

# An Assessment of the Accuracy of Automatic Evaluation in Summarization

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# Just How Good is that Summary?

- Manual Metrics
  - Readability: Qualitative score of linguistic quality.
  - **Responsiveness**: Qualitative score of overall responsiveness to the given task.
  - **Pyramid**: A quantitative measure of content.
- Automatic Metrics
  - ROUGE-1,2,SU4, (with & w/o stop word removal)
  - AESOP 2011, BEwT-E

# Challenges

- Summarization systems are evaluated by evaluating each summary on a topic.
- However,
  - Topics differ in difficulty to summarizer.\*
  - Humans judge inconsistently.⌘
  - Human evaluation is expensive.
- Desire to rank summarization systems.
  - Traditionally, average scores are produced.

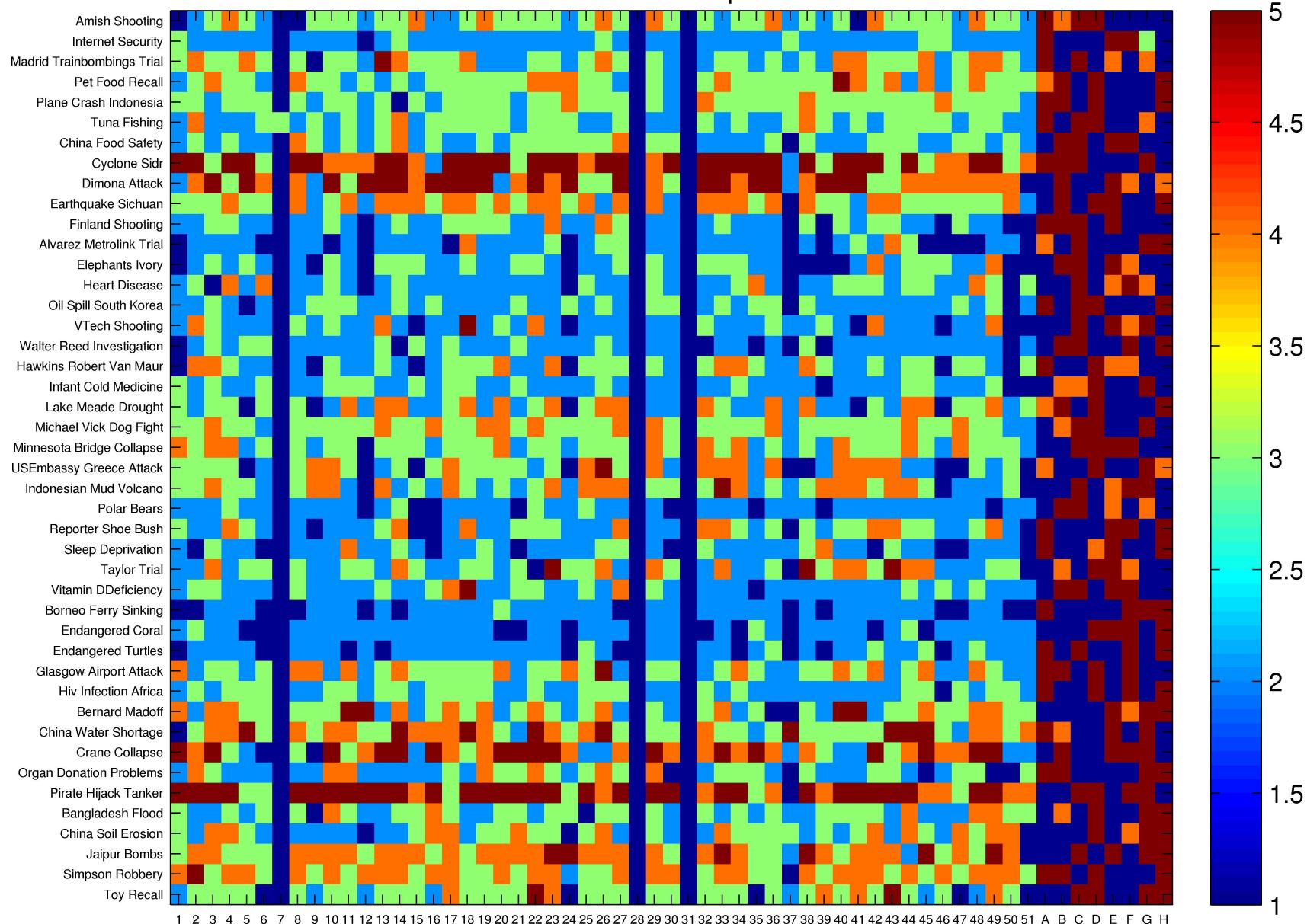
\* Nenkova & Louis, Can You Summarize This?, ACL 2008,

⌘ Owczarzak, Dang, Rankel & Conroy, Assessing the Effect of Inconsistent Assessors on Summarization Evaluation, ACL 2012.

# What Makes a Automatic Good Metric?

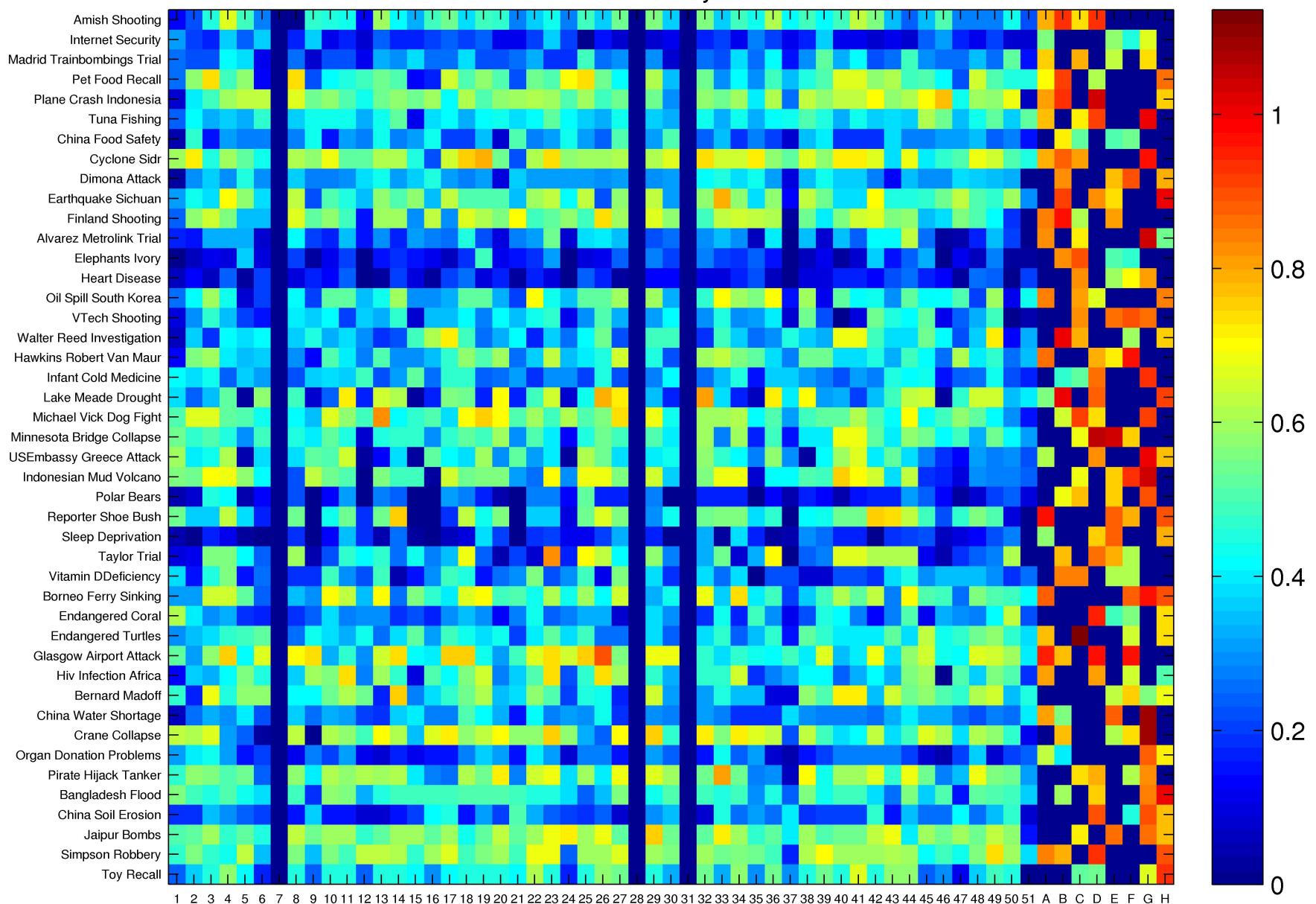
- Past:
  - Correlation measures, e.g. Pearson, Spearman, Kendall Tau.
- Proposal:
  - Estimate the probability that an automatic metric will *agree* with a manual metric when comparing two *systems* when taking *statistical significance* into account.

### TAC2011: Overall Responsiveness



Thanks to Peter Rankel for this slide and the next too!

### TAC2011: Pyramid



# How to compare systems?

- Simple  $t$ -test would wash out the variation in difficulty of comparing two summarization systems.
- Well known problem: Variation across data.
- Well known remedy: Paired testing, e.g. paired  $t$ -test (Mann-Whitney) or non-parametric Wilcoxon test.
- Rankel, Conroy, Slud, O'Leary EMNLP 2010 show paired testing gives many summarization metrics more *power*.

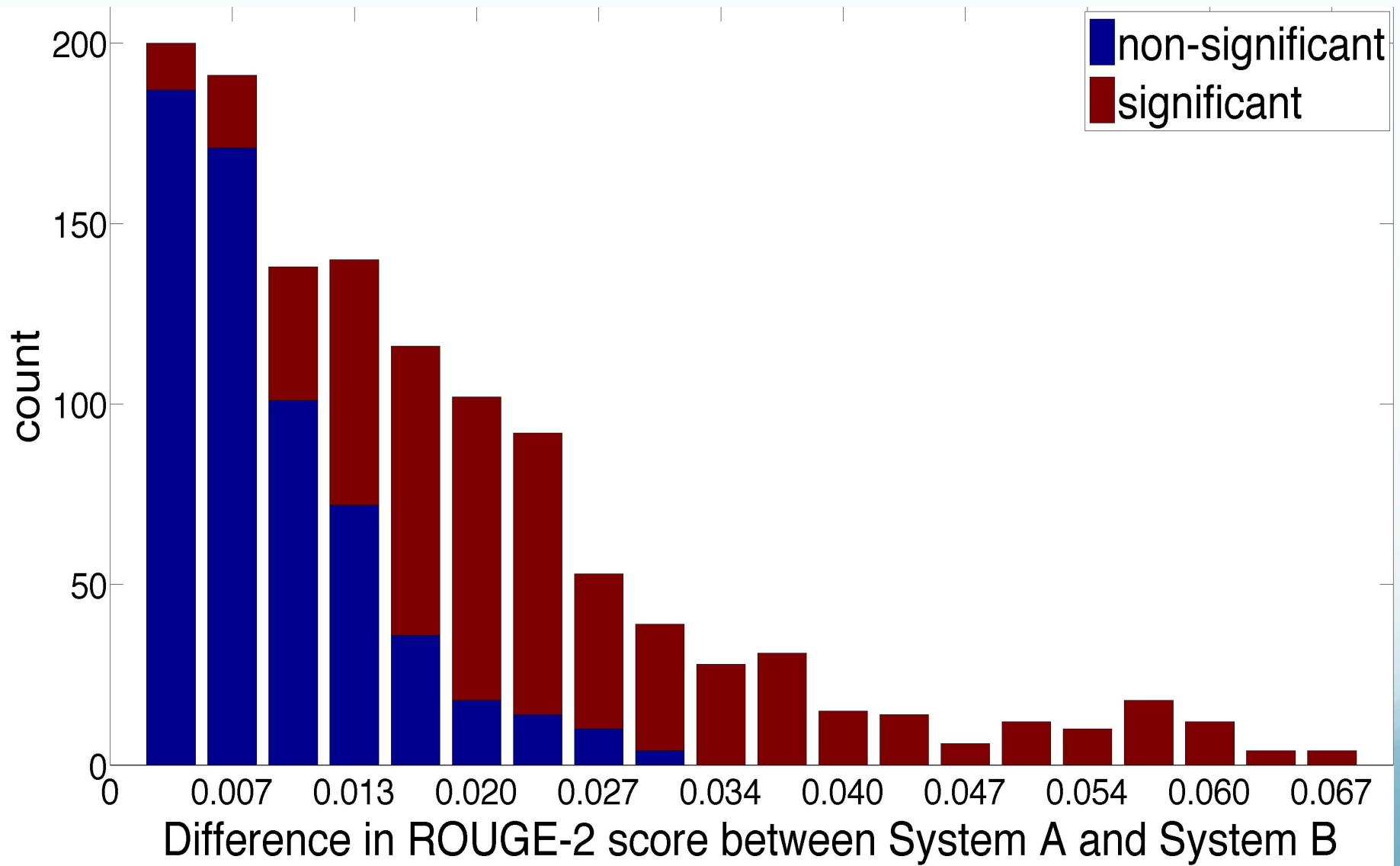
# Our Hypothesis Test

$H_0$ : median  $X - Y = 0$ ,  $X$  and  $Y$  are random variables corresponding to scores for two systems  $A$  and  $B$ .  
( $A$  and  $B$  perform about the same.)

$H_a$ : median  $X - Y \neq 0$ .  
( $A$  and  $B$  are significantly different!)

If median performance of  $A$  is greater than  $B$  and the null hypothesis is rejected, we say “ **$A$  significantly outperforms  $B$ .**”

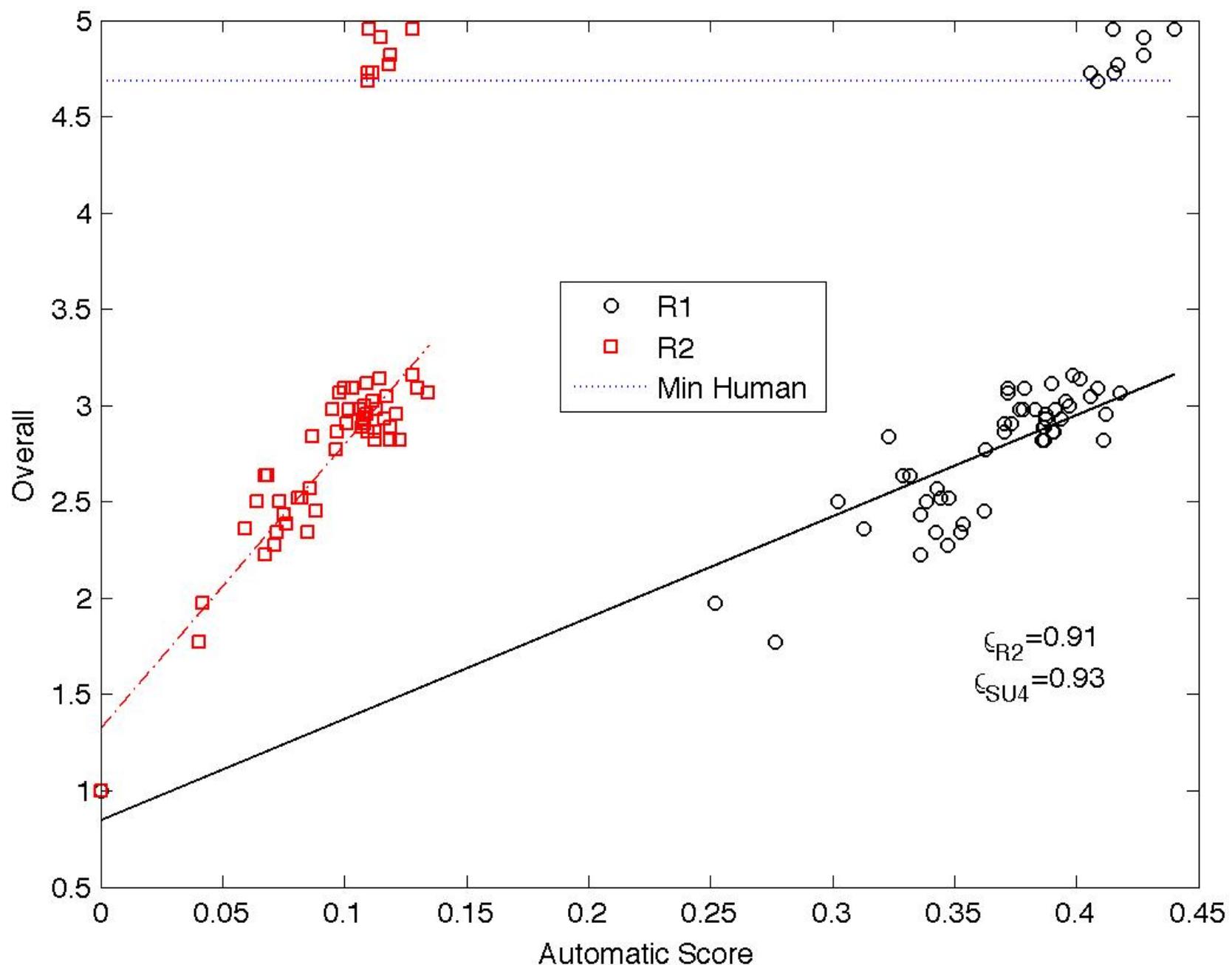
# How Much of Difference Is Significant?



# Comparing Metrics

Metric 1 Says	Metric 2 Says	Interpretation
$m(X-Y)=0$	$m(X-Y)=0$	Agree $X$ and $Y$ are about the same
$m(X-Y)\neq 0$	$m(X-Y)\neq 0$	Agree $X$ and $Y$ are different and $X>>Y$
$m(X-Y)\neq 0$	$m(X-Y)=0$	Disagreement
$m(X-Y)=0$	$m(X-Y)\neq 0$	Disagreement
$m(X-Y)=0$	$m(X-Y)=0$	metric 1 said $X>>Y$ & metric 2 $Y>>X$

TAC 2011 Automatic vs Overall with Linear Prediction.



# Data

- Text Analysis Conference (TAC) 2008-2011

Year	Topics	Auto- Systems	Humans	Reference Summaries
2008	48	58	8	4
2009	44	55	8	4
2010	46	43	8	4
2011	44	50	8	4

Auto-metrics: ROUGE-1, 2, SU4 with and without stop word removal.  
Manual Metrics: Pyramid and Overall Responsiveness

# Metrics Performance for Comparing Auto-Systems

	Pyramid		Responsiveness	
	<i>Sig</i>	<i>All</i>	<i>Sig</i>	<i>All</i>
R1	0.77	0.87	0.70	0.82
R2	0.81	0.89	0.75	0.83
SU4	0.80	0.88	0.73	0.82

*Sig*:  $\Pr(\text{metric 1 agrees with metric 2 when they are significant})$   
 $\Pr(\text{difference between systems exists.})$

*All*:  $\Pr(\text{metric 1 agrees with metric 2 for both significant and non-significant differences between systems.})$

# Metrics Performance on Comparing Auto vs. Humans

	Pyramid		Responsiveness	
	Sig	All	Sig	All
R1	0.90	0.99	0.90	0.99
R2	0.75	0.94	0.75	0.94
SU4	0.82	0.96	0.82	0.96

Sig: Pr(metric 1 agrees with metric 2 when they are significant difference between systems exists.

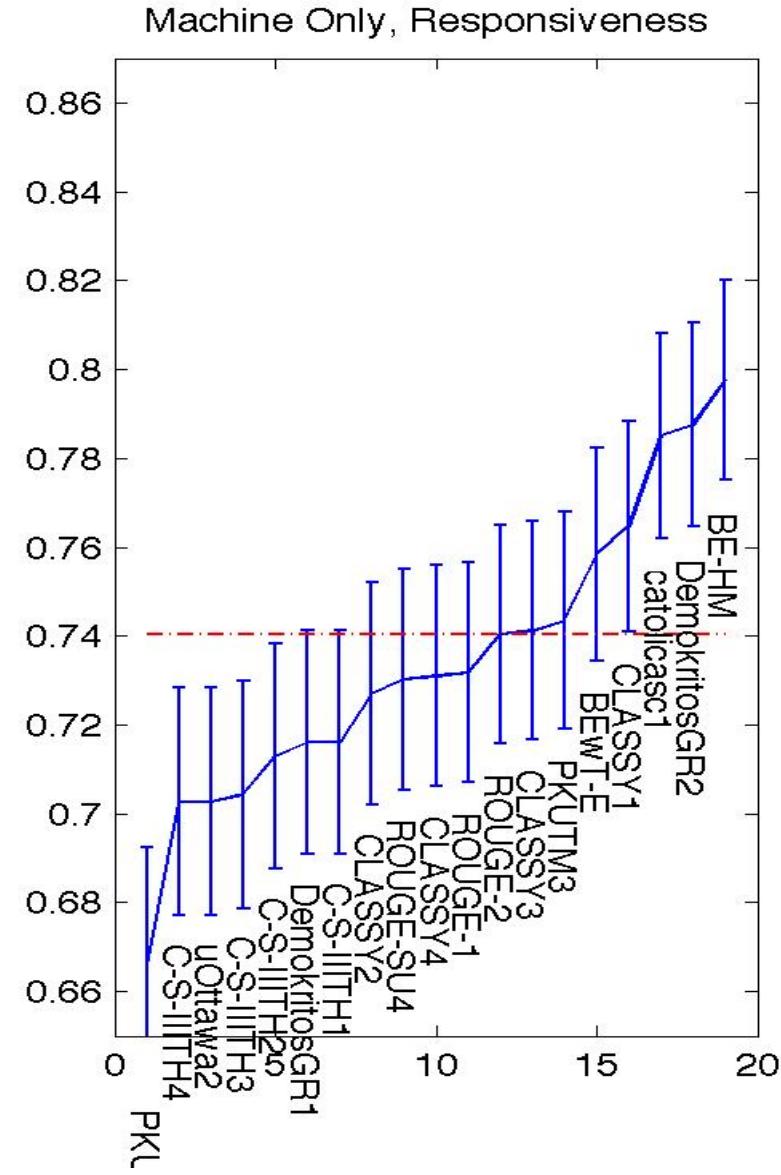
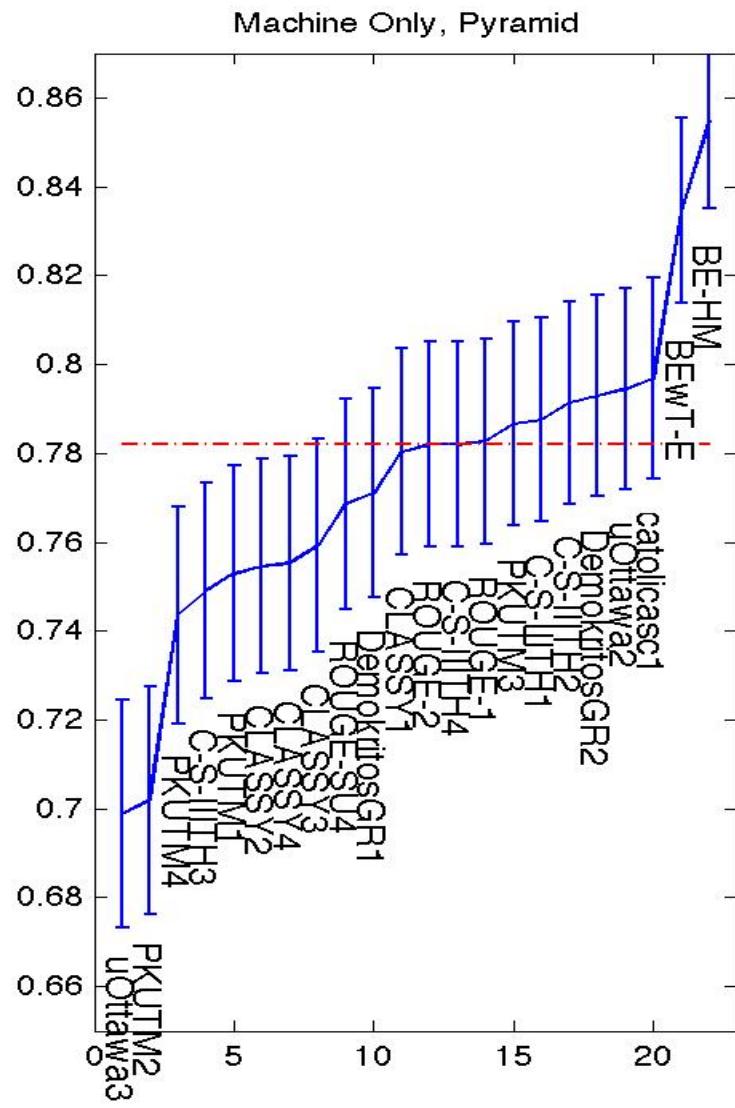
All: Pr(metric 1 agrees with metric 2 for both significant and non-significant differences between systems.

# AESOP 2011

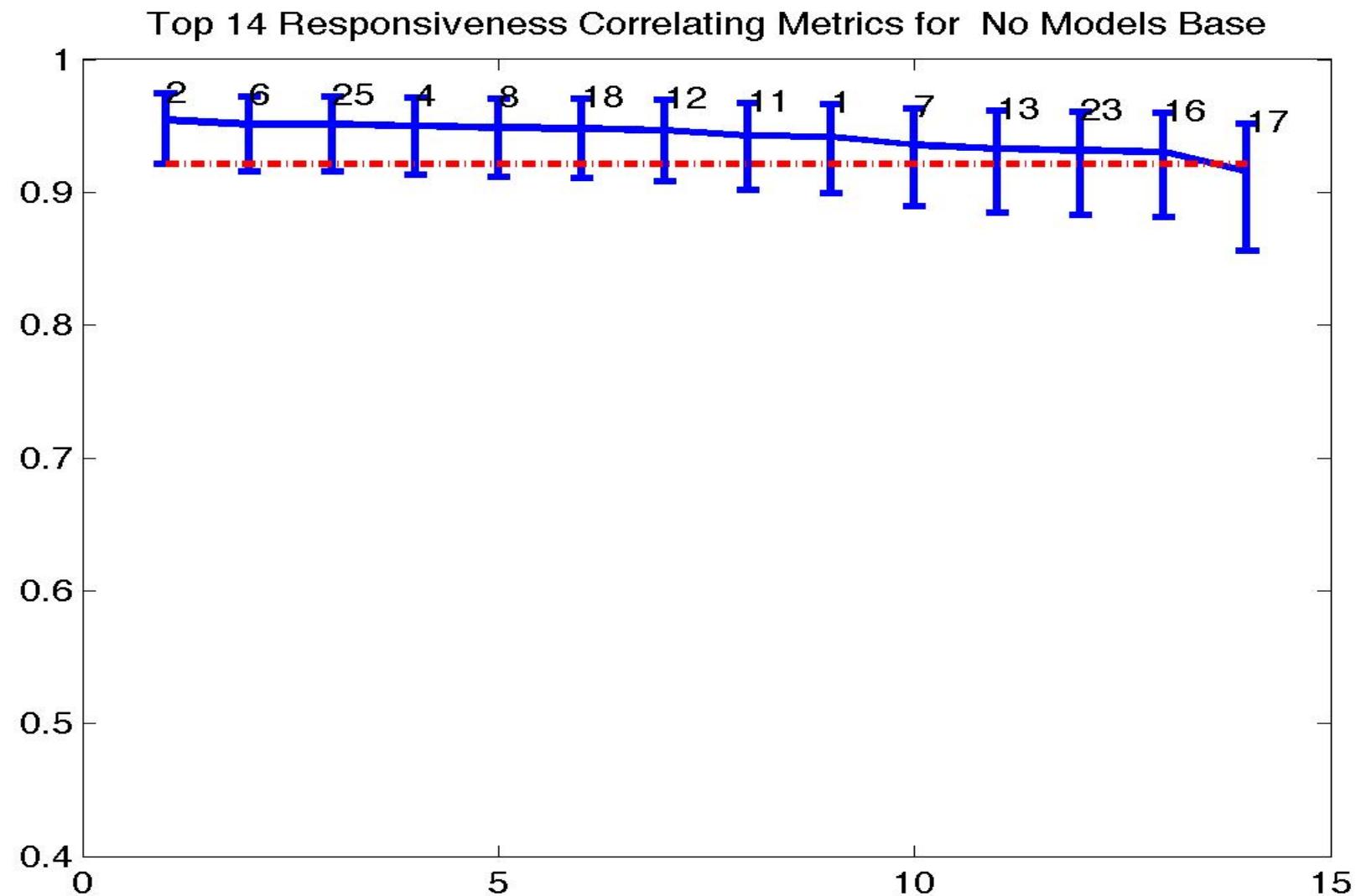
- Automatic Evaluation of Summaries of Peers, a metric “bakeoff.”
- 25 official entries and ROUGE-1 and BEwT-E, (Basic Elements with Transformations for Evaluation)\*
- Baselines:
  - ROUGE-2 for with automatic systems.
  - ROUGE-1 for between human vs automatic.

\*Thanks to Stephen Tratz and Ed Hovy.

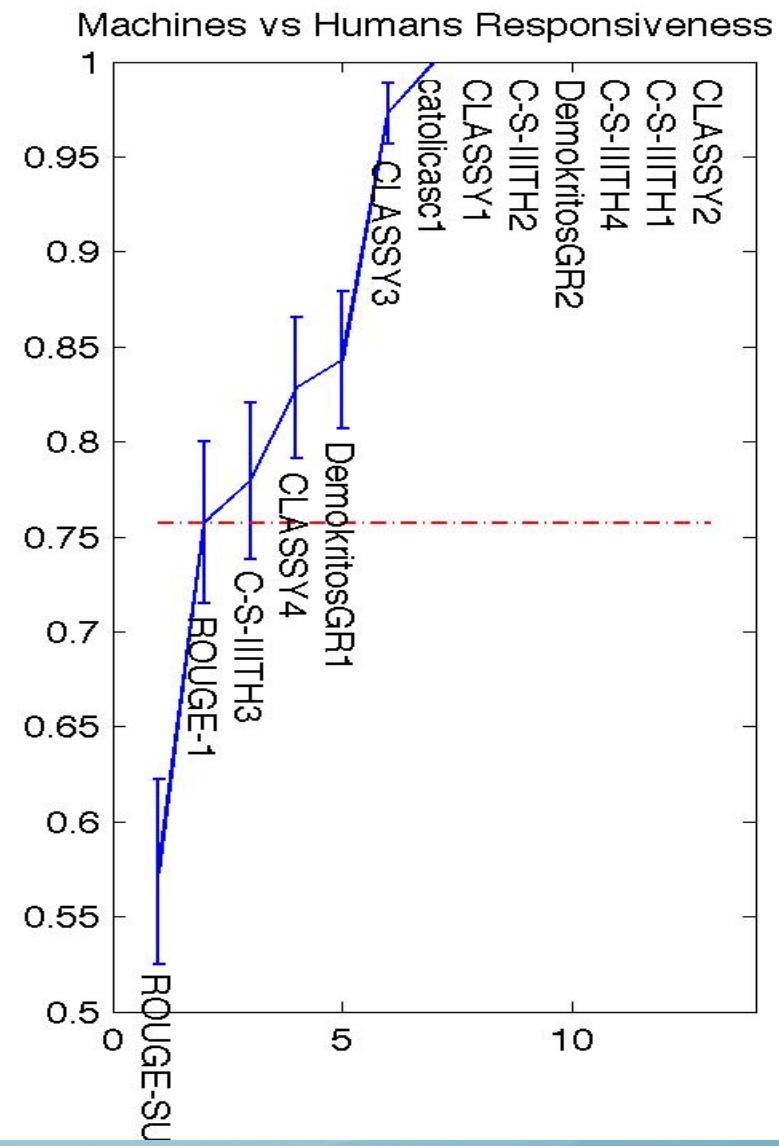
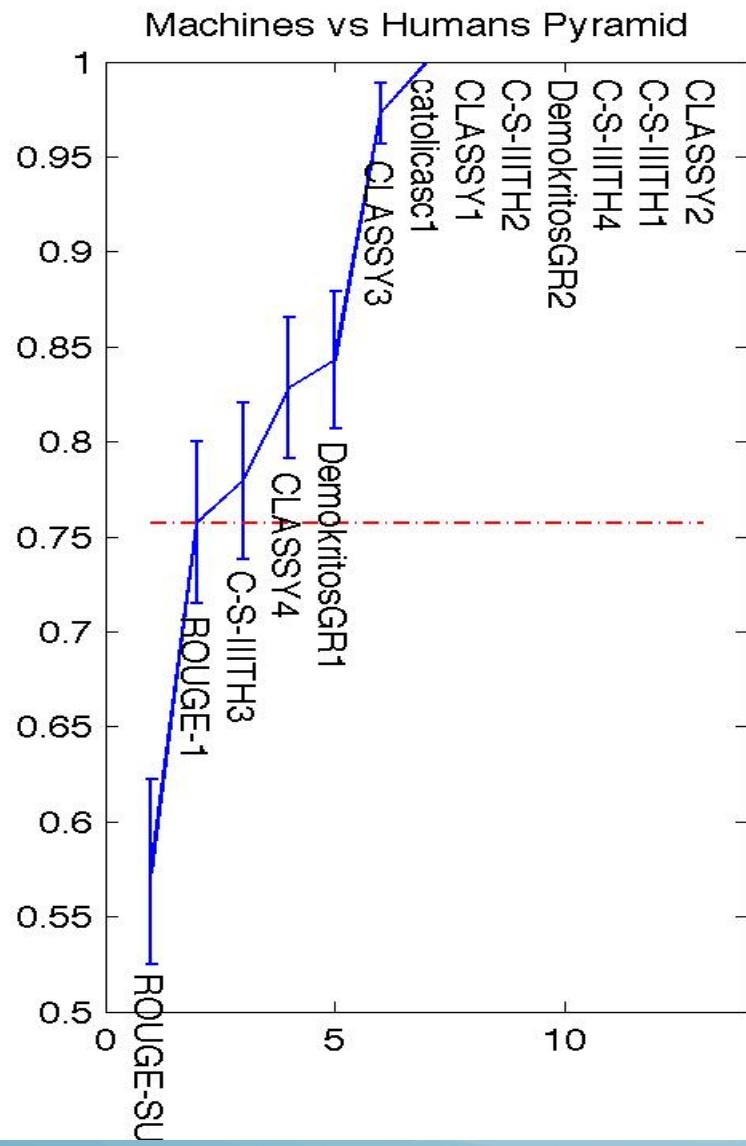
# Comparing Automatic Summaries



# Ranking Based on Pearson



# Comparing Automatic vs Humans



# Summary

- Statistical significance is essential for comparing systems.
- Paired testing give more statistical power.  
Rankel, Conroy, Slud, O'Leary, EMNLP 2011.
- Is system *A significantly better than system B?*
- Evaluated an automatic metric by how well it agrees, taking significance into account with manual metric.