Genome Assembly Algorithms: de Bruijn graphs

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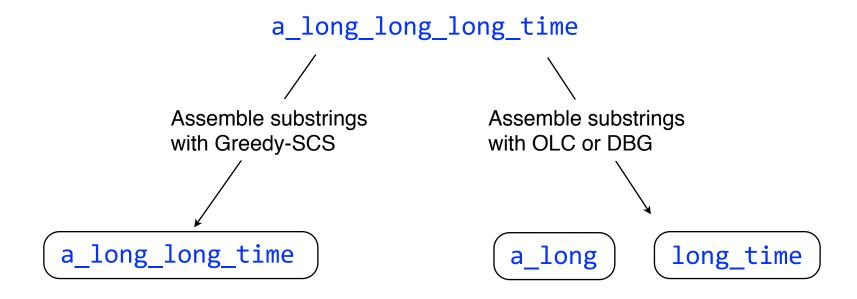
OLC: Overlap-Layout-Consensus assembly

DBG: De Bruijn graph assembly

Both handle unresolvable repeats by essentially *leaving them out*

Unresolvable repeats break the assembly into fragments

Fragments are *contigs* (short for *contiguous*)







A formulation conceptually similar to overlapping/SCS, but has some potentially helpful properties not shared by SCS.

k-mer



"k-mer" is a substring of length k

S: GGCGATTCATCG

A 4-mer of S: ATTC

All 3-mers of S: GGC

GCG
CGA
GAT
ATT
TTC
TCA
CAT
ATC
TCG

I'll use "k-1-mer" to refer to a substring of length k - 1





As usual, we start with a collection of reads, which are substrings of the reference genome.

AAA, AAB, ABB, BBB, BBA

AAB is a k-mer (k = 3). AA is its left k-1-mer, and AB is its right k-1-mer.

AAB 3-mer

AA AB

AA AB

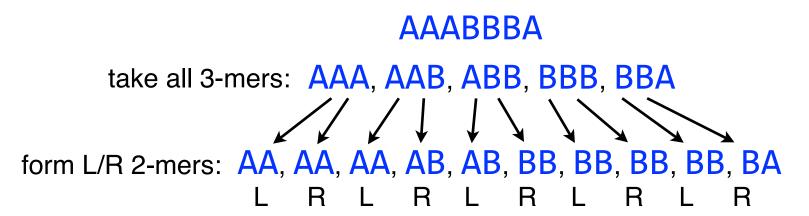
L R

AAB's left 2-mer AAB's right 2-mer

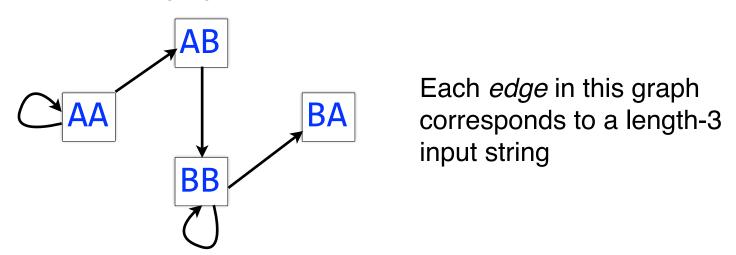




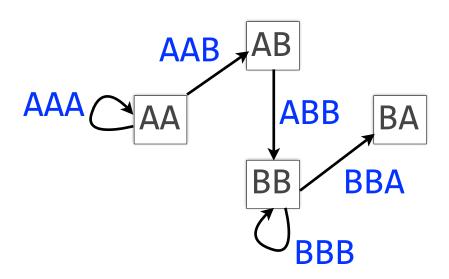
Take each length-3 input string and split it into two overlapping substrings of length 2. Call these the *left* and *right 2-mers*.



Let 2-mers be nodes in a new graph. Draw a directed edge from each left 2-mer to corresponding right 2-mer:

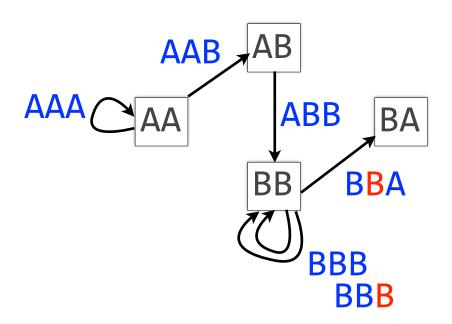






An edge corresponds to an overlap (of length k-2) between two k-1 mers. More precisely, it corresponds to a k-mer from the input.





If we add one more B to our input string: AAABBBBA, and rebuild the De Bruijn graph accordingly, we get a *multiedge*.

Directed multigraph



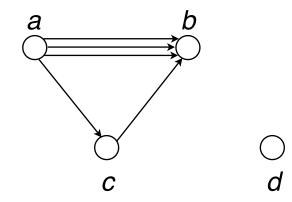
Directed multigraph G(V, E) consists of set of *vertices*, V and multiset of *directed edges*, E

Otherwise, like a directed graph

Node's *indegree* = # incoming edges

Node's *outdegree* = # outgoing edges

De Bruijn graph is a directed multigraph



$$V = \{ a, b, c, d \}$$

 $E = \{ (a, b), (a, b), (a, b), (a, c), (c, b) \}$
Repeated

Eulerian walk definitions and statements

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Node is *balanced* if indegree equals outdegree

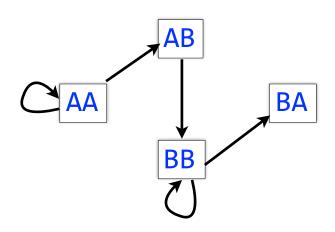
Node is semi-balanced if indegree differs from outdegree by 1

Graph is *connected* if each node can be reached by some other node

Eulerian walk visits each edge exactly once

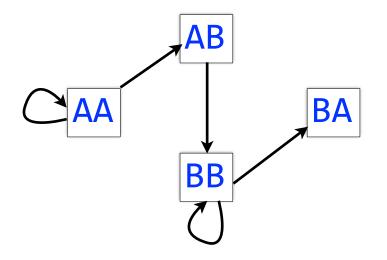
Not all graphs have Eulerian walks. Graphs that do are Eulerian.

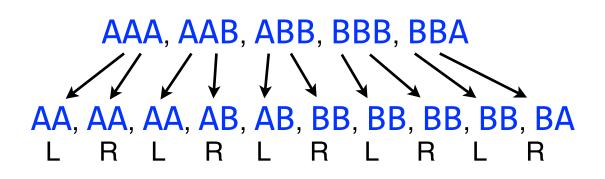
A directed, connected graph is Eulerian if and only if it has at most 2 semi-balanced nodes and all other nodes are balanced





Back to our De Bruijn graph





Is it Eulerian? Yes

Argument 1: $AA \rightarrow AA \rightarrow AB \rightarrow BB \rightarrow BB \rightarrow BA$

Argument 2: AA and BA are semi-balanced, AB and BB are balanced

A procedure for making a De Bruijn graph for a genome

Assume *perfect sequencing* where each length-*k* substring is sequenced exactly once with no errors

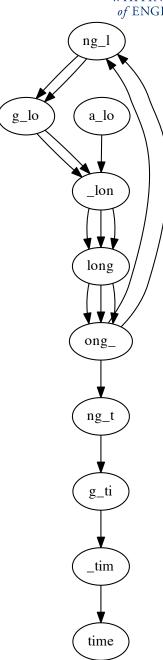
Pick a substring length *k*: 5

Start with an input string:

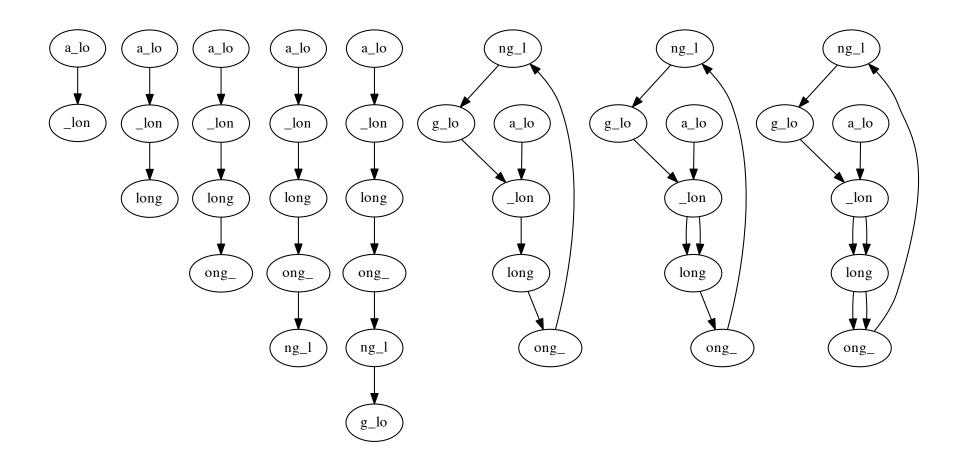
Take each *k* mer and split into left and right *k*-1 mers

Add k-1 mers as nodes to De Bruijn graph (if not already there), add edge from left *k*-1 mer to right *k*-1 mer





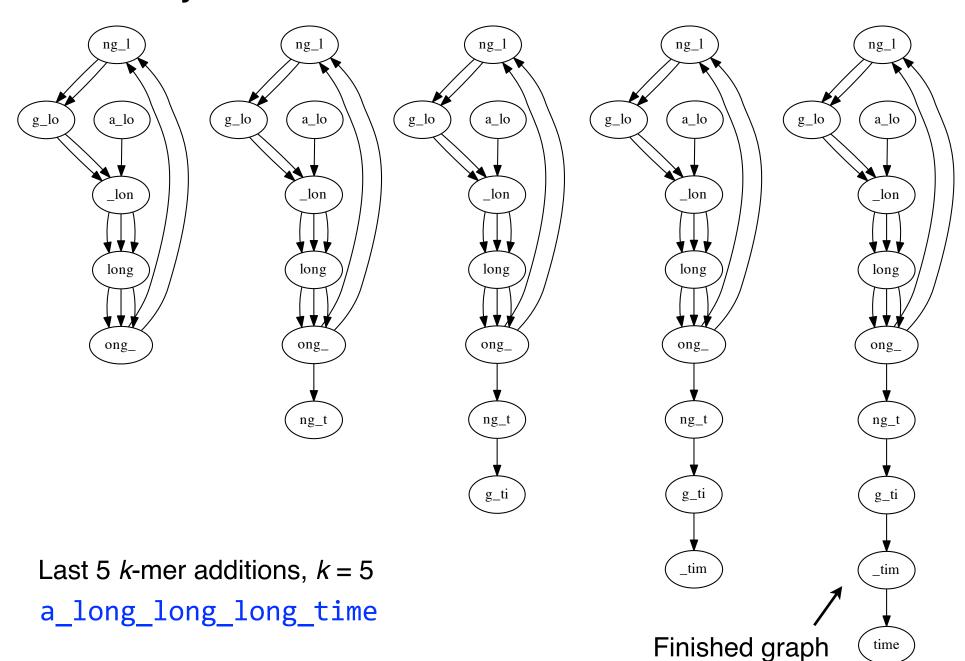




First 8 k-mer additions, k = 5 a_long_long_time



time



With perfect sequencing, this procedure always yields an Eulerian graph. Why?

Node for *k*-1-mer from left end is semi-balanced with one more outgoing edge than incoming *

Node for *k*-1-mer at right end is semi-balanced with one more incoming than outgoing *

Other nodes are balanced since # times k-1-mer occurs as a left k-1-mer = # times it occurs as a right k-1-mer

lon long ong_ ng_t g_ti _tim

^{*} Unless genome is circular



De Bruijn graph implementation

```
class DeBruijnGraph:
    """ A De Bruijn multigraph built from a collection of strings.
        User supplies strings and k-mer length k. Nodes of the De
        Bruijn graph are k-1-mers and edges join a left k-1-mer to a
        right k-1-mer. """
    @staticmethod
    def chop(st, k):
        """ Chop a string up into k mers of given length """
        for i in xrange(0, len(st)-(k-1)): yield st[i:i+k]
    class Node:
        """ Node in a De Bruijn graph, representing a k-1 mer """
        def init (self, km1mer):
            self.km1mer = km1mer
        def hash (self):
            return hash(self.km1mer)
    def __init (self, strIter, k):
        """ Build De Bruijn multigraph given strings and k-mer length k """
                     # multimap from nodes to neighbors
        self.G = \{\}
        self.nodes = {} # maps k-1-mers to Node objects
        self.k = k
        for st in strIter:
            for kmer in self.chop(st, k):
                km1L, km1R = kmer[:-1], kmer[1:]
                nodeL, nodeR = None, None
                if km1L in self.nodes:
                    nodeL = self.nodes[km1L]
                else:
                    nodeL = self.nodes[km1L] = self.Node(km1L)
                if km1R in self.nodes:
                    nodeR = self.nodes[km1R]
                else:
                    nodeR = self.nodes[km1R] = self.Node(km1R)
                self.G.setdefault(nodeL, []).append(nodeR)
```

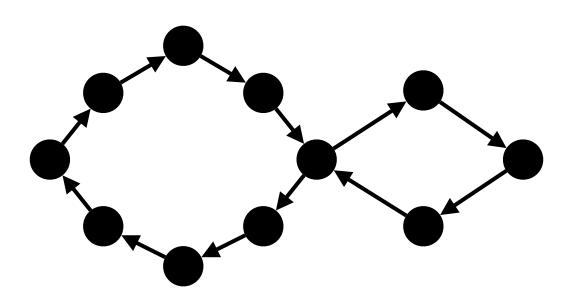
Chop string into k-mers

For each *k*-mer, find left and right *k*-1-mers

Create corresponding nodes (if necessary) and add edge

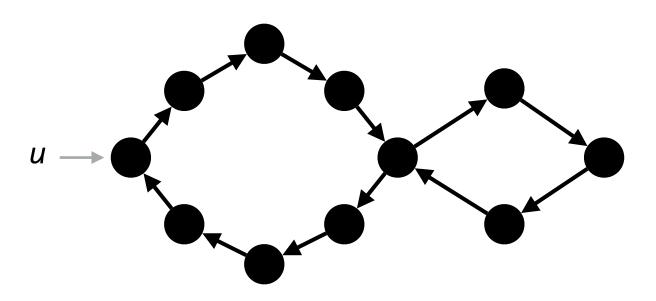


- 1. If the graph has 2 semi-balanced vertices, connect them
- 2. Select an arbitrary start point u
- 3. Follow edges until we reach u again
- 4. If there is some vertex v on the path that has an edge not on the path, repeat starting from v with unused edges
- 5. Repeat until all edges are used
- 6. Splice walks from v into the walk from u



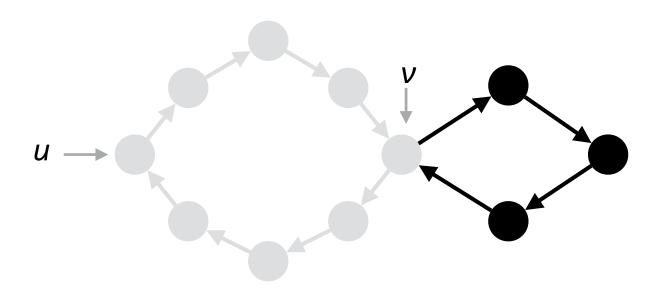


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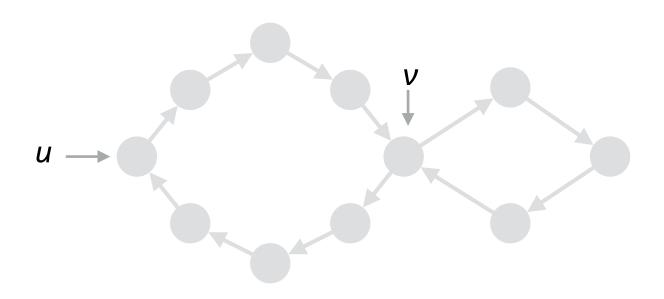


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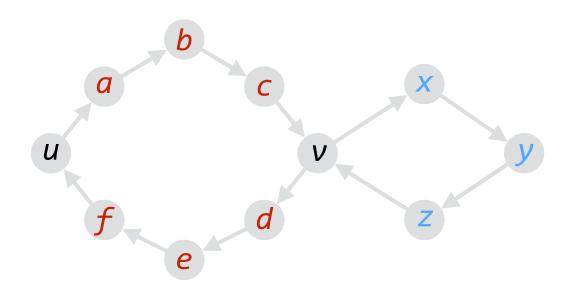




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first cycle: u a b c v d e f u

second cycle: $v \times y \times z v$

Eulerian cycle: $u \ a \ b \ c \ v \ x \ y \ z \ v \ d \ e \ f \ u$

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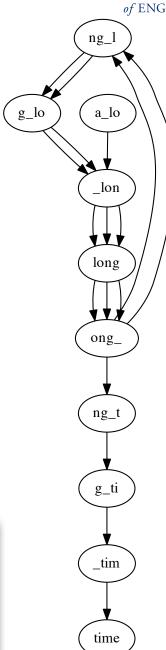
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Full illustrative De Bruijn graph and Eulerian walk:

http://nbviewer.ipython.org/7237207

Example where Eulerian walk gives correct answer for small *k* whereas Greedy-SCS could spuriously collapse repeat:

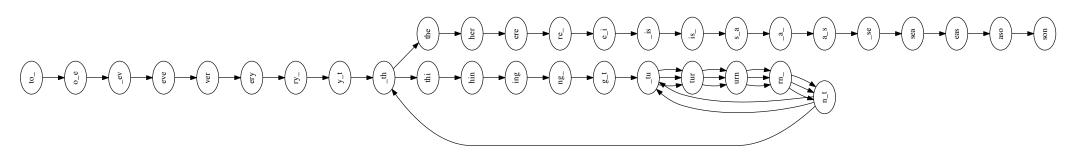
```
>>> G = DeBruijnGraph(["a_long_long_long_time"], 5)
>>> print G.eulerianWalkOrCycle()
['a_lo', '_lon', 'long', 'ong_', 'ng_l', 'g_lo', '_lon',
'long', 'ong_', 'ng_l', 'g_lo', '_lon', 'long', 'ong_',
'ng_t', 'g_ti', '_tim', 'time']
```





Another example Eulerian walk:

```
>>> st = "to_every_thing_turn_turn_turn_there_is_a_season"
>>> G = DeBruijnGraph([st], 4)
>>> path = G.eulerianWalkOrCycle()
>>> superstring = path[0] + ''.join(map(lambda x: x[-1], path[1:]))
>>> print superstring
to_every_thing_turn_turn_there_is_a_season
```

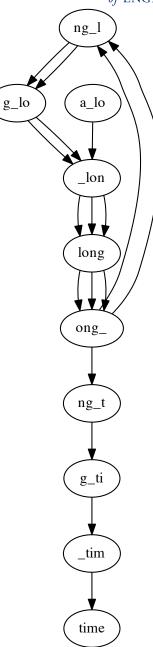


Recall: This is not generally possible or tractable in the overlap/SCS formulation

Assuming perfect sequencing, procedure yields graph with Eulerian walk that can be found efficiently.

We saw cases where Eulerian walk corresponds to the original superstring. Is this always the case?







No: graph can have multiple Eulerian walks, only one of which corresponds to original superstring

Right: graph for ZABCDABEFABY, k = 3

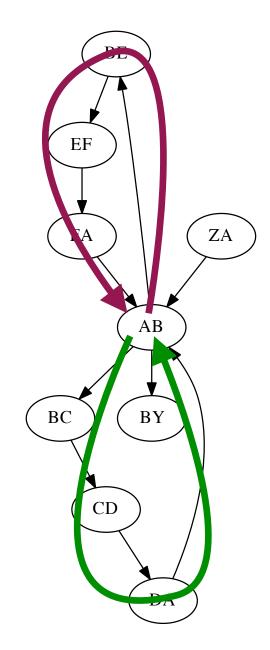
Alternative Eulerian walks:

$$ZA \rightarrow AB \rightarrow BE \rightarrow EF \rightarrow FA \rightarrow AB \rightarrow BC \rightarrow CD \rightarrow DA \rightarrow AB \rightarrow BY$$

$$ZA \rightarrow AB \rightarrow BC \rightarrow CD \rightarrow DA \rightarrow AB \rightarrow BE \rightarrow EF \rightarrow FA \rightarrow AB \rightarrow BY$$

These correspond to two edge-disjoint directed cycles joined by node AB

AB is a repeat: ZABCDABEFABY





Case where k = 4 works:

```
>>> st = "to_every_thing_turn_turn_turn_there_is_a_season"
>>> G = DeBruijnGraph([st], 4)
>>> path = G.eulerianWalkOrCycle()
>>> superstring = path[0] + ''.join(map(lambda x: x[-1], path[1:]))
>>> print superstring
to_every_thing_turn_turn_there_is_a_season
```

But k = 3 does not:

```
>>> st = "to_every_thing_turn_turn_turn_there_is_a_season"
>>> G = DeBruijnGraph([st], 3)
>>> path = G.eulerianWalkOrCycle()
>>> superstring = path[0] + ''.join(map(lambda x: x[-1], path[1:]))
>>> print superstring
to_every_turn_turn_thing_turn_there_is_a_season
```

Due to repeats that are unresolvable at k = 3

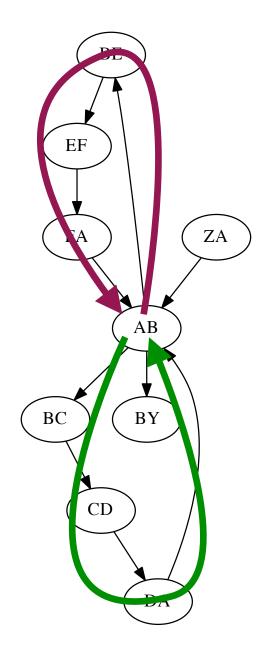
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This is the first sign that Eulerian walks can't solve all our problems

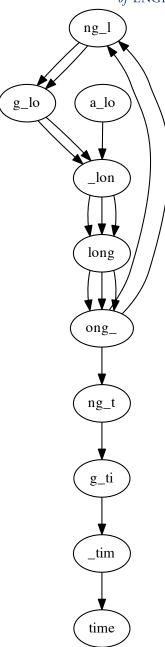
Other signs emerge when we think about how actual sequencing differs from our idealized construction



Gaps in coverage can lead to disconnected graph

Graph for a_long_long_time, k = 5:

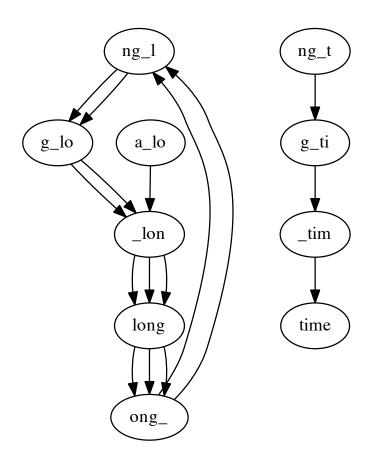






Gaps in coverage can lead to disconnected graph

Graph for a_long_long_time, k = 5 but omitting ong_t:

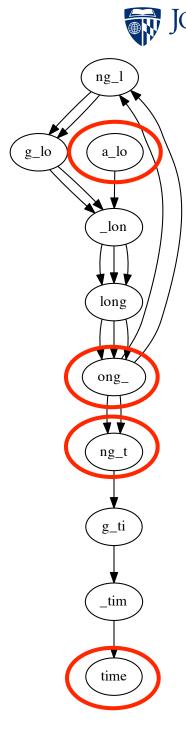


Connected components are individually Eulerian, overall graph is not

Differences in coverage also lead to non-Eulerian graph

Graph for a_long_long_long_time, k = 5 but with extra copy of ong_t:

Graph has 4 semi-balanced nodes, isn't Eulerian

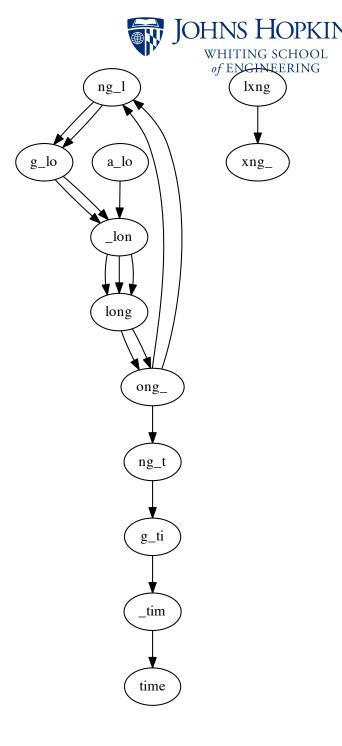


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Errors and differences between chromosomes also lead to non-Eulerian graphs

Graph for a_long_long_long_time, k = 5 but with error that turns a copy of long_ into lxng_

Graph is not connected; largest component is not Eulerian





Casting assembly as Eulerian walk is appealing, but not practical

Uneven coverage, sequencing errors, etc make graph non-Eulerian

Even if graph were Eulerian, repeats yield many possible walks

Kingsford, Carl, Michael C. Schatz, and Mihai Pop. "Assembly complexity of prokaryotic genomes using short reads." *BMC bioinformatics* 11.1 (2010): 21.

De Bruijn Superwalk Problem (DBSP) is an improved formulation where we seek a walk over the De Bruijn graph, where walk contains each read as a subwalk

Proven NP-hard!

Medvedev, Paul, et al. "Computability of models for sequence assembly." *Algorithms in Bioinformatics*. Springer Berlin Heidelberg, 2007. 289-301.



In practice, De Bruijn graph-based tools give up on unresolvable repeats and yield fragmented assemblies, just like OLC tools.

But first we note that using the De Bruijn graph representation has other advantages...



Say a sequencer produces

d reads of length n from a
genome of length m

To build a De Bruijn graph in practice:

Pick k. Assume $k \le$ shortest read length (k = 30 to 60 is common).

For each read:

For each *k*-mer:

Add *k*-mer's left and right *k*-1-mers to graph if not there already. Draw an edge from left to right *k*-1-mer.

long_lo

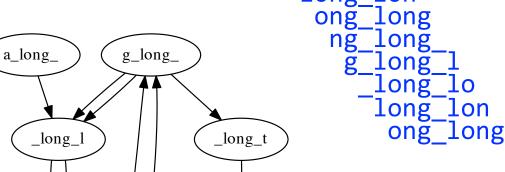
ong_lon

ng_long



Pick k = 8 Genome: a_long_long_time

Reads: a_long_long_long_ng_long_l, g_long_time



long_ti

ong_tim

ng_time

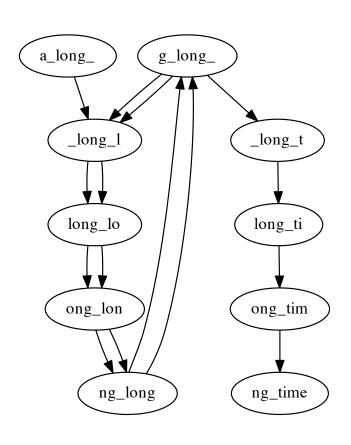
Given n (# reads), N (total length of all reads) and k, and assuming k < length of shortest read:

Exact number of k-mers: N - n (k - 1) O(N)

This is also the number of edges, |E|

Number of nodes |V| is at most $2 \cdot |E|$, but typically much smaller due to repeated k-1-mers





How much work to build graph?

For each *k*-mer, add 1 edge and up to 2 nodes

Reasonable to say this is O(1) expected work

Assume hash map encodes nodes & edges

Assume k-1-mers fit in O(1) machine words, and hashing O(1) machine words is O(1) work

Querying / adding a key is O(1) expected work

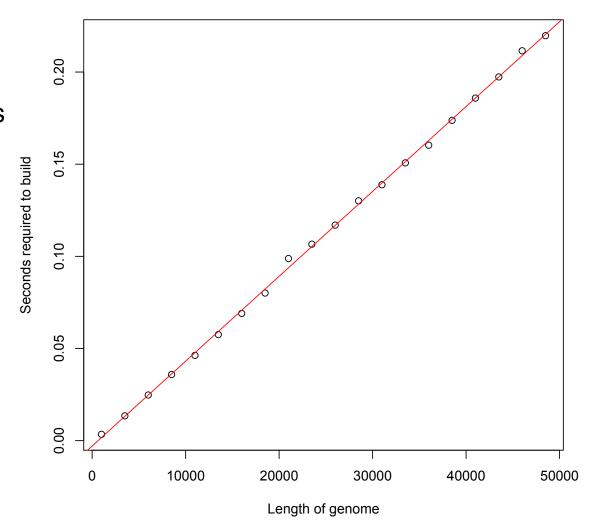
O(1) expected work for 1 k-mer, O(N) overall





Timed De Bruijn graph construction applied to progressively longer prefixes of lambda phage genome, k = 14

O(N) expectation appears to work in practice, at least for this small example

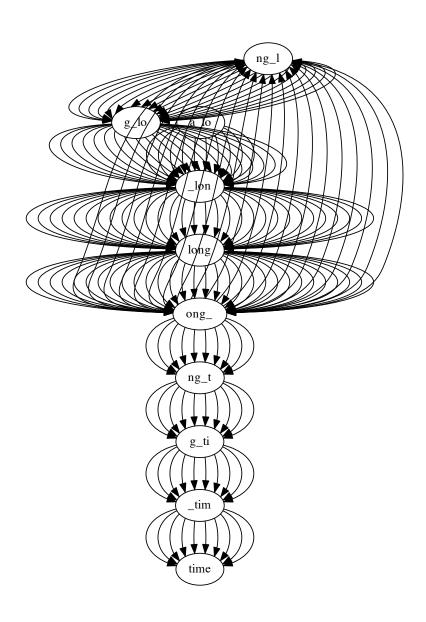


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In typical assembly projects, average coverage is ~ 30 - 50





In typical assembly projects, average coverage is ~ 30 - 50

Same edge might appear in dozens of copies; let's use edge weights instead

Weight = # times k-mer occurs

Using weights, there's one weighted edge for each distinct k-mer

ng_t After: one weighted edge per distinct k-mer

Before: one edge per k-mer



of nodes and edges both O(N); N is total length of all reads

Say (a) reads are error-free, (b) we have one *weighted* edge for each *distinct k*-mer, and (c) length of genome is *G*

There's one node for each distinct *k*-1-mer, one edge for each distinct *k*-mer

Can't be more distinct k-mers than there are k-mers in the genome; likewise for k-1-mers

So # of nodes and edges are also both O(G)

Combine with the O(N) bound and the # of nodes and edges are both $O(\min(N, G))$





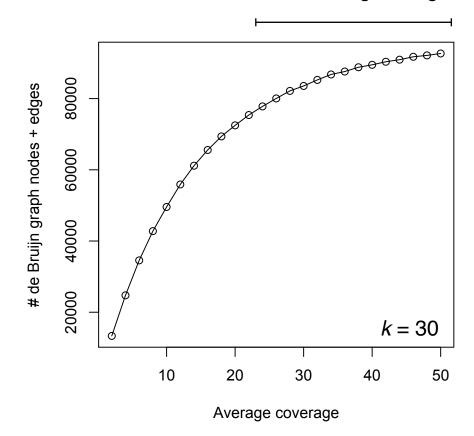
With high average coverage, O(G) size bound is advantageous

Size of De Bruijn graph grows sublinearly when average coverage is high

Genome = lambda phage (~ 48.5 K nt)

Draw random *k*-mers until target average coverage is reached (x axis)

Build De Bruijn graph and total the # of nodes and edges (y axis)





What De Bruijn graph advantages have we discovered?

Can be built in O(N) expected time, N = total length of reads

With perfect data, graph is $O(\min(N, G))$ space; G = genome length

Note: when average coverage is high, $G \ll N$

Compares favorably with overlap graph

Space is O(N + a).

Fast overlap graph construction (suffix tree) is O(N + a) time a is $O(n^2)$



What did we give up?

Reads are immediately split into shorter *k*-mers; can't resolve repeats as well as overlap graph

Only a very specific type of "overlap" is considered, which makes dealing with errors more complicated, as we'll see

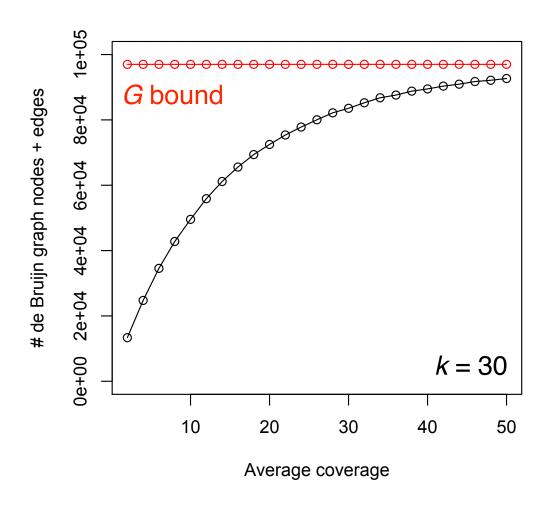
Read coherence is lost. Some paths through De Bruijn graph are inconsistent with respect to input reads.

This is the OLC \leftrightarrow DBG tradeoff

Single most important benefit of De Bruijn graph is the $O(\min(G, N))$ space bound, though we'll see this comes with large caveats

Sequencing errors

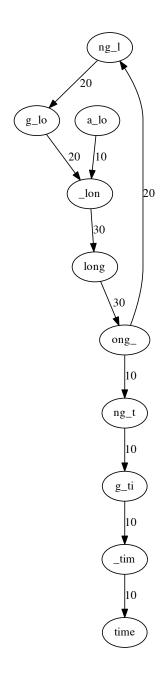
When data is error-free, # nodes, edges in de Bruijn graph is $O(\min(G, N))$



What about data with sequencing errors?



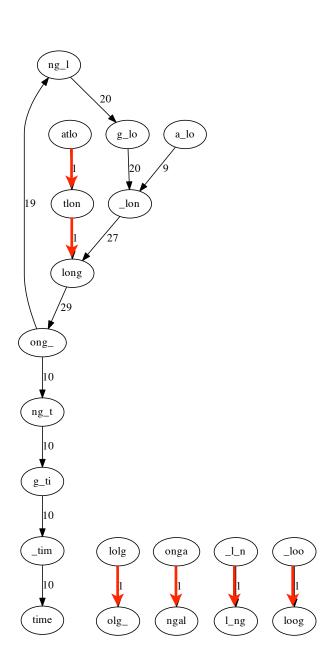
Sequencing Errors



Take an example we saw (left) and mutate a *k*-mer character to a random other character with probability 1% (right)

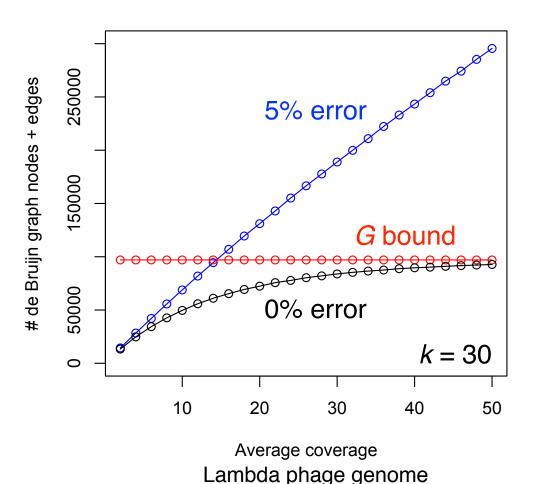
6 errors result in 10 new nodes and 6 new weighted edges, all with weight 1





Sequencing Errors

As more *k*-mers overlap errors, # nodes, edges approach *N*



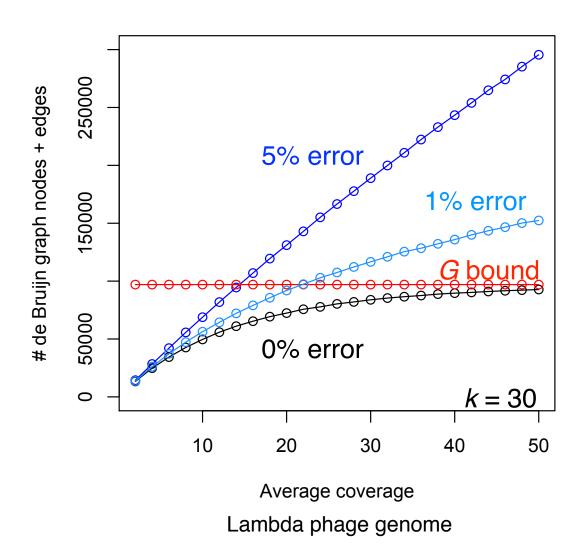
Same experiment as before but with 5% error added

Errors wipe out much of the benefit of the *G* bound

Instead of $O(\min(G, N))$, we have something more like O(N)



Sequencing Errors



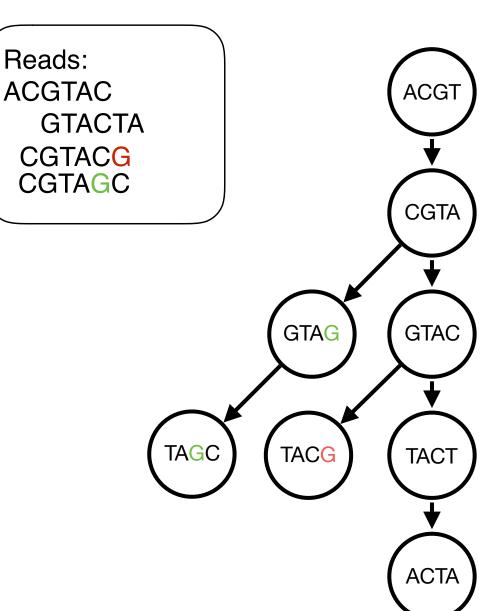




Errors cause short branches

Branches make contigs stop

We need to "clean" the graph of errors



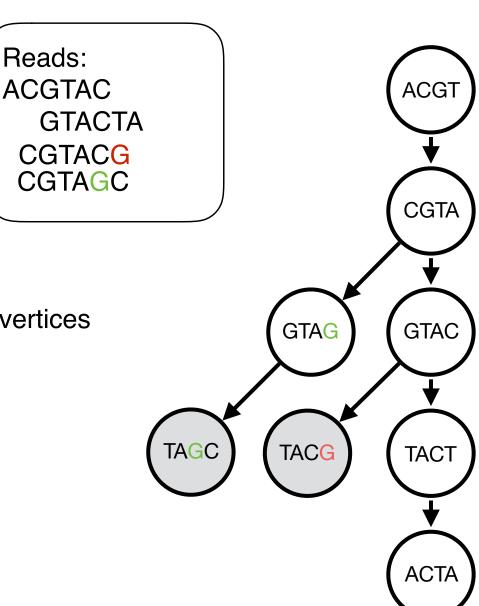


Errors cause short branches

Branches make contigs stop

We need to "clean" the graph of errors

Iteratively remove the semi-connected vertices





Errors cause short branches

Branches make contigs stop

We need to "clean" the graph of errors

Iteratively remove the semi-connected vertices

Reads: **ACGTAC GTACTA CGTACG CGTAGC** CGTA **GTAG GTAC** TACT **ACTA**



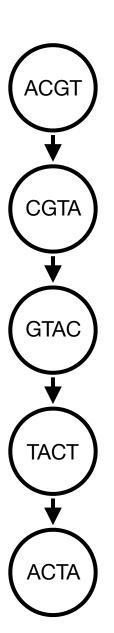
Errors cause short branches

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We need to "clean" the graph of errors

Iteratively remove the semi-connected vertices

Reads: **ACGTAC GTACTA CGTACG CGTAGC**



de Bruijn graph assembly



- Construction:
 - Extract k-mers from reads, insert into graph
- Refinement:
 - Traverse graph, cleaning errors
- Contig Assembly:
 - Find unambiguous paths, compact into contigs

The size of the graph



Issue with error cleaning approach: the input graph can be huge

First human genome de Bruijn graph from 36bp reads (k=29) had 10 billion vertices

Need either a) approach that can identify errors before loading graph b) extremely memory efficient representation

Break now, then we'll address this

If we can correct sequencing errors up-front, we can prevent De Bruijn graph from growing much beyond the *G* bound

How do we correct errors?

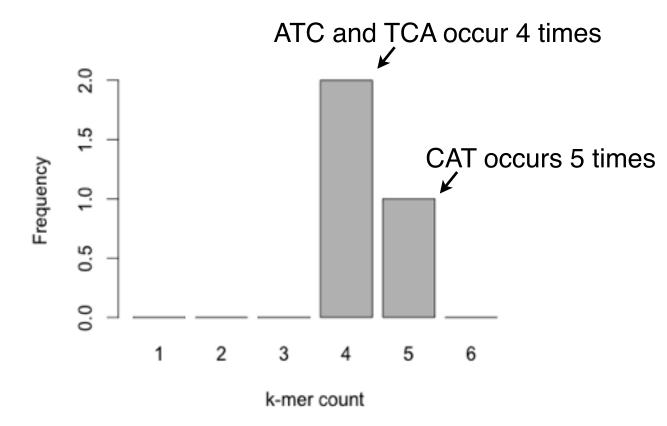
Analogy: design a spell checker for a language you've never seen before. How do you come up with suggestions?



k-mer count histogram:

x axis is an integer *k*-mer count, y axis is # distinct *k*-mers with that count

Right: such a histogram for 3-mers of CATCATCATCATCATC



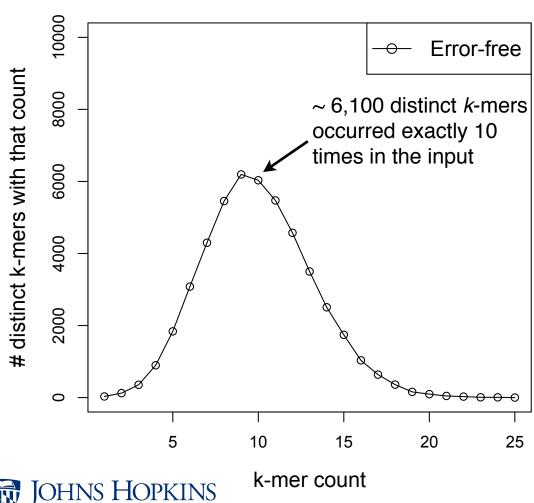


Say we have error-free sequencing reads drawn from a genome. The amount of sequencing is such that average coverage = 200. Let

k = 20

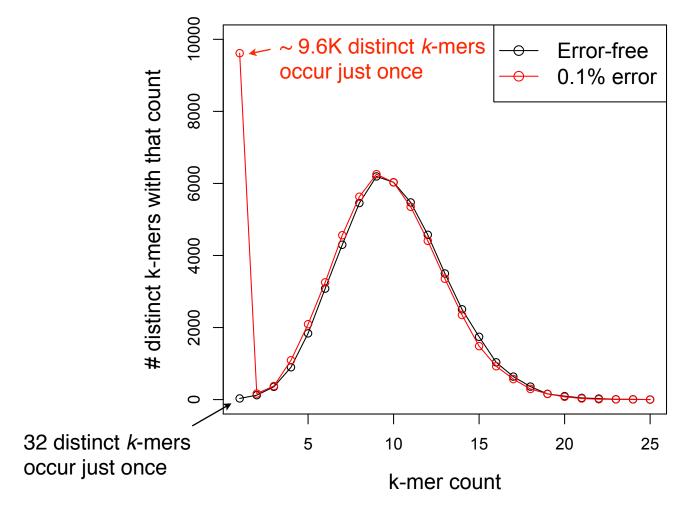
How would the picture change for data with 1% error rate?

Hint: errors usually change high-count *k*-mer into low-count *k*-mer





k-mers with errors usually occur fewer times than error-free *k*-mers





Idea: errors tend to turn frequent *k*-mers to infrequent *k*-mers, so corrections should do the reverse

Say we have a collection of reads where each distinct 8-mer occurs an average of ~10 times, and we have the following read:

```
GCGTATTACGCGTCTGGCCT
Read:
                                     (20 nt)
         GCGTATTA: 8
           CGTATTAC: 8
            GTATTACG: 9
             TATTACGC: 9
                                   # times each 8-mer
              ATTACGCG: 9
               TTACGCGT: 12
                                   occurs in the dataset.
                TACGCGTC: 9
                                   "k-mer count profile"
     8-mers:
                 ACGCGTCT: 8
                  CGCGTCTG: 10
                   GCGTCTGG: 10
                                              All 8-mer counts are around
                    CGTCTGGC: 11
                                              the average, suggesting
                     GTCTGGCC: 9
                                              read is error-free
                       TCTGGCCT: 8
```



Read:

Suppose there's an error

GCGTACTACGCGTCTGGCCT

CGCGTCTG: 10

GCGTCTGG: 10

CGTCTGGC: 11

GTCTGGCC: 9

TCTGGCCT: 8

```
GCGTACTA: 1
CGTACTAC: 3
GTACTACG: 1
Below average

CTACGCG: 2
CTACGCGT: 1
TACGCGTC: 9
ACGCGTCT: 8
```

Around average



k-mer count profiles when errors are in different parts of the read:

GCGTACTACGCGTCTGGCCT

GCGTACTA: 1

CGTACTAC: 3

GTACTACG: 1

TACTACGC: 1

ACTACGCG: 2

CTACGCGT: 1

TACGCGTC: 9

ACGCGTCT: 8

CGCGTCTG: 10

CGTCTGGC: 11

GTCTGGCC: 9

TCTGGCCT: 8

GCGTATTACACGTCTGGCCT

GCGTATTA: 8

CGTATTAC: 8

GTATTACA: 1

TATTACAC: 1

ATTACACG: 1

TACACGT: 1

ACACGTCT: 2

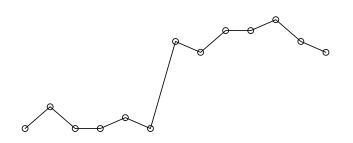
CACGTCTG: 1

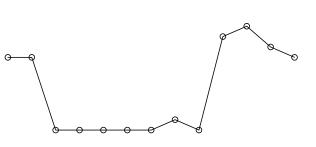
GCGTCTGG: 10

CGTCTGGC: 11

GTCTGGCC: 8

GCGTATTACGCGTCTGGTCT
GCGTATTA: 8
CGTATTAC: 8
GTATTACG: 9
TATTACGC: 9
ATTACGCG: 9
TTACGCGT: 12
TACGCGTC: 9
ACGCGTCT: 8
CGCGTCTG: 10
CGTCTGGT: 1
GTCTGGTC: 2
TCTGGTCT: 1

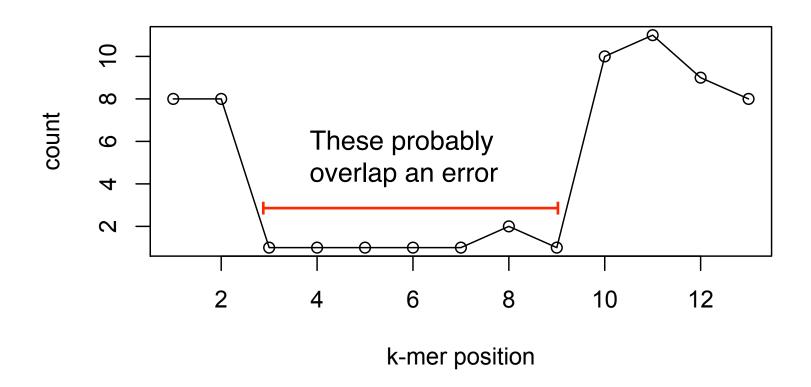








k-mer count profile indicates where errors are





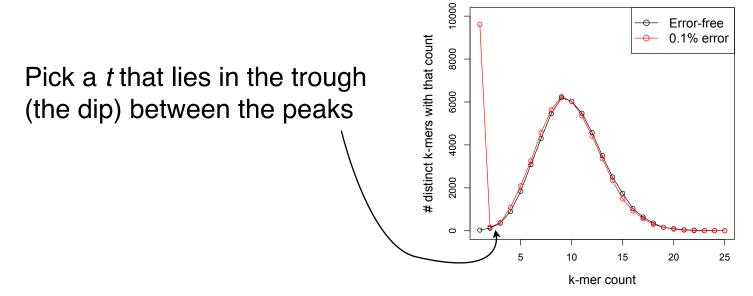
Simple algorithm: given a count threshold *t*:

For each read:

For each k-mer:

If k-mer count < t:

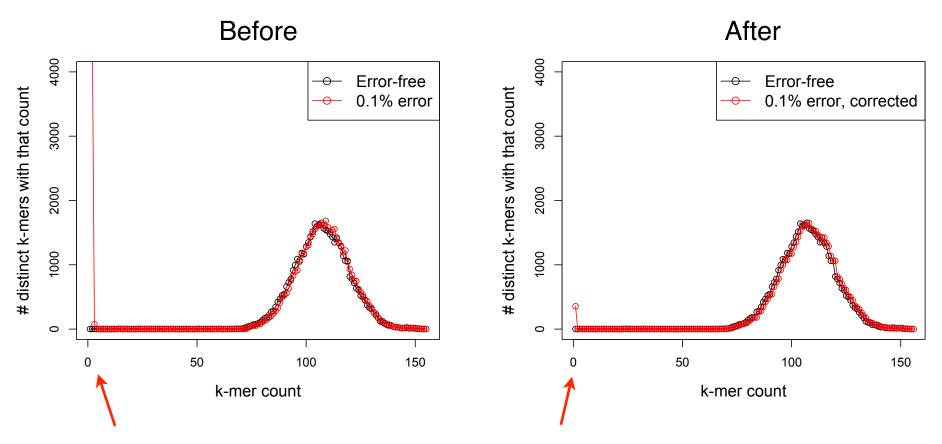
Examine k-mer's neighbors within certain Hamming/edit distance. If neighbor has count $\geq t$, replace old k-mer with neighbor.





Error correction: results

Corrects 99.2% of the errors in the example 0.1% error dataset



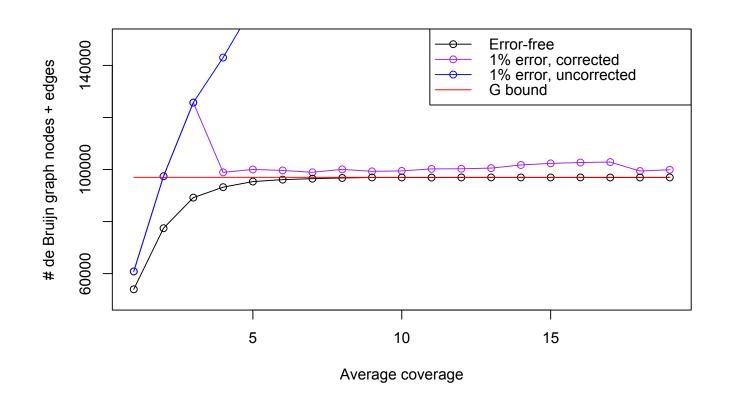
From 194K k-mers occurring exactly once to just 355



Error correction: results

For uncorrected reads, De Bruijn graph size is off the chart

For corrected reads, De Bruijn graph size is near G bound





For error correction to work well:

Average coverage should be high enough and *t* should be set so we can distinguish infrequent from frequent *k*-mers

k-mer neighborhood we explore must be broad enough to find frequent neighbors. Depends on error rate and *k*.

Data structure for storing *k*-mer counts should be substantially smaller than the De Bruijn graph

Otherwise there's no point doing error correction separately

Counts don't have to be 100% accurate; just have to distinguish frequent and infrequent



de Bruijn graph assembly

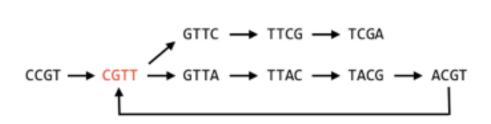


- Two options for reducing memory:
 - Error correct the reads before entering them into the graph
 - Use memory efficient data structures to represent graph

Representing de Bruijn graphs



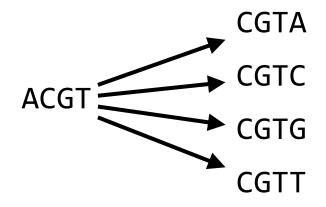
- Early dBG assemblers for Illumina reads:
 - no error correction
 - represent edges as list of pointers (64bit/edge)
 - >100 bits per vertex
- Human assembly requires impractical amount of memory (500-1000 GB)



Vertex	Edges
ACGT	2
CCGT	2
CGTT	3,4
GTTA	7
GTTC	8
TACG	0
TCGA	-
TTAC	5
TTCG	6







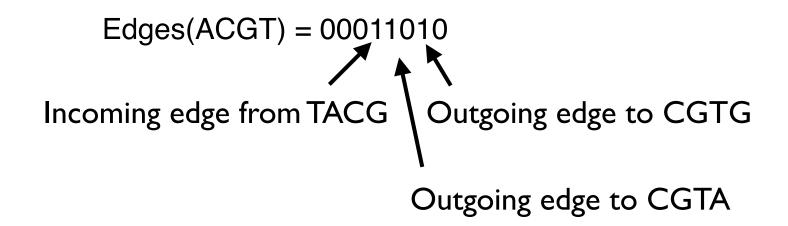
Maximum out degree of any vertex is 4





How can we compactly represent the edge set for ACGT?

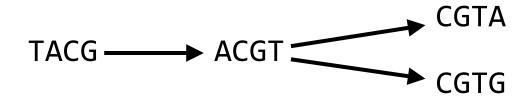
One byte can represent the 4 incoming edges and the 4 outgoing edges:



Representing de Bruijn graphs



How can we compactly represent the edge set for ACGT?



Alternatively, only store *k*-mers and perform set membership queries

$$S = \{ TACGT, ACGTA, ACGTG \}$$

Requires O(IΣI) queries for each vertex to traverse graph

Set-based dBG



de Bruijn graph representation problem: how much memory is required to represent a set of k-mers, S?

S = { ACGT, CCGT, CGTT, GTTA, GTTC, TACG, TCGA, TTAC, TTCG }

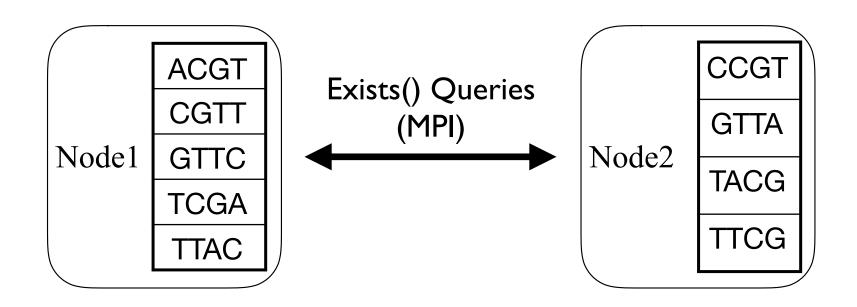
Distributed hash table dBG



One approach: represent S in a distributed hash table, use MPI to implement edge queries

330GB of memory distributed to assemble a human genome

S = { ACGT, CCGT, CGTT, GTTA, GTTC, TACG TCGA, TTAC, TTCG }

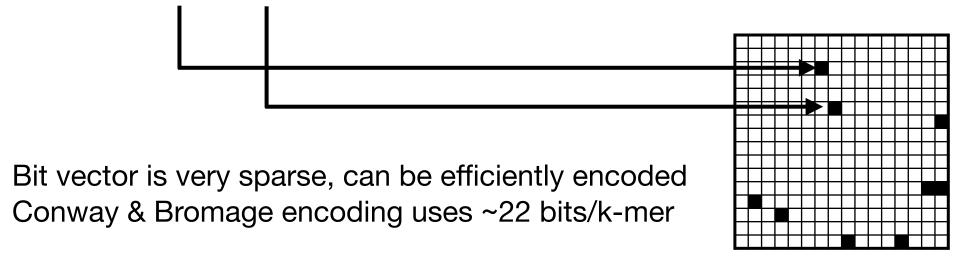


Sparse Bit Vector dBG



Conway and Bromage (2011) represent S using a sparse bit vector

Map each k-mer to a number in $[0, 4^k)$, set the corresponding bit $S = \{ ACGT, CCGT, CGTT, GTTA, GTTC, TACG TCGA, TTAC, TTCG \}$



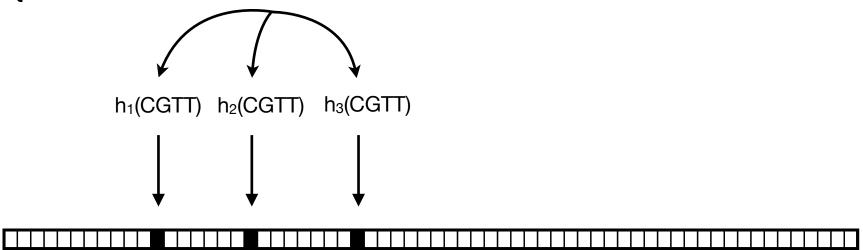
Bloom Filter dBG



Alternative: encode S using a bloom filter (Melsted and Pritchard 2011, Pell et all 2011)

Hash each *k*-mer *h* times, set *h* bits in a bit vector of size *n*

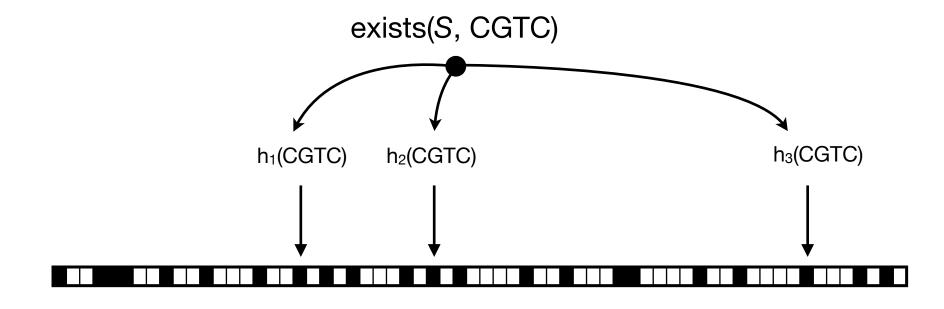
S = { ACGT, CCGT, CGTT, GTTA, GTTC, TACG TCGA, TTAC, TTCG }



Bloom Filter dBG



To query whether a *k*-mer is in *S*, use the same hash functions and check whether all bits are set.



Bloom filter may return *false positives* - reports that an item is present when it was never added. It won't report false negatives however.

False positive rate is tuneable by *n* and the number of hash functions *h*

Bloom Filter dBG



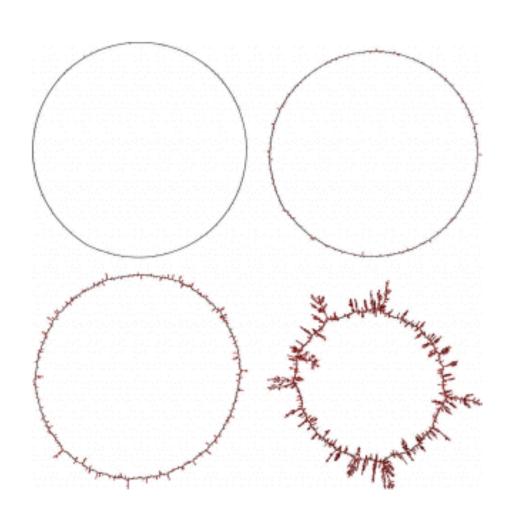


Table 1. Bits per k-mer for various false positive rates

False positive rate	Bits/k-mer
0.1%	14.35
1%	9.54
5%	6.22
10%	4.78
15%	3.94
20%	3.34

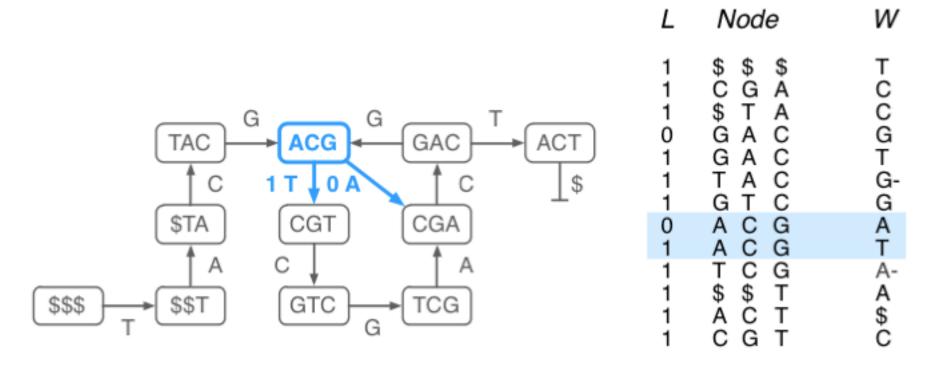




The FM-index can be adapted to efficiently representing sets of fixed length strings. Two methods:

Bowe et al: "Succinct de Bruijn graphs" (5 bits per k-mer)

Rødland: "kFM-index" (6 bits per k-mer)



Recommended: http://alexbowe.com/succinct-debruijn-graphs/

de Bruijn Graph Representation Summary



- Pointer based: >100 bits per *k*-mer (2007)
- (distributed) hash set: 100 bits (2008)
- Sparse bit vector: 22 bits (2010)
- Bloom filter: 5-10 bits (2011)
- FM-index of reads: 25 bits (2012)
- Succinct dBG/kFM-index: 5 or 6 bits (2013)

>20X improvement in memory use in 5 years of development Most new assemblers are based on bloom filters - easy to code, fast queries, adaptable performance (see ABySS 2.0 Biorxiv)

Summary



- Fast to construct de Bruijn graph
- Memory depends on error rate and sequence depth
 - Can error correct to reduce # vertices
 - Can represent as set in small memory
- Use cleaning algorithms to get rid of errors
- Dominant assembly paradigm for Illumina reads
- Overlap-based methods are popular again for long reads (PacBio, Oxford Nanopore)