

## Infinite matter

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# Studies of infinite matter

- ▶ For historical and pedagogical reasons we start with the electron gas in two and three dimensions
- ▶ Thereafter we discuss infinite nuclear matter

## Electron gas and HF solution

The electron gas is perhaps the only realistic model of a system of many interacting particles that allows for a solution of the Hartree-Fock equations on a closed form. Furthermore, to first order in the interaction, one can also compute on a closed form the total energy and several other properties of a many-particle systems. The model gives a very good approximation to the properties of valence electrons in metals. The assumptions are

- ▶ System of electrons that is not influenced by external forces except by an attraction provided by a uniform background of ions. These ions give rise to a uniform background charge. The ions are stationary.
- ▶ The system as a whole is neutral.
- ▶ We assume we have  $N_e$  electrons in a cubic box of length  $L$  and volume  $\Omega = L^3$ . This volume contains also a

uniform distribution of positive charge with density  $N_e e / \Omega$ .

This is a homogeneous system and the one-particle wave functions are given by plane wave functions normalized to a volume  $\Omega$  for a

## Electron gas and HF solution

In exercise 5 we show that the Hartree-Fock energy can be written as

$$\epsilon_k^{HF} = \frac{\hbar^2 k^2}{2m_e} - \frac{e^2}{\Omega^2} \sum_{k' \leq k_F} \int d\mathbf{r} e^{i(\mathbf{k}' - \mathbf{k})\mathbf{r}} \int d\mathbf{r}' \frac{e^{i(\mathbf{k} - \mathbf{k}')\mathbf{r}'}}{|\mathbf{r} - \mathbf{r}'|}$$

resulting in

$$\epsilon_k^{HF} = \frac{\hbar^2 k^2}{2m_e} - \frac{e^2 k_F}{2\pi} \left[ 2 + \frac{k_F^2 - k^2}{kk_F} \ln \left| \frac{k + k_F}{k - k_F} \right| \right]$$

The results can be rewritten in terms of the density

$$n = \frac{k_F^3}{3\pi^2} = \frac{3}{4\pi r_s^3},$$

where  $n = N_e/\Omega$ ,  $N_e$  being the number of electrons, and  $r_s$  is the radius of a sphere which represents the volume per conducting electron. It can be convenient to use the Bohr radius  $a_0 = \hbar^2/e^2 m_e$ . For most metals we have a relation  $r_s/a_0 \sim 2 - 6$ .

# Exercises: Derivation of Hartree-Fock equations

## Exercise 1

Consider a Slater determinant built up of single-particle orbitals  $\psi_\lambda$ , with  $\lambda = 1, 2, \dots, N$ .

The unitary transformation

$$\psi_a = \sum_{\lambda} C_{a\lambda} \phi_\lambda,$$

brings us into the new basis. The new basis has quantum numbers  $a = 1, 2, \dots, N$ . Show that the new basis is orthonormal. Show that the new Slater determinant constructed from the new single-particle wave functions can be written as the determinant based on the previous basis and the determinant of the matrix  $C$ . Show that the old and the new Slater determinants are equal up to a complex constant with absolute value unity. (Hint,  $C$  is a unitary matrix).

# Exercises: Derivation of Hartree-Fock equations

## Exercise 2

Consider the Slater determinant

$$\Phi_0 = \frac{1}{\sqrt{n!}} \sum_p (-)^p P \prod_{i=1}^n \psi_{\alpha_i}(x_i).$$

A small variation in this function is given by

$$\delta\Phi_0 = \frac{1}{\sqrt{n!}} \sum_p (-)^p P \psi_{\alpha_1}(x_1) \psi_{\alpha_2}(x_2) \dots \psi_{\alpha_{i-1}}(x_{i-1}) (\delta\psi_{\alpha_i}(x_i)) \psi_{\alpha_{i+1}}(x_{i+1}) \dots \psi_{\alpha_n}(x_n).$$

Show that

$$\langle \delta\Phi_0 | \sum_{i=1}^n \{t(x_i) + u(x_i)\} + \frac{1}{2} \sum_{i \neq j=1}^n v(x_i, x_j) | \Phi_0 \rangle = \sum_{i=1}^n \langle \delta\psi_{\alpha_i} | \hat{t} + \hat{u} | \phi_{\alpha_i} \rangle + \sum_{i \neq j=1}^n \langle \delta\psi_{\alpha_i} | \hat{v} | \phi_{\alpha_j} \rangle$$

# Exercises: Derivation of Hartree-Fock equations

## Exercise 3

What is the diagrammatic representation of the HF equation?

$$-\langle \alpha_k | u^{HF} | \alpha_i \rangle + \sum_{j=1}^n [\langle \alpha_k \alpha_j | \hat{v} | \alpha_i \alpha_j \rangle - \langle \alpha_k \alpha_j | v | \alpha_j \alpha_i \rangle] = 0$$

(Represent  $(-u^{HF})$  by the symbol  $--X$ .)

# Exercises: Derivation of Hartree-Fock equations

## Exercise 4

Consider the ground state  $|\Phi\rangle$  of a bound many-particle system of fermions. Assume that we remove one particle from the single-particle state  $\lambda$  and that our system ends in a new state  $|\Phi_n\rangle$ . Define the energy needed to remove this particle as

$$E_\lambda = \sum_n |\langle \Phi_n | a_\lambda | \Phi \rangle|^2 (E_0 - E_n),$$

where  $E_0$  and  $E_n$  are the ground state energies of the states  $|\Phi\rangle$  and  $|\Phi_n\rangle$ , respectively.

► Show that

$$E_\lambda = \langle \Phi | a_\lambda^\dagger [a_\lambda, H] | \Phi \rangle,$$

where  $H$  is the Hamiltonian of this system.

► If we assume that  $\Phi$  is the Hartree-Fock result, find the relation between  $E_\lambda$  and the single-particle energy  $\varepsilon_\lambda$  for states  $\lambda \leq F$  and  $\lambda > F$ , with



## Exercises: Electron gas

### Exercise 5

The electron gas model allows closed form solutions for quantities like the single-particle Hartree-Fock energy. The latter quantity is given by the following expression

$$\epsilon_k^{HF} = \frac{\hbar^2 k^2}{2m} - \frac{e^2}{V^2} \sum_{k' \leq k_F} \int d\mathbf{r} e^{i(\mathbf{k}' - \mathbf{k})\mathbf{r}} \int d\mathbf{r}' \frac{e^{i(\mathbf{k} - \mathbf{k}')\mathbf{r}'}}{|\mathbf{r} - \mathbf{r}'|}$$

- Show that

$$\epsilon_k^{HF} = \frac{\hbar^2 k^2}{2m} - \frac{e^2 k_F}{2\pi} \left[ 2 + \frac{k_F^2 - k^2}{kk_F} \ln \left| \frac{k + k_F}{k - k_F} \right| \right]$$

(Hint: Introduce the convergence factor  $e^{-\mu|\mathbf{r}-\mathbf{r}'|}$  in the potential and use  $\sum_{\mathbf{k}} \rightarrow \frac{V}{(2\pi)^3} \int d\mathbf{k}$  )

- Rewrite the above result as a function of the density

$$n = \frac{k_F^3}{3\pi^2} = \frac{3}{4\pi r_s^3},$$

## Exercises: Electron gas

### Solution to exercise 5

We want to show that, given the Hartree-Fock equation for the electron gas

$$\epsilon_k^{HF} = \frac{\hbar^2 k^2}{2m} - \frac{e^2}{V^2} \sum_{p \leq k_F} \int d\mathbf{r} \exp(i(\mathbf{p} - \mathbf{k})\mathbf{r}) \int d\mathbf{r}' \frac{\exp(i(\mathbf{k} - \mathbf{p})\mathbf{r}')}{|\mathbf{r} - \mathbf{r}'|}$$

the single-particle energy can be written as

$$\epsilon_k^{HF} = \frac{\hbar^2 k^2}{2m} - \frac{e^2 k_F}{2\pi} \left[ 2 + \frac{k_F^2 - k^2}{kk_F} \ln \left| \frac{k + k_F}{k - k_F} \right| \right].$$

We introduce the convergence factor  $e^{-\mu|\mathbf{r}-\mathbf{r}'|}$  in the potential and use  $\sum_{\mathbf{k}} \rightarrow \frac{V}{(2\pi)^3} \int d\mathbf{k}$ . We can then rewrite the integral as

$$\frac{e^2}{V^2} \sum_{k' \leq k_F} \int d\mathbf{r} \exp(i(\mathbf{k}' - \mathbf{k})\mathbf{r}) \int d\mathbf{r}' \frac{\exp(i(\mathbf{k} - \mathbf{p})\mathbf{r}')}{|\mathbf{r} - \mathbf{r}'|} = \frac{e^2}{V(2\pi)^3} \int d\mathbf{r} \int d\mathbf{r}' \frac{\exp(i(\mathbf{k}' - \mathbf{k})\mathbf{r}) \exp(i(\mathbf{k} - \mathbf{p})\mathbf{r}')}{|\mathbf{r} - \mathbf{r}'|}$$

and introducing the abovementioned convergence factor we have

## Exercises: Electron gas

### Exercise 6

We consider a system of electrons in infinite matter, the so-called electron gas. This is a homogeneous system and the one-particle states are given by plane wave function normalized to a volume  $\Omega$  for a box with length  $L$  (the limit  $L \rightarrow \infty$  is to be taken after we have computed various expectation values)

$$\psi_{\mathbf{k}\sigma}(\mathbf{r}) = \frac{1}{\sqrt{\Omega}} \exp(i\mathbf{k}\mathbf{r})\xi_{\sigma}$$

where  $\mathbf{k}$  is the wave number and  $\xi_{\sigma}$  is a spin function for either spin up or down

$$\xi_{\sigma=+1/2} = \begin{pmatrix} 1 \\ 0 \end{pmatrix} \quad \xi_{\sigma=-1/2} = \begin{pmatrix} 0 \\ 1 \end{pmatrix}.$$

We assume that we have periodic boundary conditions which limit the allowed wave numbers to

$$\mathbf{k} = \frac{2\pi n_i}{L} \quad \text{with } n_i = 0, \pm 1, \pm 2, \dots$$

## Exercises: Electron gas

### Solution to exercise 6

We have to show first that

$$\hat{H}_b = \frac{e^2}{2} \frac{N_e^2}{\Omega} \frac{4\pi}{\mu^2},$$

and

$$\hat{H}_{el-b} = -e^2 \frac{N_e^2}{\Omega} \frac{4\pi}{\mu^2}.$$

And then that the final Hamiltonian can be written as

$$H = H_0 + H_I,$$

with

$$H_0 = \sum_{\mathbf{k}\sigma} \frac{\hbar^2 k^2}{2m_e} a_{\mathbf{k}\sigma}^\dagger a_{\mathbf{k}\sigma},$$

and

$$H_I = \frac{e^2}{2\Omega} \sum \sum \frac{4\pi}{q^2} a_{\mathbf{k}+\mathbf{q},\sigma_1}^\dagger a_{\mathbf{p}-\mathbf{q},\sigma_2}^\dagger a_{\mathbf{p}\sigma_2} a_{\mathbf{k}\sigma_1}.$$

## Electron gas and HF solution

Let us now calculate the following part of the Hamiltonian

$$\hat{H}_b = \frac{e^2}{2} \iint \frac{n(\mathbf{r})n(\mathbf{r}')e^{-\mu|\mathbf{r}-\mathbf{r}'|}}{|\mathbf{r}-\mathbf{r}'|} d\mathbf{r}d\mathbf{r}',$$

where  $n(\mathbf{r}) = N_e/\Omega$ , the density of the positive background charge. We define  $\mathbf{r}_{12} = \mathbf{r} - \mathbf{r}'$ , resulting in  $d\mathbf{r}_{12} = d\mathbf{r}$ , and allowing us to rewrite the integral as

$$\hat{H}_b = \frac{e^2 N_e^2}{2\Omega^2} \iint \frac{e^{-\mu|\mathbf{r}_{12}|}}{|\mathbf{r}_{12}|} d\mathbf{r}_{12}d\mathbf{r}' = \frac{e^2 N_e^2}{2\Omega} \int \frac{e^{-\mu|\mathbf{r}_{12}|}}{|\mathbf{r}_{12}|} d\mathbf{r}_{12}.$$

Here we have used that  $\int d\mathbf{r} = \Omega$ . We change to spherical coordinates and the lack of angle dependencies yields a factor  $4\pi$ , resulting in

$$\hat{H}_b = \frac{4\pi e^2 N_e^2}{2\Omega} \int_0^\infty r e^{-\mu r} dr.$$

Solving by partial integration