

QUALITY



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LECTURE 8

LEARNING OBJECTIVES

- Understand the importance of project quality management for network security products and services
- Define project quality management and understand how quality relates to various aspects of network security projects
- Describe quality planning and its relationship to project scope management
- Discuss the importance of quality assurance
- Explain the main outputs of the quality control process

LEARNING OBJECTIVES (CONTINUED)

- Understand the tools and techniques for quality control, such as the Seven Basic Tools of Quality, statistical sampling, Six Sigma, and testing
- Summarize the contributions of noteworthy quality experts to modern quality management
- Describe how leadership, the cost of quality, organizational influences, expectations, cultural differences, and maturity models relate to improving quality in network security projects
- Discuss how software can assist in project quality management

THE IMPORTANCE OF PROJECT QUALITY MANAGEMENT IN NETWORK SECURITY

- Protect Client Data
- Protect Computer from Harmful Spyware
- Keep Shared Data Secure
- Traffic Availability (24/7)
- Increased Network Performance

WHAT IS PROJECT QUALITY?

- The International Organization for Standardization (ISO) defines **quality** as “the degree to which a set of inherent characteristics fulfils requirements” (ISO9000:2000)
- Other experts define quality based on:
 - **Conformance to requirements:** the project’s processes and products meet written specifications
 - **Fitness for use:** a product can be used as it was intended

WHAT IS PROJECT QUALITY MANAGEMENT?

- **Project quality management** ensures that the project will satisfy the needs for which it was undertaken.
- Processes include:
 - **Planning quality**: identifying which quality standards are relevant to the project and how to satisfy them; a **metric** is a standard of measurement
 - **Performing quality assurance**: periodically evaluating overall project performance to ensure the project will satisfy the relevant quality standards
 - **Performing quality control**: monitoring specific project results to ensure that they comply with the relevant quality standards

PROJECT QUALITY MANAGEMENT SUMMARY

Planning

Process: **Plan quality**

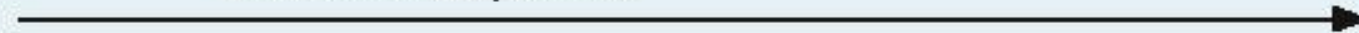
Outputs: Quality management plan, quality metrics, quality checklists, process improvement plan, and project document updates



Executing

Process: **Perform quality assurance**

Outputs: Organizational process asset updates, change requests, project management plan updates, and project document updates



Monitoring and Controlling

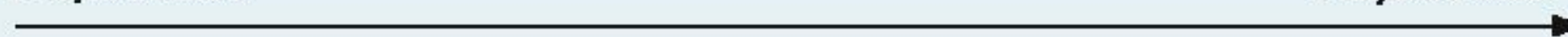
Process: **Perform quality control**

Outputs: Quality control measurements, validated changes, validated deliverables, organizational process asset updates, change requests, project management plan updates, and project document updates



Project Start

Project Finish





QUALITY PLANNING

QUALITY PLANNING

- Implies the ability to anticipate situations and prepare actions to bring about the desired outcome
- Important to prevent defects by:
 - Selecting proper materials
 - Training and indoctrinating people in quality
- Planning a process that ensures the appropriate outcome

DESIGN OF EXPERIMENTS

- **Design of experiments** is a quality planning technique that helps identify which variables have the most influence on the overall outcome of a process
- Also applies to project management issues, such as cost and schedule trade-offs
- Involves documenting important factors that directly contribute to meeting customer requirements

SCOPE ASPECTS OF IT PROJECTS

- **Functionality** is the degree to which a system performs its intended function
- **Features** are the system's special characteristics that appeal to users
- **System outputs** are the screens and reports the system generates
- **Performance** addresses how well a product or service performs the customer's intended use
- **Reliability** is the ability of a product or service to perform as expected under normal conditions
- **Maintainability** addresses the ease of performing maintenance on a product

WHO'S RESPONSIBLE FOR THE QUALITY OF PROJECTS?

- Project managers are ultimately responsible for quality management on their projects
- Several organizations and references can help project managers and their teams understand quality
 - International Organization for Standardization (www.iso.org)
 - IEEE (www.ieee.org)

PERFORMING QUALITY ASSURANCE

- **Quality assurance** includes all the activities related to satisfying the relevant quality standards for a project
- Another goal of quality assurance is continuous quality improvement
- **Benchmarking** generates ideas for quality improvements by comparing specific project practices or product characteristics to those of other projects or products within or outside the performing organization
- A **quality audit** is a structured review of specific quality management activities that help identify lessons learned that could improve performance on current or future projects



QUALITY CONTROL

QUALITY CONTROL

- The main outputs of quality control are:
 - Acceptance decisions
 - Re-work
 - Process adjustments
- There are Seven Basic Tools of Quality that help in performing quality control

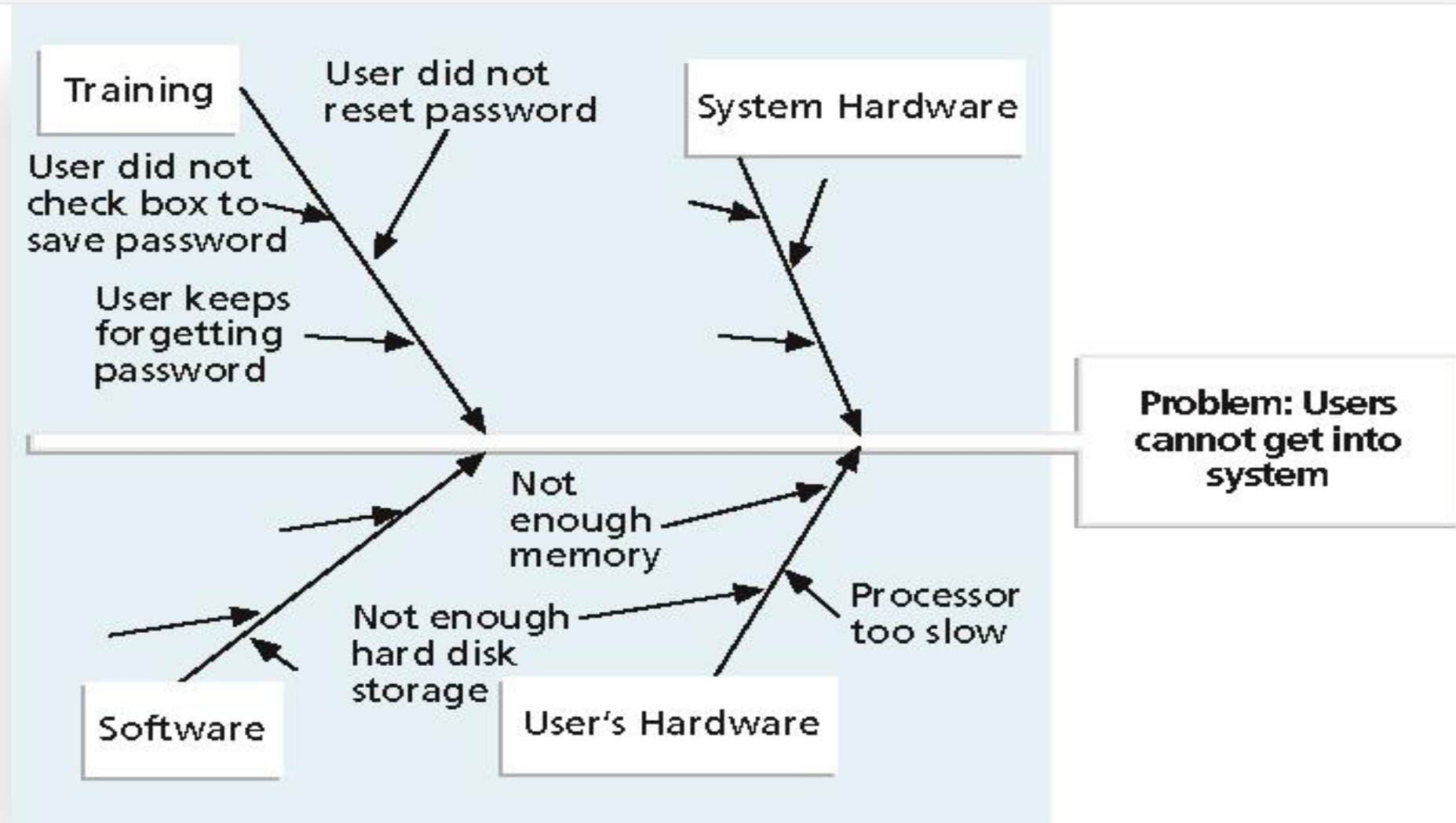
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QUALITY CONTROL TECHNIQUE AND TOOLS

CAUSE-AND-EFFECT DIAGRAMS

- **Cause-and-effect diagrams** trace complaints about quality problems back to the responsible production operations
- They help you find the root cause of a problem
- Also known as **fishbone** or **Ishikawa diagrams**
- Can also use the **5 whys** technique where you repeated ask the question “Why” (five is a good rule of thumb) to peel away the layers of symptoms that can lead to the root cause

SAMPLE CAUSE-AND-EFFECT DIAGRAM



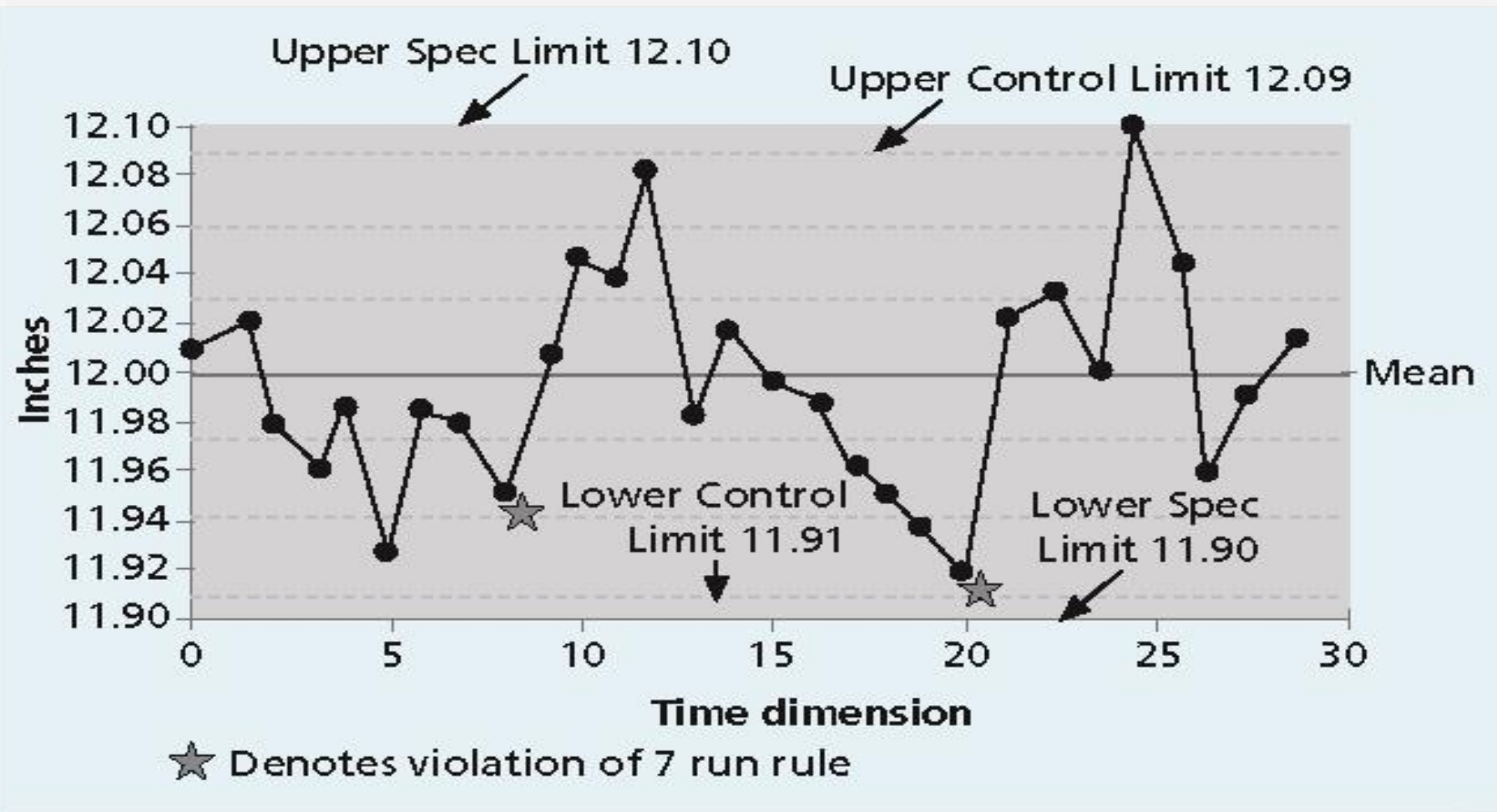
QUALITY CONTROL CHARTS

- A **control chart** is a graphic display of data that illustrates the results of a process over time
- The main use of control charts is to prevent defects, rather than to detect or reject them
- Quality control charts allow you to determine whether a process is in control or out of control
 - When a process is in control, any variations in the results of the process are created by random events; processes that are in control do not need to be adjusted
 - When a process is out of control, variations in the results of the process are caused by non-random events; you need to identify the causes of those non-random events and adjust the process to correct or eliminate them

THE SEVEN RUN RULE

- You can use quality control charts and the seven run rule to look for patterns in data
- The **seven run rule** states that if seven data points in a row are all below the mean, above the mean, or are all increasing or decreasing, then the process needs to be examined for non-random problems

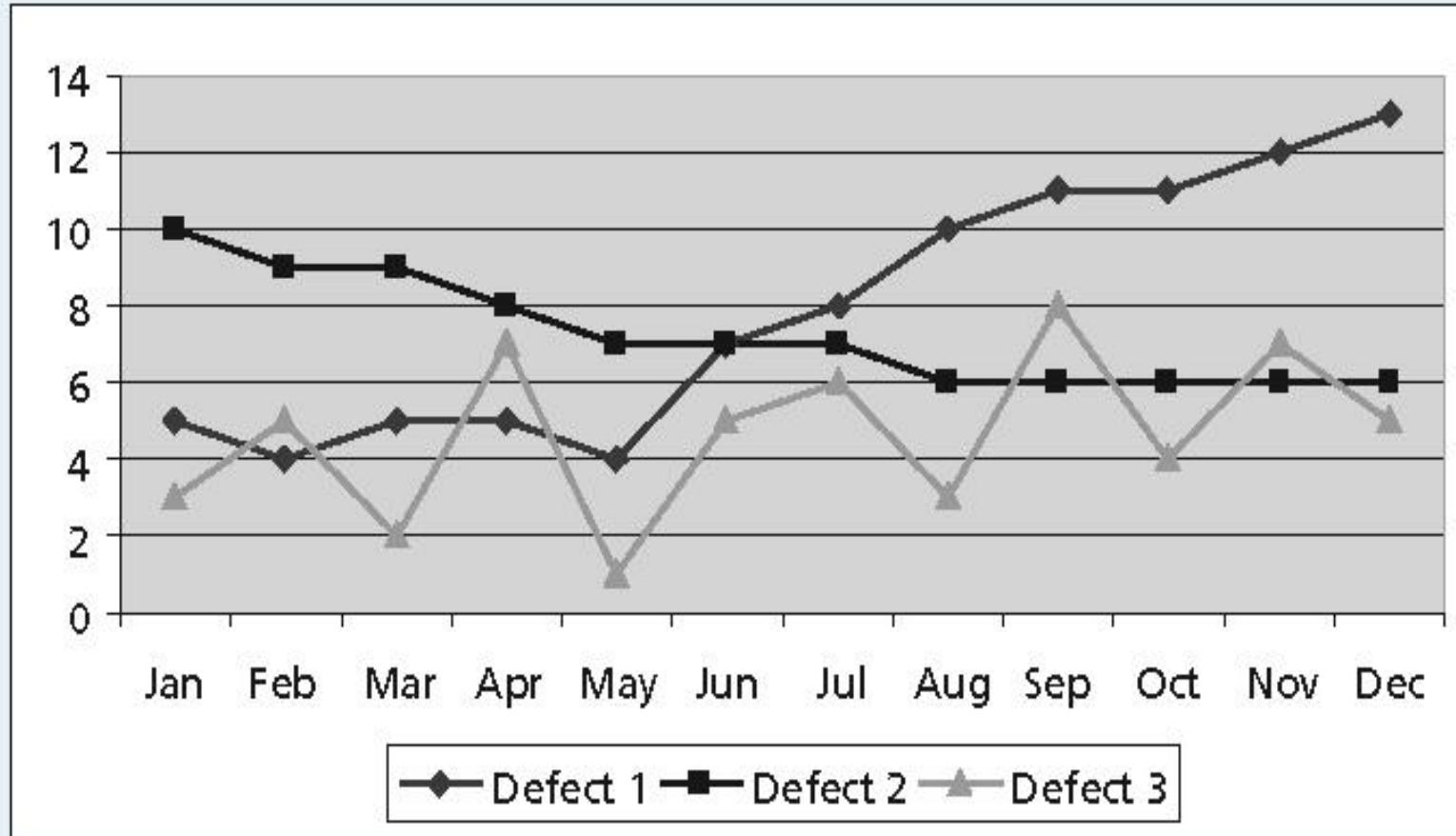
SAMPLE QUALITY CONTROL CHART



RUN CHART

- A run chart displays the history and pattern of variation of a process over time
- It is a line chart that shows data points plotted in the order in which they occur
- Can be used to perform trend analysis to forecast future outcomes based on historical patterns

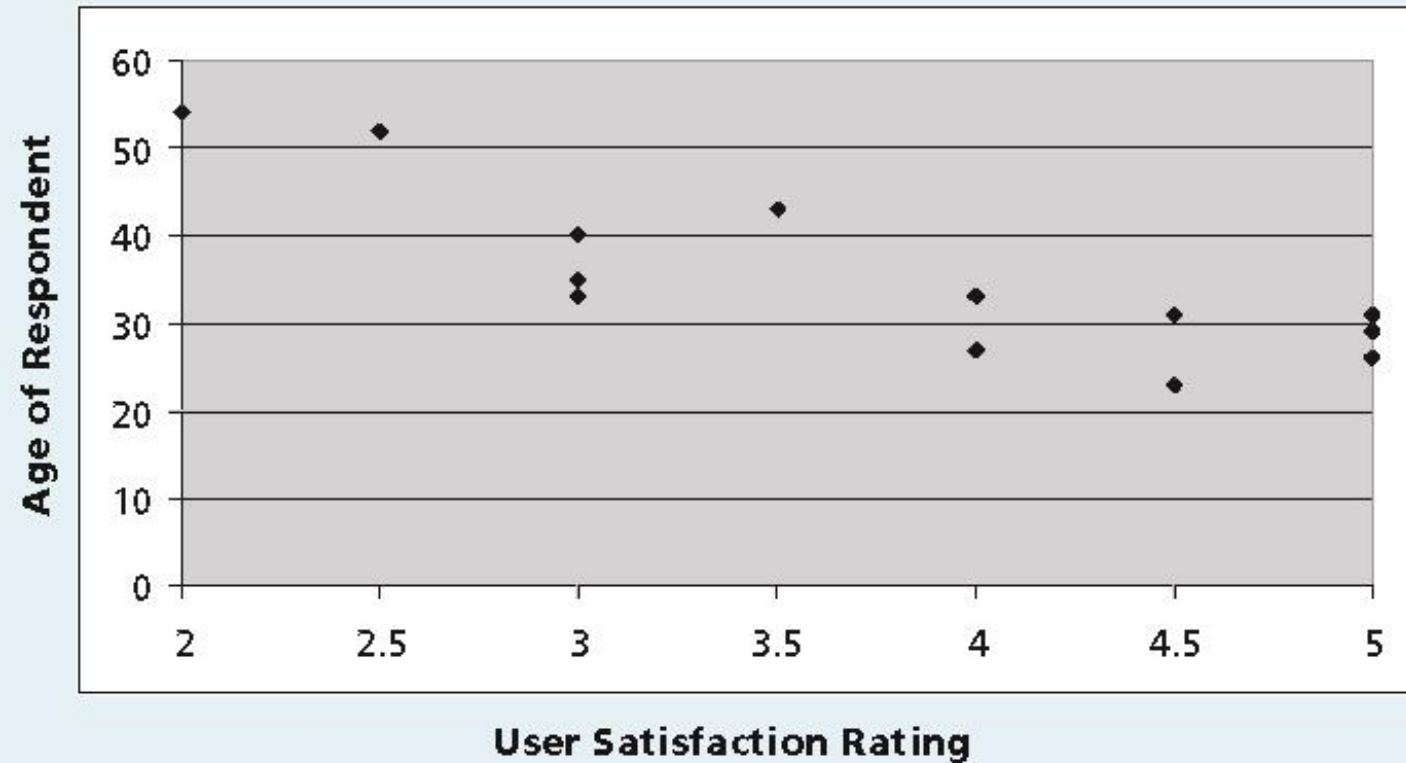
SAMPLE RUN CHART



SCATTER DIAGRAM

- A **scatter diagram** helps to show if there is a relationship between two variables
- The closer data points are to a diagonal line, the more closely the two variables are related

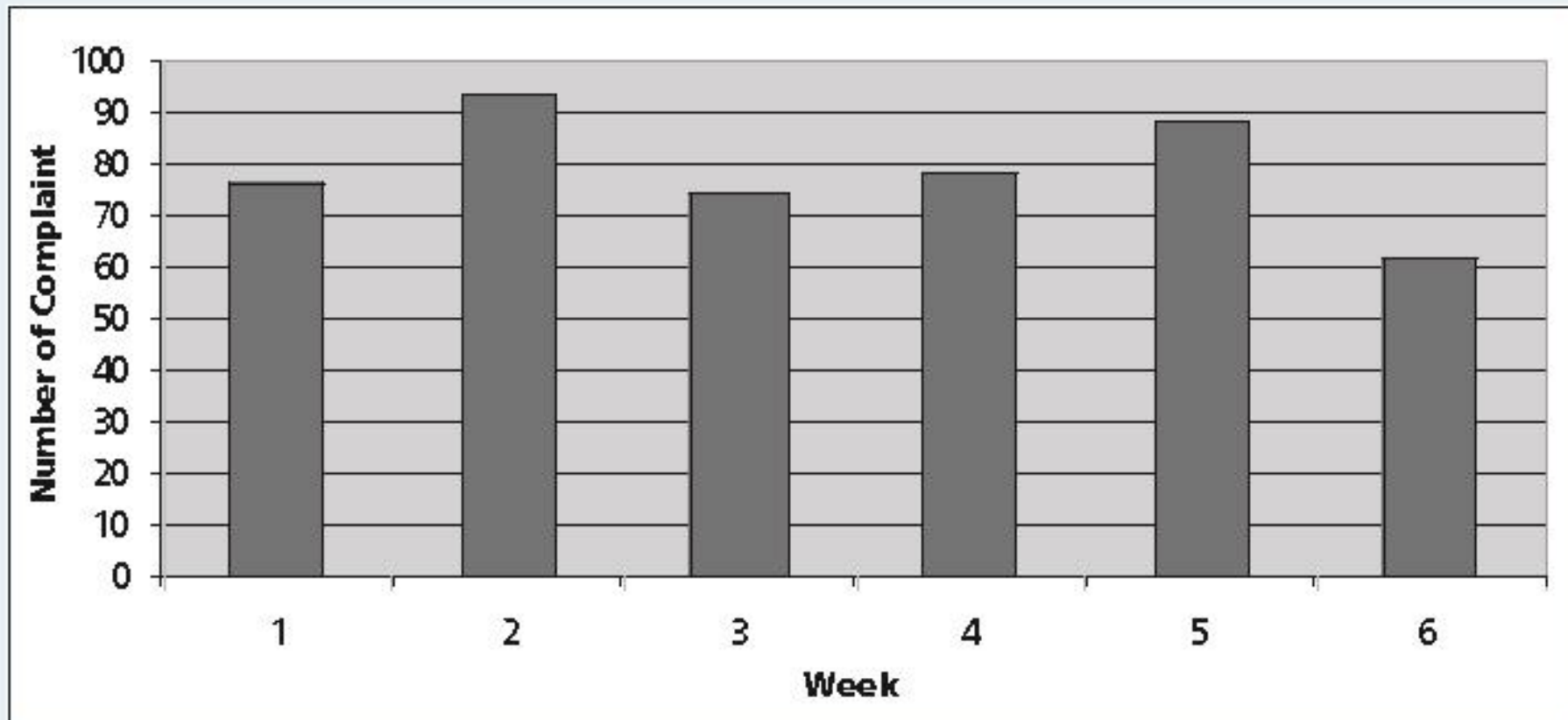
SAMPLE SCATTER DIAGRAM



HISTOGRAMS

- A **histogram** is a bar graph of a distribution of variables
- Each bar represents an attribute or characteristic of a problem or situation, and the height of the bar represents its frequency

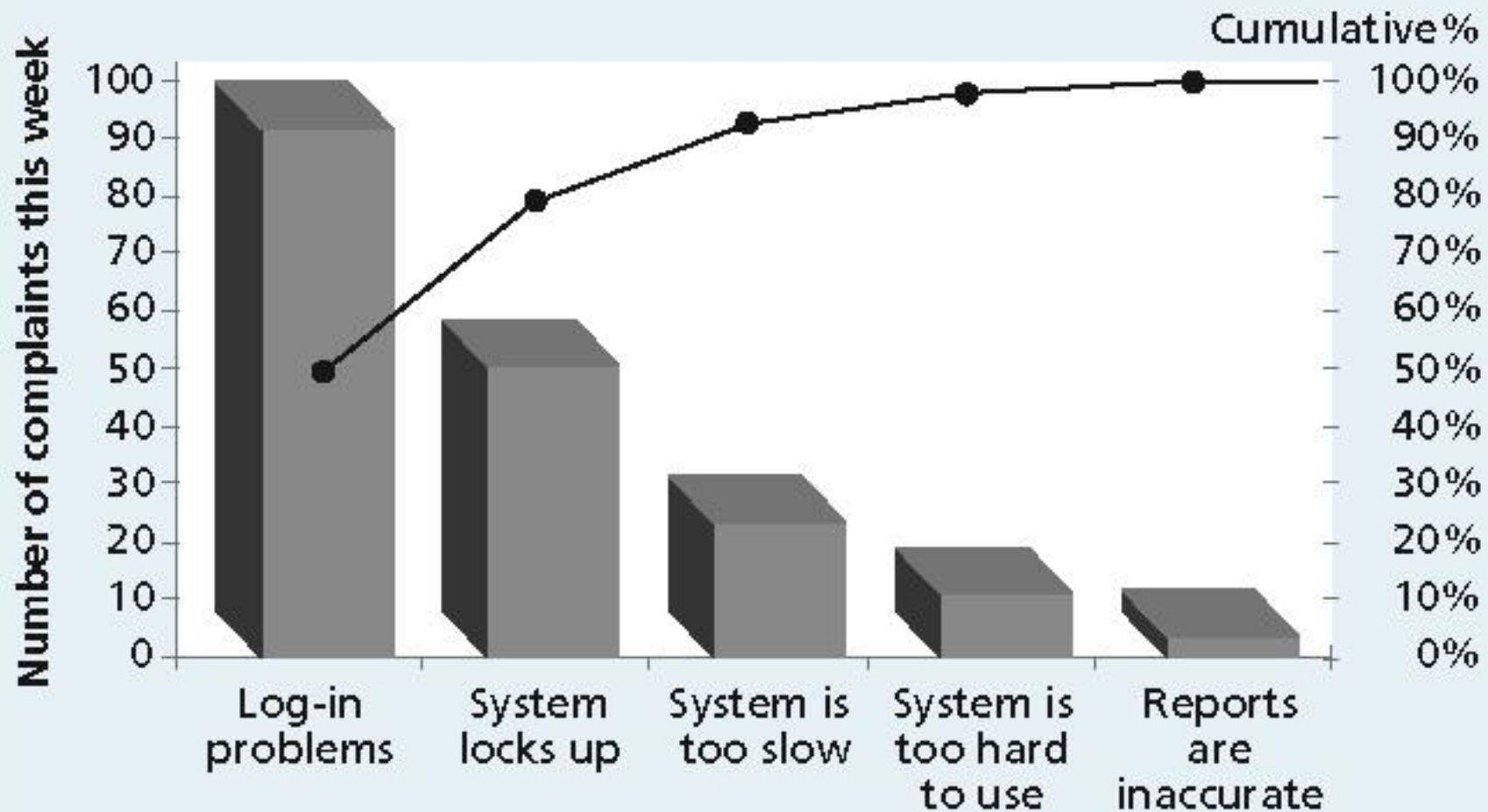
SAMPLE HISTOGRAM



PARETO CHARTS

- A **Pareto chart** is a histogram that can help you identify and prioritize problem areas
- **Pareto analysis** is also called the 80-20 rule, meaning that 80 percent of problems are often due to 20 percent of the causes

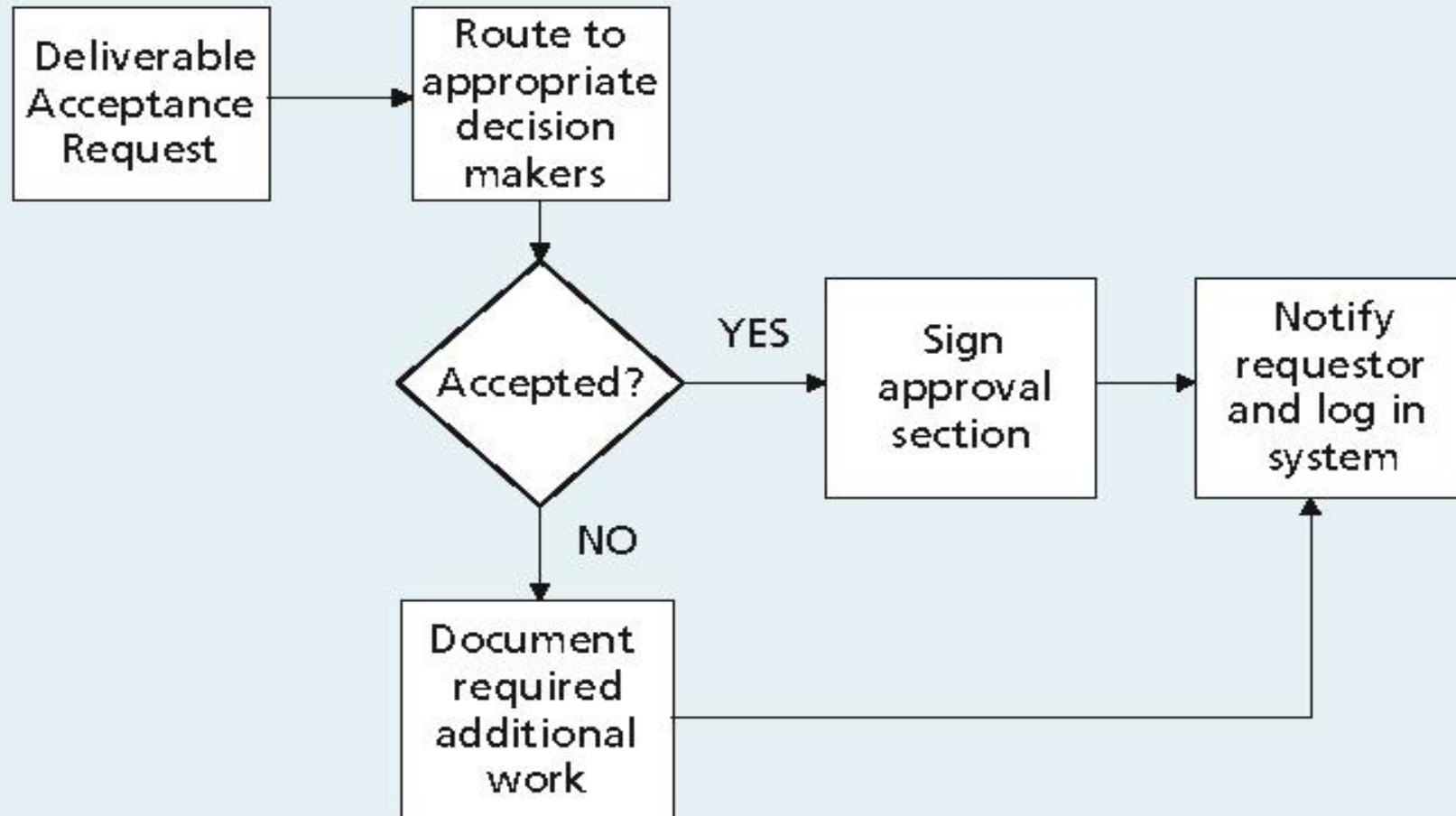
SAMPLE PARETO CHART



FLOWCHARTS

- Flowcharts are graphic displays of the logic and flow of processes that help you analyze how problems occur and how processes can be improved
- They show activities, decision points, and the order of how information is processed

SAMPLE FLOWCHART



STATISTICAL SAMPLING

- **Statistical sampling** involves choosing part of a population of interest for inspection
- The size of a sample depends on how representative you want the sample to be
- Sample size formula:
$$\text{Sample size} = .25 \times (\text{certainty factor} / \text{acceptable error})^2$$
- Be sure to consult with an expert when using statistical analysis

COMMONLY USED CERTAINTY FACTORS

DESIRED CERTAINTY	CERTAINTY FACTOR
95%	1.960
90%	1.645
80%	1.281

SIX SIGMA

- **Six Sigma** is “a comprehensive and flexible system for achieving, sustaining, and maximizing business success. Six Sigma is uniquely driven by close understanding of customer needs, disciplined use of facts, data, and statistical analysis, and diligent attention to managing, improving, and reinventing business processes.”*

*Pande, Peter S., Robert P. Neuman, and Roland R. Cavanagh, *The Six Sigma Way*, New York: McGraw-Hill, 2000, p. xi.

BASIC INFORMATION ON SIX SIGMA

- The target for perfection is the achievement of no more than **3.4 defects per million opportunities**
- The principles can apply to a wide variety of processes
- Six Sigma projects normally follow a five-phase improvement process called DMAIC

DMAIC

- **DMAIC** is a systematic, closed-loop process for continued improvement that is scientific and fact based
- **DMAIC** stands for:
 - **Define**: define the problem/opportunity, process, and customer requirements
 - **Measure**: define measures, then collect, compile, and display data
 - **Analyze**: scrutinize process details to find improvement opportunities
 - **Improve**: generate solutions and ideas for improving the problem
 - **Control**: track and verify the stability of the improvements and the predictability of the solution

HOW IS SIX SIGMA QUALITY CONTROL UNIQUE?

- It requires an organization-wide commitment
- Training follows the “Belt” system
- Six Sigma organizations have the ability and willingness to adopt contrary objectives, such as reducing errors and getting things done faster
- It is an operating philosophy that is customer focused and strives to drive out waste, raise levels of quality, and improve financial performance at *breakthrough* levels

SIX SIGMA AND PROJECT MANAGEMENT

- Joseph M. Juran stated, “All improvement takes place project by project, and in no other way”*
- It’s important to select projects carefully and apply higher quality where it makes sense; companies that use Six Sigma do not always boost their stock values
- As Mikel Harry puts it, “I could genetically engineer a Six Sigma goat, but if a rodeo is the marketplace, people are still going to buy a Four Sigma horse”**
- Six Sigma projects must focus on a quality problem or gap between the current and desired performance and not have a clearly understood problem or a predetermined solution

*“What You Need to Know About Six Sigma,” *Productivity Digest* (December 2001), p. 38.

**Clifford, Lee, “Why You Can Safely Ignore Six Sigma,” *Fortune* (January 22, 2001), p. 140.

SIX SIGMA PROJECTS USE PROJECT MANAGEMENT

- The training for Six Sigma includes many project management concepts, tools, and techniques.
- For example, Six Sigma projects often use business cases, project charters, schedules, budgets, and so on.
- Six Sigma projects are done in teams; the project manager is often called the team leader, and the sponsor is called the champion.

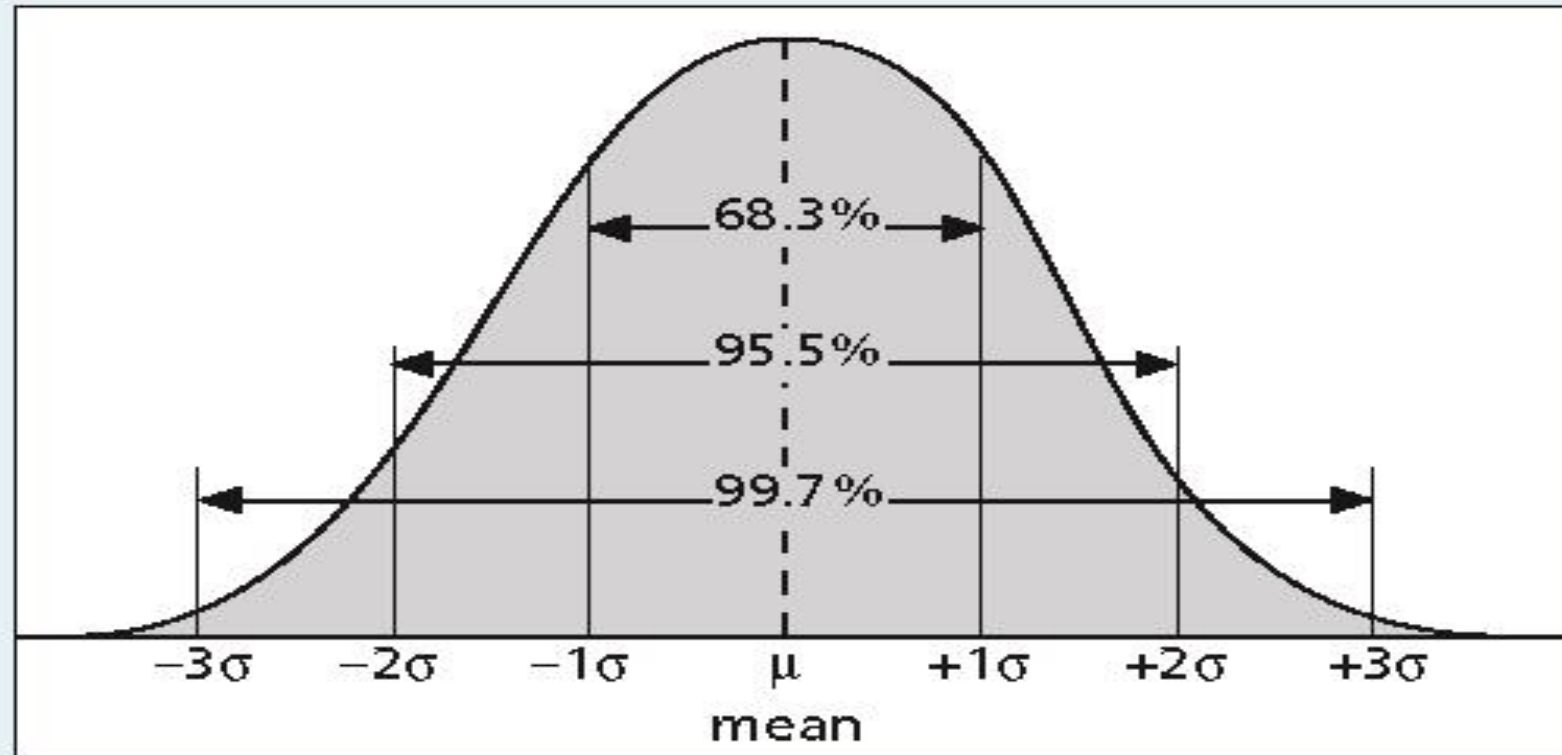
SIX SIGMA AND STATISTICS

- The term *sigma* means standard deviation
- **Standard deviation** measures how much variation exists in a distribution of data
- Standard deviation is a key factor in determining the acceptable number of defective units found in a population
- Six Sigma projects strive for no more than 3.4 defects per million opportunities, yet this number is confusing to many statisticians

SIX SIGMA USES A CONVERSION TABLE

- Using a normal curve, if a process is at six sigma, there would be no more than two defective units per billion produced
- Six Sigma uses a scoring system that accounts for time, an important factor in determining process variations
- **Yield** represents the number of units handled correctly through the process steps
- A **defect** is any instance where the product or service fails to meet customer requirements
- There can be several opportunities to have a defect

NORMAL DISTRIBUTION AND STANDARD DEVIATION



The normal curve

SIGMA CONVERSION TABLE

SIGMA	YIELD	DEFECTS PER MILLION OPPORTUNITIES (DPMO)
1	31.0%	690,000
2	69.2%	308,000
3	93.3%	66,800
4	99.4%	6,210
5	99.97%	230
6	99.99966%	3.4

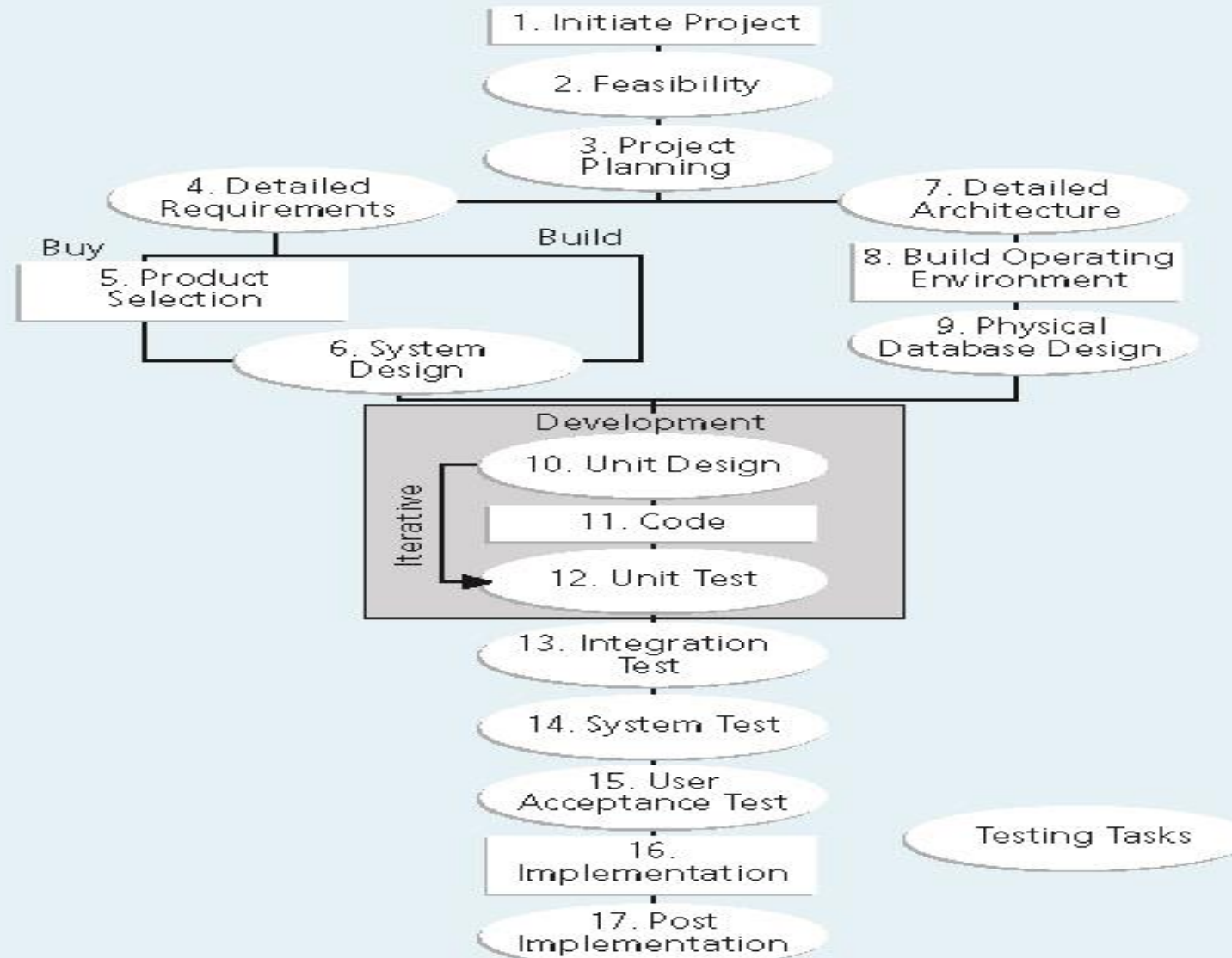
SIX 9S OF QUALITY

- **Six 9s of quality** is a measure of quality control equal to 1 fault in 1 million opportunities
- In the telecommunications industry, it means 99.9999 percent service availability or *30 seconds of down time a year*
- This level of quality has also been stated as the target goal for the number of errors in a communications circuit, system failures, or errors in lines of code

TESTING

- Many IT professionals think of testing as a stage that comes near the end of IT product development
- Testing should be done during almost every phase of the IT product development life cycle

TESTING TASKS IN THE SOFTWARE DEVELOPMENT LIFE CYCLE



TYPES OF TESTS

- **Unit testing** tests each individual component (often a program) to ensure it is as defect-free as possible such as Black Box.
- **Integration testing** occurs between unit and system testing to test functionally grouped components
- **System testing** tests the entire system as one entity
- **User acceptance testing** is an independent test performed by end users prior to accepting the delivered system
- **Network security testing** is a testing of the network infrastructure whether the facility is hold up under an attack for cybercriminals or not. For instance penetration testing, network scanning and vulnerability testing.

TESTING ALONE IS NOT ENOUGH

- Watts S. Humphrey, a renowned expert on software quality, defines a **software defect** as anything that must be changed before delivery of the program
- Testing does not sufficiently prevent software defects because:
 - The number of ways to test a complex system is huge
 - Users will continue to invent new ways to use a system that its developers never considered
- Humphrey suggests that people rethink the software development process to provide *no* potential defects when you enter system testing; developers must be responsible for providing error-free code at each stage of testing



QUALITY MANAGEMENT

MODERN QUALITY MANAGEMENT

- Modern quality management:
 - Requires customer satisfaction
 - Prefers prevention to inspection
 - Recognizes management responsibility for quality
- Noteworthy quality experts include Deming, Juran, Crosby, Ishikawa, Taguchi, and Feigenbaum

QUALITY EXPERTS

- Deming was famous for his work in rebuilding Japan and his 14 Points for Management
- Juran wrote the *Quality Control Handbook* and ten steps to quality improvement
- Crosby wrote *Quality is Free* and suggested that organizations strive for zero defects
- Ishikawa developed the concepts of quality circles and fishbone diagrams
- Taguchi developed methods for optimizing the process of engineering experimentation
- Feigenbaum developed the concept of total quality control

MALCOLM BALDRIGE AWARD

- The **Malcolm Baldrige National Quality Award** originated in 1987 to recognize companies that have achieved a level of world-class competition through quality management
- Given by the President of the United States to U.S. businesses
- Three awards each year in different categories:
 - Manufacturing
 - Service
 - Small business
 - Education and health care

ISO STANDARDS

- **ISO 9000** is a quality system standard that:
 - Is a three-part, continuous cycle of planning, controlling, and documenting quality in an organization
 - Provides minimum requirements needed for an organization to meet its quality certification standards
 - Helps organizations around the world reduce costs and improve customer satisfaction
- See www.iso.org for more information



NETWORK SECURITY PROJECT QUALITY IMPROVEMENT

IMPROVING NETWORK SECURITY PROJECT QUALITY

- Suggestions for improving quality for Network Security projects include:
 - Establish leadership that promotes quality
 - Understand the cost of quality
 - Focus on organizational influences and workplace factors that affect quality
 - Follow maturity models

LEADERSHIP

- As Joseph M. Juran said in 1945, “It is most important that top management be quality-minded. In the absence of sincere manifestation of interest at the top, little will happen below.”*
- A large percentage of quality problems are associated with management, not technical issues

*American Society for Quality (ASQ), (www.asqc.org/about/history/juran.html).

THE COST OF QUALITY

- The **cost of quality** is the cost of conformance plus the cost of nonconformance
 - **Conformance** means delivering products that meet requirements and fitness for use
 - **Cost of nonconformance** means taking responsibility for failures or not meeting quality expectations
- A study reported that software bugs cost the U.S. economy \$59.6 billion each year and that one third of the bugs could be eliminated by an improved testing infrastructure

FIVE COST CATEGORIES RELATED TO QUALITY

- **Prevention cost:** cost of planning and executing a project so it is error-free or within an acceptable error range
- **Appraisal cost:** cost of evaluating processes and their outputs to ensure quality
- **Internal failure cost:** cost incurred to correct an identified defect before the customer receives the product
- **External failure cost:** cost that relates to all errors not detected and corrected before delivery to the customer
- **Measurement and test equipment costs:** capital cost of equipment used to perform prevention and appraisal activities

ORGANIZATIONAL INFLUENCES, WORKPLACE FACTORS, AND QUALITY

- Study by De Marco and Lister showed that organizational issues had a much greater influence on programmer productivity than the technical environment or programming languages
- Programmer productivity varied by a factor of one to ten across organizations, but only by 21 percent within the same organization
- Study found no correlation between productivity and programming language, years of experience, or salary
- A dedicated workspace and a quiet work environment were key factors to improving programmer productivity

EXPECTATIONS AND CULTURAL DIFFERENCES IN QUALITY

- Project managers must understand and manage stakeholder expectations
- Expectations also vary by:
 - Organization's culture
 - Geographic regions

MATURITY MODELS

- **Maturity models** are frameworks for helping organizations improve their processes and systems
 - The **Software Quality Function Deployment Model** focuses on defining user requirements and planning software projects
 - The Software Engineering Institute's **Capability Maturity Model Integration** is a process improvement approach that provides organizations with the essential elements of effective processes

CMMI LEVELS

- CMMI levels, from lowest to highest, are:
 - Incomplete
 - Performed
 - Managed
 - Defined
 - Quantitatively Managed
 - Optimizing
- Companies may not get to bid on government projects unless they have a CMMI Level 3

PMI'S MATURITY MODEL

- PMI released the Organizational Project Management Maturity Model (OPM3) in December 2003
- Model is based on market research surveys sent to more than 30,000 project management professionals and incorporates 180 best practices and more than 2,400 capabilities, outcomes, and key performance indicators
- Addresses standards for excellence in project, program, and portfolio management best practices and explains the capabilities necessary to achieve those best practices

BEST PRACTICE

- OPM3 provides the following example to illustrate a best practice, capability, outcome, and key performance indicator:
 - Best practice: establish internal project management communities
 - Capability: facilitate project management activities
 - Outcome: local initiatives, meaning the organization develops pockets of consensus around areas of special interest
 - Key performance indicator: community addresses local issues

USING SOFTWARE TO ASSIST IN PROJECT QUALITY MANAGEMENT

- Spreadsheet and charting software helps create Pareto diagrams, fishbone diagrams, and so on
- Statistical software packages help perform statistical analysis
- Specialized software products help manage Six Sigma projects or create quality control charts
- Project management software helps create Gantt charts and other tools to help plan and track work related to quality management



SUMMARY

CHAPTER SUMMARY

- Project quality management ensures that the project will satisfy the needs for which it was undertaken
- Main processes include:
 - Plan quality
 - Perform quality assurance
 - Perform quality control