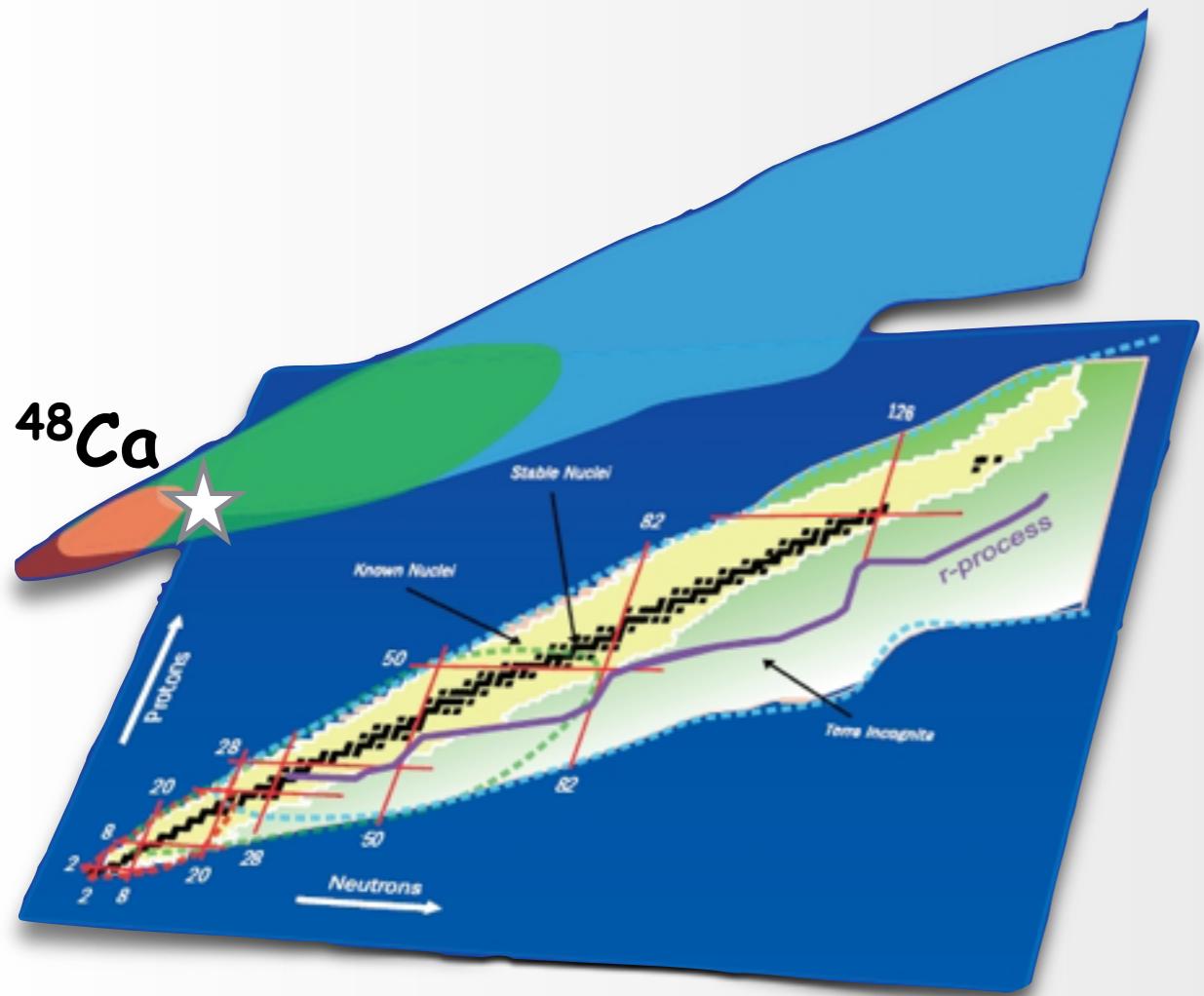
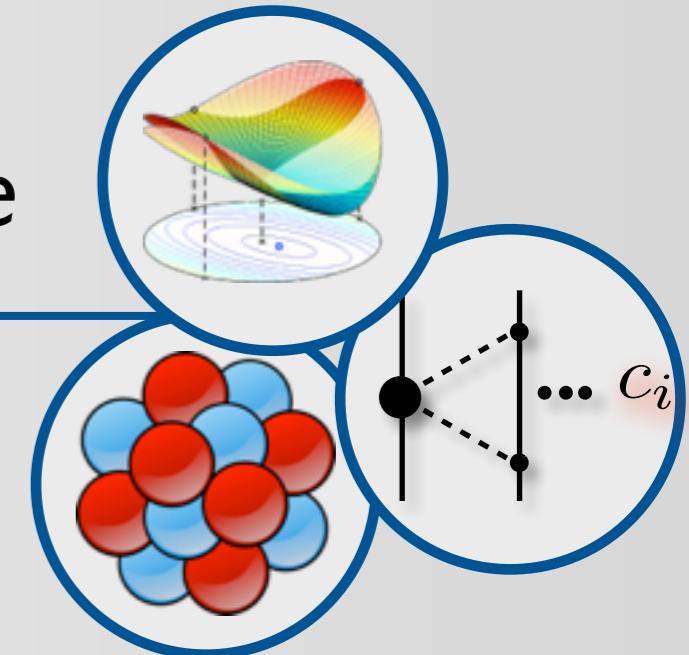


# Constraining the description of the nuclear force

Andreas Ekström (UT/ORNL)

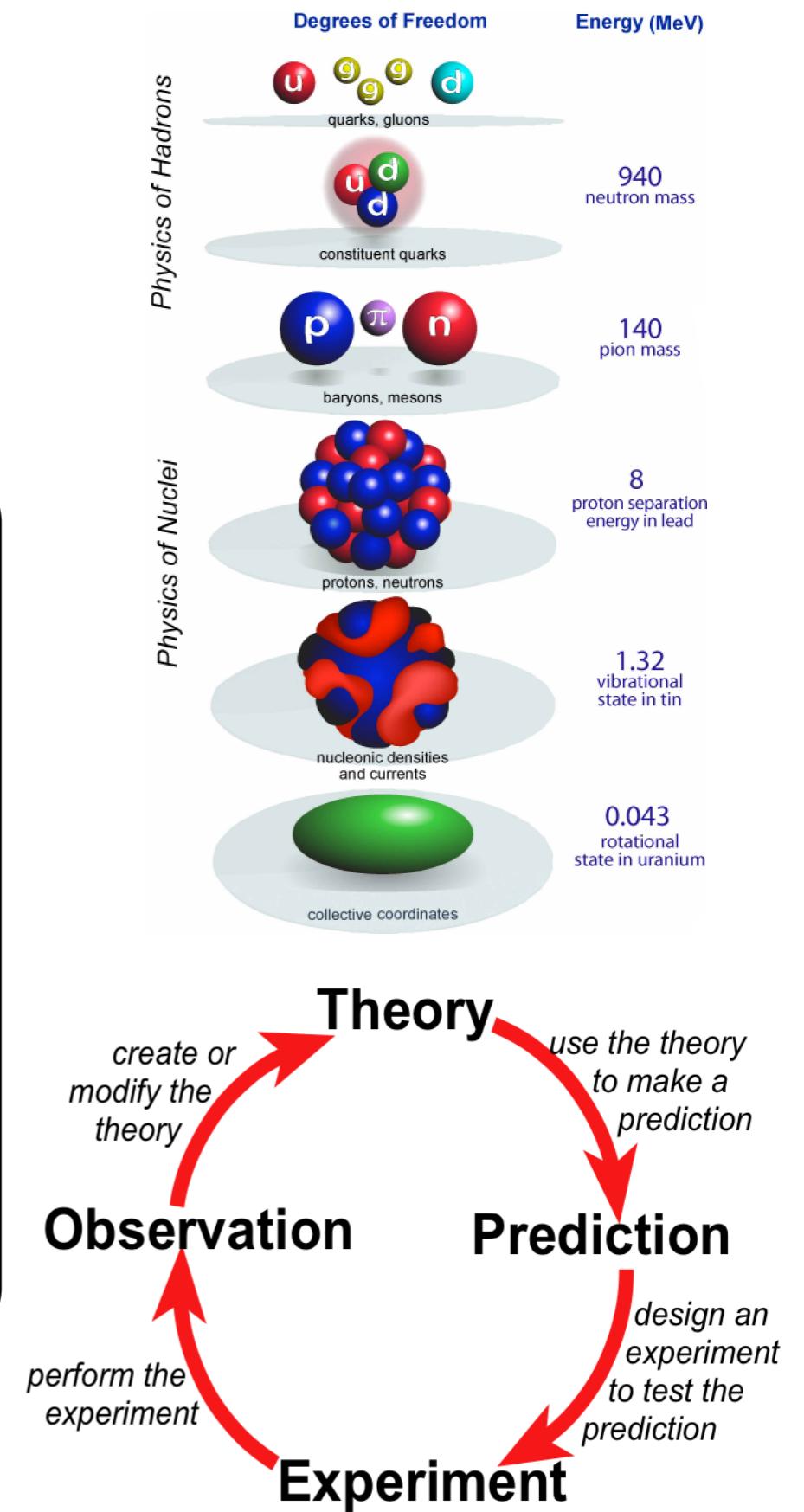
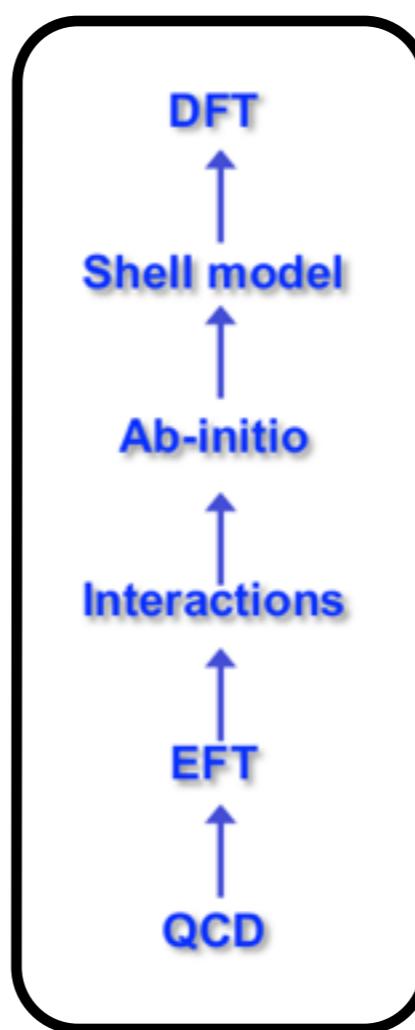
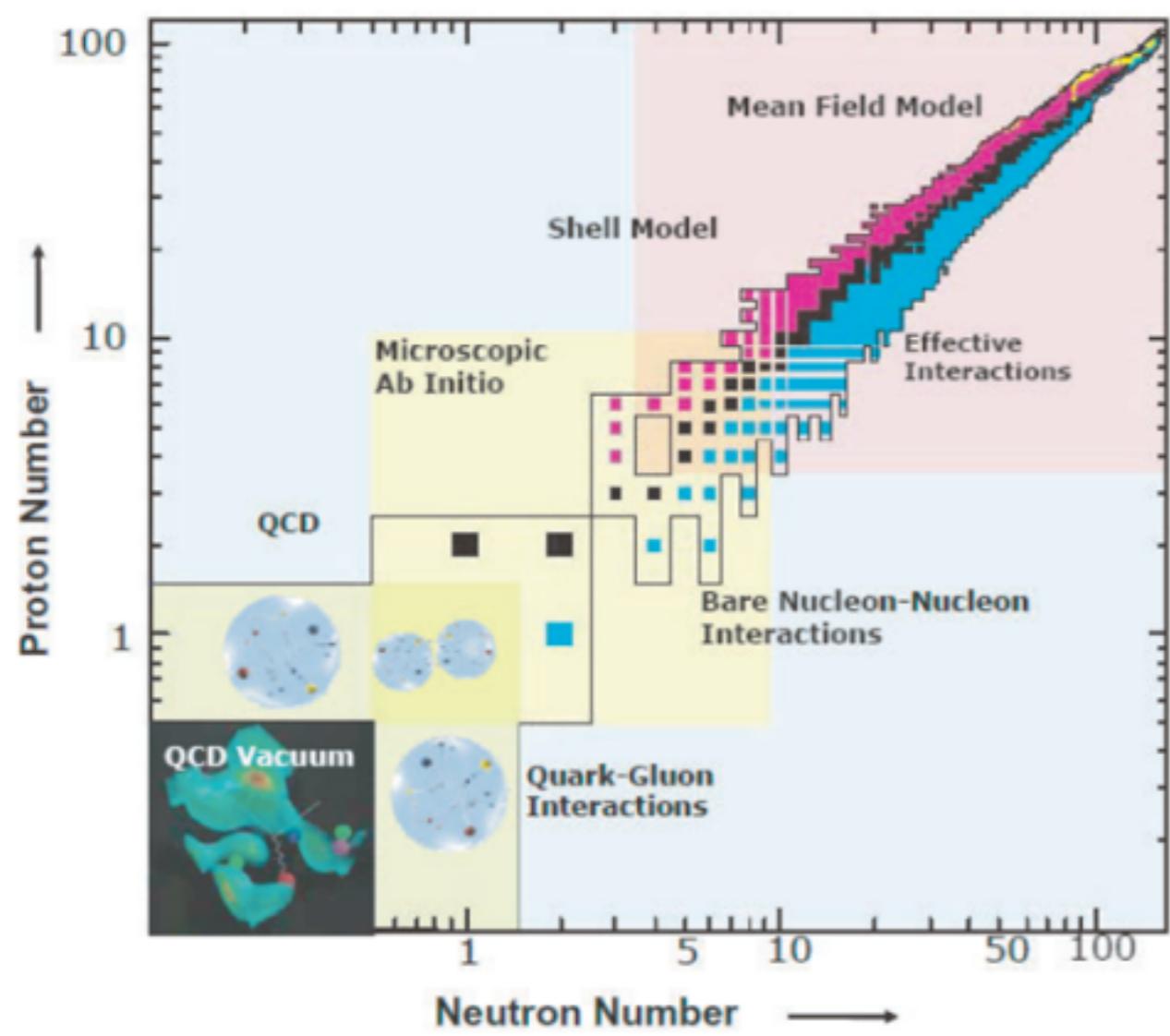


1. Overview
2. Precision and accuracy
3. Chiral effective field theory
4. New results: regression analysis
5. New results: NNLO<sub>sat</sub>
6. Conclusions

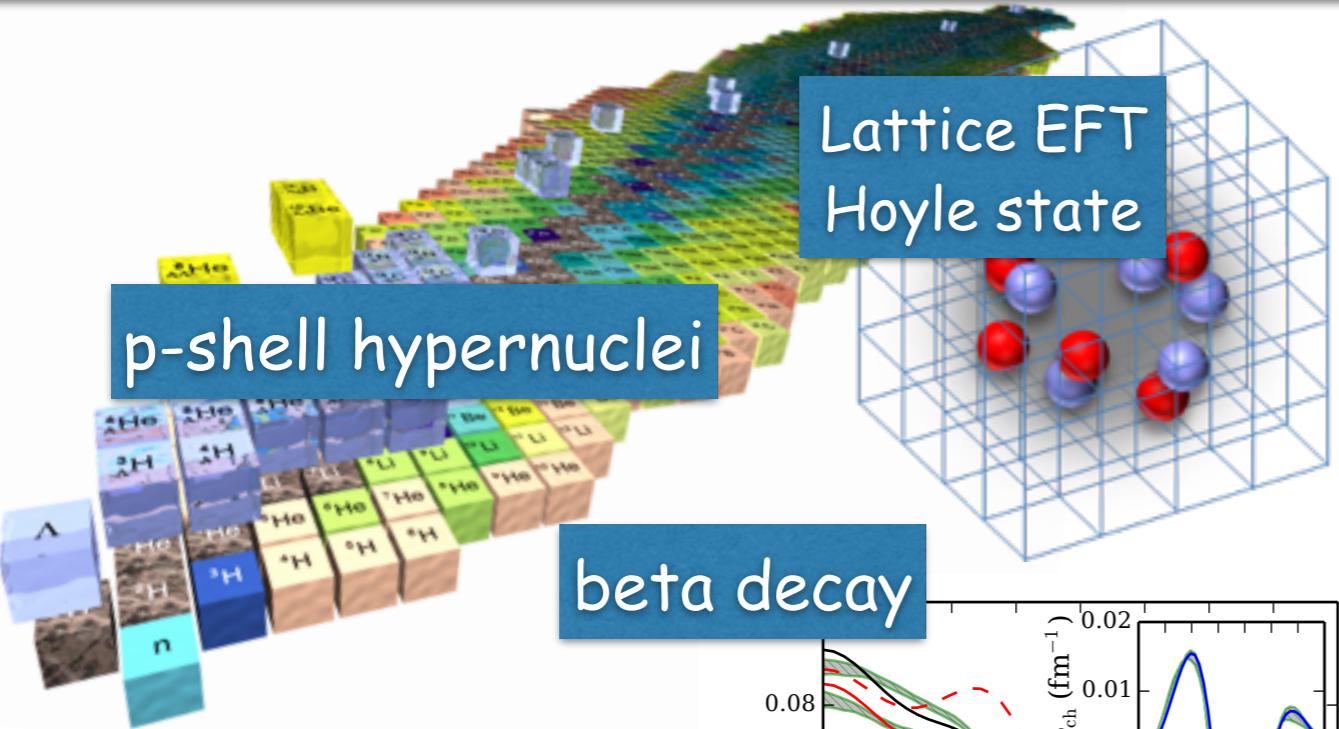
Theory for open-shell nuclei near the limits of stability  
May 11-29, 2015, East Lansing, MI, USA

# Overview

- Arrive at a Hamiltonian (a “standard model”) of nuclear physics
- Understand the link between (Lattice) QCD and EFT and nuclei
- What are the limits for the existence of nuclei (i.e. drip line location)
- Explain collective phenomena from individual motion of nuclei
- Error estimates of computed quantities
- ....



# *ab initio* capabilities (a selection)



PRL 113, 192502 (2014)

PHYSICAL REVIEW LETTERS

week ending  
7 NOVEMBER 2014

## *Ab Initio* Description of *p*-Shell Hypernuclei

Roland Wirth,<sup>1,\*</sup> Daniel Gazda,<sup>2,3</sup> Petr Navrátil,<sup>4</sup> Angelo Calci,<sup>1</sup> Joachim Langhammer,<sup>1</sup> and Robert Roth<sup>1,†</sup>

PRL 106, 192501 (2011)

Selected for a Viewpoint in Physics  
PHYSICAL REVIEW LETTERS

week ending  
13 MAY 2011

## *Ab Initio* Calculation of the Hoyle State

Evgeny Epelbaum,<sup>1</sup> Hermann Krebs,<sup>1</sup> Dean Lee,<sup>2</sup> and Ulf-G. Meißner<sup>3,4</sup>

PRL 113, 262504 (2014)

PHYSICAL REVIEW LETTERS

week ending  
31 DECEMBER 2014

## Effects of Three-Nucleon Forces and Two-Body Currents on Gamow-Teller Strengths

A. Ekström,<sup>1</sup> G. R. Jansen,<sup>2,3</sup> K. A. Wendt,<sup>3,2</sup> G. Hagen,<sup>2,3</sup> T. Papenbrock,<sup>3,2</sup> S. Bacca,<sup>4,5</sup> B. Carlsson,<sup>6</sup> and D. Gazit<sup>7</sup>

PRL 113, 142502 (2014)

PHYSICAL REVIEW LETTERS

week ending  
3 OCTOBER 2014

## *Ab Initio* Coupled-Cluster Effective Interactions for the Shell Model: Application to Neutron-Rich Oxygen and Carbon Isotopes

G. R. Jansen,<sup>1,2</sup> J. Engel,<sup>3</sup> G. Hagen,<sup>1,2</sup> P. Navratil,<sup>4</sup> and A. Signoracci<sup>1,2</sup>

PRL 109, 032502 (2012)

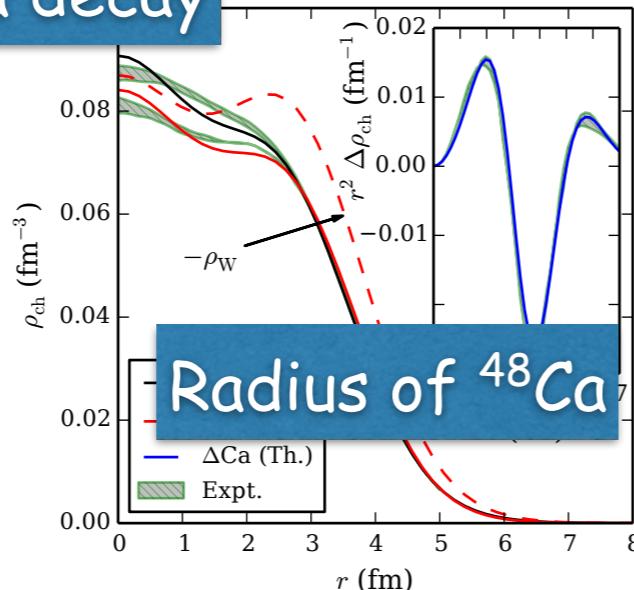
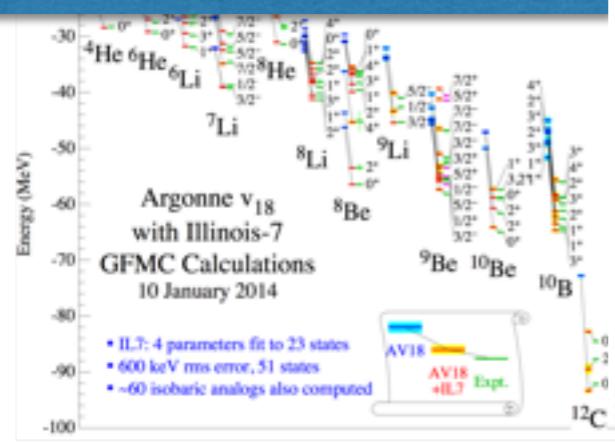
PHYSICAL REVIEW LETTERS

week ending  
20 JULY 2012

## Evolution of Shell Structure in Neutron-Rich Calcium Isotopes

G. Hagen,<sup>1,2</sup> M. Hjorth-Jensen,<sup>3,4</sup> G. R. Jansen,<sup>3</sup> R. Machleidt,<sup>5</sup> and T. Papenbrock<sup>1,2</sup>

## Green's Function Monte Carlo



PRL 109, 032502 (2012)

PHYSICAL REVIEW LETTERS

week ending  
20 JULY 2012

No-core shell model  
(Importance-truncated)

In-medium SRG

Hergert et al. P

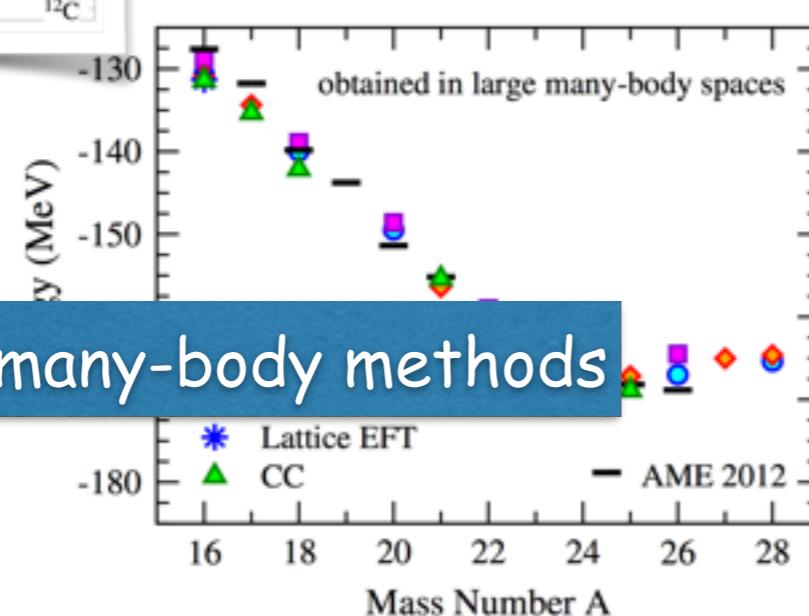
Self-consistent

Cipollone et al. PRL111 062501 (2013)

Coupled-cluster

Jansen et al. PRL113 142502 (2014)

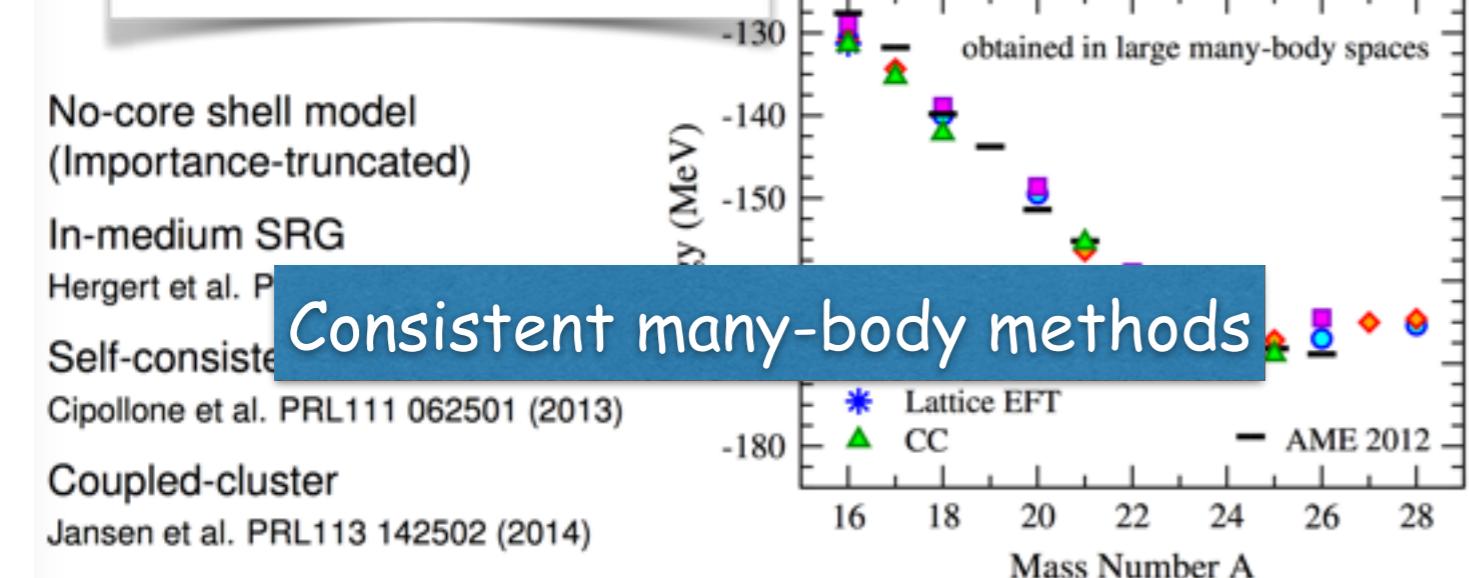
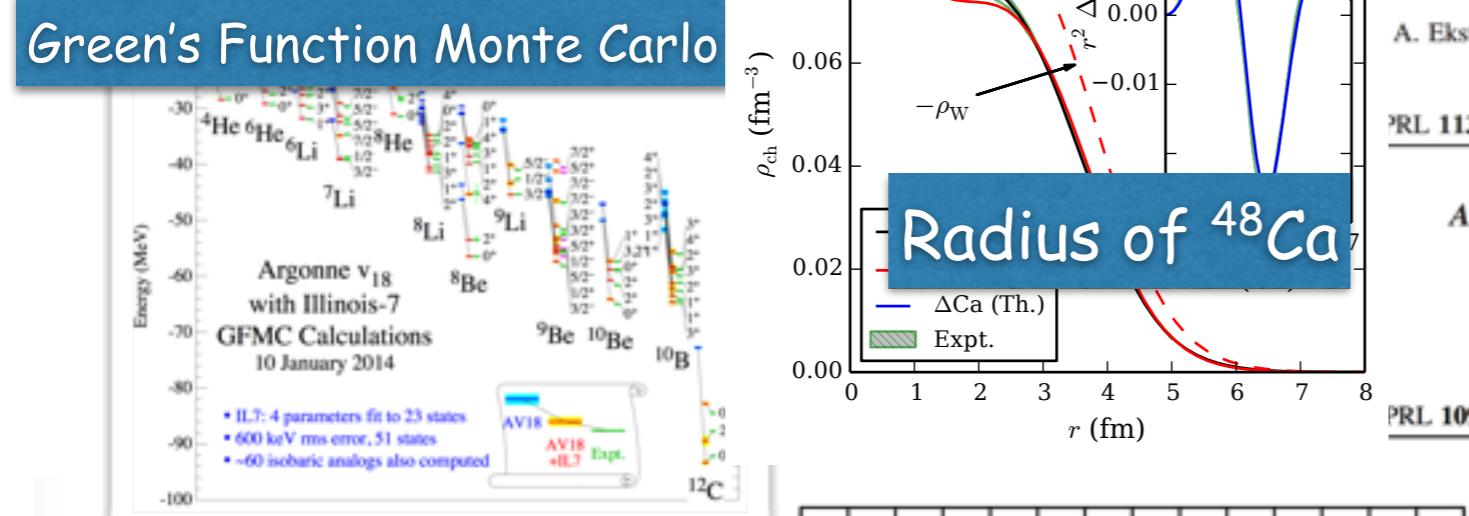
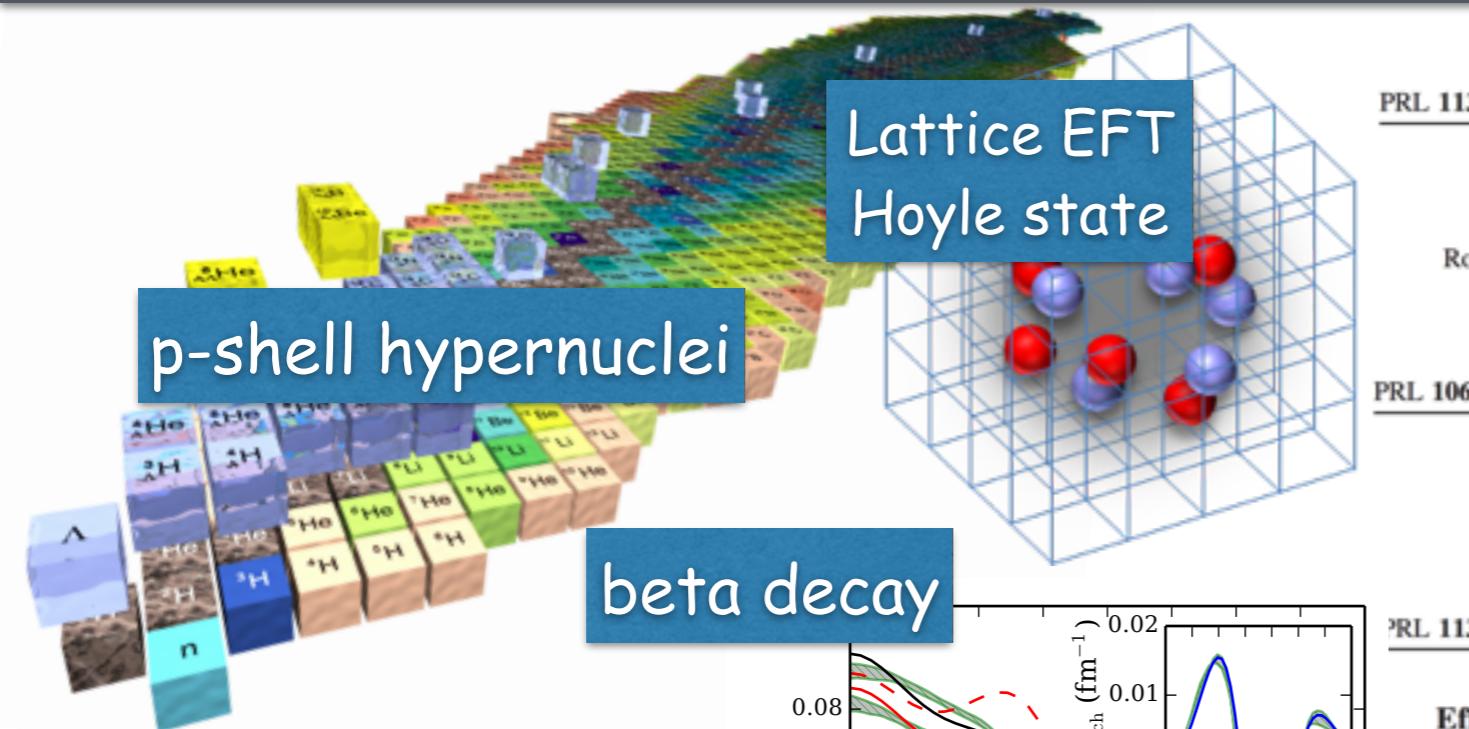
## Consistent many-body methods



Many-Body Perturbation Theory, Hyperspherical harmonics, NCSM-RGM, Gamow Shell Model, Continuum Shell-Model, Coupled Cluster, Self-Consistent Green's Functions, Faddeev, Bogoliubov CC, Gorkov SCGF, Monte Carlo Shell-Model, ...

## Many Many-Body Solvers:

# *ab initio* capabilities (a selection)



PRL 113, 192502 (2014)

PHYSICAL REVIEW LETTERS

week ending  
7 NOVEMBER 2014

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PHYSICAL REVIEW LETTERS

week ending  
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PHYSICAL REVIEW LETTERS

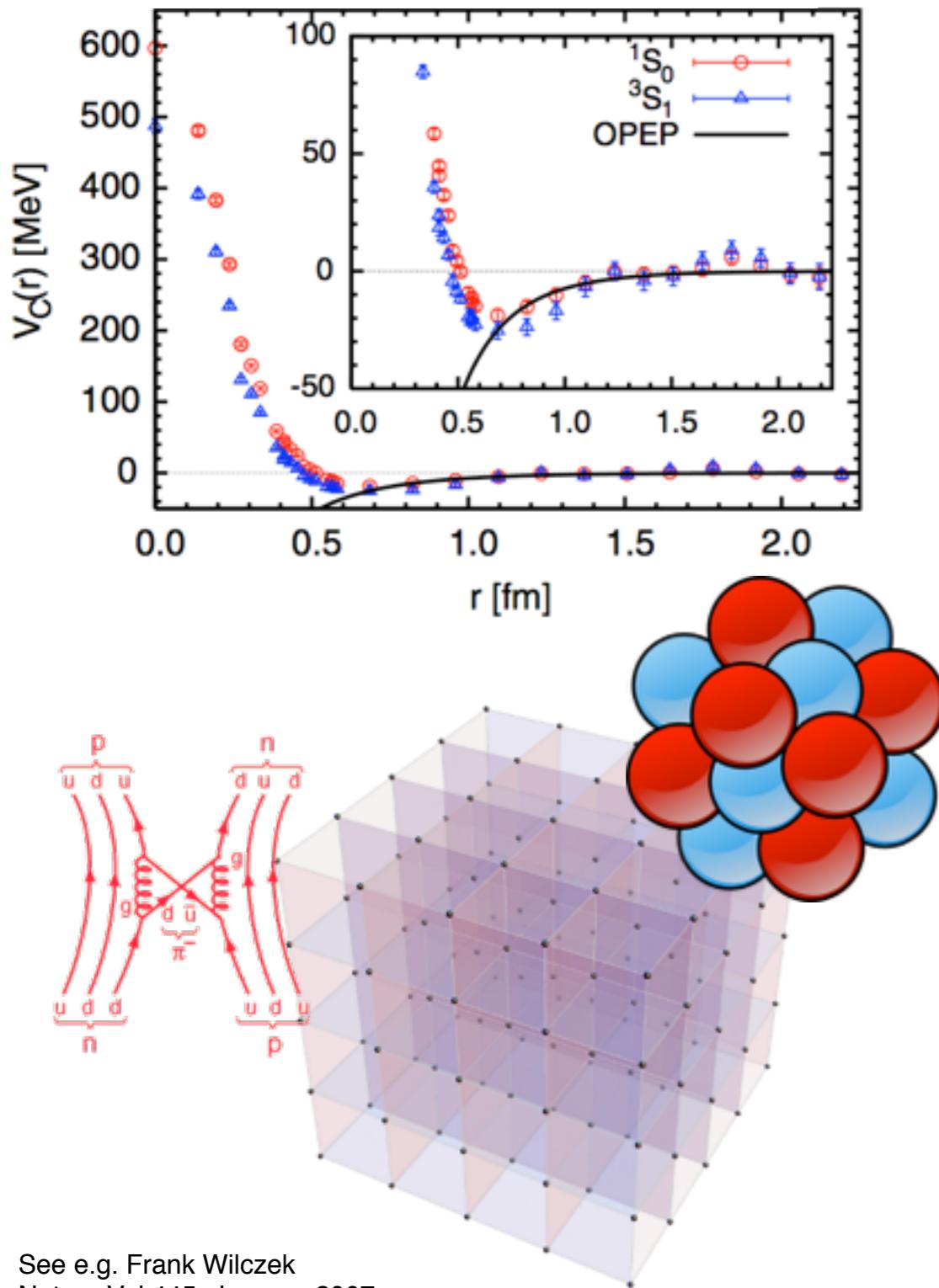
week ending  
20 JULY 2012

Numerically converged solutions to the Schrödinger equation signal deficiencies in the description of the nuclear force

# Lattice QCD

PHYSICAL REVIEW LETTERS

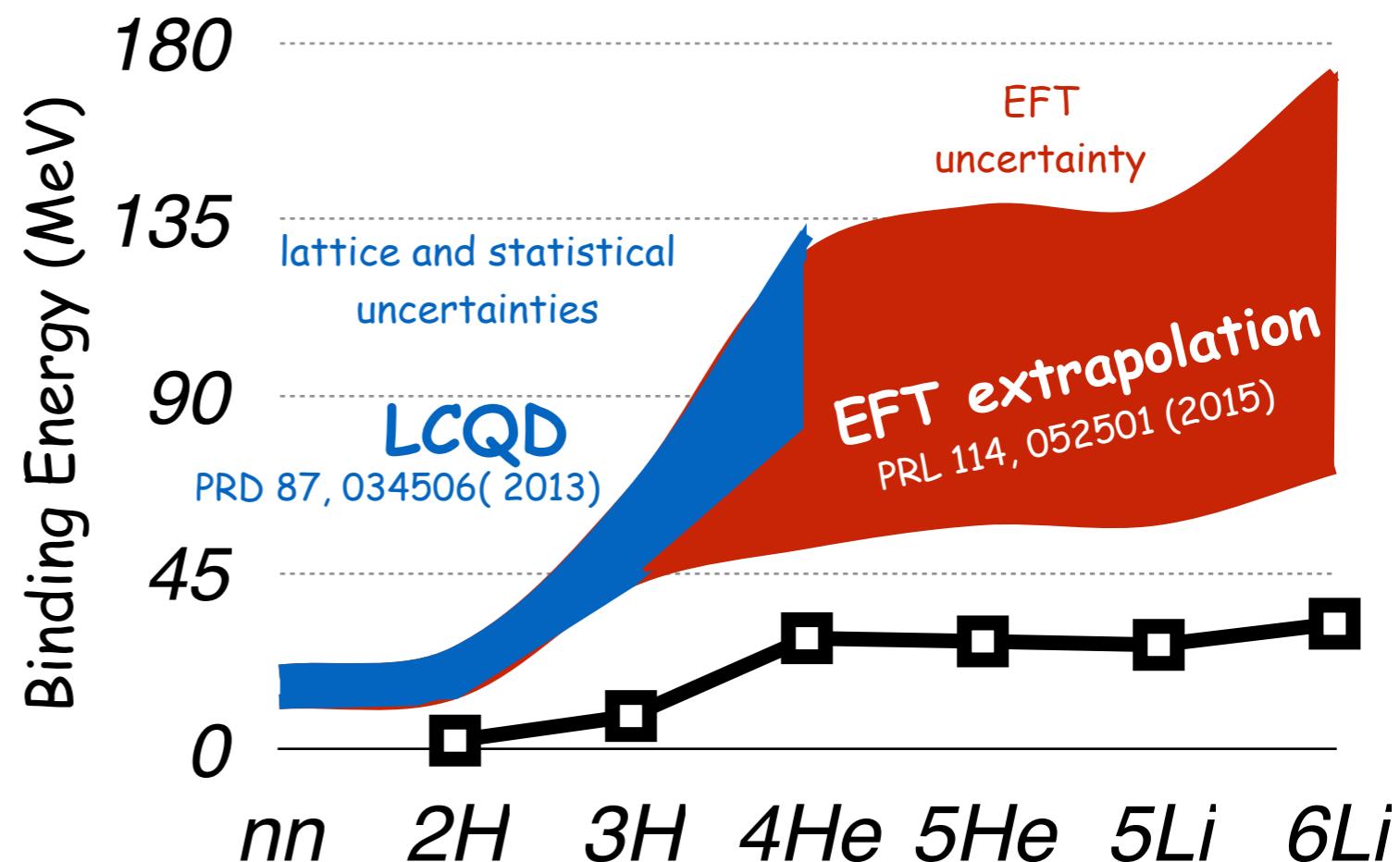
## Nuclear Force from Lattice QCD



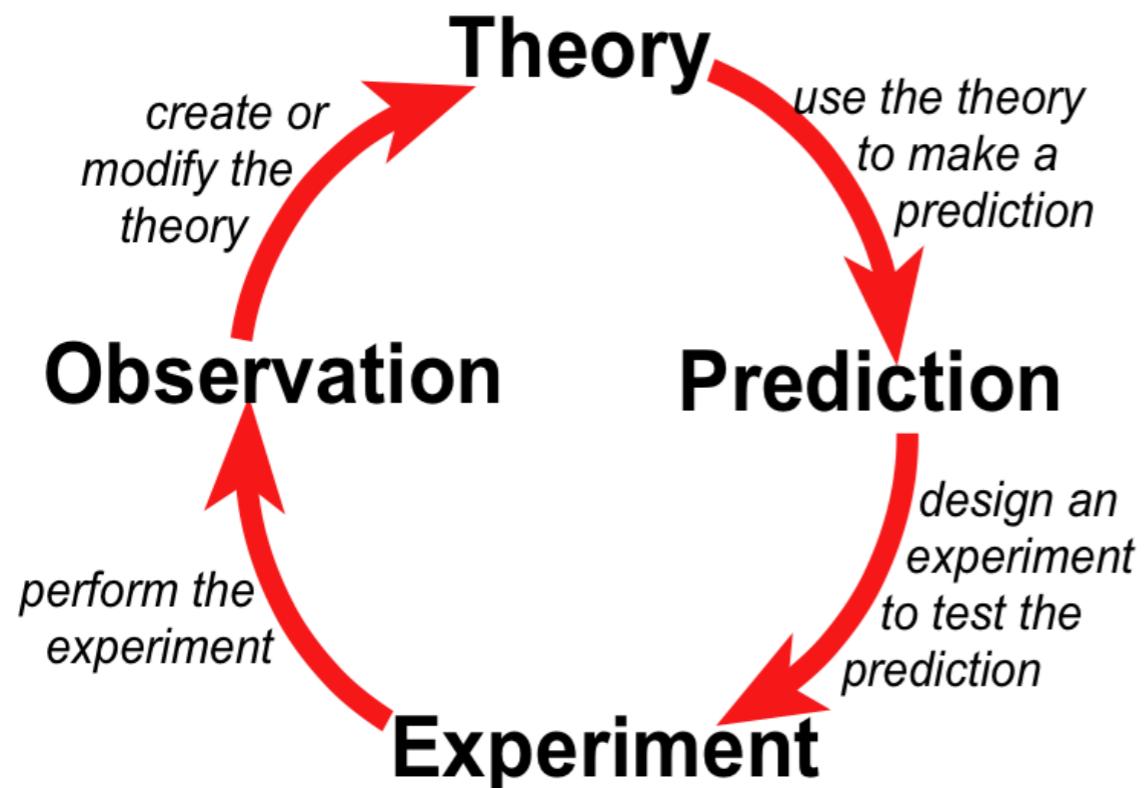
See e.g. Frank Wilczek  
Nature Vol 445, January 2007

## LQCD is computationally demanding

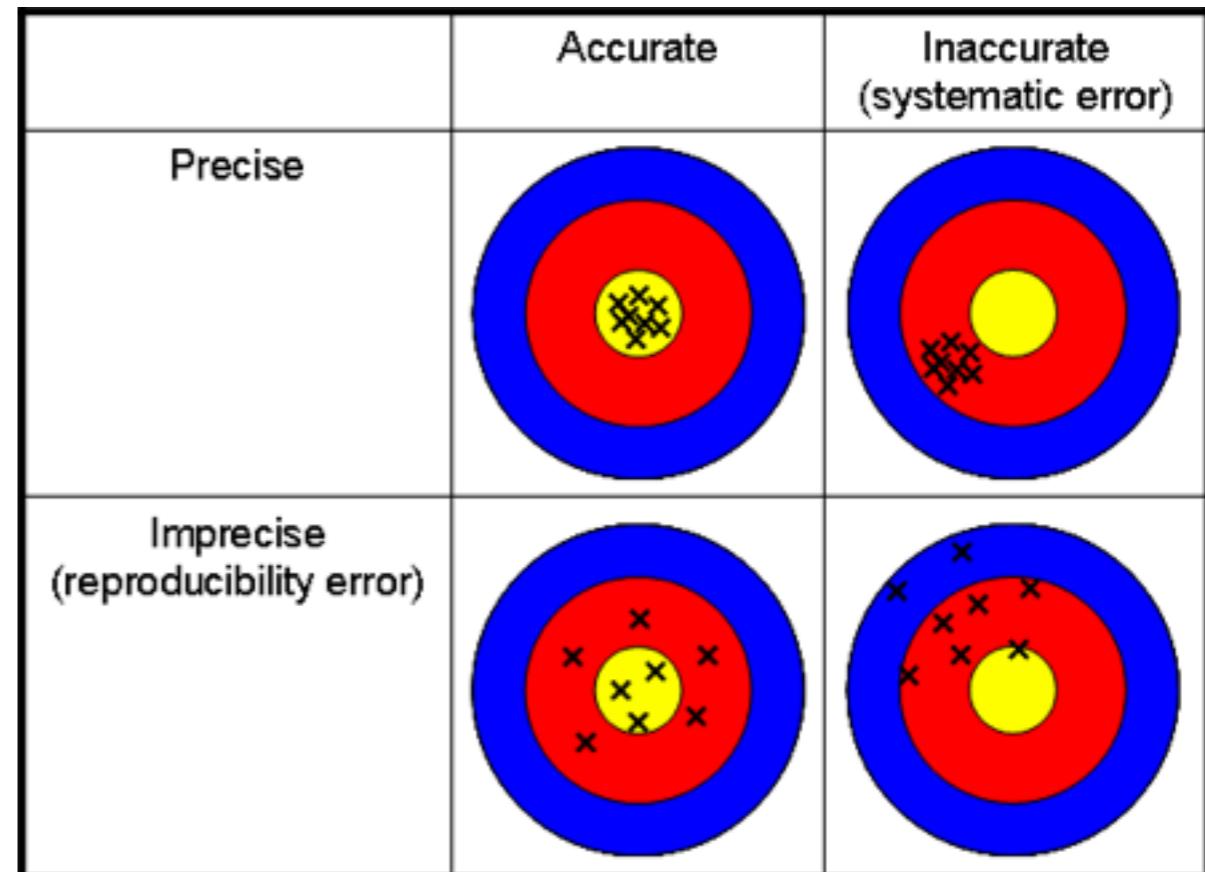
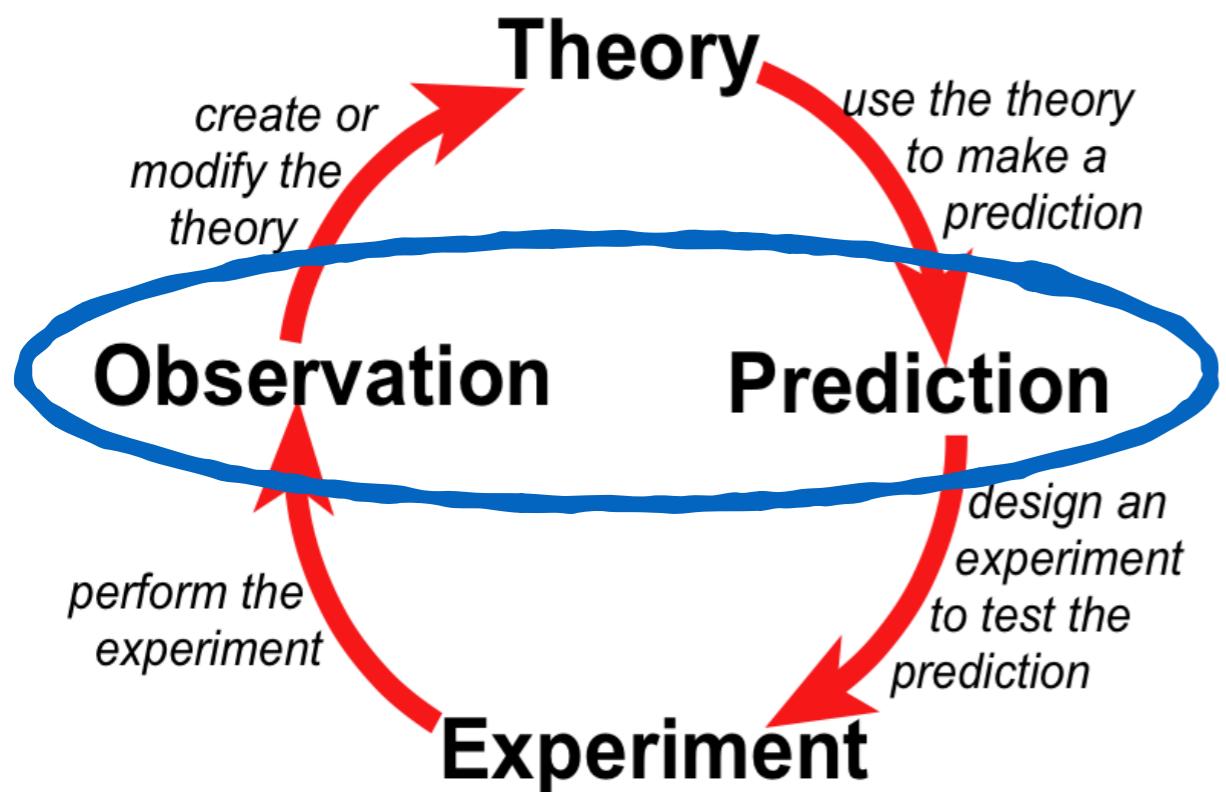
- unphysical pion masses right now
- requires larger lattices
- exponentially small signal-to-noise ratio
- difficult to identify bound states
- growth of Wick contractions for large number of quarks
- .... .....



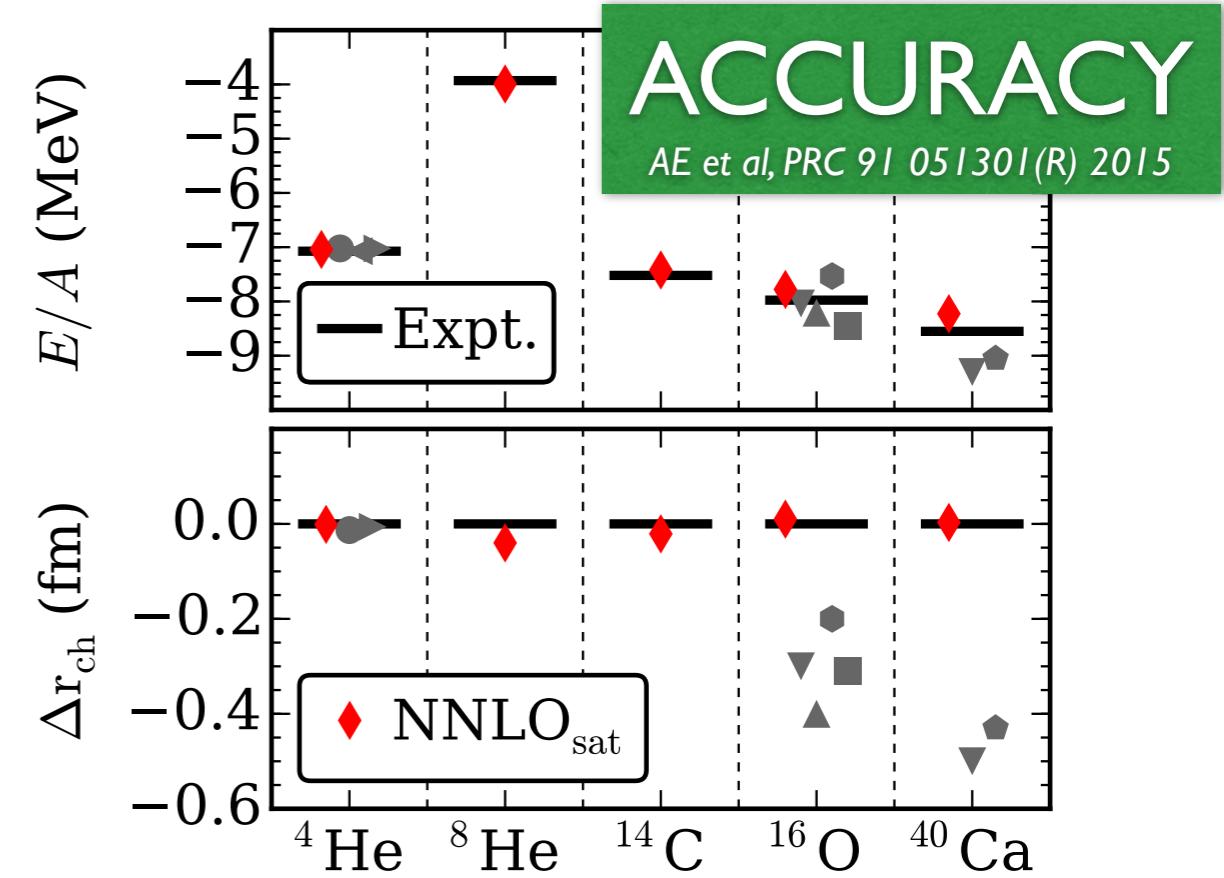
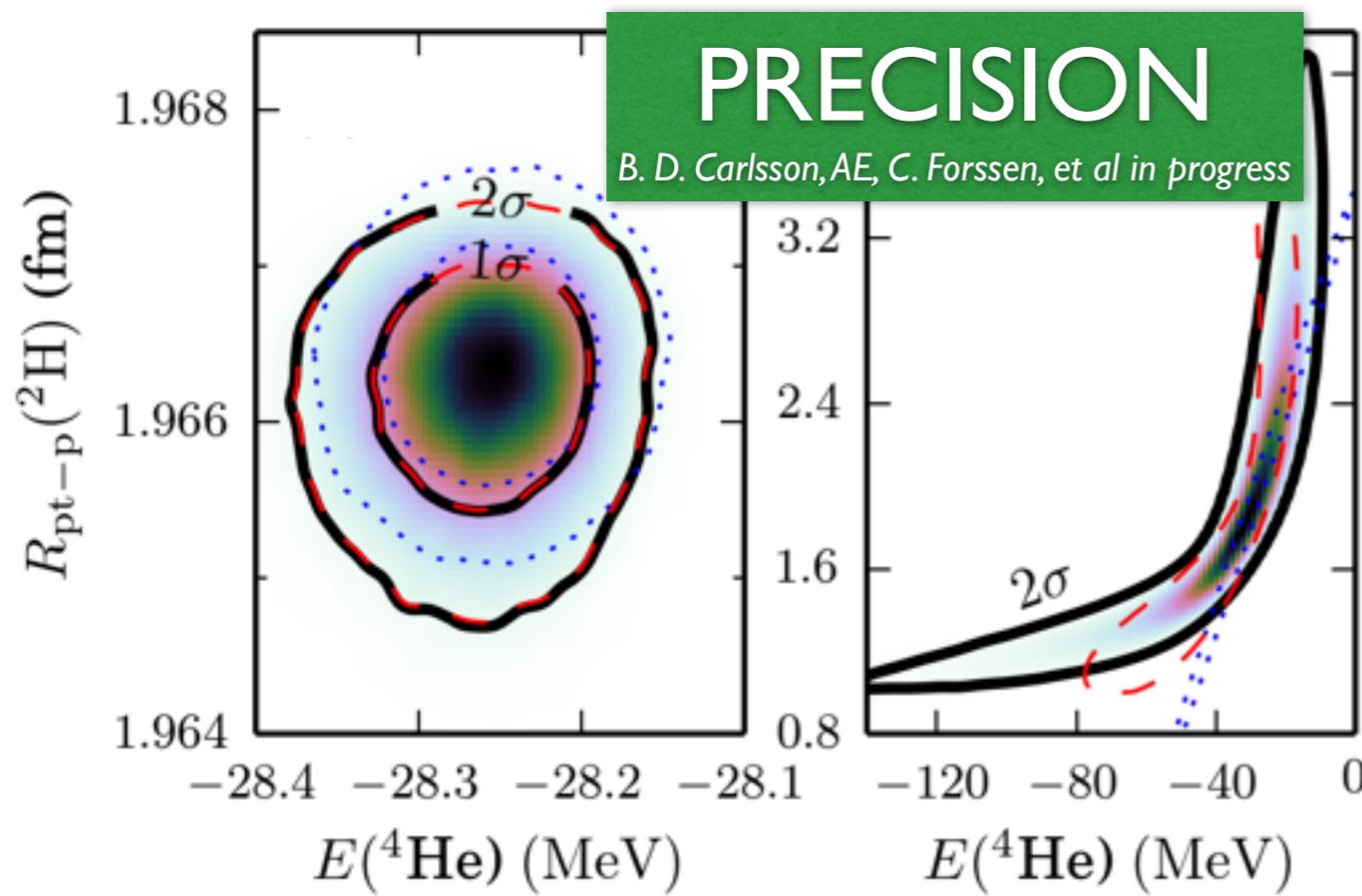
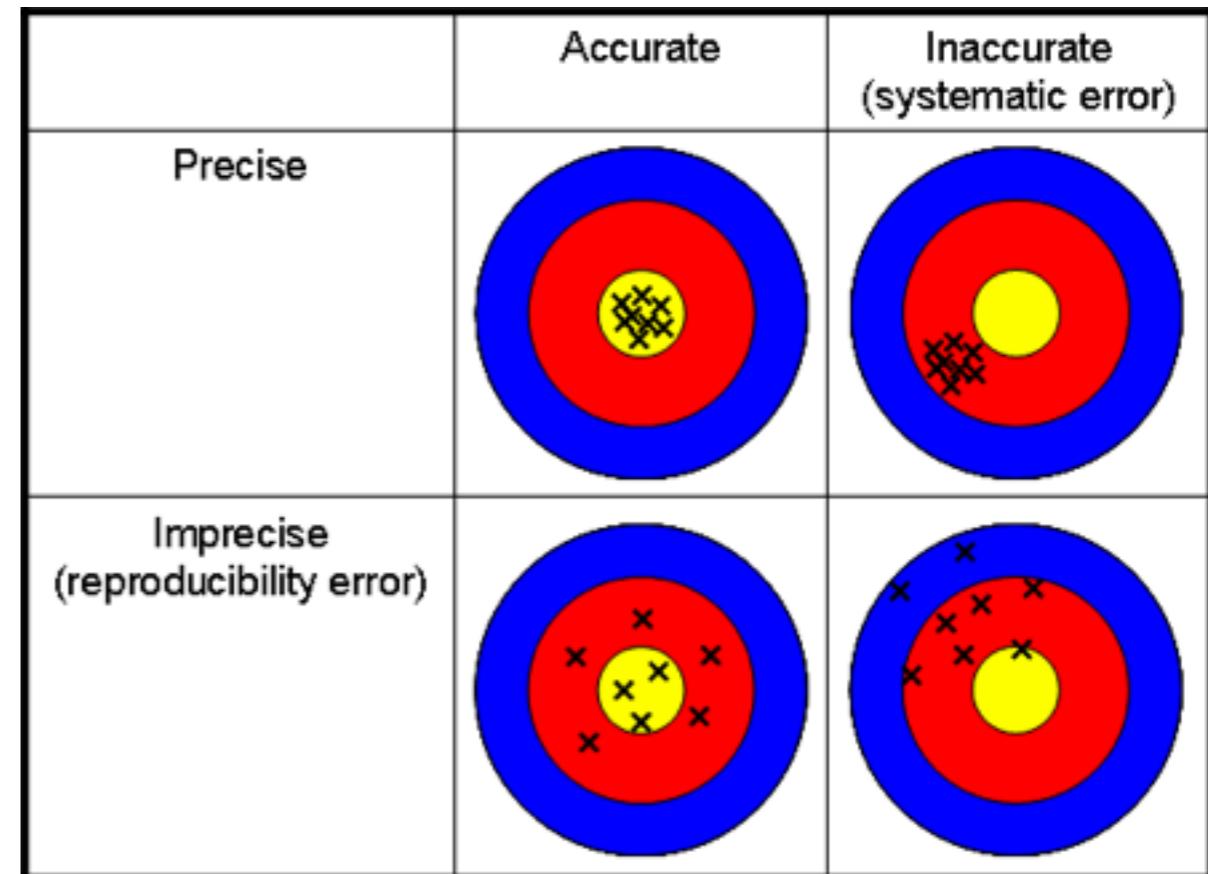
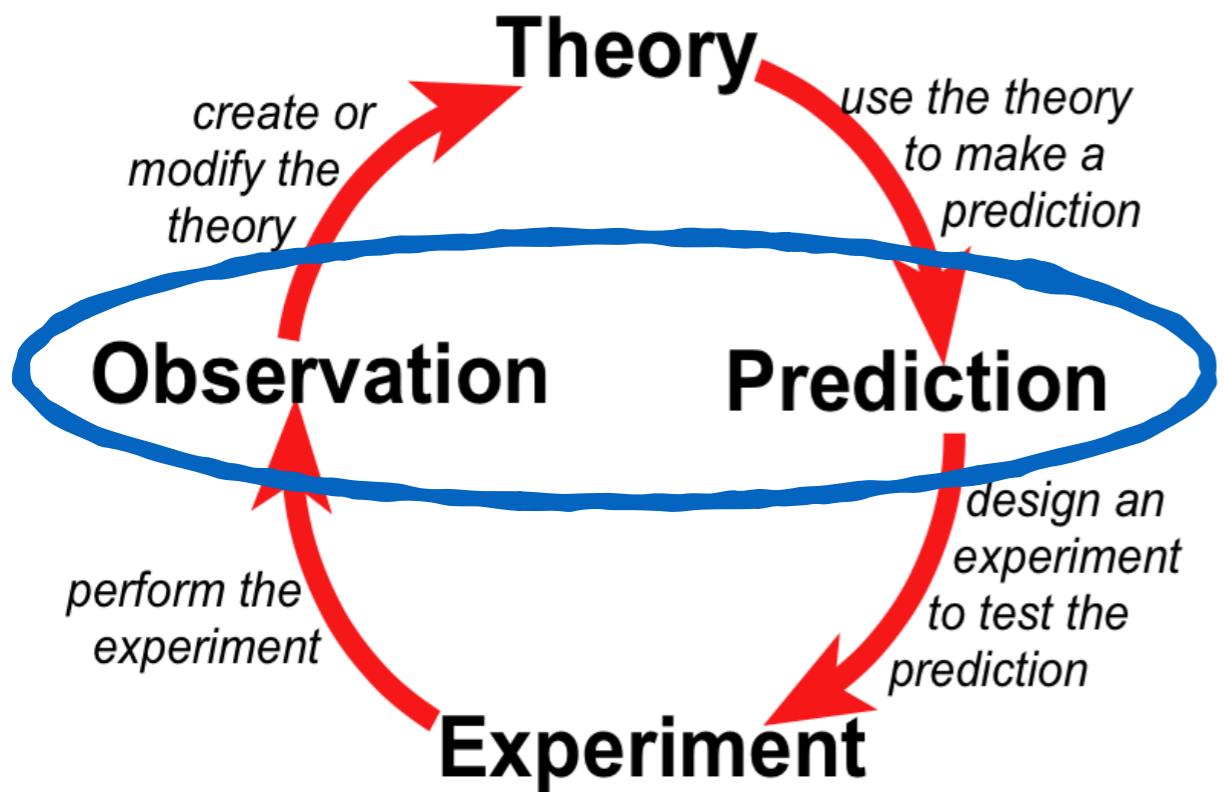
# This talk will be about precision and accuracy



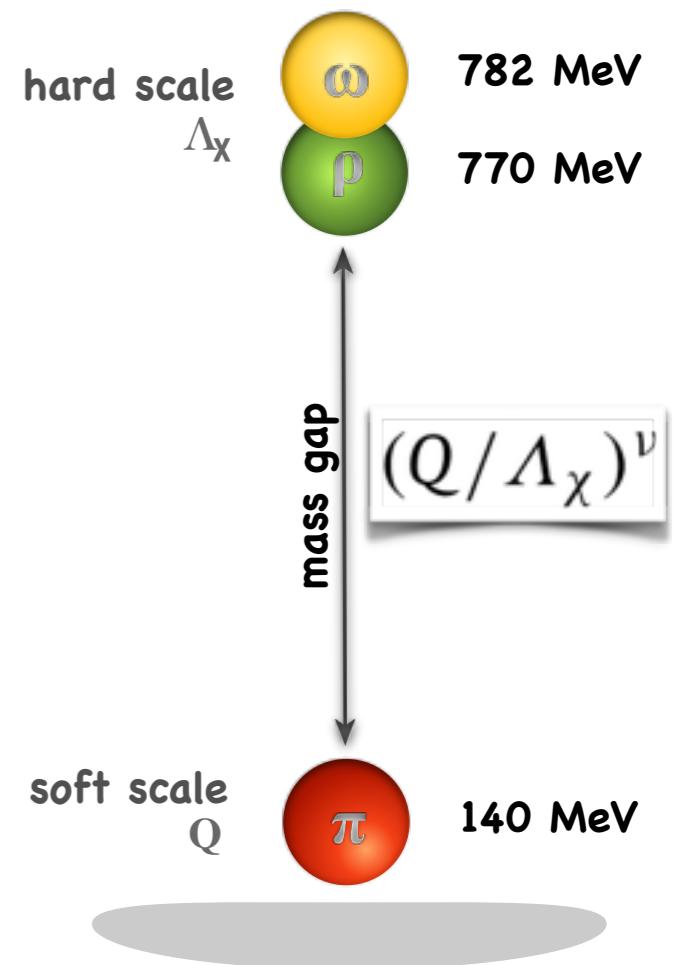
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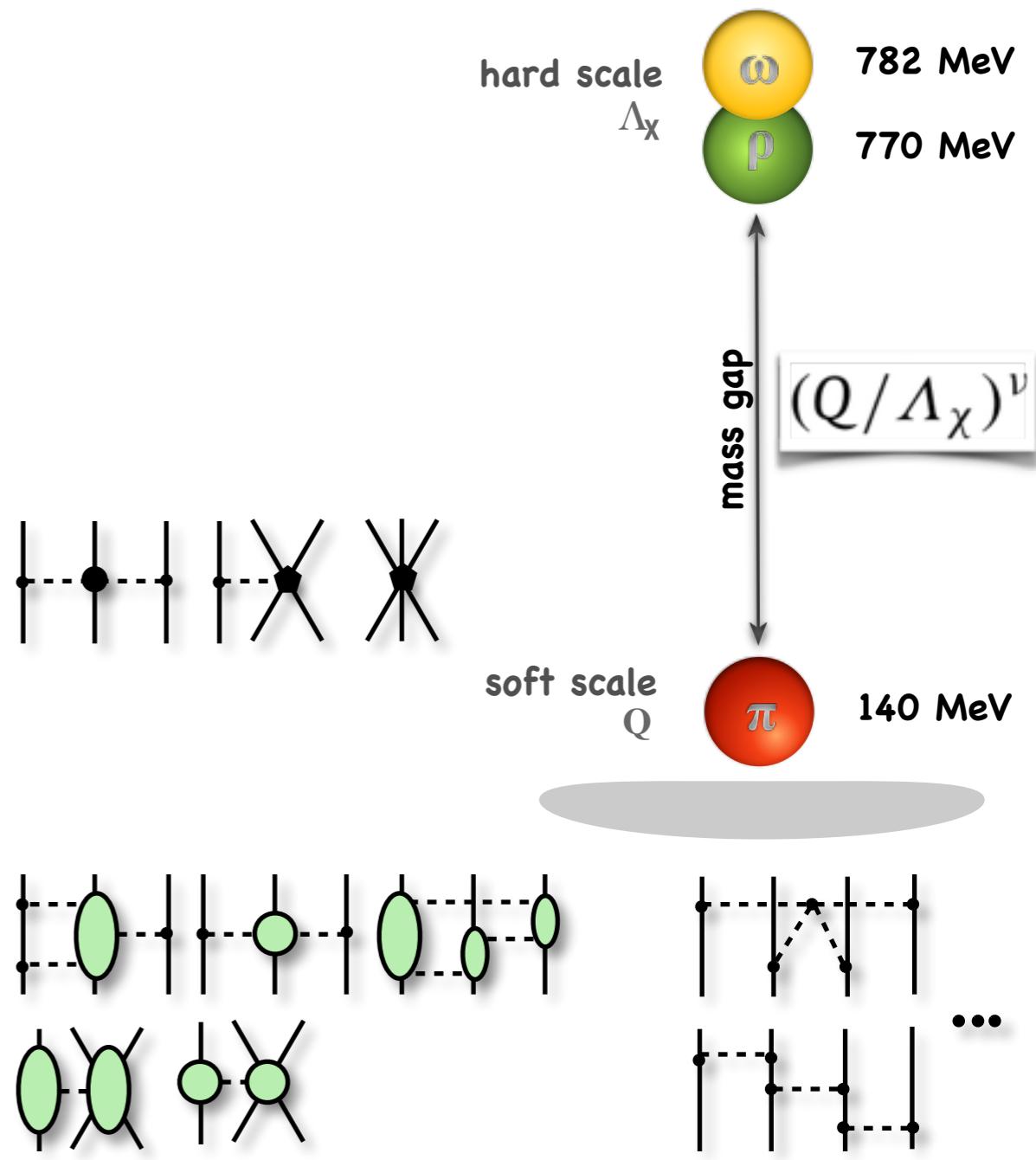
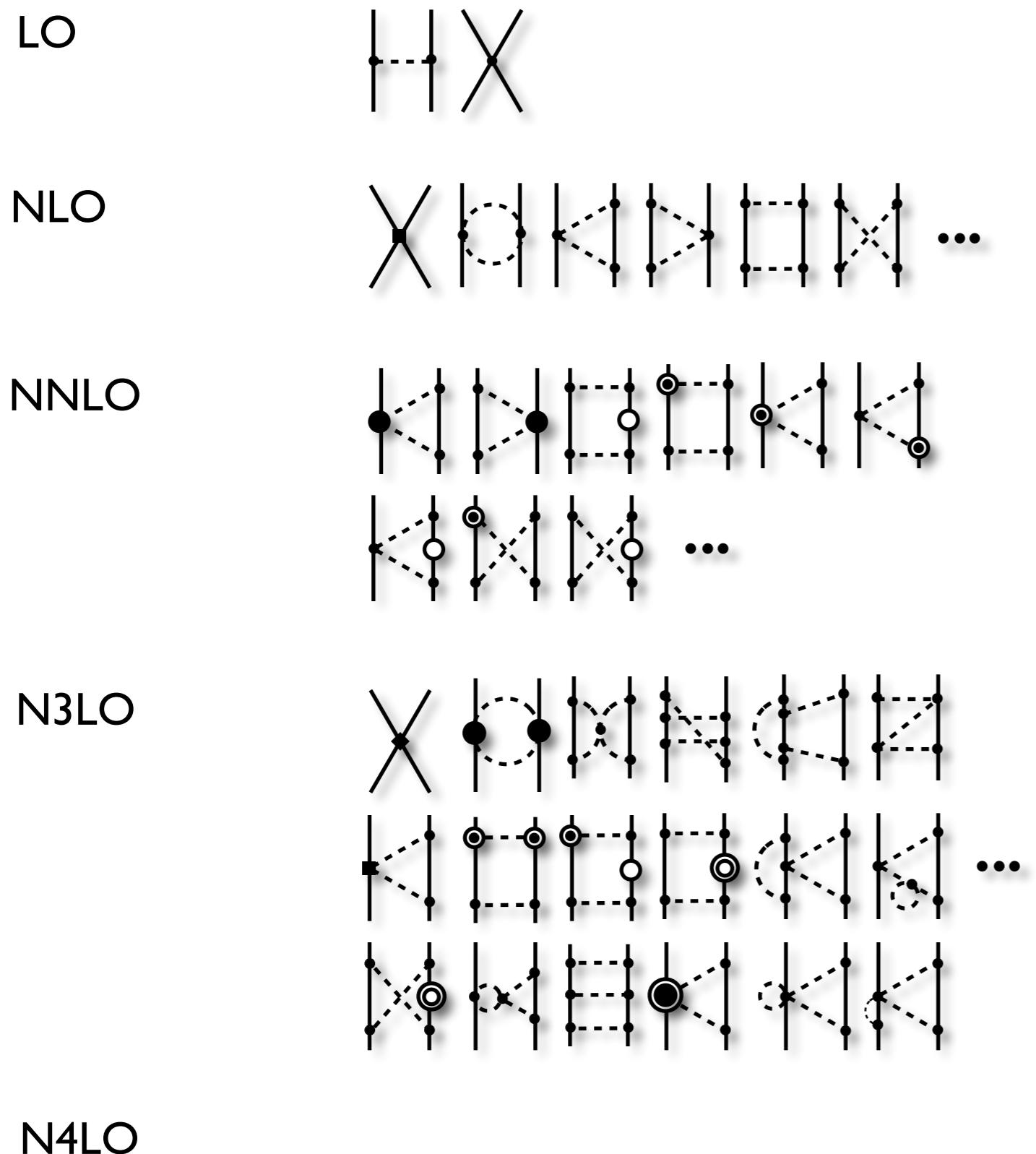
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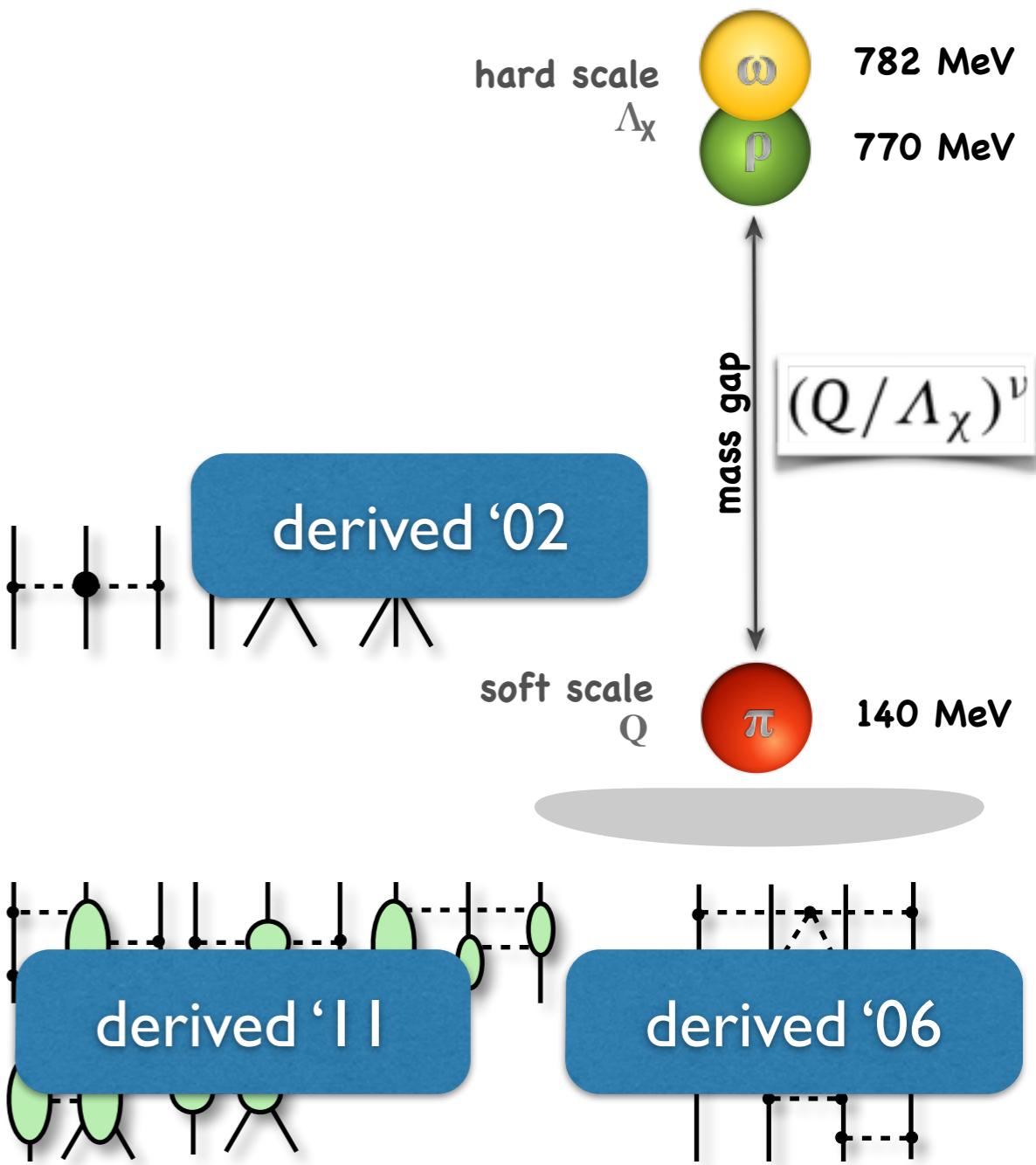
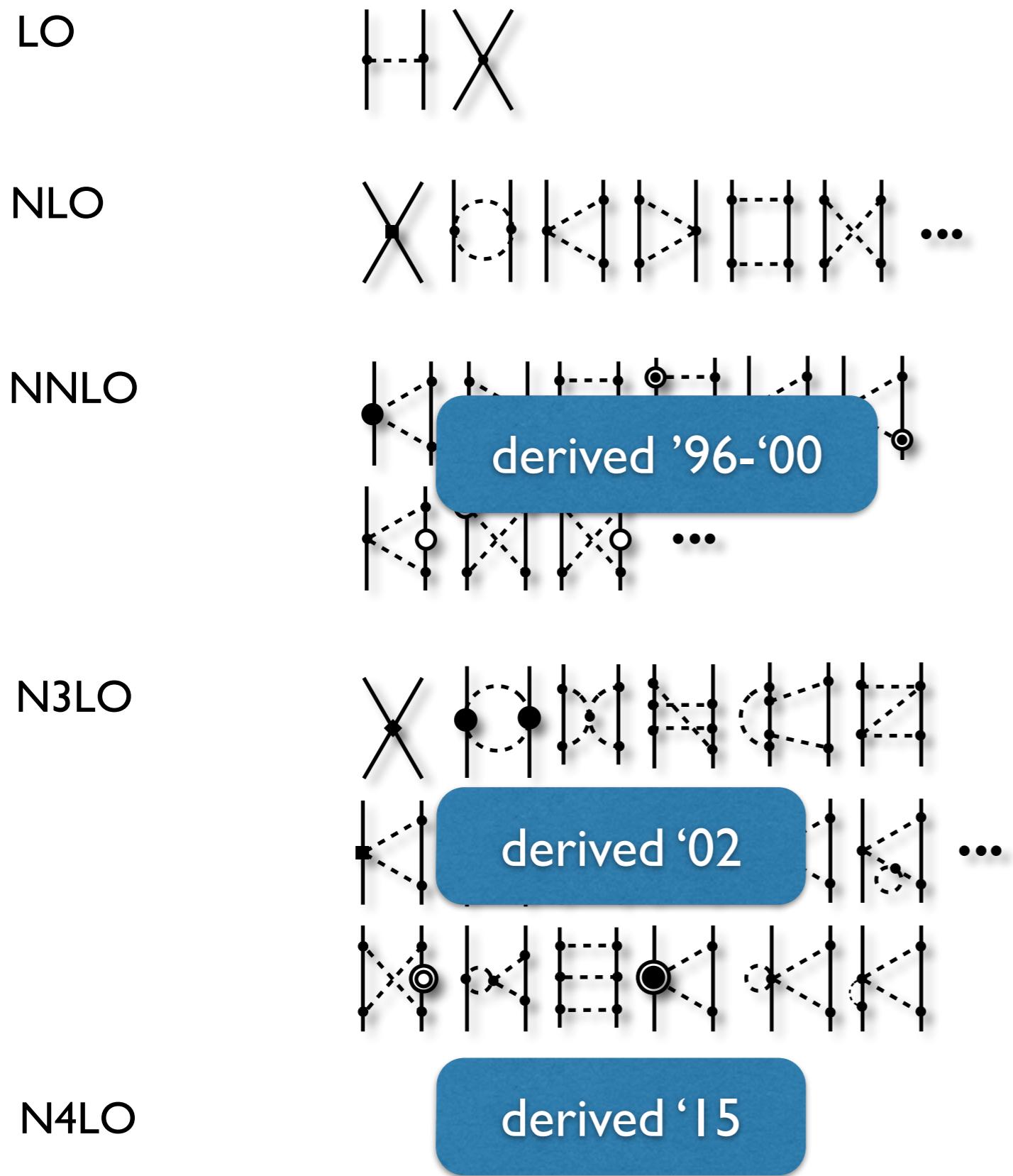
# Chiral Effective Field Theory (xEFT)



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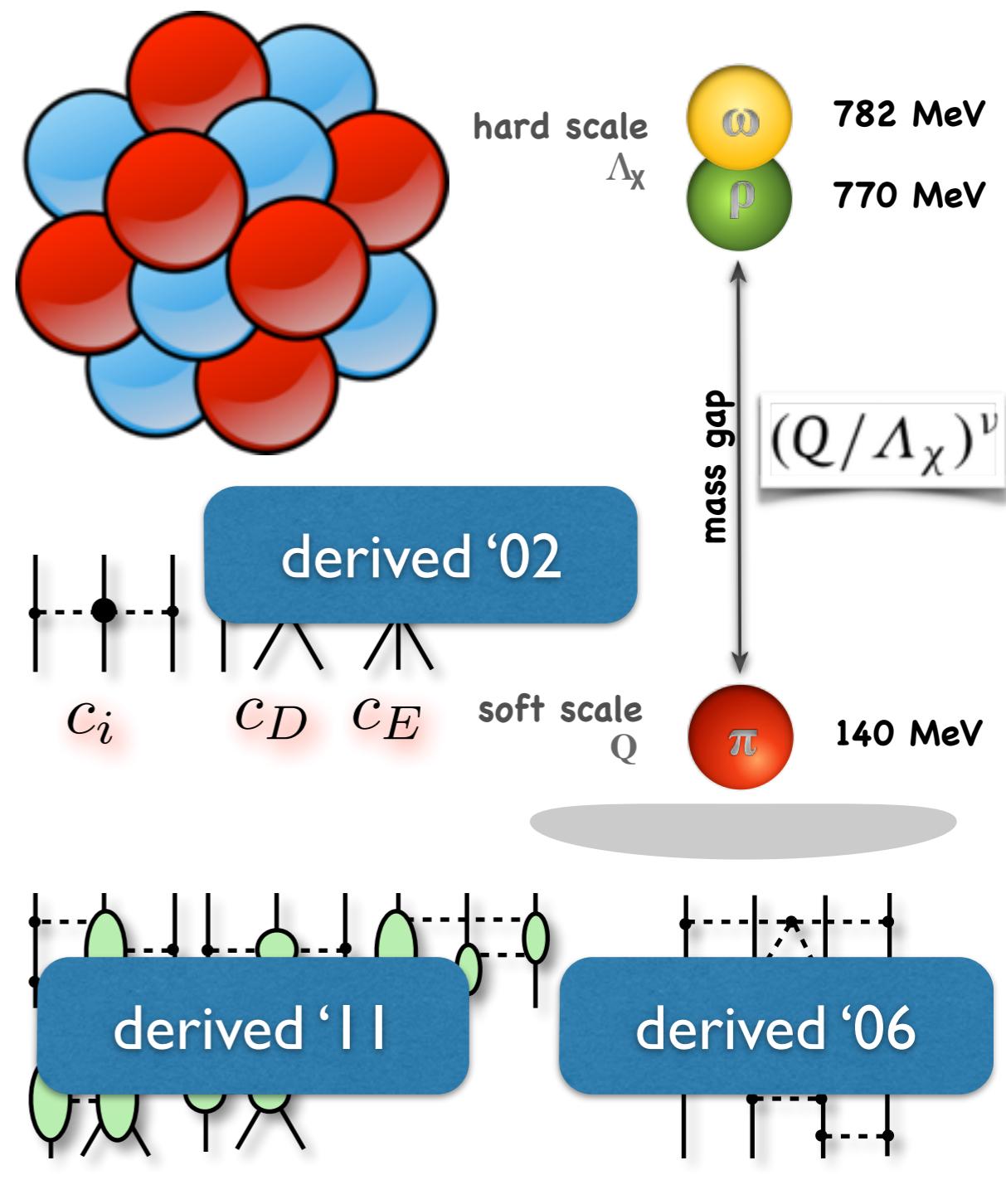
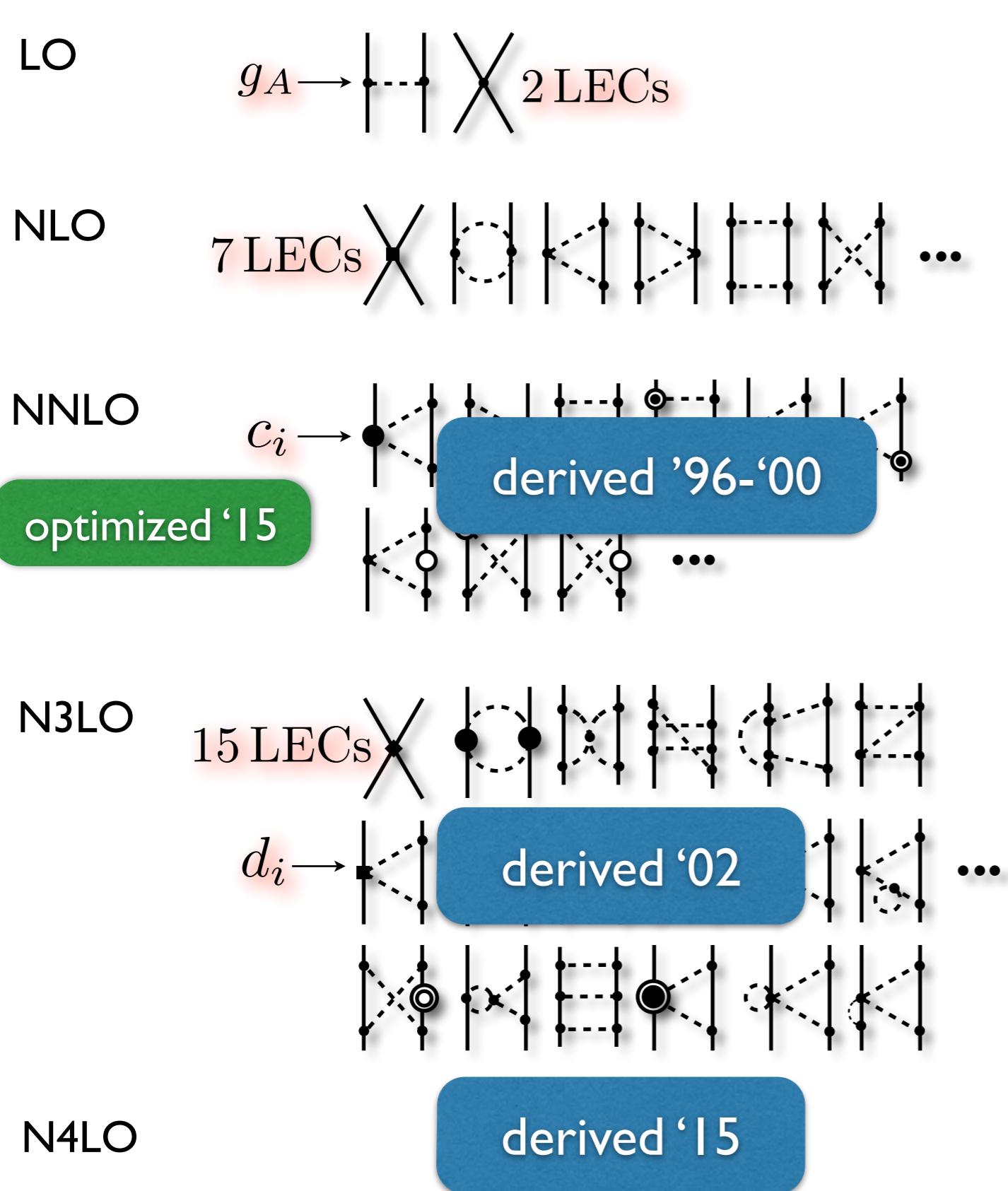


# Chiral Effective Field Theory (xEFT)



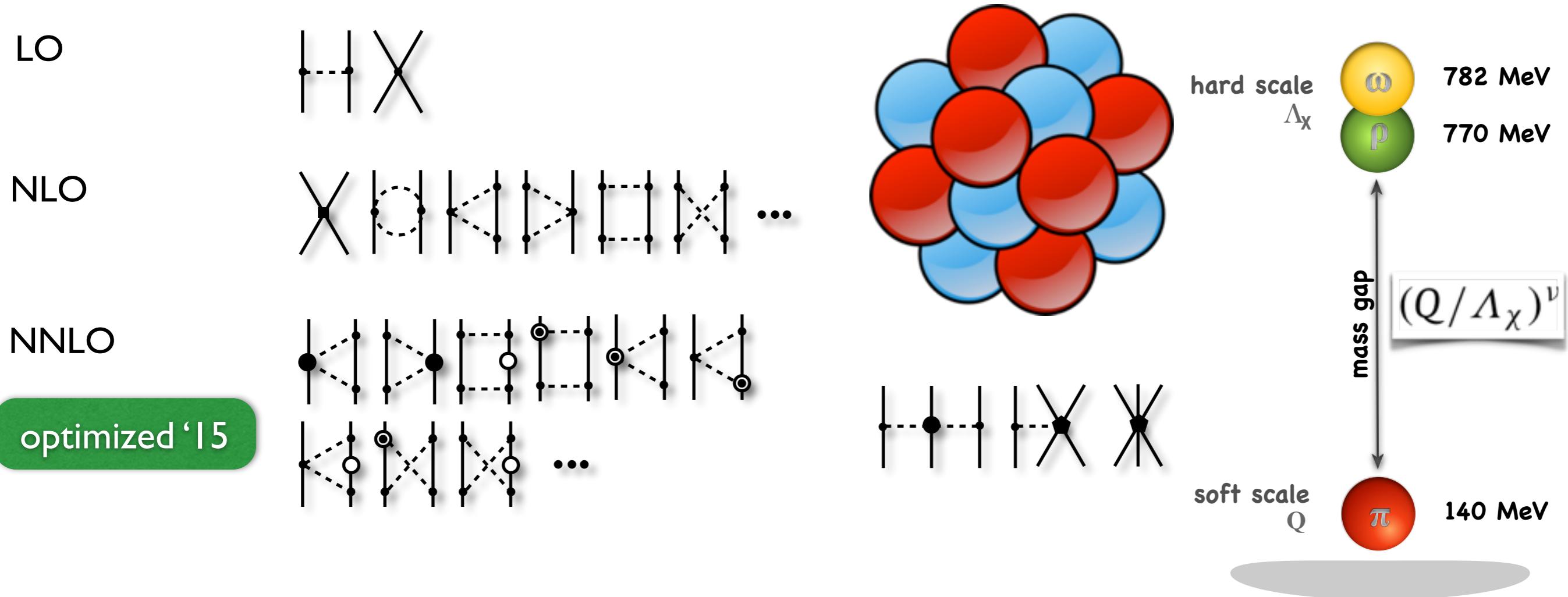
E. Epelbaum et al. Rev. Mod. Phys. 81, 1773 (2009)  
R. Machleidt et al. Phys. Rep. 503, 1 (2011)

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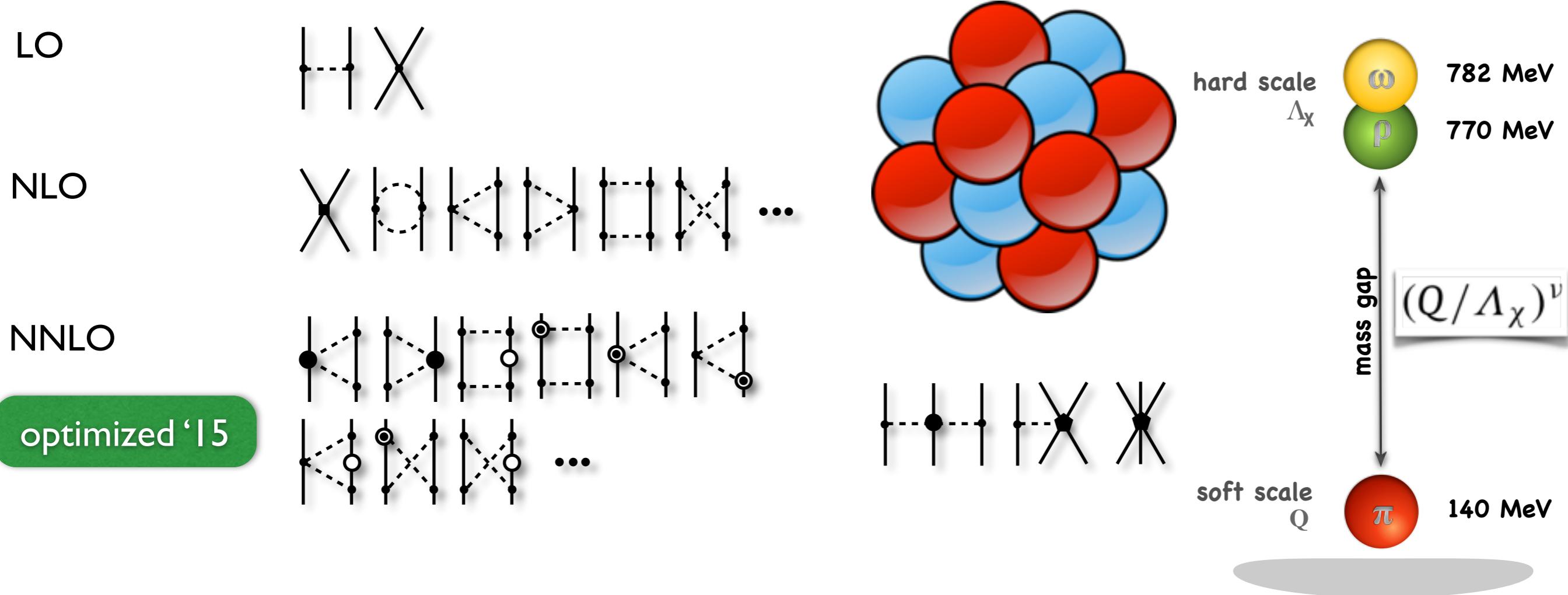
Nuclear physics at NNLO (AE et al PRL 110, 192502 (2013))

Statistical Uncertainties at NNLO (AE et al J. Phys. G. 42 034003 (2014))

Still many unresolved issues:

- order-by-order convergence
- uncertainties
- cutoff dependence
- power counting

# Chiral Effective Field Theory (xEFT)



Nuclear physics at NNLO (AE et al PRL 110, 192502 (2013))

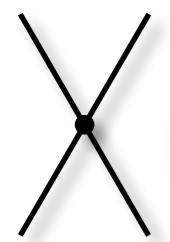
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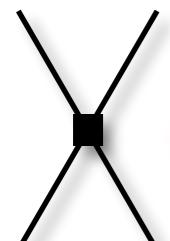
mathematical optimization and  
statistical regression are  
indispensable tools

# next-to-next-to-leading order (NNLO)



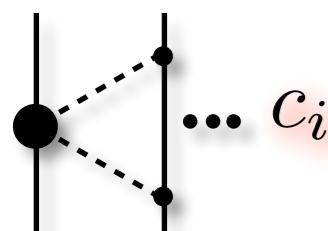
2 LECs

$$\tilde{C}_{^1S_0}^{pp} \tilde{C}_{^1S_0}^{np} \tilde{C}_{^1S_0}^{nn} \tilde{C}_{^3S_1}$$



7 LECs

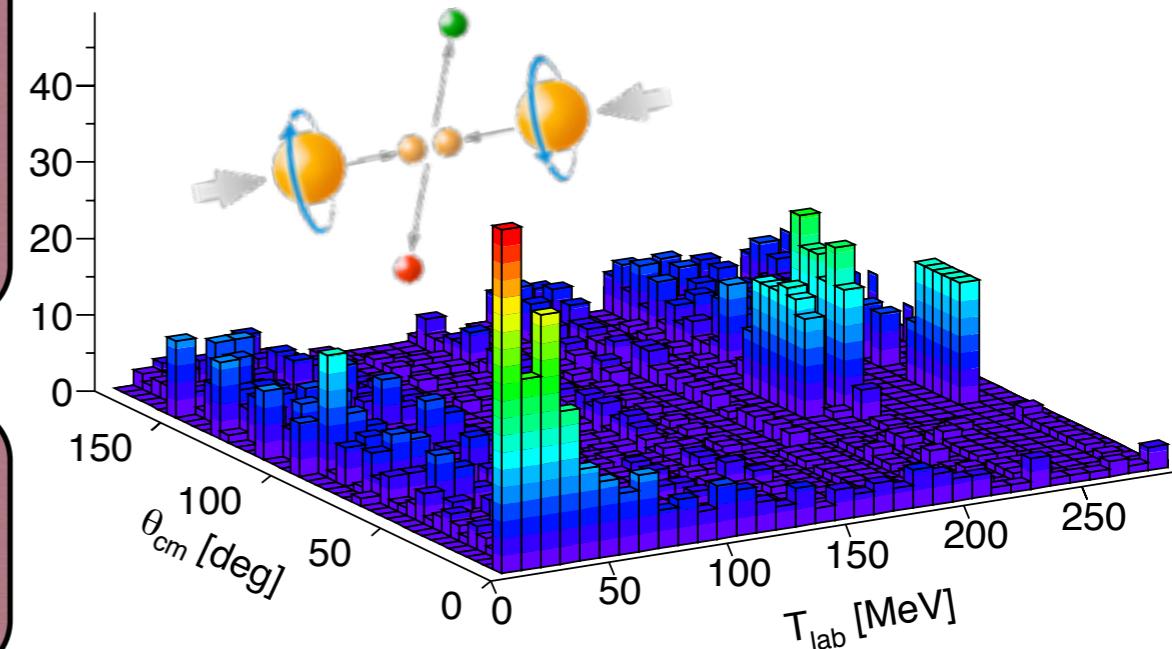
$$C_{^1S_0} C_{^3P_0} C_{^3P_1} C_{^3P_2} \\ C_{^1P_1} C_{^3S_1} C_{^3S_1} - ^3D_1$$



$\dots c_i$

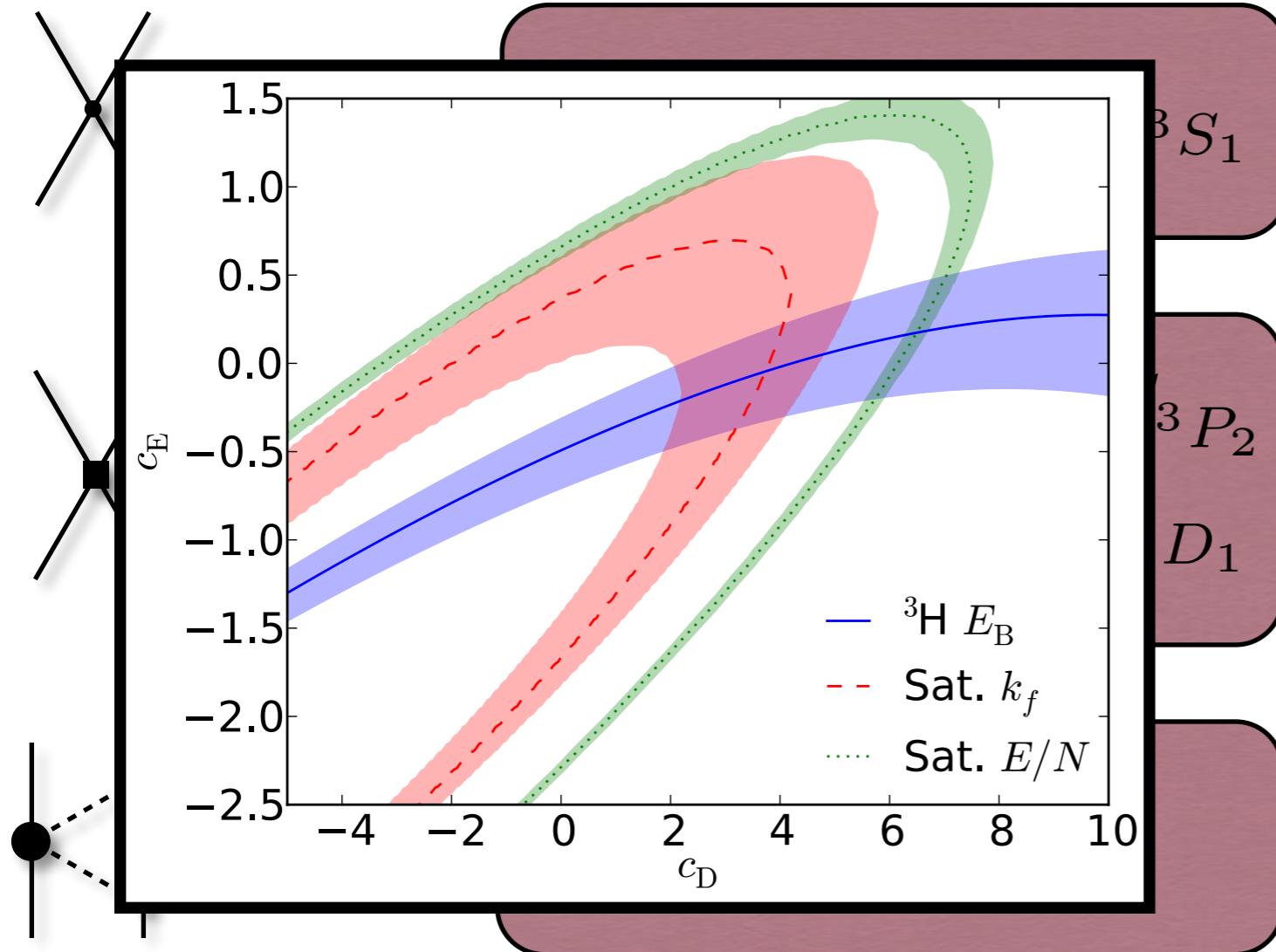
$$c_1 \quad c_3 \quad c_4$$

$$\chi^2(\mathbf{p}) = \sum_{i \in \mathbb{M}} \left( \frac{\mathcal{O}_i^{\text{theo}}(\mathbf{p}) - \mathcal{O}_i^{\text{exp}}}{\sigma_i^{\text{total}}} \right)^2$$

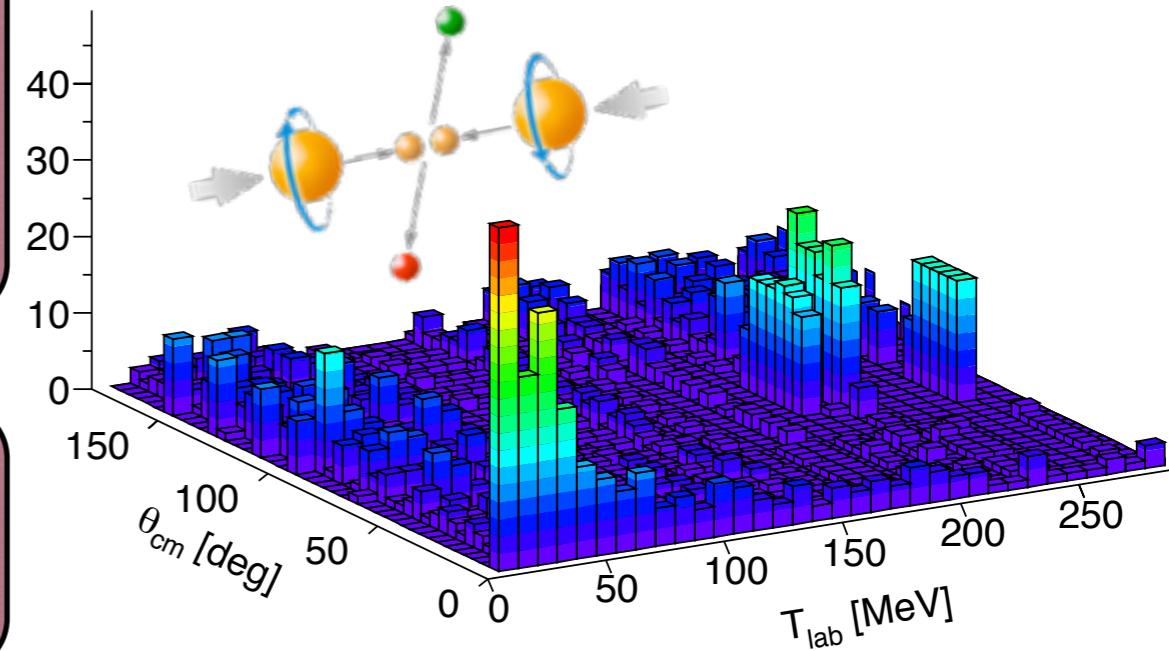


T <sub>lab</sub> (MeV)	Idaho-N3LO	AV18
0-100	1.06	0.95
100-190	1.08	1.1
190-290	1.15	1.11
0-290	<b>1.10</b>	<b>1.04</b>

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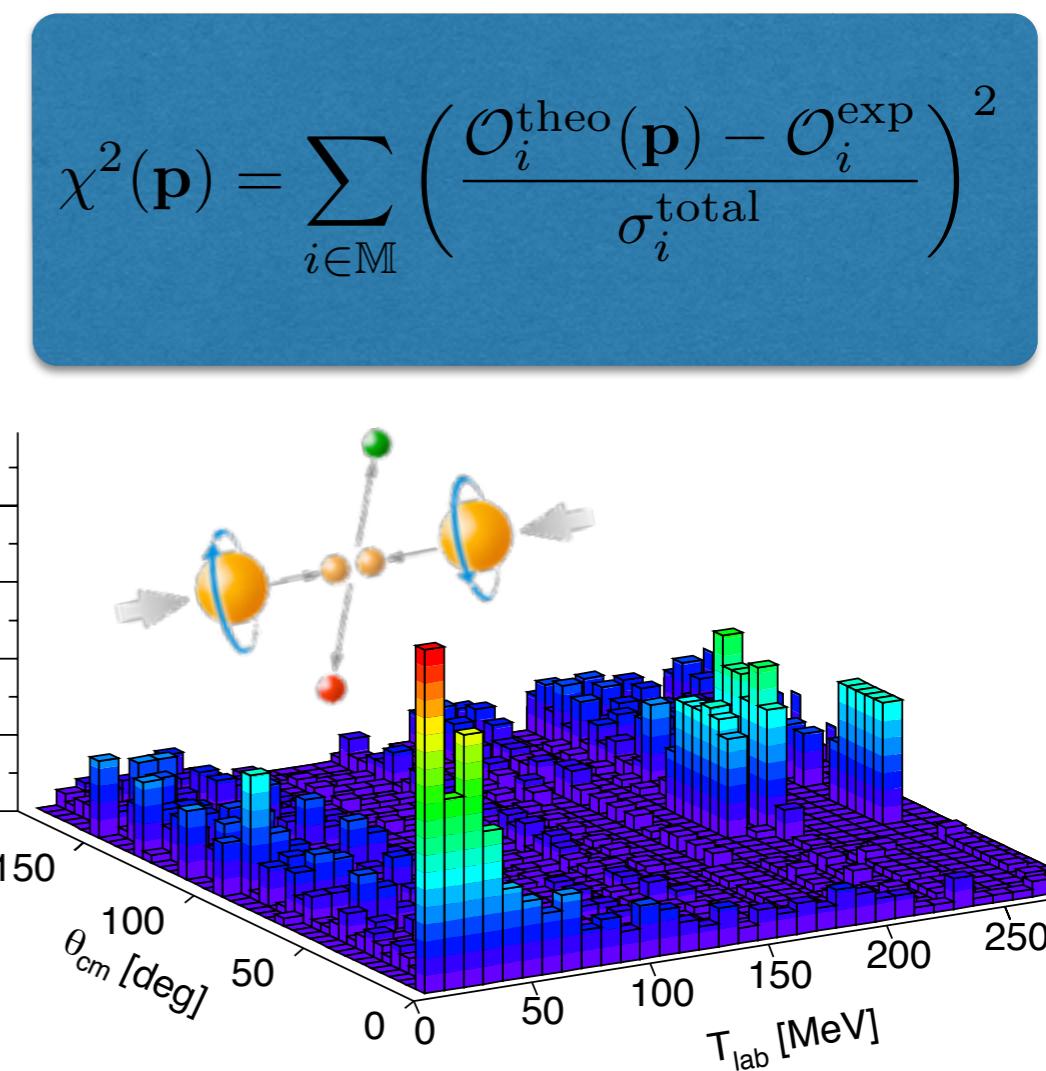
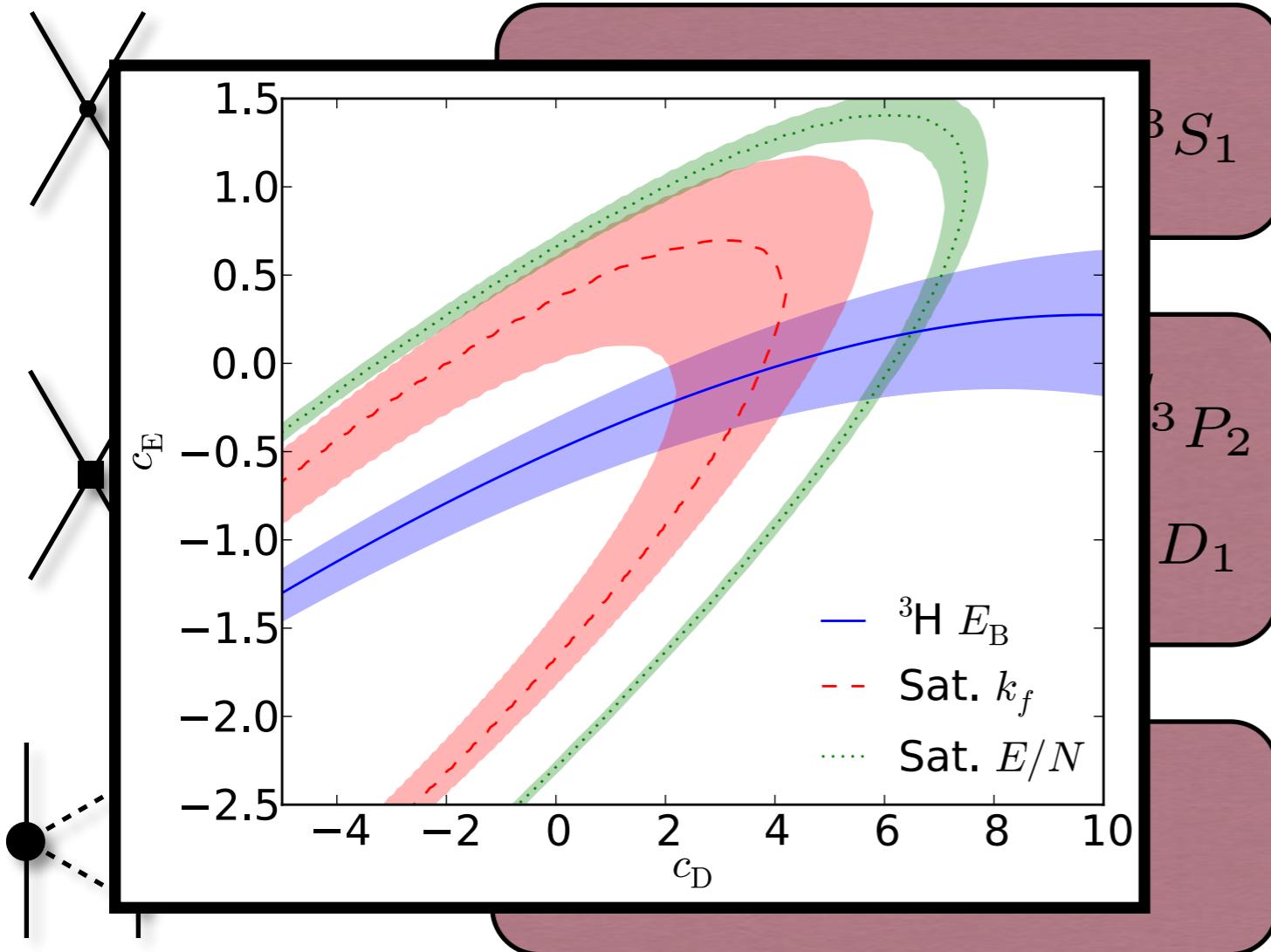


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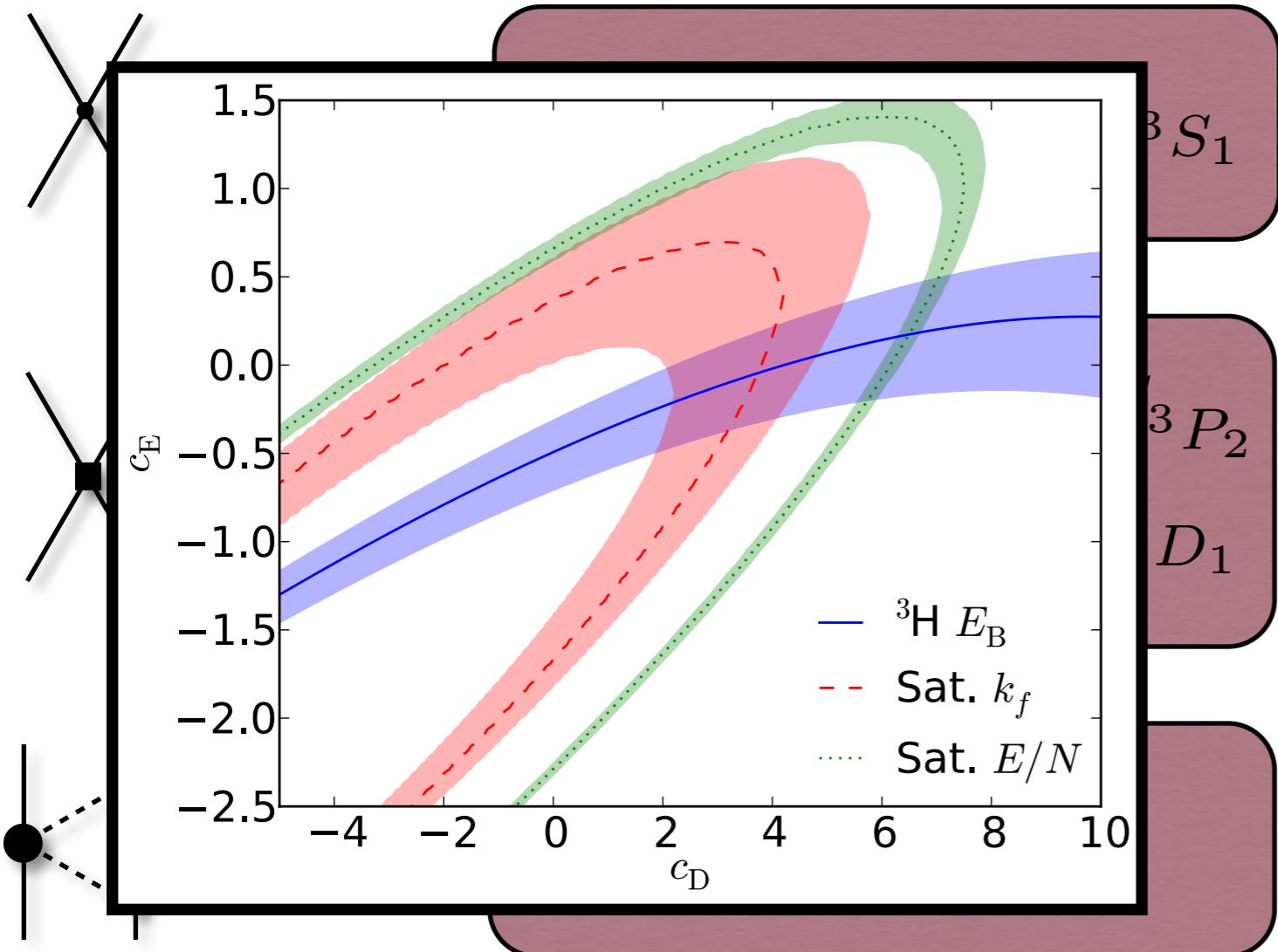
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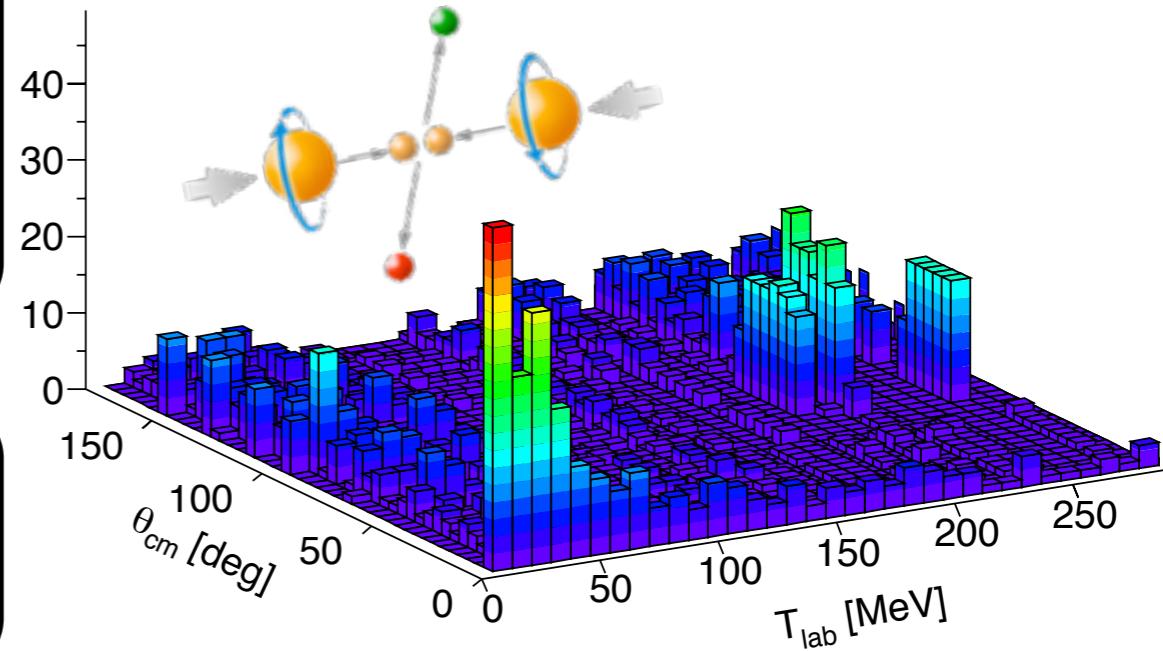
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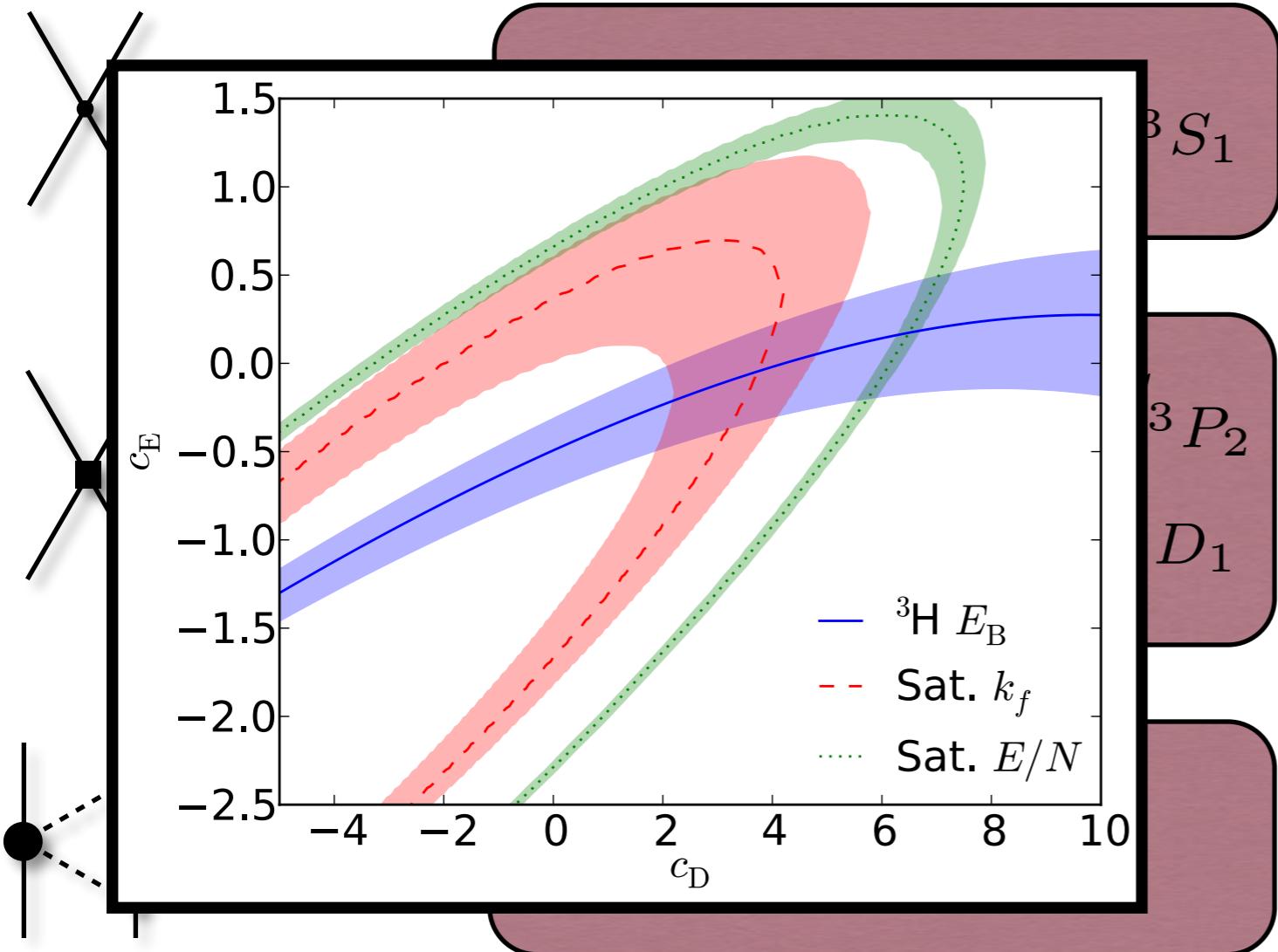
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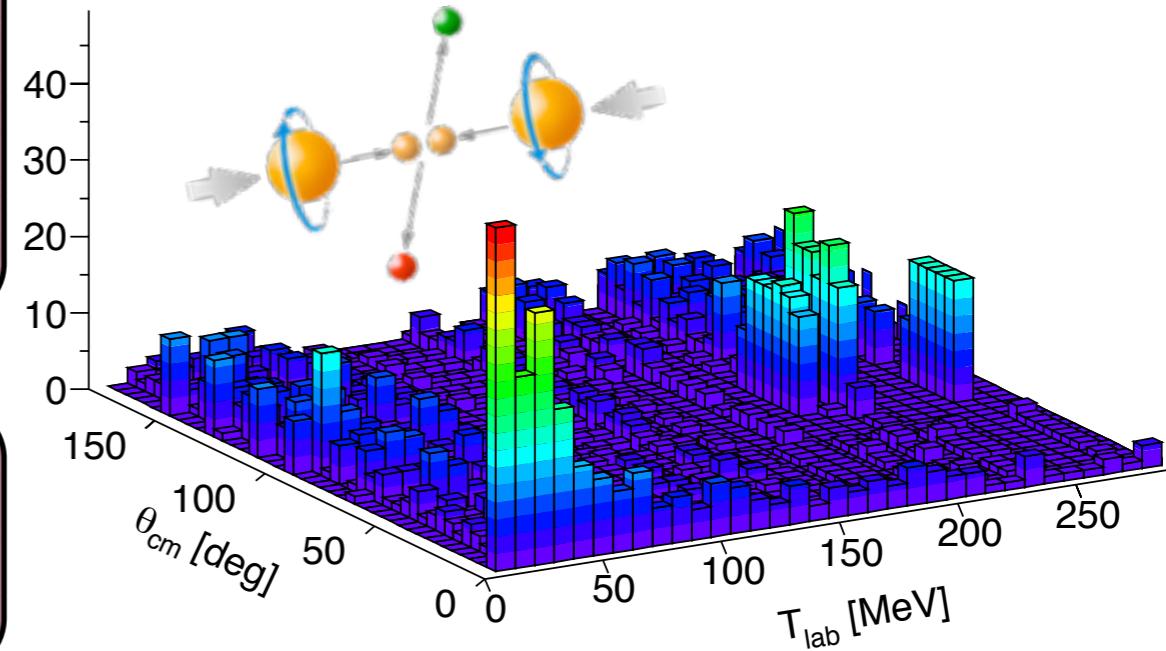
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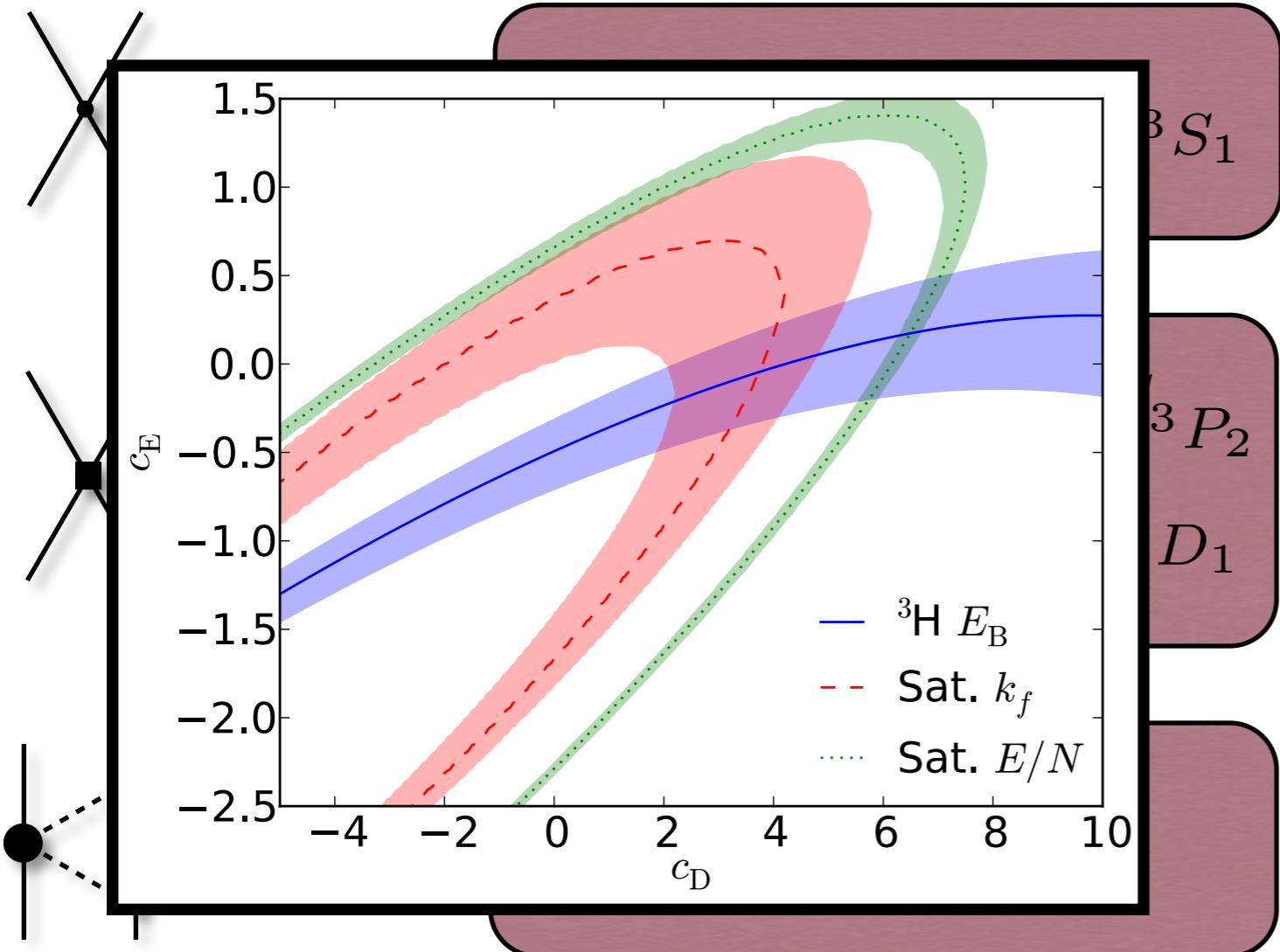
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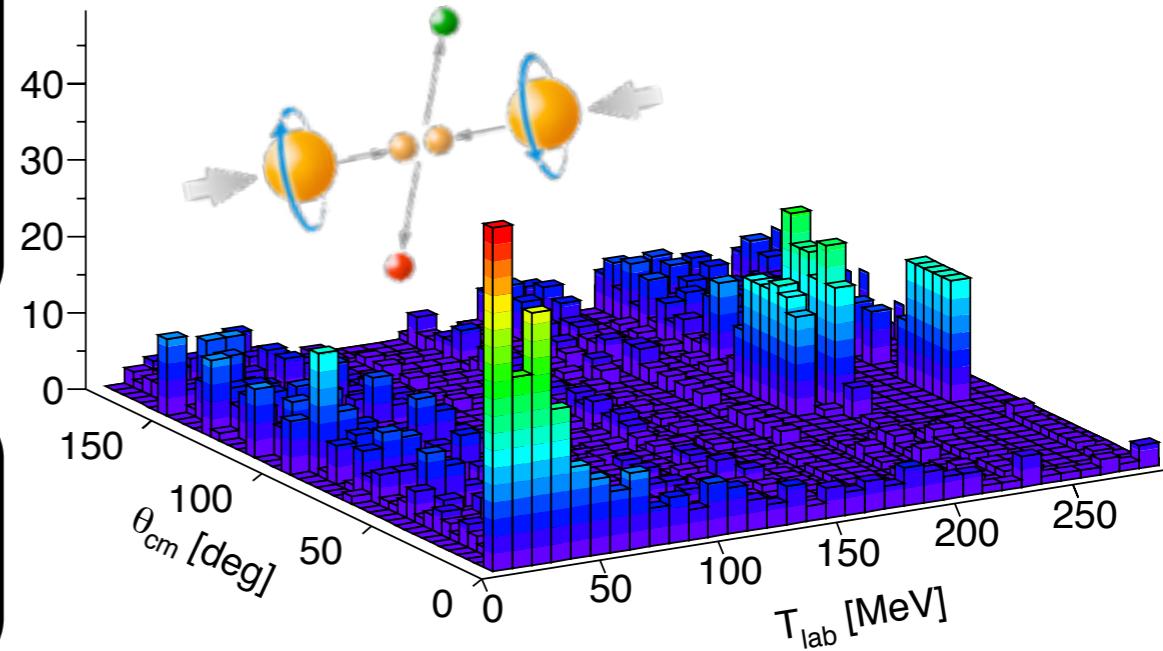
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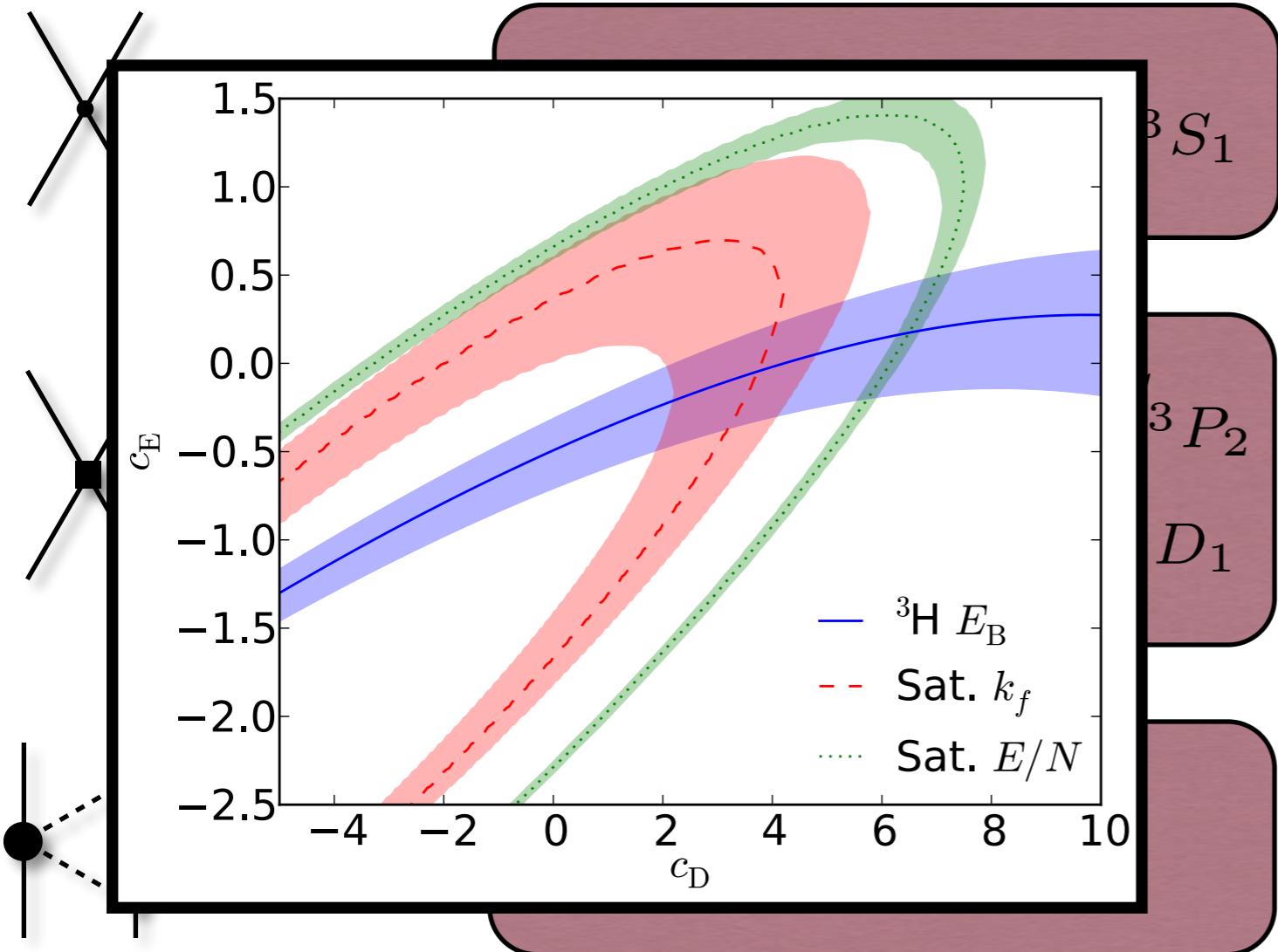
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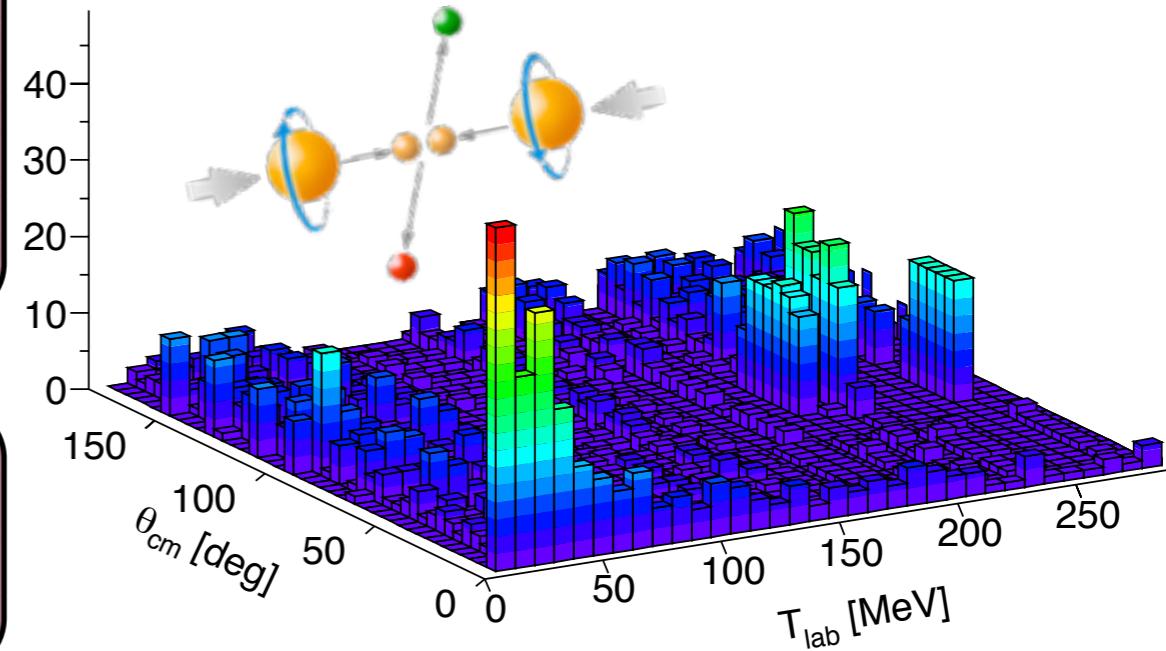
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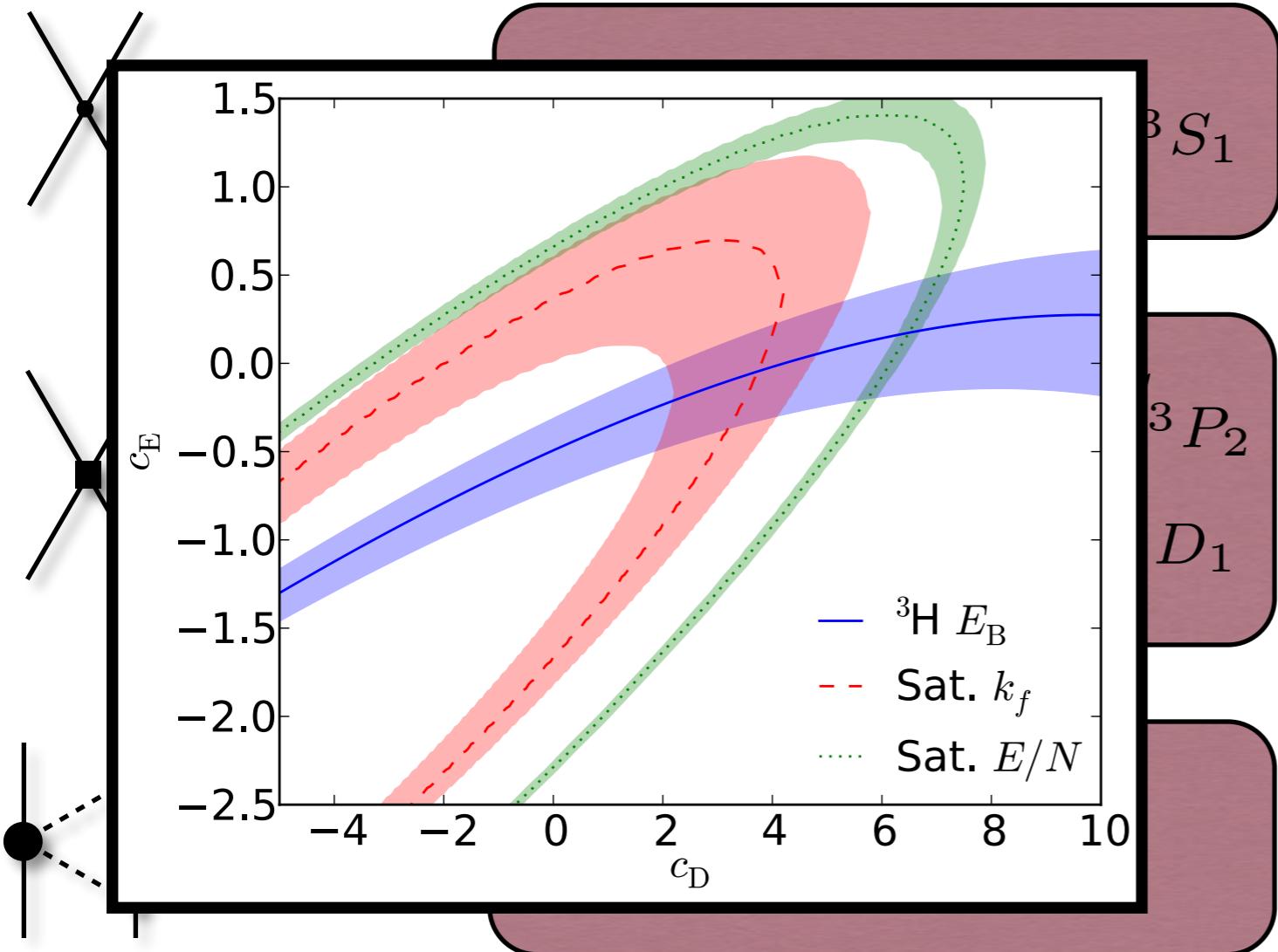
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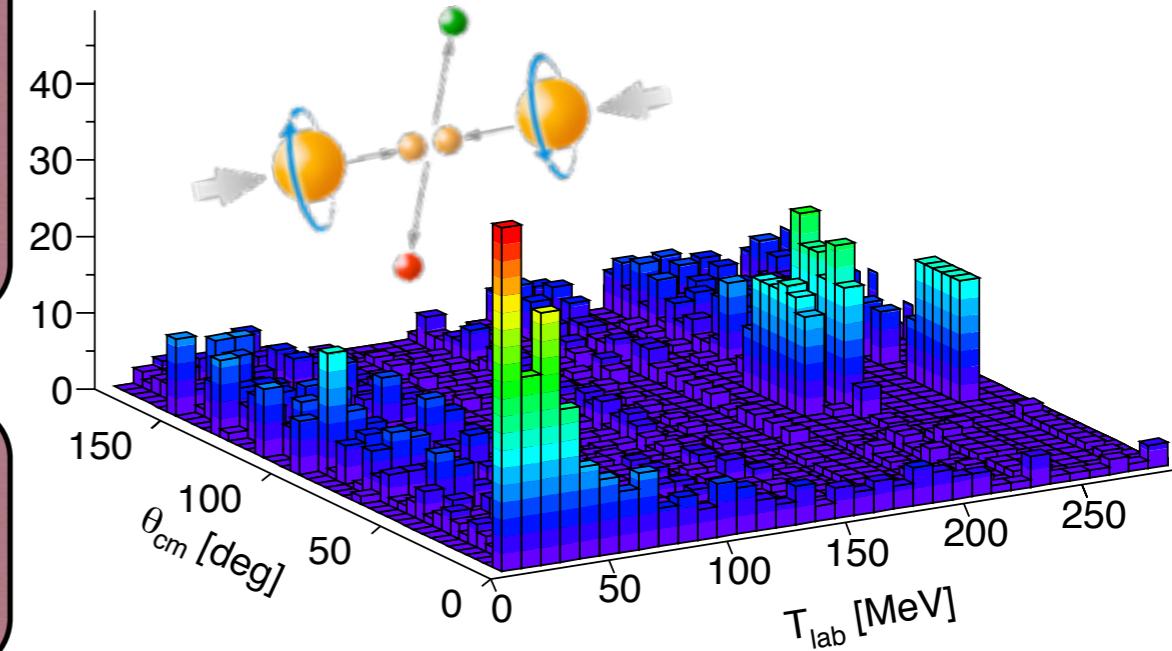
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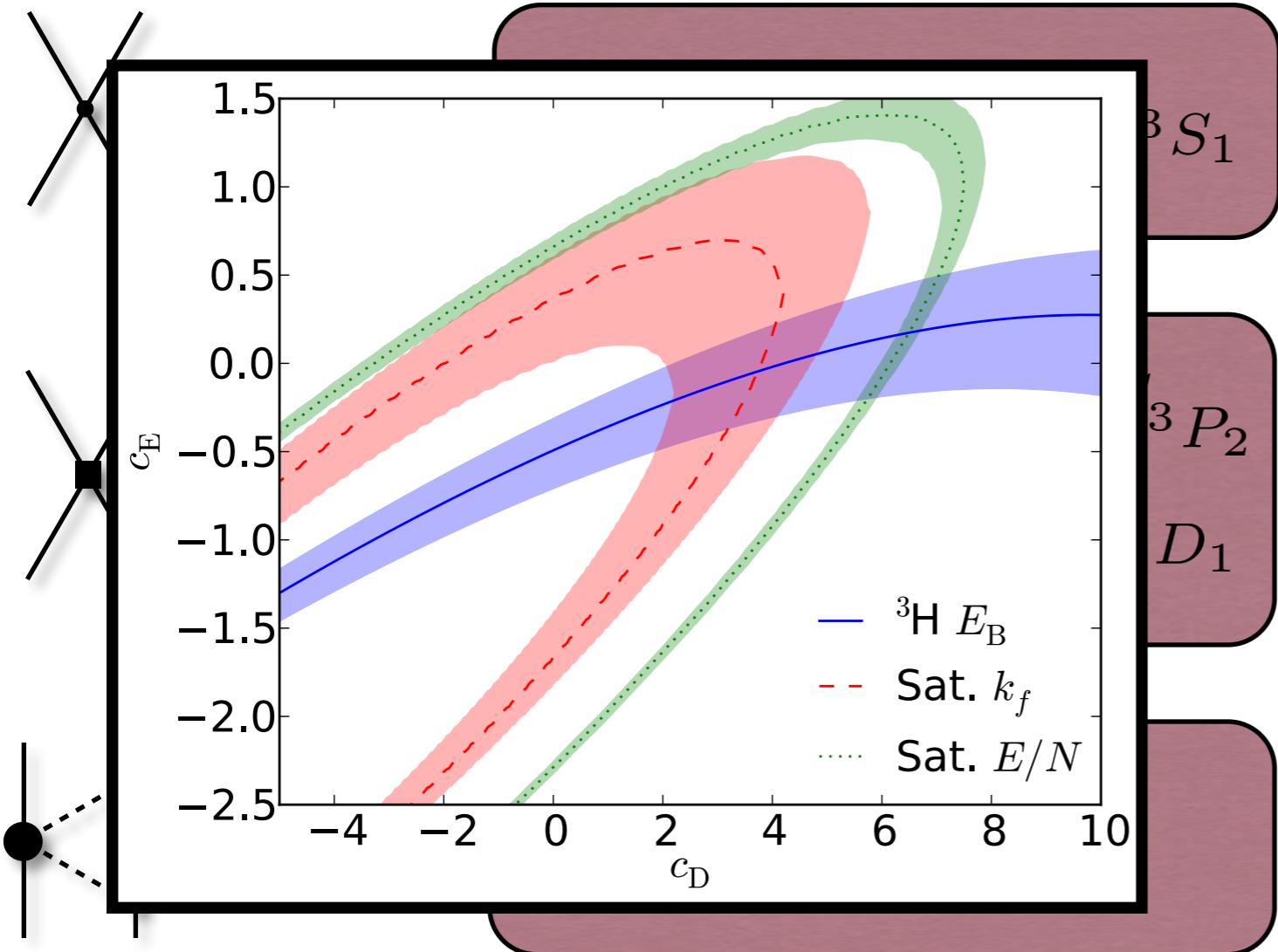
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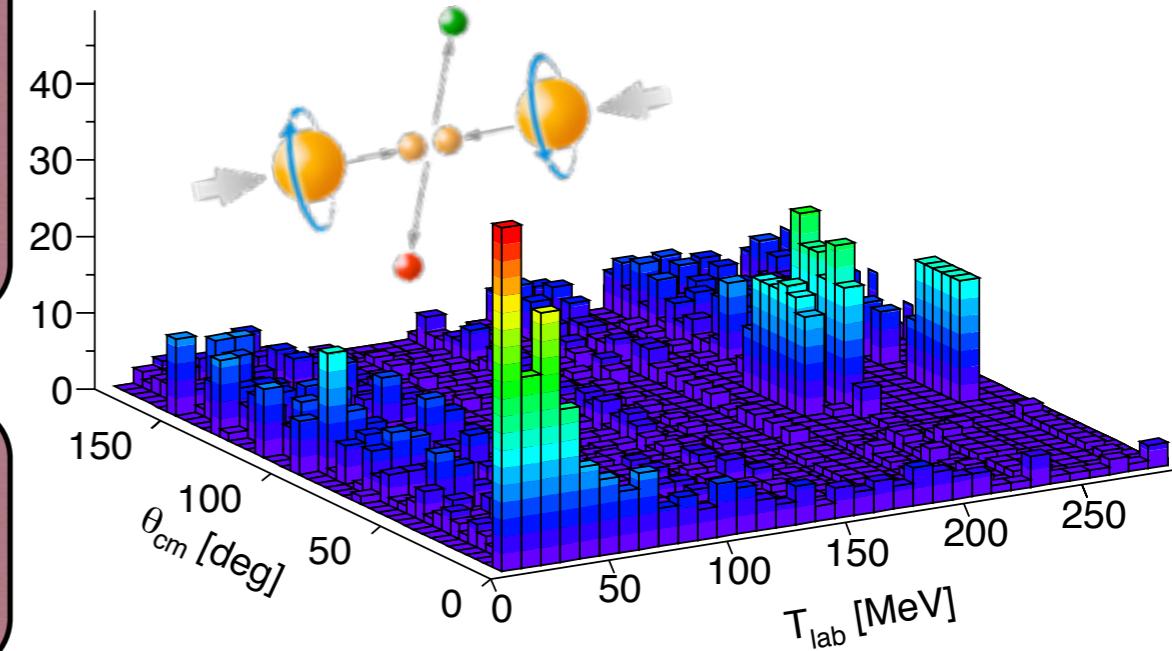
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- Sub-leading pion-nucleon LECs taken from separate pion-nucleon analysis.
- Several choices in what scattering database to use.
- Low-energy and high-energy data weighted equally.
- Electromagnetic effects sometimes neglected.
- LECs tuned by hand more often than not.

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190-290	1.15	1.11
0-290	<b>1.10</b>	<b>1.04</b>

# next-to-next-to-leading order (NNLO)



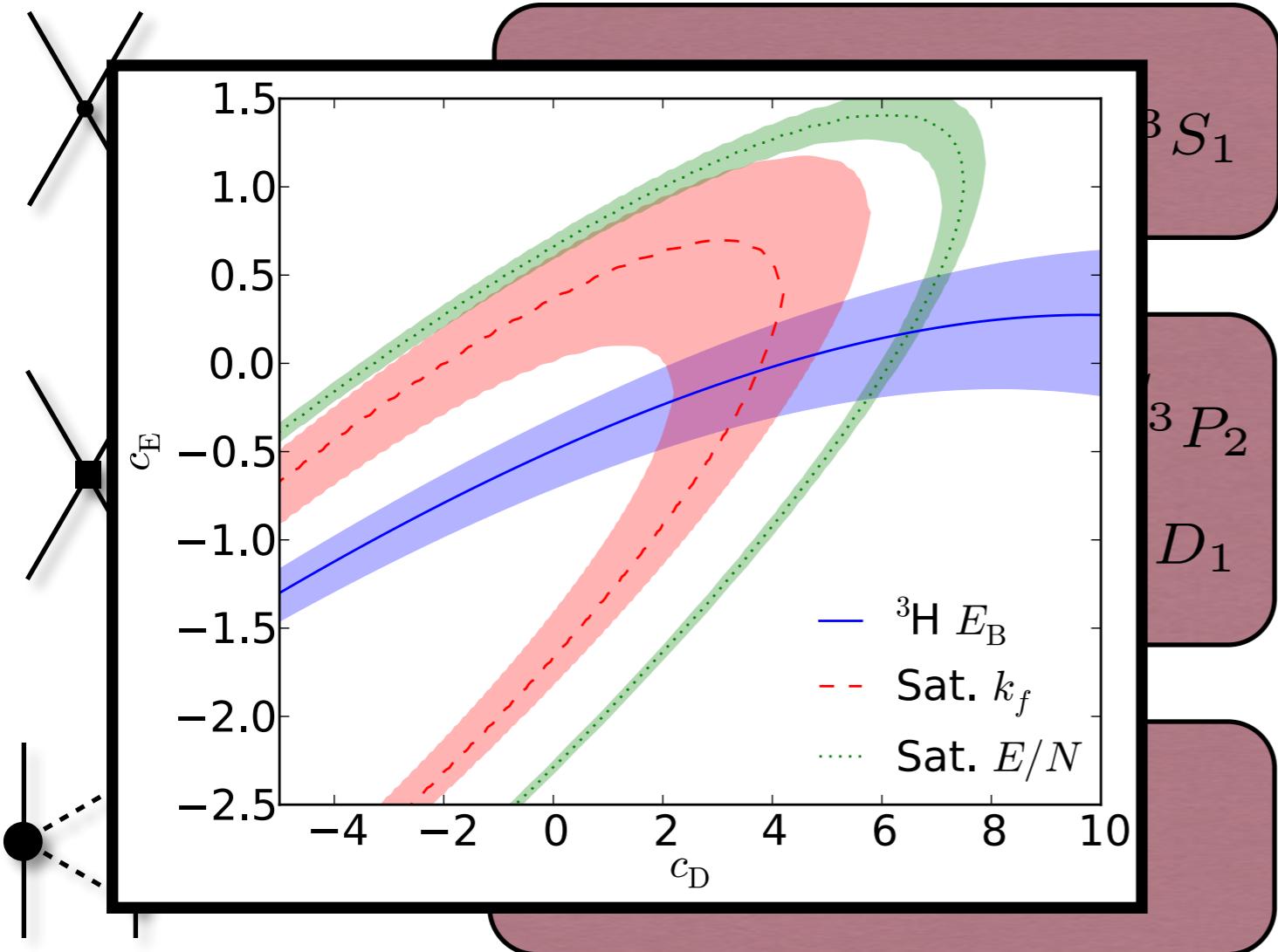
$$\chi^2(\mathbf{p}) = \sum_{i \in \mathbb{M}} \left( \frac{\mathcal{O}_i^{\text{theo}}(\mathbf{p}) - \mathcal{O}_i^{\text{exp}}}{\sigma_i^{\text{total}}} \right)^2$$



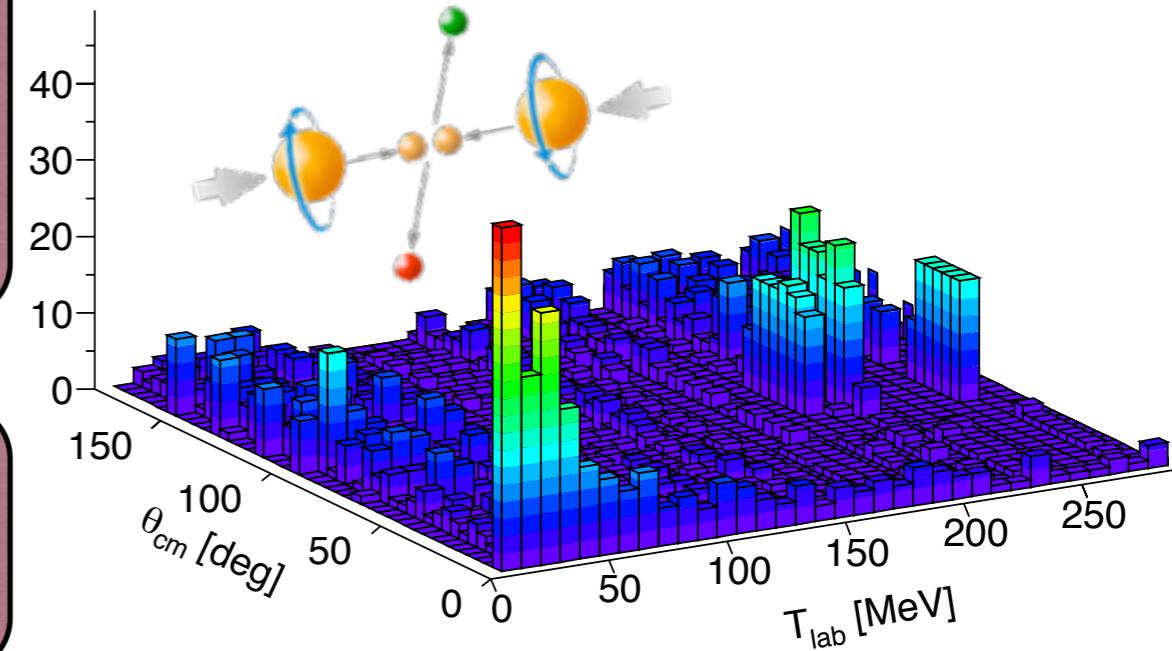
- NNN-force always fitted to an existing NN-force.
- Sub-leading pion-nucleon LECs taken from separate pion-nucleon analysis.
- Several choices in what scattering database to use.
- Low-energy and high-energy data weighted equally.
- Electromagnetic effects sometimes neglected.
- LECs tuned by hand more often than not.
- What are the resulting uncertainties (and there are several of them....)

T <sub>lab</sub> (MeV)	Idaho-N3LO	AV18
0-100	1.06	0.95
100-190	1.08	1.1
190-290	1.15	1.11
0-290	<b>1.10</b>	<b>1.04</b>

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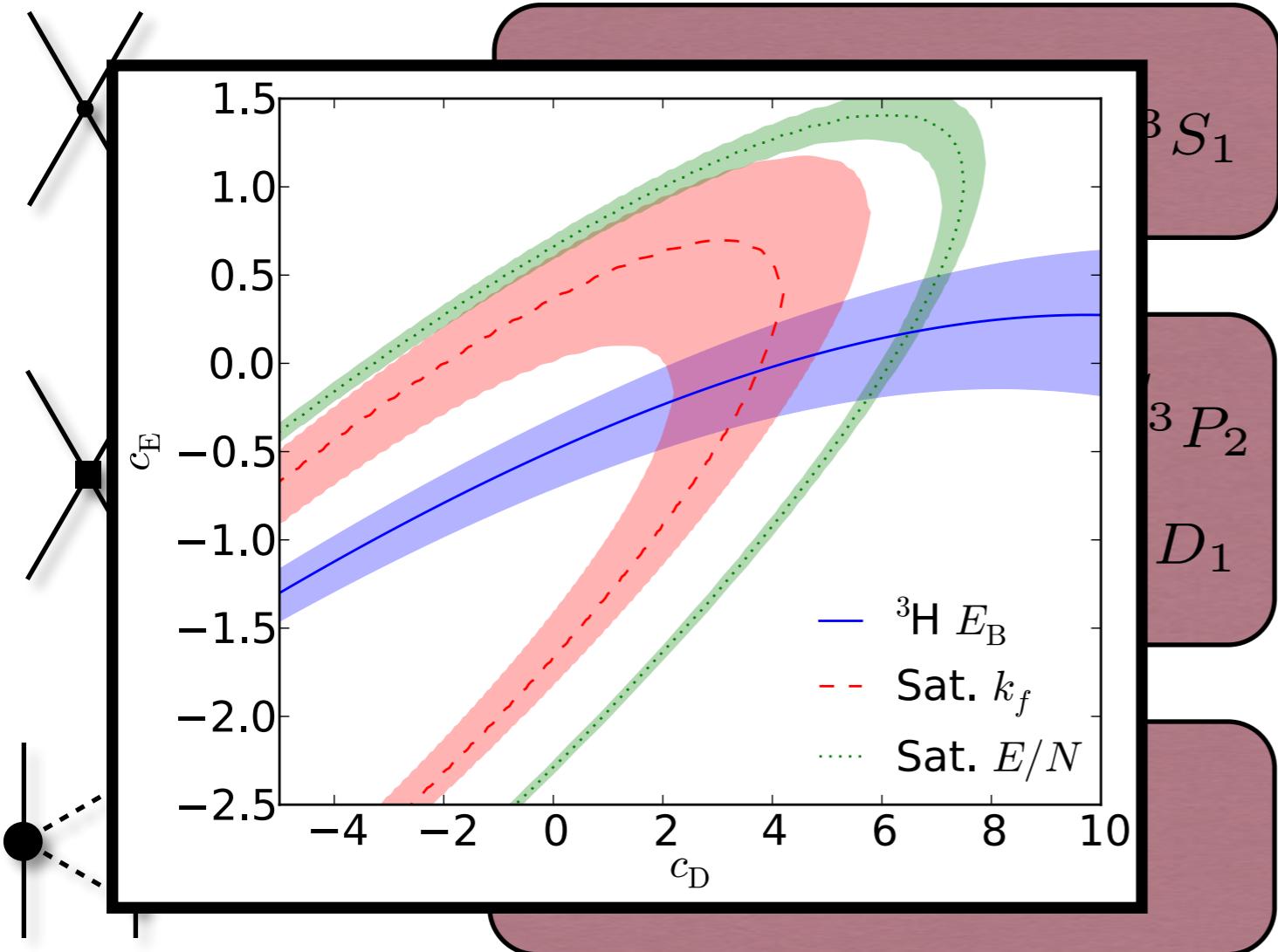
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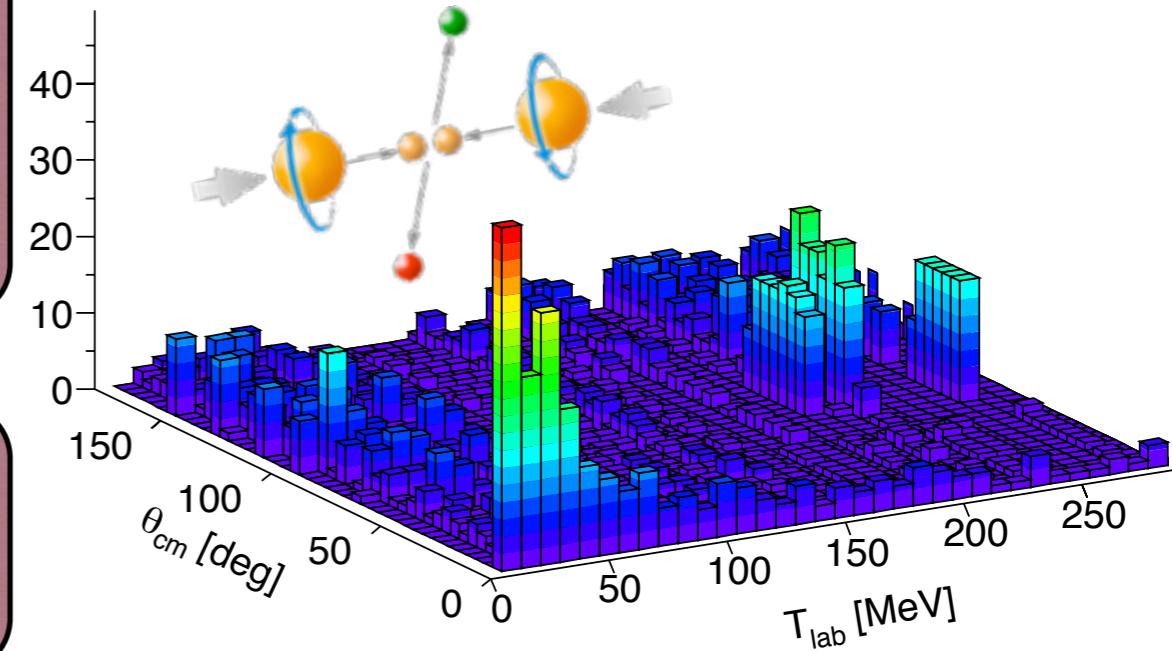
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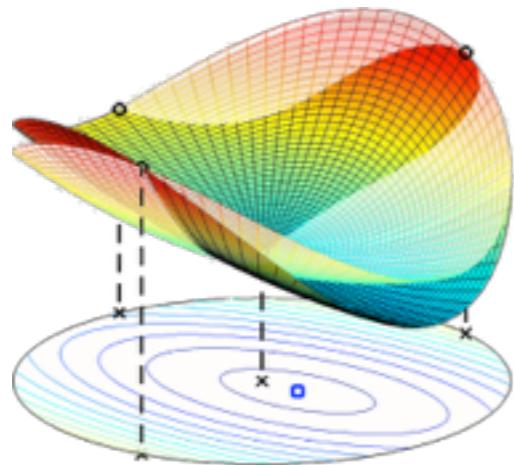


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Let's address these points

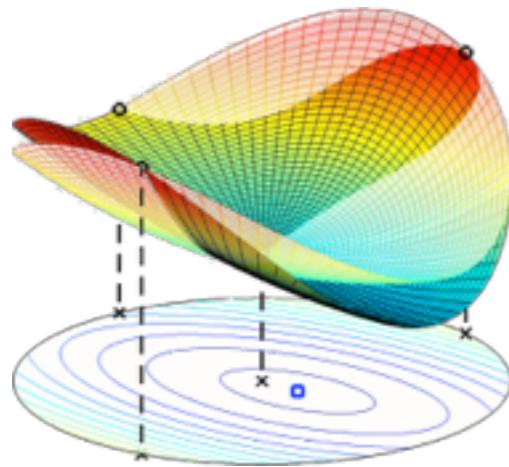
# A Simultaneous Objective Function



$$\chi^2(\mathbf{p}) = \sum_{i \in \mathbb{M}} \left( \frac{\mathcal{O}_i^{\text{theo}}(\mathbf{p}) - \mathcal{O}_i^{\text{exp}}}{\sigma_i^{\text{total}}} \right)^2 = \sum_{i \in \mathbb{M}} R_i^2(\mathbf{p})$$

$$\chi^2(\mathbf{p}) \equiv \sum_{i \in \mathbb{M}} R_i^2(\mathbf{p}) = \sum_{j \in \text{NN}} R_j^2(\mathbf{p}) + \sum_{k \in \pi N} R_k^2(\mathbf{p}) + \sum_{l \in \text{NNN}} R_l^2(\mathbf{p})$$

# A Simultaneous Objective Function

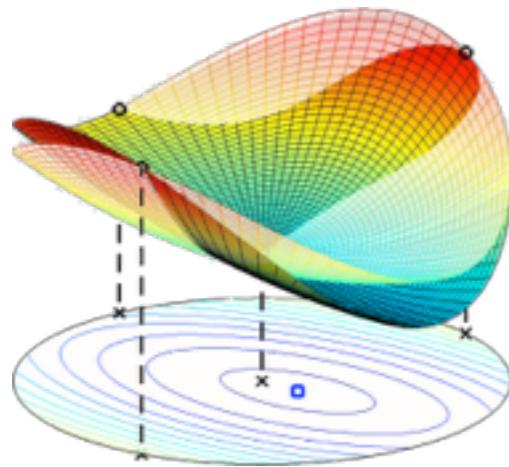


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Observable	LO	NLO	NNLO
NN scattering	✗	✗	✗
${}^2\text{H}$ : $E_{\text{gs}}$ , $r_{\text{pt-p}}$ , $Q$	✗	✗	✗
$\pi N$ scattering			✗
${}^3\text{He}$ : $E_{\text{gs}}$ , $r_{\text{pt-p}}$			✗
${}^3\text{H}$ : $E_{\text{gs}}$ , $r_{\text{pt-p}}$ , $T_{1/2}$			✗

# A Simultaneous Objective Function



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## Sequential

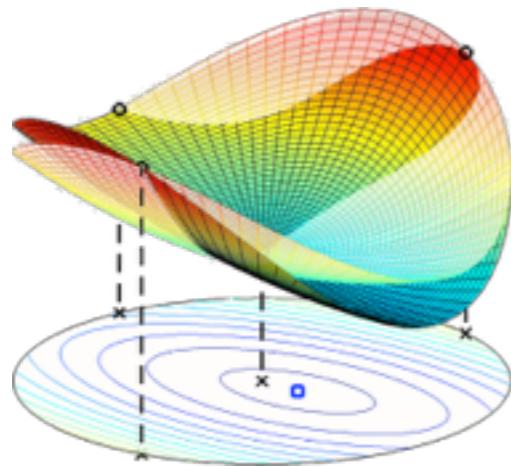
Observable	LO	NLO	NNLO
NN scattering	✗	✗	✗
${}^2\text{H}$ : $E_{gs}$ , $r_{\text{pt-p}}$ , $Q$	✗	✗	✗
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NN

$\pi N$

NNN

# A Simultaneous Objective Function



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**Simultaneous**

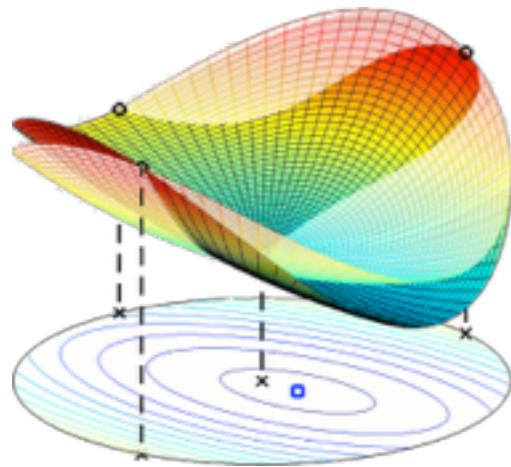
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$\pi N$ scattering			✗
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NN

$\pi N$

NNN

# A Simultaneous Objective Function



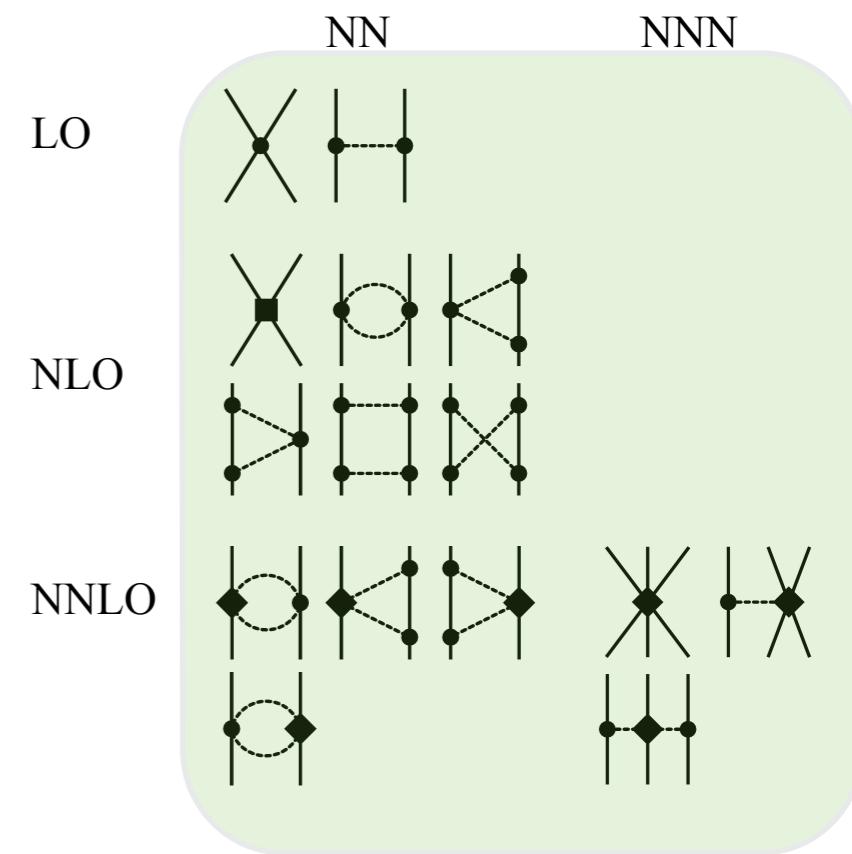
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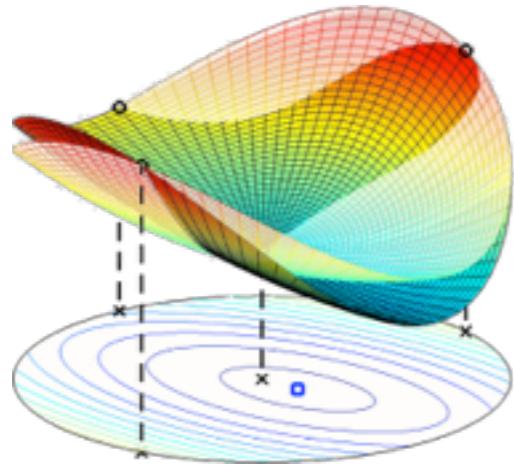
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NN  
 $\pi N$   
 NNN



# A Simultaneous Objective Function



$$\chi^2(\mathbf{p}) \equiv \sum_{i \in \mathbb{M}} R_i^2(\mathbf{p})$$

**Simultaneous optimization critical in order to**

- find the optimal set of LECs
- capture all relevant correlations between them
- reduce the statistical uncertainty.

Within such an approach we find that statistical errors are, in general, small, and that the total error budget is dominated by systematic errors.

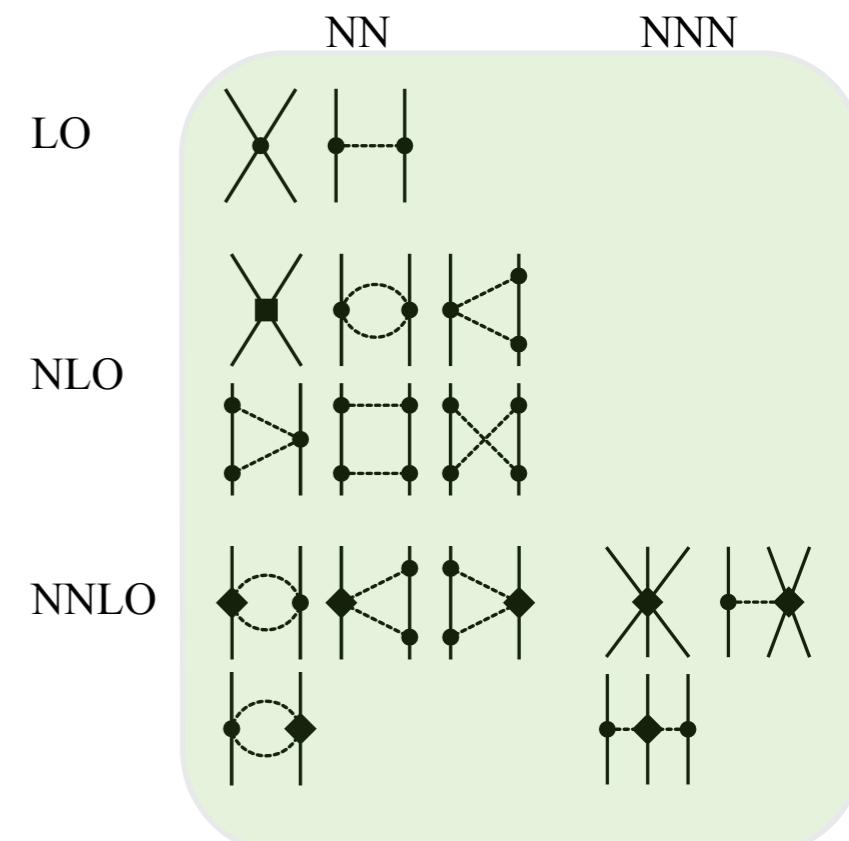
$$\sum_{i \in \mathbb{M}} R_i^2(\mathbf{p})$$

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NN  
 $\pi\text{N}$   
NNN



# Optimization Algorithm

POUNDers



Approximate Hessian

Levenberg-Marquardt

Newton's Method

Exact Hessian

$$\chi^2(\mathbf{p})$$

$$\frac{\partial \chi^2(\mathbf{p})}{\partial p_i}$$

$$\frac{\partial \chi^2(\mathbf{p})}{\partial p_i}, \frac{\partial^2 \chi^2(\mathbf{p})}{\partial p_i \partial p_j}$$

# Optimization Algorithm

POUNDers

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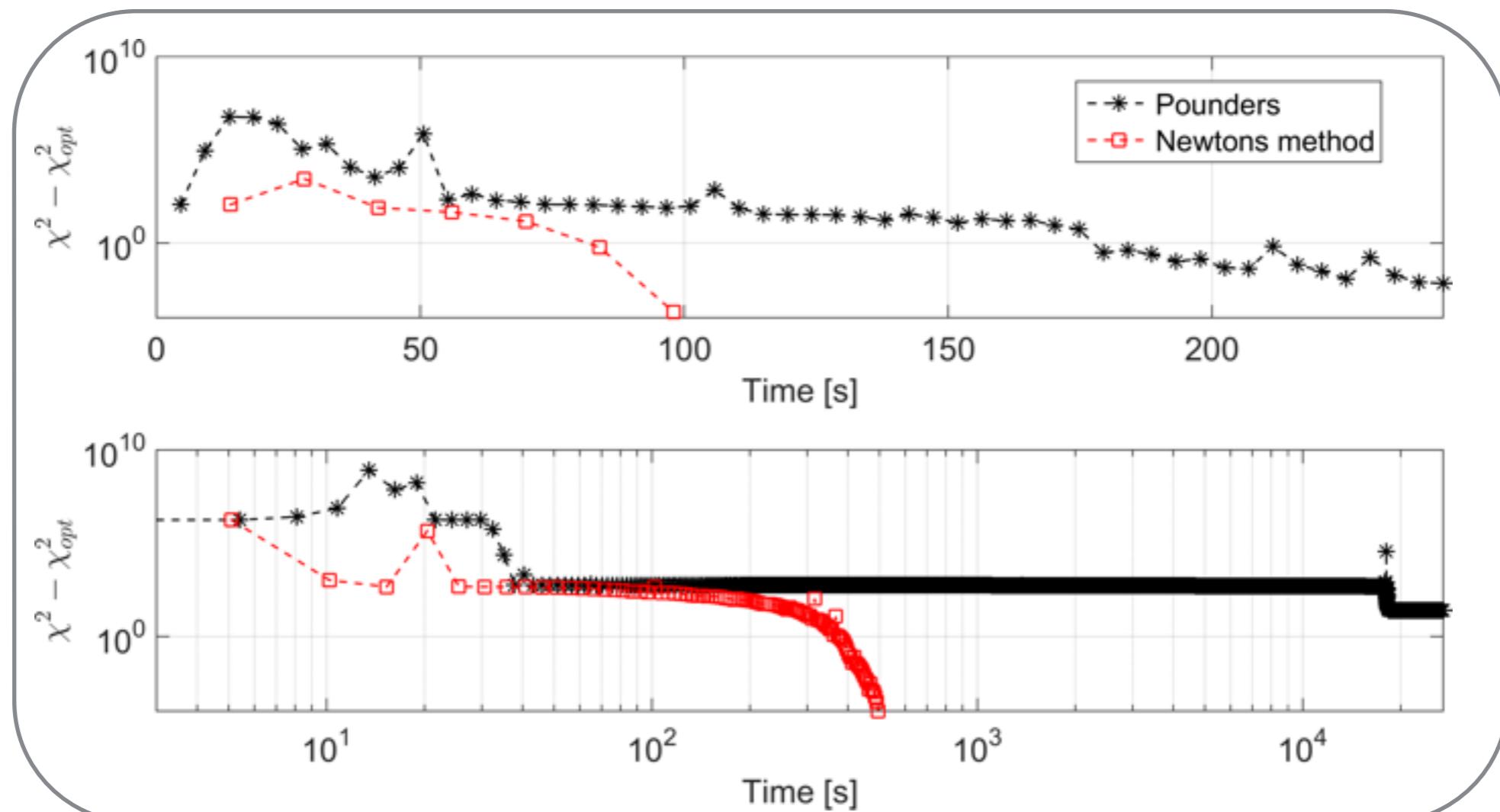
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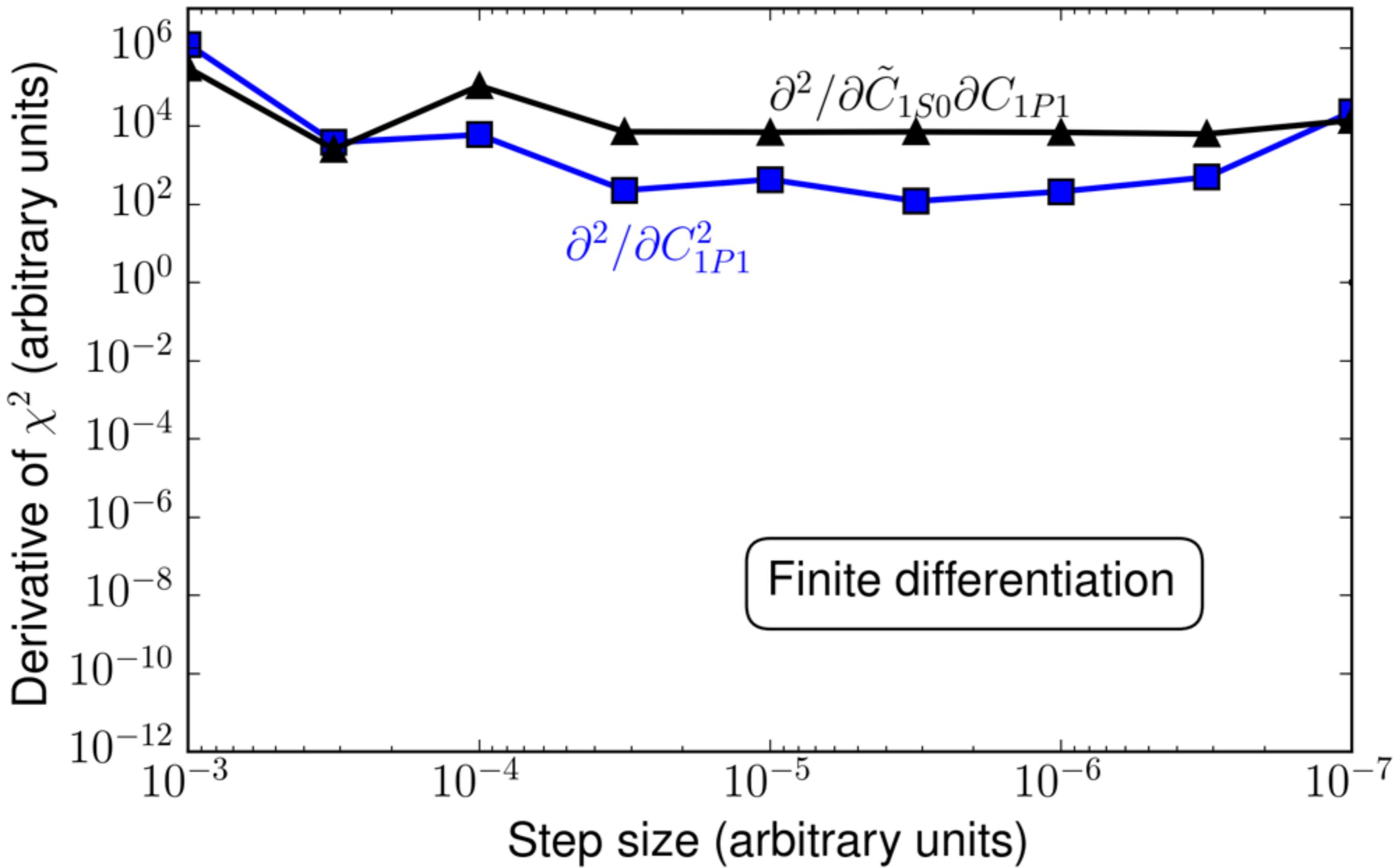
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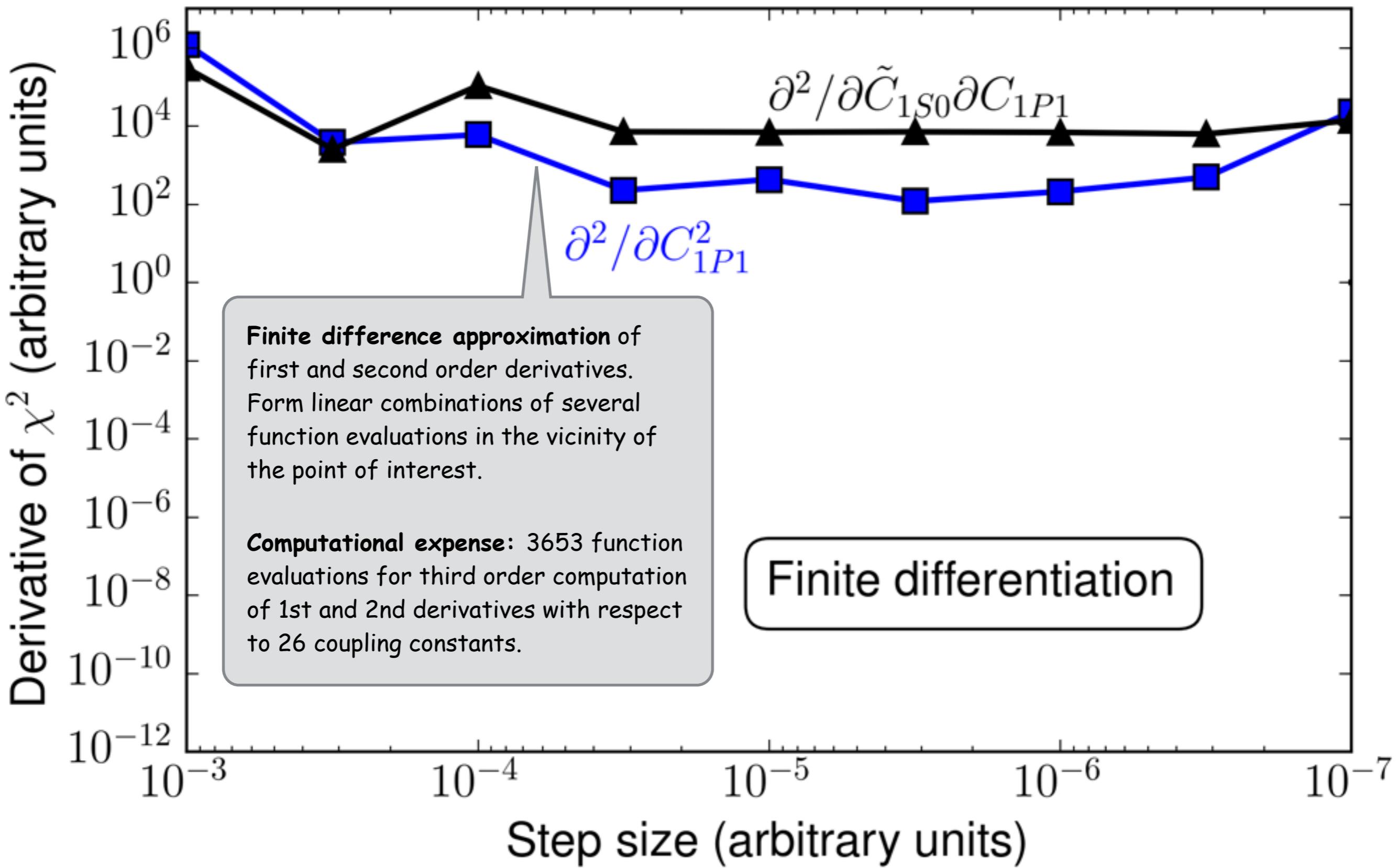
# Computing derivatives

## Performance of numerical derivation



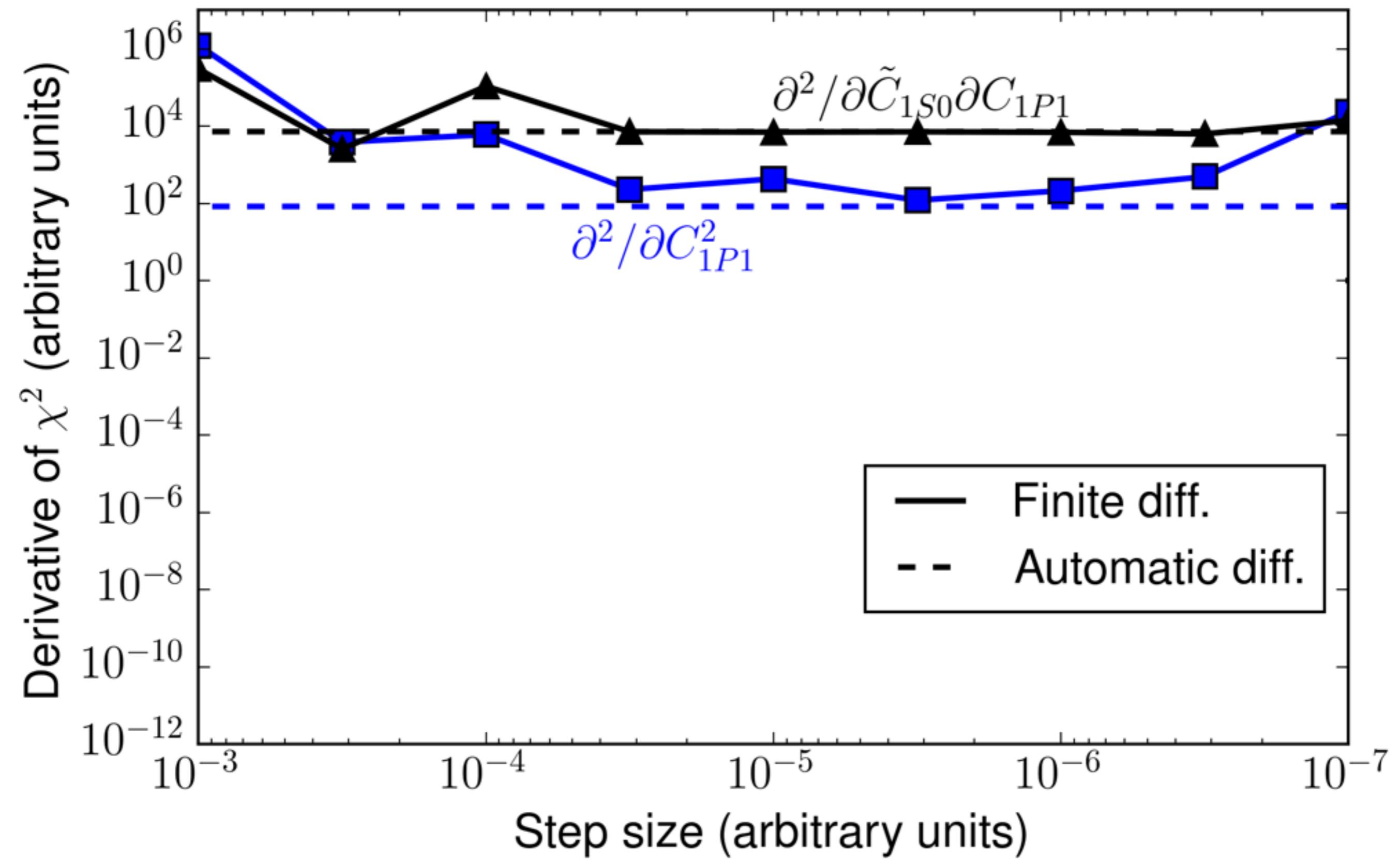
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## Performance of numerical derivation



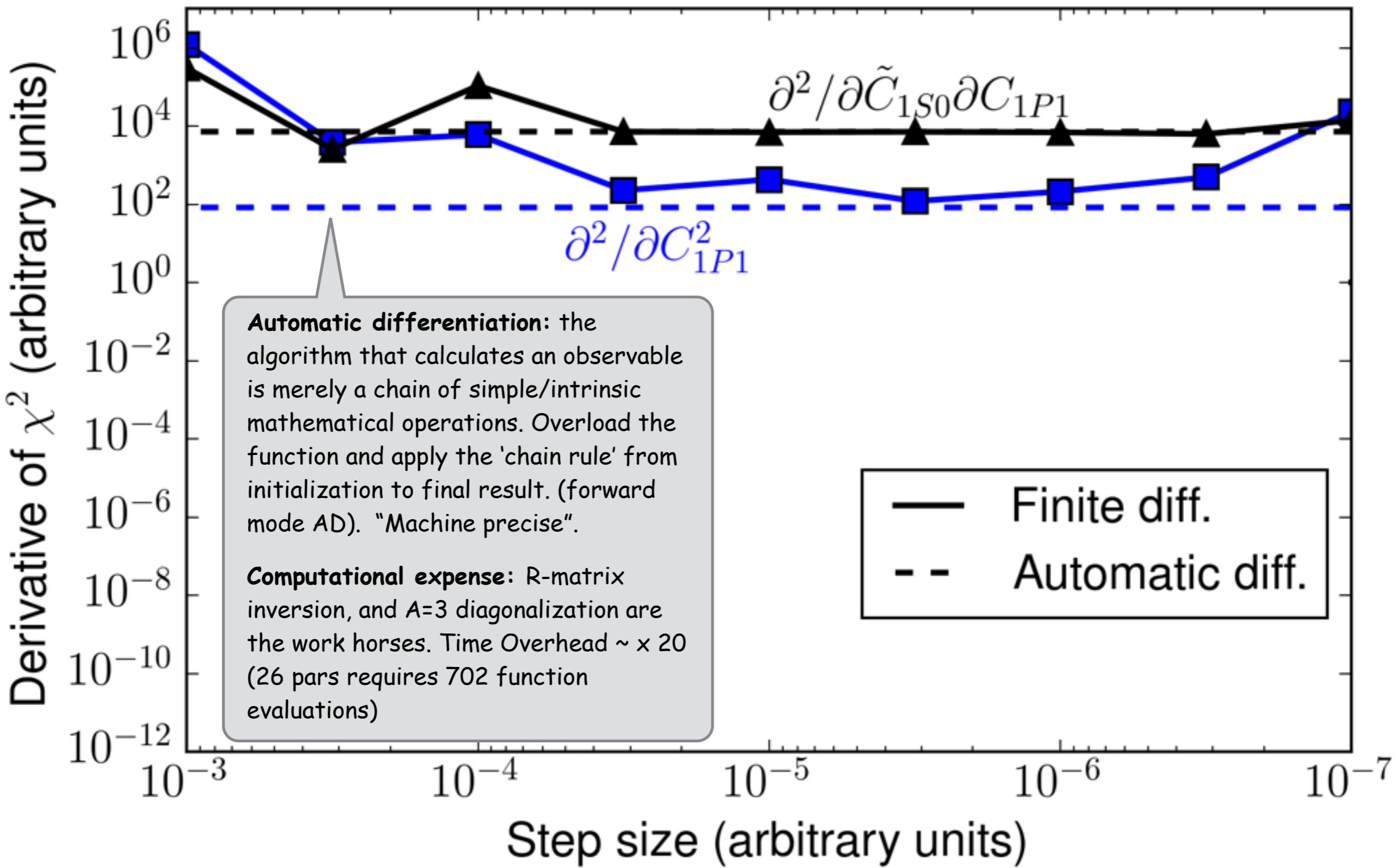
# Precision

## Performance of numerical derivation



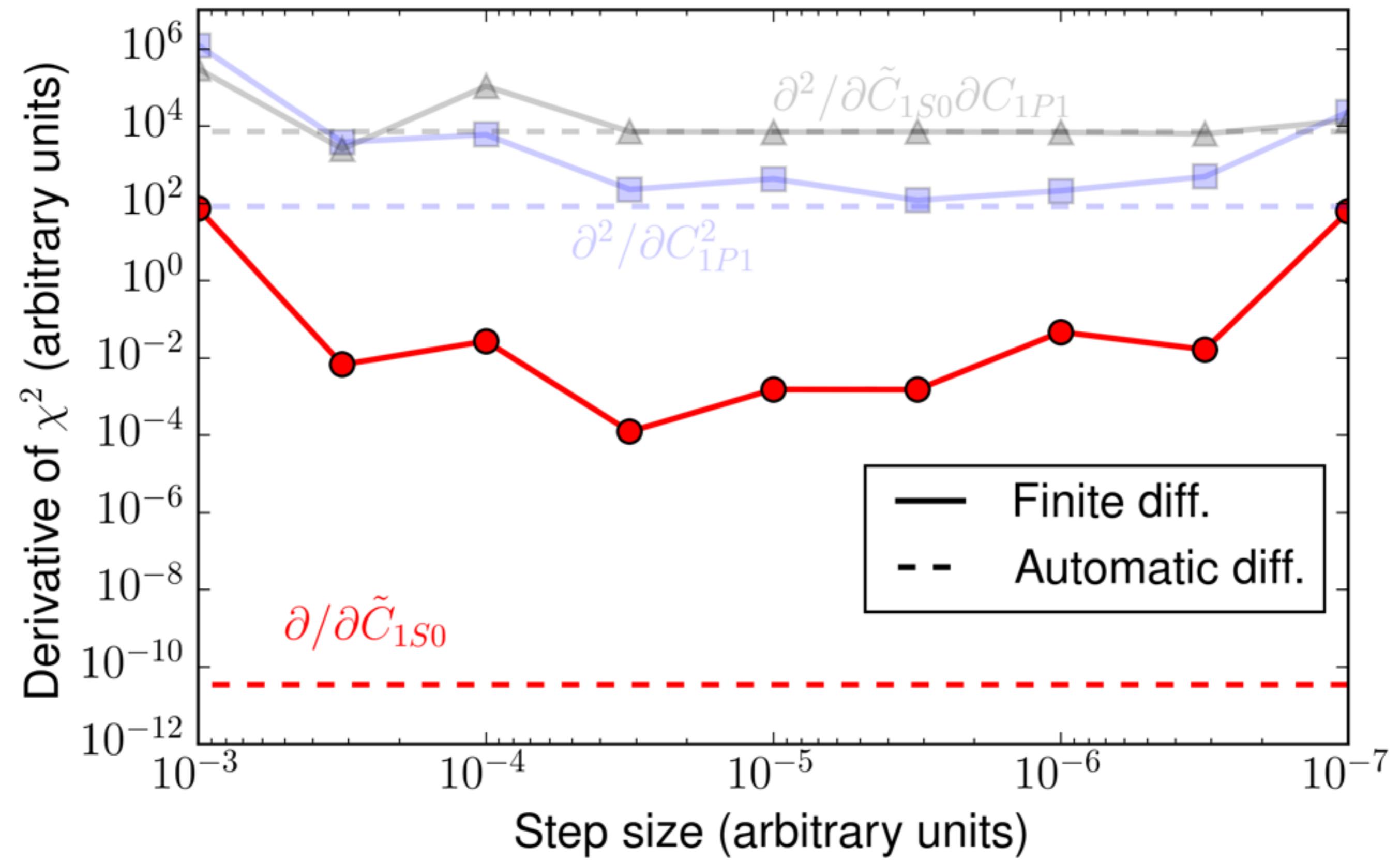
# Precision

## Performance of numerical derivation



# Precision

## Performance of numerical derivation



# Our Error Budget

## theoretical error sources:

- systematic uncertainty (incorrect assumptions)
- statistical uncertainty (fitting)
- numerical uncertainty

$$\sigma_{\text{total}}^2 = \sigma_{\text{experiment}}^2 + \sigma_{\text{theory}}^2$$

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Algorithmic origin, due to approximations in  
the implementation of the computer model.  
(Machine epsilon of float  $10^{-16}$ )

We safely neglect this.

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$E(^2\text{H})$	-2.22456627(46) <sup>a</sup>	[38]	$0.47 \cdot 10^{-6}$
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$r_{\text{pt-p}}(^2\text{H})$	1.97559(78) <sup>b</sup>	[65, 73]	$0.78 \cdot 10^{-3}$
$r_{\text{pt-p}}(^3\text{H})$	1.587(41)	[65]	0.041
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$r_{\text{pt-p}}(^4\text{He})$	1.4552(62)	[65]	0.0071
$Q_d$	0.27(1) <sup>c</sup>		0.01
$E_A^1(^3\text{H})$	0.6848(11)	[68]	0.0011

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Imperfect modeling and missing physics in the construction of the nuclear interaction. We estimate this from the rest term in the xEFT expansion:

$$\sigma_{\text{model,x}}^{(\text{amplitude})} = \mathcal{C}_x \left( \frac{Q}{\Lambda} \right)^{\nu+1}, \quad x \in \{\text{NN}, \pi\text{N}\}$$

# Estimating the model error

$$\chi^2(\mathbf{p}) = \sum_{i \in \mathbb{M}} \left( \frac{\mathcal{O}_i^{\text{theo}}(\mathbf{p}) - \mathcal{O}_i^{\text{exp}}}{\sigma_i^{\text{total}}} \right)^2 = \sum_{i \in \mathbb{M}} R_i^2(\mathbf{p})$$

# Estimating the model error

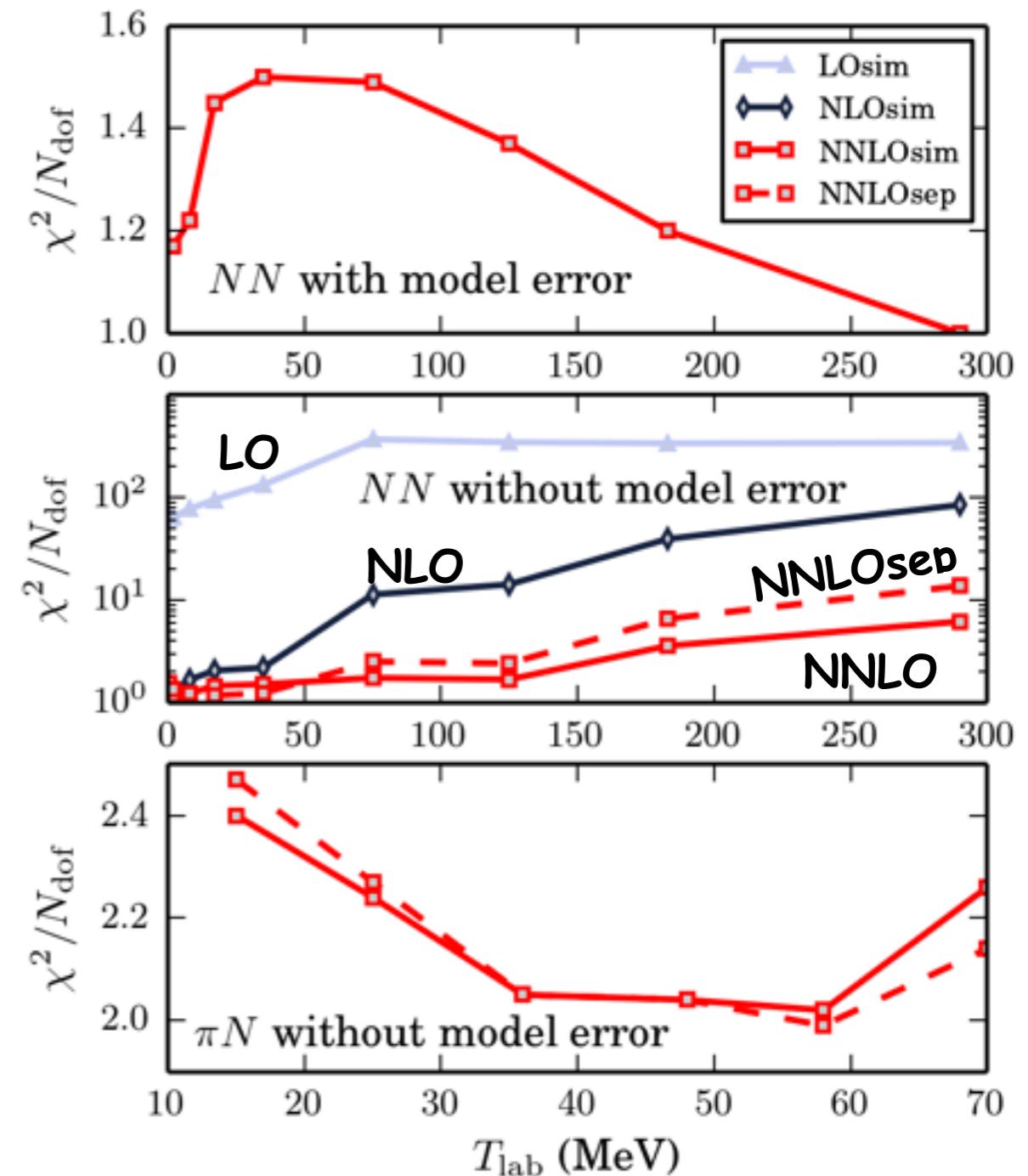
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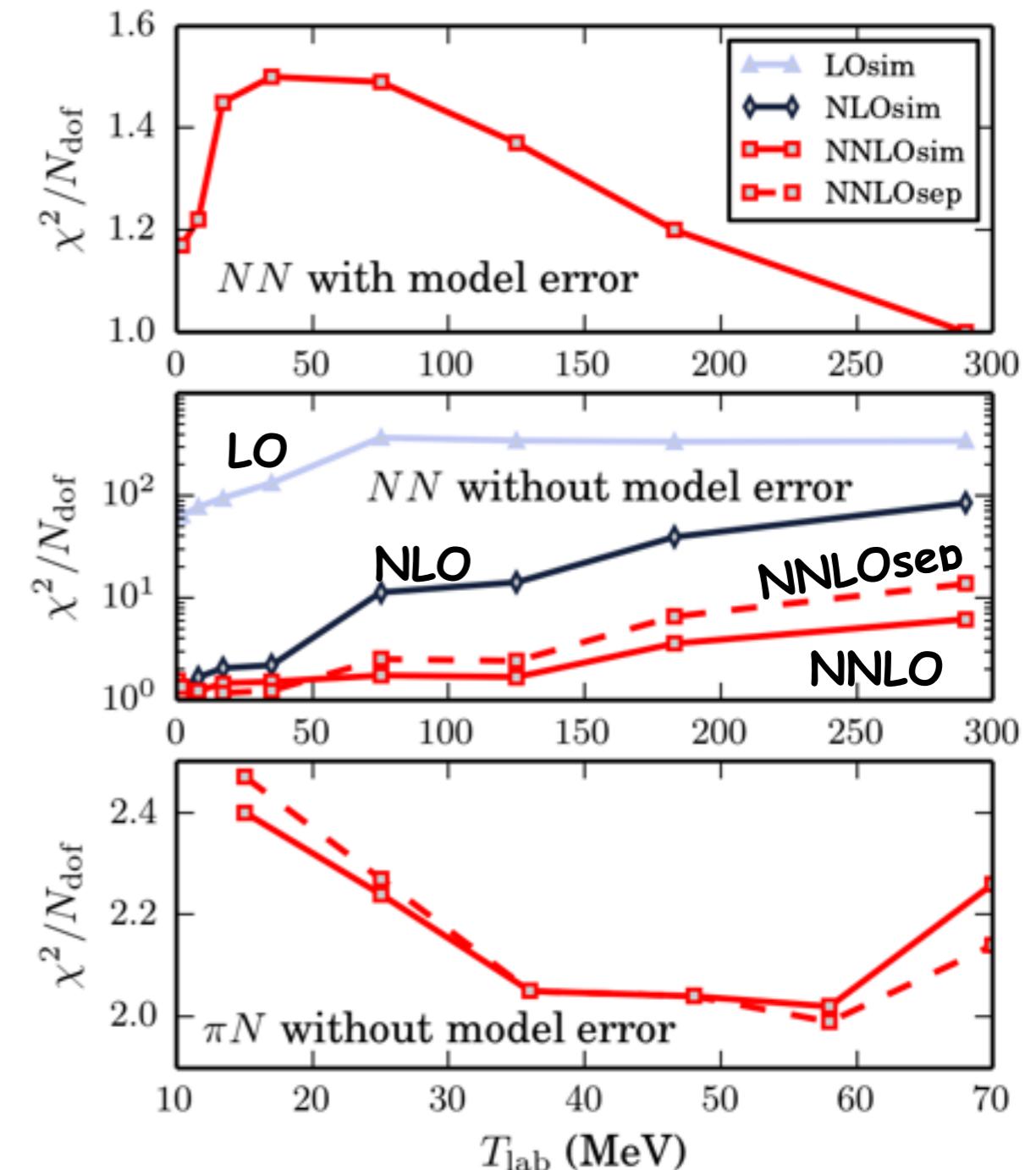
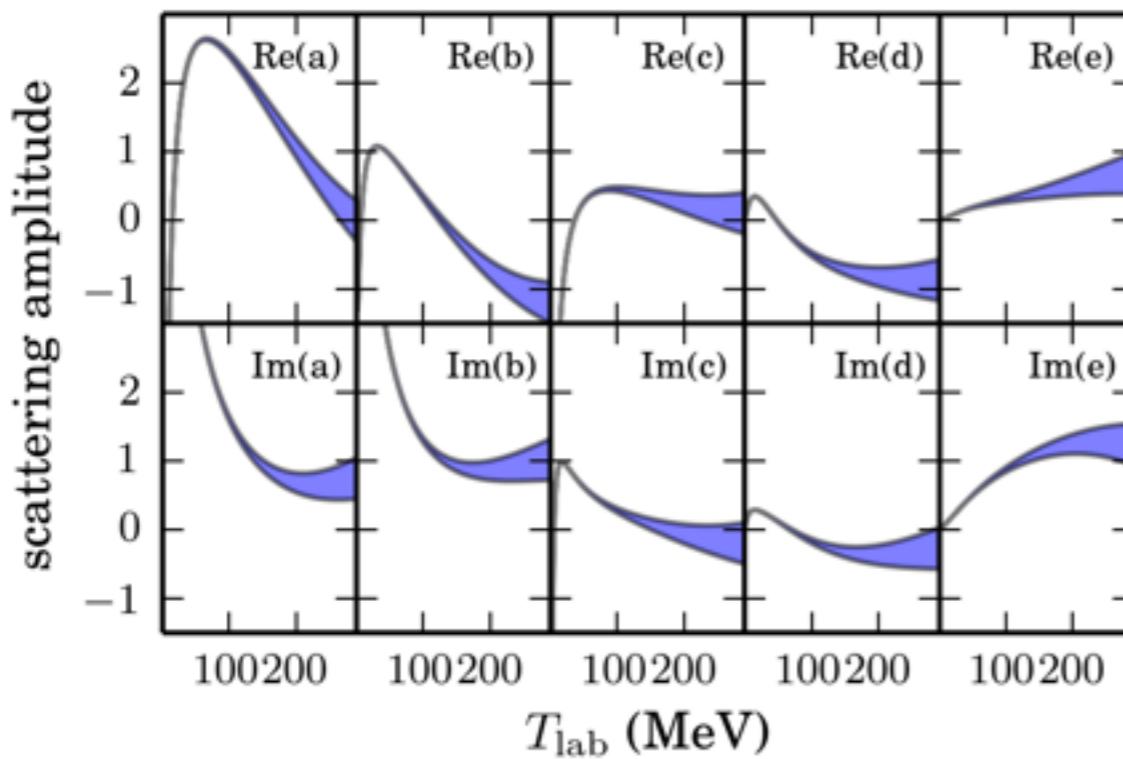
# Estimating the model error

$$\chi^2(\mathbf{p}) = \sum_{i \in \mathbb{M}} \left( \frac{\mathcal{O}_i^{\text{theo}}(\mathbf{p}) - \mathcal{O}_i^{\text{exp}}}{\sigma_i^{\text{total}}} \right)^2 = \sum_{i \in \mathbb{M}} R_i^2(\mathbf{p})$$

$\sigma_i^{\text{total}}$

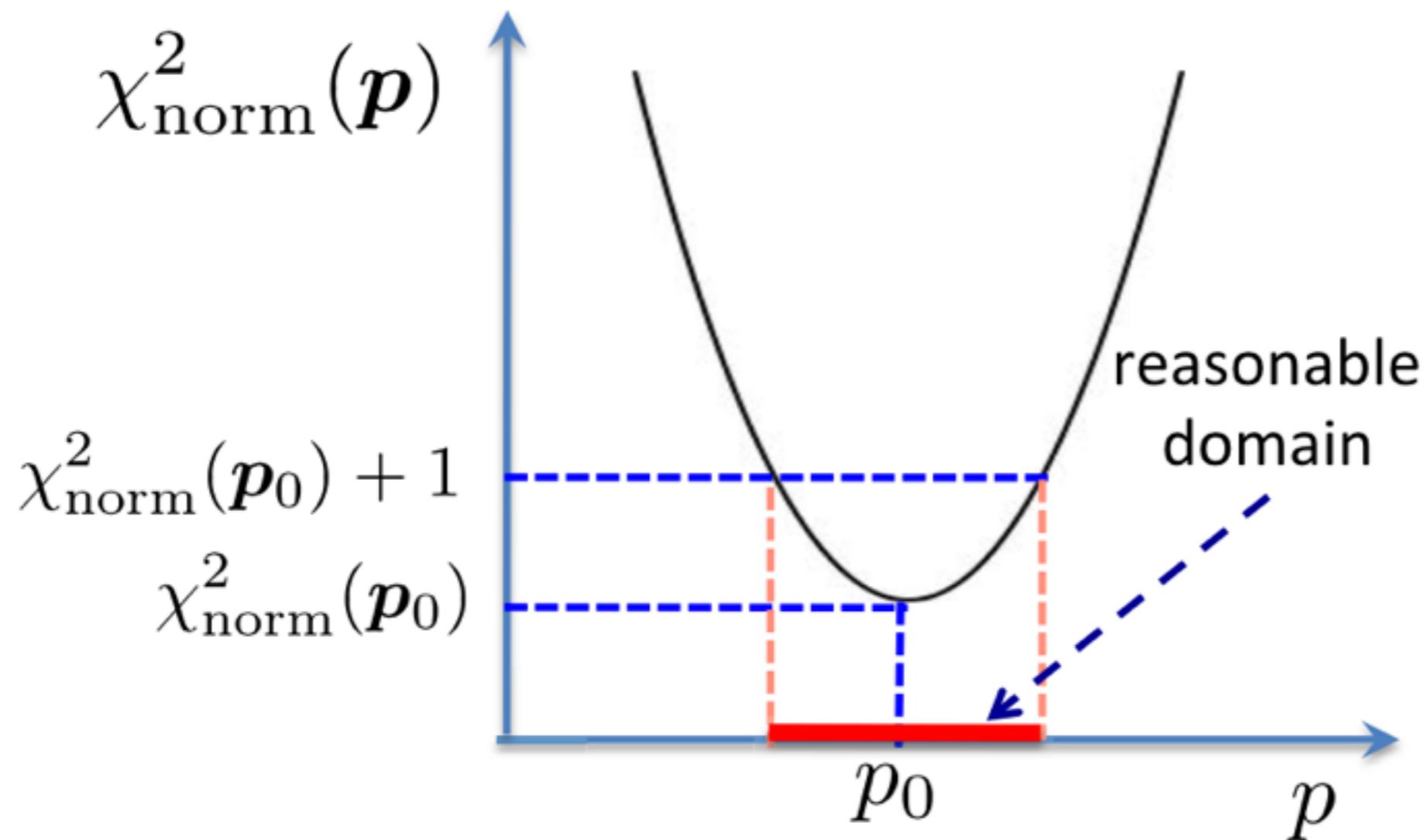
$\dots + \sigma_{\text{model},x}^{(\text{amplitude})} = \mathcal{C}_x \left( \frac{Q}{\Lambda} \right)^{\nu+1}, \quad x \in \{\text{NN}, \pi N\}$

$$M(\mathbf{q}, \mathbf{k}) = \frac{1}{2} \{ (a+b) + (a-b) \boldsymbol{\sigma}_1 \cdot (\hat{\mathbf{q}} \times \hat{\mathbf{k}}) \boldsymbol{\sigma}_2 \cdot (\hat{\mathbf{q}} \times \hat{\mathbf{k}}) \\ + (c+d)(\boldsymbol{\sigma}_1 \cdot \hat{\mathbf{q}})(\boldsymbol{\sigma}_2 \cdot \hat{\mathbf{q}}) + (c-d)(\boldsymbol{\sigma}_1 \cdot \hat{\mathbf{k}})(\boldsymbol{\sigma}_2 \cdot \hat{\mathbf{k}}) \\ - e(\boldsymbol{\sigma}_1 + \boldsymbol{\sigma}_2) \cdot (\hat{\mathbf{q}} \times \hat{\mathbf{k}}) - f(\boldsymbol{\sigma}_1 - \boldsymbol{\sigma}_2) \cdot (\hat{\mathbf{q}} \times \hat{\mathbf{k}}) \}$$



# Uncertainty Quantification

Statistical uncertainty in the parameter vector at the optimum is given by the surface of the objective function.

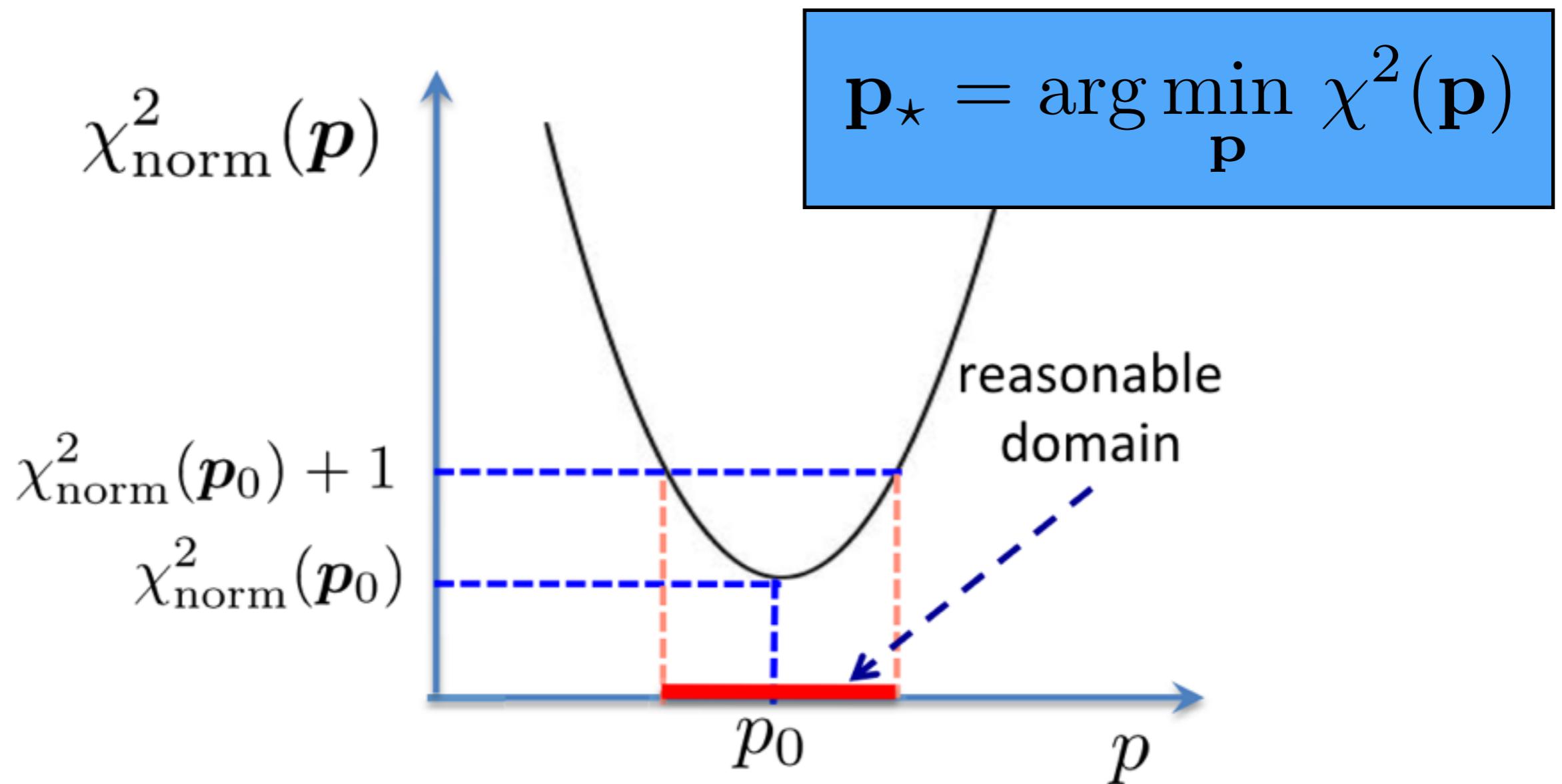


Computational Experience With Confidence Regions and Confidence Intervals for Nonlinear Least Squares  
J. R. Donaldson and R. B. Schnabel *Technometrics* 29 67 (1987)

Error estimates of theoretical models: a guide  
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# Uncertainty Quantification

$$\chi^2(\mathbf{p}_\star + \Delta\mathbf{p}) - \chi^2(\mathbf{p}_\star) \approx \frac{1}{2}(\Delta\mathbf{p})^T \mathbf{H}(\Delta\mathbf{p})$$

$$H_{ij} = \left. \frac{\partial^2 \chi^2(\mathbf{p})}{\partial p_i \partial p_j} \right|_{\mathbf{p}=\mathbf{p}_\star}.$$

$$\text{Cov}(\mathbf{p}_\star) = 2 \frac{\chi^2(\mathbf{p}_\star)}{N_{\text{dof}}} \mathbf{H}^{-1}$$

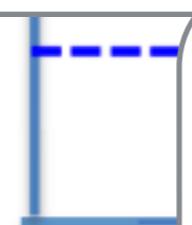
in the parameter vector at the surface of the objective function.

$$\mathbf{p}_\star = \arg \min_{\mathbf{p}} \chi^2(\mathbf{p})$$

$$\chi^2_{\text{norm}}(\mathbf{p})$$

$$\chi^2_{\text{norm}}(\mathbf{p}_0)$$

$$\begin{aligned} \mathcal{O}_A(\mathbf{p}_\star + \Delta\mathbf{p}) &\approx \mathcal{O}_A(\mathbf{p}_\star) + (\Delta\mathbf{p})^T \mathbf{J} + \frac{1}{2}(\Delta\mathbf{p})^T \mathbf{H}(\Delta\mathbf{p}) \\ &= \mathcal{O}_A(\mathbf{p}_\star) + \mathbf{x}^T \mathbf{U}^T \mathbf{J} + \frac{1}{2} \mathbf{x}^T \mathbf{U}^T \mathbf{H} \mathbf{U} \mathbf{x} \\ &\equiv \mathcal{O}_A(\mathbf{p}_\star) + \mathbf{x}^T \tilde{\mathbf{J}} + \frac{1}{2} \mathbf{x}^T \tilde{\mathbf{H}} \mathbf{x} \end{aligned}$$



$$\text{Cov}(A, B) \equiv \mathbb{E}[(\mathcal{O}_A(\mathbf{p}) - \mathbb{E}[\mathcal{O}_A(\mathbf{p})])(\mathcal{O}_B(\mathbf{p}) - \mathbb{E}[\mathcal{O}_B(\mathbf{p})])]$$

$$\approx \mathbb{E}\left[\left(\tilde{J}_{A,i} x_i + \frac{1}{2} \tilde{H}_{A,ij} x_i x_j - \frac{1}{2} \tilde{H}_{A,ii} \sigma_i^2\right)\right]$$

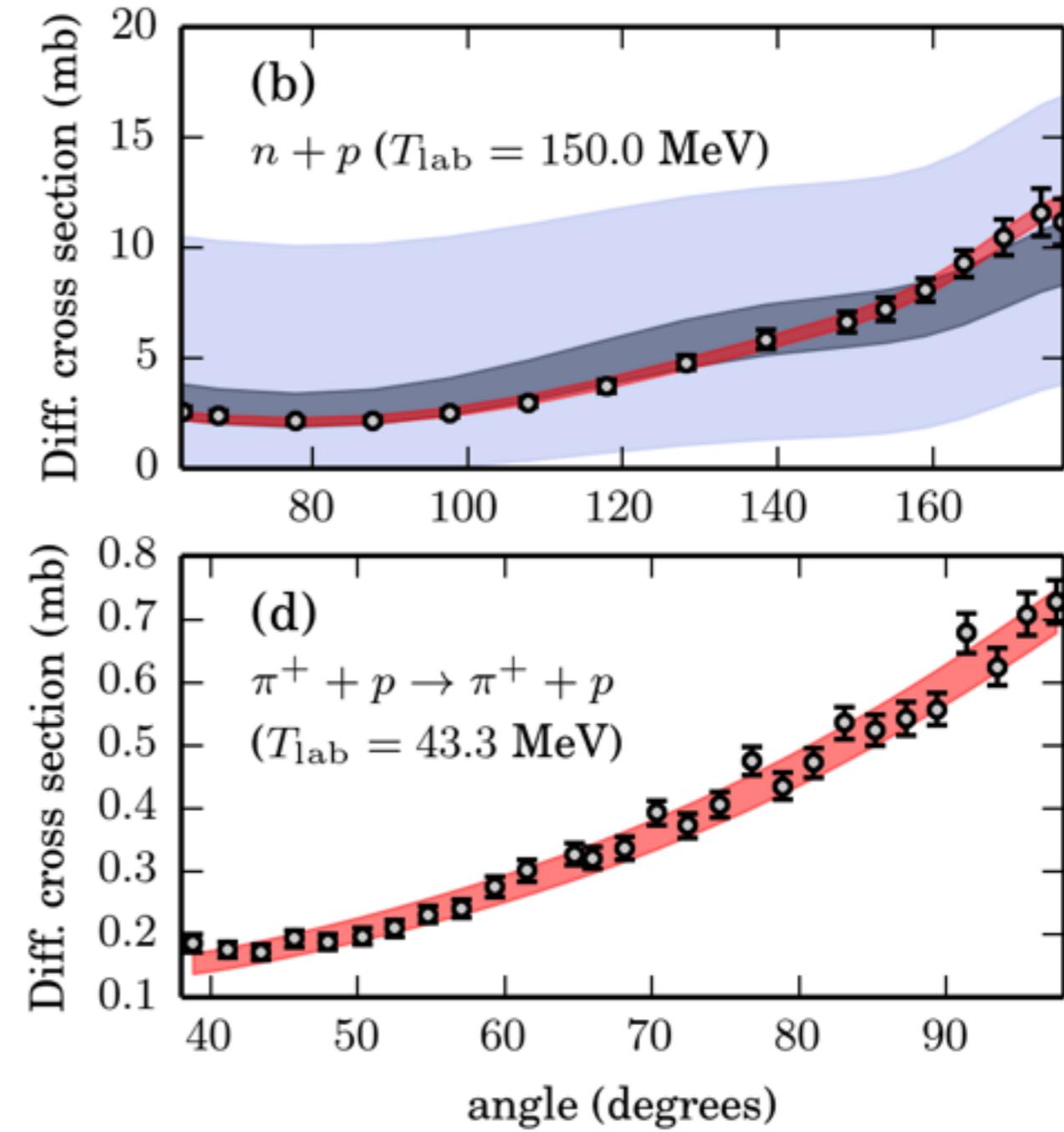
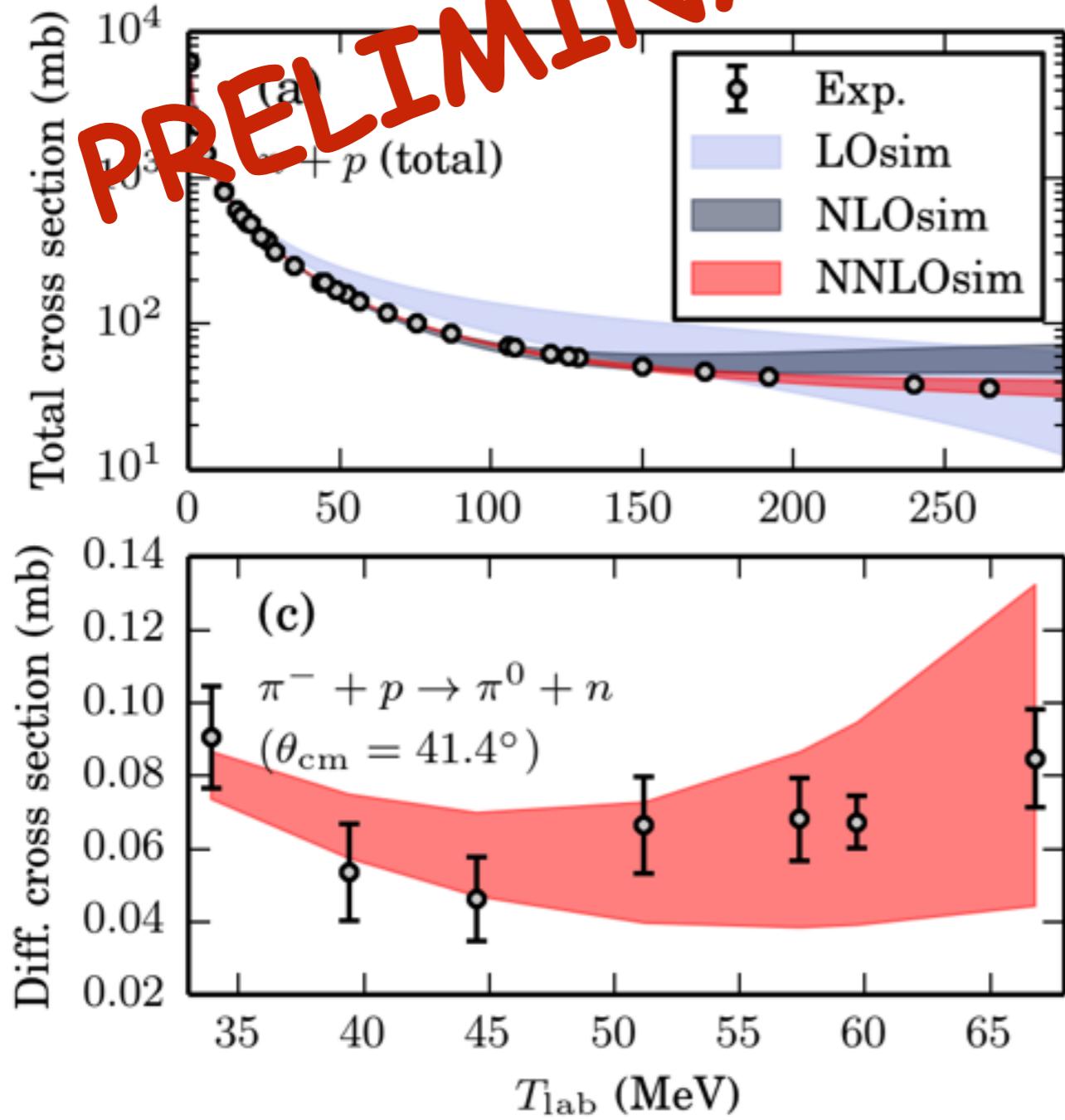
$$\times \left(\tilde{J}_{B,k} x_k + \frac{1}{2} \tilde{H}_{B,kl} x_k x_l - \frac{1}{2} \tilde{H}_{B,kk} \sigma_k^2\right)$$

$$= \tilde{\mathbf{J}}_A^T \Sigma \tilde{\mathbf{J}}_B + \frac{1}{2} (\boldsymbol{\sigma}^2)^T (\tilde{\mathbf{H}}_A \circ \tilde{\mathbf{H}}_B) \boldsymbol{\sigma}^2$$

Computational  
J. R. Donal

# Error Propagation

**PRELIMINARY**



# Simultaneous Optimization is Key

**PRELIMINARY**

TABLE VII. Obtained  $\pi N$  parameters and their statistical uncertainties for the NNLO potentials.  $c_i$ ,  $d_i$  and  $e_i$  are in units of  $\text{GeV}^{-1}$ ,  $\text{GeV}^{-2}$  and  $\text{GeV}^{-3}$  respectively.

	NNLOsep	NNLOsim
$c_1$	-0.68(50)	+0.22(29)
$c_2$	+3.0(14)	+5.1(10)
$c_3$	-4.12(32)	-3.56(13)
$c_4$	+5.35(81)	+3.933(85)
$d_1 + d_2$	+3.22(44)	+3.820(34)

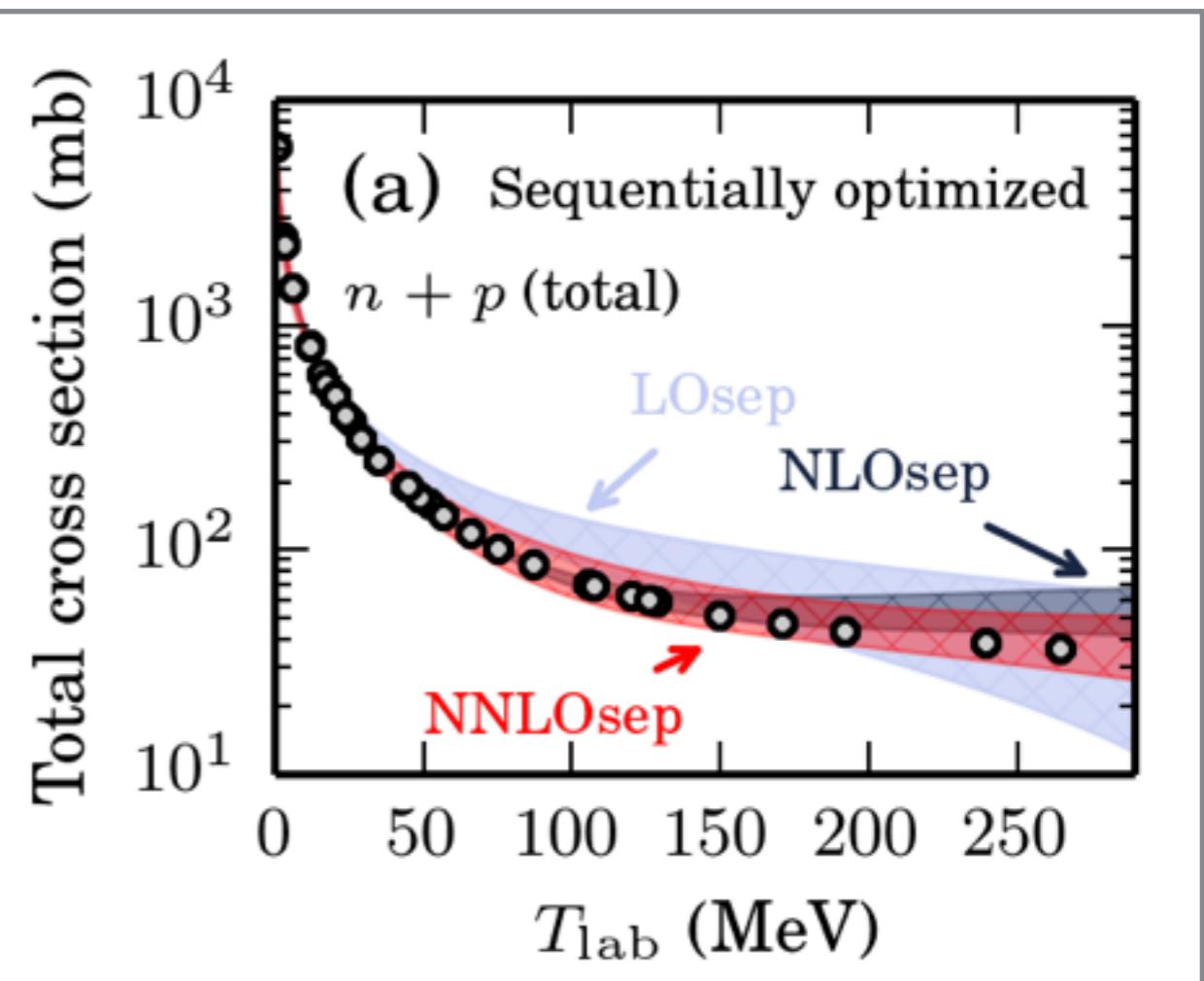
Observable	NNLO <sub>sim</sub>	NNLO <sub>sep</sub>	Exp
$E_{\text{gs}}(^4\text{He})$ [MeV]	-28.26 <sup>+4</sup> <sub>-5</sub>	-28 <sup>+8</sup> <sub>-18</sub>	-28.30(1)
$r_{\text{pt-p}}(^4\text{He})$ [fm]	1.445 <sup>+2</sup> <sub>-2</sub>	1.44 <sup>+15</sup> <sub>-28</sub>	1.455(7)

# Simultaneous Optimization is Key

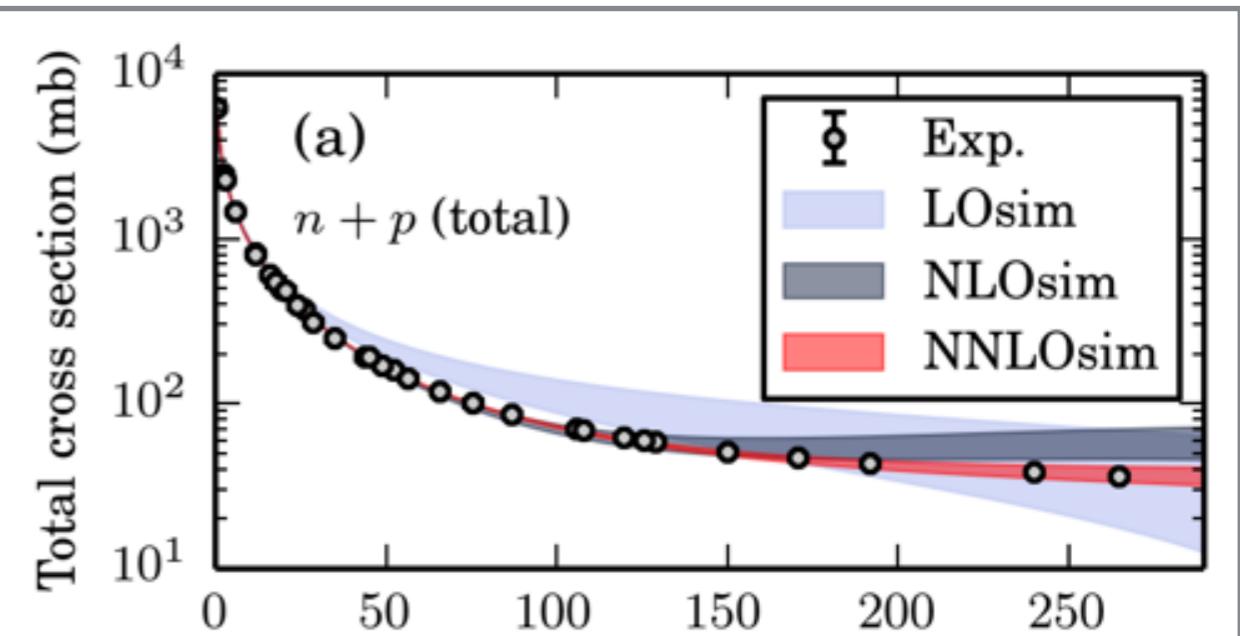
**PRELIMINARY**

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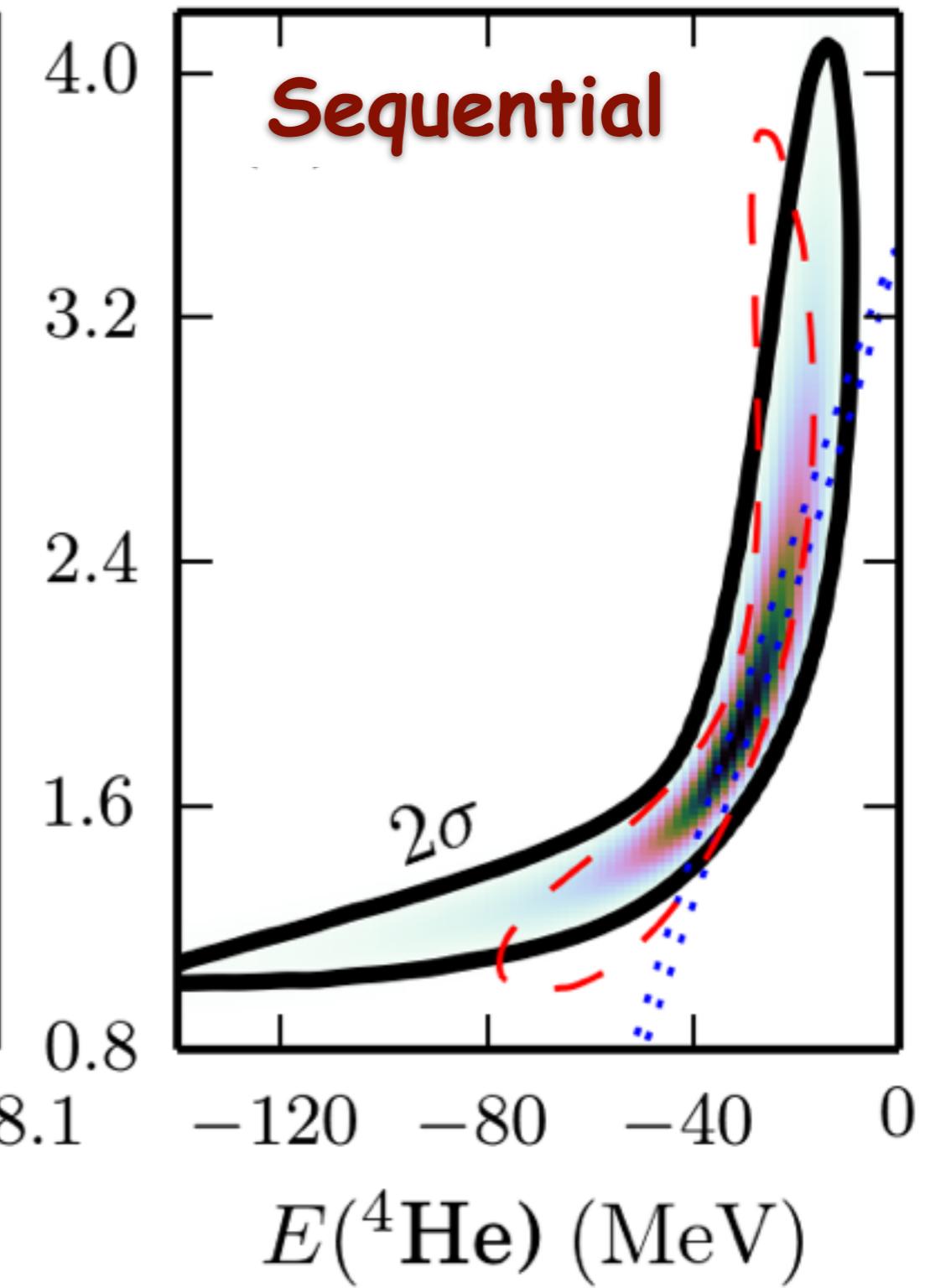
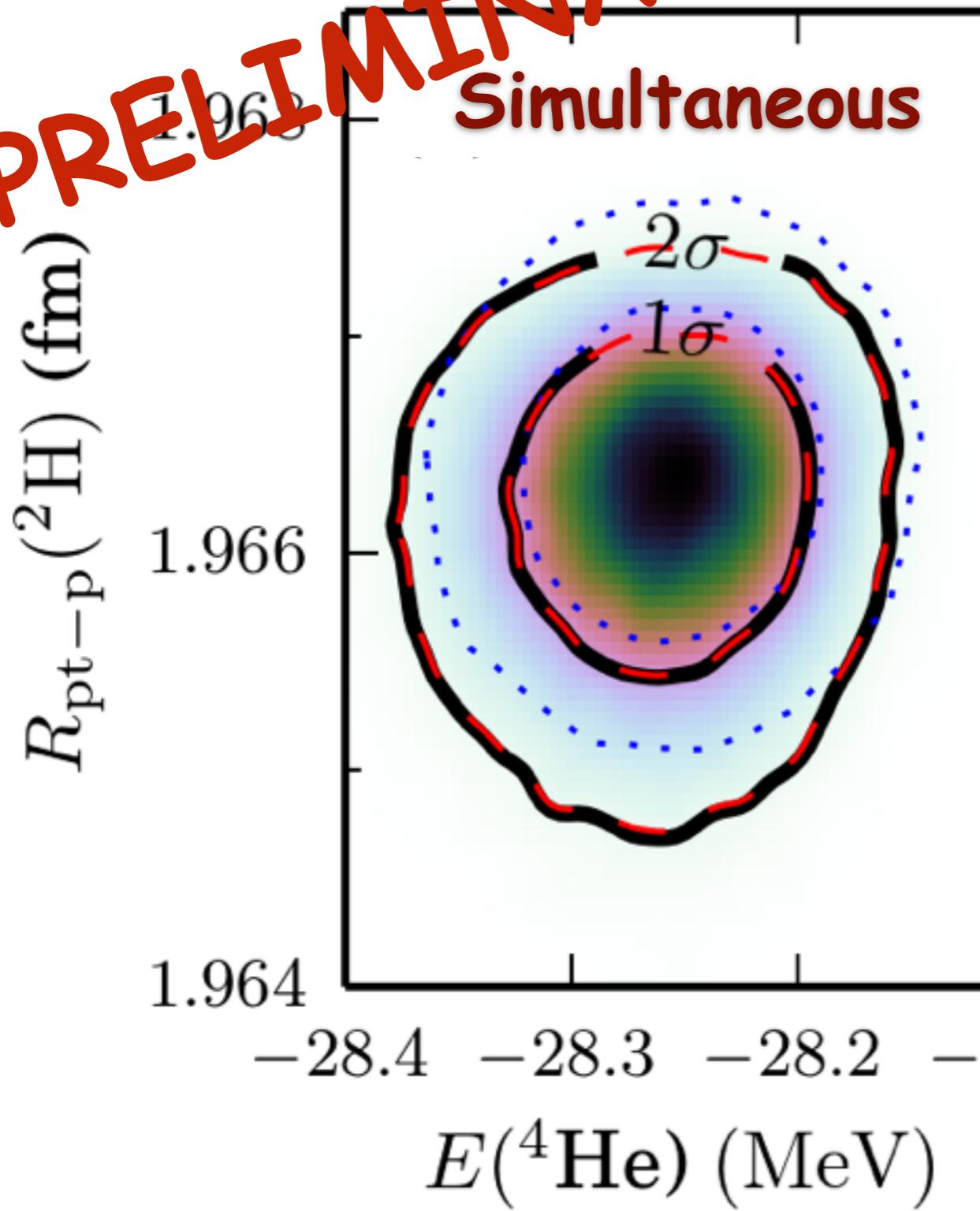


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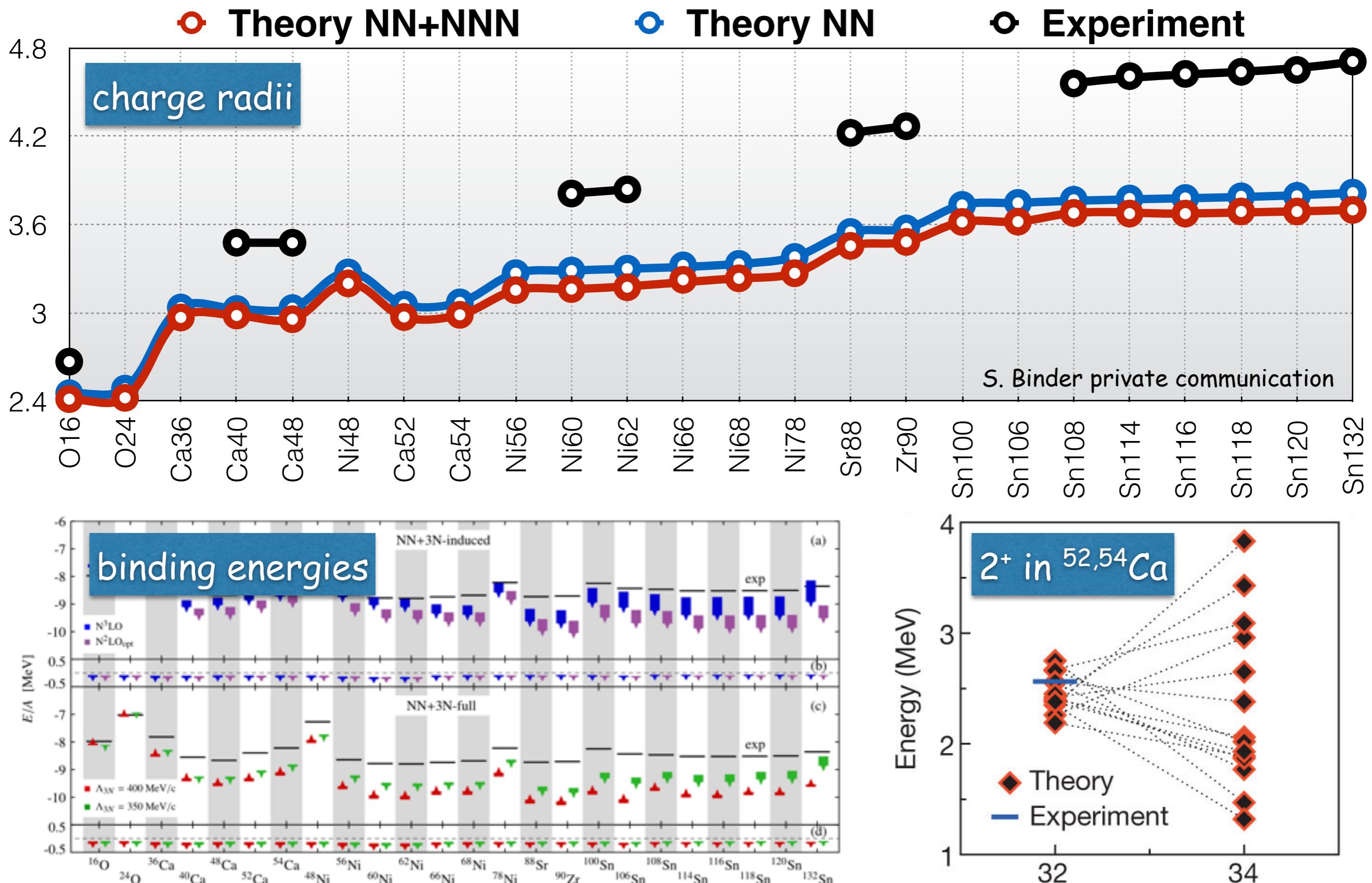


# Covariance Analysis

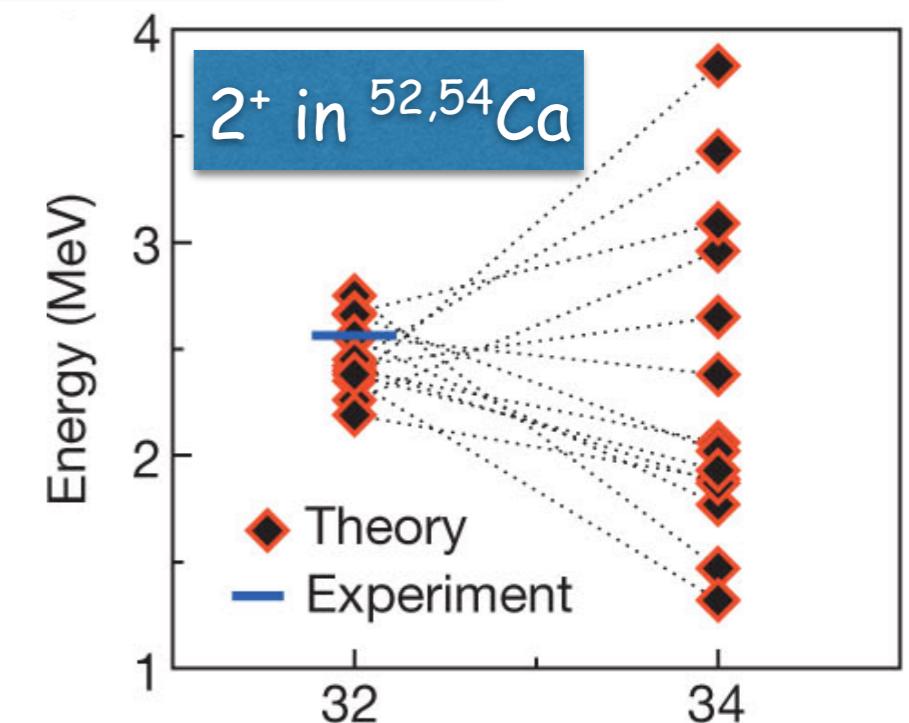
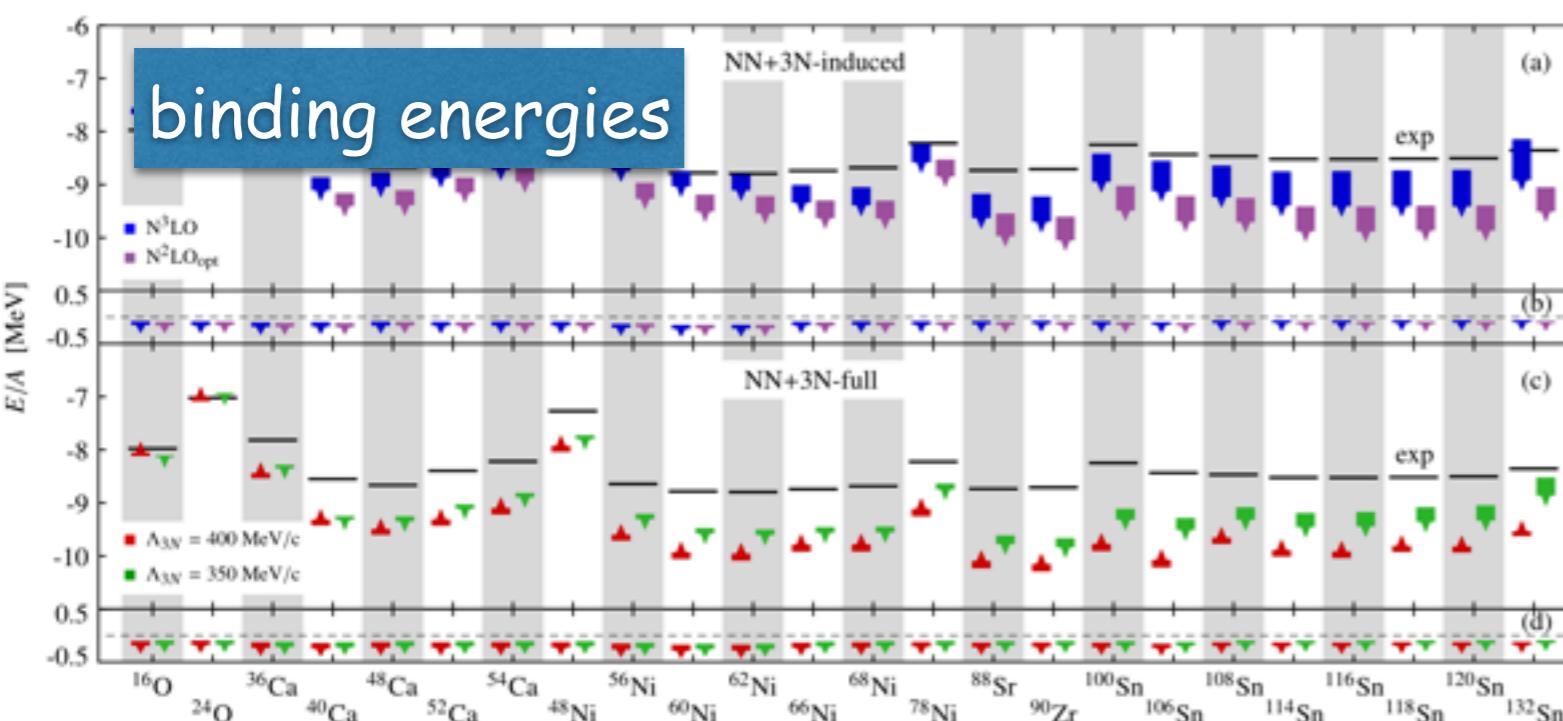
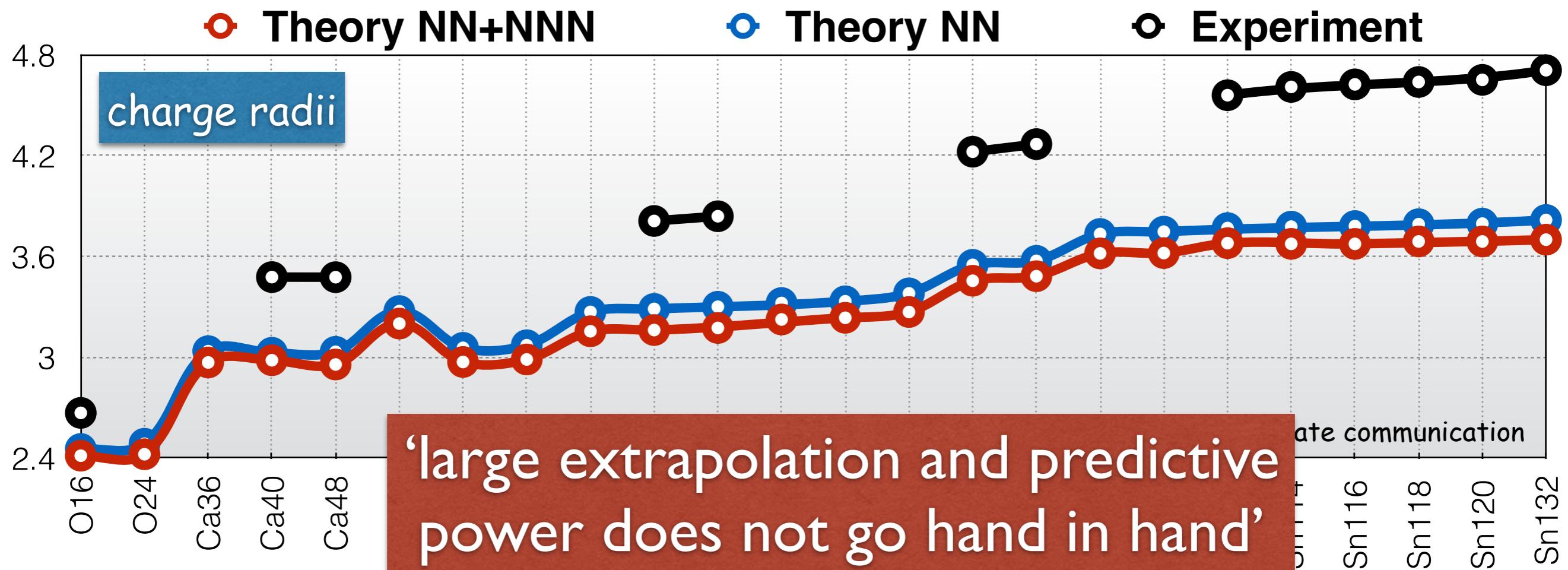
**PRELIMINARY**



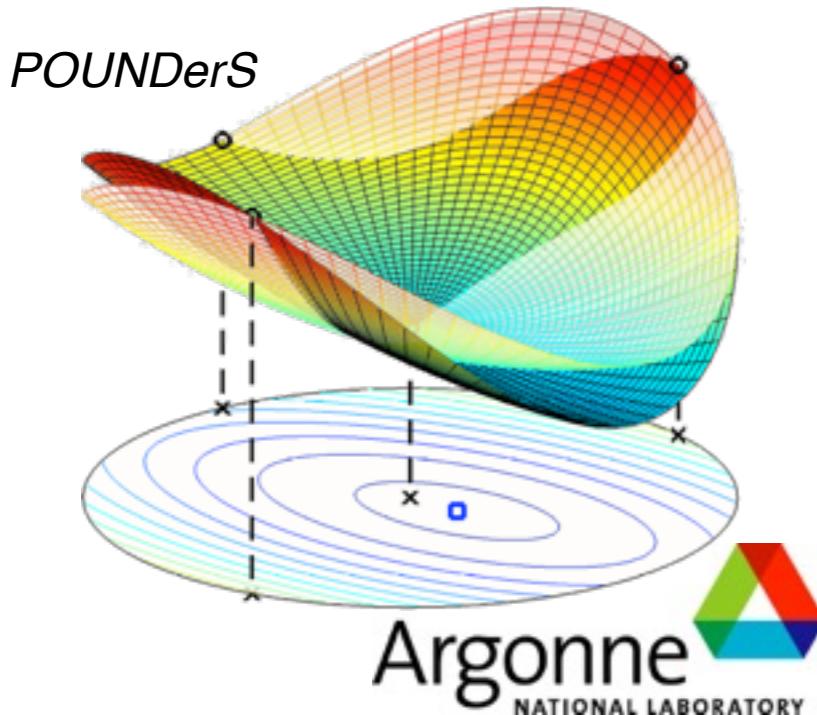
# what goes wrong: *radii, binding energies, spectra, ...*



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# NNLO<sub>sat(uration)</sub> and “in-medium optimization”: design



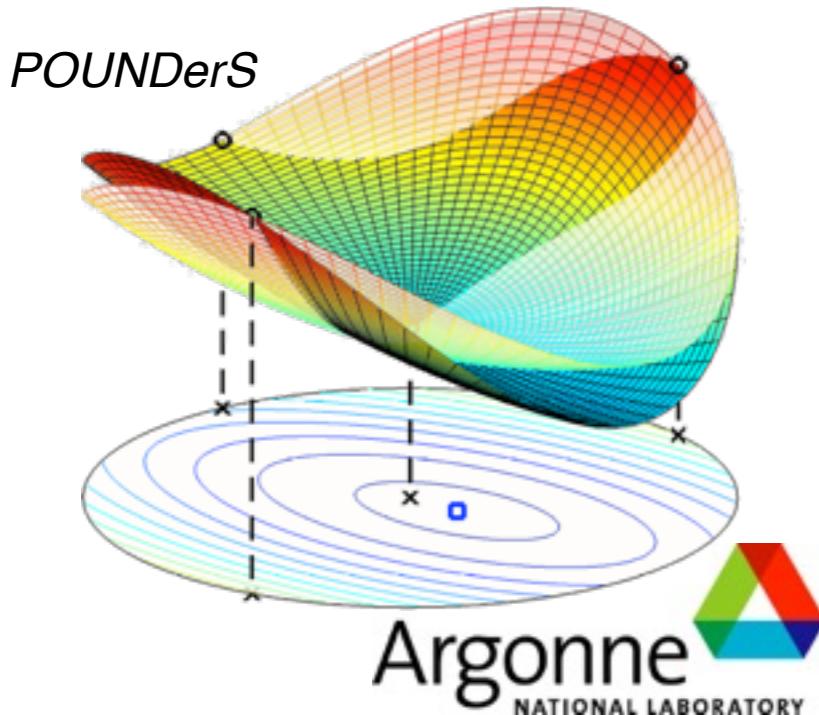
**Interaction: NN+3NF(non-local) NNLO cutoff=450 MeV**

**Optimization: vary all LECs in NN+3NF simultaneously**

**Design goal: describe binding energies and radii  
for  $A=2, 3, 4$ , p-shell, and sd-shell**

$$\min_{\vec{x}} \left[ f(\vec{x}) = \sum_{q=1}^N \left( \frac{O(\vec{x})_q - O_q^{\text{exp}}}{w_q} \right)^2 \right]$$

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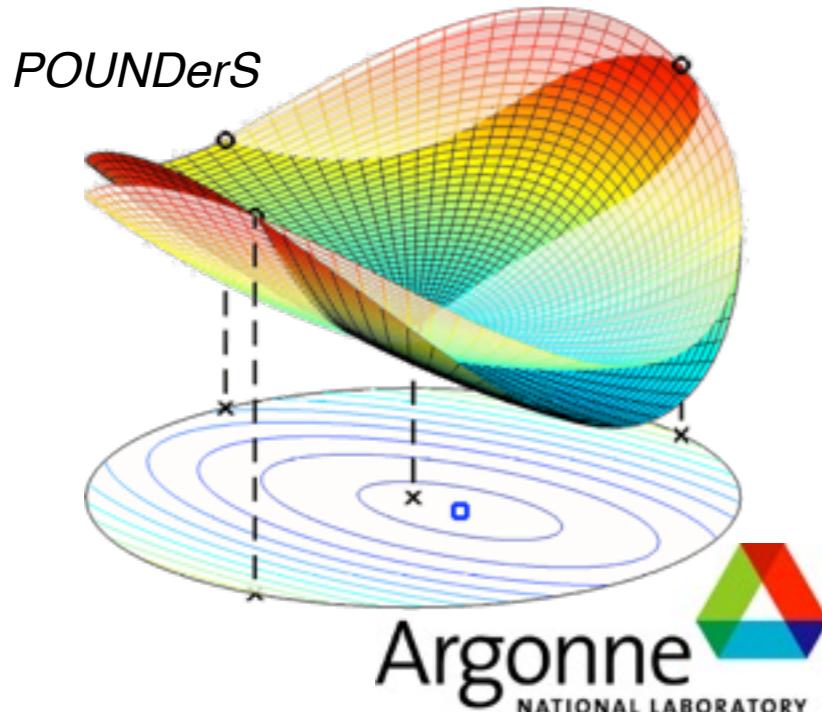
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Nucleon-nucleon scattering data  
up to Tlab=35 MeV

Scattering lengths and effective  
ranges in the  ${}^1S_0$  channels

NCSM and CCSD(Nmax=8) solutions  
of binding energies and charge radii  
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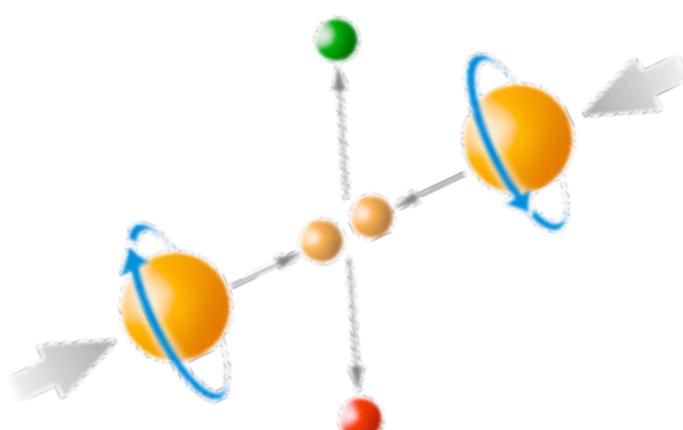
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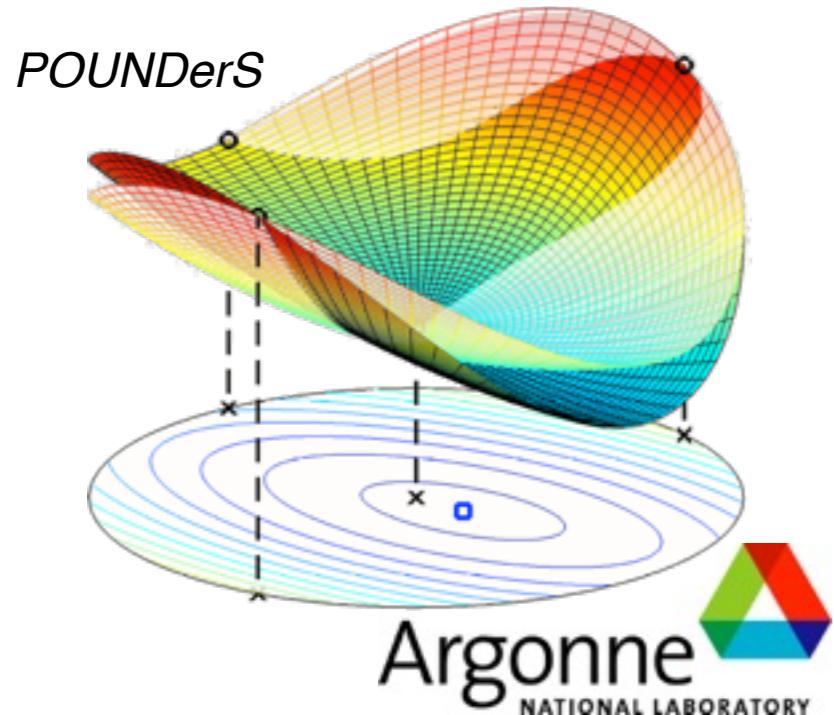
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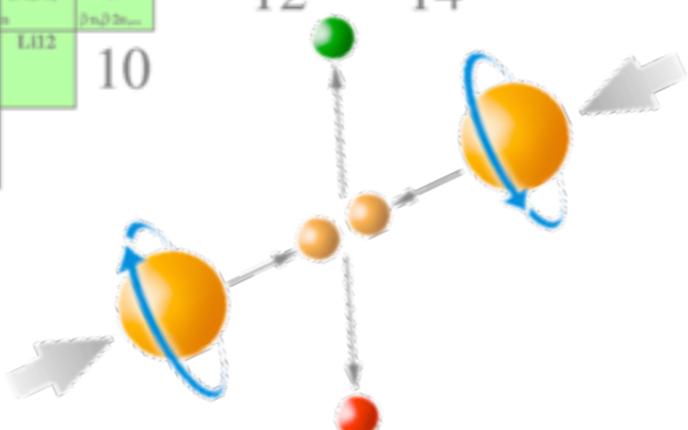
A periodic table highlighting specific nuclei for optimization. The highlighted nuclei include O-8, O-12, O-13, O-14, O-15, O-16, O-17, O-18, O-19, O-20, O-21, O-22, O-23, O-24, O-25, O-26, N-10, N-11, N-12, N-13, N-14, N-15, N-16, N-17, N-18, N-19, N-20, N-21, N-22, N-23, N-24, C-8, C-9, C-10, C-11, C-12, C-13, C-14, C-15, C-16, C-17, C-18, C-19, C-20, C-21, C-22, B-7, B-8, B-9, B-10, B-11, B-12, B-13, B-14, B-15, B-16, B-17, B-18, B-19, Be-5, Be-6, Be-7, Be-8, Be-9, Be-10, Be-11, Be-12, Be-13, Be-14, Li-6, Li-7, Li-8, Li-9, Li-10, Li-11, Li-12, He-3, He-4, He-5, He-6, He-7, He-8, He-9, He-10, H-1, H-2, H-3, H-4, H-5, H-6, H-7, H-8, and H-9. Each entry includes mass number, atomic number, binding energy, and other properties.

O-8	O-12	O-13	O-14	O-15	O-16	O-17	O-18	O-19	O-20	O-21	O-22	O-23	O-24	O-25	O-26
13.9994	0.00 MeV	8.58 fm	70.606 fm	122.214 fm	0+	0+	0+	26.914 fm	13.51 fm	3.62 fm	2.28 fm	82 fm	61 fm	0+	0+
0.00074	0.00074	0.00074	0.00074	0.00074	99.562	8.818	6.290	3	3	3	3	3	3	3	3
0.00074	0.00074	0.00074	0.00074	0.00074	0.00074	0.00074	0.00074	0.00074	0.00074	0.00074	0.00074	0.00074	0.00074	0.00074	0.00074

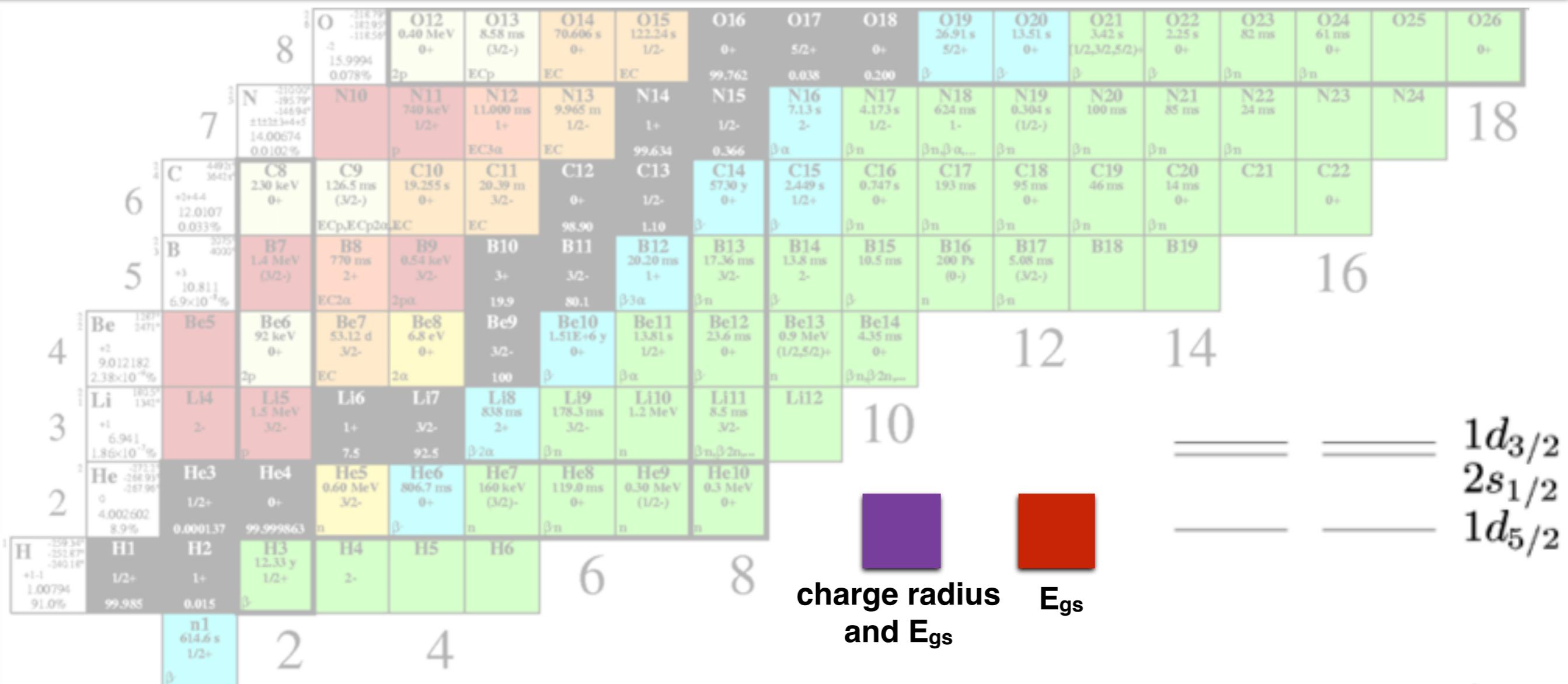
Nucleon-nucleon scattering data up to T<sub>lab</sub>=35 MeV

Scattering lengths and effective ranges in the <sup>1</sup>S<sub>0</sub> channels

NCSM and CCSD(Nmax=8) solutions of binding energies and charge radii for a selected set of light nuclei



# in-medium optimization: implementation



# No-Core Shell Model

- $N_{\max}=40/20$
  - $h\nu=36 \text{ MeV}$

# Coupled Cluster

- 3NF in NO<sub>2</sub>B
  - Nmax=8
  - h<sub>w</sub>=22 MeV

**for each iteration (3 min) calculate...**

*...NN-scattering observables and effective ranges*

...NCSM results for  $A=2,3,4$  nuclei.

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*A nucleus-dependent estimates was employed to account for the effects of a larger model spaces and triples-cluster corrections*

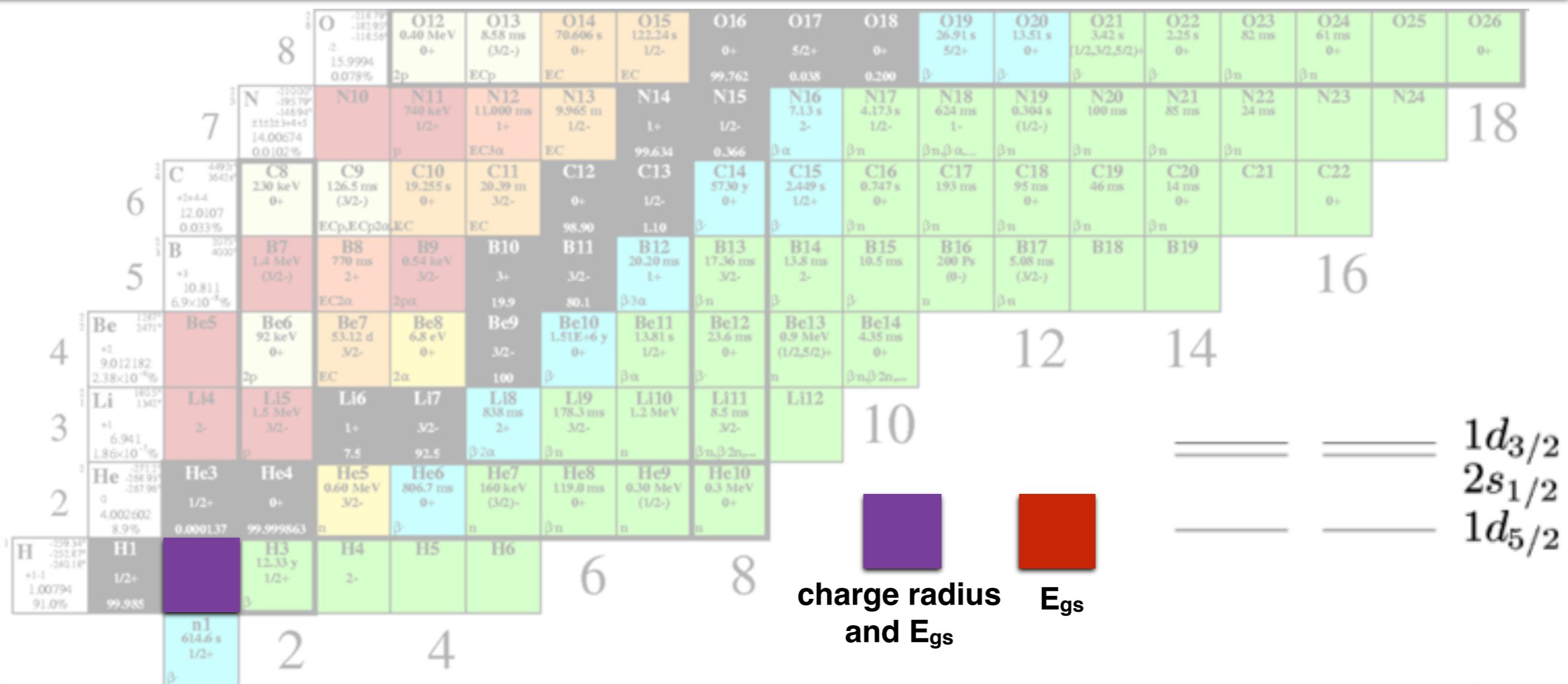
p

n

1p<sub>1/2</sub>  
1p<sub>3/2</sub>

$1d_{3/2}$   
 $2s_{1/2}$   
 $1d_{5/2}$

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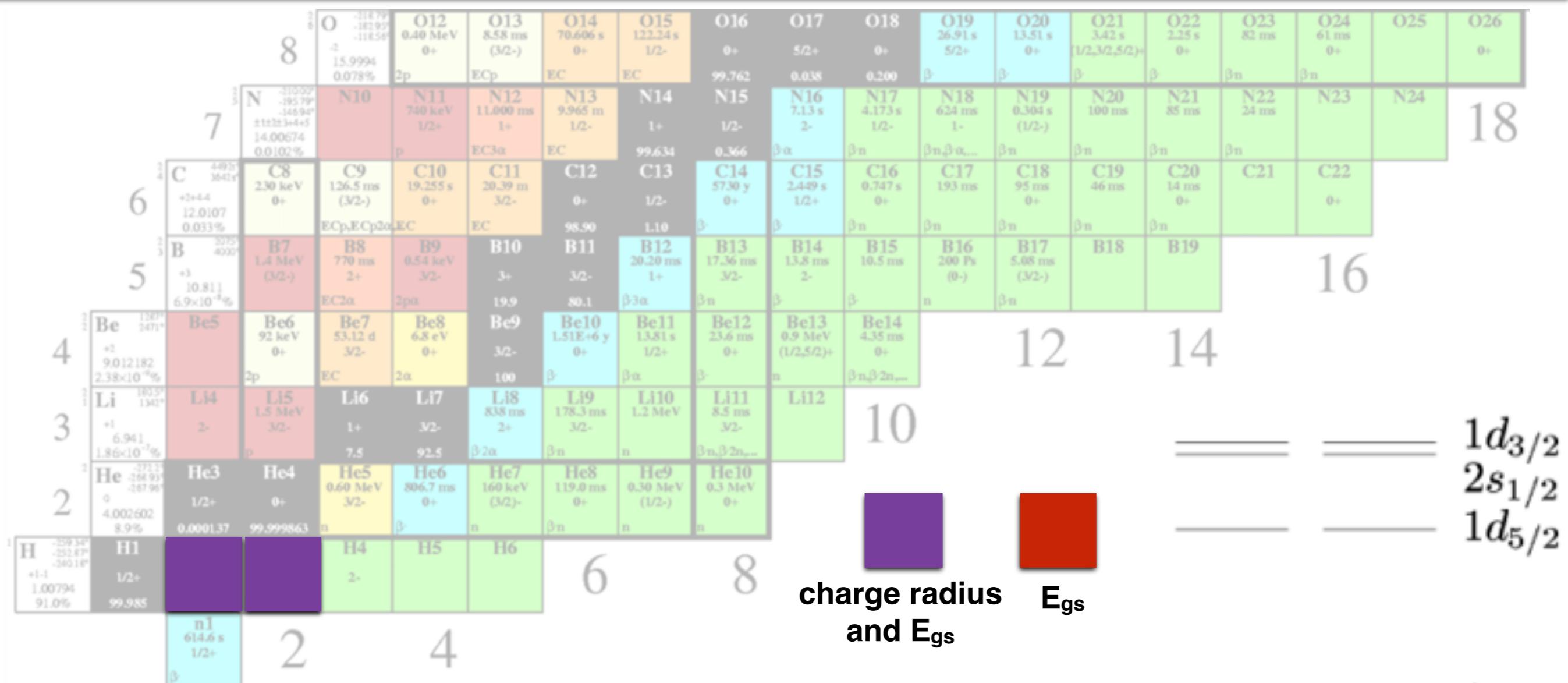
p n

$1d_{3/2}$   
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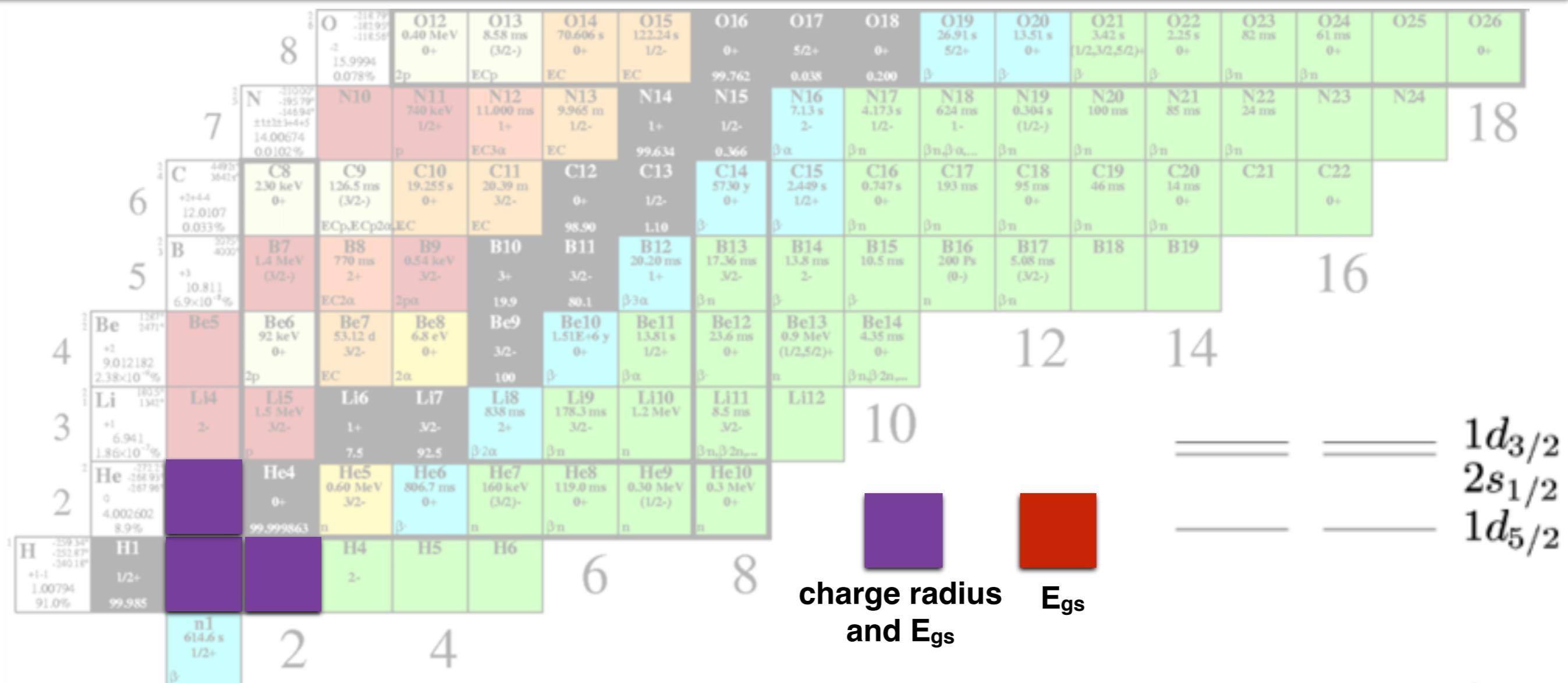


$1d_{3/2}$   
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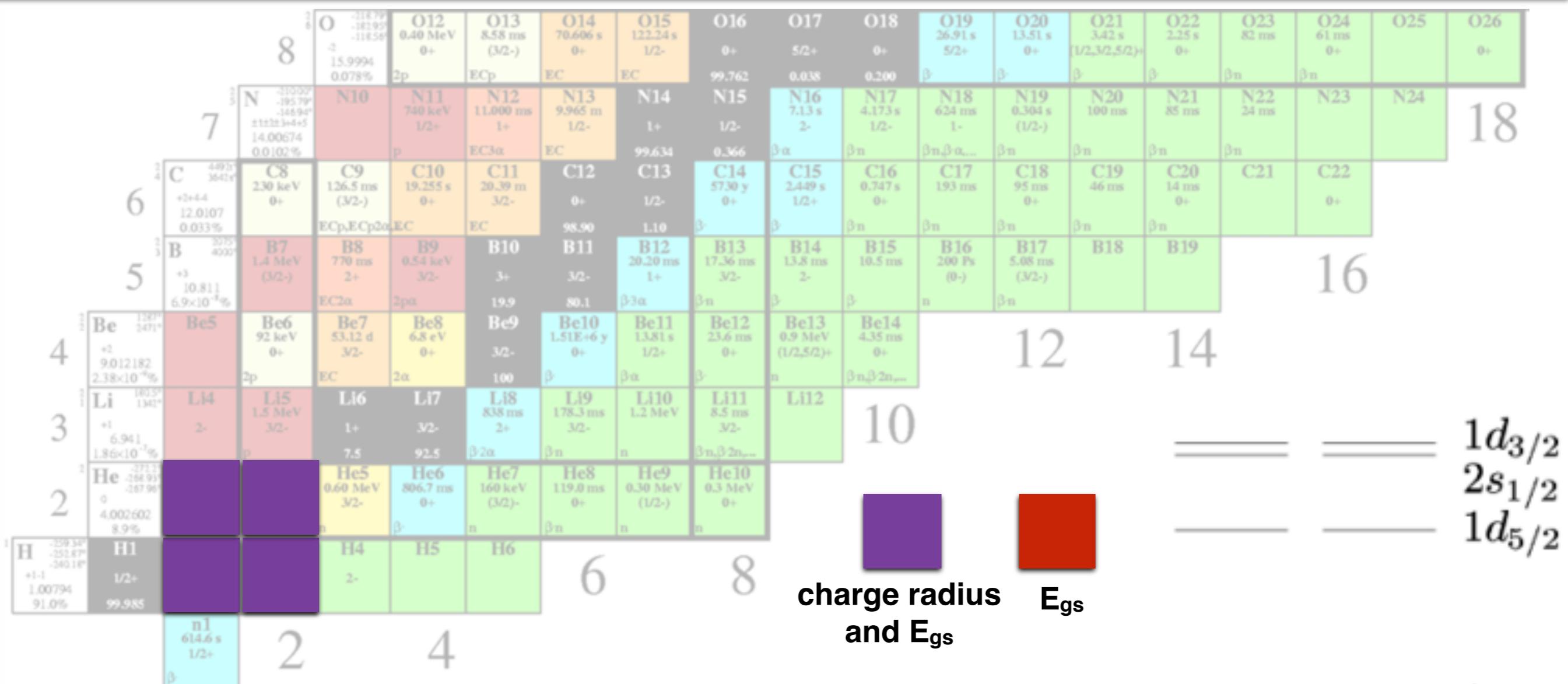
p n

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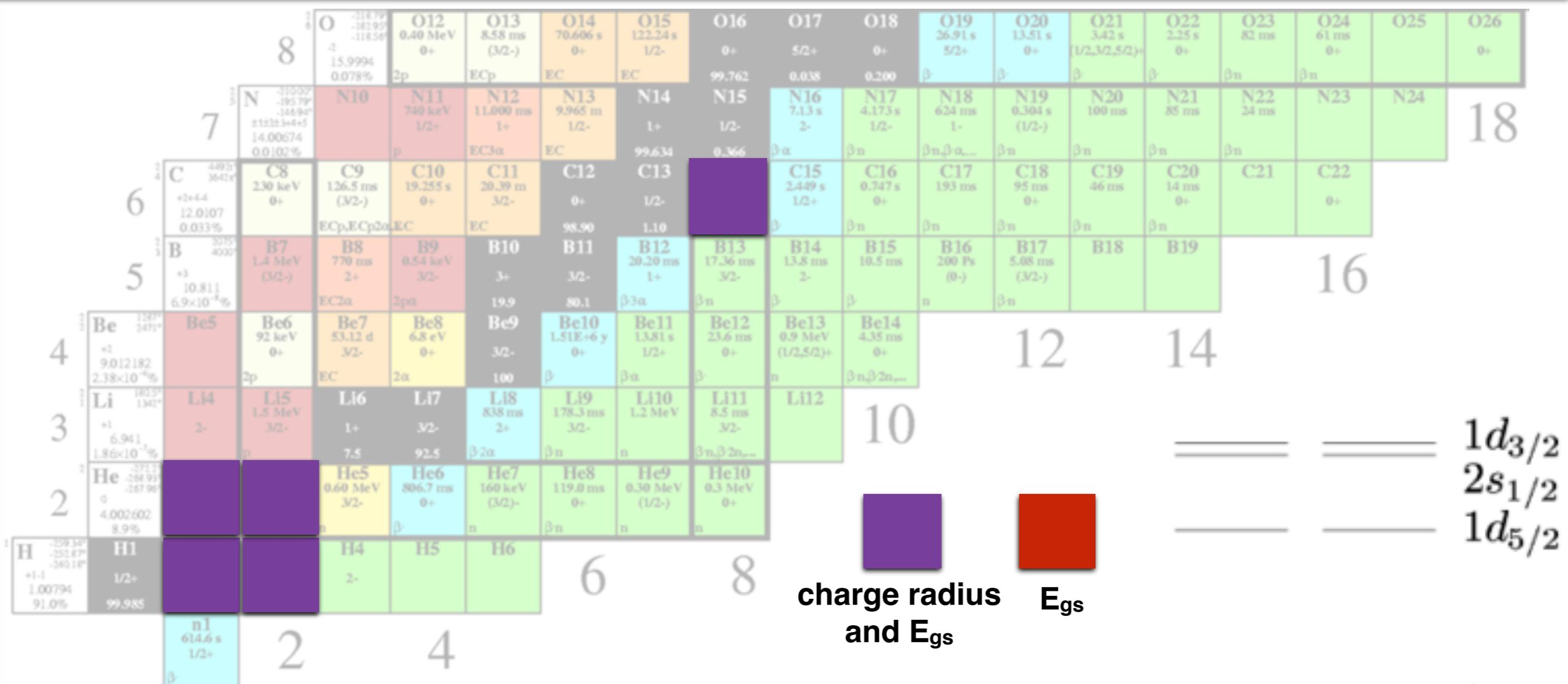
p n

$1p_{1/2}$   
 $1p_{3/2}$

$1d_{3/2}$   
 $2s_{1/2}$   
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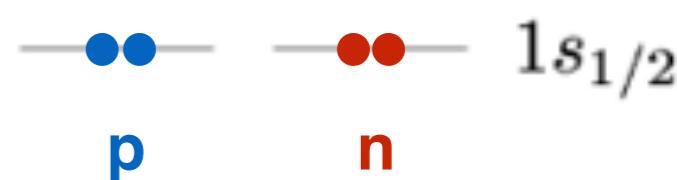
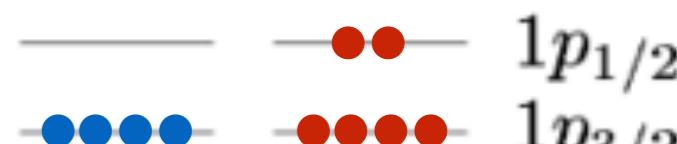
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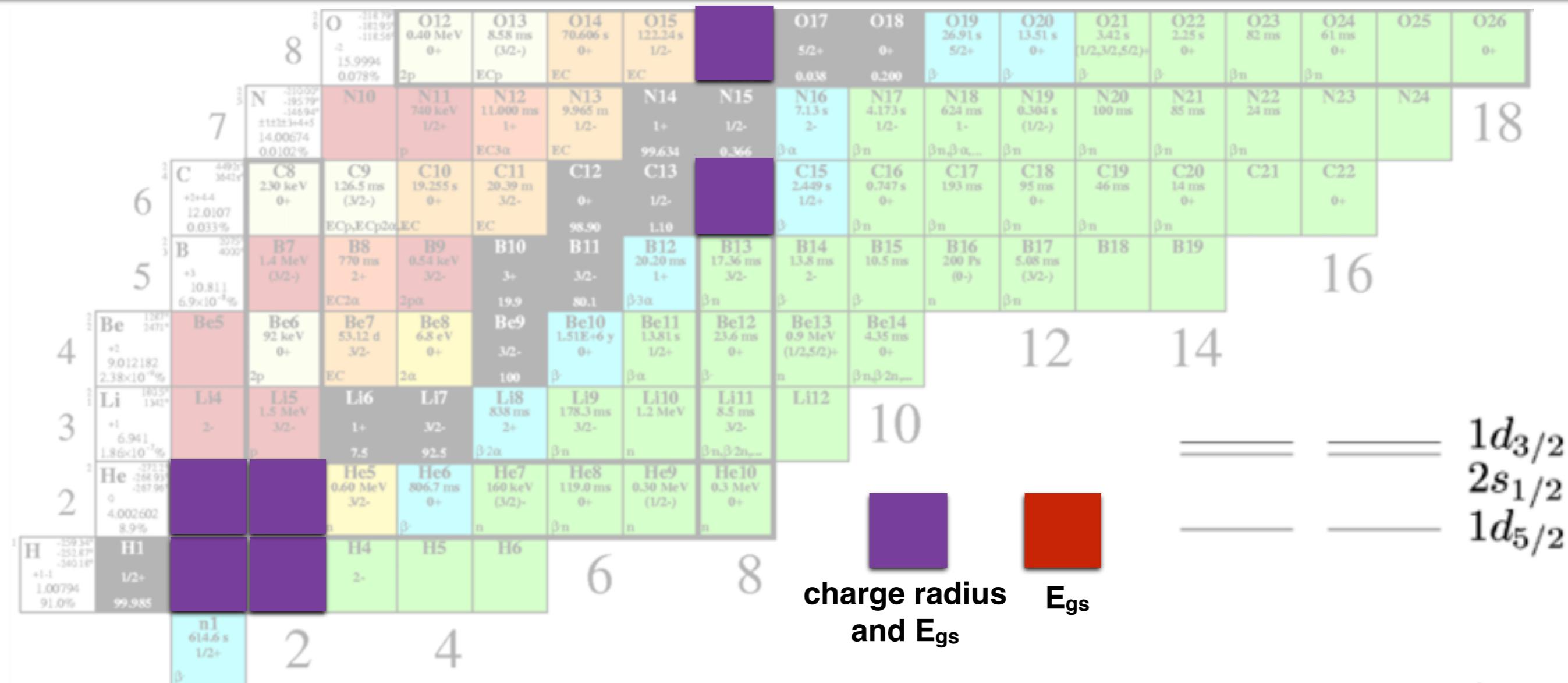
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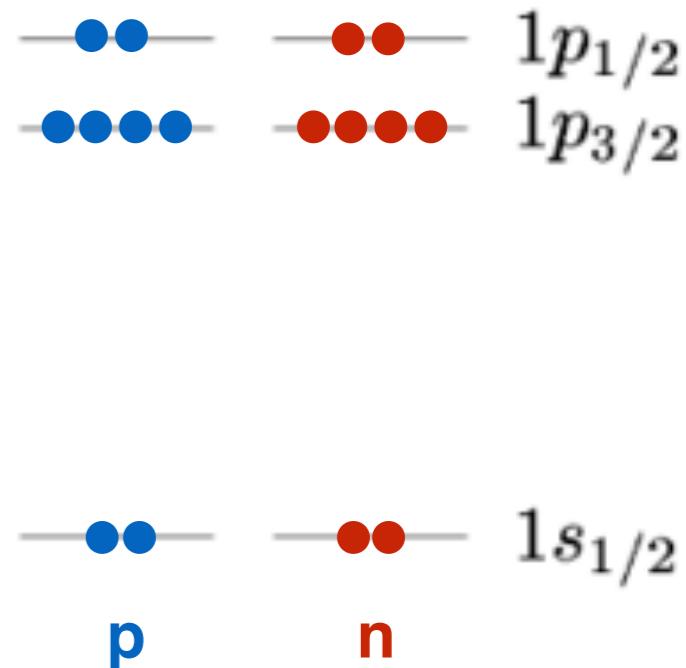
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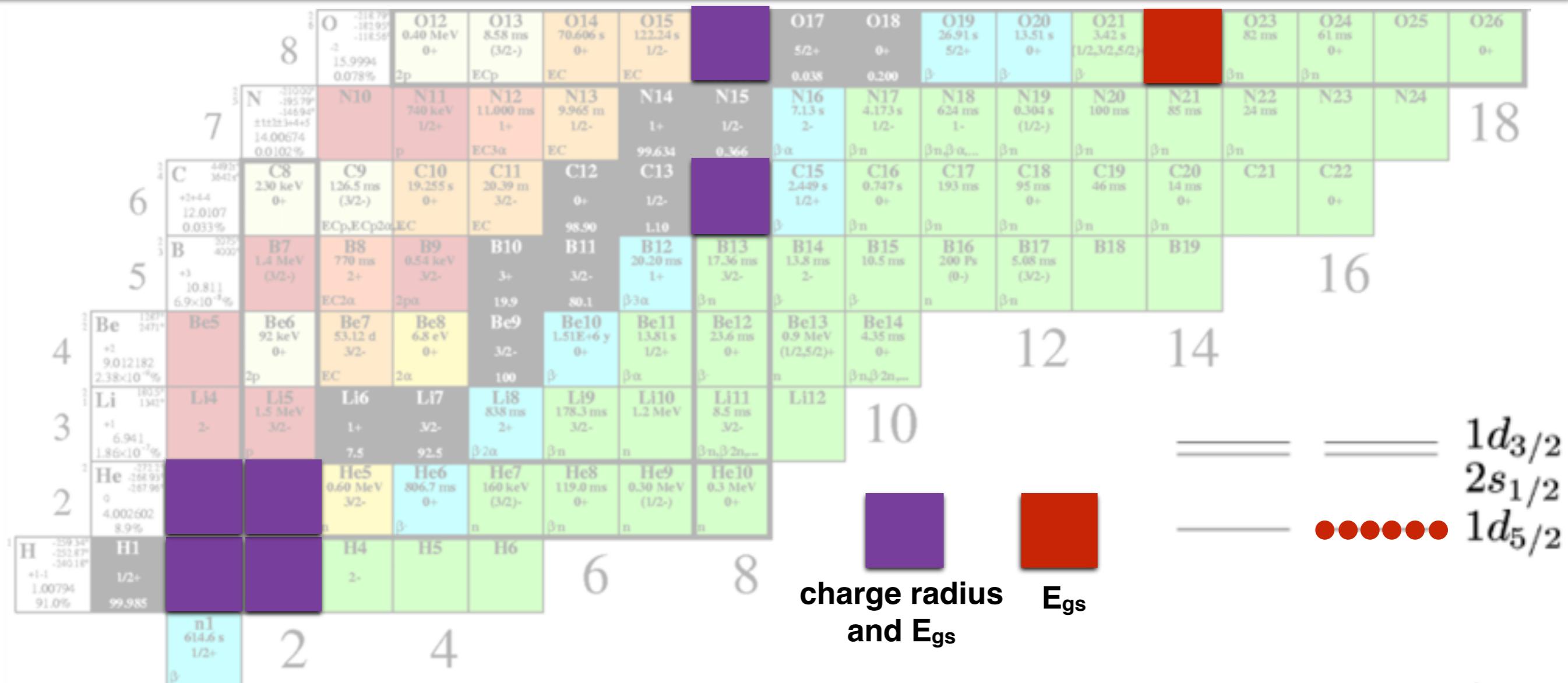
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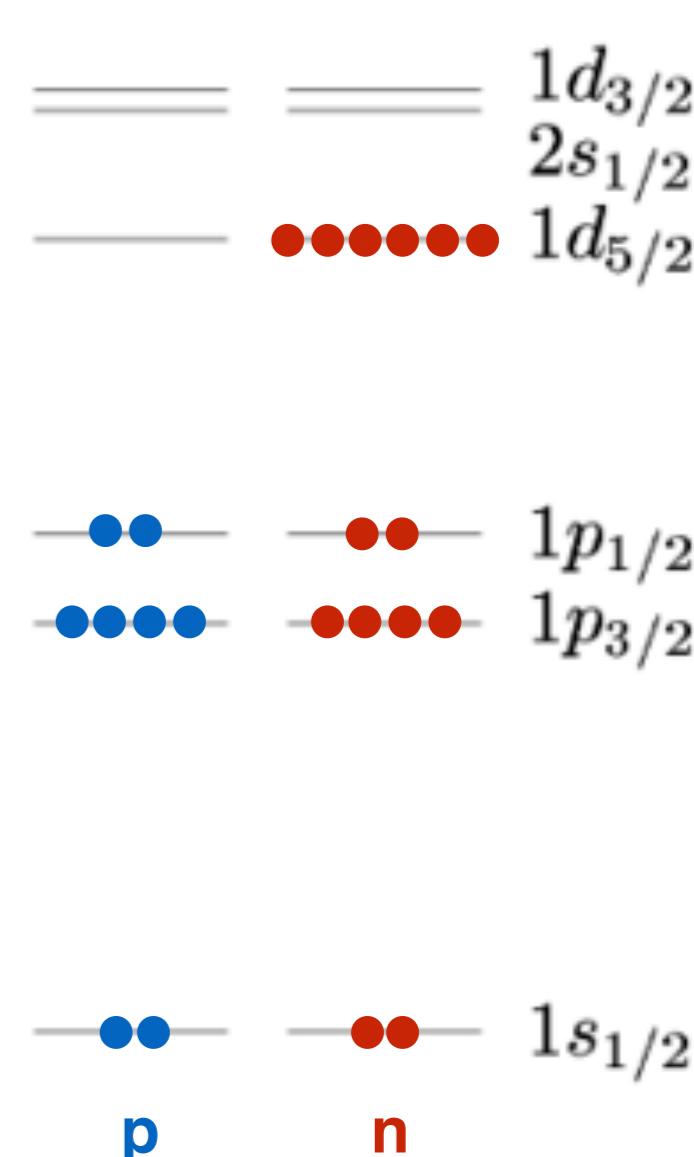
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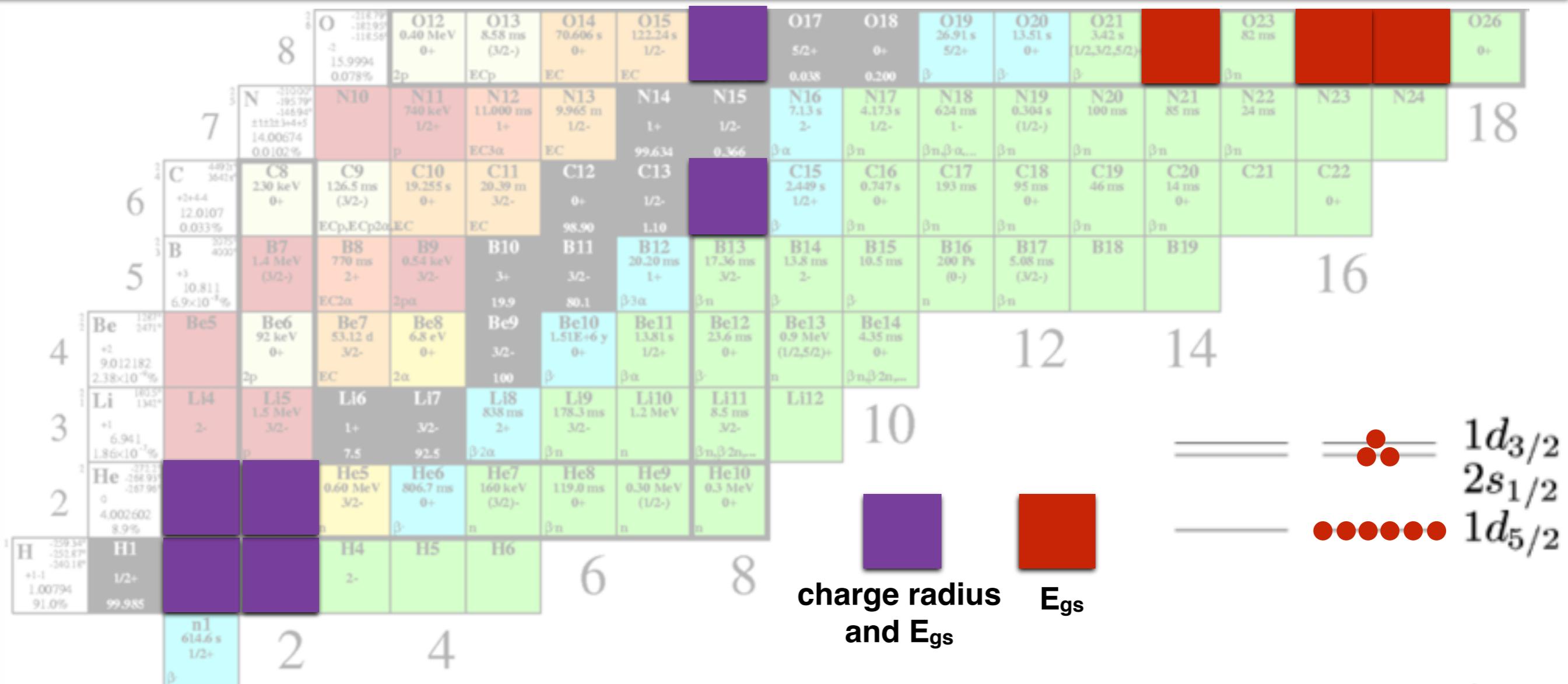
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# in-medium optimization: implementation



## No-Core Shell Model

- Nmax=40/20
- hw=36 MeV

## Coupled Cluster

- 3NF in NO2B
- Nmax=8
- hw=22 MeV

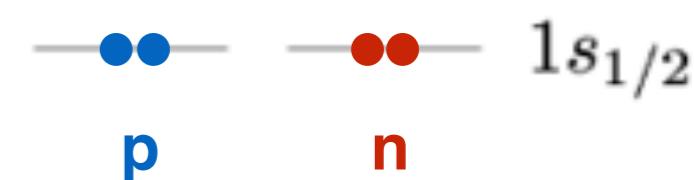
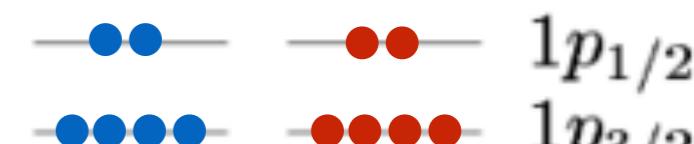
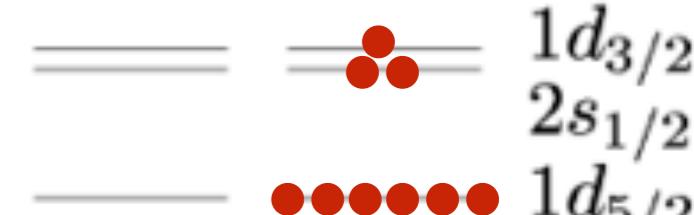
for each iteration (3 min) calculate...

...NN-scattering observables and effective ranges

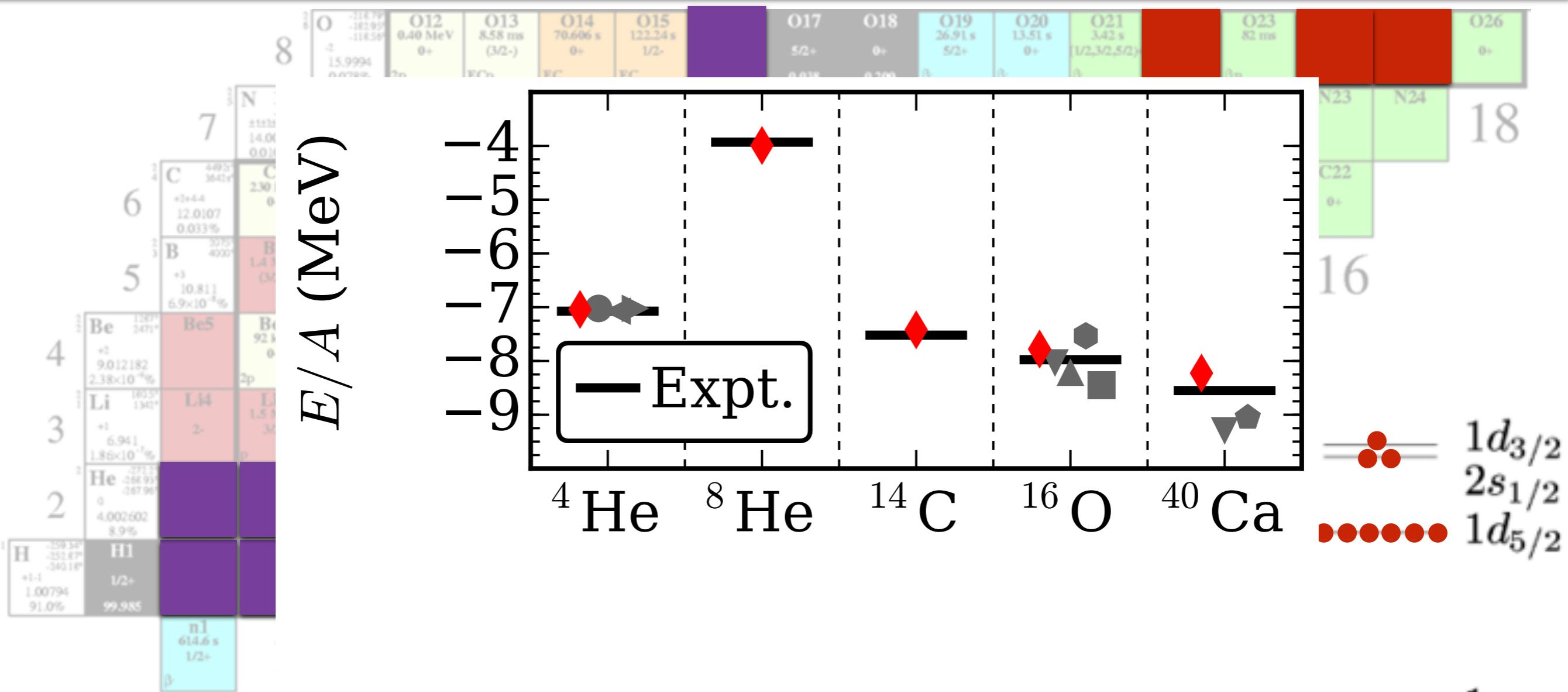
...NCSM results for  $A=2,3,4$  nuclei.

...CCSD results for  $A=14,16,22,24,25$  nuclei.

*A nucleus-dependent estimates was employed to account for the effects of a larger model spaces and triples-cluster corrections*



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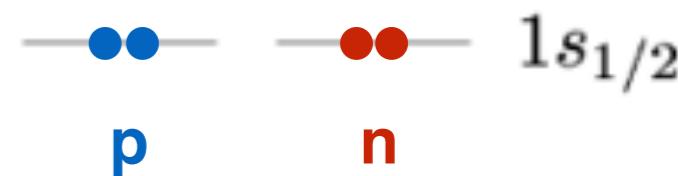
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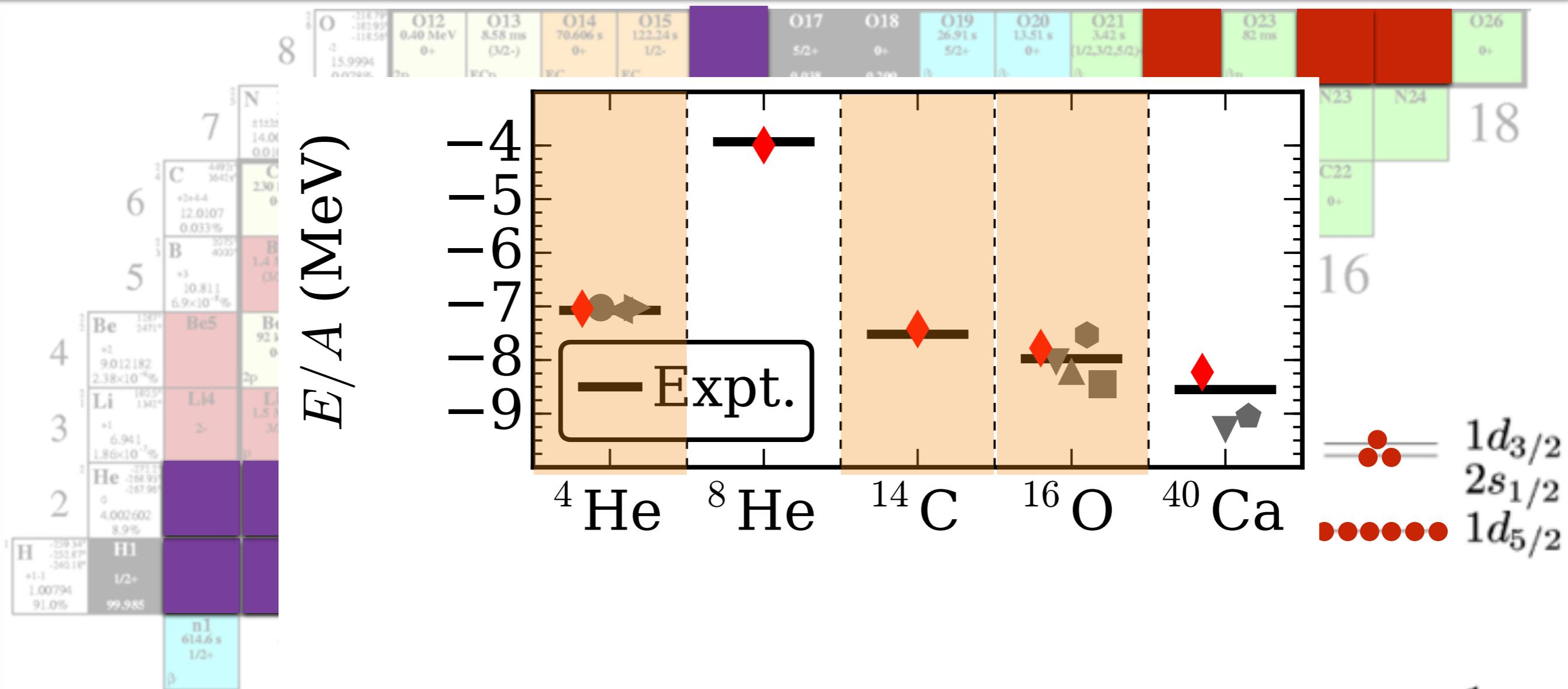
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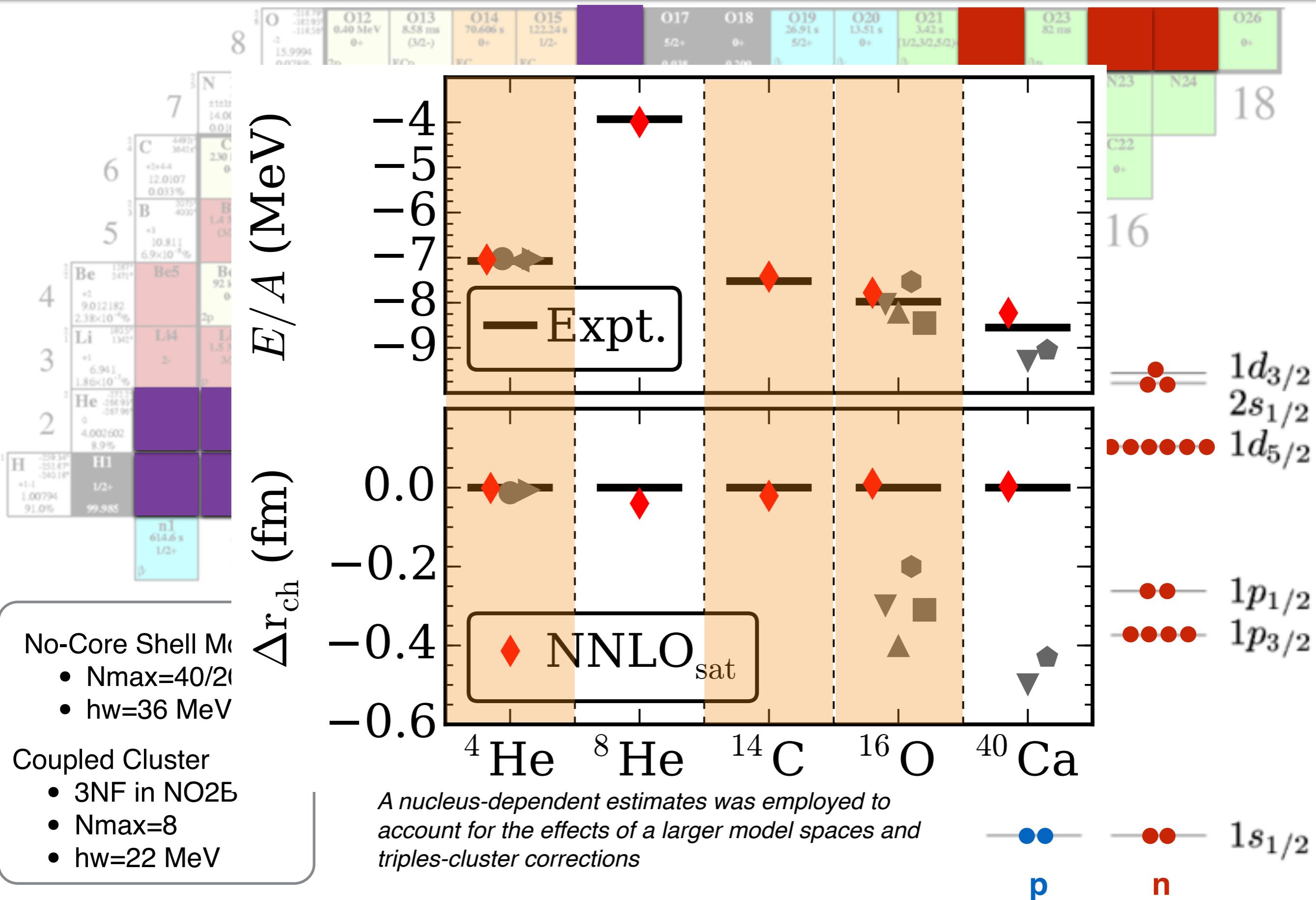
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$1s_{1/2}$

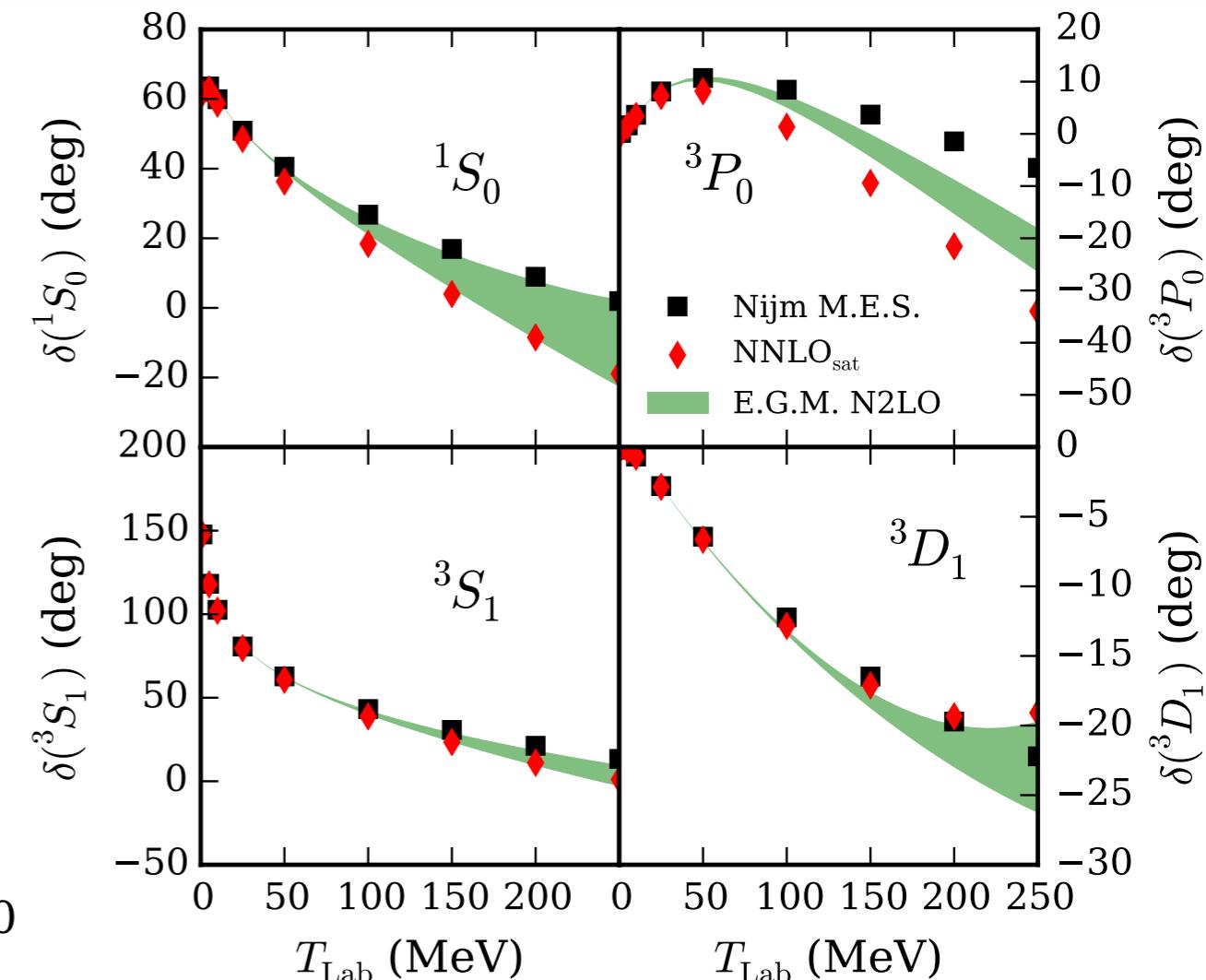
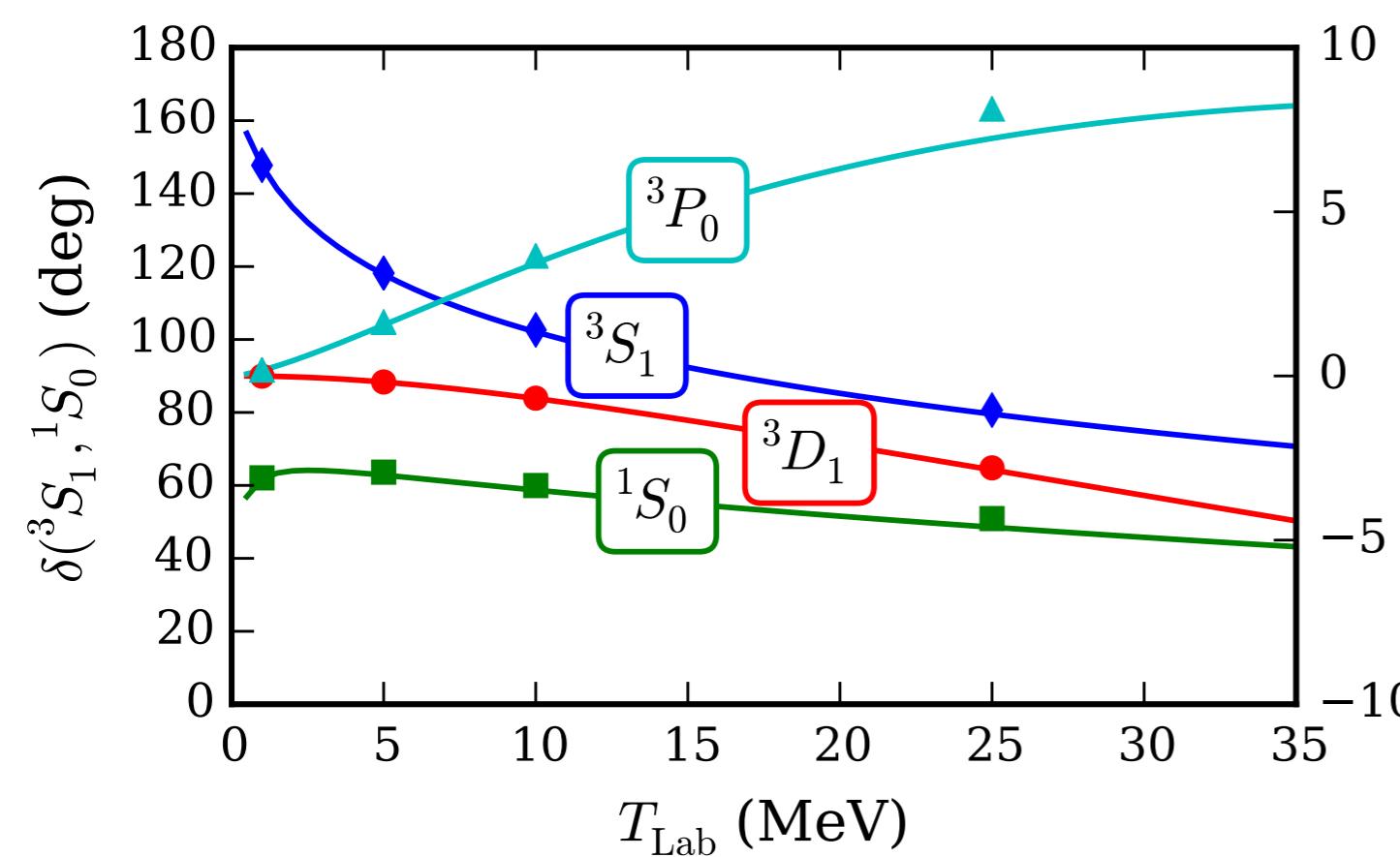
# in-medium optimization: implementation



# NNLO<sub>sat</sub> phase shifts and scattering observables

*Phase shifts are very reasonable  
in the low energy range.*

*For higher energies, NNLO<sub>sat</sub>  
falls on the envelope of NNLO*



Chi square per datum

$T_{\text{Lab}}$	pp	np		
	0-35	35-125	125-183	183-290
6	164	118	314	16
3	7	18		

without systematic uncertainty

# NNLO<sub>sat</sub> and the reproduction of input data

## NCSM Energies and charge radii with NNLOsat

Observable	Theory	Experiment	D/Exp (%)
$E_{gs}(^2H)$	<b>-2.224574</b>	2.224575(9) MeV	0.0
$r_{pt-p}(^2H)$	<b>1.978</b>	1.97535(85) fm	0.1
$Q_D(^2H)$	<b>0.270</b>	0.2859(3) fm <sup>2</sup>	5.6
$P_D(^2H)$	<b>3.46%</b>	—	—
$E_{gs}(^3H)$	<b>-8.52</b>	-8.482 MeV	0.4
$r_{ch}(^3H)$	<b>1.78</b>	1.7591(363) fm	1.1
$E_{gs}(^3He)$	<b>-7.76</b>	-7.718 MeV	0.5
$r_{ch}(^3He)$	<b>1.99</b>	1.9661(30) fm	1.2
$E_{gs}(^4He)$	<b>-28.43</b>	-28.296 MeV	0.5
$r_{ch}(^4He)$	<b>1.70</b>	1.6755(28) fm	1.5

## CCSD Energies and charge radii with NNLOsat

Observable	Theory	Experiment	D/Exp (%)
$E_{gs}(^{14}C)$	<b>103.6</b>	105.285 MeV	1.6
$r_{ch}(^{14}C)$	<b>2.48</b>	2.5025(87) fm	0.9
$E_{gs}(^{16}O)$	<b>124.4</b>	127.619 MeV	2.5
$r_{ch}(^{16}O)$	<b>2.71</b>	2.6991(52) fm	0.4
$E_{gs}(^{22}O)$	<b>160.8</b>	162.028(57) MeV	0.8
$E_{gs}(^{24}O)$	<b>168.1</b>	168.96(12) MeV	0.5
$E_{gs}(^{25}O)$	<b>167.4</b>	168.18(10) MeV	0.5

## $^1S_0$ effective range expansion

Observable	Theory	Experiment	D/Exp (%)
$a_{nn}$	<b>-18.93</b>	-18.9(4) fm	0.2
$r_{nn}$	<b>2.855</b>	2.75(11) fm	3.8
$a_{np}$	<b>-23.728</b>	-23.740(20) fm	0.0
$r_{np}$	<b>2.798</b>	2.77(5) fm	1.0
$a_{pp}$	<b>-7.8258</b>	-7.8196(26) fm	0.0
$r_{pp}$	<b>2.855</b>	2.790(14) fm	2.3

$$| \langle ^3He | E_1^\Lambda | ^3H \rangle | = 0.6343 \\ (\text{empirical} = 0.6848(11))$$

$$\langle r_{ch}^2 \rangle = \langle r_{pp}^2 \rangle + \langle R_p^2 \rangle + \frac{N}{Z} \langle R_n^2 \rangle + \frac{3\hbar^2}{4m_p^2 c^2} \\ R_p = 0.8775 \text{ fm} \\ (R_n)^2 = -0.1149 \text{ fm}^2 \\ \text{Darwin-Foldy} = 0.033 \text{ fm}^2$$

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**NNLO<sub>sat</sub>**  
reproduces the binding energies  
and the charge radii of selected  
psd-shell nuclei to 1%

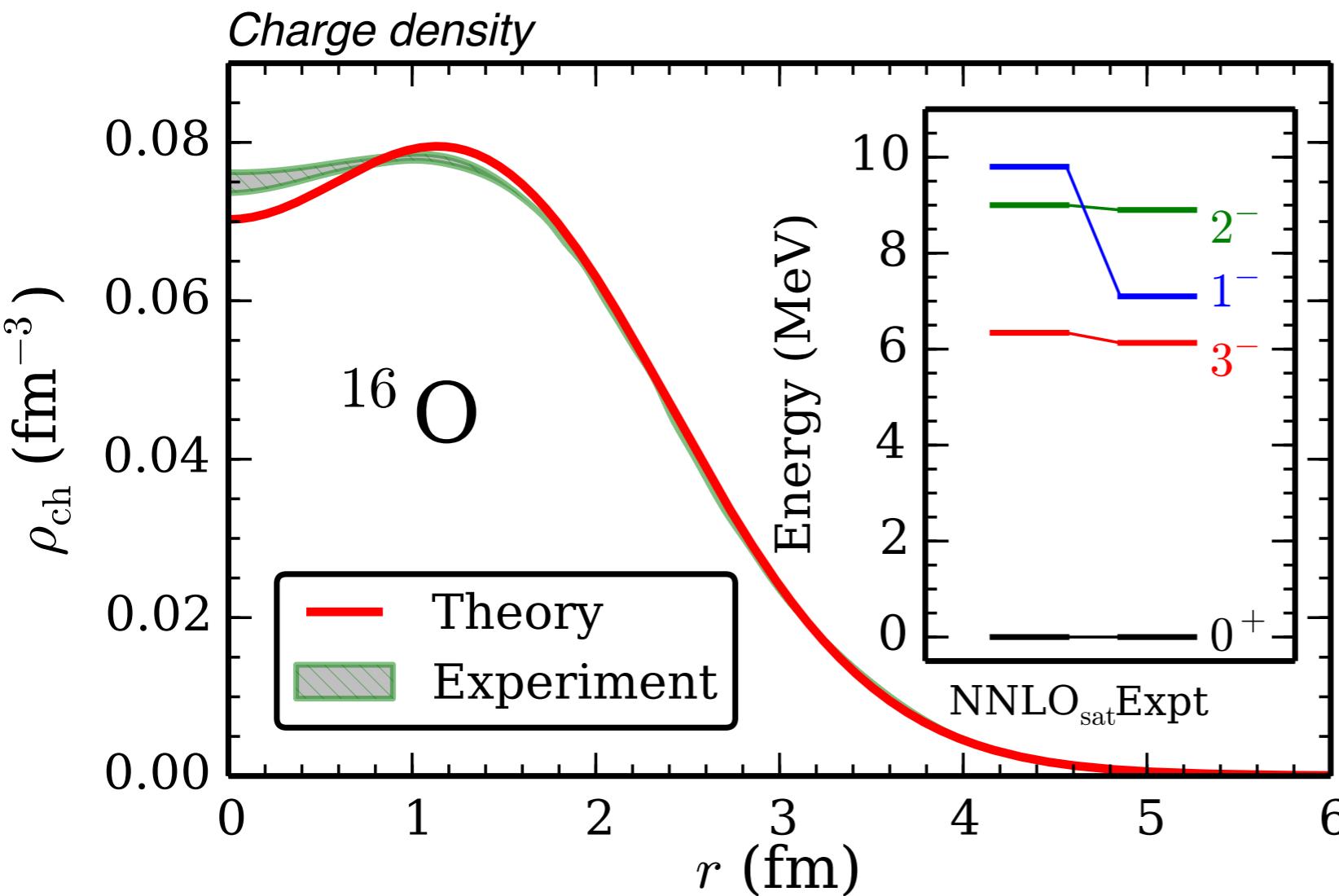
$$\langle \tilde{r}_{ch} \rangle = \langle \tilde{r}_{pp} \rangle + \langle \tilde{R_p} \rangle + \frac{1}{Z} \langle \tilde{R_n} \rangle + \frac{4m_p^2 c^2}{\tilde{R}_n}$$

$$R_p = 0.8775 \text{ fm}$$

$$(R_n)^2 = -0.1149 \text{ fm}^2$$

$$\text{Darwin-Foldy} = 0.033 \text{ fm}^2$$

# $^{16}\text{O}$ charge density and negative parity states



*One-nucleon separation energies*

	NNLOsat	Experiment
$S_n(^{17}\text{O})$	4.0 MeV	4.14 MeV
$S_n(^{16}\text{O})$	14.0 MeV	15.67 MeV
$S_p(^{17}\text{F})$	0.5 MeV	0.60 MeV
$S_p(^{16}\text{O})$	10.7 MeV	12.12 MeV

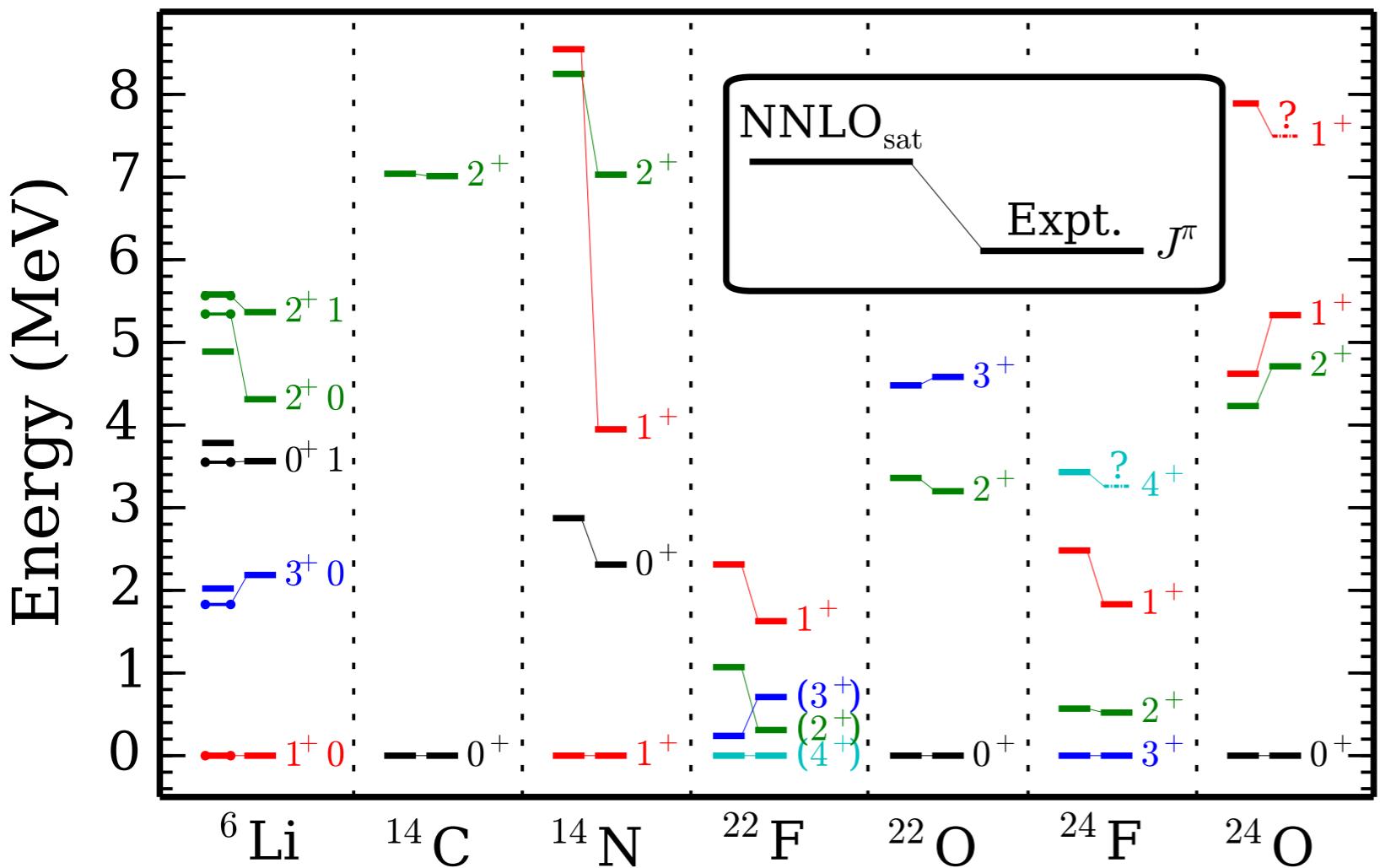
$\Lambda\text{-CCSD(T)}$   
 $hw=22 \text{ MeV}, N_{\text{max}}=14$   
 $E_{\text{3max}}=16$   
NO2B HF basis  
+leading order  
NNN contribution  
to the total energy

*ab initio challenge:*  
 $E(3^-)=6.34 \text{ MeV}$

NNLOsat  
 $E(3^-)=6.13 \text{ MeV}, 90\%$   
I $p$ -I $h$  excitation ( $p_{1/2}$ -d $5/2$ )

*I $p$ -I $h$  states sensitive to the particle-hole gap (A=16/17 separation energies)*

# Spectra, binding energies and radii



$\Lambda$ -CCSD(T)  
 $h\nu=22 \text{ MeV}, N_{\max}=14$   
 $E3_{\max}=16$   
NO2B HF basis  
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Ground state energies in MeV:

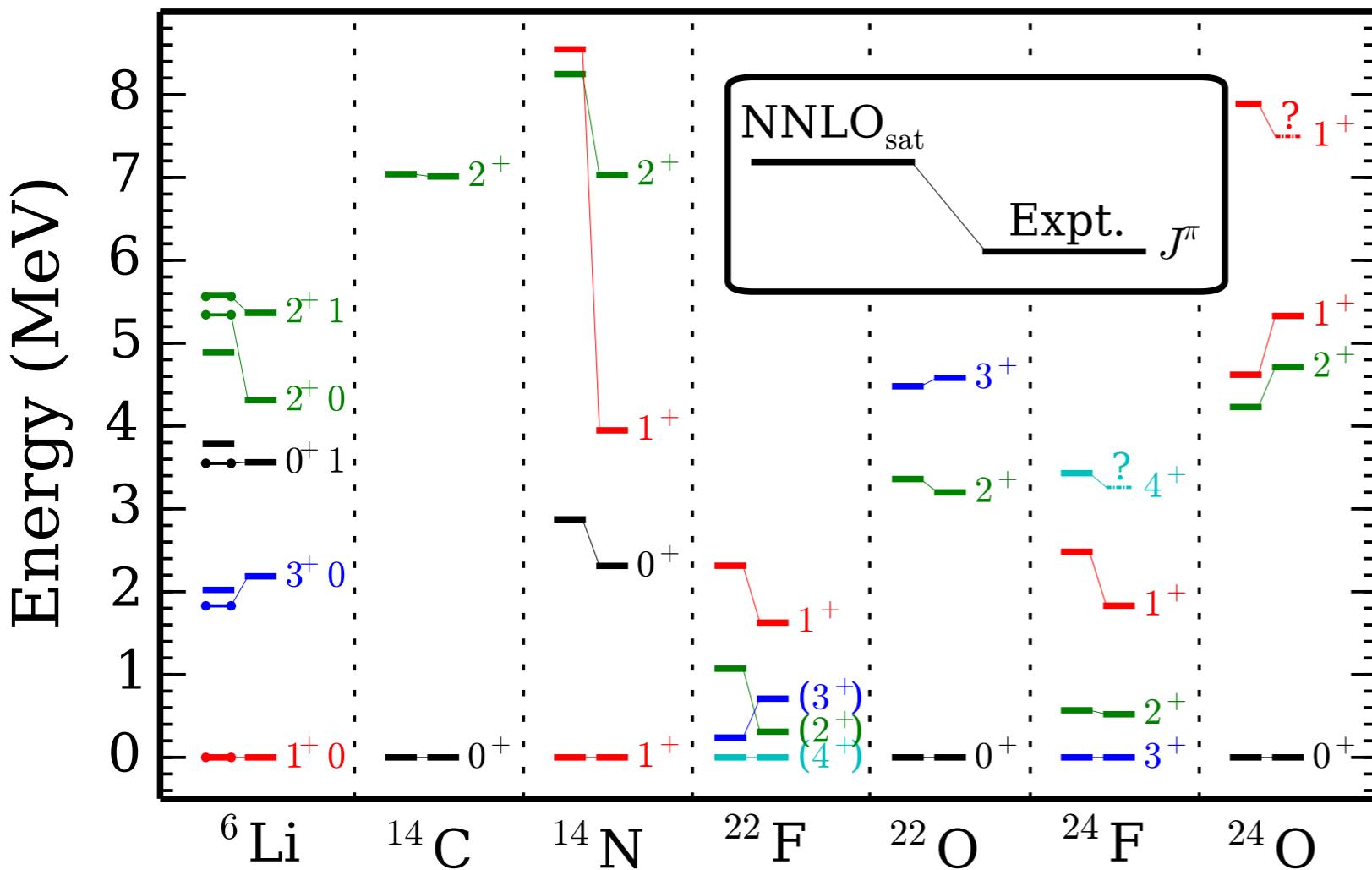
	NNLO <sub>sat</sub>	Exp.
${}^6\text{Li}$	32.4	32.0
${}^8\text{He}$	30.9	31.5
${}^9\text{Li}$	43.9	45.3
${}^{14}\text{N}$	103.7	104.7
${}^{22}\text{F}$	163.0	167.7
${}^{24}\text{F}$	175.1	179.1

Radii in fm:

	charge	matter	Exp.
${}^8\text{He}$	1.91	—	1.959(16)
${}^9\text{Li}$	2.22	—	2.217(35)
${}^{22}\text{O}$	(2.72)	2.80	2.75(15)
${}^{24}\text{O}$	(2.76)	2.95	—

${}^{18}\text{O}$  spectra compressed  
 $E(2^+)=0.7 \text{ MeV} (\text{exp. } 1.9 \text{ MeV})$

# Spectra, binding energies and radii



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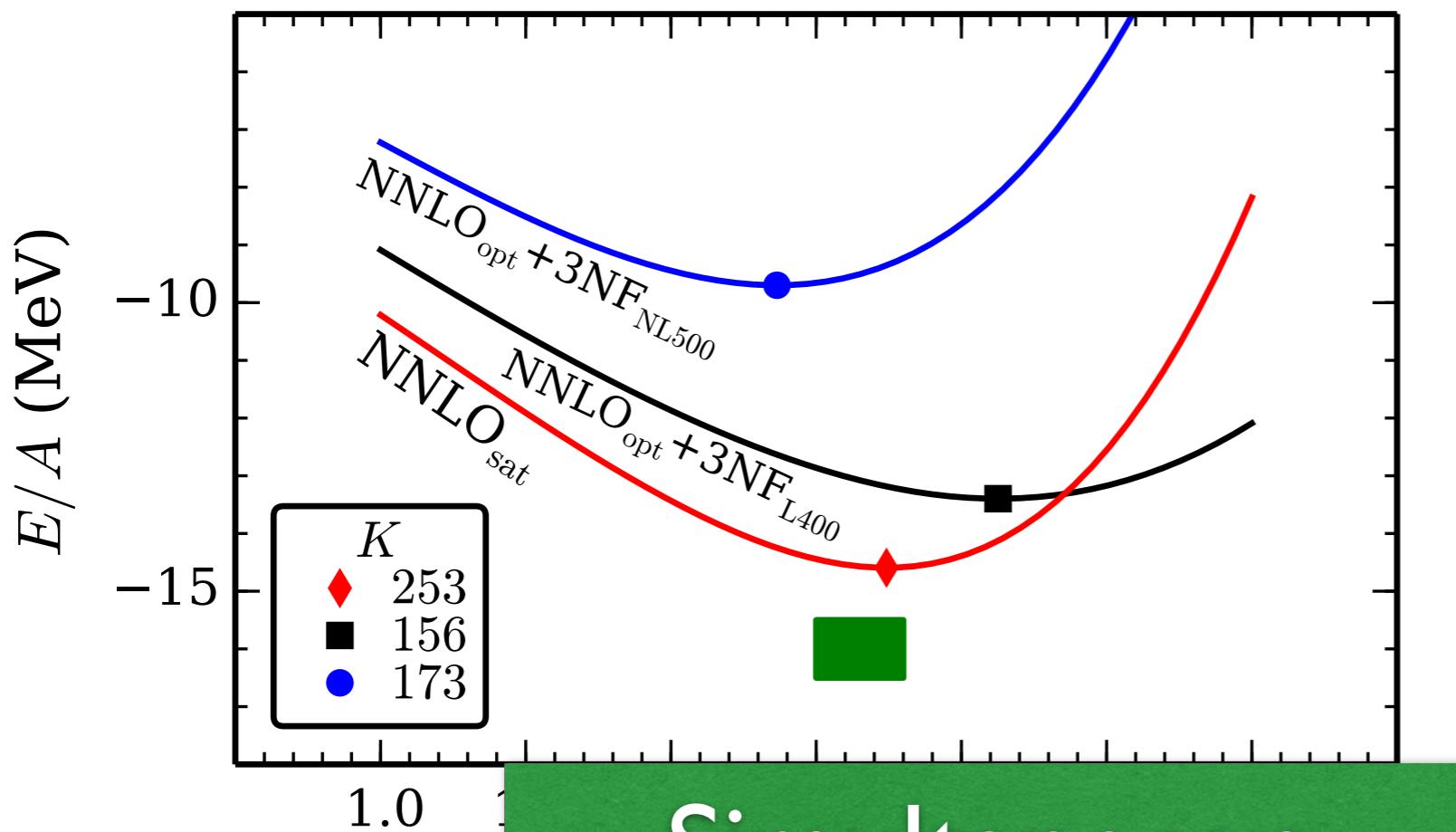
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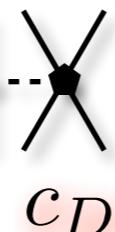
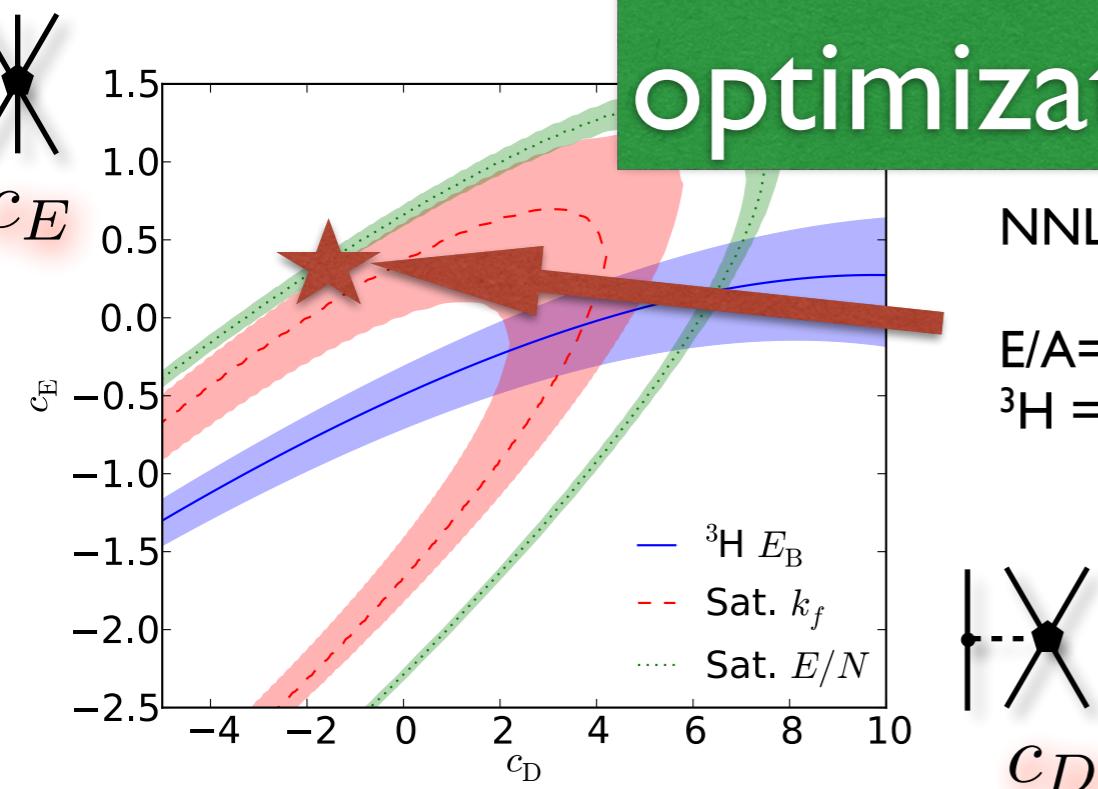
## Calcium-40

	$E_{\text{gs}}$ (MeV)	$r_{\text{ch}}$ (fm)	$E(3^-)$ (MeV)
NNLO <sub>sat</sub>	326	3.48	3.81
Experimen	342	3.48	3.74

# NNLO<sub>sat</sub> and symmetric nuclear matter



Simultaneous optimization is key!



$c_D$



$c_D$



$c_D$



$c_D$

Coupled-cluster calculations of nucleonic matter

G. Hagen et al.

PHYSICAL REVIEW C 89, 014319 (2014)

NNLO<sub>sat</sub> saturation properties

$$E/A = -14.59 \text{ MeV}$$

$$k_f = 1.35 \text{ fm}^{-1}$$

$$\rho_0 = 0.17 \text{ fm}^{-3}$$

incompressibility

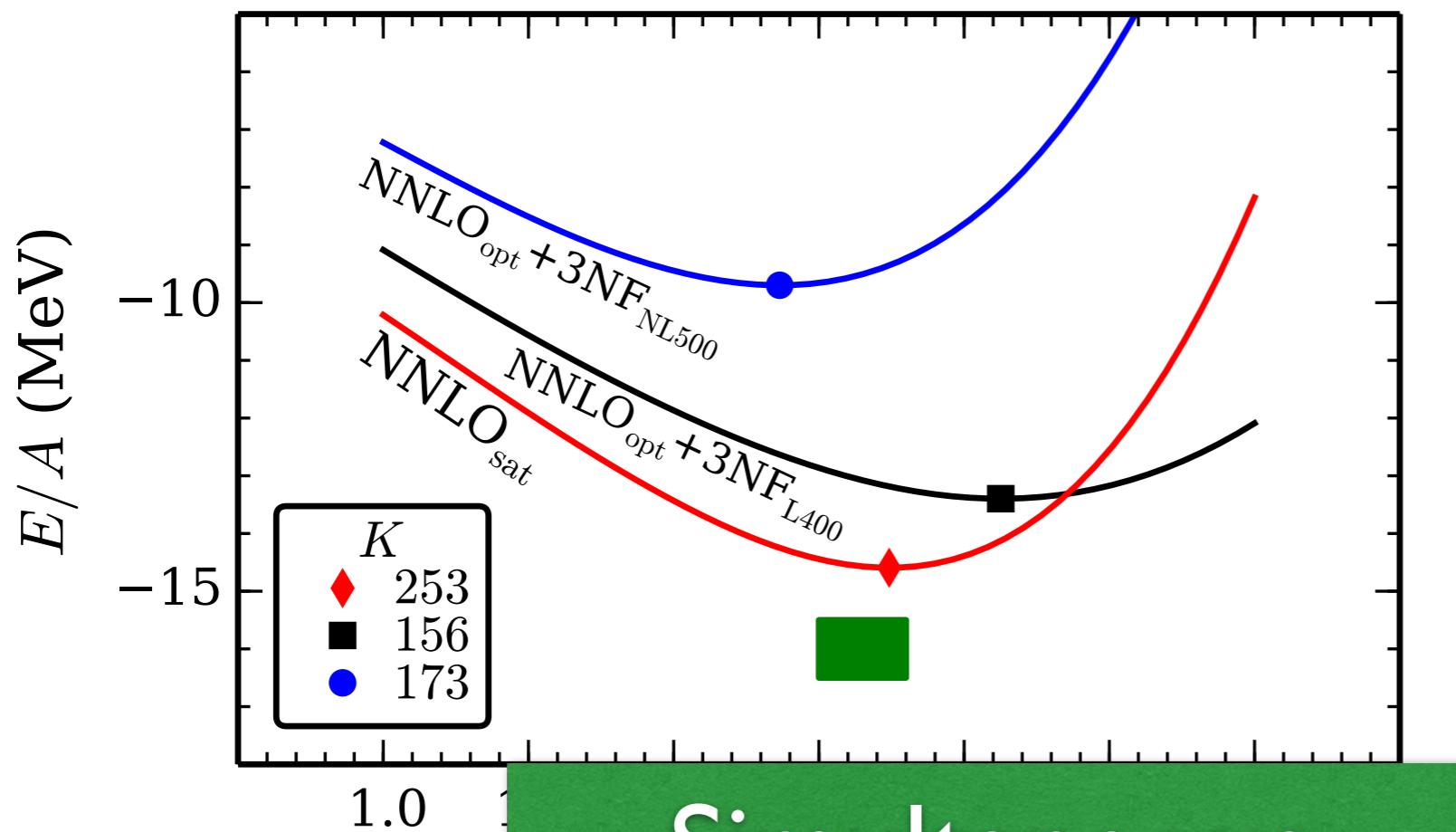
$$K = 9\rho_0^2 \frac{d^2(E/A)}{d\rho^2} \Big|_{\rho=\rho_0}$$

inversely proportional to the compressibility.

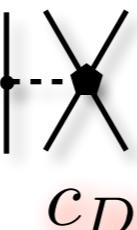
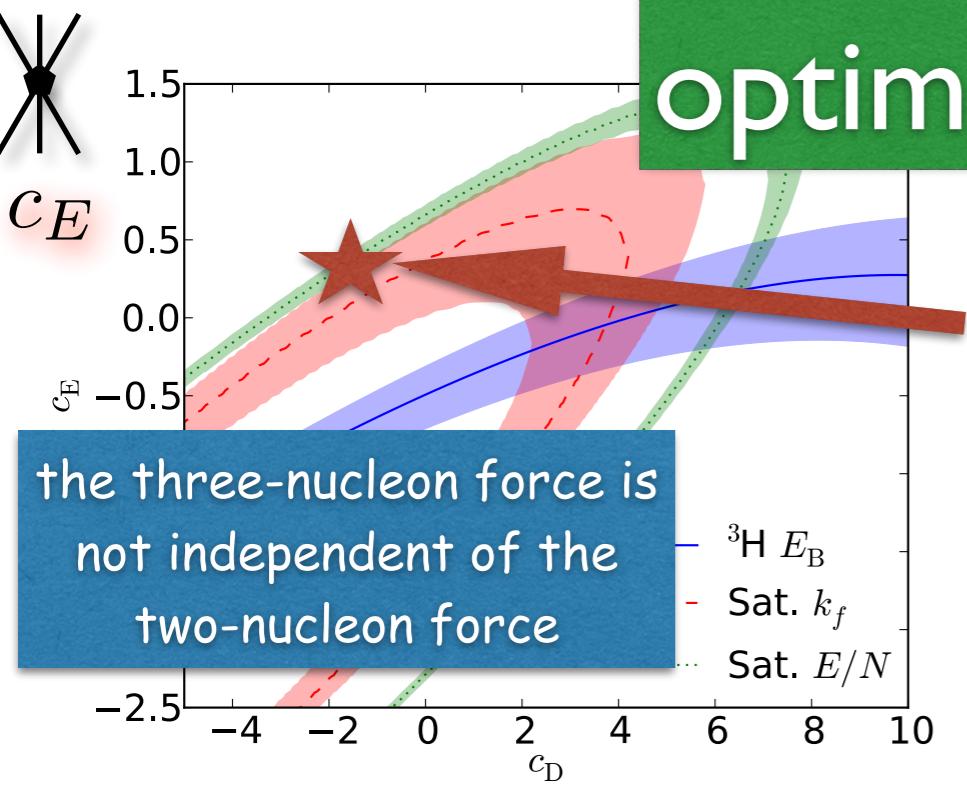
cannot be measured directly, but related to e.g.  
the giant monopole resonance ('breathing  
mode') in finite nuclei.

J. P. Blaizot Phys. Rep. 64, 171 (1980)

# NNLO<sub>sat</sub> and symmetric nuclear matter



Simultaneous optimization is key!



$c_D$

NNLO<sub>opt</sub> + 3NF<sub>NL500</sub>:  
 $E/A = -15.5 \text{ MeV}$  &  $k_f = 1.4 \text{ fm}^{-1}$   
 ${}^3\text{H} = -13.5 \text{ MeV} (!)$

Coupled-cluster calculations of nucleonic matter

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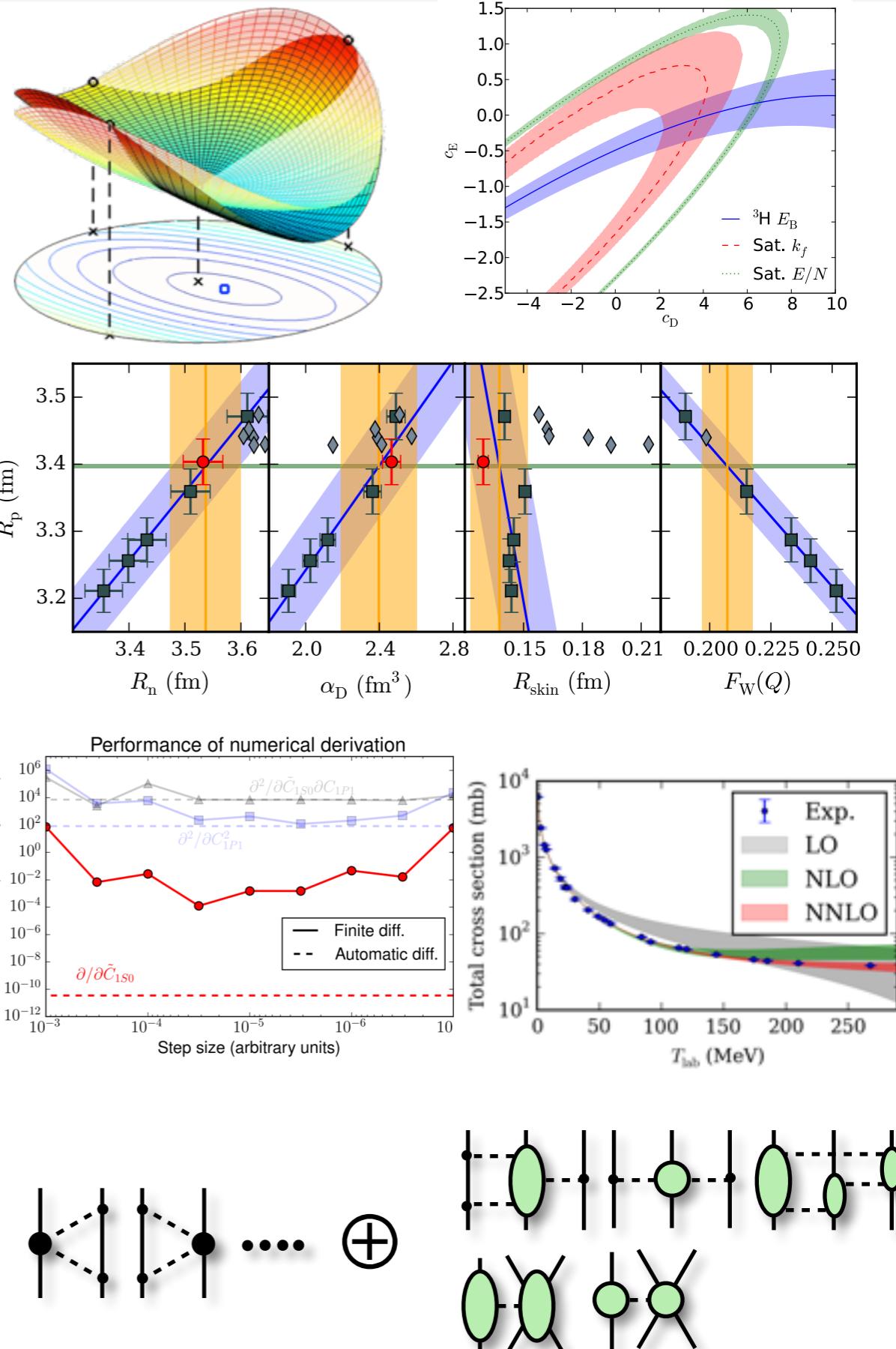
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# Summary and conclusions

- “We are tightening the experiment-theory feedback loop”
- Progress in *ab initio* nuclear physics using a **consistently in-medium optimized force: NNLO<sub>sat.</sub>** (designed for masses and radii)
- In  $^{48}\text{Ca}$ , we have constructed a **bridge to nuclear density functional theory** and predicted intervals for relevant observables.
- Next step: the optimization of **N3LO NN+3NF**.
- Much effort is going into estimating the **uncertainty budget** of chiral interactions and many-body calculations.
- Advanced **optimization/regression technology in place** for uncertainty quantification in few-nucleon sector.
- Work in progress to include **NNN scattering in optimization**.



# THANK YOU FOR YOUR ATTENTION

## **Collaborators:**

### **Boris Carlsson (UiO/Chalmers)**

Christian Forssén (Chalmers)  
Gaute Hagen (UT/ORNL)  
Morten Hjorth-Jensen (UiO/MSU)  
Gustav Jansen (UT/ORNL)  
Ruprecht Machleidt (UI)  
Petr Navrátil (TRIUMF)  
Witold Nazarewicz (MSU/UT/ORNL)  
Thomas Papenbrock (UT/ORNL)  
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Sonia Bacca (TRIUMF)

Nir Barnea (HU)

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Kai Hebeler (TUD)

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Achim Schwenk (TUD)

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Oskar Lilja (Chalmers)

Mattias Lindby (Chalmers)

Björn A. Mattsson(Chalmers)

# Appendix