

# Reconstruction of TLAPS proofs solved by SMT in Lambdapi

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# Outline

Translation through an example

Formalisation overview

Evaluation

Blocking points

Future perspectives

# TLA<sup>+</sup> at a glance

- ▶ Specification language to design and verify reactive systems
- ▶ Systems are described as state machines

*VARIABLE*  $x$   
*CONSTANT*  $N$   
*ASSUME*  $N \in \text{Nat}$

$$\text{Init} \triangleq \quad \wedge x = 0$$

$$\text{Next} \triangleq \quad \wedge x < N \\ \quad \wedge x' = x + 1$$

$$\text{Spec} \triangleq \text{Init} \wedge \Box[\text{Next}]_{\langle x \rangle}$$

# TLAPS proof example

----- MODULE Cantor1 -----

THEOREM cantor ==

$\forall S :$

$\forall f \in [S \rightarrow \text{SUBSET } S] :$

$\exists A \in \text{SUBSET } S :$

$\forall x \in S :$

$f[x] \# A$

PROOF

<1> 1. TAKE  $S$

<1> 2. TAKE  $f \in [S \rightarrow \text{SUBSET } S]$

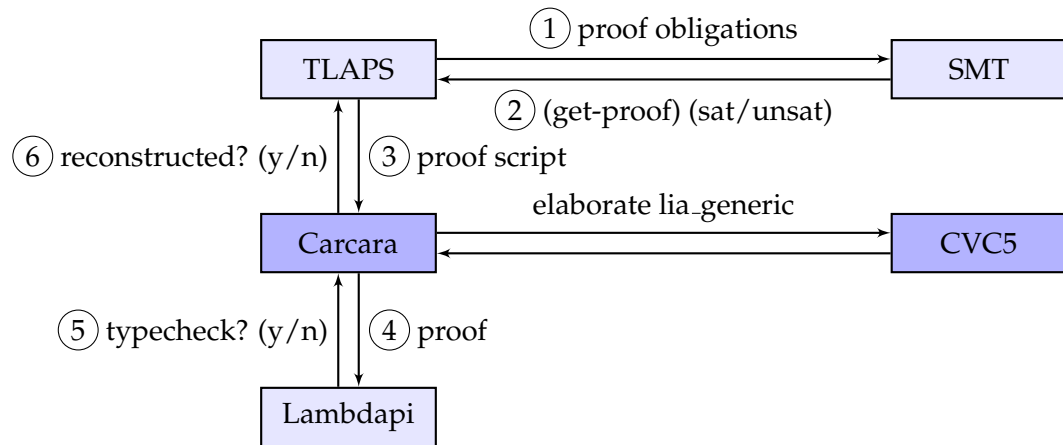
<1> 3. DEFINE  $T == \{ z \in S : z \notin f[z] \}$

<1> 4. WITNESS  $T \in \text{SUBSET } S$

<1> 5. TAKE  $x \in S$

<1> 6. QED BY  $x \in T \vee x \notin T$

## Proposed solution



# Simple example

```
(set-logic QF_UF)
(declare-sort U 0)
(declare-fun a () U)
(declare-fun b () U)
(declare-fun p (U) Bool)
(assert (p a))
(assert (= a b))
(assert (not (p b)))
(get-proof)
```

# Alethe SMT proof

```
(assume a0 (p a))  
(assume a1 (= a b))  
(assume a2 (not (p b)))  
(step t1 (cl (not (= (p a) (p b))) (not (p a)) (p b)) :rule equiv_pos2)  
(step t2 (cl (= (p a) (p b))) :rule cong :premises (a1))  
(step t3 (cl (p b)) :rule resolution :premises (t1 t2 a0))  
(step t4 (cl) :rule resolution :premises (a2 t3))
```

# Alethe format

## Definition (Alethe step notation)

A proof in the Alethe language is an indexed list of step following the format:

$$j. \quad \Delta \quad \vdash \quad \varphi \quad (R; p_1 \dots p_n)[a_1, \dots, a_n]$$

With  $i \in \mathbb{I}$  where  $\mathbb{I}$  is a countable infinite set of valid indices,

a formula  $\varphi$ ,

a rule name  $\mathcal{R}$  from a set of possible rules,

a possible empty sets  $\{p_1 \dots p_n\} \subseteq \mathbb{I}$  of premises (previous steps),

a possible empty list of arguments  $[a_1 \dots a_n]$  where  $a_i = (x_i, t_i)$   
with  $x_i$  a variable and  $t_i$  a term,

and a context  $\Delta$ .



# Overview of rules

## 1. Special rules

- \*  $\vdash \varphi$  `asssume`
- \*  $\vdash \varphi$  `(hole; p1...pn)[a1...an]`
- \*  $\varphi_1 \dots \varphi_n, \psi \vdash \neg \varphi_1 \dots \neg \varphi_n \psi$   
`(subproof; p1...pn)`

## 2. Resolution rules

- \* `th_resolution, resolution`
- \* `contraction`

## 3. Introducing tautologies

- \*  $\vdash \neg(\neg\neg\varphi) \vee \varphi$  `(not_not)`
- \*  $\vdash \neg(\varphi_1 \approx \varphi_2) \vee \neg\varphi_1 \vee \varphi_2$  `(equiv_pos2)`
- \*  $\vdash \neg(\varphi_1 \wedge \dots \wedge \varphi_n) \vee \varphi_k$  `(and_pos)`

## 4. Linear arithmetic

- \* `lia_generic, la_generic`
- \*  $\vdash t_1 \leq t_2 \vee t_2 \leq t_1$  `(la_totality)`

## 5. Quantifier handling

- \*  $j. \Delta, x_i \mapsto y_i \vdash \varphi \approx \varphi'$
- \*  $i. \vdash \forall x_1 \dots x_n, \varphi \approx \forall y_1 \dots y_n, \varphi'$  `(bind)`
- \* `forall_inst`

## 6. Skolemization

- \* `ske_ex`
- \* `ske_forall`

## 7. Clausification rules

- \* `let`
- \* `distinct_elim`

## 8. Simplification rules

- \* `and_simplify`
- \* `bool_simplify`
- \* `eq_simplify`
- \* `sum_simplify`

# Alethe proof as derivation tree

$$\frac{\begin{array}{c} \vdots \\ t_j \frac{}{\Delta'' \vdash p_1} \text{Rule}(\dots) \end{array} \quad \dots \quad \begin{array}{c} \vdots \\ t_k \frac{}{\Delta' \vdash p_n} \text{Rule}(\dots) \end{array}}{t_i \frac{}{\Delta \cup \{p_1, \dots, p_n\} \vdash c_1, \dots, c_n} \text{Rule}(a_1, \dots, a_n)}$$

# SMT proof

```
(assume a0 (p a))  
(assume a1 (= a b))  
(assume a2 (not (p b)))  
(step t1 (cl (not (= (p a) (p b))) (not (p a)) (p b)) :rule equiv_pos2)  
(step t2 (cl (= (p a) (p b))) :rule cong :premises (a1))  
(step t3 (cl (p b)) :rule resolution :premises (t1 t2 a0))  
(step t4 (cl) :rule resolution :premises (a2 t3))
```

# Carcara

- ▶ Carcara is an efficient and independent proof checker and elaborator for Alethe proofs.
- ▶ Carcara is written in Rust, a high performance language,
- ▶ implements elaboration procedures for a few important rules (ex: inferring pivots),
- ▶ it remove implicit transformations (ex: reordering clause).

# Elaborated proof with Carcara

Make pivot and resolution order explicit

```
(assume a0 (p a))  
(assume a1 (= a b))  
(assume a2 (not (p b)))  
(step t1 (cl (not (= (p a) (p b))) (not (p a)) (p b)) :rule equiv_pos2)  
(step t2 (cl (= (p a) (p b))) :rule cong :premises (a1))  
(step t3 (cl (p b)) :rule resolution :premises (t1 t2 a0)  
  :args ((= (p a) (p b)) false (p a) false))  
(step t4 (cl) :rule resolution :premises (a2 t3)  
  :args ((p b) false))
```

# Corresponding proof tree

$$\begin{array}{c}
 \begin{array}{c} a_0 \\ t_3 \end{array} \frac{}{\Delta \vdash p(a)} \quad \begin{array}{c} t_1 \\ t_3' \end{array} \frac{\frac{}{\Delta \vdash \neg(p(a) = p(b)), \neg p(a), p(b)} \text{equiv\_pos2} \quad \frac{}{\Delta \vdash p(a) = p(b)} \text{cong}(a_1)}{\Delta \vdash \neg p(a), p(b)} \text{Resolution}(t_1, t_2) \\
 \hline
 \begin{array}{c} t_4 \end{array} \frac{\frac{}{\Delta \vdash p(b)} \text{Resolution}(a_0, t_3') \quad \frac{}{\Delta \vdash \neg p(b)} \text{Resolution}(a_2, t_3)}{\Delta \vdash \perp}
 \end{array}$$

# Translate prelude

```
1 (declare-sort U 0)
2 (declare-fun a () U)
3 (declare-fun b () U)
4 (declare-fun p (U) Bool)
```

~

```
1 symbol U : TYPE;
2 rule U  $\hookrightarrow$   $\tau$  o;
3
4 symbol a : U;
5 symbol b : U;
6 symbol p : U  $\rightarrow$  Prop;
```

# Translate assert/assume

```
1 (assert (p a))  
2 (assert (= a b))  
3 (assert (not (p b)))
```

```
1 (assume a0 (p a))  
2 (assume a1 (= a b))  
3 (assume a2 (not (p b)))
```

```
1 constant symbol a0 :  $\pi$  (p a  $\vee$   $\Box$ );  
2 constant symbol a1 :  
3    $\pi$  (a  $\stackrel{c}{\iff}$  b  $\vee$   $\Box$ );  
4 constant symbol a2 :  
5    $\pi$  ( $\neg^c$  (p b)  $\vee$   $\Box$ );
```



# Translation of step t1 and t2

```
1  (step t1
2    (cl (not (= (p a) (p b)))
3        (not (p a))
4          (p b))
5    :rule equiv_pos2)
6  (step t2 (cl (= (p a) (p b)))
7    :rule cong :premises (a1))
8  ...
```

~

```
1  opaque symbol pb :
2  begin
3  have t1:  $\pi$  (
4     $\neg^c ((p\ a) \iff^c (p\ b))$ 
5     $\forall$  (p a)
6     $\forall$  (p b)
7     $\forall$   $\square$ )
8  {
9    apply equiv_pos2;
10 }
11 have t2:  $\pi$  (
12    $p\ a \iff^c p\ b$ 
13    $\forall$   $\square$ )
14 {
15   apply ;
16   apply cong p ( a1);
17 };
```

# Translation of step t3

```
1  (step t3 (cl (p b))
2    :rule resolution
3    :premises (t1 t2 a0))
```

↗

```
1  ...
2  have t3 :  $\dot{\pi}$  ((p b)  $\vee$   $\Box$ ) {
3    have t1_t2 :  $\dot{\pi}$  (
4       $\neg^c$  ((p a)))
5       $\vee$  (p b)  $\vee$   $\Box$ )
6    {
7      apply resolution t1 t2;
8    };
9    have t1_t2_a0 :  $\dot{\pi}$  ((p b)  $\vee$   $\Box$ )
10   {
11     apply resolution t1_t2 a0;
12   };
13   apply t1_t2_a0;
14 };
```

## Translation of step t4

```
1 (step t4 (c1)
2   :rule resolution
3   :premises (a2 t3))
```

↔

```
1 ...
2 have t4 :  $\pi \square$  {
3   have a2_t3 :  $\pi \square$  {
4     apply resolution a2 t3;
5   };
6   apply a2_t3;
7 };
8 apply t4;
9 proofterm;
10 end;
```

# Corresponding proof term

```
1 resolution_r (p b) _ _
2   a2
3   (resolution_r (p a) _ _
4     (resolution_r (p a  $\iff^c$  p b) _ _
5       equiv_pos2
6       ( $\forall_{i1}^c$  (cong p ( $\pi$  a1))))
7   )
8   a0
9 )
```

# Supported rules overview

## 1. Special rules ✓

- \*  $\vdash \varphi$  `asssume`
- \*  $\vdash \varphi$  `(hole;p1...pn)[a1...an]`
- \*  $\varphi_1 \dots \varphi_n, \psi \text{ i. } \vdash \neg \varphi_1 \dots \neg \varphi_n \psi$   
`(subproof; p1...pn)`

## 2. Resolution rules ✓

- \* `th_resolution, resolution`
- \* `contraction`

## 3. Introducing tautologies ✓

- \*  $\vdash \neg(\neg\neg\varphi) \vee \varphi$  `(not_not)`
- \*  $\vdash \neg(\varphi_1 \approx \varphi_2) \vee \neg\varphi_1 \vee \varphi_2$  `(equiv_pos2)`
- \*  $\vdash \neg(\varphi_1 \wedge \dots \wedge \varphi_n) \vee \varphi_k$  `(and_pos)`

## 4. Linear arithmetic ×

- \* `lia_generic, la_generic`
- \*  $\vdash t_1 \leq t_2 \vee t_2 \leq t_1$  `(la_totality)`

## 5. Quantifier handling (WIP)

- \*  $j.\Delta, x_i \mapsto y_i \vdash \varphi \approx \varphi'$
- \*  $i. \vdash \forall x_1 \dots x_n, \varphi \approx \forall y_1 \dots y_n, \varphi'$  `(bind)`
- \* `forall_inst`

## 6. Skolemization (WIP)

- \* `ske_ex`
- \* `ske_forall`

## 7. Clausification rules (WIP)

- \* `let`
- \* `distinct_elim`

## 8. Simplification rules ×

- \* `and_simplify`
- \* `bool_simplify`
- \* `eq_simplify`
- \* `sum_simplify`

Translation through an example

**Formalisation overview**

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# Contexts in Alethe

## Definition (Proof context)

We denote  $\Delta_c$  as the Alethe proof context. It is use to reason about bound variable and store previous proved **step**.

If  $j. \quad x_1 \mapsto y_1, \dots, x_n \mapsto y_n \quad \vdash \quad \varphi \ y_1 \dots y_n \quad (R, p_1 \dots p_n)[x_1, \dots, x_n] \quad \text{proved}$

Then  $j(\varphi \ y_1 \dots y_n; R; p_1 \dots p_n; a_1 \dots a_n) \in \Delta$

And  $x_1 \mapsto y_1, \dots, x_n \mapsto y_n \in \Delta$

## Definition (Definition context)

We denote  $\Delta_{def}$  as the Alethe definition context. It is use to store user declarations `declare-sort` and `declare-fun`.

## Definition (Alethe context)

We set  $\Delta = \Delta_c \cup \Delta_{def}$

# Alethe encoding in Lambdapi

We denote  $\Gamma_{\mathcal{A}}$  as the Lambdapi context with Alethe definitions.

## Encoding of classical logic in $\Gamma_{\mathcal{A}}$ <sup>1</sup>

- ▶ The set of terms **Set** : **TYPE**  
function symbol  $\text{Set} \rightarrow \dots \rightarrow \text{Set}$ ,
- ▶ the set of propositions **Prop** : **TYPE**  
predicate symbol  $\text{Set} \rightarrow \dots \rightarrow \text{Prop}$ ,
- ▶ and the classical connectives  $\forall^c \mid \exists^c \mid \wedge^c \mid \neg^c \mid \vee^c \mid \Rightarrow^c \mid \Longleftrightarrow^c$ .
- ▶  $\pi^c := \neg\neg p$  the definition of classical proofs of proposition  $p$ ,
- ▶ the axioms of classical natural deduction system  $\mathcal{NK}$  and some lemmas.
- ▶ quantification on propositions/impredicativity (e.g.  $\forall p, p \Rightarrow p$ ) :  
**symbol**  $\circ : \text{Set}$ ;  
**rule**  $\tau_{\circ} \hookrightarrow \text{Prop}$ ;

---

<sup>1</sup>Classical logic definitions is based on Lambdapi Stdlib.



# Alethe encoding in Lambdapi

We encode Alethe rule  $\mathcal{R}$  as corresponding **symbol**  $\mathcal{R}$ .

## Clause

Alethe treats clause as a set creating a canonical representation problem. We define clause as list in a Church encoding style to solve it:

```
1 constant symbol Clause : TYPE;  
2 symbol  $\square$  : Clause; // Nil  
3 injective symbol  $\vee$  : Prop  $\rightarrow$  Clause  $\rightarrow$  Clause; // Cons x l  
4 sequential symbol ++ : Clause  $\rightarrow$  Clause  $\rightarrow$  Clause;  
5 rule  $\square$  ++ $m  $\hookrightarrow$  $m  
6 with ( $x  $\vee$  $l ) ++ $m  $\hookrightarrow$  $x  $\vee$  ( $l ++ $m );  
7  
8 symbol Clause_ind:  $\prod P: (Clause \rightarrow Prop), \prod l,$   
9  $\pi (P \square) \rightarrow (\prod x: Prop, \prod l: Clause, \pi (P l) \rightarrow$   
10  $\pi (P (x \vee l))) \rightarrow \pi (P l);$ 
```

# Clause concatenation and canonical form

With clause as disjunction

$$((x_1 \vee x_2) \vee x_3) \vee (y_1 \vee y_2 \vee y_3) \rightsquigarrow (((x_1 \vee x_2) \vee x_3) \vee (y_1 \vee y_2 \vee y_3))$$

With clause with type Clause

$$((x_1 \vee x_2) \vee x_3 \vee \square) \text{ ++ } (y_1 \vee y_2 \vee y_3 \vee \square) \rightsquigarrow x_1 \vee x_2 \vee x_3 \vee y_1 \vee y_2 \vee y_3 \vee \square$$

## Proof of clause

Proof of clause  $(\text{cl } \varphi_1, \dots, \varphi_n)$  with  $(\mathcal{R}; p_1 \dots p_n)[a_1 \dots a_n]$  in a step such as

$$j. \quad \Delta \quad \vdash \quad (\text{cl } \varphi_1, \dots, \varphi_n) \quad (\mathcal{R}; p_1 \dots p_n)[a_1, \dots, a_n]$$

are encoded as proof:

```
1 injective symbol  $\pi$  c: TYPE :=  $\pi$  (E c);  
2  
3 sequential symbol E: Clause  $\rightarrow$  Prop;  
4 rule E ( $x  $\vee$  $y)  $\hookrightarrow$  $x  $\vee^c$  (E $y)  
5 with E  $\square \hookrightarrow \perp$ ;
```

# Alethe rule encoding example

The rule *not\_implies1* in Alethe set of rules

$$\begin{array}{ll} i. & \vdash \neg(\varphi_1 \rightarrow \varphi_2) \quad (\dots) \\ j. & \vdash \varphi_1 \quad (\text{not\_implies1}; i) \end{array}$$

is translated into:

```
1  opaque symbol not_implies_1 [ $\varphi_1$   $\varphi_2$ ] :  $\pi(\neg^c(\varphi_1 \Rightarrow^c \varphi_2)) \rightarrow \dot{\pi}(\varphi_1 \vee \Box)$  :=
2  begin
3      assume  $\varphi_1$   $\varphi_2$   $H$ ;
4      apply  $\vee_{i1}^c$ ;
5      apply  $\wedge_{e1}^c$  (imply_to_and  $H$ );
6  end;
```

# Clause conversion lemma

## Lemma (Clause equivalence with disjunctions)

*Given a b Clause we have the equivalence:*

$$\forall a b : \text{Clause}. \llbracket a \text{ ++ } b \rrbracket \iff^c \llbracket a \rrbracket \vee^c \llbracket b \rrbracket$$

# Clause resolution rule translation

## Lemma (Resolution)

*Given a  $b$ : Clause and a pivot  $x$ : Prop, then a premise of  $(x \vee a) \in \Gamma_{\mathcal{A}}$  and a premise of  $(\neg^c x \vee b) \in \Gamma_{\mathcal{A}}$  implies a clause  $a ++ b$ .*

Lambdapi encoding:

**opaque symbol** resolution x a b :  $\pi \ (x \vee a) \rightarrow \pi \ (\neg^c x \vee b) \rightarrow \pi \ (a ++ b) :=$

# Translation functions

The embedding uses four functions:

- ▶  $\mathcal{F}$  which translates first order formulas to  $\Gamma_{\mathcal{A}}$ -propositions,
- ▶  $\mathcal{S}$  which translates SMTLib sort from theory to  $\Gamma_{\mathcal{A}}$ -type,
- ▶  $\mathcal{T}$  which translates first order individual terms to  $\Gamma_{\mathcal{A}}$ -terms,
- ▶  $\mathcal{C}(\Gamma, c_1 \dots c_n)$  which translates a non-empty set of commands  $c_1 \dots c_n$  to typing goal  $\Gamma \vdash M : N$  and a term of type  $M : N$  being proof term.

# Function $\mathcal{F}$

translates first order formulas to  $\Gamma_{\mathcal{A}}$ -propositions

## Definition ( $\mathcal{F}$ )

The definition of  $\mathcal{F}(f)$  is as follows.

- ▶ if  $f = cl\ x_1 \dots x_n$ , then  $\mathcal{F}_{\Delta}(cl\ x_1 \dots x_n) = x_1 \vee \dots \vee x_n \vee \Box$ ,
- ▶ if  $f = a_1 \wedge \dots \wedge a_n$ , then  $\mathcal{F}_{\Delta}(a_1 \wedge \dots \wedge a_n) = a_1 \wedge^c \dots \wedge^c a_n \wedge^c \top$ ,
- ▶ if  $f = a_1 \vee \dots \vee a_n$ , then  $\mathcal{F}_{\Delta}(a_1 \vee \dots \vee a_n) = a_1 \vee^c \dots \vee^c a_n \vee^c \perp$ ,
- ▶ if  $f = a \approx b$  and  $a\ b \in \mathbf{Bool}$ , then  $\mathcal{F}_{\Delta}(a \approx b) = a \iff^c b$ ,
- ▶ if  $f = a \approx b$  and  $a\ b \notin \mathbf{Bool}$ , then  $\mathcal{F}_{\Delta}(a \approx b) = (a = b)$ ,
- ▶ otherwise we are in the case  $\mathcal{F}_{\Delta}(f) = f$  with all connector changes for their Corresponding classical connector  $\star^c$ .



# Why this $\mathcal{F}$ definitions for conjunctions and disjunctions ?

N-ary rules are proved with "reflexivity" proof. For example

i.  $\Delta \vdash \neg(\varphi_1 \wedge \dots \wedge \varphi_n), \varphi_k$  (*and\_pos*)

with  $1 \leq k \leq n$

where we have the reflexivity proof:

```
1 sequential symbol In_ $\wedge^c$ : Prop  $\rightarrow$  Prop  $\rightarrow$   $\mathbb{B}$ ;  
2 rule In_ $\wedge^c$  $x ( $h  $\wedge^c$  $tl)  $\hookrightarrow$  (eq $x $h) Bool.or (In_ $\wedge^c$  $x $tl)  
3 with In_ $\wedge^c$  $x T  $\hookrightarrow$  false;  
4  
5 symbol and_pos [ $\varphi_1 \dots \varphi_n \varphi_k$ ]:  
6  $\pi$  ((In_ $\wedge^c$   $\varphi_k$   $\varphi_1 \dots \varphi_n$ ) = true)  $\rightarrow \pi$  ( $\neg^c \varphi_1 \dots \varphi_n \ \forall \varphi_k \ \forall \Box$ );
```

# Function $\mathcal{S}$

translates SMTLib sort from theory to  $\Gamma_{\mathcal{A}}$ -type

The definition of  $\mathcal{S}(t)$  is as follows.

- ▶ if  $t = \mathbf{Bool}$ , then  $\mathcal{S}(\mathbf{Bool}) = \mathit{Prop}$ ,
- ▶ if  $t \neq \mathbf{Bool}$ , then  $\mathcal{S}(t) = \tau \ o$ ,
- ▶ if  $t = f(a_1 \dots a_n)$  and  $\mathit{codomain}(f) = \mathbf{Bool}$ , then  $\mathcal{S}(f(a_1 \dots a_n)) = f : \mathcal{S}(a_1) \rightarrow \dots \rightarrow \mathcal{S}(a_n) \rightarrow \mathbf{Prop}$ ,
- ▶ if  $t = f(a_1 \dots a_n)$  and  $\mathit{codomain}(f) \neq \mathbf{Bool}$ , then  $\mathcal{S}(f(a_1 \dots a_n)) = f : \mathcal{S}(a_1) \rightarrow \dots \rightarrow \mathcal{S}(a_n) \rightarrow \mathbf{Set}$ ,

# Function $\mathcal{T}(t)$

## Definition

The definition of  $\mathcal{T}(t)$  is a direct shallow embedding of  $t$  in corresponding term  $t$  in  $\Gamma_{\mathcal{A}}$  (variables, functions, constants).

# Function $\mathcal{C}(\Gamma, -)$

translates Alethe commands

## Definition

The function  $\mathcal{C}(\Gamma, i. \Delta \vdash \varphi \quad (R; p_1 \dots p_n)[a_1 \dots a_n]) \rightarrow \Gamma'$  translates, in a given context  $\Gamma$ , a step  $i$  into a judgement (typing goal)  $\Gamma \vdash i : \mathcal{F}(\varphi)$  with a term  $M$  along satisfying the goal. It returns a new context  $\Gamma'$  with  $i \in \Gamma'$ . The definition of  $\mathcal{C}$  is defined recursively on  $R$ .

## Notation

The notation  $tac(A)[\Gamma \vdash \varphi]$  means applying the `Lambdapi` tactic `tac` (with argument  $A$ ) to the judgement  $\Gamma \vdash \varphi$  and making the judgements (subgoals) generated by the tactic be the premises of the rule.

## Example 1: *equiv\_pos2* case

We translate a step  $i$  using the alethe rule *equiv\_pos2*:

$$i. \quad \vdash \neg(\varphi_1 \approx \varphi_2), \neg\varphi_1, \varphi_2 \quad (\textit{equiv\_pos2})$$

with given a context  $\Gamma$  as

$$\mathcal{C}(\Gamma, i. \vdash \neg(\varphi_1 \approx \varphi_2), \neg\varphi_1, \varphi_2 (\textit{equiv\_pos2})) = \textit{apply}(\textit{equiv\_pos2})[\Gamma \vdash i : \mathcal{F}(\neg(\varphi_1 \approx \varphi_2), \neg\varphi_1, \varphi_2)]$$

## Example 2: *cong* case

We translate a step  $k$  using the alethe rule *cong*

$$i. \quad \Delta \quad \vdash t_1 \approx u_1 \quad (\dots)$$

$$\vdots$$

$$j. \quad \Delta \quad \vdash t_n \approx u_n \quad (\dots)$$

$$k. \quad \Delta \quad \vdash f t_1 \dots t_n \approx f u_1 \dots u_n \quad (cong; p_1 \dots p_n)$$

as:

if  $\text{codomain}(f) \in \mathbf{Bool}$ , then  $\mathcal{C}(\Gamma, i. \Delta \vdash f t_1 \dots t_n \approx f u_1 \dots u_n (cong; p_1 \dots p_n))$

$$= \text{cong2}_f(f p_1 \dots \text{cong2}_f(f p_{n-1} p_n))[\Gamma \vdash i : \mathcal{F}(f t_1 \dots t_n \approx f u_1 u_n)]$$

otherwise  $f\_equal_n(f p_1 \dots p_n)[\Gamma \vdash i : \mathcal{F}(f t_1 \dots t_n \approx f u_1 u_n)]$ ,

with  $\mathcal{C}(\Gamma \setminus \{i\}, i. \Delta \vdash t_1 \approx u_1 (\dots)) \in \Gamma$  and

$$\vdots$$

with  $\mathcal{C}(\Gamma \setminus \{j\}, j. \Delta \vdash t_n \approx u_n (\dots)) \in \Gamma$

# Soundness argument

## Theorem (soundness)

*We define the soundness as for a Alethe context  $\Delta$  and a first order formula  $\varphi$  in a step  $i$ .  $\Delta \vdash \varphi(\mathcal{R}; p_1 \dots p_n)[a_1 \dots a_n]$  proved by rule  $\mathcal{R}$ , the translation  $\mathcal{C}(\Gamma, i. \Delta \vdash_{FOL} \varphi(\mathcal{R}; p_1 \dots p_n)[a_1 \dots a_n])$  give a term  $i : \tau$  such that  $\Gamma_{\mathcal{A}} \vdash i : \tau$ .*

## Proof.

*(proof intuition) By induction on  $\mathcal{R}$ .*



Translation through an example

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**Evaluation**

Blocking points

Future perspectives



# Evaluation with TLA+ example

*Stephan Merz. TLA+ Case Study: A Resource Allocator.*

- ▶ Use set theory only,
- ▶ no skolemization,
- ▶ 25 proofs obligations,
- ▶ size of the Alethe proofs varies between 4 and 288 steps.

# Evaluation results

## Proofs obligations

- ▶ 16 on the 25 proofs obligations passed,
- ▶ some proofs obligations do not passed due to rules not supported yet.

## Bug founds

- ▶ 2 importants bugs in Carcara elaboration process found,
- ▶ 1 bug found in CVC5 with `and_not` rule,
- ▶ and a related bug found in the new TLAPS SMT encoding.

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# Issues for reconstructing simplification rules

Transformation case is implicit, and multiple transformations in a step are possible.

## Rules example

$j. \Delta \vdash \varphi_1 \vee \dots \vee \varphi_n$  (**or\_simplify**)

►  $\perp \vee \dots \vee \perp \Rightarrow \perp$

►  $\varphi_1 \vee \dots \vee \varphi_n \Rightarrow \varphi_1 \vee \dots \vee \varphi_n'$  where all  $\perp$  literals removed

►  $\varphi_1 \vee \dots \vee \top \vee \dots \vee \varphi_n \Rightarrow \top$

► ...

$j. \Delta \vdash (\varphi_1 \approx \varphi_2) \approx \psi$  (**equiv\_simplify**)

►  $(\neg \varphi_1 \approx \neg \varphi_2) \Rightarrow \varphi_1 \approx \varphi_2$

►  $(\varphi \approx \varphi) \Rightarrow \top$

►  $(\varphi \approx \perp) \Rightarrow \perp$

...

$j. \Delta \vdash \varphi \approx \psi$  (**bool\_simplify**)

►  $\neg(\varphi_1 \rightarrow \neg \varphi_2) \Rightarrow \varphi_1 \wedge \neg \varphi_2$

►  $\varphi_1 \rightarrow (\varphi_2 \rightarrow \varphi_3) \Rightarrow (\varphi_1 \wedge \varphi_2) \rightarrow \varphi_3$

►  $\neg(\varphi_1 \rightarrow \neg \varphi_2) \Rightarrow \varphi_1 \wedge \neg \varphi_2$

► ...

$j. \Delta \vdash \varphi_1 \bowtie \varphi_n \approx \psi$  (**comp\_simplify**)

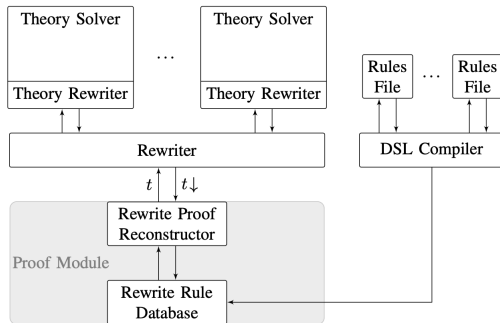
►  $t < t \Rightarrow \perp$

►  $t_1 < t_2 \Rightarrow \neg(t_2 \leq t_1)$

►  $t_1 \leq t_2 \Rightarrow t_2 \leq t_1$

► ...

# Reconstruction with RARE



[RARE] Schurr, HJ., et.al. *Reliable Reconstruction of Fine-grained Proofs in a Proof Assistant*. CADE 2021. Springer, Cham.

# Checking linear arithmetic steps

- ▶ The `la_generic` rule models linear arithmetic reasoning
- ▶ For example, consider this `la_generic` step:

```
(step t1
  (cl (<= (- x) 1) (<= (+ (* 2 x) (* (- 3) y)) 2) (<= y (- 1))))
:rule la_generic :args (2 1 3))
```

- ▶ It introduces the following tautology:

$$(-x \leq 1) \vee (2x - 3y \leq 2) \vee (y \leq -1)$$

# Checking linear arithmetic steps

```
(step t1
  (cl (<= (- x) 1) (<= (+ (* 2 x) (* (- 3) y)) 2) (<= y (- 1)))
  :rule la_generic :args (2 1 3))
```

- ▶ Checking that this clause is true is equivalent to proving that its negation, the following three inequalities, are contradictory
- ▶ Since `la_generic` steps provide the needed coefficients as arguments, checking them is simple
- ▶ Computing  $2 \cdot (a) + 1 \cdot (b) + 3 \cdot (c)$ , we get  $0 > 1$ , so the step must be true

# Checking linear arithmetic steps

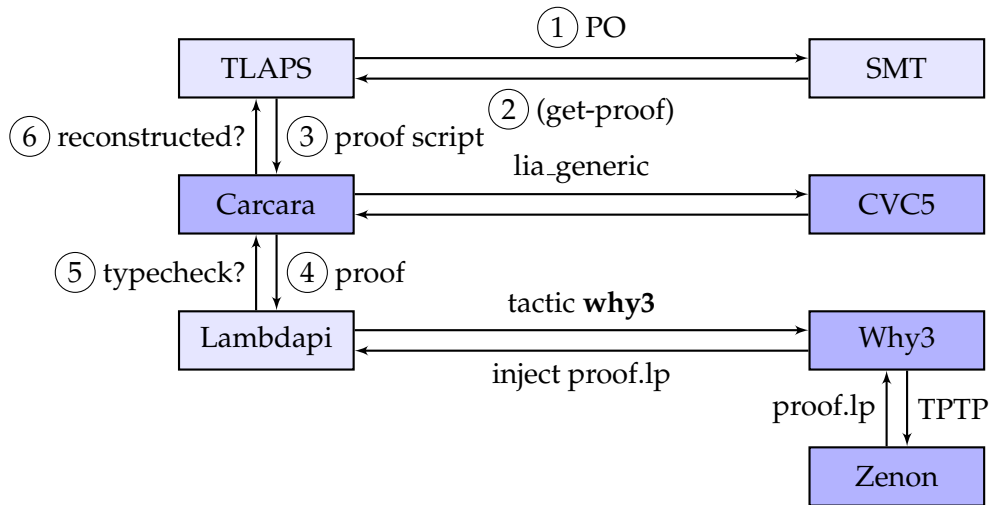
- ▶ The `lia_generic` rule is very similar to `la_generic`, but it does not provide the coefficients as arguments:

```
(step t1
  (cl (<= (- x) 1) (<= (+ (* 2 x) (* (- 3) y)) 2) (<= y (- 1)))
  :rule lia_generic)
```

- ▶ In this case, the checker would need to search for the coefficients, which is an NP-hard problem
- ▶ Instead, occurrences of this rule are not checked, and are considered holes by Carcara



## Proposal for reconstructing arithmetic steps



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## Future perspectives

- ▶ Finish to validate *Allocator.tla*,
- ▶ add support for arithmetic steps,
- ▶ support for simplicification steps,
- ▶ connect `lambdapi`  $\text{TLA}^+$  encoding (*tla-lambdapi*) with  $\text{TLA}^+$  SMT encoding,
- ▶ link Event-B encoding with *tla-lambdapi* (shared set theory library).