

Recent advances in the In-Medium SRG

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May 20th, 2015



Outline

- Brief review of In-Medium SRG
 - Closed/Open Shell results
 - Challenges to meet
- Magnus Expansion
 - Computational Efficiency
 - Effective Observables
 - Approximations to 3 body induced effects

Basic Concept

continuous unitary transformation of the Hamiltonian to band-diagonal form w.r.t. a given “uncorrelated” many-body basis

- flow equation for Hamiltonian $H(s) = U(s)HU^\dagger(s)$:

$$\frac{d}{ds}H(s) = [\eta(s), H(s)], \quad \eta(s) = \frac{dU(s)}{ds}U^\dagger(s) = -\eta^\dagger(s)$$

- choose $\eta(s)$ to achieve desired behavior, e.g.,

$$\eta(s) = [H_d(s), H_{od}(s)]$$

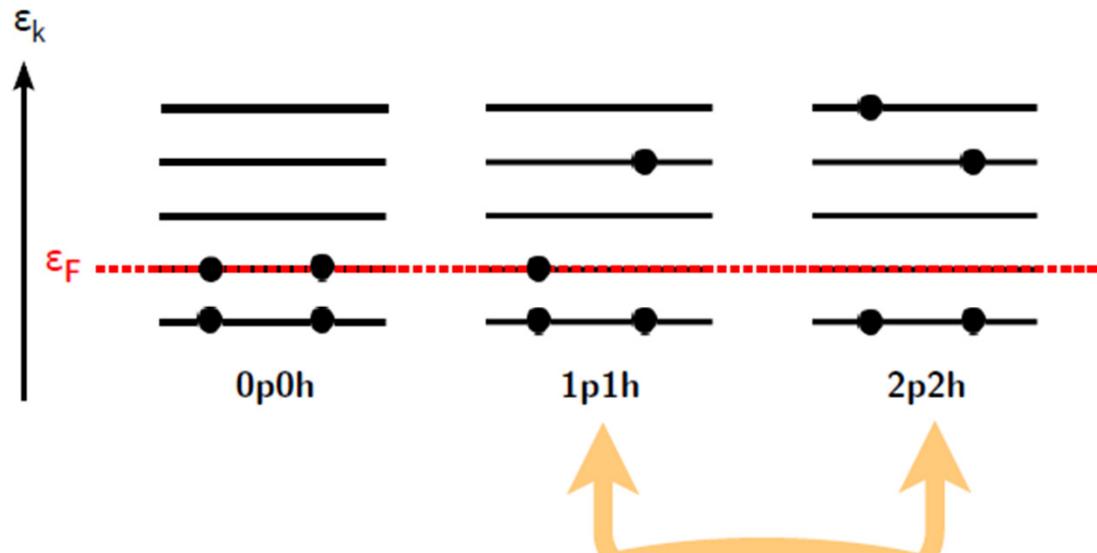
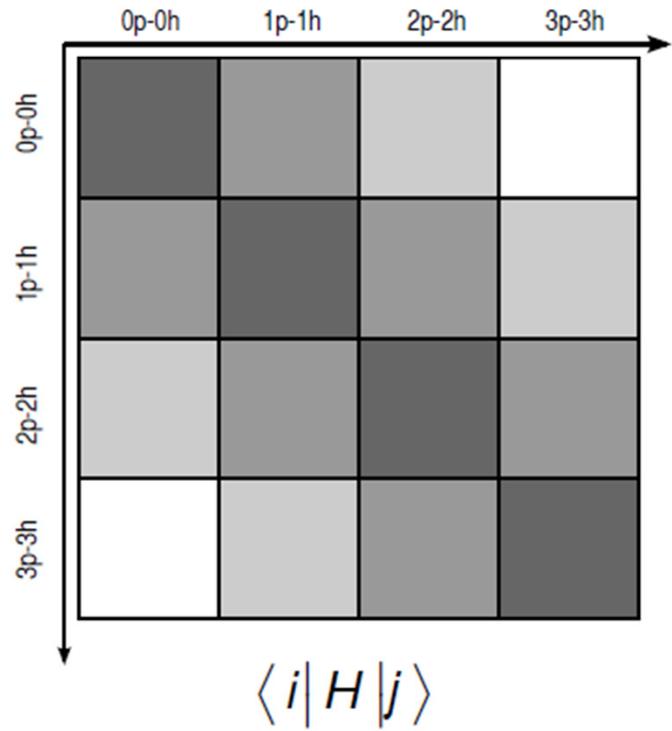
to suppress (suitably defined) off-diagonal Hamiltonian

- consistent evolution for all observables of interest

In-Medium SRG

- S. K. Bogner, H. H., T. Morris, A. Schwenk, and K. Tsukiyama, to appear in Phys. Rept.
H. H., S. K. Bogner, S. Binder, A. Calci, J. Langhammer, R. Roth, and A. Schwenk,
Phys. Rev. C **87**, 034307 (2013)
K. Tsukiyama, S. K. Bogner, and A. Schwenk, Phys. Rev. Lett. **106**, 222502 (2011)

Decoupling in A-Body Space



excitations relative
to reference state:
→ normal-ordering

Normal Ordering

- second quantization: $A_{I_1 \dots I_N}^{k_1 \dots k_N} = a_{k_1}^\dagger \dots a_{k_N}^\dagger a_{I_N} \dots a_{I_1}$
- particle- and hole density matrices:

$$\lambda_I^k = \langle \Phi | A_I^k | \Phi \rangle \longrightarrow n_k \delta_I^k, \quad n_k \in \{0, 1\}$$

$$\xi_I^k = \lambda_I^k - \delta_I^k \longrightarrow -\bar{n}_k \delta_I^k \equiv -(1 - n_k) \delta_I^k$$

- define normal-ordered operators recursively:

$$A_{I_1 \dots I_N}^{k_1 \dots k_N} = : A_{I_1 \dots I_N}^{k_1 \dots k_N} : + \lambda_{I_1}^{k_1} : A_{I_2 \dots I_N}^{k_2 \dots k_N} : + \text{singles} \\ + \left(\lambda_{I_1}^{k_1} \lambda_{I_2}^{k_2} - \lambda_{I_2}^{k_1} \lambda_{I_1}^{k_2} \right) : A_{I_3 \dots I_N}^{k_3 \dots k_N} : + \text{doubles} + \dots$$

- algebra is simplified significantly because

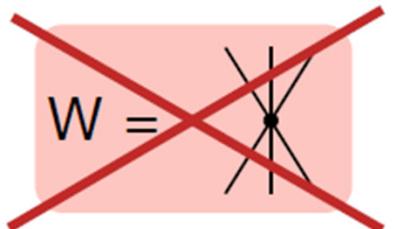
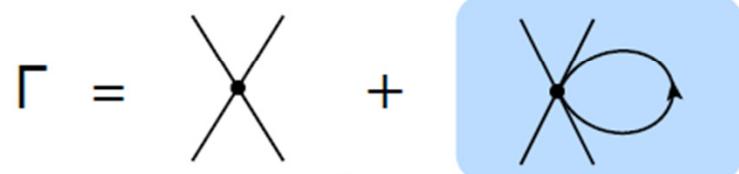
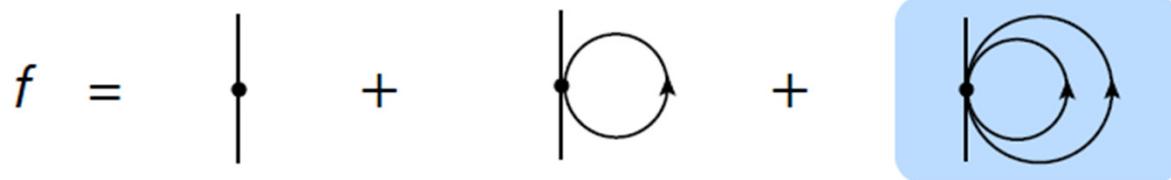
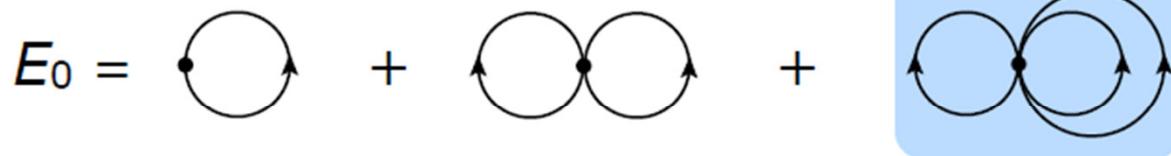
$$\langle \Phi | : A_{I_1 \dots I_N}^{k_1 \dots k_N} : | \Phi \rangle = 0$$

- Wick's theorem gives simplified expansions (fewer terms!) for products of normal-ordered operators

Normal-Ordered Hamiltonian

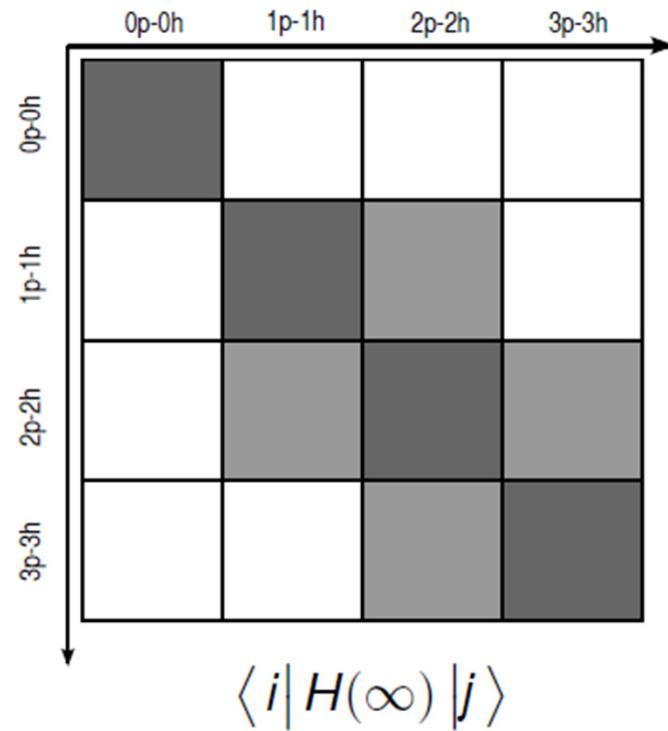
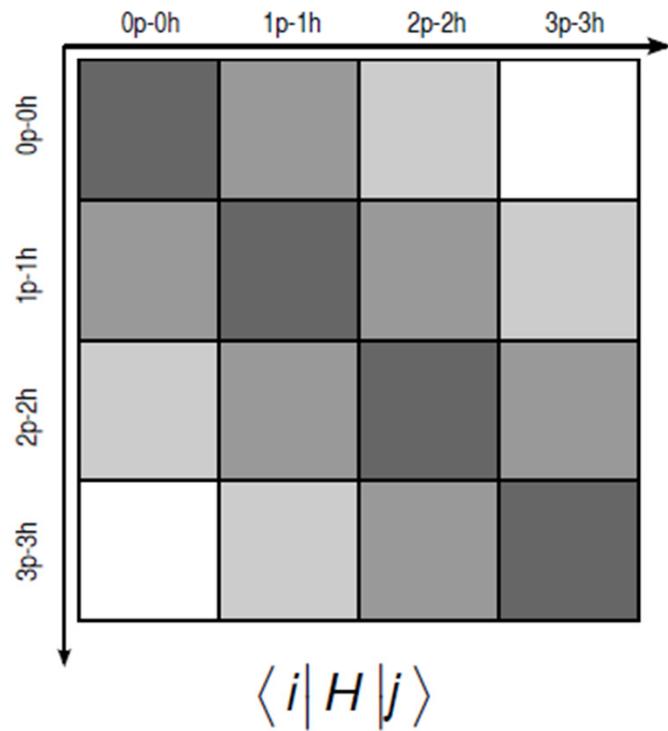
Normal-Ordered Hamiltonian

$$H = E_0 + \sum_{kl} f_I^k : A_I^k : + \frac{1}{4} \sum_{klmn} \Gamma_{mn}^{kl} : A_{mn}^{kl} : + \frac{1}{36} \sum_{ijklmn} W_{lmn}^{ijk} : A_{lmn}^{ijk} :$$



two-body formalism with
in-medium contributions from
three-body interactions

Decoupling in A-Body Space



aim: decouple reference state $|\phi\rangle$
(0p-0h) from excitations

Choice of Generator



- Wegner:

$$\eta^I = [H_d, H_{od}]$$

- White: (J. Chem. Phys. 117, 7472)

$$\eta^{II} = \sum_{ph} \frac{f_h^p}{\Delta_h^p} : A_h^p : + \frac{1}{4} \sum_{pp'hh'} \frac{\Gamma_{hh'}^{pp'}}{\Delta_{hh'}^{pp'}} : A_{hh'}^{pp'} : - \text{H.c.}$$

$\Delta_h^p, \Delta_{hh'}^{pp'} :$ approx. 1p1h, 2p2h excitation energies

- “imaginary time”: (Morris, Bogner)

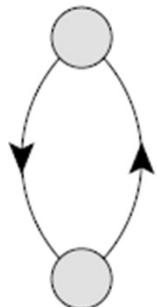
$$\eta^{III} = \sum_{ph} \text{sgn}(\Delta_h^p) f_h^p : A_h^p : + \frac{1}{4} \sum_{pp'hh'} \text{sgn}(\Delta_{hh'}^{pp'}) \Gamma_{hh'}^{pp'} : A_{hh'}^{pp'} : - \text{H.c.}$$

- off-diagonal matrix elements are suppressed like $e^{-\Delta^2 s}$ (Wegner), e^{-s} (White), and $e^{-|\Delta|s}$ (imaginary time)
- g.s. energies ($s \rightarrow \infty$) differ by $\ll 1\%$

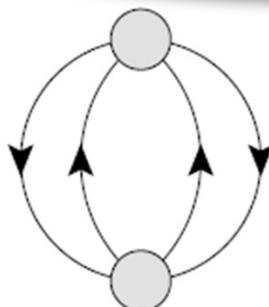
IM-SRG(2) Flow Equations

0-body Flow

$$\frac{dE}{ds} =$$



+



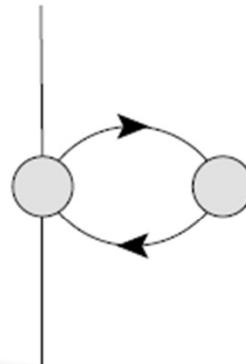
~ 2nd order MBPT for $H(s)$

1-body Flow

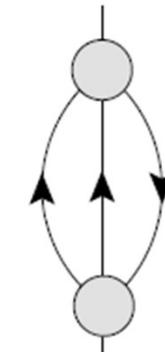
$$\frac{df}{ds} =$$



+



+



+

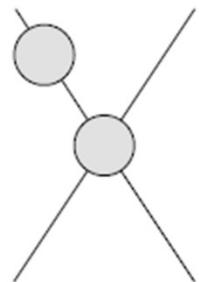


IM-SRG(2): truncate ops.
at two-body level

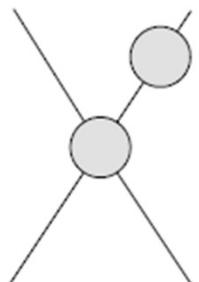
IM-SRG(2) Flow Equations

2-body Flow

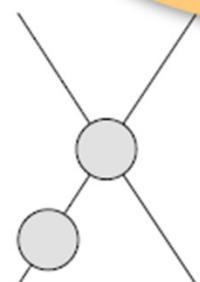
$$\frac{d\Gamma}{ds} =$$



+



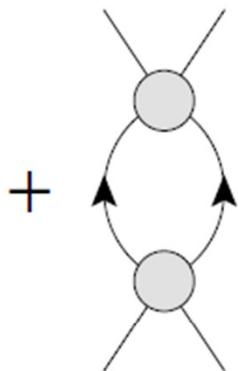
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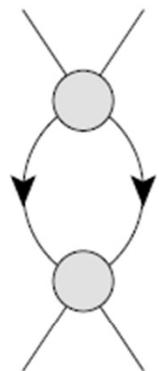
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$O(N^6)$ scaling

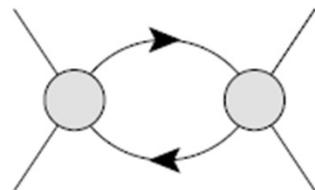
(before particle/hole distinction)



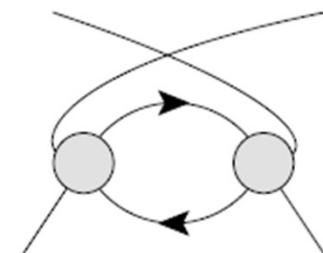
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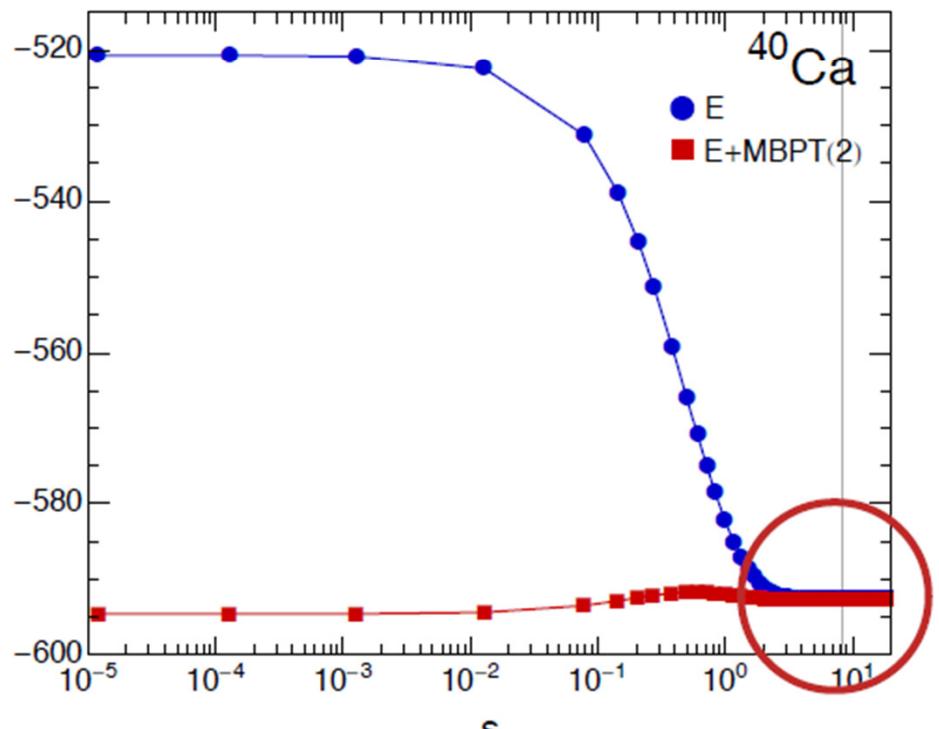
s channel

ladders

t channel

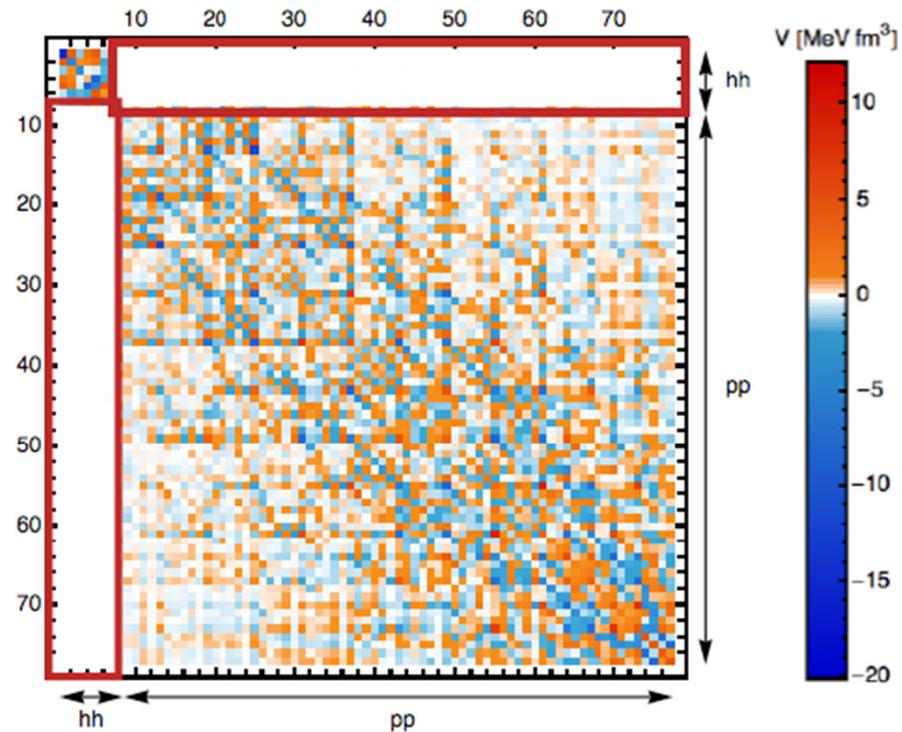
rings

Decoupling



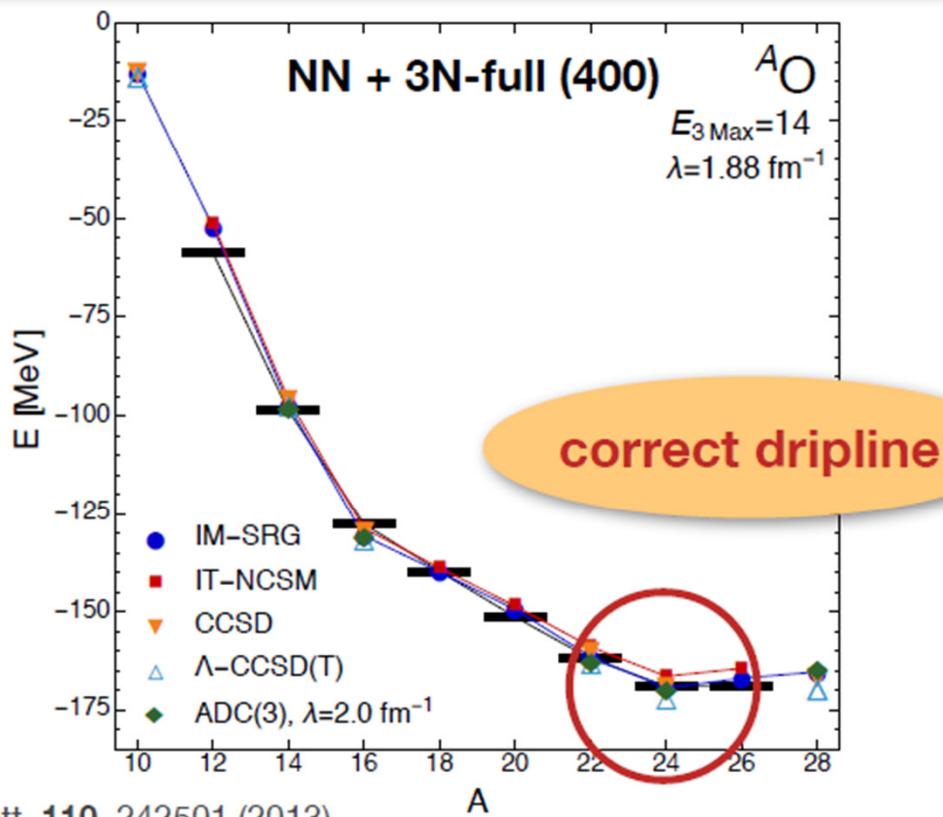
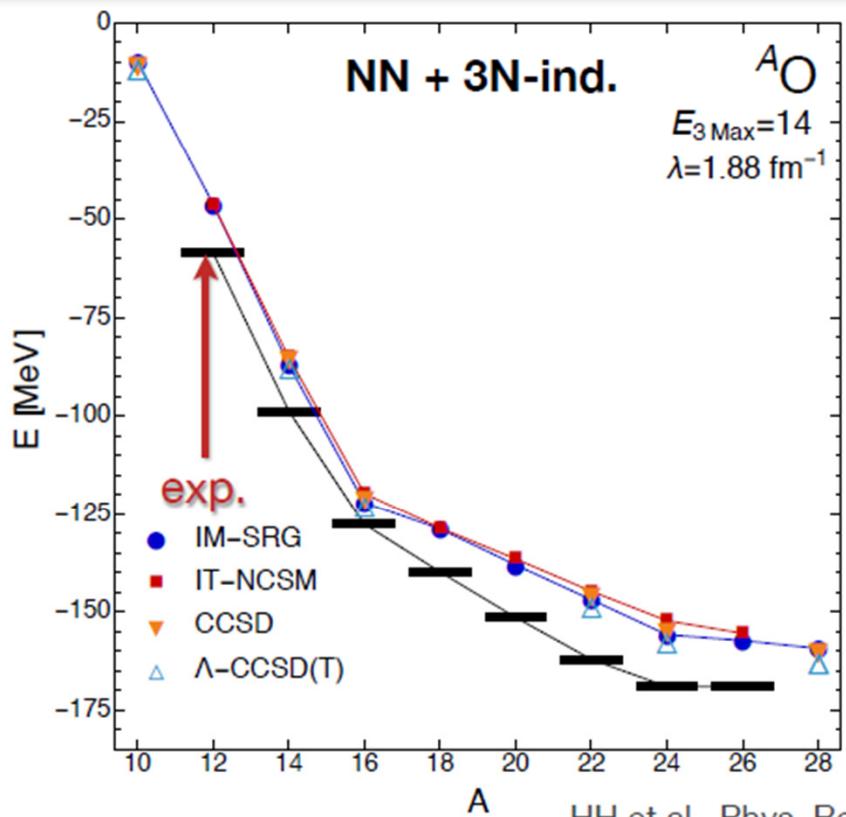
N3LO, $\lambda = 2.0 \text{ fm}^{-1}$, $e_{\text{Max}} = 8$

non-perturbative
resummation of MBPT series
(correlations)



off-diagonal couplings
are rapidly driven to zero

Results: Oxygen Chain



- Multi-Reference IM-SRG with number-projected Hartree-Fock-Bogoliubov as reference state (**pairing correlations**)
- consistent results from different many-body methods

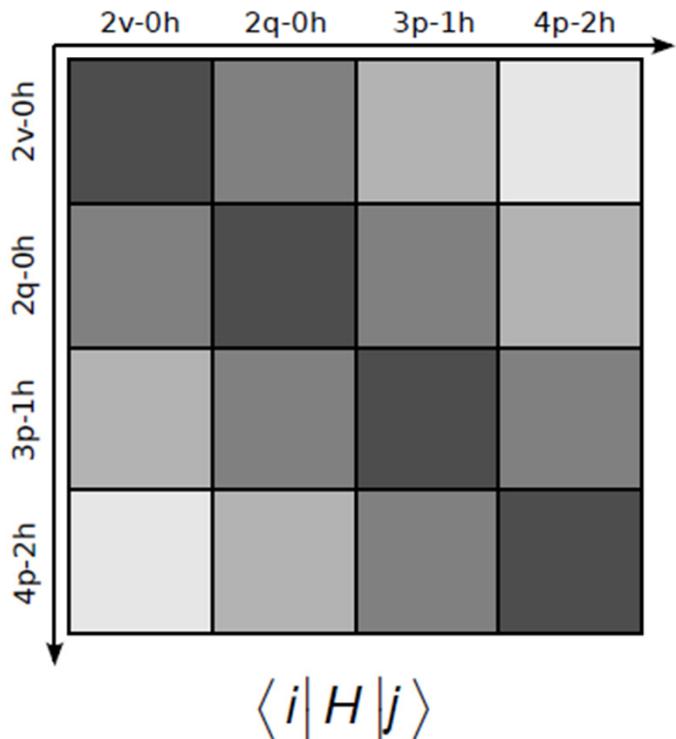
IM-SRG Interactions for the Shell Model

S. K. Bogner, H. H., J. D. Holt, A. Schwenk, in preparation

S. K. Bogner, H. H., J. D. Holt, A. Schwenk, S. Binder, A. Calci, J. Langhammer, R. Roth,
Phys. Rev. Lett. 113, 142501 (2014)

K. Tsukiyama, S. K. Bogner, and A. Schwenk, Phys. Rev. C 85, 061304(R) (2012)

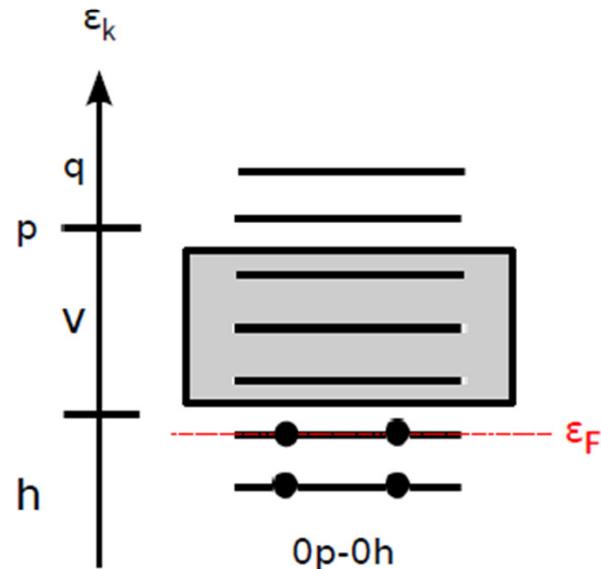
Valence Space Decoupling



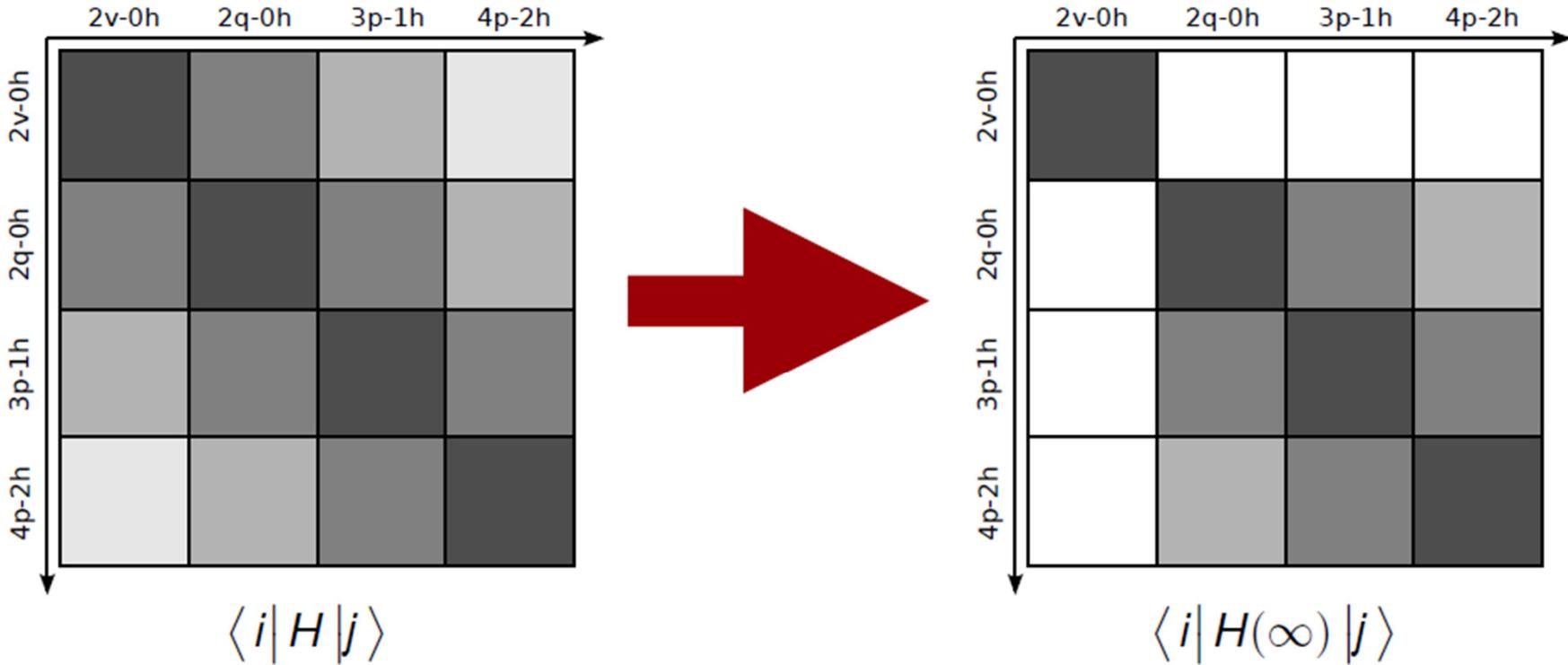
non-valence
particle states

valence
particle states

hole states
(core)



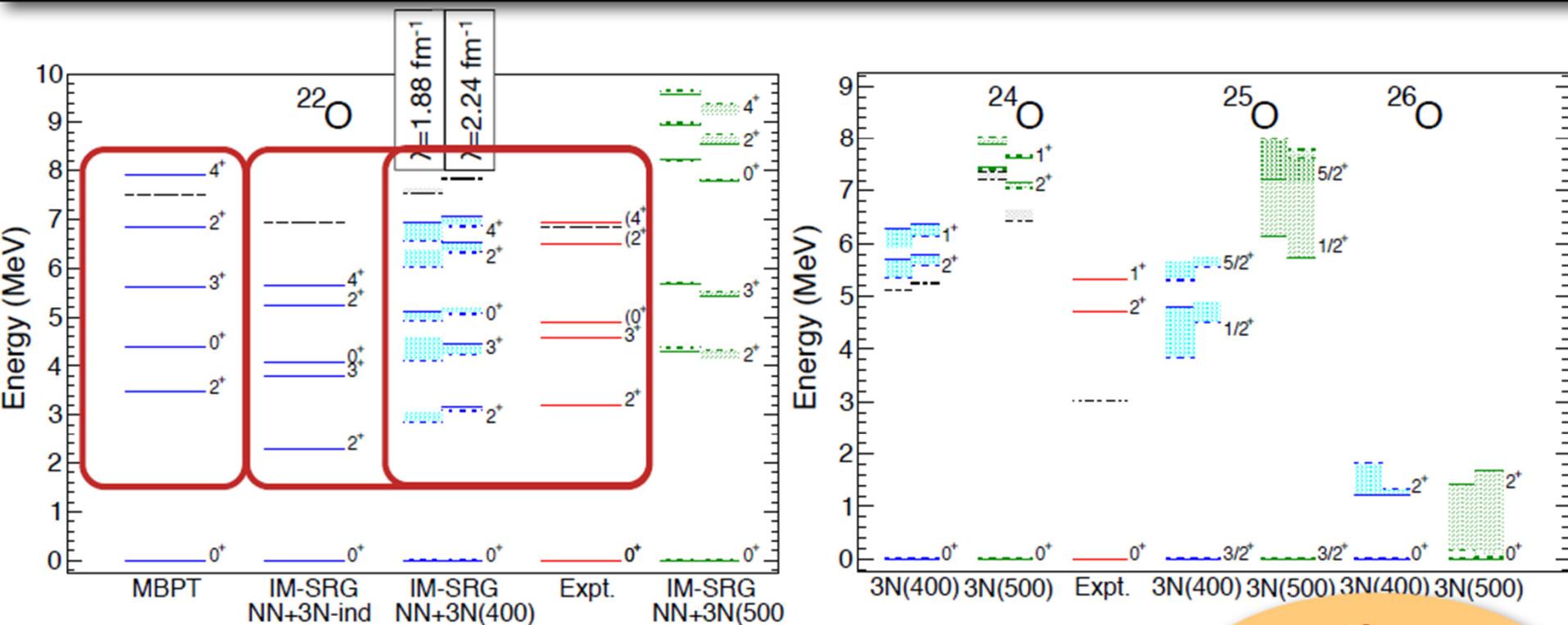
Valence Space Decoupling



- construct generator from off-diagonal Hamiltonian

$$\{H^{od}\} = \{f_{h'}^h, f_{p'}^p, f_h^p, f_v^q, \Gamma_{hh'}^{pp'}, \Gamma_{hv}^{pp'}, \Gamma_{vv'}^{pq}\} \& \text{H.c.}$$

From Oxygen...



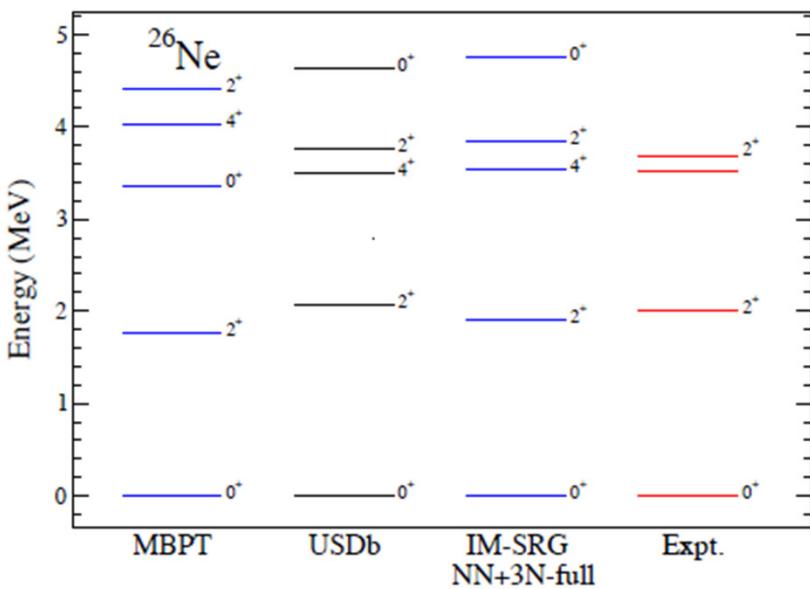
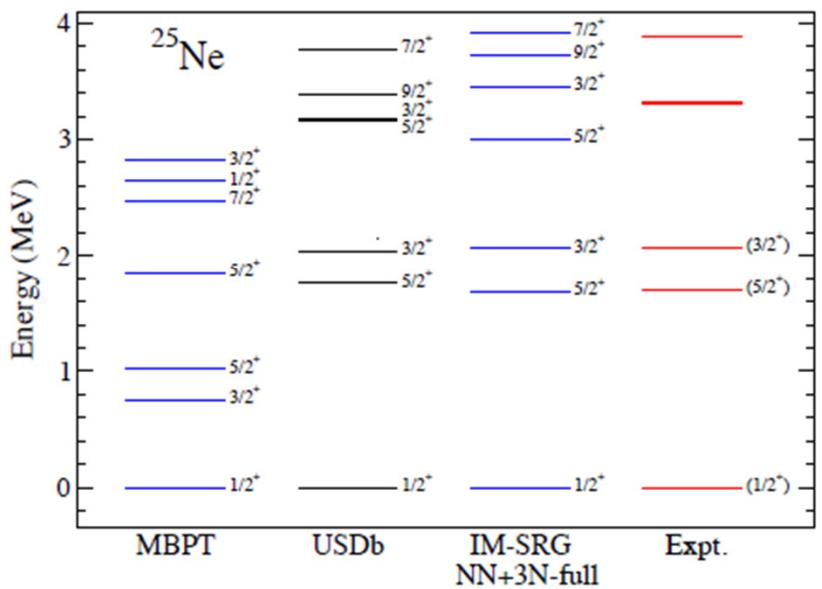
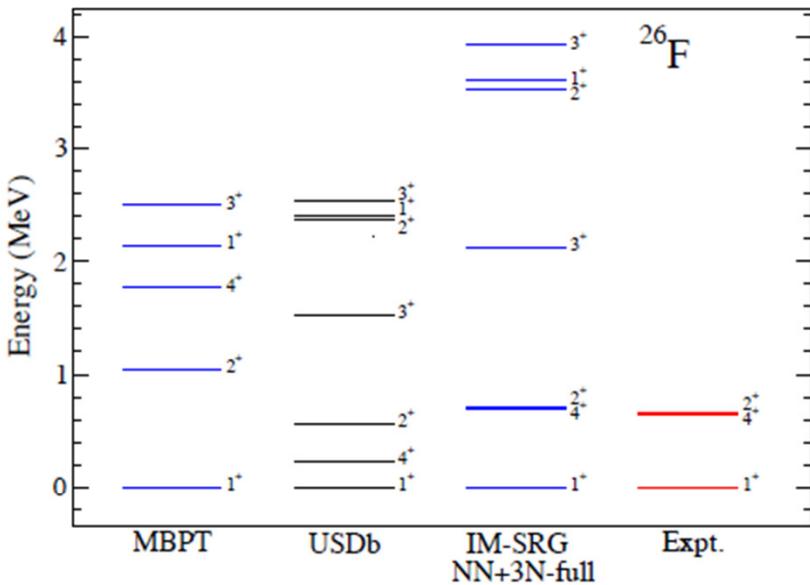
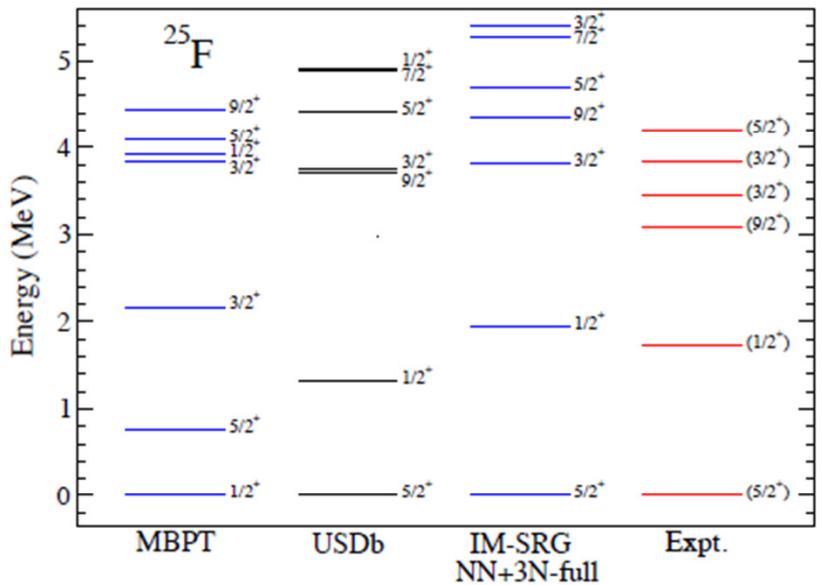
shading: $\hbar\Omega$ variation

Phys. Rev. Lett. 113, 142501 (2014)

continuum
lowers states
by <1 MeV

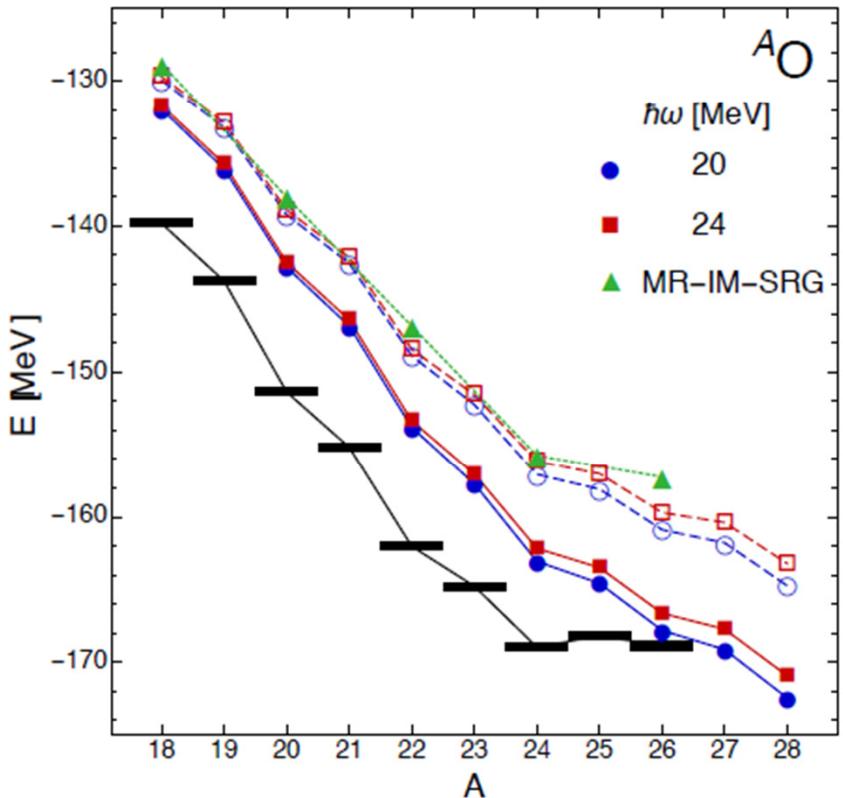
- **3N forces crucial**
- IM-SRG improves on finite-order MBPT effective interaction
- competitive with phenomenological calculations

... Into the sd-Shell...

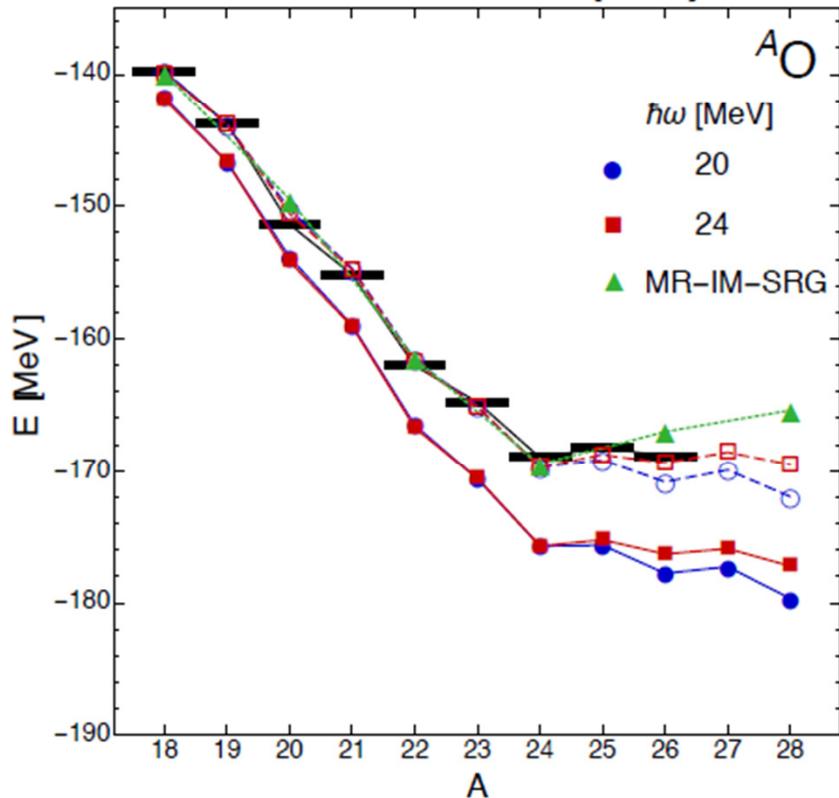


Open Issue

NN + 3N-induced



NN + 3N-full(400)



- looks like simple shift: $\Delta E \approx \frac{A_v}{A} \cdot \text{const.}$...
- ... but it's more complicated; take more information on target into account (occupation of states, etc.) ?

Challenges within IM-SRG(2)



- Evolving H is technical and expensive itself
- Consistent but expensive evolution of observables

$$\frac{d}{ds} O = [\eta, O]$$

- No handle on induced 3-(higher body) forces

Magnus expansion within the IM-SRG

T.D.M., N. Parzuchowski, S.K. Bogner, in preparation
W. Magnus. *Comm. Pure and Appl. Math.*, VII:649–673, 1954.
F. Evangelista. *J. Chem. Phys.* **141**, 054109 (2014)

Basic Concept

continuous unitary transformation of the Hamiltonian to band-diagonal form w.r.t. a given “uncorrelated” many-body basis

- flow equation for Hamiltonian $H(s) = U(s)HU^\dagger(s)$:

$$\frac{d}{ds}H(s) = [\eta(s), H(s)], \quad \eta(s) = \frac{dU(s)}{ds}U^\dagger(s) = -\eta^\dagger(s)$$

- choose $\eta(s)$ to achieve desired behavior, e.g.,

$$\eta(s) = [H_d(s), H_{od}(s)]$$

to suppress (suitably defined) off-diagonal Hamiltonian

- consistent evolution for all observables of interest

SRG Unitary Transformation

$$\begin{aligned}
 \frac{dU_s}{ds} = \eta_s U_s \quad \Rightarrow \quad U_s &= \mathcal{S} \exp \left(\int_0^s \eta_{s'} ds' \right) \\
 &= 1 + \int_0^s \eta_{s'} ds' + \int_0^s \eta_{s'} \int_0^{s'} \eta_{s''} ds' ds'' + \dots
 \end{aligned}$$

SRG Unitary Transformation



$$\begin{aligned}\frac{dU_s}{ds} = \eta_s U_s \quad \Rightarrow \quad U_s &= \mathcal{S} \exp \left(\int_0^s \eta_{s'} ds' \right) \\ &= 1 + \int_0^s \eta_{s'} ds' + \int_0^s \eta_{s'} \int_0^{s'} \eta_{s''} ds' ds'' + \dots\end{aligned}$$

Kehrein calls attempting to form U “both difficult and not helpful.”

SRG Unitary Transformation



Magnus Expansion W. Magnus. *Comm. Pure and Appl. Math.*, VII:649–673, 1954.

$$\begin{aligned} U_s &= \exp(\Omega_s) \\ \frac{d\Omega_s}{ds} &= \eta_s + \frac{1}{2}[\Omega_s, \eta_s] + \frac{1}{12} [\Omega_s, [\Omega_s, \eta_s]] + \dots \equiv \sum_{k=0}^{\infty} \frac{B_k}{k!} ad_{\Omega_s}^k(\eta_s) \end{aligned}$$

$$ad_{\Omega}^k(\eta) = [\Omega, ad_{\Omega}^{k-1}(\eta)] \quad B_k = \text{Bernoulli numbers}$$

SRG Unitary Transformation



Magnus Expansion W. Magnus. *Comm. Pure and Appl. Math.*, VII:649–673, 1954.

$$\begin{aligned} U_s &= \exp(\Omega_s) \\ \frac{d\Omega_s}{ds} &= \eta_s + \frac{1}{2}[\Omega_s, \eta_s] + \frac{1}{12}[\Omega_s, [\Omega_s, \eta_s]] + \dots \equiv \sum_{k=0}^{\infty} \frac{B_k}{k!} ad_{\Omega_s}^k(\eta_s) \end{aligned}$$

$$ad_{\Omega}^k(\eta) = [\Omega, ad_{\Omega}^{k-1}(\eta)] \quad B_k = \text{Bernoulli numbers}$$



$$\begin{aligned} H_s &= \exp(\Omega_s)H\exp(-\Omega_s) = H + [\Omega_s, H] + \frac{1}{2}[\Omega_s, [\Omega_s, H]] + \dots \\ O_s &= \exp(\Omega_s)O\exp(-\Omega_s) = O + [\Omega_s, O] + \frac{1}{2}[\Omega_s, [\Omega_s, O]] + \dots \end{aligned}$$

SRG Unitary Transformation

H_s, η_s, Ω_s truncated to N-ordered 2-body terms

$$\frac{d\Omega_s}{ds} = \eta_s + \frac{1}{2}[\Omega_s, \eta_s]_{2B} + \frac{1}{12}[\Omega_s, [\Omega_s, \eta_s]_{2B}]_{2B} + \dots$$

$$H_s = H + [\Omega_s, H]_{2B} + \frac{1}{2}[\Omega_s, [\Omega_s, H]_{2B}]_{2B} + \dots$$

SRG Unitary Transformation



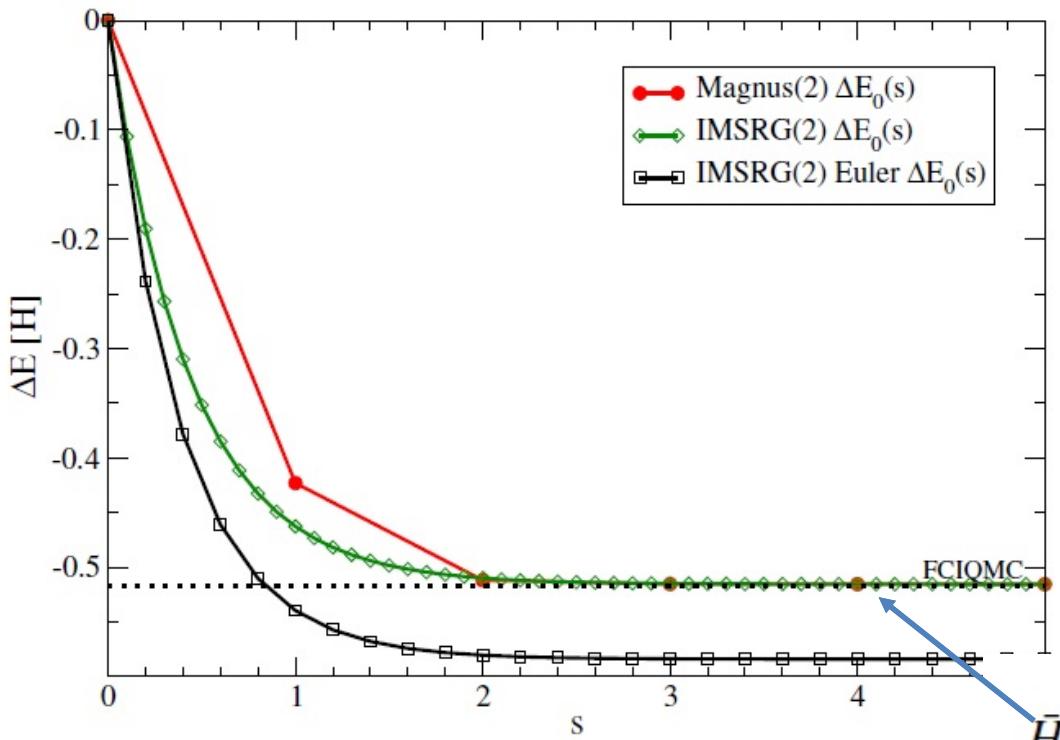
H_s, η_s, Ω_s truncated to N-ordered 2-body terms

$$\frac{d\Omega_s}{ds} = \eta_s + \frac{1}{2}[\Omega_s, \eta_s]_{2B} + \frac{1}{12}[\Omega_s, [\Omega_s, \eta_s]_{2B}]_{2B} + \dots$$

$$H_s = H + [\Omega_s, H]_{2B} + \frac{1}{2}[\Omega_s, [\Omega_s, H]_{2B}]_{2B} + \dots$$

Denote this as Magnus(2) for the remainder of this talk

Magnus(2) PBC Electron Gas



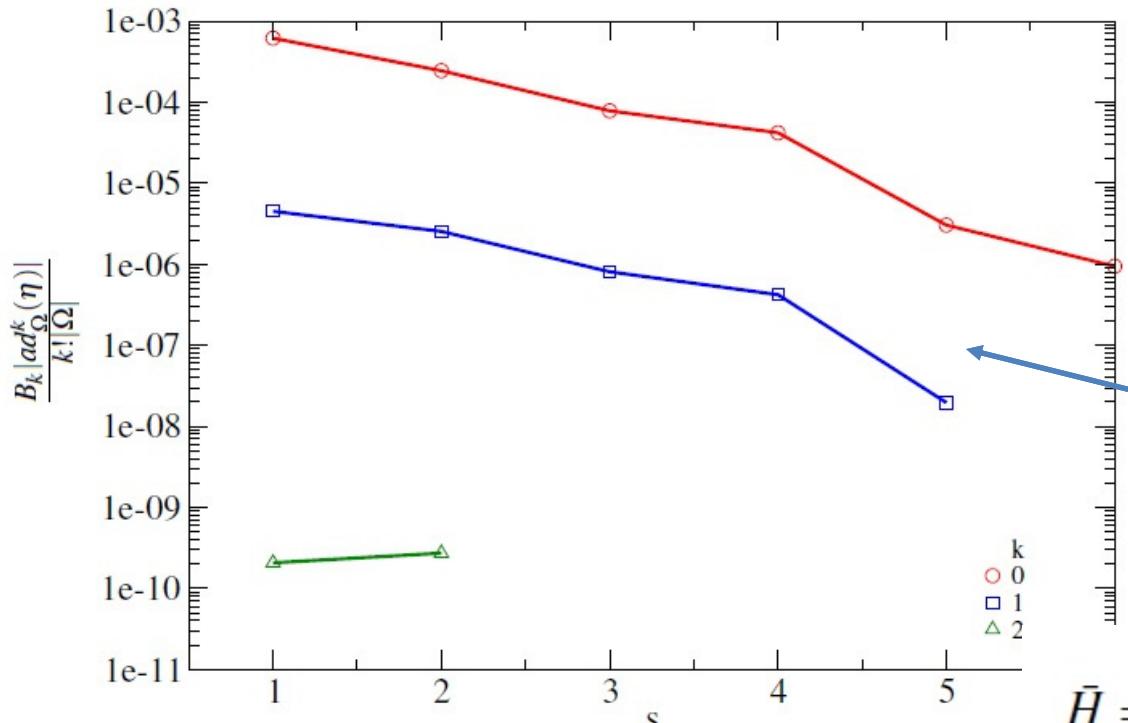
$$\frac{dU^\dagger}{ds} = \eta U^\dagger$$

$$U^\dagger = \exp(\Omega)$$

$$\frac{d\Omega}{ds} = \sum_{k=0}^{\infty} \frac{B_k}{k!} ad_{\Omega}^k(\eta)$$

$$\bar{H} = \exp(\Omega) H \exp(-\Omega) = \sum_{k=0}^{\infty} \frac{1}{k!} ad_{\Omega}^k(H)$$

Magnus(2) PBC Electron Gas



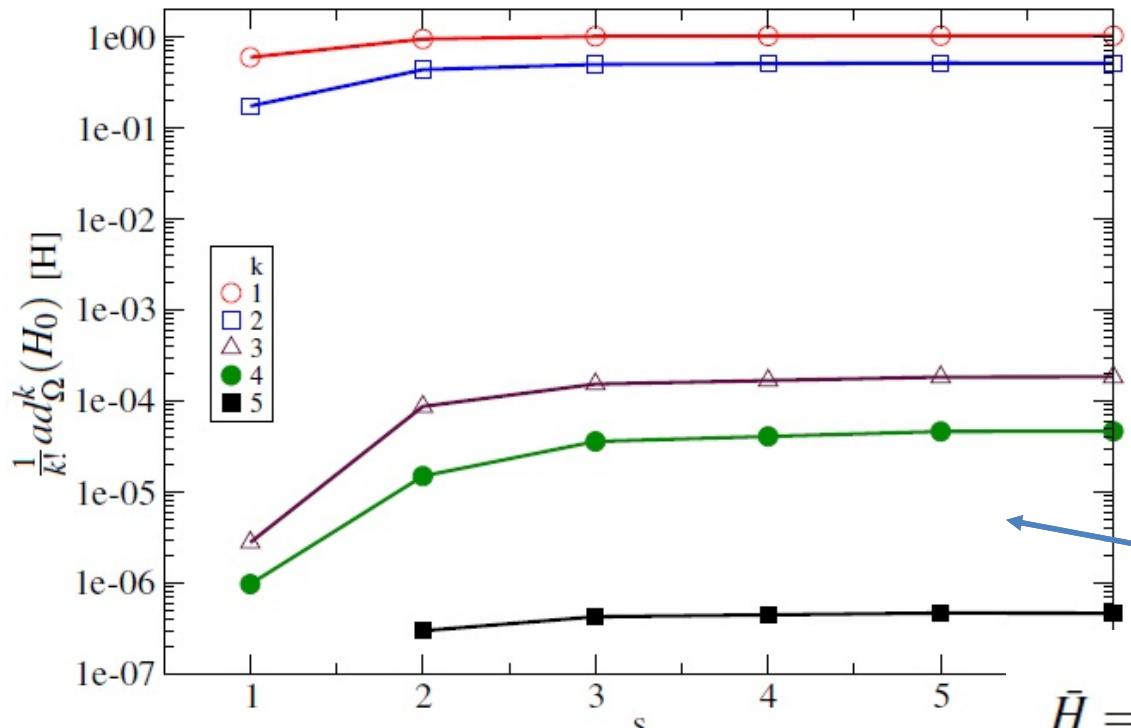
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Magnus(2) PBC Electron Gas



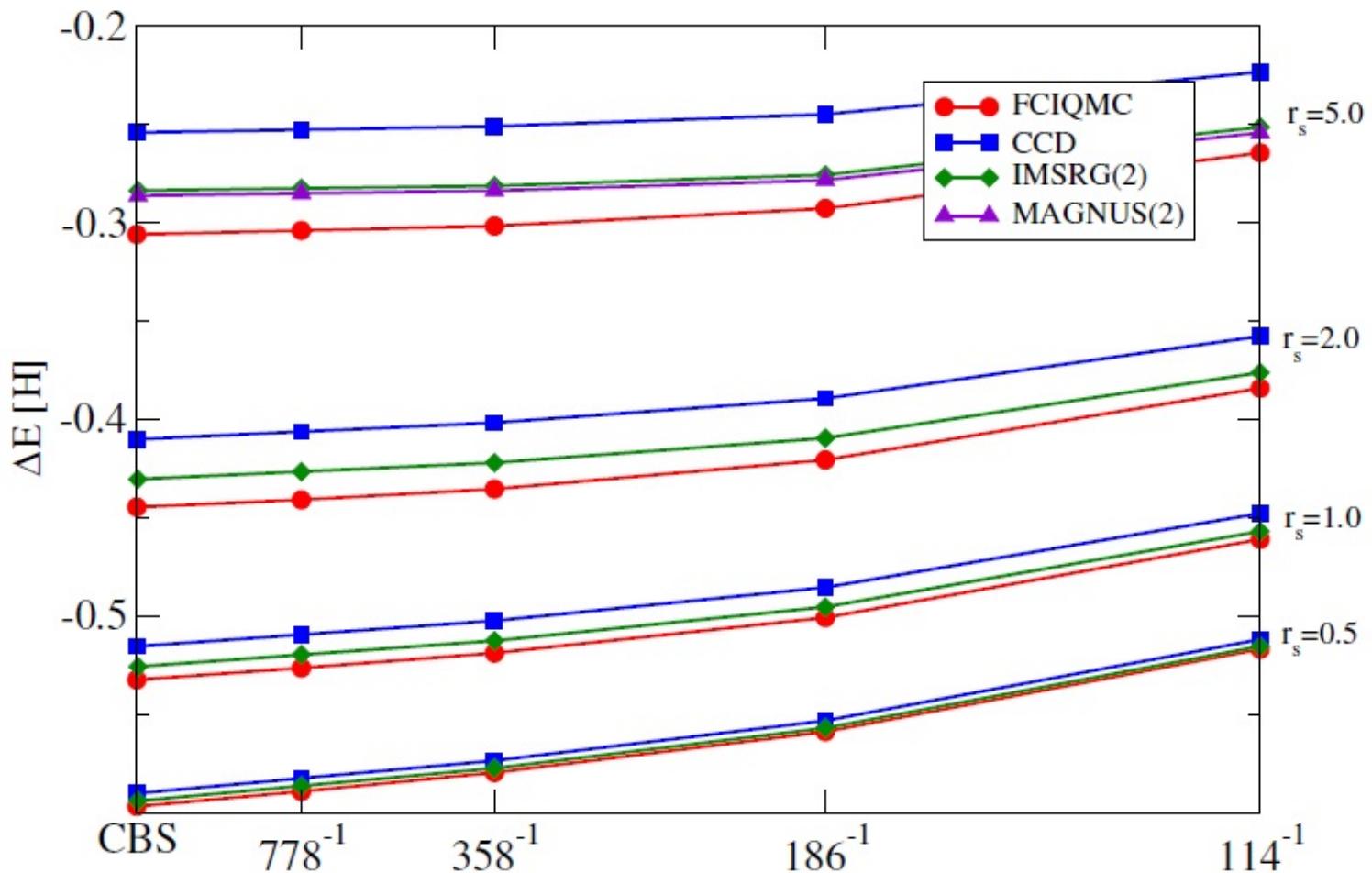
$$\frac{dU^\dagger}{ds} = \eta U^\dagger$$

$$U^\dagger = \exp(\Omega)$$

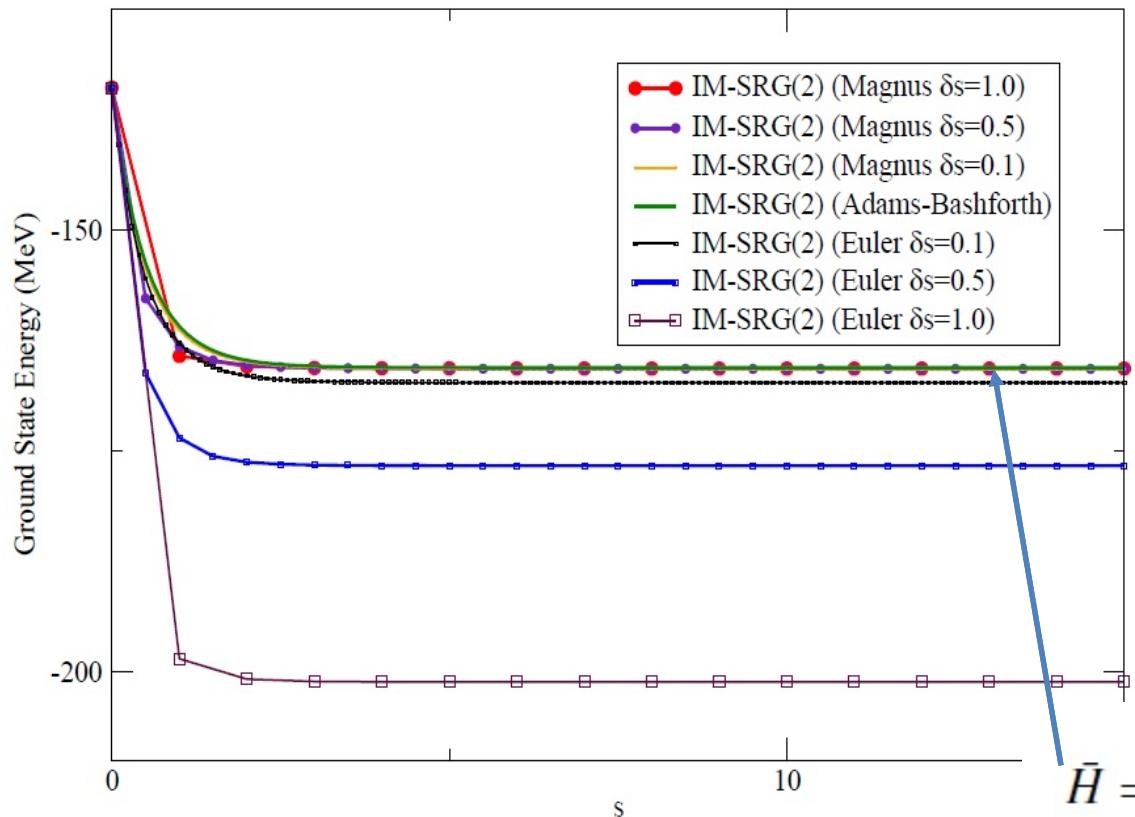
$$\frac{d\Omega}{ds} = \sum_{k=0}^{\infty} \frac{B_k}{k!} ad_\Omega^k(\eta)$$

$$\tilde{H} = \exp(\Omega) H \exp(-\Omega) = \sum_{k=0}^{\infty} \frac{1}{k!} ad_\Omega^k(H)$$

Magnus(2) PBC Electron Gas



Magnus(2) ^{16}O



N3LO E.M. NN $\lambda = 2.0$, $\text{emax}=8$

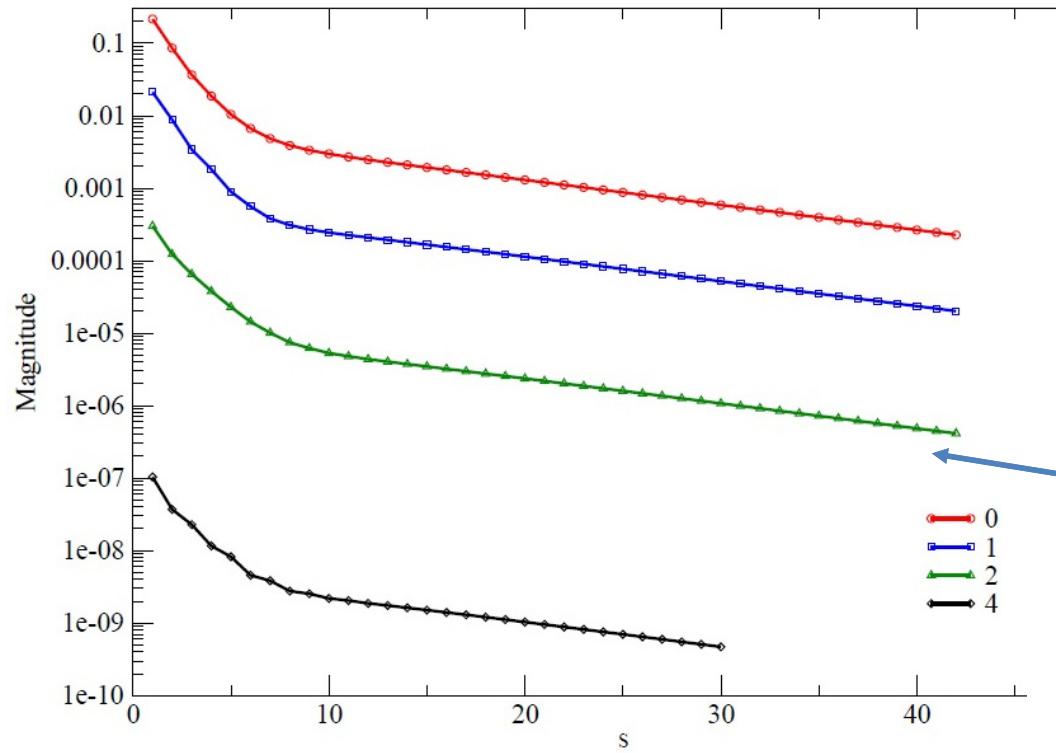
$$\frac{dU^\dagger}{ds} = \eta U^\dagger$$

$$U^\dagger = \exp(\Omega)$$

$$\frac{d\Omega}{ds} = \sum_{k=0}^{\infty} \frac{B_k}{k!} ad_{\Omega}^k(\eta)$$

$$\bar{H} = \exp(\Omega) H \exp(-\Omega) = \sum_{k=0}^{\infty} \frac{1}{k!} ad_{\Omega}^k(H)$$

Magnus(2) ^{16}O



N3LO E.M. NN $\lambda = 2.0$, $e_{\max}=8$



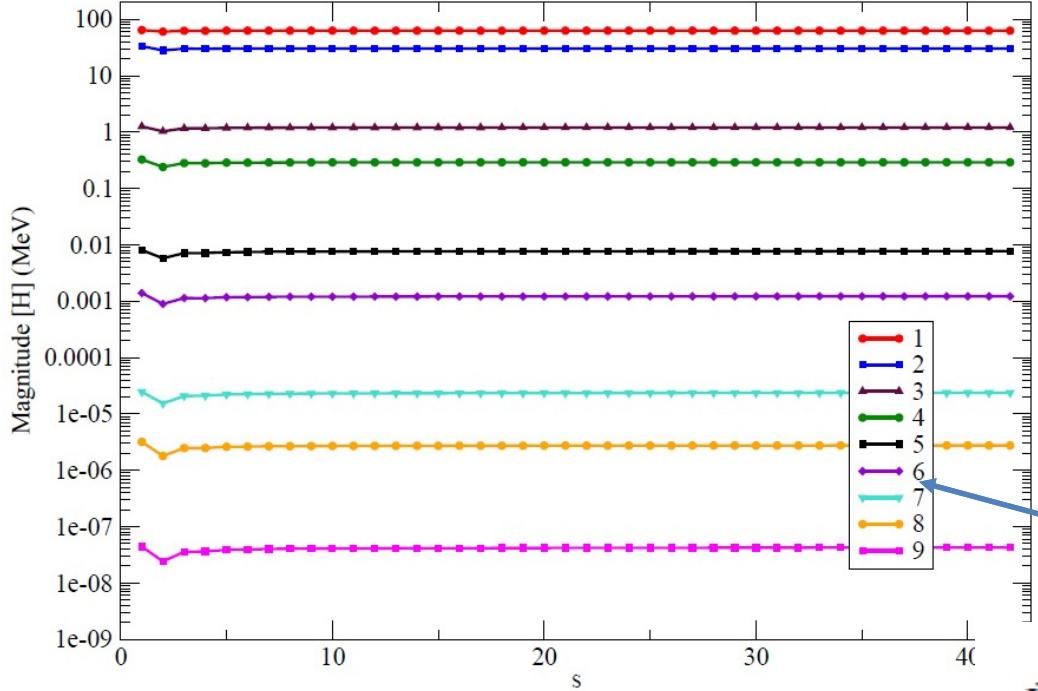
$$\frac{dU^\dagger}{ds} = \eta U^\dagger$$

$$U^\dagger = \exp(\Omega)$$

$$\frac{d\Omega}{ds} = \sum_{k=0}^{\infty} \frac{B_k}{k!} ad_{\Omega}^k(\eta)$$

$$\tilde{H} = \exp(\Omega) H \exp(-\Omega) = \sum_{k=0}^{\infty} \frac{1}{k!} ad_{\Omega}^k(H)$$

Magnus(2) ^{16}O



N3LO E.M. NN $\lambda = 2.0$, $e_{\max}=8$

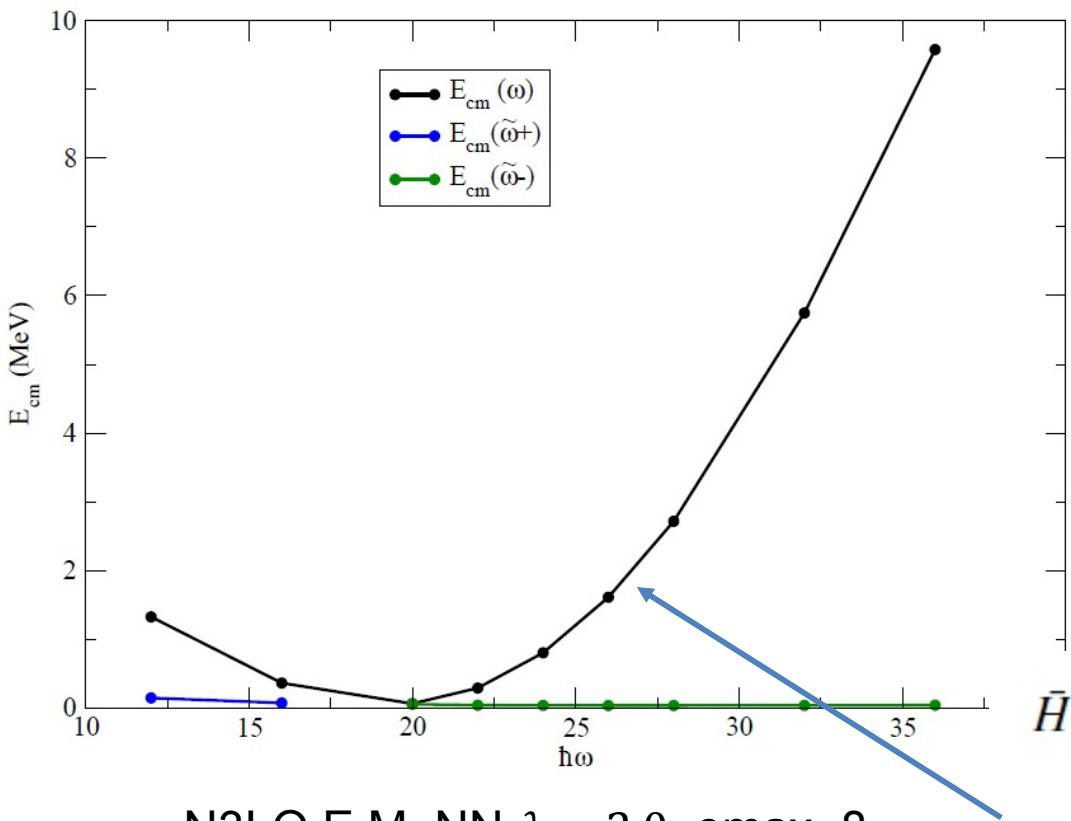
$$\frac{dU^\dagger}{ds} = \eta U^\dagger$$

$$U^\dagger = \exp(\Omega)$$

$$\frac{d\Omega}{ds} = \sum_{k=0}^{\infty} \frac{B_k}{k!} ad_{\Omega}^k(\eta)$$

$$\bar{H} = \exp(\Omega) H \exp(-\Omega) = \sum_{k=0}^{\infty} \frac{1}{k!} ad_{\Omega}^k(H)$$

Magnus(2) ^{16}O C.O.M. Diagnostic



$$\frac{dU^\dagger}{ds} = \eta U^\dagger$$

$$U^\dagger = \exp(\Omega)$$

$$\frac{d\Omega}{ds} = \sum_{k=0}^{\infty} \frac{B_k}{k!} ad_\Omega^k(\eta)$$

$$\bar{H} = \exp(\Omega) H \exp(-\Omega) = \sum_{k=0}^{\infty} \frac{1}{k!} ad_\Omega^k(H)$$

$$\bar{H}_{cm} = \exp(\Omega) H_{cm} \exp(-\Omega) = \sum_{k=0}^{\infty} \frac{1}{k!} ad_\Omega^k(H_{cm})$$

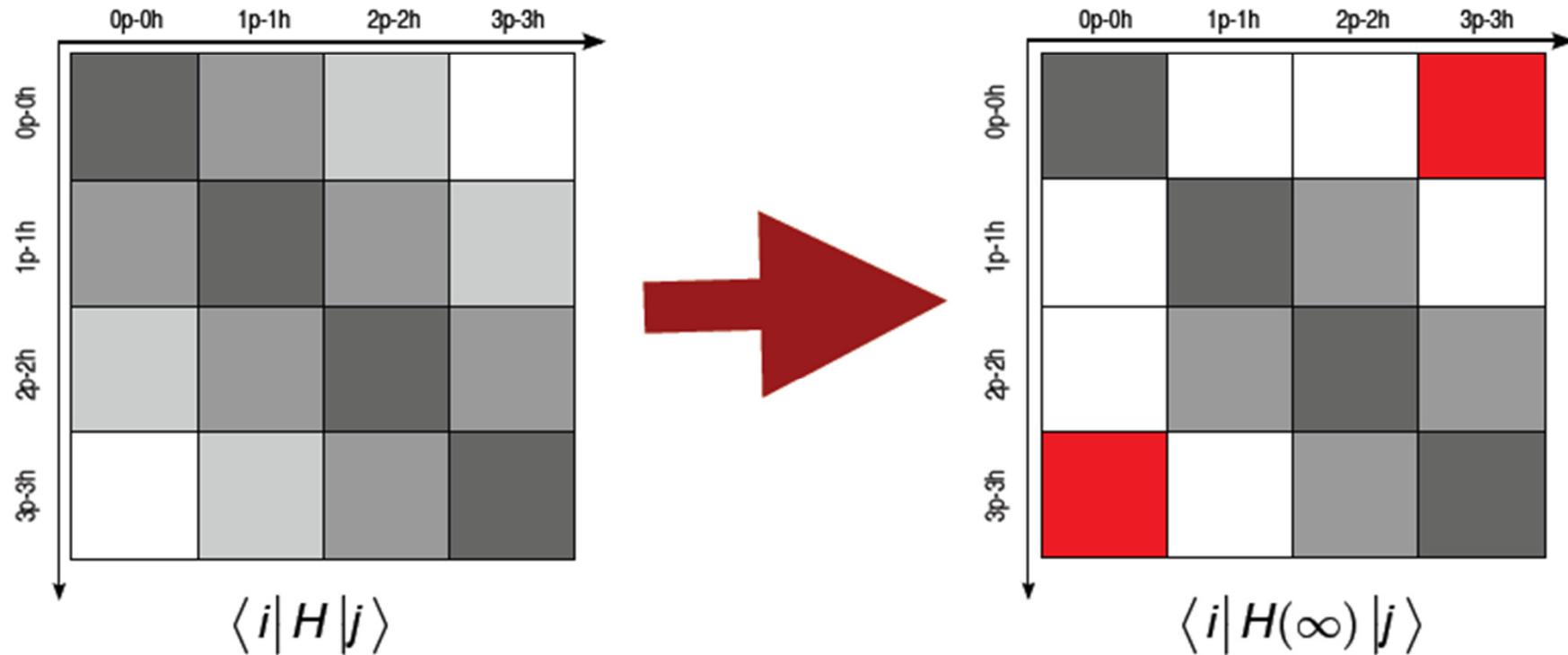
Magnus(2) Observations

- G.S. decoupling, $\Omega \approx T - T^\dagger$
- CPU time, Magnus(2) \leq IMSRG(2)
- $\bar{\theta}$ has similar cost as one timestep
 - Can be done after calculation
 - This is especially useful for Shell Model (R. Stroberg)
- MR-MAGNUS(2) is similarly successful
- Allows for approximation of Magnus(3)

Magnus(2) Observations

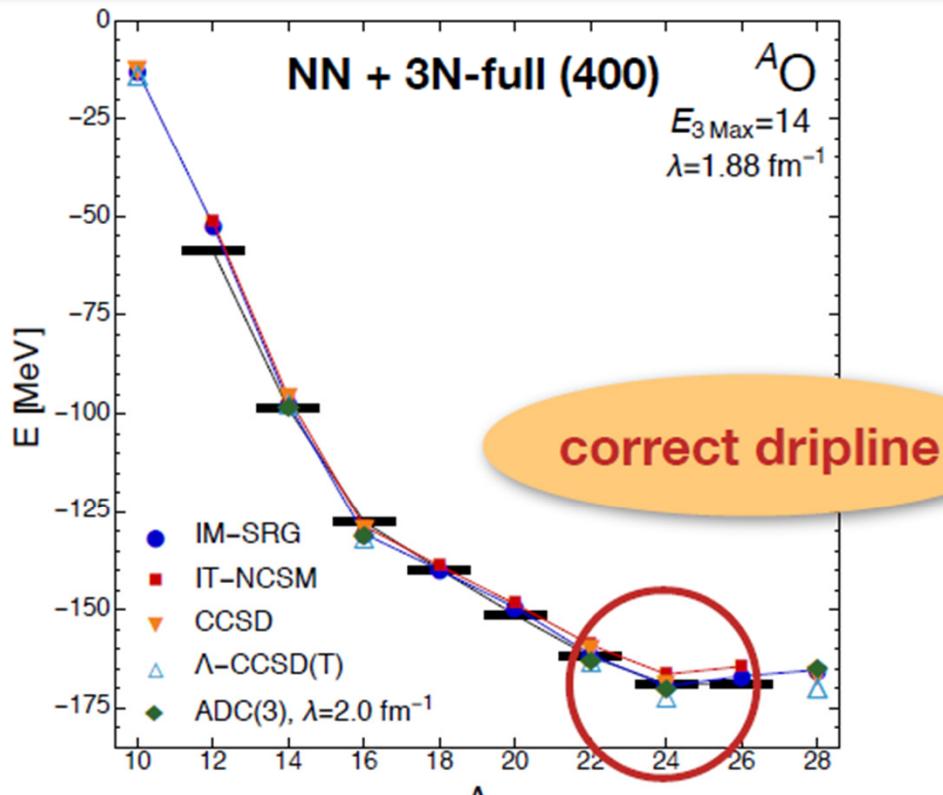
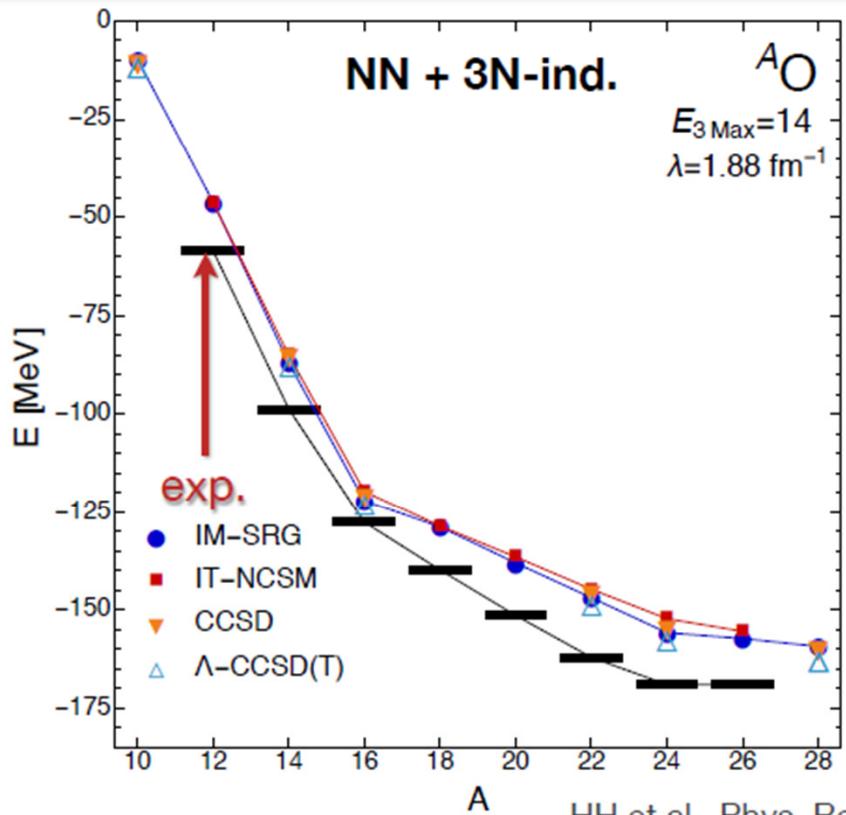
- G.S. decoupling, $\Omega \approx T - T^\dagger$
- CPU time, Magnus(2) \leq IMSRG(2)
- $\bar{\theta}$ has similar cost as one timestep
 - Can be done after calculation
 - This is especially useful for Shell Model (R. Stroberg)
- MR-MAGNUS(2) is similarly successful
- Allows for approximation of Magnus(3)
 - What separates Magnus(2) from Magnus(3)?

Decoupling in A-Body Space



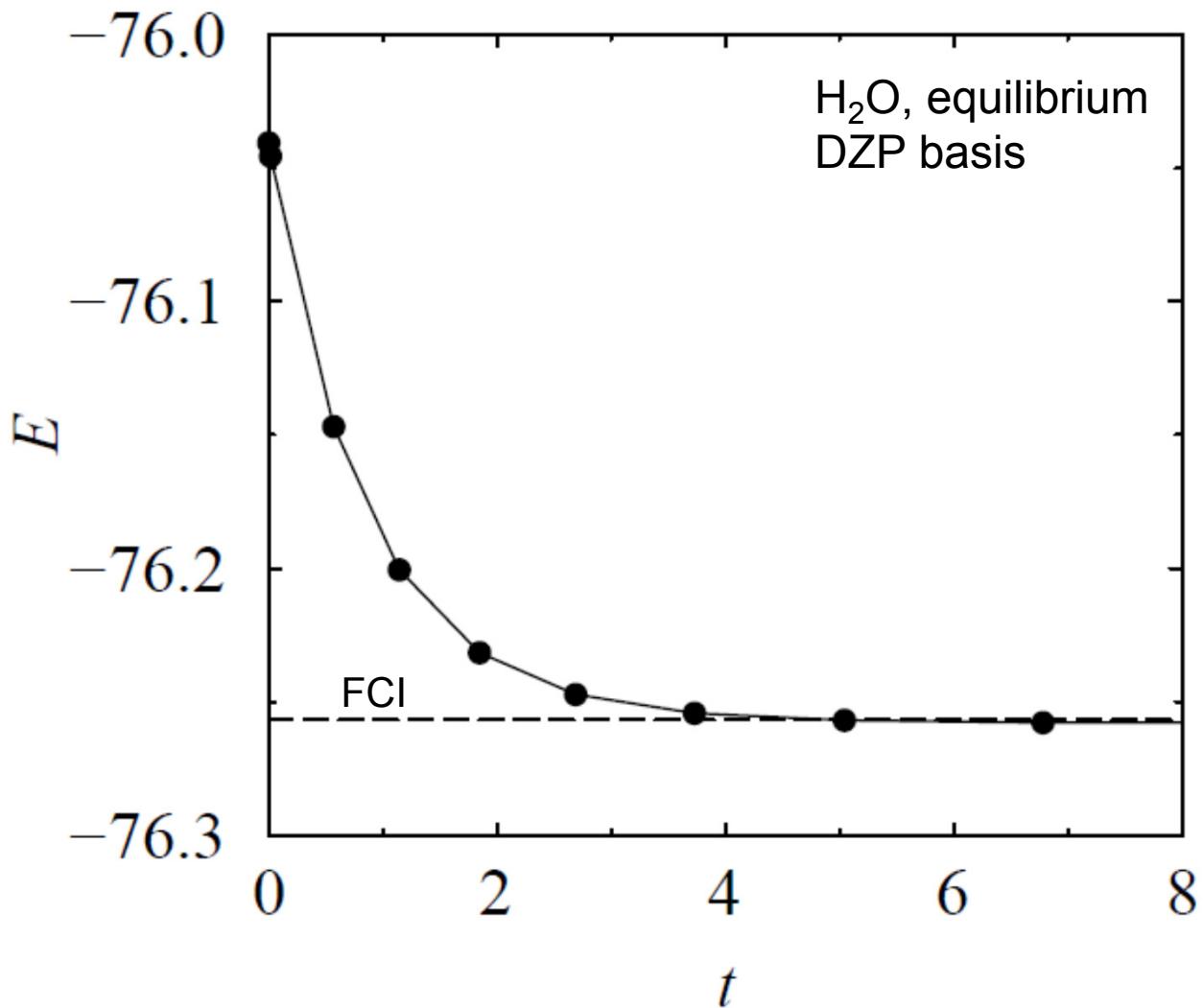
aim: decouple reference state $|\phi\rangle$
(0p-0h) from excitations

Results: Oxygen Chain



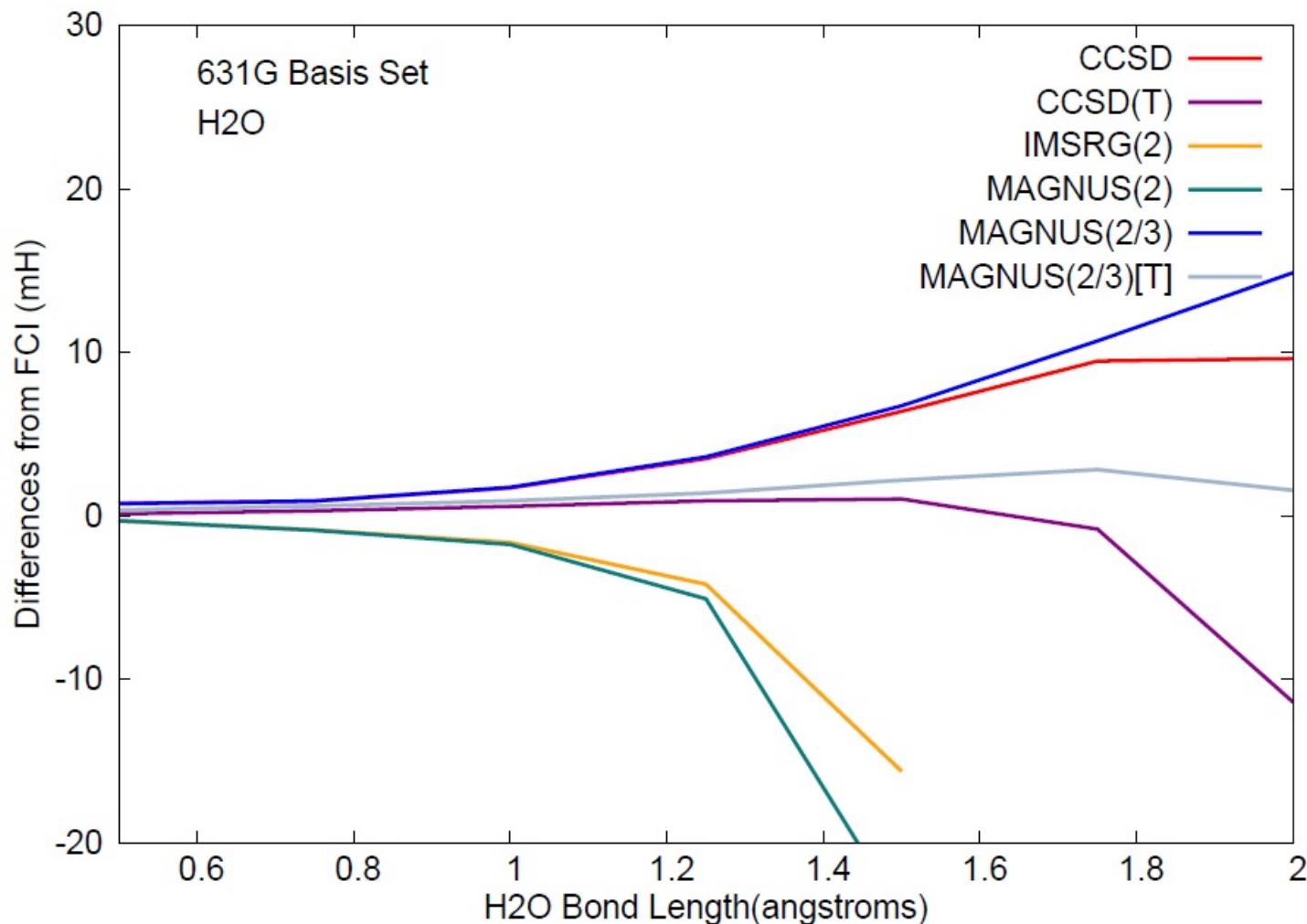
- Multi-Reference IM-SRG with number-projected Hartree-Fock-Bogoliubov as reference state (**pairing correlations**)
- consistent results from different many-body methods

Puzzling Chemistry results



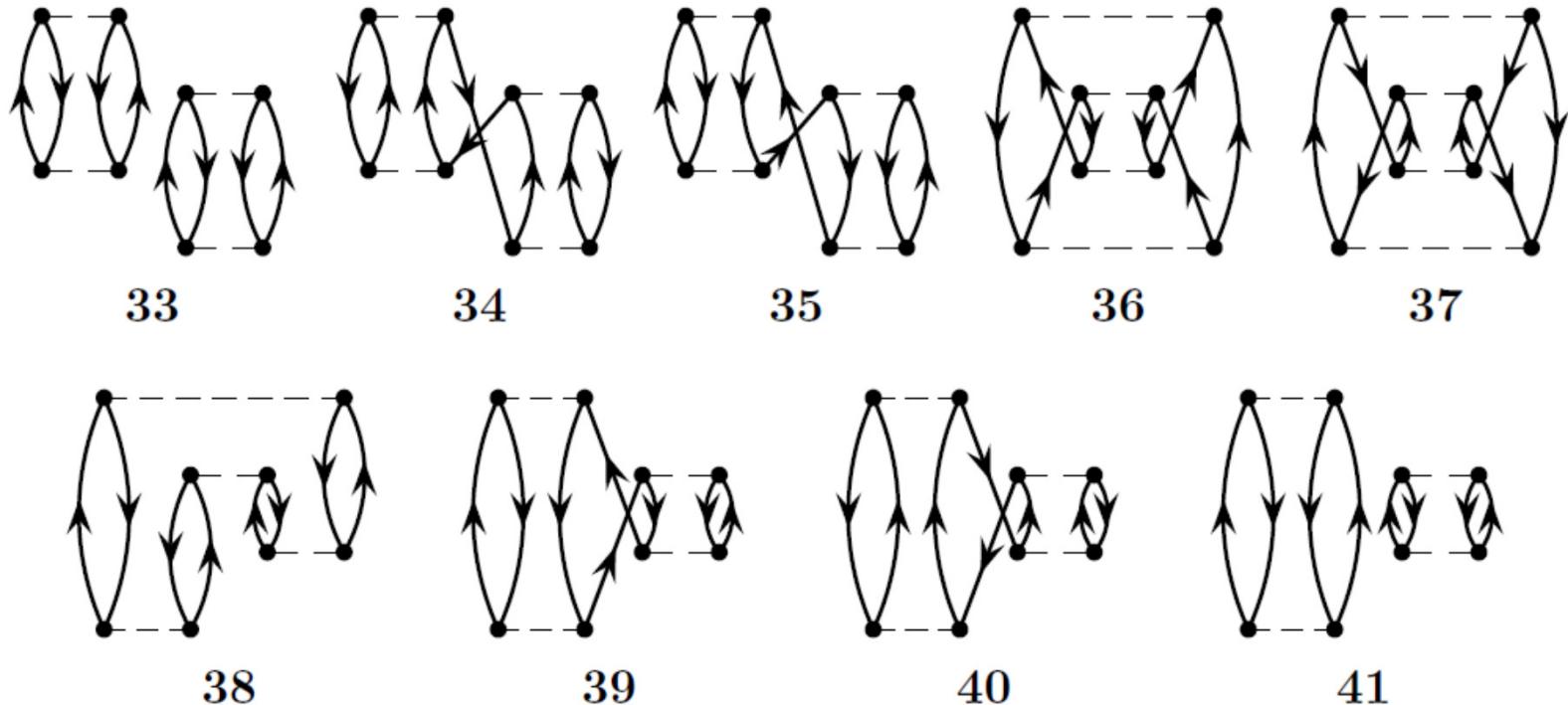
This result is actually dramatically **overbound** by chemistry standards!

Puzzling Chemistry results

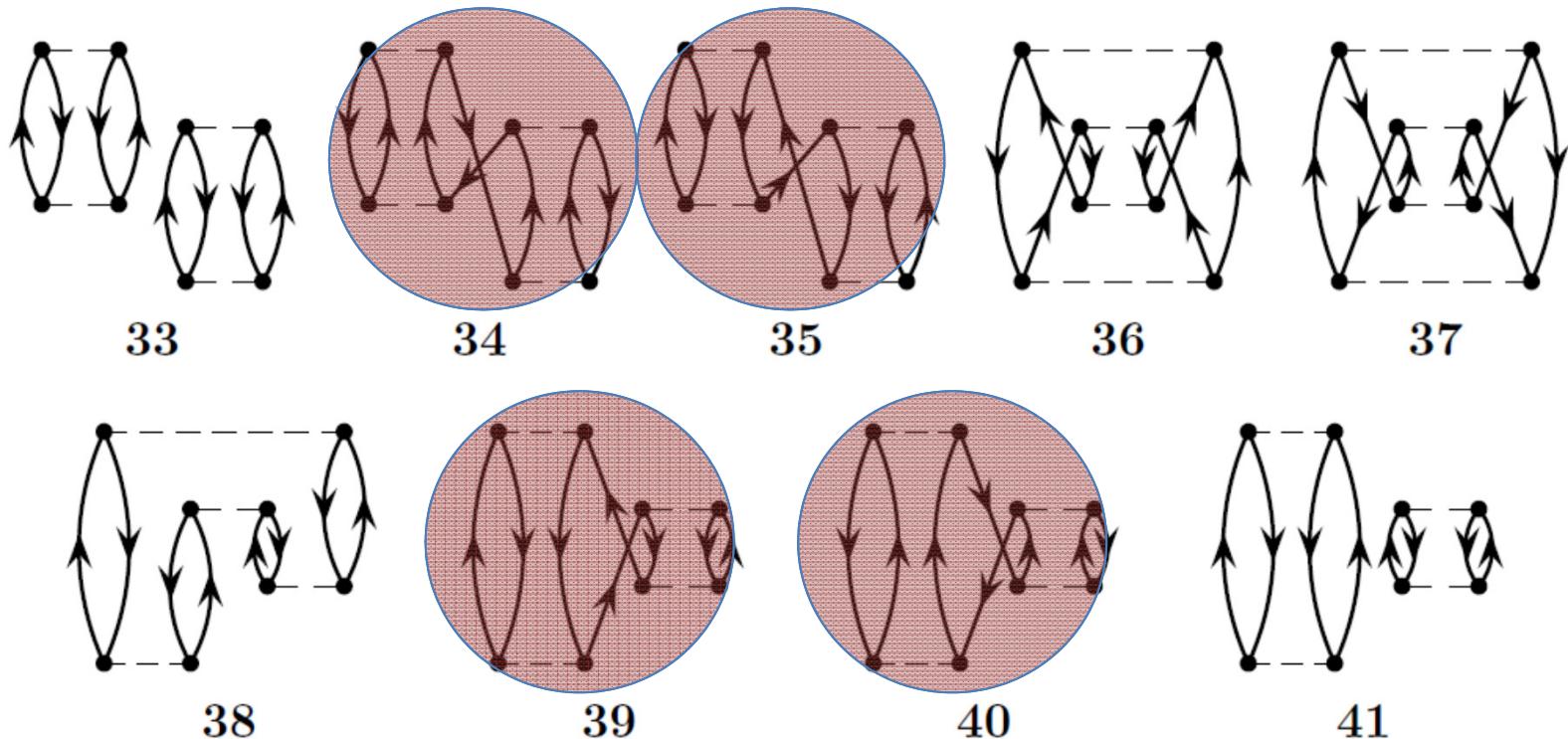


M.E./M.B. results calculated with Psi4

Fixing Missing MBPT4



Fixing Missing MBPT4



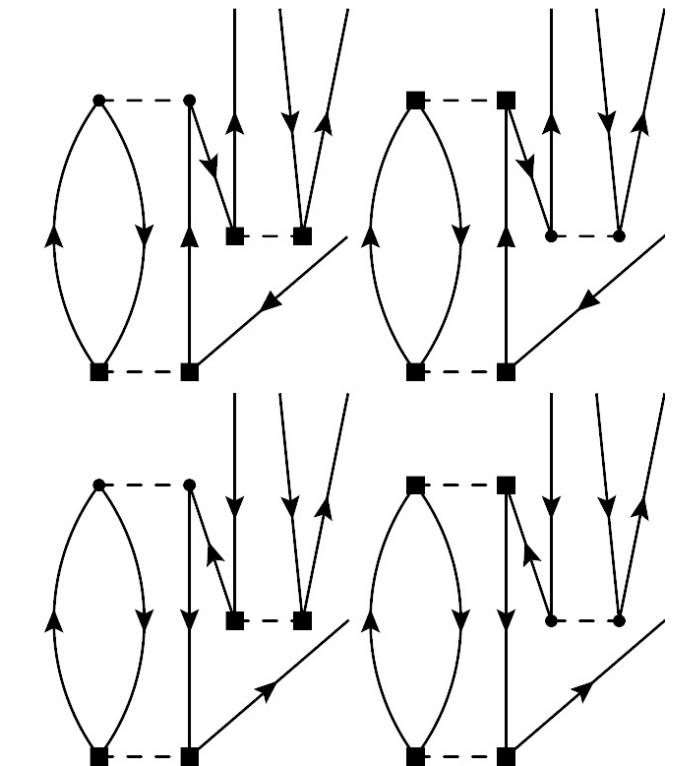
$$\frac{1}{2}(\Delta E_{34} + \Delta E_{35} + \Delta E_{39} + \Delta E_{40}) \subset \Delta E_{IMSRG(2)}, \Delta E_{MAGNUS(2)}$$

Missing MBPT(4) content

$$\tilde{H} = \exp(\Omega) H \exp(-\Omega) = \sum_{k=0}^{\infty} \frac{1}{k!} ad_{\Omega}^k(H)$$

$$[\Omega, [\Omega, X]_{3B}]_{2B}$$

Restoring this term to all commutators makes the method Magnus(2/3) agree with CCSD!

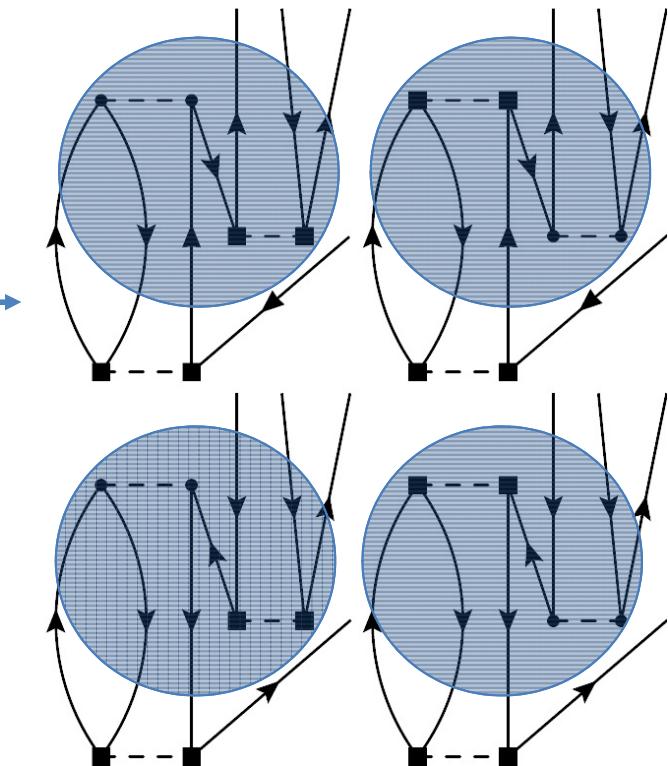


Missing MBPT(4) content

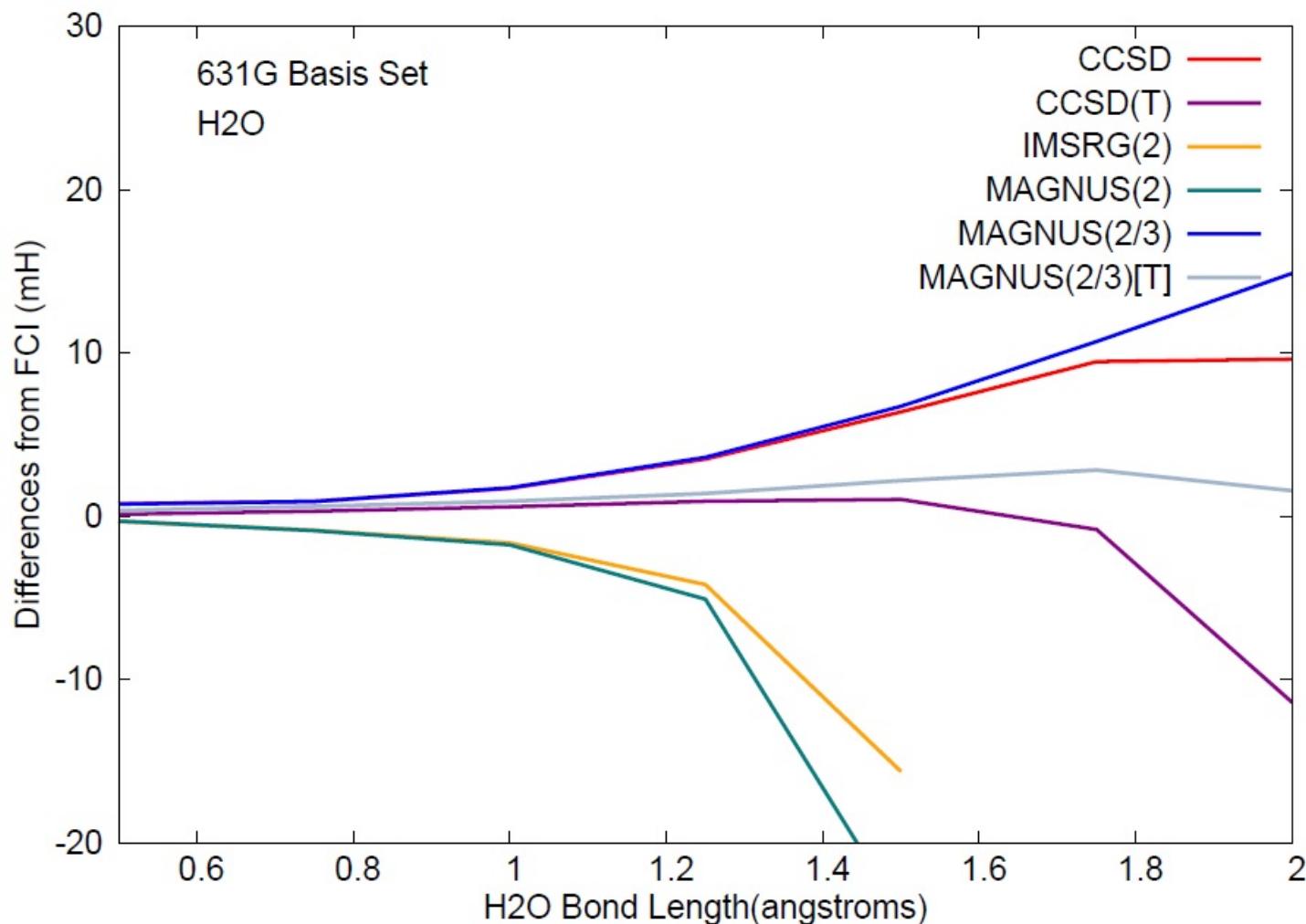
$$\tilde{H} = \exp(\Omega) H \exp(-\Omega) = \sum_{k=0}^{\infty} \frac{1}{k!} ad_{\Omega}^k(H)$$

$$[\Omega, [\Omega, X]_{3B}]_{2B}$$

Restoring this term to all commutators makes the method **Magnus(2/3)** agree with **CCSD!**



Magnus(2/3) solves puzzle



M.E./M.B. results calculated with Psi4

Magnus(2/3)[T]

$$\bar{H} = \exp(\Omega) H \exp(-\Omega) = \sum_{k=0}^{\infty} \frac{1}{k!} ad_{\Omega}^k(H)$$

$$\bar{W} \approx [\Omega, H]_{3B} \quad \Omega_{p_1 p_2 p_3 h_1 h_2 h_3} = \frac{\bar{W}_{p_1 p_2 p_3 h_1 h_2 h_3}}{\Delta_{p_1 p_2 p_3 h_1 h_2 h_3}}$$

$$\Delta E_T = \frac{1}{2} [\Omega^{(3)}, [\Omega^{(2)}, H]_{3B}]_{0B}$$

Magnus(2/3)[T]

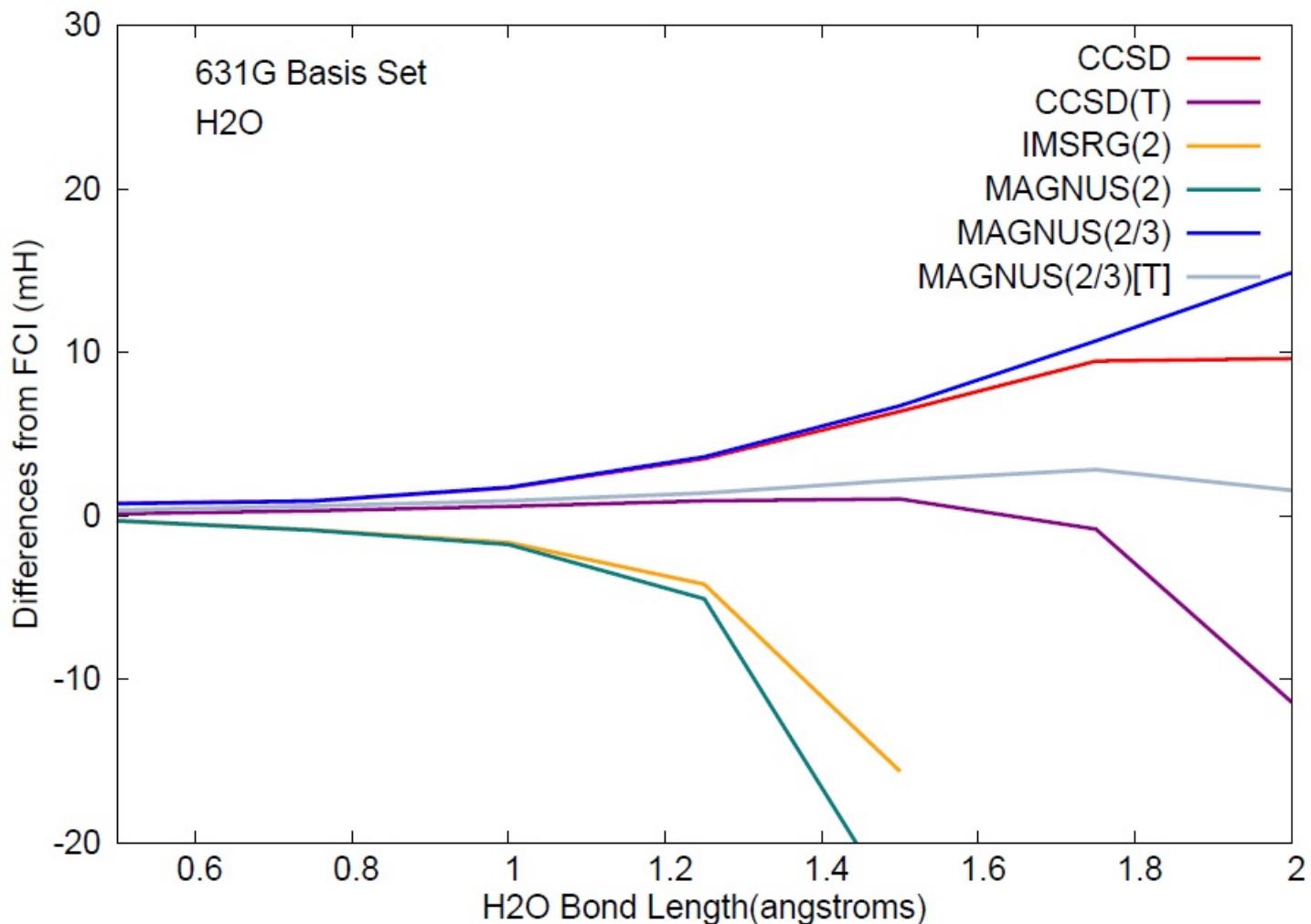
$$\bar{H} = \exp(\Omega) H \exp(-\Omega) = \sum_{k=0}^{\infty} \frac{1}{k!} ad_{\Omega}^k(H)$$

$$\bar{W} \approx [\Omega, H]_{3B} \quad \Omega_{p_1 p_2 p_3 h_1 h_2 h_3} = \frac{\bar{W}_{p_1 p_2 p_3 h_1 h_2 h_3}}{\Delta_{p_1 p_2 p_3 h_1 h_2 h_3}}$$

$$\Delta E_T = \frac{1}{2} [\Omega^{(3)}, [\Omega^{(2)}, H]_{3B}]_{0B}$$

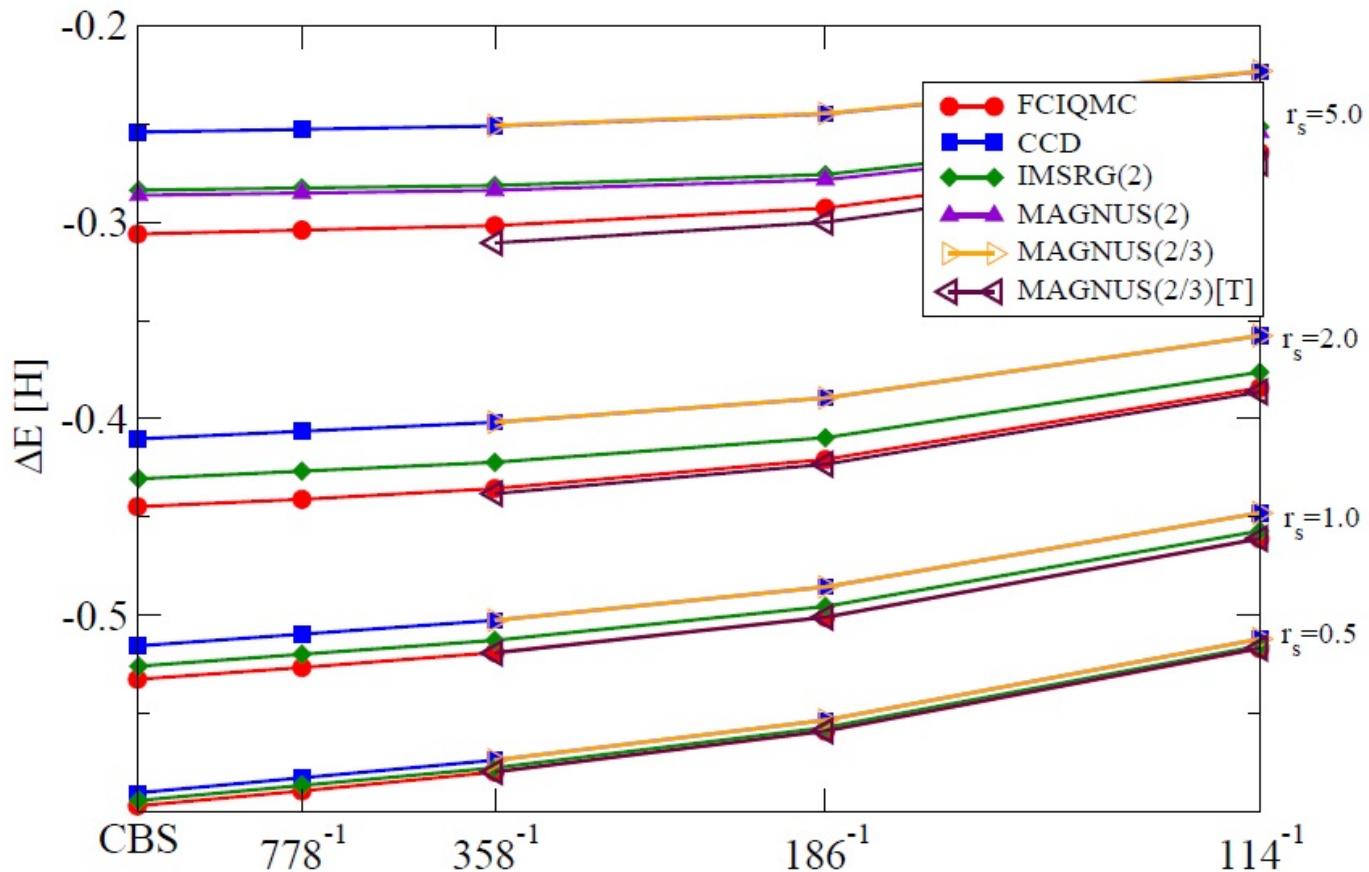
Get same expression using MBPT2 with W. But using commutator, these corrections can be carried out for observables as well with minimal change in code! **Maybe** applicable **MR-MAGNUS!**

Magnus(2/3)[T]

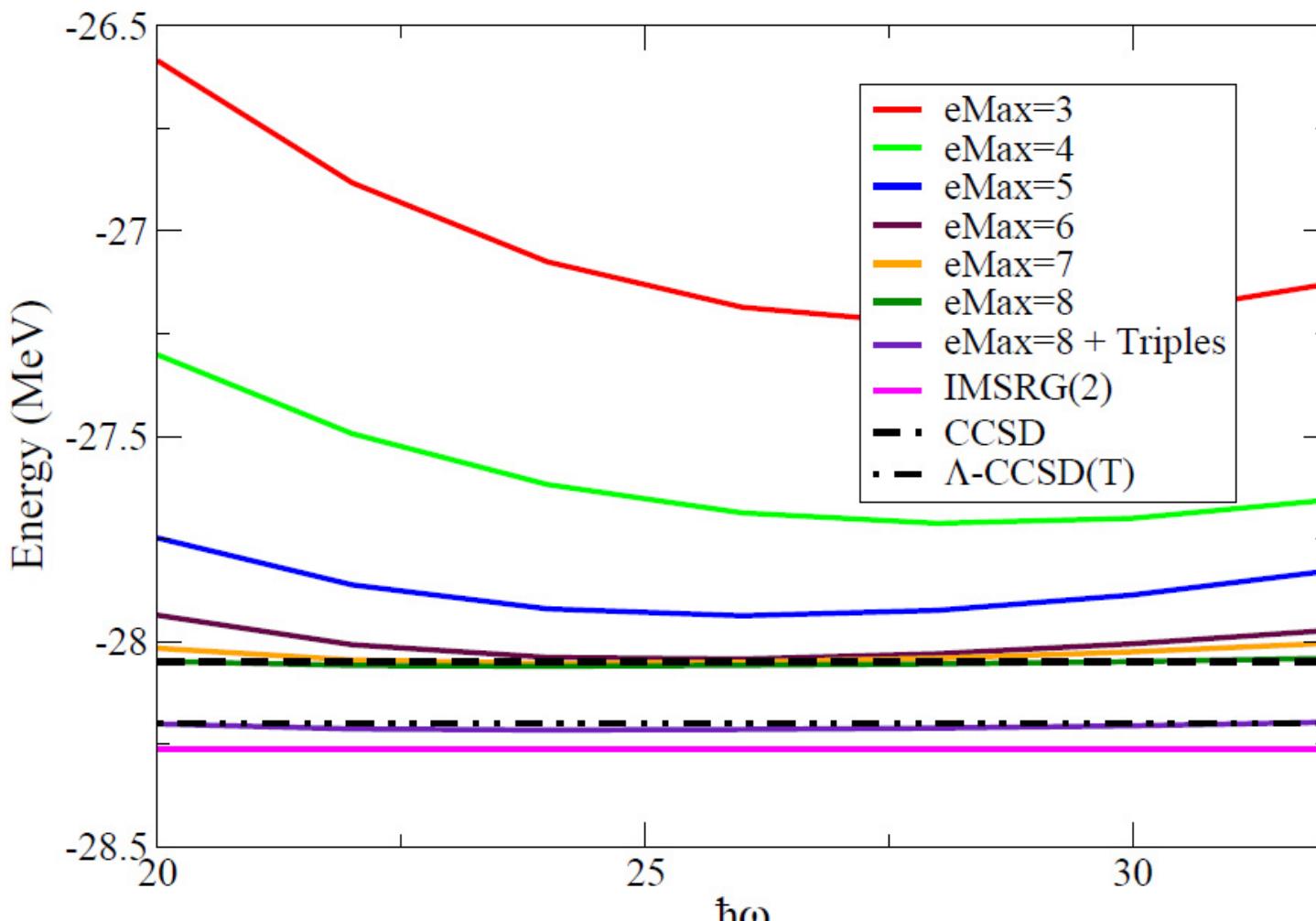


M.E./M.B. results calculated with Psi4

Magnus(2/3)[T]



Magnus(2/3)[T] ^4He



N3LO E.M. NN $\lambda = 2.0$

Magnus(2/3)[T] Observations



- CCSD[T] cost
- More robust than CCSD[T] in chemistry
- PT analysis for shell model?

- Scuseria et al.
$$\frac{1}{\Delta_{p_1 p_2 p_3 h_1 h_2 h_3}} = - \int_0^{\infty} e^{-x \Delta_{p_1 p_2 p_3 h_1 h_2 h_3}} dx$$

- MR-MAGNUS(2/3)[T] computed at N^6
- All results are applicable to generic operators
- Past triple zero body

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