}^2 \delta_{M-1,N} - \alpha_{\kappa_z ns}^2 \delta_{N-1,M}), \end{aligned}$$

with the term  $\epsilon_{\kappa_z ms} + \epsilon_{\kappa_z ns}$  replaced with the untilted energies  $\epsilon_{\kappa_z ms}^0 + \epsilon_{\kappa_z ns}^0$ . The response from the  $T_F^{\mu\nu}$  tensor is therefore the exact same as that of the untilted cone, as long as one stays in Type-I. It differs from the response found in the main text by the divergent prefactor  $\gamma_{\text{div},N}$ .

# Auxiliary results

## C.1. Conformal symmetry of a tilted system

The origin of the term *conformal anomaly* is the *conformal symmetry*. Under the conformal transformation, the massless QED Lagrangian is invariant, as shown in the main text. Specifically, the QED Lagrangian

$$\mathcal{L} = -\frac{1}{4}F^{\mu\nu}F_{\mu\nu} + i\bar{\psi}\not{D}\psi,$$

with the usual  $\bar{\psi} = \psi^\dagger\gamma^0$ ,  $\not{D} = \gamma^\mu D_\mu$ ,  $D_\mu = \partial_\mu - ieA_\mu$  transforms under the scaling

$$x \rightarrow \lambda^{-1}, \quad A_\mu \rightarrow \lambda A_\mu, \quad \psi \rightarrow \lambda^{\frac{3}{2}}\psi,$$

as

$$\mathcal{L} \rightarrow \lambda^4 \mathcal{L}.$$

The action  $S = \int d^4x \mathcal{L}$  is thus invariant (as  $d^4x \rightarrow \lambda^{-4}d^4x$ ), and the theory is classically manifestly scale invariant.

Consider now the tilted Dirac Lagrangian considered in our work,

$$\mathcal{L} k i \bar{\psi} \Gamma^\mu \partial_\mu \psi, \tag{C.1}$$

with  $\Gamma^\mu = \gamma^\mu + t^\mu \gamma_P \gamma^0$ , where  $\gamma_P = I_4$  when inversion symmetry is broken and  $\gamma_P = \gamma^5$  for inversion symmetric systems. The tilt parameter  $t^\mu = (0, \mathbf{t})$  is invariant under scaling, and thus also this theory is classically scale invariant.

## C.2. Spin states of the Dirac cone

Similar to the discussion in section 1.7 on the spin structure of a system with Rashba coupling, we here consider the spin structure of the Weyl cone. We begin by finding the eigenstates of the Weyl Hamiltonian  $H = v_F \boldsymbol{\sigma} \mathbf{p}$ . Assume plane wave states, and some arbitrary linear combination of spin up and spin down,

$$\psi_\pm = e^{i\mathbf{k} \cdot \mathbf{r}} \alpha \begin{pmatrix} 1 \\ b \end{pmatrix},$$

where  $\alpha$  is some normalization. Solving the time independent Schrodinger equation

$$H\psi = E\psi,$$

we find

$$b = -\frac{k_z \pm k}{k_x - ik_y}. \quad (\text{C.2})$$

Requiring normalized states  $\langle\psi|\psi\rangle = 1$  gives the normalization

$$|\alpha|^2 = \frac{1}{1 + |b|^2}.$$

Having found the states, we find the spin expectation value

$$S = \langle\psi|\hat{S}|\psi\rangle, \quad (\text{C.3})$$

where  $S$  is the spin expectation value and  $\hat{S} = \frac{\sigma}{2}$  is the spin operator, where  $\hbar$  was set to 1. Simply evaluating Eq. (C.3), yields

$$S = \pm \frac{k}{2k}. \quad (\text{C.4})$$

The spin structure is that of a hedgehog.

### C.3. Only translationally invariant systems have conservation of momentum in correlators

rewrite section title

**Theorem 2.** *If the momentum space correlator is  $\langle A(p)B(-p)\rangle$ , the real space correlator is translationally invariant.*

*Proof:* Consider the correlator

$$\langle A(x)B(y)\rangle. \quad (\text{C.5})$$

If its momentum space equivalent is

$$\langle A(q)B(-q)\rangle = \langle A(q)B(p)\rangle \delta(p+q), \quad (\text{C.6})$$

then the real space correlator is given by

$$\int dp dq \langle A(p)B(q)\rangle \delta(p+q) e^{ipx+iqy} = \int dp \langle A(p)B(-p)\rangle e^{ip(x-y)}. \quad (\text{C.7})$$

This function is only dependent on  $x-y$ , and thus translationally invariant. Therefore, the only way to get a correlator on the form  $\langle A(p)B(-p)\rangle$  is to assume trnaslational invariance.  $\square$

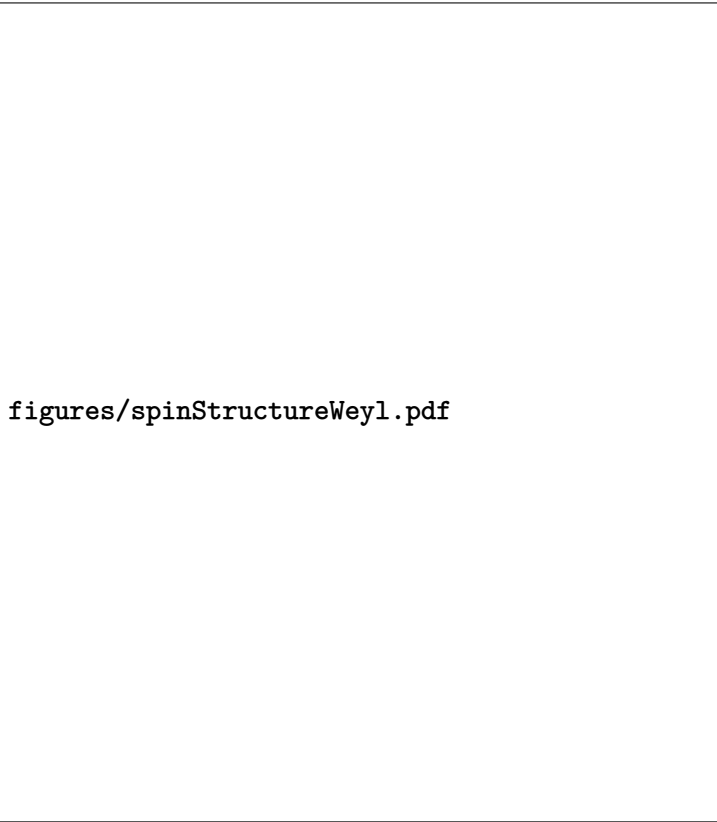


Figure C.1.









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