Chat with your PDF (OpenAI + Redis)

Redis – NoSQL Database

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Summary:

This report provides a comprehensive analysis of Redis, an advanced NoSQL database management system known for its exceptional performance, scalability, and flexibility. The study explores Redis's unique design architecture, focusing on its in-memory key-value data model, command-driven interaction style, single-threaded event-driven execution, and mechanisms for persistence and fault tolerance.

Implementation insights highlight Redis's seamless integration with modern AI applications, demonstrated through a practical project that combines PDF text extraction, embedding storage, retrieval, and conversational AI via a Streamlit interface. The system showcases Redis's speed and efficiency in real-time data processing environments.

On the security front, the report examines Redis's approach to securing data, including the use of Access Control Lists (ACLs), TLS encryption for data in transit, and best practices for mitigating its known vulnerabilities. While Redis prioritizes performance, it continues to evolve by introducing stronger security measures in newer versions.

Overall, this analysis offers valuable insights for researchers and developers seeking to leverage Redis for building high-performance, scalable, and secure applications, and suggests potential areas for future research, such as improved native encryption and enhanced cross-cluster transactional capabilities.

Introduction:

The evolution of database systems has been a fascinating journey, marked by groundbreaking innovations that have completely transformed how we store and use data. From the early days of simple file-based systems to today's advanced relational databases, NoSQL solutions, and distributed architectures, each leap forward has reshaped the way businesses and organizations handle their data. These advancements haven't just improved efficiency—they've opened up entirely new possibilities for real-time analytics, scalability, and decision-making. Whether it's the rise of SQL for structured data, the flexibility of NoSQL for unstructured data, or the power of distributed databases for global scalability, every milestone has pushed the boundaries of what's possible in data management. This ongoing evolution continues to redefine how we interact with information in an increasingly data-driven world [1]

With the advent of Web 2.0, the creation of diverse data types increased dramatically while the cost of storage decreased, causing the issues associated with data maintenance and access. Relational databases, which consist of rows and columns, were created for highly organized and structured data that requires complex analysis and operations on often interconnected data. With the increasing expansion of unstructured data, relational databases' structural and scaling constraints became apparent. Flexibility and the capacity to manage vast amounts of data unexpectedly became prerequisites.

Modern applications demand high-speed data retrieval, scalability, and fault tolerance. Traditional databases struggle with real-time requirements, leading to the rise of NoSQL solutions. A NoSQL database (also known as "no SQL" or "not only SQL") is a distributed, non-relational database designed for large-scale data storage and massively parallel, high-performance data processing across many commodity systems. NoSQL databases emerged in the late 2000s, offering the benefits of storing data in more intuitive formats that are appropriate for today's applications. NoSQL databases handle challenges that cannot be solved by SQL or relational databases [2], [3]

Figure 1, shows that there are five major NoSQL database types i.e., key-value store, document store, inmemory, column-oriented database, and graph database.

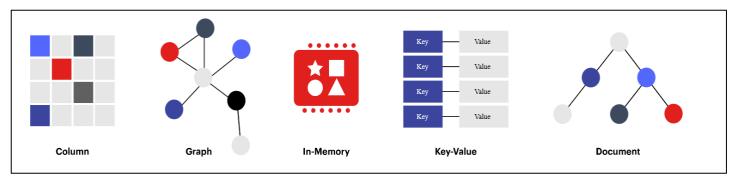


Figure 1: NoSQL DB Data Types

This report explores Redis, one of the most influential technologies in the NoSQL revolution, which redefined speed and efficiency in data management. Redis is an in-memory key-value store that provides sub-millisecond response times, which makes it essential for session management, caching, and real-time analytics. However, Redis influence extends beyond performance; it challenged traditional database design by introducing flexible data structures, scalable topologies, and creative persistence mechanisms.

Design:

Underlying Data Model:

Redis is a NoSQL database managed using a key-value structure. Keys are unique identifiers whose values can be any of the data types that Redis accepts [2] These data types can be integers, strings, hashes, lists, sets, sorted sets, bitmaps, bitfields, hyper Loglog, spatial indexes and streams. Each data type has its own set of behaviors and instructions that go with it[4] Key-value stores are the simplest of the NoSQL databases. They are made up of key-value pairs, and their simplicity makes them the most scalable NoSQL database type. Figure 2 represents different data types that Redis can store based on application or business need.

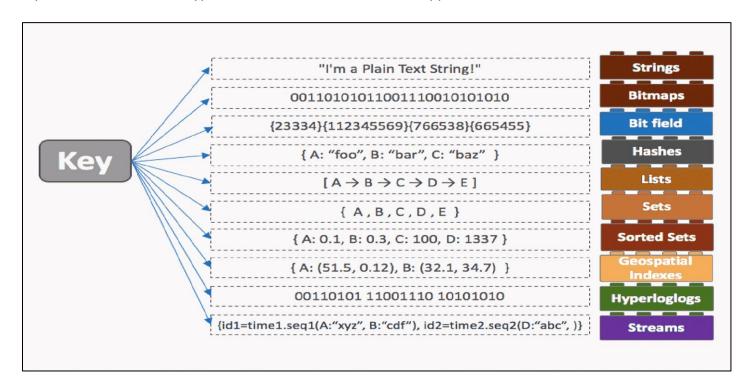


Figure 2: Data Types of Redis

Redis is well known for being a very efficient database. Its ability to store and serve all data from memory rather than disk accounts for this performance. Your data will be preserved because Redis is robust, but all reads will come from a copy of the data stored in memory. Because of this, Redis is a great option for applications that require to access data in real time [5].

Query Language:

Instead of using SQL, Redis uses a simple but effective command-based interface. Specific instructions, such as SET/GET for strings, HSET/HGET for hashes, or ZADD/ZRANGE for sorted sets, are used for each action [6]. Figure 3, shows different data types with their associated commands.

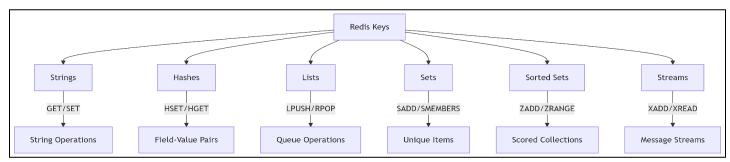


Figure 3: Redis's polymorphic data structures and their associated commands

This method has the following benefits: operations have known temporal complexity, the syntax is simple and consistent, and commands are atomic by default. However, it lacks the declarative nature of SQL - users must explicitly specify how to retrieve or manipulate data rather than describing what they want. Additionally, Redis provides a publish/subscribe mechanism for communications, Lua scripting for intricate operations, and transactions (MULTI/EXEC). Redis commands are very performance-optimized, with the majority of operations taking constant or logarithmic time, even though the learning curve is steeper than that of SQL for sophisticated queries [7].

Architecture:

Redis employs a single-threaded, event-driven architecture that delivers high performance through in-memory data storage and non-blocking I/O.

Single-Threaded:

Redis features a unique single-threaded architecture that processes commands sequentially using an event loop. This design eliminates locks and contention issues common in multi-threaded systems, ensuring atomic operations without synchronization overhead. While this approach limits CPU utilization to a single core, Redis achieves remarkable performance through several optimizations: non-blocking I/O, efficient data structures, and memory access patterns that maximize CPU cache utilization [8].

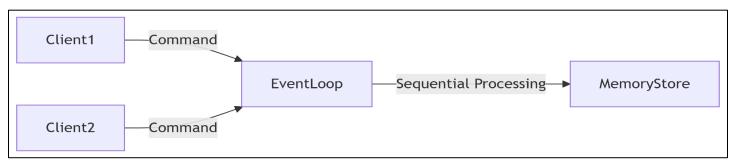


Figure 4: Redis Single-Threaded Execution Flow

Persistence and Fault Tolerance Mechanism:

For persistence, Redis offers two main options: RDB (periodic snapshots) and AOF (an append-only log of all writes operations). RDB provides compact backups but risks data loss between snapshots, while AOF offers durability at the cost of larger storage requirements [9].

Table 1: Trade-Offs of Persistence Mechanisms

Mechanism	Pros	Cons
RDB	Fast restores	Risk of data loss
AOF	No data loss	Slower and larger files

Replication, High Availability and Horizontal Scalability:

Redis ensures high availability through asynchronous master-replica replication and horizontal scalability via Redis Cluster, which automatically partitions data across multiple nodes using consistent hashing. The cluster can detect and recover from node failures while continuing to serve requests, making it suitable for production deployments. It provides automatic failover through master-replica architecture per shard and decentralized coordination via gossip protocol, maintaining high availability without single points of failure. The cluster supports smart client routing and transparent key redistribution during scaling, though cross-slot transactions remain unsupported. Designed for large datasets and high-throughput workloads, it balances scalability with operational simplicity through built-in sharding and self-healing node recovery[10].

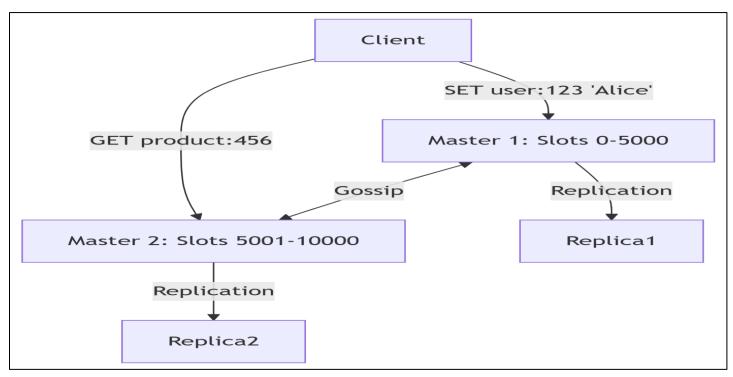


Figure 5: Redis Cluster Data Distribution & Replication

Figure 5 illustrates Redis Cluster's data distribution and replication model. The diagram shows how client requests are routed to different master nodes based on hash slot ranges (0-5000, 5001-10000, etc.), with each master maintaining synchronized replicas for fault tolerance. The gossip protocol connection between nodes enables automatic cluster state synchronization without centralized coordination. This architecture ensures both horizontal scalability (via slot-based sharding) and high availability (through master-replica failover).

Implementation:

1. Environment and Library Setup:

In the first step, the environment was prepared by importing all the necessary libraries. These included **Streamlit** for building the user interface, **PyPDF2** for PDF text extraction, **Sentence Transformers** for embedding generation, **Redis** for database storage, and **LangChain** and **OpenAI** libraries for conversational AI. The **OpenAI API key** was set as an environment variable to enable secure access to the **GPT-4 model** via the ChatOpenAI class.

```
import os
import redis
import streamlit as st
import PyPDF2
import numpy as np
from sentence_transformers import SentenceTransformer
from sklearn.metrics.pairwise import cosine_similarity
from langchain.agents import initialize_agent, AgentType
from langchain.chains.conversation.memory import ConversationBufferWindowMemory
from langchain.chat_models import ChatOpenAI
```

2. Redis Client Initialization

A Redis client was set up by specifying the host, port, and password. To confirm the connection, the ping() method was used. If successful, a confirmation message was displayed in the Streamlit interface. If the connection failed, an error message was shown. Redis was chosen as a fast, lightweight, in-memory database to store both the text of the uploaded PDFs and their embeddings.

Connected to Redis successfully!



Chat with Your PDF (OpenAI + Redis)

3. Embedding Model Loading

The sentence embedding model 'all-MiniLM-L6-v2' from the Sentence Transformers library was used. It was loaded once using Streamlit's <code>@st.cache_resource</code> decorator to avoid reloading it on every interaction, improving the app's efficiency and speed. This model converts large PDF text blocks into numerical vector embeddings which are essential for comparing semantic similarity later.

4. Conversational Memory Setup

A conversation memory system was created using **LangChain's** ConversationBufferWindowMemory. This component stored the last five exchanges between the user and the chatbot. Maintaining this short conversational history allowed the system to provide more coherent and contextually aware answers during multi-turn conversations.

5. LangChain Agent Configuration

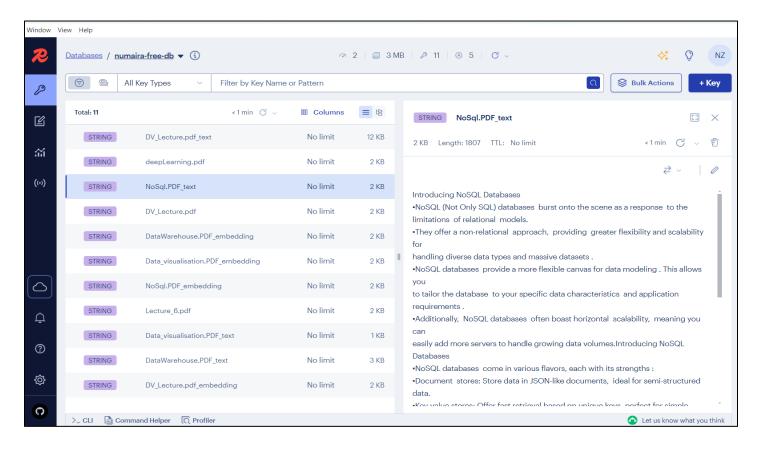
A **LangChain** agent was initialized using the GPT-4 language model. The agent was configured with no external tools, a maximum of five reasoning steps per query, and early stopping enabled. The agent relied on a system prompt to instruct it to answer queries strictly based on the extracted PDF content without making assumptions or fabrications.

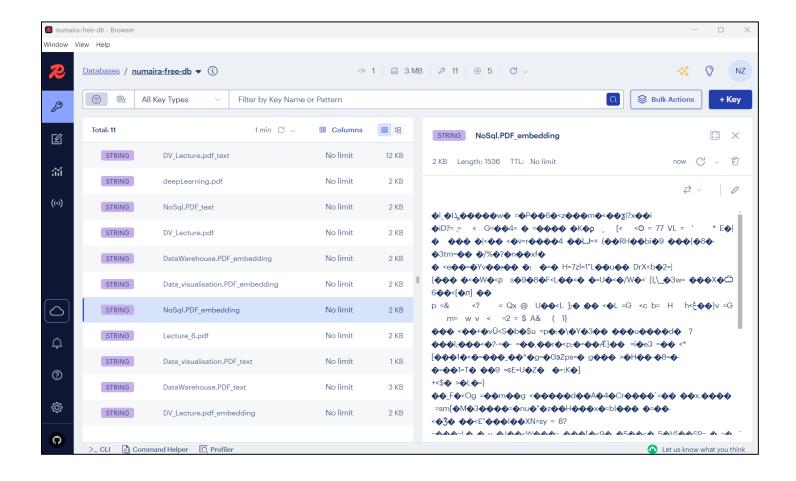
6. PDF Text Extraction

When the user uploads a PDF file, the <code>extract_pdf_text</code> function processes it. **PyPDF2** was used to read all the pages of the PDF and extract the raw text. If no text was found in the document, a warning was displayed to inform the user. Extracting clean text is crucial because it forms the basis for both embedding generation and question answering.

7. Storing Embeddings and Text in Redis

Once the text was extracted, it was passed to the **store_embeddings_in_redis** function. This function generated an embedding vector for the complete PDF text using the preloaded model and stored both the text and its corresponding embedding in Redis. The embedding was stored in binary format to optimize memory usage, while the original PDF text was stored as a string.





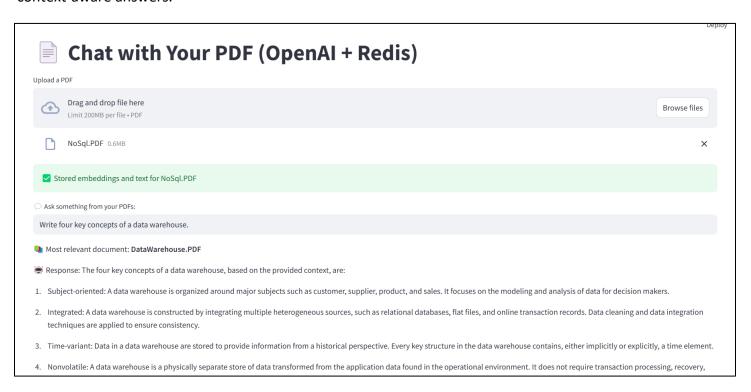
8. Retrieving the Most Relevant PDF:

When a user enters a question, the **retrieve_similar_pdf** function is called. It first embeds the user query and then compares it with all stored PDF embeddings using cosine similarity. The PDF whose embedding is most similar to the query embedding is selected. This mechanism ensures that the chatbot answers queries using the most relevant document context.



9. Answer Generation via OpenAI:

After retrieving the relevant PDF text, the <code>get_answer_from_openai</code> function constructs a prompt that combines the context and the user's question. This prompt is then passed to the LangChain agent connected to the GPT-4 model. The chatbot responds strictly based on the provided PDF content, delivering accurate and context-aware answers.





10. Streamlit App Interface:

The entire workflow was wrapped in an interactive Streamlit app. The user can upload a PDF file, enter a query, and receive an answer through the web interface. If no matching documents are found, the system politely informs the user. All status updates (such as successful storage, extraction progress, or errors) are visually reflected in real-time to ensure a smooth user experience.



This project efficiently integrates PDF text extraction, embedding storage and retrieval, and conversational AI into a single seamless application using OpenAI, Redis, and Streamlit. Every function is modular and designed to ensure scalability, fast response time, and a user-friendly experience.

Security:

As Redis provides great performance, so it is critical to secure it by preventing unauthorized access, data breaches, and other security events. Redis, an open-source in-memory data structure store, is known for its speed and flexibility. However, the default setting prioritizes usability and speed over security. Without sufficient security measures, Redis instances are vulnerable to unauthorized access, data loss or corruption, and service disruption [11].

Redis has a variety of security techniques to safeguard data and manage access, but its security approach differs from that of traditional databases due to its in-memory architecture and design priorities. Redis 6.0 and later included Access Control Lists (ACLs) for authentication, which provide fine-grained permissions per user, including command-level limits and key pattern matching. Prior versions used a simple password-based approach via the requirepass setting. While Redis supports TLS encryption for data in transit (since v6.0), it does not have built-in encryption for data at rest, instead relying on external solutions such as filesystem encryption or third-party modules [12].

Understanding Redis Attack Surface:

The default vulnerability in Redis is unprotected network access, which has resulted in attacks such as unauthorized data access and ransomware installations. Mitigation options include connecting Redis to trusted interfaces, setting firewall rules, and leveraging Redis 6.0+ features such as ACLs and TLS. Other hazards include command injection (for example, malicious FLUSHDB or EVAL scripts), which can be reduced by banning risky

commands via rename-command or ACL restrictions. Redis Enterprise provides organizations with additional security features like as role-based access control (RBAC) and transparent data encryption [11].

Authentication:

Redis has two ways to authenticate clients. The recommended authentication solution, introduced in Redis 6.0, is Access Control Lists, which enable named users to be established and granted fine-grained rights. The traditional authentication technique is enabled by modifying the redis.conf file and specifying a database password using the requirepass option. This password is then used by every client. When the requirepass setting is enabled, Redis will prohibit queries from unauthenticated clients. A client can authenticate itself by delivering the AUTH instruction, followed by its password [12].

Access Control List (ACL) Mechanism:

Access Control Lists (ACLs), a major security upgrade that enables fine-grained user permissions. Unlike the older requirepass system (which only provided a single global password), ACLs allow administrators to define:

- User-specific passwords
- Command-level restrictions (e.g., allow GET but block FLUSHDB)
- Key-pattern permissions (e.g., restrict access to user:* keys)

Example:

The following ACL rule creates a user with read-only access to keys matching cache:*:

```
ACL SETUSER analyst ON >analyst123 ~cache:* +get +hget -@all
```

This ensures the user analyst cannot modify data or access unrelated keys. Older Redis versions (pre-6.0) must rely on network-level isolation and rename-command to disable risky operations (e.g., FLUSHALL) [13], [14].

Data Encryption and Protection:

Encryption in Transit (TLS):

Redis 6.0 and later versions offer TLS encryption for client-server and node-to-node communication. This prevents eavesdropping in the untrusted network [11], [12].

Configuration requires:

- 1. Generating TLS certificates (e.g., via OpenSSL).
- 2. Updating redis.conf:

tls-port 6379 tls-cert-file /path/to/redis.crt tls-key-file /path/to/redis.key

Encryption at Rest:

Redis does not natively encrypt RDB/AOF files. Mitigation strategies include:

• Filesystem encryption: Encrypt the filesystem where Redis persists data. (e.g., LUKS on Linux for disk encryption and Use BitLocker on Windows for drive encryption).

Redis Enterprise's transparent encryption (Proprietary Feature): Redis Enterprise, the commercial
offering by Redis Inc., includes transparent data encryption (TDE) as a proprietary security feature. Unlike
open-source Redis, which relies on external tools (e.g., filesystem encryption) for data-at-rest protection,
Redis Enterprise automates encryption for both memory and on-disk persistence (RDB/AOF files)
without requiring application changes [11].

Security Vulnerabilities & Mitigations:

Redis, while very performant, has several well-documented security flaws that attackers might exploit, if necessary, precautions are not taken. One of the most serious vulnerabilities comes from open Redis instances, where default settings bind to all network interfaces (0.0.0.0), making them vulnerable to brute-force assaults, unauthorized access, and even ransomware deployment. To counteract this, administrators should bind Redis to trusted IP addresses (for example, 127.0.0.1 for local-only access) and impose strong firewall rules that limit traffic to known clients.

Another major threat is command injection, where malicious actors exploit powerful Redis commands like CONFIG SET, EVAL, or MODULE LOAD to manipulate data, escalate privileges, or crash the server. This can be mitigated by disabling or renaming dangerous commands in the redis.conf file (e.g., rename-command FLUSHDB "") or using Redis ACLs (Redis 6.0+) to restrict command execution on a per-user basis[11], [15].

A particularly destructive attack vector is ransomware, where hackers overwrite or delete Redis data using commands like FLUSHDB or corrupt persistence files (RDB/AOF). To defend against this, Redis deployments should disable destructive commands, implement regular backups, and use filesystem permissions to protect persistence files from unauthorized deletion. Additionally, enabling append-only mode (AOF) ensures that even if an attacker deletes the latest snapshot, data can be reconstructed from the operation log.

For comprehensive protection, Redis should be deployed in a zero-trust network environment, leveraging TLS encryption (for data in transit), ACLs (for access control), and monitoring tools to detect suspicious activity. Combining these measures significantly reduces the attack surface while maintaining Redis's performance advantages.

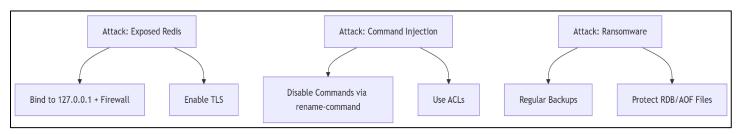


Figure 6: Redis Threat Mitigation Framework

Figure 6 shows vulnerabilities of Redis and their mitigations.

Core Metrics for Monitoring Performance of Redis:

Monitoring performance indicators ensures that Redis runs smoothly and efficiently, allowing your applications to retrieve data quickly and consistently. Monitoring key memory metrics including throughput, latency, and utilization is critical for guaranteeing reliability and effectiveness of Redis instances. These key data points have a significant impact on the system's stability and efficiency [16].

Throughput and Latency:

The most fundamental metrics are **throughput** (operations per second) and **latency** (response time), which may be tested with Redis' built-in redis-benchmark tool or specialized load testing frameworks such as memtier_benchmark. These tests should replicate real-world workloads by combining read and write operations and variable payload sizes. For latency analysis, the --latency flag in redis-cli gives granular response time distributions, which are critical for finding performance outliers [17].

Scalability:

Scalability should also be tested, both vertically (raising memory/CPU on a single node) and horizontally (using Redis Cluster. Vertical scaling tests involve increasing CPU/memory allocations while monitoring throughput plateau points. For horizontal scaling, deploy a Redis Cluster and measure performance as nodes are added, noting how gossip protocol overhead affects operations. Tools like redis-benchmark --cluster help simulate distributed workloads. Remember that scaling efficiency depends on workload type, write-heavy scenarios may show linear improvement, while read-heavy loads benefit more from replica scaling [16], [17].

Memory Efficiency and Eviction Policies:

Memory efficiency can be evaluated through the INFO memory command, tracking fragmentation ratios and eviction rates when operating near memory limits. It is critical to adequately monitor memory utilization in Redis, as it can have a substantial impact on database performance and cause errors. The MEMORY USAGE and MEMORY STATS commands are useful for monitoring, while the config set command lets you change Redis configuration parameters to improve memory management. When Redis is configured without a maximum memory limit, memory utilization can eventually reach system memory, causing the server to emit "Out of Memory" errors. At other times, Redis is set with a maximum memory limit but no eviction policy. This would cause the server not to evict any keys, thereby prohibiting any writes until memory is freed. The answer to such issues would be to configure Redis with maximum memory and an eviction strategy. As memory use hits limit, the server begins evicting keys using an eviction policy [16].

Redis Cost Structure Comparison:

Table 2 shows various Redis deployment models with their key features, cost and model. Depending on the requirements and budget one can choose from various deployment models of Redis [18].

Deployment Type	Cost Model	Example Pricing	Key Features	Best For
Open-Source Redis	Free (Self-	\$0 (Infrastructure costs	- Basic key-value	Developers, small-
	Managed)	apply)	store	scale apps
			- No native	
			clustering	
			- Manual	
			scaling/backups	
Redis Enterprise	Subscription	0.50/hourpernode(AWS)	- Auto-sharding	Enterprises,
			- Active-Active	regulated
			geo-replication	industries
			- RBAC, TLS, 24/7	
			support	
AWS ElastiCache	Pay-as-you-go	- cache.t2.micro: \$0.022/ho	- Automated	Cloud-native apps,
		ur	failover	scalable workloads

		-cache.r6g.4xlarge:\$1.135/ hour	Backup/restoreMulti-AZdeployments	
Azure Cache for Redis	Tiered Pricing	- Basic (C0): \$0.054/hour -Premium(P2):\$1.08/hour	 Redis Modules (Redisearch, RedisJSON) Enterprisegrade persistence 	Azure-integrated systems

Redis vs. Other NoSQL Databases:

Table 3: Redis Comparison with other NoSQL DB's [19], [20]

Feature	Redis	MongoDB (Document Store)	Cassandra (Wide-Column)	DynamoDB (Key-Value)	Memcached (Key- Value)
Data Model	Key-Value + Rich Data Types (Lists, Sets, etc.)	JSON Documents	Wide- Column/Tabular	Key-Value + Limited JSON	Key-Value Only
Latency	Sub- millisecond (0.1ms)	2-10ms	5-15ms	1-10ms	Sub- millisecond (0.2ms)
Throughput	100K+ ops/sec	10K-50K ops/sec	50K-100K ops/sec	20K-50K ops/sec	50K+ ops/sec
Scalability	Vertical + Horizontal (Cluster)	Horizontal (Sharding)	Horizontal (Partitioning)	Serverless Auto-Scaling	Vertical Only
Persistence	Optional (RDB/AOF)	Built-in	Built-in	Built-in	None
Query Language	Command-Based (CLI)	MongoDB Query Language (MQL)	CQL (SQL-like)	PartiQL (SQL- like)	Command-Based (Limited)
Transactions	Single-Key	Multi- Document ACID	Limited (Batch)	ACID (Single- Key)	None
Best Use Cases	Caching, Real-Time Apps	Complex Queries, Analytics	Time-Series, High-Write	Serverless Apps, AWS Integrations	Simple Caching
License	Open-Source (BSD)	Open-Source (SSPL)	Open-Source (Apache)	Proprietary (AWS)	Open-Source (BSD)

Key Insights:

1. Performance:

- Redis and Memcached lead in low-latency scenarios (<1ms), but Redis supports richer data structures.
- Cassandra excels in write-heavy workloads (e.g., IoT data).

2. Flexibility:

- MongoDB is best for complex queries (e.g., nested JSON searches).
- Redis is ideal for real-time use cases (e.g., leaderboards, pub/sub).

3. Scalability:

- DynamoDB offers seamless auto-scaling but locks you into AWS.
- Redis Cluster requires manual sharding but is cloud-agnostic.

4. Cost:

- Open-Source Redis/MongoDB are free but need self-management.
- DynamoDB charges for storage + throughput, which can spike costs.

Conclusion:

This report explored Redis in detail, focusing on its design, implementation, and security aspects. Redis, with its in-memory key-value data model, simple command-based query language, and single-threaded architecture, offers exceptional performance characterized by sub-millisecond latencies. Its fault-tolerance mechanisms, such as RDB and AOF persistence, and high-availability solutions like Redis Cluster, make it a strong choice for real-time applications, session management, caching, and high-speed analytics.

In terms of implementation, Redis was effectively used to build an end-to-end system for PDF text extraction, embedding storage, and retrieval integrated with conversational AI models via Streamlit. This demonstrated Redis's ability to serve as a lightweight, highly responsive backend for modern AI-driven applications.

Security-wise, while Redis is extremely performant, it historically prioritized speed over security. However, modern Redis versions have significantly improved in this area, offering Access Control Lists (ACLs), TLS encryption for data in transit, and several configuration options to harden deployments against common vulnerabilities. Nevertheless, it still lacks built-in encryption for data at rest, requiring external measures or enterprise solutions for complete protection.

Redis's strengths lie in its unmatched speed, simplicity, scalability, and rich support for diverse data types. It is ideal for use cases that demand ultra-fast response times, such as caching, real-time analytics, pub/sub messaging, and leaderboard systems. However, weaknesses include limited multi-key transactional capabilities, reliance on external measures for complete security, and the risk of data loss if persistence is not carefully configured.

Looking forward, future research directions could focus on enhancing Redis's native security features (such as built-in data-at-rest encryption for open-source editions), improving cross-slot transactional support in clusters, and integrating Al-driven optimization for dynamic memory management and sharding strategies. Additionally, examining Redis's role in hybrid deployments (edge-cloud architectures) and serverless environments can further redefine its impact on the broader database management landscape.

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