

Design and Analysis of Algorithm

Recurrence Equation **(Solving Recurrence using** **Iteration Methods)**

Lecture – 10 and 11

Overview

- A **recurrence** is a function is defined in terms of
 - one or more base cases, and
 - itself, with smaller arguments.

Examples:

$$\bullet \quad T(n) = \begin{cases} 1 & \text{if } n = 1, \\ T(n-1) + 1 & \text{if } n > 1. \end{cases}$$

Solution: $T(n) = n$.

Linear Decay

$$\bullet \quad T(n) = \begin{cases} 1 & \text{if } n = 1, \\ 2T(n/2) + n & \text{if } n \geq 1. \end{cases}$$

Solution: $T(n) = n \lg n + n$.

Division

$$\bullet \quad T(n) = \begin{cases} 0 & \text{if } n = 2, \\ T(\sqrt{n}) + 1 & \text{if } n > 2. \end{cases}$$

Solution: $T(n) = \lg \lg n$.

Changing Variable

$$\bullet \quad T(n) = \begin{cases} 1 & \text{if } n = 1, \\ T(n/3) + T(2n/3) + n & \text{if } n > 1. \end{cases}$$

Solution: $T(n) = \Theta(n \lg n)$.

Decision Tree

Overview

- Many technical issues:

- Floors and ceilings

[Floors and ceilings can easily be removed and don't affect the solution to the recurrence. They are better left to a discrete math course.]

- Exact vs. asymptotic functions

- Boundary conditions

Overview

In algorithm analysis, the recurrence and its solution are expressed by the help of asymptotic notation.

- Example: $T(n) = 2T(n/2) + \Theta(n)$, with solution $T(n) = \Theta(n \lg n)$.
 - The boundary conditions are usually expressed as $T(n) = O(1)$ for sufficiently small n .
 - But when there is a desire of an exact, rather than an asymptotic, solution, the need is to deal with boundary conditions.
 - In practice, just use asymptotics most of the time, and ignore boundary conditions.

Recursive Function

- Example

$A(n)$

{

If ($n > 1$)

Return ($A(n - 1)$)

}

The relation is called recurrence relation

The Recurrence relation of given function is written as follows.

$$T(n) = T(n - 1) + 1$$

Recursive Function

- To solve the Recurrence relation the following methods are used:

- 1. Iteration method**

2. Recursion-Tree method

3. Master Method

4. Substitution Method

Iteration Method(Example 1)

- In Iteration method the basic idea is to expand the recurrence and express it as a summation of terms dependent only on 'n' (i.e. the number of input) and the initial conditions.

Example 1:

Solve the following recurrence relation by using Iteration method.

$$T(n) = \begin{cases} T(n-1) + 1 & \text{if } n > 1 \\ 1 & \text{if } n = 1 \end{cases}$$

Iteration Method (Example 1)

It means $T(n) = T(n - 1) + 1$ if $n > 1$

and $T(n) = 1$ when $n = 1$ ----- (1)

Put $n = n - 1$ in equation 1, we get

$$T(n - 1) = T(n - 2) + 1$$

Put the value of $T(n - 1)$ in equation 1, we get

$$T(n) = T(n - 2) + 2$$
 ----- (2)

Iteration Method (Example 1)

Put $n = n - 2$ in equation 1, we get

$$T(n - 2) = T(n - 3) + 1$$

Put the value of $T(n - 2)$ in equation 2, we get

$$T(n) = T(n - 3) + 3 \text{ ----- } (3)$$

.....

$$T(n) = T(n - k) + k \text{ ----- } (k)$$

Iteration Method (Example 1)

$$\text{Let } T(n - k) = T(1) = 1$$

(As per the base condition of recurrence)

$$\text{So } n - k = 1$$

$$\Rightarrow k = n - 1$$

Now put the value of k in equation k

$$T(n) = T(n - (n - 1)) + n - 1$$

$$T(n) = T(1) + n - 1$$

$$T(n) = 1 + n - 1 \quad [\because T(1) = 1]$$

$$T(n) = n$$

$$\therefore T(n) = \Theta(n)$$

Iteration Method (Example 2)

Example 2:

Solve the following recurrence relation by using Iteration method.

$$T(n) = \begin{cases} 2T\left(\frac{n}{2}\right) + 3n^2 & \text{if } n > 1 \\ 11 & \text{if } n = 1 \end{cases}$$

Iteration Method (Example 2)

It means $T(n) = 2T\left(\frac{n}{2}\right) + 3n^2$ if $n > 1$ and $T(n) = 11$ when $n = 1$ --- (1)

Put $n = \frac{n}{2}$ in equation 1, we get

$$T\left(\frac{n}{2}\right) = 2T\left(\frac{n}{4}\right) + 3\left(\frac{n}{2}\right)^2$$

$$T\left(\frac{n}{2}\right) = 2T\left(\frac{n}{2^2}\right) + 3\left(\frac{n}{2}\right)^2$$

Put the value of $T\left(\frac{n}{2}\right)$ in equation 1, we get

$$T(n) = 2\left[2T\left(\frac{n}{2^2}\right) + 3\left(\frac{n}{2}\right)^2\right] + 3n^2$$

$$T(n) = 2^2T\left(\frac{n}{2^2}\right) + 2 \cdot 3 \frac{n^2}{4} + 3n^2$$

$$T(n) = 2^2T\left(\frac{n}{2^2}\right) + 3 \frac{n^2}{2} + 3n^2 \text{ ----- (2)}$$

Iteration Method (Example 2)

Put $n = \frac{n}{4}$ in equation 1, we get

$$T\left(\frac{n}{4}\right) = 2T\left(\frac{n}{8}\right) + 3\left(\frac{n}{4}\right)^2$$

$$T\left(\frac{n}{4}\right) = 2T\left(\frac{n}{2^3}\right) + 3\left(\frac{n}{4}\right)^2$$

Put the value of $T\left(\frac{n}{4}\right)$ in equation 2, we get

$$T(n) = 2^2 \left[2T\left(\frac{n}{8}\right) + 3\frac{n^2}{16} \right] + 3\frac{n^2}{2} + 3n^2$$

$$T(n) = 2^2 \left[2T\left(\frac{n}{2^3}\right) + 3\left(\frac{n}{4}\right)^2 \right] + 3\frac{n^2}{2} + 3n^2$$

$$T(n) = 2^3 T\left(\frac{n}{2^3}\right) + 4.3\frac{n^2}{16} + 3\frac{n^2}{2} + 3n^2$$

Iteration Method (Example 2)

$$T(n) = 2^3 T\left(\frac{n}{2^3}\right) + 3 \frac{n^2}{2^2} + 3 \frac{n^2}{2} + 3n^2 \text{ ----- } -(3)$$

... ..

$$T(n) = 2^i T\left(\frac{n}{2^i}\right) + \dots + \dots + \dots + 3 \frac{n^2}{2^2} + 3 \frac{n^2}{2} + 3n^2 \text{ ----- } -(i^{th} \text{ term})$$

and the series terminate when $\frac{n}{2^i} = 1$

$$\Rightarrow n = 2^i$$

Taking log both side

$$\Rightarrow \log_2 n = i \log_2 2$$

$$\Rightarrow i = \log_2 n \quad (\text{because } \log_2 2 = 1)$$

Iteration Method (Example 2)

Hence we can write the i^{th} term as follows

$$\Rightarrow T(n) = 3n^2 + 3\frac{n^2}{2} + 3\frac{n^2}{2^2} + \dots + \dots + \dots + 2^i T\left(\frac{n}{2^i}\right)$$

$$\Rightarrow T(n) = 3n^2 + 3\frac{n^2}{2} + 3\frac{n^2}{2^2} + \dots + \dots + \dots + 2^{\log_2 n} T(1)$$

$$\Rightarrow T(n) = 3n^2 + 3\frac{n^2}{2} + 3\frac{n^2}{2^2} + \dots + \dots + \dots + 2^{\log_2 n} \cdot 11$$

$$\Rightarrow T(n) = 3n^2 + 3\frac{n^2}{2} + 3\frac{n^2}{2^2} + \dots + \dots + \dots + n^{\log_2 2} \cdot 11 \quad [\text{As } \log_2 2 = 1]$$

$$\Rightarrow T(n) = 3n^2 + 3\frac{n^2}{2} + 3\frac{n^2}{2^2} + \dots + \dots + \dots + n \cdot 11$$

$$\Rightarrow T(n) = \left[3n^2 + 3\frac{n^2}{2} + 3\frac{n^2}{2^2} + \dots + \dots + \dots \right] + 11 \cdot n$$

$$\Rightarrow T(n) = 3n^2 \left[1 + \frac{1}{2} + \frac{1}{2^2} + \dots + \dots + \dots \right] + 11 \cdot n$$

Iteration Method (Example 2)

As we know that Sum of infinite Geometric series is

$$= a + ar + ar^2 + \dots + ar^{(n-1)} = \sum_{i=0}^{\infty} ar^i = a \left(\frac{1}{1-r} \right) = \frac{a}{1-r}$$

Hence,

$$\Rightarrow T(n) \leq 3n^2 \left[\frac{1}{1 - \frac{1}{2}} \right] + 11n$$

$$\Rightarrow T(n) \leq 3n^2 \cdot 2 + 11n$$

$$\Rightarrow T(n) \leq 6n^2 + 11n$$

$$\text{Hence } T(n) = O(n^2)$$

Iteration Method (Example 3)

Example 3:

Solve the following recurrence relation by using Iteration method.

$$T(n) = \begin{cases} 8T\left(\frac{n}{2}\right) + n^2 & \text{if } n > 1 \\ 1 & \text{if } n = 1 \end{cases}$$

Iteration Method (Example 3)

It means $T(n) = 8T\left(\frac{n}{2}\right) + n^2$ if $n > 1$ and $T(n) = 1$ when $n = 1$ ---- (1)

Put $n = \frac{n}{2}$ in equation 1, we get

$$T\left(\frac{n}{2}\right) = 8T\left(\frac{n}{4}\right) + \left(\frac{n}{2}\right)^2$$

Put the value of $T\left(\frac{n}{2}\right)$ in equation 1, we get

$$T(n) = 8 \left[8T\left(\frac{n}{4}\right) + \left(\frac{n}{2}\right)^2 \right] + n^2$$

$$T(n) = 8^2 T\left(\frac{n}{4}\right) + 8 \frac{n^2}{4} + n^2 \text{ ----- (2)}$$

Put $n = \frac{n}{4}$ in equation 1, we get

$$T\left(\frac{n}{4}\right) = 8T\left(\frac{n}{8}\right) + \left(\frac{n}{4}\right)^2$$

Iteration Method (Example 3)

Put the value of $T\left(\frac{n}{4}\right)$ in equation 2, we get

$$T(n) = 8^2 \left[8T\left(\frac{n}{8}\right) + \left(\frac{n}{4}\right)^2 \right] + 8\frac{n^2}{4} + n^2$$

$$T(n) = 8^3 T\left(\frac{n}{8}\right) + 8^2 \frac{n^2}{4^2} + 8\frac{n^2}{4} + n^2 \text{-----} (3)$$

... ..

$$T(n)$$

$$= 8^k T\left(\frac{n}{2^k}\right) + 8^{k-1} \frac{n^2}{4^{k-1}} + \dots + \dots + \dots + 8^2 \frac{n^2}{4^2} + 8\frac{n^2}{4} + n^2 \text{----} (k^{th} \text{ term})$$

$$T(n) = 8^k T\left(\frac{n}{2^k}\right) + n^2 \left[\frac{8^{k-1}}{4^{k-1}} + \frac{8^{k-2}}{4^{k-2}} \dots + \dots + \dots + \frac{8^2}{4^2} + \frac{8}{4} + 1 \right]$$

$$T(n) = 8^k T\left(\frac{n}{2^k}\right) + n^2 [2^{k-1} + 2^{k-2} \dots + \dots + \dots + 2^2 + 2 + 1] \text{----} (4)$$

Iteration Method (Example 3)

Put the value of $T\left(\frac{n}{4}\right)$ in equation 2, we get

$$T(n) = 8^2 \left[8T\left(\frac{n}{8}\right) + \left(\frac{n}{4}\right)^2 \right] + 8\frac{n^2}{4} + n^2$$

$$T(n) = 8^3 T\left(\frac{n}{8}\right) + 8^2 \frac{n^2}{4^2} + 8\frac{n^2}{4} + n^2 \text{ ----- (3)}$$

... ..

$$T(n) = 8^k T\left(\frac{n}{2^k}\right) + 8^{k-1} \frac{n^2}{4^{k-1}} + \dots + \dots + 8^2 \frac{n^2}{4^2} + 8\frac{n^2}{4} + n^2 \text{ ---- } -(k^{th} \text{ term})$$

$$T(n) = 8^k T\left(\frac{n}{2^k}\right) + n^2 \left[\frac{8^{k-1}}{4^{k-1}} + \frac{8^{k-2}}{4^{k-2}} \dots + \dots + \dots + \frac{8^2}{4^2} + \frac{8}{4} + 1 \right]$$

$$T(n) = 8^k T\left(\frac{n}{2^k}\right) + n^2 [2^{k-1} + 2^{k-2} \dots + \dots + \dots + 2^2 + 2 + 1] \text{ ---- } -(4)$$

Iteration Method (Example 3)

$$T(n) = 8^k T\left(\frac{n}{2^k}\right) + n^2[2^{k-1} + 2^{k-2} \dots + \dots + \dots + 2^2 + 2 + 1] \text{ ---- (4)}$$

$$T(n) = 8^k T\left(\frac{n}{2^k}\right) + n^2[1 + 2 + 2^2 + \dots + \dots + 2^{k-2} + 2^{k-1}] \text{ ---- (5)}$$

and the series terminate when $\frac{n}{2^k} = 1$

$$\Rightarrow n = 2^k$$

Taking log both side

$$\Rightarrow \log_2 n = k \log_2 2$$

$$\Rightarrow k = \log_2 n \quad (\text{because } \log_2 2 = 1)$$

Now, apply the value of $k = \log_2 n$ and $\frac{n}{2^k} = 1$ in equation 5

Iteration Method (Example 3)

$$T(n) = 8^{\log_2 n} T(1) + n^2 [1 + 2 + 2^2 + \dots + \dots + 2^{\log_2 n - 2} + 2^{\log_2 n - 1}] - \quad (6)$$

$$= n^{\log_2 8} \cdot 1 + n^2 [1 + 2 + 2^2 + \dots + \dots + 2^{\log_2 n - 2} + 2^{\log_2 n - 1}]$$

$$= n^3 + n^2 \underbrace{[1 + 2 + 2^2 + \dots + \dots + 2^{\log_2 n - 2} + 2^{\log_2 n - 1}]}$$

Is a G.P Series, but in this case no need of evaluation. Because the highest order polynomial is n^3 . So no need to calculate n^2 .

$$= n^3$$

Hence the complexity is $T(n) = n^3$

Iteration Method (Example 3)

$$\begin{aligned}T(n) &= 8^{\log_2 n} T(1) + n^2 [1 + 2 + 2^2 + \dots + 2^{\log_2 n - 2} + 2^{\log_2 n - 1}] - (6) \\&= n^{\log_2 8} \cdot 1 + n^2 [1 + 2 + 2^2 + \dots + \dots + 2^{\log_2 n - 2} + 2^{\log_2 n - 1}] \\&= n^3 + n^2 [1 + 2 + 2^2 + \dots + \dots + 2^{\log_2 n - 2} + 2^{\log_2 n - 1}]\end{aligned}$$

Is a G.P Series, but in this case no need of evaluation. Because the highest order polynomial is n^3 . So no need to calculate n^2 .

$$= n^3$$

Hence the complexity is $T(n) = \mathbf{O}(n^3)$

Sum of finite Geometric Progression series is

$$= a + ar + ar^2 + \dots + ar^n = \sum_{i=0}^n ar^i = a \left(\frac{r^{n+1} - 1}{r - 1} \right)$$

Iteration Method (Example 4)

Example 4:

Solve the following recurrence relation by using Iteration method.

$$T(n) = \begin{cases} 7T\left(\frac{n}{2}\right) + n^2 & \text{if } n > 1 \\ 1 & \text{if } n = 1 \end{cases}$$

(i. e. Strassion Algorithm)

Iteration Method (Example 4)

It means $T(n) = 7T\left(\frac{n}{2}\right) + n^2$ if $n > 1$ and $T(n) = 1$ when $n = 1$ ---- (1)

Put $n = \frac{n}{2}$ in equation 1, we get

$$T\left(\frac{n}{2}\right) = 7T\left(\frac{n}{4}\right) + \left(\frac{n}{2}\right)^2$$

Put the value of $T\left(\frac{n}{2}\right)$ in equation 1, we get

$$T(n) = 7 \left[7T\left(\frac{n}{4}\right) + \left(\frac{n}{2}\right)^2 \right] + n^2$$

$$T(n) = 7^2 T\left(\frac{n}{4}\right) + 7 \frac{n^2}{4} + n^2 \text{ ----- (2)}$$

Put $n = \frac{n}{4}$ in equation 1, we get

$$T\left(\frac{n}{4}\right) = 7T\left(\frac{n}{8}\right) + \left(\frac{n}{4}\right)^2$$

Iteration Method (Example 4)

Put the value of $T\left(\frac{n}{4}\right)$ in equation 2, we get

$$T(n) = 7^2 \left[7T\left(\frac{n}{8}\right) + \left(\frac{n}{4}\right)^2 \right] + 7\frac{n^2}{4} + n^2$$

$$T(n) = 7^3 T\left(\frac{n}{8}\right) + 7^2 \frac{n^2}{4^2} + 7\frac{n^2}{4} + n^2 \text{ ----- (3)}$$

....

$$T(n) = 7^k T\left(\frac{n}{2^k}\right) + 7^{k-1} \frac{n^2}{4^{k-1}} + \dots + \dots + \dots + 7^2 \frac{n^2}{4^2} + 7\frac{n^2}{4} + n^2 \text{ --- } (k^{th} \text{ term})$$

$$T(n) = 7^k T\left(\frac{n}{2^k}\right) + n^2 \left[\frac{7^{k-1}}{4^{k-1}} + \frac{7^{k-2}}{4^{k-2}} \dots + \dots + \dots + \frac{7^2}{4^2} + \frac{7}{4} + 1 \right]$$

$$T(n) = 7^k T\left(\frac{n}{2^k}\right) + n^2 \left[\sum_{i=0}^{k-1} \left(\frac{7}{4}\right)^i \right] \text{ ----- (4)}$$

Iteration Method (Example 4)

Put the value of $T\left(\frac{n}{4}\right)$ in equation 2, we get

$$T(n) = 7^2 \left[7T\left(\frac{n}{8}\right) + \left(\frac{n}{4}\right)^2 \right] + 7\frac{n^2}{4} + n^2$$

$$T(n) = 7^3 T\left(\frac{n}{8}\right) + 7^2 \frac{n^2}{4^2} + 7\frac{n^2}{4} + n^2 \text{ ----- (3)}$$

... ..

$$T(n) = 7^k T\left(\frac{n}{2^k}\right) + 7^{k-1} \frac{n^2}{4^{k-1}} + \dots + \dots + \dots + 7^2 \frac{n^2}{4^2} + 7\frac{n^2}{4} + n^2 \text{ --- } (k^{th} \text{ term})$$

$$T(n) = 7^k T\left(\frac{n}{2^k}\right) + n^2 \left[\frac{7^{k-1}}{4^{k-1}} + \frac{7^{k-2}}{4^{k-2}} \dots + \dots + \dots + \frac{7^2}{4^2} + \frac{7}{4} + 1 \right]$$

$$T(n) = 7^k T\left(\frac{n}{2^k}\right) + n^2 \left[\sum_{i=0}^{k-1} \left(\frac{7}{4}\right)^i \right] \text{ ----- (4)}$$

Iteration Method (Example 4)

$$T(n) = 7^k T\left(\frac{n}{2^k}\right) + n^2 \left[\sum_{i=0}^{k-1} \left(\frac{7}{4}\right)^i \right] \text{----- (4)}$$

and the series terminate when $\frac{n}{2^k} = 1$

$$\Rightarrow n = 2^k$$

Taking log both side

$$\Rightarrow \log_2 n = k \log_2 2$$

$$\Rightarrow k = \log_2 n \quad (\text{because } \log_2 2 = 1)$$

Now, apply the value of $k = \log_2 n$ and $\frac{n}{2^k} = 1$ in equation 4

Iteration Method (Example 4)

$$T(n) = 7^{\log_2 n} T(1) + n^2 \left[\sum_{i=0}^{\log_2 n - 1} \left(\frac{7}{4} \right)^i \right] \text{----- (5)}$$

$$= n^{\log_2 7} \cdot 1 + n^2 \left[\sum_{i=0}^{\log_2 n - 1} \left(\frac{7}{4} \right)^i \right]$$

$$= n^{\log_2 7} \cdot 1 + n^2 \left[\sum_{i=0}^{\log_2 n - 1} \left(\frac{7}{4} \right)^i \right]$$

$$= n^{2.8} + \underbrace{n^2 \left[\sum_{i=0}^{\log_2 n - 1} \left(\frac{7}{4} \right)^i \right]}$$

Is a G.P Series, but in this case no need of evaluation. Because the highest order polynomial is n^3 . So no need to calculate n^2 .

Iteration Method (Example 4)

$$= n^{2.8} + n^2 \underbrace{\left[\sum_{i=0}^{\log_2 n - 1} \left(\frac{7}{4} \right)^i \right]}$$

Is a G.P Series, but in this case no need of evaluation. Because the highest order polynomial is $n^{2.8}$. So no need to calculate n^2 .

$$= n^{2.8}$$

Hence the complexity is $T(n) = n^{2.8}$

Iteration Method (Example 4)

$$= n^{2.8} + n^2 \underbrace{\left[\sum_{i=0}^{\log_2 n - 1} \left(\frac{7}{4} \right)^i \right]}$$

Is a G.P Series, but in this case no need of evaluation. Because the highest order polynomial is $n^{2.8}$. So no need to calculate n^2 .

$$= n^{2.8}$$

Hence the complexity is $T(n) = \mathbf{O}(n^{2.8})$

Sum of finite Geometric Progression series is

$$= a + ar + ar^2 + \dots + ar^n = \sum_{i=0}^n ar^i = a \left(\frac{r^{n+1} - 1}{r - 1} \right)$$

Iteration Method (Example 5)

Example 5:

Solve the following recurrence relation by using Iteration method.

$$T(n) = \begin{cases} T(n-1) + \log n & \text{if } n > 1 \\ 1 & \text{if } n = 1 \end{cases}$$

Iteration Method (Example 5)

It means $T(n) = T(n - 1) + \log n$ if $n > 1$ and $T(n) = 1$ when $n = 1$ — (1)

Put $n = n - 1$ in equation 1, we get

$$T(n - 1) = T(n - 2) + \log(n - 1)$$

Put the value of $T(n - 1)$ in equation 1, we get

$$T(n) = T(n - 2) + \log(n - 1) + \log n$$

$$= T(n - 3) + \log(n - 2) + \log(n - 1) + \log n$$

$$= T(n - 4) + \log(n - 3) + \log(n - 2) + \log(n - 1) + \log n$$

.....

.....

Hence the k^{th} order is :

$$T(n) = T(n - k) + \log(n - (k - 1)) + \cdots + \log(n - 2) + \log(n - 1) + \log n$$

$$T(n) = T(n - k) + \log(n - k + 1) + \cdots + \log(n - 2) + \log(n - 1) + \log n$$

Iteration Method (Example 5)

Hence the k^{th} order is :

$$T(n) = T(n - k) + \log(n - k + 1) + \dots + \log(n - 2) + \log(n - 1) + \log n$$

As per the assumption $n - k = 1$

So $k = n - 1$

The k^{th} order can be written as:

$$\begin{aligned} T(n) &= T(1) + \log(n - n + 1 + 1) + \dots + \log(n - 2) + \log(n - 1) + \log n \\ &= 1 + \log(2) + \dots + \log(n - 2) + \log(n - 1) + \log n \\ &= 1 + \log(2) + \log(3) + \log(4) \dots + \log(n - 2) + \log(n - 1) + \log n \\ &= 1 + \log(2.3.4.5 \dots \dots \dots .n) \\ &= 1 + \log(n!) \end{aligned}$$

Hence the complexity is : $O(\log n!)$

Iteration Method (Practice)

$$Q1. T(n) = \begin{cases} T\left(\frac{7n}{10}\right) + n & \text{if } n > 1 \\ 1 & \text{if } n = 1 \end{cases}$$

$$Q2. T(n) = \begin{cases} T(n-1) + (n-1) & \text{if } n > 1 \\ 1 & \text{if } n = 1 \end{cases}$$

$$Q3. T(n) = \begin{cases} T(n-1) + n^2 & \text{if } n > 1 \\ 1 & \text{if } n = 1 \end{cases}$$

Thank u