

# Contribution Title

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**Abstract.** The abstract should briefly summarize the contents of the paper in 150–250 words.

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## 1 Alethe proof

The Alethe proof trace format [2] for SMT solvers comprises two parts: the trace language based on SMT-LIB and a collection of proof rules. Traces witness proofs of unsatisfiability of a set of constraints. They are sequences  $a_1 \dots a_m t_1 \dots t_n$  where the  $a_i$  corresponds to the constraints of the original SMT problem being refuted, each  $t_i$  is a clause inferred from previous elements of the sequence, and  $t_n$  is  $\perp$  (the empty clause). In the following, we designate the SMT-LIB problem as the *input problem*.

```
1 (set-logic QF_LIA)
2 (declare-const x Int)
3 (declare-const y Int)
4 (assert (= 0 y))
5 (assert (= x 2))
6 (assert (or (< (+ x y) 1) (< 3 x)))
7 (get-proof)
```

⚡

```
1 (assume a0 (or (< (+ x y) 1) (< 3 x)))
2 (assume a1 (= x 2))
3 (assume a2 (= 0 y))
4 (step t1 (cl (< (+ x y) 1) (< 3 x)) :rule or :premises (a0))
5 (step t2 (cl (not (< 3 x)) (not (= x 2))) :rule la_generic :args (1/1 1/1))
6 (step t3 (cl (not (< 3 x))) :rule resolution :premises (a1 t2))
7 (step t4 (cl (< (+ x y) 1)) :rule resolution :premises (t1 t3))
8 (step t5 (cl (not (< (+ x y) 1)) (not (= x 2)) (not (= 0 y))) :rule
la_generic :args (1/1 -1/1 1/1))
9 (step t6 (cl) :rule resolution :premises (t5 t4 a1 a2))
```

**Listing 1.1.** The following example is the proof for the unsatisfiability of  $(x + y < 1) \vee (3 < x), x = 2$  and  $0 = y$ .

We will use the input problem shown in the top part of example 1 with its Alethe proof (found by `cvc5`) in the bottom part as a running example to provide an overview of Alethe concepts and to illustrate our reconstruction of linear arithmetic step in `Lambdapi`.

### 1.1 Alethe Trace Format Overview

An Alethe proof trace inherits the declarations of its input problem. All symbols (sorts, functions, assertions, etc.) declared or defined in the input problem remain declared or defined, respectively. Furthermore, the syntax for terms, sorts, and annotations uses the syntactic rules defined in SMT-LIB [3, §3] and the SMT signature context defined in [3, §5.1 and §5.2]. In the following we will represent an Alethe step as

$$\begin{array}{c} \text{index} \uparrow i. \quad \text{context} \uparrow \Gamma \triangleright \text{clause} \downarrow l_1 \dots l_n \quad (\text{rule} \uparrow \mathcal{R} \quad \text{premises} \uparrow p_1 \dots p_m) \quad \text{arguments} \uparrow [a_1 \dots a_r] \end{array} \quad (1)$$

A step consists of an index  $i \in \mathbb{I}$  where  $\mathbb{I}$  is a countable infinite set of indices (e.g. `a0`, `t1`), and a clause of formulae  $l_1, \dots, l_n$  representing an  $n$ -ary disjunction. Steps that are not assumptions are justified by a proof rule  $\mathcal{R}$  that depends on a possibly empty set of premises  $\{p_1 \dots p_m\} \subseteq \mathbb{I}$  that only references earlier steps such that the proof forms a directed acyclic graph. A rule might also depend on a list of arguments  $[a_1 \dots a_r]$  where each argument  $a_i$  is either a term or a pair  $(x_i, t_i)$  where  $x_i$  is a variable and  $t_i$  is a term. The interpretation of the arguments is rule specific. The context  $\Gamma$  of a step is a list  $c_1 \dots c_l$  where each element  $c_j$  is either a variable or a variable-term tuple denoted  $x_j \mapsto t_j$ . Therefore, steps with a non-empty context contain variables  $x_j$  that appear in  $l_i$  and will be substituted by  $t_j$ . Proof rules  $\mathcal{R}$  include theory lemmas and **resolution**, which corresponds to hyper-resolution on ground first-order clauses.

We now have the key components to explain the guiding proof in the bottom part of listing 1.1. The proofs starts with **assume** steps `a0`, `a1`, `a2` that restate the assertions from the *input problem* (listing 1.1). Step `t1` transforms disjunction into clause by using the Alethe rule **or**. Steps `t2` and `t5` are tautologies introduced by the main rule **la\_generic** in Linear Real Arithmetic (LRA) logic and used also in LIA logic, where  $\neg l_1, \neg l_2, \dots, \neg l_n$  represent linear inequalities. These logics use closed linear formulas over the **Real** signature and **Int** respectively. The **Real** terms in LRA logic are built over the Reals signature from SMT-LIB with free constant symbols, but containing only linear atoms; that is atoms with no occurrences of the function symbols  $*$  and  $/$ , except in coefficient multiplications—specifically, terms of the form  $c$ ,  $(* c x)$ , or  $(* x c)$  where  $x$  is a free constant and  $c$  is an integer or rational coefficient. Similarly, the **Int** terms in LIA logic are closed formulas built over the Ints signature with free constant symbols, but whose terms are also all linear, such that there is no occurrences of

Rule	Description
la_generic	Tautologous disjunction of linear inequalities.
lia_generic	Tautologous disjunction of linear integer inequalities.
la_disequality	$t_1 \approx t_2 \vee \neg(t_1 \geq t_2) \vee \neg(t_2 \geq t_1)$
la_totality	$t_1 \geq t_2 \vee t_2 \geq t_1$
la_tautology	A trivial linear tautology
la_mult_pos	$t_1 > 0 \wedge (t_2 \bowtie t_3) \rightarrow t_1 * t_2 \bowtie t_1 * t_3$ and $\bowtie \in \{<, >, \geq, \leq, =\}$
la_mult_neg	$t_1 < 0 \wedge (t_2 \bowtie t_3) \rightarrow t_1 * t_2 \bowtie_{inv} t_1 * t_3$
la_rw_eq	$(t \approx u) \approx (t \geq u \wedge u \geq t)$
div_simplify	Simplification of division.
prod_simplify	Simplification of products.
unary_minus_simplify	Simplification of the unary minus.
minus_simplify	Simplification of the subtractions.
sum_simplify	Simplification of sums.
comp_simplify	Simplification of arithmetic comparisons.

**Table 1.** Linear arithmetic rules in Alethe.

the function symbols `*`, `/`, `div`, `mod`, and `abs`, except terms with coefficients are also allowed, that is, terms of the form `c`, `( * c x )`, or `( * x c )` where `x` is a free constant and `c` is a term of the form `n` or `( - n )` for some numeral `n`. A linear inequality is of term of the form

$$\sum_{i=0}^n c_i \times t_i + d_1 \bowtie \sum_{i=n+1}^m c_i \times t_i + d_2 \quad (1)$$

where  $\bowtie \in \{=, <, >, \leq, \geq\}$ , where  $m \geq n$ ,  $c_i, d_1, d_2$  are either `Int` or `Real` constants, and for each  $i$   $c_i$  and  $t_i$  have the same sort. Checking the clause validity of `t2` and `t5` amounts to checking the unsatisfiability of the system of linear equations (we provide more details in section 1.2) e.g.  $x < 3$  and  $x = 2$  in `t2`. A coefficient for each inequality are pass as arguments e.g.  $(\frac{1}{1}, \frac{1}{1})$  in `t2`. Steps `t3` (and `t4`) applies the `resolution` rule to the premises `a1`, `t2` (respectively `t1` `t3`). Finally, the step `t6` concludes the proof by generating the empty clause  $\perp$ , concretely denoted as `(c1)` in listing 1.1. Notice that the contexts  $\Gamma$  of each step are all empty in this proof.

## 1.2 Linear arithmetic in Alethe

Proofs for linear arithmetic steps use a number of straightforward rules listed in table 1, such as `la_totality`:  $(t_1 \leq t_2 \vee t_2 \geq t_1)$ . Simplification rules `*_simplify`, such as `sum_simplify`, transform arithmetic formulas by applying equivalence-preserving operations repeatedly until a fixed point is reached; these operations are no more complex than constant folding.

Following our method to encode Alethe described in [6], the linear arithmetic tautology rules `la_disequality`, `la_totality` and `la_mult_*` are encoded as lemmas in our embedding of Alethe in `Lambdapi`. The simplification

rule `comp_simplify` is encoded as a lemma for each rewrite case and applied multiple times. We do not support the remaining `*_simplify` rules and the `la_tautology` rule in this work, primarily because `cvc5` does not follow the Alethe standard for simplification step. Instead, it extends the Alethe format with the RARE simplification rules [10]. As a result, `cvc5` did not generate any proofs using these standard rules for the SMT-LIB benchmarks.

A different approach is taken for the primary rules `*_generic`, as they describe an algorithm. While `la_generic` rule is primarily intended for LRA logic, it is also applied in LIA proofs when all variables in the (in)equalities are of integer sort. A step of the rule `la_generic` represents a tautological clause of linear disequalities. It can be checked by showing that the conjunction of the negated disequalities is unsatisfiable. After the application of some strengthening rules, the resulting conjunction is unsatisfiable, even if `Int` variables are assumed to be `Real` variables. Although the rule may introduce rational coefficients, they often reduce to integers—as shown in listing 1.1, where the coefficients are  $(\frac{1}{1}, \frac{1}{1})$ . Cases where coefficients cannot be reduced to integers are rare in practice. Let  $\varphi_1, \dots, \varphi_n$  be linear inequalities and  $a_1, \dots, a_n$  rational numbers, then a `la_generic` step has the general form

$$i. \triangleright \quad \varphi_1, \dots, \varphi_n \quad \text{la\_generic} [a_1, \dots, a_n]$$

The constants  $a_i$  are of sort `Real` and must be printed using one of the productions `<rational>`, `<decimal>`, `<nonpositive_decimal>` described in appendix A. To check the unsatisfiability of the negation of  $\varphi_1, \dots, \varphi_n$  one performs the following steps for each literal. For each  $i$ , let  $\varphi := \varphi_i$ ,  $a := a_i$  and we write  $s1 \bowtie s2$  for eq. (1).

1. If  $\varphi = s_1 > s_2$ , then let  $\varphi := s_1 \leq s_2$ . If  $\varphi = s_1 \geq s_2$ , then let  $\varphi := s_1 < s_2$ . If  $\varphi = s_1 < s_2$ , then let  $\varphi := s_1 \geq s_2$ . If  $\varphi = s_1 \leq s_2$ , then let  $\varphi := s_1 > s_2$ . This negates the literal.
2. If  $\varphi = \neg(s_1 \bowtie s_2)$ , then let  $\varphi := s_1 \bowtie s_2$ .
3. If  $\varphi = s_1 < s_2$ , then let  $\varphi := -s_1 > -s_2$ . If  $\varphi = s_1 \leq s_2$ , then let  $\varphi := s_1 \geq -s_2$ . We want a canonical form that use only the operators  $>, \geq$  and  $=$ .
4. Replace  $\varphi$  by  $\sum_{i=0}^n c_i \times t_i - \sum_{i=n+1}^m c_i \times t_i \bowtie d$  where  $d := d_2 - d_1$ .
5. Now  $\varphi$  has the form  $s_1 \bowtie d$ . If all variables in  $s_1$  are integer sorted: replace  $\bowtie d$  according to the table below.

$\bowtie$	If $d$ is an integer	Otherwise
$>$	$\geq d + 1$	$\geq \lfloor d \rfloor + 1$
$\geq$	$\geq d$	$\geq \lfloor d \rfloor + 1$

6. If all variables of  $\varphi$  are `Int` and coefficient  $a_1 \dots a_n \in \mathbb{Q}$ , then  $a := a \times \text{lcd}(a_1 \dots a_n)$  where  $\text{lcd}$  is the least common denominator of  $[a_1 \dots a_n]$ .
7. If  $\bowtie$  is  $=$ , then replace  $\varphi$  by  $\sum_{i=0}^m a \times c_i \times t_i = a \times d$ , otherwise replace it by  $\sum_{i=0}^m |a| \times c_i \times t_i = |a| \times d$ .

Finally, the sum of the resulting literals is trivially contradictory. The sum

$$\sum_{k=1}^o \sum_{i=1}^{m^o} c_i^k * t_i^k \bowtie \sum_{k=1}^o d^k$$

where  $c_i^k$  and  $t_i^k$  are the constant and term from the literal  $varphi_k$ , and  $d^k$  is the constant  $d$  of  $varphi_k$ . The operator  $\bowtie$  is  $=$  if all operators are  $=$ ,  $>$  if all are either  $=$  or  $>$ , and  $\geq$  otherwise. The  $a_i$  must be such that the sum on the left-hand side is 0 and the right-hand side is  $> 0$  (or  $\geq 0$  if  $\bowtie$  is  $>$ ).

The step 3 has been added by our own, as the subsequent steps in the original algorithm are designed for  $>$  and  $\geq$  and do not clearly address how to handle  $<$  and  $\leq$ . Additionally, step 6 was added to ensure that our construction is independent of  $\mathbb{Q}$ .

*Example 1.* Consider the `la_generic` step in the logic `QF_UFLIA` with the uninterpreted function symbol (`f Int`):

```
1 (step t11 (c1 (not (<= f 0)) (<= (+ 1 (* 4 f)) 1)))
2 :rule la_generic :args (1/1 1/4))
```

After step 4, we get  $-f > 0 \wedge 4 \times f > 0$ . The step 5 applied and we can strengthen this to  $-f \geq 0 \wedge 4 \times f \geq 1$  and after multiplication of the normalized coefficients at step 6, we get  $4 \times (-f) \geq 0 \wedge 4 \times f \geq 1$ . Which sums to the contradiction  $0 \geq 1$ .

The `lia_generic` is structurally similar to `la_generic`, but omits the coefficients. Since this rule can introduce a disjunction of arbitrary linear integer inequalities without any additional hints, proof checking is *NP-complete* [12].

## 2 The approach to reconstruct `lia_generic` step

The `lia_generic` represent a challenge for reconstruction because the coefficients are not provided by the solver in the trace i.e. `[a1 ... ar]` is empty. We decided to leverage the elaboration process of `lia_generic` performed by Carcara, as doing otherwise would require implementing Fourier-Motzkin elimination for integers, as demonstrated in [11, 4] - and therefore reimplementing the work done by the solver.

Carcara considers `lia_generic` steps as holes in the proof, as "their checking is as hard as solving" [1, §3.2]. However, Carcara relies on an external tool that generates Alethe proofs to formulate the steps by solving corresponding problems in a proof-producing manner. The proof is then imported, and validated before replacing the original step. However, at present, Carcara only use `cvc5` for performing this task. In detail, the elaboration method, when encountering a `lia_generic` step  $S$  concluding the negated inequalities  $\neg l_1 \vee \dots \vee \neg l_n$ , generates an SMT-LIB problem asserting  $l_1 \wedge \dots \wedge l_n$  and invokes `cvc5` on it, expecting an Alethe proof  $\pi : (l_1 \wedge \dots \wedge l_n) \rightarrow \perp$ . Carcara will check this subproof and then replace step  $S$  in the original proof by a proof of the form:

```
1 (step S (cl (not l1) ... (not ln)) :rule lia_generic)
```

⋮

```
1 (anchor :step S.t_m+1)
2 (assume S.h_1 l1)
3 ...
4 (assume S.h_n ln)
5 ...
6 (step t.t_m (cl false) :rule ...)
7 (step t.t_m+1 (cl (not l1) ... (not ln) false) :rule subproof)
8 (step t.t_m+2 (cl (not false)) :rule false)
9 (step S (cl (not l1) ... (not ln)) :rule resolution :premises (S.t_m+1 S.t_m+2))
```

**Listing 1.2.** Elaboration of `lia_generic`

In the next section, we first present an overview of our embedding of Alethe in Lambdapi, and then our automatic procedure to reconstruct `la_generic` step that appear in LIA problem.

### 3 Reconstruction of `la_generic` step for LIA logic

#### 3.1 An Overview of Lambdapi

Lambdapi is an implementation of  $\lambda II$  modulo theory ( $\lambda II / \equiv$ ) [7], an extension of the Edinburgh Logical Framework  $\lambda II$  [8], a simply typed  $\lambda$ -calculus with dependent types.  $\lambda II / \equiv$  adds user-defined higher-order rewrite rules. Its syntax is given by

Universes	$u ::= \text{TYPE} \mid \text{KIND}$
Terms	$t, v, A, B, C ::= c \mid x \mid u \mid II\ x : A, B \mid \lambda x : A, t \mid t\ v$
Contexts	$\Gamma ::= \langle \rangle \mid \Gamma, x : A$
Signatures	$\Sigma ::= \langle \rangle \mid \Sigma, c : C \mid \Sigma, c := t : C \mid \Sigma, t \hookrightarrow v$

where  $c$  is a constant and  $x$  is a variable (ranging over disjoint sets),  $C$  is a closed term. *Universes* are constants used to verify if a type is well-formed – more details can be found in [8, §2.1].  $II\ x : A, B$  is the dependent product, and we write  $A \rightarrow B$  when  $x$  does not appear free in  $B$ ,  $\lambda x : A, t$  is an abstraction, and  $t\ v$  is an application. A (*local*) *context*  $\Gamma$  is a finite sequence of variable declarations  $x : A$  introducing variables and their types. A *signature*  $\Sigma$  representing the global context is a finite sequence of *assumptions*  $c : C$ , indicating that constant  $c$  is of type  $C$ , *definitions*  $c := t : C$ , indicating that  $c$  has the value  $t$  and type  $C$ , and *rewrite rules*  $t \hookrightarrow v$  such that  $t = c\ v_1 \dots v_n$  where  $c$  is a constant.

The relation  $\hookrightarrow_{\beta\Sigma}$  is generated by  $\beta$ -reduction and by the rewrite rules of  $\Sigma$ . The relation  $\hookrightarrow_{\beta\Sigma}^*$  denotes the reflexive and transitive closure of  $\hookrightarrow_{\beta\Sigma}$ , and the relation  $\equiv_{\beta\Sigma}$  (called *conversion*) the reflexive, symmetric, and transitive closure of  $\hookrightarrow_{\beta\Sigma}$ . The relation  $\hookrightarrow_{\beta\Sigma}$  must be confluent, i.e., whenever  $t \hookrightarrow_{\beta\Sigma}^* v_1$  and

$t \hookrightarrow_{\beta\Sigma}^* v_2$ , there exists a term  $w$  such that  $v_1 \hookrightarrow_{\beta\Sigma}^* w$  and  $v_2 \hookrightarrow_{\beta\Sigma}^* w$ , and it must preserve typing, i.e., whenever  $\Gamma \vdash_{\Sigma} t : A$  and  $t \hookrightarrow_{\beta\Sigma} v$  then  $\Gamma \vdash_{\Sigma} v : A$  [5].

A Lambdapi typing judgment  $\Gamma \vdash_{\Sigma} t : A$  asserts that term  $t$  has type  $A$  in the context  $\Gamma$  and the signature  $\Sigma$ . The typing rules of  $\lambda\Pi/\equiv$  are the one of  $\lambda\Pi$  [8, §2], except for the rule (Conv) where it use the version of fig. 1 that identifies types modulo  $\equiv_{\beta\Sigma}$  instead of just modulo  $\beta$ -reduction.

$$\frac{\Gamma, \vdash_{\Sigma} B : u \quad \Gamma \vdash_{\Sigma} t : A \quad A \equiv_{\beta\Sigma} B}{\Gamma \vdash_{\Sigma} t : B} \text{ (Conv)}$$

**Fig. 1.** (Conv) rule in  $\lambda\Pi/\equiv$

We now provide an overview of the encoding of Alethe linear integers arithmetic in Lambdapi.

### 3.2 Encoding of Integers in Lambdapi

$\mathbb{Z} : \text{TYPE}$	$\mathbb{P} : \text{TYPE}$	$\text{Comp} : \text{TYPE}$	$\mathbb{B} : \text{TYPE}$
$\text{Z0} : \mathbb{Z}$	$\text{H} : \mathbb{P}$	$\text{Eq} : \text{Comp}$	$\text{true} : \mathbb{B}$
$\text{ZPos} : \mathbb{P} \rightarrow \mathbb{Z}$	$\text{O} : \mathbb{P} \rightarrow \mathbb{P}$	$\text{Lt} : \text{Comp}$	$\text{false} : \mathbb{B}$
$\text{ZNeg} : \mathbb{P} \rightarrow \mathbb{Z}$	$\text{I} : \mathbb{P} \rightarrow \mathbb{P}$	$\text{Gt} : \text{Comp}$	
$\text{int} : \text{Set}$	$\text{pos} : \text{Set}$	$\text{comp} : \text{Set}$	$\text{bool} : \text{Set}$
$\text{El int} \hookrightarrow \mathbb{Z}$	$\text{El pos} \hookrightarrow \mathbb{P}$	$\text{El comp} \hookrightarrow \text{Comp}$	$\text{El comp} \hookrightarrow \mathbb{B}$

**Fig. 2.** Overview of sorts, constructors, constants, and element relations

The definition we use of integers in Lambdapi in fig. 2 follows a common encoding found in many other theories, including the one adopted in the Rocq standard library [13]. First, the type  $\mathbb{P}$  is an inductive type representing strictly positive integers in binary form. Starting from 1 (represented by constructor  $\text{H}$ ), one can add a new least significant digit via the constructor  $\text{O}$  (digit 0) or constructor  $\text{I}$  (digit 1). The type  $\mathbb{Z}$  is an inductive type representing integers in binary form. An integer is either zero (with constructor  $\text{Z0}$ ) or a strictly positive number  $\text{Zpos}$  (coded as a  $\mathbb{P}$ ) or a strictly negative number  $\text{ZNeg}$  (whose opposite is stored as a  $\mathbb{P}$  value). As discussed in our previous work [6],  $\lambda\Pi/\equiv$  does not support quantify over a variable of type  $\text{TYPE}$ . More precisely, it is not possible to assign the type  $\Pi X : \text{TYPE}, (X \rightarrow \text{Prop}) \rightarrow \text{Prop}$  to the universal quantifier  $\forall$ , where  $\text{Prop} : \text{TYPE}$  is the type of proposition. To address this, we

introduce a constant `Set` : `TYPE` for the types of object-terms, and a constant `E1` to embed the terms of type `Set` into terms of type `TYPE` giving us the quantifier  $\forall : \Pi x : \text{Set}, (\text{E1 } x \rightarrow \text{Prop}) \rightarrow \text{Prop}$ . To enable quantification over types such as integers, positive binary numbers, booleans, and comparison results, we introduce a constant of type `Set` (e.g. `int` : `Set`) that represents codes for these types — similar to the Tarski-style universe [9, §Universes], where types are represented by elements of a base type and interpreted via the decoding function. In our setting, the decoding function `E1` is realized through a rewriting rule that reduces the term to its corresponding type; for example, `E1 int`  $\hookrightarrow \mathbb{Z}$ . The comparison datatype `Comp` is utilized to define the decidable equality  $\doteq$  between the  $\mathbb{Z}$  and the function `cmp` for  $\mathbb{P}$  (as defined in appendix B).

$+$ : $\mathbb{Z} \rightarrow \mathbb{Z} \rightarrow \mathbb{Z}$	$\doteq$ : $\mathbb{Z} \rightarrow \mathbb{Z} \rightarrow \text{Comp}$
$\text{Z0} + y \hookrightarrow y$	$\text{Z0} \doteq \text{Z0} \hookrightarrow \text{Eq}$
$x + \text{Z0} \hookrightarrow \text{Z0}$	$\text{Z0} \doteq \text{Zpos } \_ \hookrightarrow \text{Lt}$
$(\text{Zpos } x) + (\text{Zpos } y) \hookrightarrow (\text{Zpos } (\text{add } x \ y))$	$\text{Z0} \doteq \text{Zneg } \_ \hookrightarrow \text{Gt}$
$(\text{Zpos } x) + (\text{Zneg } y) \hookrightarrow (\text{sub } x \ y)$	$\text{Zpos } \_ \doteq \text{Z0} \hookrightarrow \text{Gt}$
$(\text{Zneg } x) + (\text{Zpos } y) \hookrightarrow (\text{sub } y \ x)$	$\text{Zpos } p \doteq \text{Zpos } q \hookrightarrow \text{cmp } p \ q$
$(\text{Zneg } x) + (\text{Zneg } y) \hookrightarrow \text{Zpos}(\text{add } x \ y)$	$\text{Zpos } \_ \doteq \text{Zneg } \_ \hookrightarrow \text{Gt}$
	$\text{Zneg } \_ \doteq \text{Z0} \hookrightarrow \text{Lt}$
	$\text{Zneg } \_ \doteq \text{Zpos } \_ \hookrightarrow \text{Lt}$
	$\text{Zneg } p \doteq \text{Zneg } q \hookrightarrow \text{cmp } q \ p$

**Fig. 3.** Decidable equality and  $+$  operator definition for  $\mathbb{Z}$

<code>isEq</code> : <code>Comp</code> $\rightarrow \mathbb{B}$	<code>isLt</code> : <code>Comp</code> $\rightarrow \mathbb{B}$	<code>isGt</code> : <code>Comp</code> $\rightarrow \mathbb{B}$
<code>isEq Eq</code> $\hookrightarrow \text{true}$	<code>isLt Eq</code> $\hookrightarrow \text{false}$	<code>isGt Eq</code> $\hookrightarrow \text{false}$
<code>isEq Lt</code> $\hookrightarrow \text{false}$	<code>isLt Lt</code> $\hookrightarrow \text{true}$	<code>isGt Lt</code> $\hookrightarrow \text{false}$
<code>isEq Gt</code> $\hookrightarrow \text{false}$	<code>isLt Gt</code> $\hookrightarrow \text{false}$	<code>isGt Gt</code> $\hookrightarrow \text{true}$
$\leq$ : $\mathbb{Z} \rightarrow \mathbb{Z} \rightarrow \text{Prop} := \lambda x, \lambda y, \neg(\text{istrue}(\text{isGt}(x \doteq y)))$	<code>istrue</code> : $\mathbb{B} \rightarrow \text{Prop}$	
$<$ : $\mathbb{Z} \rightarrow \mathbb{Z} \rightarrow \text{Prop} := \lambda x, \lambda y, (\text{istrue}(\text{isLt}(x \doteq y)))$	<code>istrue true</code> $\hookrightarrow \top$	
$\geq$ : $\mathbb{Z} \rightarrow \mathbb{Z} \rightarrow \text{Prop} := \lambda x, \lambda y, \neg(x < y)$	<code>istrue false</code> $\hookrightarrow \perp$	
$>$ : $\mathbb{Z} \rightarrow \mathbb{Z} \rightarrow \text{Prop} := \lambda x, \lambda y, \neg(x \leq y)$		

The arithmetic operator such as `add`, `sub`, and others, as presented in fig. 3 are constants defined by rewriting rules. In the following sections, we will refer



to the rewriting rules for integers as  $\rightarrow_{\mathbb{Z}}$  and positive binary numbers as  $\rightarrow_{\mathbb{P}}$ . The confluence of the rewriting rules for the arithmetic of  $\mathbb{Z}$  and  $\mathbb{P}$  has been proven using CSI [14]. A detailed proof of confluence can be found in appendix B.1. The inequality symbols for  $\mathbb{Z}$  are binary predicates defined by rewriting rules over the decidable equality  $\doteq$ . They reduce to  $\top$ ,  $\perp$  (or negated) by  $\equiv_{\beta\Sigma}$  with rules of  $\rightarrow_{\mathbb{Z}}$  and  $\rightarrow_{\mathbb{P}}$ . For example,  $1 < 2 \hookrightarrow \text{istrue}(\text{isLt}(1 \doteq 2)) \hookrightarrow \text{istrue}(\text{isLt}(\text{Lt})) \hookrightarrow \text{istrue}(\text{true}) \hookrightarrow \top$ .

### 3.3 Functions used in the translation

We now provide an overview of how input problems expressed in a given SMT-LIB signature [3, §5.2.1] are encoded. A comprehensive description of the encoding can be found in [6], we will focus here on the arithmetic. In order to avoid a notational clash with the Lambdapi signature  $\Sigma$ , we denote the set of SMT-LIB sorts as  $\Theta^S$ , the set of function symbols  $\Theta^F$ , and the set of variables  $\Theta^X$ . Our translation is based on the following functions:

- $\mathcal{D}$  translates declarations of sorts and functions in  $\Theta^S$  and  $\Theta^F$  into constants,
- $\mathcal{S}$  maps sorts to  $\Sigma$  types,
- $\mathcal{E}$  translates SMT expression to  $\lambda\Pi/\equiv$  terms,
- $\mathcal{C}$  translates a list of commands  $c_1 \dots c_n$  of the form  $i. \Gamma \triangleright \varphi (\mathcal{R} P)[A]$  to typing judgments  $\Gamma \vdash_{\Sigma} i := M : N$ .

**Definition 1 (Function  $\mathcal{D}$  translating SMT sort and function symbol declarations).** For each sort symbol  $s$  with arity  $n$  in  $\Theta^S$  we create a constant  $s : \text{Set} \rightarrow \dots \rightarrow \text{Set}$ . For each function symbol  $f \sigma^+$  in  $\Theta^F$  we create a constant  $f : \mathcal{S}(\sigma^+)$ .

**Definition 2 (Function  $\mathcal{S}$  translating sorts of expression).** The definition of  $\mathcal{S}(s)$  is as follows.

- Case  $s = \text{Bool}$ , then  $\mathcal{S}(s) = \text{El } o$ ,
- Case  $s = \text{Int}$ , then  $\mathcal{S}(s) = \text{El } \text{int}$ ,
- Case  $s = \sigma_1 \sigma_2 \dots \sigma_n$  then  $\mathcal{S}(s) = \text{El}(\mathcal{S}(\sigma_1) \rightsquigarrow \dots \rightsquigarrow \mathcal{S}(\sigma_n))$ ,
- otherwise  $\mathcal{S}(s) = \text{El } \mathcal{D}(s)$ .

with the constant  $o : \text{Set}$  and  $\text{El } o \hookrightarrow \text{Prop}$  to quantify over propositions.

**Definition 3 (Function  $\mathcal{E}$  translating SMT expressions).** The definition of  $\mathcal{E}(e)$  is as follows.

- Case  $e = (p \ t_1 \ t_2 \dots t_n)$  and  $p$  a logical connector, then  $\mathcal{E}(e) = \mathcal{E}(t_1) \ p^c \ \dots \ p^c \ \mathcal{E}(t_n)$ .
- Case  $e = (+ \ t_1 \dots t_n)$ , then  $\mathcal{E}(e) = \mathcal{E}(t_1) + \dots + \mathcal{E}(t_n)$ .
- Case  $e = (* \ t_1 \dots t_n)$ , then  $\mathcal{E}(e) = \mathcal{E}(t_1) * \dots * \mathcal{E}(t_n)$ .
- Case  $e = (-t)$ , then  $\mathcal{E}(e) = \mathcal{E}(t_1)$ .
- Case  $e = (- \ t_1 \dots t_n)$ , then  $\mathcal{E}(e) = \mathcal{E}(t_1) - \dots - \mathcal{E}(t_n)$ .
- Case  $e = (\approx \ t_1 \ t_2)$  then  $\mathcal{E}(e) = (\mathcal{E}(t_1) = \mathcal{E}(t_2))$ .

- Case  $e = (Q \ x_1 : \sigma_1 \dots x_n : \sigma_n \ t)$  where  $Q \in \{\text{forall}, \text{exists}\}$ , then  $\mathcal{E}(e) = Q^e x_1 : \mathcal{S}(\sigma_1), \dots, Q^e x_n : \mathcal{S}(\sigma_n), \mathcal{E}(t)$ .
- Case  $e = (x : \sigma)$  with  $x \in \Theta^{\mathcal{X}}$  a sorted variable, then  $\mathcal{E}(e) = x : \mathcal{S}(\sigma)$ .

cref looks broken,  
should be Listing

*Example 2.* Translation of the prelude in example 1

```
1 symbol x: E1 int;
2 symbol y: E1 int;
```

**Definition 4.** We define the function  $\mathcal{C}$  that translates an Alethe step of the form  $i. \Gamma \triangleright l_1 \dots l_n (RP)[A]$  into a constant  $i : \text{Prf}^\bullet(\mathcal{E}(l_1) \vee \dots \vee \mathcal{E}(l_n) \vee \blacksquare) := M$  where  $M$  is a proof term of appropriate type. The function  $\mathcal{C}$  is defined by cases on the rule  $R$ .

We introduce an embedding  $\text{Prf}^\bullet : \text{Clause} \rightarrow \text{TYPE}$  of clause into types, mapping each clause  $C$  to the type  $\text{Prf}^\bullet C$  of its proofs. Similarly, the constant  $\text{Prf}^c : \text{Prop} \rightarrow \text{TYPE}$  maps each proposition  $A$ . The type  $\text{Clause} : \text{TYPE}$  represent the type of clause encoded as list [6, §3] with the constructor  $\vee : \text{Prop} \rightarrow \text{Clause} \rightarrow \text{Clause}$  and the empty clause  $\blacksquare : \text{clause}$ .

*Example 3.* Translation of the proof goal of step t2 and t5 in listing 1.1

```
1 opaque symbol t2: Prf• (¬ (3 < x) ∨ ¬ (x = 2) ∨ ■) := begin ... end;
2 opaque symbol t5: Prf• (¬ (x + y < 1) ∨ (¬ (x = 2)) ∨ (¬ (0 = y)) ∨ ■) :=
  begin ... end;
```

## 4 Reconstruction of linear integer arithmetic

$$\begin{array}{ccccc}
 \text{reify}(t_1) =_{\mathcal{R}} \text{reify}(t_2) & \mathcal{R} \xrightarrow{\text{AC}} \mathcal{R} & & t_1 \downarrow_{AC} =_{\mathcal{R}} t_2 \downarrow_{AC} & \\
 \uparrow \text{reify} & & \downarrow \text{denote} & & \\
 t_1 =_{\mathbb{Z}} t_2 & \mathbb{Z} \cdot \iff \mathbb{Z} & & \text{denote}(t_1 \downarrow_{AC}) =_{\mathbb{Z}} \text{denote}(t_2 \downarrow_{AC}) & 
 \end{array}$$

**Definition 5** ( $\mathcal{R}$ ).

$$\begin{aligned}
& \text{add } (\text{var } x \ c_1) \ (\text{var } x \ c_2) \hookrightarrow \text{var } x \ (c_1 + c_2) \\
& \text{add } (\text{var } x \ c_1) \ (\text{add } (\text{var } x \ c_2) \ y) \hookrightarrow \text{add } (\text{var } x \ c_1 + c_2) \ y \\
& \text{add } (\text{cst } c_1) \ (\text{cst } c_2) \hookrightarrow (\text{cst } c_1 + c_2) \\
& \text{add } (\text{cst } c_1) \ (\text{add } (\text{cst } c_2) \ y) \hookrightarrow \text{add } (\text{cst } c_1 + c_2) \ y \\
& \text{add } (\text{cst } 0) \ x \hookrightarrow x \\
& \text{add } x \ (\text{cst } 0) \hookrightarrow x \\
& \text{sopp } (\text{var } x \ c) \hookrightarrow (\text{var } x \ (-c)) \\
& \text{opp } (\text{cst } c) \hookrightarrow (\text{cst } (-c)) \\
& \text{opp opp } x \hookrightarrow x \\
& \text{opp add } x \ y \hookrightarrow \text{add } (\text{opp } x) \ (\text{opp } y) \\
& \text{mul } k \ (\text{var } x \ c) \hookrightarrow (\text{var } x \ (k \times c)) \\
& \text{mul } k \ \text{opp } x \hookrightarrow \text{mul } (-k) \ x \\
& \text{mul } k \ (\text{add } x \ y) \hookrightarrow \text{add } (\text{mul } k \ x) \ (\text{mul } k \ y) \\
& \text{mul } k \ (\text{cst } c) \hookrightarrow (\text{cst } k \times c) \\
& \text{mul } c_1 \ (\text{mul } c_2 \ x) \hookrightarrow \text{mul } (c_1 \times c_2) \ x
\end{aligned}$$

**Definition 6** (reify).

$$\begin{aligned}
& \text{reify } 0 \hookrightarrow (\text{cst } 0) \\
& \text{reify } (-x) \hookrightarrow \text{opp reify } x \\
& \text{reify } (x + y) \hookrightarrow \text{add reify } x \ \text{reify } y \\
& \text{reify } x \hookrightarrow (\text{var } x \ 1)
\end{aligned}$$

**Definition 7** (denote).

$$\begin{aligned}
& \text{den } (\text{var } c \ x) \hookrightarrow c \times x \\
& \text{den } (\text{cst } c) \hookrightarrow c \\
& \text{den opp } x \hookrightarrow -(\text{den } x) \\
& \text{den mul } c \ x \hookrightarrow c \times \text{den } x \\
& \text{den add } x \ y \hookrightarrow \text{den } x + \text{den } y
\end{aligned}$$

**Definition 8.** Let  $\text{aliens}_{\sqcup} : \mathcal{C} \rightarrow \mathcal{C}^+$  be the function mapping every term in  $\mathcal{C}$  to a non-empty list of terms such that  $\text{aliens}_{\sqcup}(t) = \text{aliens}_{\sqcup}(u) \circ \text{aliens}_{\sqcup}(v)$  if  $t = u \sqcup v$ , and  $\text{aliens}_{\sqcup}(t) = [t]$  otherwise.

Conversely, let  $\text{comb}_{\sqcup} : \mathcal{C}^+ \rightarrow \mathcal{C}$  be the function mapping a non-empty list of  $\mathcal{C}$ -terms to a term such that  $\text{comb}_{\sqcup}[t] = t$  and for all  $n \geq 2$ ,  $\text{comb}_{\sqcup}[t_1, \dots, t_n] = t_1 \sqcup \text{comb}_{\sqcup}[t_2, \dots, t_n]$ .

For example  $aliens_{\sqcup}((x \sqcup y) \sqcup z) = [x, y, z]$  and  $comb_{\sqcup}[x, y, z] = ((x \sqcup y) \sqcup z)$ .

**Definition 9 (AC-canonical form).** Let  $\leq$  be any total order on  $\mathcal{C}$ -terms with  $\epsilon$  the least element such that for all  $x$  and  $b$  we have  $\epsilon < (\text{var } b \ x)$ , and  $(\text{var } b \ x) \leq (\text{var } b' \ y)$  iff  $x < y$  or else  $x = y$  and  $b \leq b'$  with the order  $\text{false} < \text{true}$ . The AC-canonization of a term  $t$  of  $\mathcal{C}$  is defined as  $[t]_{AC} = comb_{\sqcup}[\text{sort}(aliens_{\sqcup}(t))]$ , where  $\text{sort}(l)$  is the list of the elements of  $l$  in increasing order with respect to  $\leq$ . The relation associating every term  $t$  with its AC-canonization  $[t]_{AC}$  is denoted  $\rightarrow^{AC}$ . Two terms  $t$  and  $t'$  are AC-equivalent if  $[t]_{AC} = [t']_{AC}$ . The term  $t$  is in AC-canonical form if  $t = [t]_{AC}$  and if every strict subterm of  $t$  is in AC-canonical form.

*Example 4.* Assuming that the terms  $x$  and  $y$  are ordered  $x < y$ , the AC-canonical form of  $XXX$  is  $XXX$ .

**Definition 10 (Rewriting modulo AC-canonization).** Let  $\rightarrow_{\mathcal{R}}^{AC} = \rightarrow^{AC} \rightarrow_{\mathcal{R}}$ , where  $\mathcal{R}$  is defined by the rewrite rules of ??.

An  $\rightarrow_{\mathcal{R}}^{AC}$  step is an AC-canonization followed by a standard  $\rightarrow_{\mathcal{R}}$  step with syntactic matching.

## 5 Evaluation

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## A Alethe

### A.1 The Syntax

```

    <proof> = <proof_command>*
    <proof_command> = (assume <symbol> <proof_term>)
                    | (step <symbol> <clause> :rule <symbol>
                        <premises_annotation>?
                        <context_annotation>? <attribute>*)
                    | (anchor :step <symbol>
                        <args_annotation>? <attribute>*)
                    | (define-fun <function_def>)
    <clause> = (cl <proof_term>*)
    <proof_term> = <term> extended with
                  (choice ( <sorted_var> ) <proof_term> )
    <premises_annotation> = :premises ( <symbol>+ )
    <args_annotation> = :args ( <step_arg>+ )
    <step_arg> = <symbol> | ( <symbol> <proof_term> )
    <context_annotation> = :args ( <context_assignment>+ )
    <context_assignment> = ( <sorted_var> )
                        | ( := <symbol> <proof_term> )

```

Fig. 4. Alethe grammar

## B Lambdapi Formalizations for Integer and Binary Number Operations

$\text{add} : \mathbb{P} \rightarrow \mathbb{P} \rightarrow \mathbb{P}$	$\text{add\_c} : \mathbb{P} \rightarrow \mathbb{P} \rightarrow \mathbb{P}$
$\text{add } (I \ x) \ (I \ q) \hookrightarrow 0 \ (\text{add\_c } x \ q)$	$\text{add\_c } (I \ x) \ (I \ q) \hookrightarrow I \ (\text{add\_c } x \ q)$
$\text{add } (I \ x) \ (0 \ q) \hookrightarrow I \ (\text{add } x \ q)$	$\text{add\_c } (I \ x) \ (0 \ q) \hookrightarrow 0 \ (\text{add\_c } x \ q)$
$\text{add } (0 \ x) \ (I \ q) \hookrightarrow I \ (\text{add } x \ q)$	$\text{add\_c } (0 \ x) \ (I \ q) \hookrightarrow 0 \ (\text{add\_c } x \ q)$
$\text{add } (0 \ x) \ (0 \ q) \hookrightarrow 0 \ (\text{add } x \ q)$	$\text{add\_c } (0 \ x) \ (0 \ q) \hookrightarrow I \ (\text{add } x \ q)$
$\text{add } x \ H \hookrightarrow \text{succ } x$	$\text{add\_c } x \ H \hookrightarrow \text{add } x \ (0 \ H)$
$\text{add } H \ y \hookrightarrow \text{succ } y$	$\text{add\_c } H \ y \hookrightarrow \text{add } (0 \ H) \ y$

```

sub :  $\mathbb{P} \rightarrow \mathbb{P} \rightarrow \mathbb{Z}$ 
sub (I p) (I q)  $\hookrightarrow$  double (sub p q)
sub (I p) (0 q)  $\hookrightarrow$  succ_double (sub p q)
sub (I p) H  $\hookrightarrow$  Zpos (0 p)
sub (0 p) (I q)  $\hookrightarrow$  pred_double (sub p q)
sub (0 p) (0 q)  $\hookrightarrow$  double (sub p q)
sub (0 p) H  $\hookrightarrow$  Zpos (pos_pred_double p)
sub H (I q)  $\hookrightarrow$  Zneg (0 q)
sub H (0 q)  $\hookrightarrow$  Zneg (pos_pred_double q)
sub H H  $\hookrightarrow$  Z0

```

```

compare_acc :  $\mathbb{P} \rightarrow \text{Comp} \rightarrow \mathbb{P} \rightarrow \text{Comp}$ 
compare_acc (I x) c (I q)  $\hookrightarrow$  compare_acc x c q
compare_acc (I x) _ (0 q)  $\hookrightarrow$  compare_acc x Gt q
compare_acc (I _) _ H  $\hookrightarrow$  Gt
compare_acc (0 x) _ (I q)  $\hookrightarrow$  compare_acc x Lt q
compare_acc (0 x) c (0 q)  $\hookrightarrow$  compare_acc x c q
compare_acc (0 _) _ H  $\hookrightarrow$  Gt
compare_acc H _ (I _)  $\hookrightarrow$  Lt
compare_acc H _ (0 _)  $\hookrightarrow$  Lt
compare_acc H c H  $\hookrightarrow$  c

```

```

compare x y := compare_acc x Eq y

```

### B.1 Confluence of the rewriting rules of integers and positive binary number

```

1 (VAR
2   a : Z
3   b : Z
4   x : P
5   q : P
6   y : P
7 )
8 (RULES
9   ~(Z0) -> Z0
10  ~(Zpos(p)) -> Zneg(p)
11  ~(Zneg(p)) -> Zpos(p)

```

```

12  ~(~(a)) -> a
13
14  double(Z0) -> Z0
15  double(Zpos(p)) -> Zpos(0(p))
16  double(Zneg(p)) -> Zneg(0(p))
17
18  succ_double(Z0) -> Zpos(H)
19  succ_double(Zpos(p)) -> Zpos(I(p))
20  succ_double(Zneg(p)) -> Zneg(pos_pred_double(p))
21
22  pred_double(Z0) -> Zneg(H)
23  pred_double(Zpos(p)) -> Zpos(pos_pred_double(p))
24  pred_double(Zneg(p)) -> Zneg(I(p))
25
26  sub(I(p), I(q)) -> double(sub(p, q))
27  sub(I(p), 0(q)) -> succ_double(sub(p, q))
28  sub(I(p), H) -> Zpos(0(p))
29  sub(0(p), I(q)) -> pred_double(sub(p, q))
30  sub(0(p), 0(q)) -> double(sub(p, q))
31  sub(0(p), H) -> Zpos(pos_pred_double(p))
32  sub(H, I(q)) -> Zneg(0(q))
33  sub(H, 0(q)) -> Zneg(pos_pred_double(q))
34  sub(H, H) -> Z0
35
36  +(Z0,a) -> a
37  +(a,Z0) -> a
38  +(Zpos(x), Zpos(y)) -> Zpos(add(x, y))
39  +(Zpos(x), Zneg(y)) -> sub(x, y)
40  +(Zneg(x), Zpos(y)) -> sub(y, x)
41  +(Zneg(x), Zneg(y)) -> Zneg(add(x, y))
42
43  mult(Z0, a) -> Z0
44  mult(a, Z0) -> Z0
45  mult(Zpos(x), Zpos(y)) -> Zpos(mul(x, y))
46  mult(Zpos(x), Zneg(y)) -> Zneg(mul(x, y))
47  mult(Zneg(x), Zpos(y)) -> Zneg(mul(x, y))
48  mult(Zneg(x), Zneg(y)) -> Zpos(mul(x, y))
49
50
51  succ(I(x)) -> 0(succ(x))
52  succ(0(x)) -> I(x)
53  succ(H) -> 0(H)
54  add(I(x), I(q)) -> 0(addcarry(x, q))
55  add(I(x), 0(q)) -> I(add(x, q))
56  add(0(x), I(q)) -> I(add(x, q))
57  add(0(x), 0(q)) -> 0(add(x, q))
58  add(x, H) -> succ(x)
59  add(H, y) -> succ(y)
60
61  addcarry(I(x), I(q)) -> I(addcarry(x, q))
62  addcarry(I(x), 0(q)) -> 0(addcarry(x, q))
63  addcarry(0(x), I(q)) -> 0(addcarry(x, q))
64  addcarry(0(x), 0(q)) -> I(add(x, q))
65  addcarry(x, H) -> add(x, 0(H))
66  addcarry(H, y) -> add(0(H), y)
67
68  pos_pred_double(I(x)) -> I(0(x))
69  pos_pred_double(0(x)) -> I(pos_pred_double(x))
70  pos_pred_double(H) -> H
71
72  mul(I(x), y) -> add(x, 0(mul(x,y)))
73  mul(0(x), y) -> 0(mul(x, y))
74  mul(H, y) -> y
75 )

```

**Listing 1.3.** Rewriting rule of  $\mathbb{Z}$  and  $\mathbb{P}$  in the TRS format



**Lemma 1 (Confluence).**

*Proof.* CSI automatically proves the confluence of  $\rightarrow_{\mathbb{Z}}$  and  $\rightarrow_{\mathbb{P}}$  by giving the polynomial interpretation:

$$[\mathit{succ}(x)] = 4 * x \qquad [\mathit{add}(x, y)] = 4 * x + 4 * y + 2 \qquad [H] = 4$$