

# COMP3121 Notes

## 1 Week 1

### 1.1 Intro to Algorithms

#### What is an Algorithm?

A collection of precisely defined steps that can be executed mechanically (without intelligent decision-making).

#### Sequential Deterministic Algorithms:

Algorithms are given as sequences of steps, thus assuming that only one step can be executed at a given time.

#### Example: Two Thieves

Alice and Bob have robbed a warehouse and have to split a pile of items without price tags on them. Design an algorithm to split the pile so that each thief **believes** that they have got at least half the loot.

Solution:

Alice splits the pile in two parts, so that she believes that both parts are equal

Bob then picks the part that he believes is no worse than the other

#### Example: Three Thieves

Alice, Bob and Carol have robbed a warehouse and have to split a pile of items without price tags on them. How do they do this in a way that ensures that each thief **believes** they have gotten at least one third of the loot.

Solution:

Alice makes a pile of  $\frac{1}{3}$  called  $X$

**if** Bob agrees  $X \leq \frac{1}{3}$  **then**

Bob agrees to split the remainder with Carol

**if** Carol agrees  $X \leq \frac{1}{3}$  **then**

Bob and Carol split the rest

**else**

Alice and Bob split the rest

**end if**

**else**

Bob reduces pile until he thinks  $X \leq \frac{1}{3}$  and Alice and Carol split the rest

**end if**

#### When are proofs necessary?

We use proofs in circumstances where it is not clear that an algorithm truly does its job.

Proofs should not be used to prove the obvious.

## 1.2 Complexity

### Rates of Growth

When trying to determine whether one algorithm is faster than another, we talk in terms of *asymptotics*, being long-run behavior.

- e.g if the size of the input doubles, does the function's value double?

We want to categorise the runtime performance of an algorithm by its *asymptotic rate of growth*.

### Big-O Notation

**Definition:**

We say  $f(n) = O(g(n))$  if for *large enough*  $n$  is *at most a constant multiple of*  $g(n)$ .

- $g(n)$  is an *asymptotic upper bound* for  $f(n)$
- The rate of growth of function  $f$  is no greater than that of function  $g$
- An algorithm whose running time is  $f(n)$  scales *at least as well* as one whose running time is  $g(n)$

**Example**

Let  $f(n) = 100n$ . Then  $f(n) = O(n)$ , because  $f(n)$  is at most 100 times  $n$  for large  $n$ .

### Big-Omega Notation

**Definition**

We say  $f(n) = \Omega(g(n))$  if for *large enough*  $n$ ,  $f(n)$  is *at least* a constant multiple of  $g(n)$ .

- $g(n)$  is said to be an *asymptotic lower bound* for  $f(n)$ .
- Meaning the true rate of growth of function  $f$  is no less than that of function  $g$ .
- An algorithm whose running time is  $f(n)$  scales *at least as badly* as  $g(n)$ .

### Big-Theta Notation

**Definition** We say  $f(n) = \Theta(g(n))$  if  $f(n) = O(g(n))$  and  $f(n) = \Omega(g(n))$ .

- $f(n)$  and  $g(n)$  are said to have the same asymptotic growth.
- An algorithm whose running time is  $f(n)$  scales as well as  $g(n)$ .

### Sum Property

**Fact**

If  $f_1 = O(g_1)$  and  $f_2 = O(g_2)$ , then  $f_1 + f_2 = O(g_1 + g_2)$ .

- This property justifies ignoring non-dominant terms.
- If  $f_2$  has a lower asymptotic bound than  $f_1$  then the bound on  $f_1$  also applies to  $f_1 + f_2$
- For example, if  $f_2$  is linear but  $f_1$  is quadratic, then  $f_1 + f_2$  is quadratic.
- Especially relevant when dealing with algorithms that have two or more *sequentially executed stages*.

### Product Property

#### Fact

If  $f_1 = O(g_1)$  and  $f_2 = O(g_2)$  then  $f_1 \cdot f_2 = O(g_1 \cdot g_2)$ .

- Especially relevant when dealing with algorithms that have two or more *nested stages*.

## 1.3 Logarithms

#### Definition

For  $a, b > 0$  and  $a \neq 1$ , let  $n = \log_a b$  if  $a^n = b$ .

#### Properties

$$a^{\log_a n} = n$$

$$\log_a(mn) = \log_a m + \log_a n$$

$$\log_a(n^k) = k \cdot \log_a n$$

### Change of Base Rule

#### Theorem

For  $a, b, x > 0$  and  $a, b \neq 1$ , we have

$$\log_a x = \frac{\log_b x}{\log_b a}$$

- The denominator is constant with respect to  $x$ !

## 1.4 Data Structures

### Hash Tables

- Stores values indexed by keys.
- Hash functions map keys to indices in a fixed size table.
- Ideally, no two keys map to the same index, although impossible to guarantee this.
- A situation where two (or more) keys have the same hash is called a *collision*.
- There are methods to resolve collisions (e.g separate chaining, open addressing, etc)

**Operations (expected)**

- Search for the value associated to a given key:  $O(1)$
- Update the value associated to a given key:  $O(1)$
- Insert/delete:  $O(1)$

**Operations (worst case)**

- Search for the value associated to a given key:  $O(n)$
- Update the value associated to a given key:  $O(n)$
- Insert/delete:  $O(n)$

**Binary Search Trees**

...to be continued