

B-TREE

Algorithm and Analysis Project

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Abstract—This research paper contains b-tree using space and time trade-offs methodology that solves the problem of the large datasets time and space complexity of other approaches. Although, b-tree has the same time complexity as AVL, Red-black tree, binary search, etc..., it has a crucial role in minimizing disk access. B-tree is a fundamental data structure with wide applications, including indexing database systems, managing file systems, memory management, and network routing. Moreover, the research provides an in-depth analysis of B-trees, including their structure, operations, applications, and performance characteristics. In addition, both of the best case and worst case complexities of b-tree are $O(\log n)$. Its $O(\log n)$ complexity in insertion, deletion, and search, which makes the process faster and lowers the I/O disk (Storage). **Index Terms**—B-trees, data structures, algorithms, database systems, file systems

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I. INTRODUCTION

B-trees using space and time trade-offs are an effective way to manage databases and data in files. They balance between time complexity and trade-offs, offering a good solution by optimizing both. B-trees are near to time optimal, providing a fundamental trade-off between handling space efficiency and search time. They are commonly used by companies to facilitate efficient insertion, deletion, and search operations. B-trees maintain a balanced structure, ensuring all leaf nodes are at the same level. They provide stable and accurate operations by storing several keys in each node, effectively reducing the tree's height and leading to fewer disk accesses during operations. Furthermore, B-trees are flexible, dynamically growing and shrinking as data is added or deleted, thus managing large data loads without frequent rebalancing. In summary, B-trees offer a good balance between time and space trade-offs, making them accurate, fast, and efficient for handling large datasets in modern data management systems.

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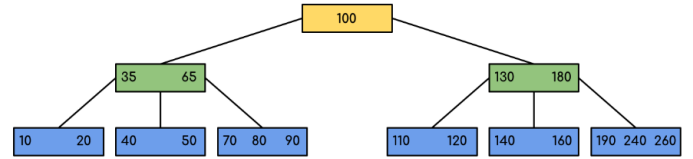


Fig. 1. B-Tree

II. STRUCTURE OF B-TREES

The B-Tree, also referred to as the balanced-tree, is an essential data structure that is used a lot in databases and filesystems. Its structure is designed for it to handle every operation while maintaining its balance, that is why it is a self-balancing tree. The B-tree consists of two node types: leaf nodes and internal nodes. Leaf nodes lie on the same level at the end of the tree, store actual data or pointers to data entries and in a sorted manner. On the other hand, internal nodes lie between the root node and the leaf nodes, hold child pointers and keys. The main role of internal nodes is to act as a guide during tree traversal, by determining the next child node based on the keys until we reach the desired leaf node. In addition, each node must have a certain number of keys, both minimum and maximum. To determine the maximum number of keys, we need to know the minimum, which is called the degree of the tree, denoted by ' t '. The degree ' t ' is influenced by factors like disk block size. Each node, except the root, must have ' $t-1$ ' keys. This brings us to the maximum number of keys and child pointers, denoted by ' m '. Internal nodes have ' $m-1$ ' keys and ' m ' child pointers, while leaf nodes have the same. Usually, ' m ' is set to twice the size of ' t ' ($m = 2t - 1$), but it can vary based on requirements.

III. COMPLEXITY AND EFFICIENCY ANALYSIS

A. Time Complexity

The temporal complexity of searching, inserting, and deleting Operations in B-trees are critical for evaluating their

performance. In most cases, these procedures are logarithmic. Due to the balanced structure of B, the temporal complexity is $O(\log n)$. trees. However, worst-case scenarios may vary from this. The difficulty depends on the balancing strategies.

TABLE I
TIME COMPLEXITY OF B-TREE OPERATIONS

Algorithm	Time Complexity
Search	$O(\log n)$
Insert	$O(\log n)$
Delete	$O(\log n)$

B. Space Complexity

Analyzing the spatial complexity of B-trees entails the following. Considering the memory requirements for storing tree nodes, keys, and pointers. The space complexity increases with the size of the tree and the order of the B-tree, which affects memory utilization. Practical implementations.

C. Efficiency Considerations

Efficiency considerations include analyzing B-trees' ability. To manage huge datasets, execute balanced operations, Maintain integrity throughout dynamic operations. Comparisons With different data structures, provide insights into the related efficiency of B-trees in specific contexts. To search for a key in a B-tree, navigate from the root to a leaf node using key comparisons. The search time complexity is $O(\log t)$ due to the logarithmic height of a balanced B-tree (base t). Inserting a key into a B-tree involves identifying the proper leaf node and maybe breaking nodes to preserve balance. Insertion has a worst-case time complexity of $O(t \log n)$ due to the need to traverse from root to leaf and perhaps divide nodes along the way. When deleting a key from a B-tree, it may be necessary to navigate to the appropriate leaf node and merge nodes to ensure balance. The temporal complexity for deletion is $O(t \log n)$ in the worst scenario.

- Best Case: $h_{\min} = \lceil \log_m(n+1) \rceil - 1$
- Worst Case: $h_{\max} = \lfloor \log_t \frac{n+1}{2} \rfloor$

D. Insertion Complexity

The B-tree entry is of $O(\log n)$ complexity. An n in wood. The height of a B-tree is $O(\log_m n)$ and each addition is split and returned to the root after each leaf-to-root pass.

- 1) Find the height of tree B using $h = O(\log_m n)$.
 - 2) At each level of the tree, no more than $m-1$ keys should be decrypted.
 - 3) The change in height, denoted by h , is additive. Therefore, $O(\log_{mn} \cdot m) = O(h \cdot m)$.
 - 4) Complexity is $O(\log n)$ because m is a constant.
- Best Case: $O(\log n)$

E. Delete Complexity

The complexity of deletion in a B-tree is $O(\log n)$. Similar to insertions, maintaining B-tree properties involves tree restructuring through node redistribution or merging, but within a tightly controlled framework.

1) How to determine the deletion complexity:

- a) Find the height of the tree B using the equation $h = O(\log_m n)$.
- b) At most, $m-1$ nodes must be examined at each level of the tree.
- c) The deletion process, spanning h levels and potentially involving redistribution or merging, is $O(h \cdot m) = O(\log_m n \cdot m)$.
- d) The complexity is $O(\log n)$ when m is a constant.

F. Search Complexity

The complexity of searching in a B-tree is $O(\log n)$. The number of levels required is determined by the tree's structure, where each node is typically split in half.

1) Describing the complexity in advanced computer systems:

- a) Use $h = O(\log_m n)$ to calculate the height of tree B.
- b) Each level of the tree has $m-1$ crucial pointers.
- c) Compute h as $O(h \cdot \log m) = O(\log_m n \cdot \log m)$.
- d) The complexity remains $O(\log n)$ since m is arbitrary.

IV. OPERATIONS ON B-TREES

A. Insert Operation

When a new key is to be added, it is placed in the root node and then compared to the keys in the child node (following the comparison path through the tree to the leaf node that can accommodate the new key). To maintain the ordering of the keys in each node, this path ensures that the new key is placed in the appropriate position. If the leaf node to which the key is being added is not fully packed, the key can be added without any complications. If the leaf node is packed, then the median key of the node is elevated to its parent and the leaf node is split (thus creating room for the new key). Child pointers are appropriately adjusted (to maintain balance) in the process. Space-time considerations mentioned earlier still apply to this discussion, as the time complexity is logarithmic by virtue of the tree being balanced and the space complexity depends on the size of a node and the height of the tree. Delete Operation

B. Delete Operation

As with insertion, the delete operation requires a traversal of the tree from the root to the leaf or internal node that contains the key to be deleted. Comparisons of the key at each internal node that is traversed determine the appropriate direction or path to take at each step. The delete operation differs depending on whether the node containing the key is an internal node or leaf node. If the node is a leaf node, the key is simply removed and the node's key order is maintained by promoting all other keys in the node. That is, the key order of any leaf node must always be maintained to ensure that no leaf node has fewer than $t-1$ keys (i.e. uphold the B-tree properties). If the node containing the target key is an internal node, the key to be deleted is replaced with either the predecessor or successor key of the node and, if

necessary, the delete operation is recursively applied to the leaf node containing the predecessor or successor key (i.e. traversing down the tree to the leaf level and apply the delete operation there). After deleting, the tree is now rebalanced to ensure that the B-tree properties, including the minimum node needed requirements, are met. The rebalancing ensures the maintenance of the time-space tradeoff properties of B-trees, namely maintaining logarithmic time complexity for the delete operation (affected by the tree height) and maintaining space complexity affected by the node size and tree height. The B-tree operations of insertion and deletion thus always involve a tradeoff between time and space. The B-tree configuration (i.e. template) defines the tradeoff point in time and space that is desired for a given application. Configuring B-trees is the process of determining the optimal set of parameters (i.e. template) to achieve this desired tradeoff. The next section will discuss B-tree configuration in more detail.

C. Search Operation

In a B-tree, a search operation commences at the root and proceeds down to the appropriate leaf node by using pointers for children based on comparisons of keys. If it is found in the current node, then the search returns with this node containing this key. If there is no such key in the current node and this is already a leaf, search will end up saying that there are no such keys in the tree as these ones. When this key is not available at present inside the current node but it isn't a leaf either, we must keep going through any child node where it can be found later on which has been done recursively so far.

V. APPLICATIONS OF B-TREES

A. Database Indexing

The B-tree is widely used in database indexing to enable efficient data retrieval. When dealing with big data, indexing is a valuable technique for organization. Some operations, such as retrieval, insertion, and deletion of data must be supported in database to be usable and useful. Because databases are typically too large to fit in memory, b-trees are employed to index the data and ensure fast access. Searching in an unindexed and unsorted database containing n key values will have a worst case running time of $O(n)$. If the same data is indexed with a B-Tree, the same search operation will run in $O(\log n)$. For instance, searching for a single key in set of 1,000,000 keys will require at most 1,000,000 comparisons. If the same data is indexed with a b-tree of minimum degree 10, will require at most 114 comparisons.

B. File Systems

B-tree facilitates efficient file retrieval and manipulation in file systems by organizing and indexing file metadata, including file names, sizes, and locations on disk [1]. Furthermore, file systems and b-trees both have a hierarchical structure. Nodes make up a B-tree, which is arranged in a tree-like structure with numerous offspring for each node. Similar to this, file systems are arranged hierarchically, with files and subdirectories stored in directories [2]. This will therefore

result in consistency, and consistency makes system design, upkeep, and troubleshooting easier. Furthermore, because b-tree is a self-balancing tree, it can meet the requirements for a balanced structure, which file systems seek to maintain in order to maximize file access and storage efficiency [3]. B-trees are made to reduce the amount of disk access needed for routine tasks.

C. Memory Management

Operating systems use b-trees to manage memory for things like page tables and virtual memory. B-trees are used in virtual memory management to manage page tables that map addresses to virtual memory, allowing for address translation and effective memory access. By facilitating memory page management and location rapidly, B-trees lower the overhead related to memory allocation and deallocation. The operating system can handle vast virtual memory address spaces and a variety of memory access patterns because to the scalability and flexibility that B-trees provide for the effective management of memory resources. As the virtual address space grows, b-trees can adapt dynamically to accommodate new page table entries without significantly affecting performance.

D. Network Routing

In computer networking, routing tables are used by routers to determine the best path for forwarding packets to their destination. B-trees are used to efficiently store and organize routing table entries, enabling routers to quickly lookup and route packets using destination IP addresses. The prefix of IP addresses is represented by each node in the B-tree, and the routing information is stored in the leaf nodes. Large-scale routing tables in enterprise and ISP networks benefit from B-trees' logarithmic time complexity for search operations. Routers can use B-trees to effectively route packets through intricate network topologies, prioritizing factors such as shortest path, load balancing, and quality of service.

VI. CONCLUSION

Large amounts of data can be effectively organized using B-trees by comparing space and time. B-trees can be used for a variety of tasks, including memory management, files, databases, and networks, because they take up less time and space than other data structures like AVL trees, red-black trees, and binary search trees. Add, remove, and search. Because of the logarithmic complexity ($O(\log n)$) of B-tree operations, compute is faster and requires less disc I/O. In this work, we examine the structural, functional, and functional characteristics of B-trees and quantify their robustness and adaptability to various scenarios. Because they can withstand dynamic data loads with little upkeep by keeping a balanced structure and reducing tree height, b-trees are a durable and scalable substitute.

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