# CS 4248 Natural Language Processing

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#### **Materials**

NNM4NLP Chapter 10, 11

## How to Obtain Word Embeddings

- Random initialization
- Supervised task-specific pre-training
- Unsupervised pre-training

### Supervised Task-Specific Pre-Training

#### Setup:

- Task A has limited labeled training data
- Task B is another auxiliary task which has much more labeled training data
- E.g., task A: syntactic parsing; task B: POS tagging

#### Supervised Task-Specific Pre-Training

- Goal: Exploit the large labeled training data for task B to help task A
- Pre-train word vectors for task B, so that we get word vectors that are good predictors for task B
- Use these pre-trained word vectors for training task A

## **Unsupervised Pre-Training**

- "Unsupervised": Using raw texts without human manual annotation
- Based on word prediction in raw texts
  - Predict a word based on its context (e.g., neural language modeling uses context of k previous words)
  - Predict the context based on a word
- Actually a supervised learning task (selfsupervised)

## Word Embeddings

- When using pre-trained word vectors:
  - Pre-trained vectors in embedding matrix  $E \in \mathbb{R}^{|V| \times d}$  can be:
    - Further tuned to the specific task with neural network training
    - Fixed during neural network training
    - Trade-off: Adapt the word vectors to the specific task versus losing generalization properties learned for words in the raw texts but not in the training data of the specific task

- A software package implementing 2 different context representations
  - CBOW (continuous bag of words)
  - Skip-gram
- Fast, efficient to train, highly scalable to billions of words of text

- Two vectors for each word (one vector when the word is the center word and another vector when the word is a context word)
- The vectors are the parameters to be learned
- Idea: A center word is similar to the words in its context
- Make the vector w of the center word close to the vectors c of the words in its context ( $w \cdot c$  is large)

- Training distinguishes a set D of correct word-context pairs from a set  $\overline{D}$  of incorrect word-context pairs
- Positive examples (w, c) ∈ D from a raw text corpus
- Negative sampling: For each  $(w, c) \in D$ , sample k words and add each of these words  $(w_i, c)$  as a negative example to  $\overline{D}$

- Negative words are sampled according to their corpus frequency:  $\frac{\#(w)^{0.75}}{\sum_{w'}\#(w')^{0.75}}$  (gives higher relative weight to less frequent words)
- Example:

- Count
$$(w_1)$$
 = 98  $(p(w_1)$  = 0.98)

- Count
$$(w_2) = 2 (p(w_2) = 0.02)$$

$$- \tilde{p}(w_1) = \frac{98^{0.75}}{98^{0.75} + 2^{0.75}} = 0.95$$

$$- \tilde{p}(w_2) = \frac{2^{0.75}}{98^{0.75} + 2^{0.75}} = 0.05$$

- $s(w,c) = w \cdot c$
- $P(D = 1|w,c) = \frac{1}{1+e^{-s(w,c)}} = \frac{1}{1+e^{-w\cdot c}}$
- P(D = 0|w,c) = 1 P(D = 1|w,c)

• Training objective: Minimize the negative loglikelihood of the data  $D \cup \overline{D}$ :

$$\mathcal{L}(\Theta; D, \overline{D}) = -\sum_{(w,c)\in D} \log P(D = 1|w,c)$$

$$-\sum_{(w,c)\in\overline{D}}\log P(D=0|w,c)$$

#### Word2Vec: CBOW

- c: c<sub>1:k</sub> (context is the window of surrounding words centered at w)
- Predict the target word w from the context  $c_{1:k}$  of surrounding words
- $c = \sum_{i=1}^k c_i$
- $\log P(D = 1 | w, c_{1:k}) = \log \frac{1}{1 + e^{-(w \cdot c_1 + w \cdot c_2 + \cdots w \cdot c_k)}}$
- Ignore order of surrounding words

## Word2Vec: Skip-gram

- Predict the context  $c_{1:k}$  of surrounding words from the target word w
- Assume each word in  $c_{1:k}$  is independent of each other
- $P(D = 1|w, c_{1:k}) = \prod_{i=1}^{k} P(D = 1|w, c_i) = \prod_{i=1}^{k} \frac{1}{1 + e^{-w \cdot c_i}}$
- $\log P(D = 1|w, c_{1:k}) = \sum_{i=1}^{k} \log \frac{1}{1 + e^{-w \cdot c_i}}$

- After training, two embedding matrices are computed:
  - $\mathbf{E}^{W} \in \mathbb{R}^{|V_{W}| \times d_{\text{emb}}}$  (kept)
  - $E^{C} \in \mathbb{R}^{|V_{C}| \times d_{\text{emb}}}$  (discarded)

- Word similarity
- Cosine similarity

$$sim_{cos}(\boldsymbol{u}, \boldsymbol{v}) = \frac{\boldsymbol{u} \cdot \boldsymbol{v}}{\|\boldsymbol{u}\|_2 \|\boldsymbol{v}\|_2} = \frac{\sum_i \boldsymbol{u}_{[i]} \cdot \boldsymbol{v}_{[i]}}{\sqrt{\sum_i (\boldsymbol{u}_{[i]})^2} \sqrt{\sum_i (\boldsymbol{v}_{[i]})^2}}$$

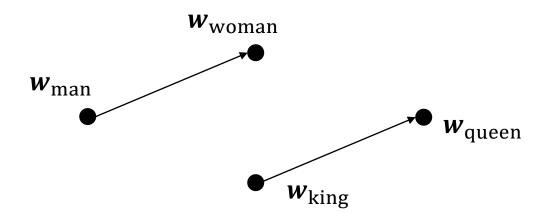
• When u and v are of unit length ( $||u||_2 = ||v||_2 = 1$ ), cosine similarity reduces to a dot-product

$$\operatorname{sim}_{\cos}(\boldsymbol{u}, \boldsymbol{v}) = \boldsymbol{u} \cdot \boldsymbol{v} = \sum_{i} \boldsymbol{u}_{[i]} \cdot \boldsymbol{v}_{[i]}$$

- Word analogy task via word vector algebra
- For word embeddings trained using Word2vec:

$$w_{\text{king}} - w_{\text{man}} + w_{\text{woman}} \approx w_{\text{queen}}$$
  
 $w_{\text{France}} - w_{\text{Paris}} + w_{\text{London}} \approx w_{\text{England}}$ 

analogy
$$(m: w \to k:?) = \underset{v \in V \setminus \{m, w, k\}}{\operatorname{argmax}} \cos(v, k - m + w)$$



- Finding the k most similar words to w
- *E*: row-normalized embedding matrix
- $sim_{cos}(w_1, w_2) = E_{[w_1]} \cdot E_{[w_2]}$

- $s = wE^{T}$
- s is a vector of similarities, where  $s_{[i]}$  is the similarity of w to the ith word in the vocabulary
- k most similar words: find the indices corresponding to the k highest values in s
- Vector-matrix multiplication can be computed rapidly

#### Odd-one out

- Given a list of words, find the word that does not belong
- Compute the similarity between each word to the average word vector of the group, and return the least similar word

- Similarity of short documents (e.g., web queries, newspaper headlines, tweets)
- Treat each document as a bag of words

• 
$$D_1 = w_1^1, w_2^1, ..., w_m^1$$
  $D_2 = w_1^2, w_2^2, ..., w_n^2$ 

• 
$$sim_{doc}(D_1, D_2) = \sum_{i=1}^{m} \sum_{j=1}^{n} sim_{cos}(w_i^1, w_j^2)$$