

# Temporal sequence alignment for clustering longitudinal clinical data

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**Abstract.** Clustering is concerned with finding patterns in the data. A data set is given to an algorithm as input and groups of similar items are the output. The objects in a data set are gathered in groups (clusters), and the criteria to follow when computing such clusters is that objects in the same cluster should be more similar between each other than to items in different clusters. Our main concern in this study is the evaluation of the produced clusters, what measures can be used too validate them and in what situations should we use a specific measure. Here we present the three main criteria for validation (external, internal, relative) that can be followed and whose application can greatly improve the choice of a clustering structure. Although, we can find a lot of information in the literature about validation indices, there is not a lot of available programs to test them. For this purpose a Python library will be developed. With the help of this library, we expect to see an improvements on the results presented previously by Kishan in it's paper (AliClu), from where we will use a synthetic data set too present our results, as well as an analysis of the Reuma.pt data set, from the *Sociedade Portuguesa de Reumatologia*.

**Keywords:** clustering · internal validation · external validation · relative validation · python library · AliClu

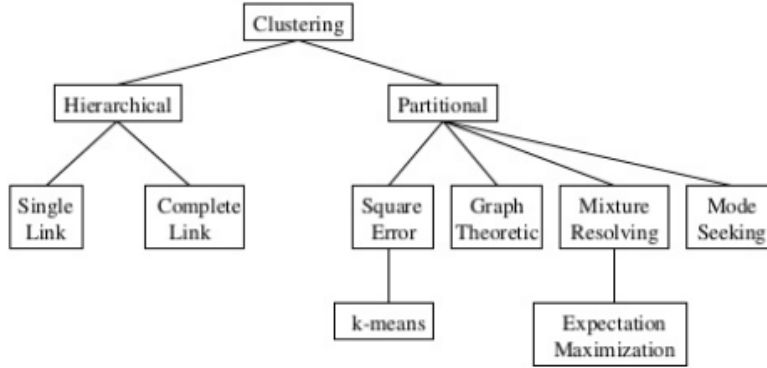
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## 1 Introduction

Clustering is a way to find relations in an underlying data set. It's goal is to find objects or items that are similar between each other and then assign them into groups (clusters). With the use of clustering methods we can get one or more possible partitions of our data, where each partition can be seen as a gathering of items of the same type or, if we are looking for classification, one partition would correspond to a label [3].

We can find numerous clustering methods and they are commonly divided into two big groups, those that are hierarchical and the partitional based ones, the main difference between them being that the former produces a nested series of partitions, while the later produces only one partition [2]. From these two approaches, come up other branches (see Fig. 1).



**Fig. 1.** Taxonomy of clustering approaches [3]

Agglomerative clustering follows a bottom-up approach. We start with a single object that will be merged with other objects to form clusters that will become larger until all objects are assigned to a cluster or a termination condition is met. To merge objects we need to have a method that tells us their similarity, if they are very similar they are merged. This method uses distance between objects to evaluate their similarity and several can be used.

**Single link** method for hierarchical clustering takes the distance between two clusters as the minimum distance between all pairs of patterns:

$$D(c_1, c_2) = \min_{x_1 \in c_1, x_2 \in c_2} D(x_1, x_2) \quad (1)$$

The **complete link** takes the maximum distance of all pairwise distances between patterns:

$$D(c_1, c_2) = \max_{x_1 \in c_1, x_2 \in c_2} D(x_1, x_2) \quad (2)$$

The **average link** is the average distance between any member of a cluster to any member of another cluster:

$$D(c_1, c_2) = D\left(\frac{1}{\|c_1\|} \frac{1}{\|c_2\|} \sum_{x \in c_1} \sum_{x \in c_2} D(x_1, x_2)\right) \quad (3)$$

**Centroid link** measures the distance between the centroid of one cluster and the centroid of another cluster:

$$D(c_1, c_2) = D\left(\left(\frac{1}{\|c_1\|} \sum_{x \in c_1} x\right), \left(\frac{1}{\|c_2\|} \sum_{x \in c_2} x\right)\right) \quad (4)$$

**Ward's method** measures how much the sum squares will increase if we merge a cluster with another.

$$D(c_1, c_2) = \sum_{x \in c_1} (x - r_1)^2 + \sum_{x \in c_2} (x - r_2)^2 - \sum_{x \in c_1 \cup c_2} (x - r_1)^2 \quad (5)$$

,

where  $r_1, r_2$  are the centroid of cluster 1 and cluster 2 , respectively.

Since clustering is an unsupervised learning task, there is not information about what is to be accomplished or what relations to be found [4]. Therefore, one issue that arises is the evaluation of the clustering results. The clustering algorithm produces partitions where the elements present are close to each other, but we need to have a way of knowing if it made the right choice of clusters.

Well defined clusters follow two criteria: i) The individual clusters should be compact; ii) well separated from the external clusters.

One way to evaluate the results is through visual aid. When we have 2D data, it usually works well to look at the clustering results and check if the criteria of compactness and separation is met. However, if the data is multi-dimensional it is not possible to visualise the clusters, and so we require tools that will replace that visual aid.

To accomplish this validation we can make use of the metrics that have been suggested through out the years and are available in the literature [1], [4], [5]

This work has the objective of providing an extended list of metrics to validate clusters, as well as to continue and improve the contribution in [6] for a temporal sequence alignment algorithm to find clusters in medical data, that lacks in term of validating the clusters obtained.

We will go deeper in the works of [6] in section 2 and in section 3 we give a more thorough analysis of the related work on clustering validation measures, precisely, on three methods to evaluate quantitatively the clustering results, external validation, internal validation and relative validation. In section 4 a solution proposal is given as well as some preliminary results. Section 5 contains a work schedule that will help in the production of the solution. Finally, we will share some conclusion on the research and work done so far.

## 2 Kishan's thesis

Given the increasing availability of electronic medical records (EMRs) with longitudinal data, this data can be used for making clinical decisions so that physicians can choose personalized treatments for the patients. The work done sets out with the goal of finding an efficient way to cluster patients based on their temporal information from medical appointments. It is proposed the application of the the Temporal Needleman and Wunsch algorithm [8], a modified version of the original Needleman and Wunsch [7], to align discrete sequences with the transition time information between symbols. This symbols may correspond to a patient's therapy, overall health status, or any other discrete state. The obtained TNW pairwise scores are then used to perform hierarchical clustering. To find the best number of clusters and assess their stability, a resampling technique is applied. After obtaining the clusters, several validation methods will be computed, such as Rand, Adjusted Rand, Fowlkes and Mallows, Jaccard, Wallace and Adjusted Wallace.

The algorithm was applied for the analysis of the rheumatoid arthritis EMRs obtained from the Portuguese database of rheumatologic patient visits (Reuma.pt). Like the previous work, identifying patterns and common features between patients in the Reuma.pt data set will be our final goal.

The AliClu is a very promising tool to analyse longitudinal patient data and unravel patterns that exist in clinical outcomes. But the validation of the resulting clusters being a very important step in identifying the patterns is not getting enough focus. Only external validation measures are considered and there was not a study done to see if they are the correct ones for the data we are dealing with. Therefore, we propose to go deeper and analyse thoroughly several more validation measures, concluding on the ones that are best suited for the data in Reuma.pt and achieve better results than before.

## 3 Related Work

On this section, our focus will be on discussing validation indices. We can find in the literature three main methods that will give us a measure of the quality of our clustering results [9], [19],[24].

The first type is based on comparison of partitions, the partitions to be compared consist of the one generated by the clustering algorithm and a given partition of the data (or a subset of the data). The approached is called *external validation*. A second approach is called *internal validation*. And is based on calculating properties of the resulting clusters, such as compactness, separation and roundness. It gets its name from the fact that it does not require any more information about the data. The third way is called *relative validation*. It's based on comparisons of partitions generated by the same algorithm with different parameters, or different subsets of the data. It does not require any more information except

the data itself.

In the sequel we will describe the fundamentals for each of the three cluster validity approaches and list the several indices that will be part of our work.

### 3.1 External Clustering Validation Measures

The idea of this approach is to test whether the clustering results have any structure or are they just random. To reach a conclusion, we use statistical tests. Based on the external criteria, we can evaluate the resulting clustering  $C$ , by comparing it to an independent partition of the data set,  $P$ . Considering this partitions  $C = \{C_1, \dots, C_k\}$  and  $P = \{P_1, \dots, P_l\}$  we run through the data set and for each pair we consider this terms:

- **SS**: if both points belong to the same cluster of the clustering structure  $C$  and to the same group of partition  $P$ .
- **SD**: if points belong to the same cluster of the clustering structure  $C$  and to different groups of partition  $P$ .
- **DS**: if points belong to different clusters of the clustering structure  $C$  and to the same group of partition  $P$ .
- **DD**: if points belong to different clusters of the clustering structure  $C$  and to different groups of partition  $P$ .

Assigning **a**, **b**, **c**, **d** to, respectively, **SS**, **SD**, **DS**, **DD** we then have  $M = a + b + c + d$ , which is the maximum number of pairs in the data set (it means  $M = N(N-1)/2$  where  $N$  is the total number of points in the data set). From these terms, we can now define the following indices:

- **Rand [10]** :

$$R = (a+d)/M \quad (6)$$

It has been shown that Rand index is highly dependent upon the number of clusters [29].

- **Jaccard [9]**:

$$J = a/(a+b+c), \quad (7)$$

It is very similar to Rand index, however it disregards the pairs of elements that are in different clusters for both clusterings.

- **Fowlkes and Mallows [12]**:

$$FM = a/\sqrt{m_1 m_2} = \sqrt{\frac{a}{a+b} \cdot \frac{a}{a+c}}, \quad (8)$$

This measure has the undesirable property that for small numbers of clusters, the value is very high, even for independant clusterings.

where  $m_1 = a/(a+b)$ ,  $m_2 = a/(a+c)$ . The above indices take values between 0 and 1, and higher values have been proven to indicate greater similarity between  $C$  and  $P$ , which implies a better clustering structure.

- **Huberts [11]:**

$$\Gamma = (1/M) \sum_{i=1}^{N-1} \sum_{j=i+1}^N X(i, j)Y(i, j), \quad (9)$$

where  $X(i, j)$  and  $Y(i, j)$  are the  $(i, j)$  element of matrices  $X$  and  $Y$ , respectively, and  $X(i, j)$  is set to 1 if  $x_i$  and  $x_j$  belong to the same cluster in  $C$  and 0 otherwise,  $Y(i, j)$  equal to 1 if  $x_i$  and  $x_j$  belong to the same group in  $P$  and 0 otherwise.

- **Huberts Normalized [11]:** The normalized Hubert's statistic  $\Gamma'$  can be defined as:

$$\Gamma' = \frac{[(1/M) \sum_{i=1}^{N-1} \sum_{j=i+1}^N (X(i, j) - \mu_x)(Y(i, j) - \mu_y)]}{\sigma_x \sigma_y}, \quad (10)$$

where  $\mu_x, \mu_y, \sigma_x, \sigma_y$  are the respective means and variances of  $X, Y$  matrices. The index takes values in the interval of -1 and 1.

Both Hubert's statistic depend on the identification of a "knee" in the plot of values, and this can sometimes be very subjective.

- **F-Measure [13]:**

$$F = \frac{2a}{2a + b + c} \quad (11)$$

- **Variation of Information [14]:**

This measure is different from the ones listed so far because it's not based on counting pairs. Instead, we use entropy and mutual information, both presented in detail in [15].

$$VI = [\mathcal{H}(C) - \mathcal{I}(C, P)] + [\mathcal{H}(P) - \mathcal{I}(C, P)], \quad (12)$$

where  $\mathcal{H}(C), \mathcal{H}(P)$  are the entropy of clustering  $C$  and entropy of partition  $P$ , respectively, and  $\mathcal{I}(C, P)$  is the mutual information between  $C$  and  $P$ .

- **Minkowski score [1]:** The Minkowski score measures the difference between the clustering results  $C$  and a reference clustering (true clusters). And the difference is computed by counting the disagreements of the pairs of data objects in two partitions, it's given by:

$$MS = \sqrt{b + c + 2a}/\sqrt{c}, \quad (13)$$

the score ranges between 0 and  $+\infty$ .

- **Van Dongen** [16], [17]: The measure is based on maximum intersections of clusters. To find intersections between  $C$  and  $P$  we need to make use of a contingency table  $M = (m_{ij})$  whose  $ij$ -th entry values equals to the number of elements in the intersection of the clusters  $C_i$  and  $P_i$ . Van Dongen measure is then given by:

$$VD = 2M - \sum_{i=1}^k \max_j m_{ij} - \sum_{j=1}^l \max_i m_{ij} \quad (14)$$

### 3.2 Internal Clustering Validation Measures

By using this approach our goal will be to test if the clustering structure produced by the clustering algorithm fits the data or not. Therefore, we use only information inherent in the data set. There is two cases when too apply internal criteria for cluster validation:

1. Validating hierarchy of clustering schemes

Hierarchical clustering produces a dendrogram that we can represent by it's cophenetic matrix,  $P_c$ . A good approach to evaluate a hierarchical clustering algorithm result is by measuring the degree of agreement between the cophenetic matrix,  $P_c$ , and the proximity matrix,  $P$ , of the data set. This measure is know as **Cophenetic Correlation Coefficient** [18], [9]:

$$CPCC = \frac{(1/M) \sum_{i=1}^{N-1} \sum_{j=i+1}^N d_{ij} c_{ij} - \mu_p \mu_c}{\sqrt{((1/M) \sum_{i=1}^{N-1} \sum_{j=i+1}^N d_{ij}^2 - \mu_p^2)((1/M) \sum_{i=1}^{N-1} \sum_{j=i+1}^N c_{ij}^2 - \mu_c^2)}}, \quad (15)$$

where  $\mu_p, \mu_c$  are the mean values of matrices  $P$  and  $P_c$ , respectively. Moreover, the values  $d_{ij}, c_{ij}$ , correspond to the  $(i,j)$  elements of  $P$  and  $P_c$ , respectively.

2. Validating a single clustering scheme

The goal here is to find the degree of agreement between a given clustering scheme  $C$ , with  $n_c$  clusters and the proximity matrix  $P$ . The defined index for this approach is Hubert's  $\Gamma$  statistic (or normalized  $\Gamma$  statistic). One more matrix is used on the computations:

$$Y(i, j) = \begin{cases} 1, & \text{if } x_i \text{ and } x_j \text{ belong to different clusters} \\ 0, & \text{otherwise} \end{cases} \quad (16)$$

Then the  $\Gamma$  or (normalized  $\Gamma$ ) is applied to  $P$  and  $Y$ . For each partition of the data set,  $X_i$ , generated by bootstrapping we compute the proximity matrix,  $P_i$ . Then we apply to each of them, the clustering algorithm used for  $C$ . We compute  $Y_i$  and  $\Gamma_i$ . Finally we compare all values for the different  $n_c$  and choose the appropriate one.



### 3.3 Relative Clustering Validation Measures

So far, we have looked at indices that use statistical tests to validate the clustering structure resulted, but due to the use of tools like bootstrapping or Monte Carlo methodology, these approaches tend to demand high computational resources. So called Relative Criteria measures [9], don't involve statistical testing, instead the fundamental idea is choosing the best clustering that fits the data, among the clustering produced by a clustering algorithm when its parameter's vary.

There is two cases we must consider:

- **The clustering algorithm doesn't contain the number of clusters,  $n_c$ , as parameter.**

The choice of the "best" parameter for this type of algorithms is based on the assumption that if the data set *possesses a clustering structure, this structure is captured for a "wide" range of values of the parameters in the algorithm*. Based on this, we run the algorithm for a wide range of values of its parameters and we choose the widest range for which  $n_c$  remains constant. Then we choose the values of the parameters as the values that correspond to the middle of this range..

- **The clustering algorithm contains  $n_c$  as a parameter.**

For this case, the different procedure is followed. We first select a suitable performance index. We run the algorithm for values of  $n_c$  between a minimum and a maximum, that are set a priori. For each value of  $n_c$  we run the algorithm  $r$  times, using different sets of values for each  $n_c$ , and we plot the best values the index gives for each  $n_c$ . Finally, we seek in the plot the point where there is a significant "knee", which is an indicator of good clustering. The absence of a "knee" indicates no clustering structure.

- **Modified Hubert  $\Gamma$  [9], [11]:** With this measure we look to evaluate the difference between clusters by counting the disagreements of pairs of data objects in two partitions.

$$\Gamma = (1/M) \sum_{i=1}^{N-1} \sum_{j=i+1}^N P(i, j) \cdot Q(i, j), \quad (17)$$

where  $P$  is the proximity matrix and  $Q$  is an  $N \times N$  matrix whose  $(i, j)$  element is equal to the distance between the representative points  $(v_{ci}, v_{cj})$  of the clusters where the objects  $x_i$  and  $x_j$  belong.

- **Dunn index [20], [21]:** Dunn's uses the minimum pairwise distance between objects in different clusters to measure the intercluster separation and the maximum diameter among all clusters to measure the intracluster compactness. The index is defined for a given number of clusters:

$$D_{n_c} = \min_{i=1, \dots, n_c} \left\{ \min_{j=i+1, \dots, n_c} \left( \frac{d(c_i, c_j)}{\max_{k=1, \dots, n_c} \text{diam}(c_k)} \right) \right\}, \quad (18)$$

where  $d(c_i, c_j)$  is the dissimilarity function between two clusters  $c_i$  and  $c_j$  defined as:

$$d(c_i, c_j) = \min_{x \in c_i, y \in c_j} d(x, y)$$

, and  $diam(c)$  is the diameter of a cluster, which may be considered as a measure of clusters' dispersion. The diameter of a cluster  $C$  can be defined as follows:

$$diam(C) = \max_{x, y \in C} d(x, y)$$

- **Davies-Bouldin index**[22]: This measure computes the average distances between each pair of clusters, therefore a lower value of this measure indicates a high dissimilarity between clusters, which is desirable. The similarity measure is

$$R_{ij} = (s_i + s_j)/d_{ij} \quad (19)$$

, where  $s_i, s_j$  are the measures of dispersion of clusters  $C_i$  and  $C_j$ , respectively, and  $d_{ij}$  the dissimilarity measure between the two clusters. Then the index is defined as:

$$DB_{n_c} = \frac{1}{n_c} \sum_{i=1}^{n_c} \max_{j=1, \dots, n_c, j \neq i} R_{ij}, \quad (20)$$

- **SD validity index**[23]:

It's based on the concepts of average scattering, which indicates the compactness between clusters, given by equation 21 and the total separation of clusters, which indicates the separation between the items of a cluster, given by equation 22.

$$Scat(n_c) = \frac{1}{n_c} \sum_{i=1}^{n_c} \frac{\|\sigma(v_i)\|}{\|\sigma(X)\|}, \quad (21)$$

where  $v_i$  is the center of cluster  $i$ ,  $X$  is a data set and  $\sigma(v_i), \sigma(X)$  are the variance of cluster  $i$  and variance of a data set  $X$ , respectively.

$$Dis(n_c) = \frac{D_{max}}{D_{min}} \sum_{k=1}^{n_c} \left( \sum_{z=1}^{n_c} \|v_k - v_z\| \right)^{-1}, \quad (22)$$

where  $D_{max} = \max(\|v_i - v_j\| \forall i, j \in 1, 2, 3, \dots, n_c)$  is the maximum distance between cluster centers.  $D_{min} = \min(\|v_i - v_j\| \forall i, j \in 1, 2, 3, \dots, n_c)$  is the minimum distance between cluster centers.

Now we can define the index based on equations 21 and 22:

$$SD(n_c) = \alpha Scat(n_c) + Dis(n_c), \quad (23)$$

where  $\alpha$  is a weighting factor equal to  $Dis(max_{n_c})$ . The  $n_c$  that minimizes the index can be considered the optimal value for the number of clusters in the data set.

– **S\_Dbw validity index [4]:**

Like SD index, it evaluates both criteria of "good clustering" (i.e., compactness and separation):

$$S\_Dbw(n_c) = Scat(n_c) + Dens_{bw}(n_c), \quad (24)$$

where the first term is equal to what we previous saw in equation 21 and the new term that evaluates the inter-cluster density is given by:

$$Dens_{bw}(n_c) = \frac{1}{n_c \cdot (n_c - 1)} \sum_{i=1}^{n_c} \left( \sum_{j=1, i \neq j}^{n_c} \frac{density(u_{ij})}{\max\{density(v_i), density(v_j)\}} \right), \quad (25)$$

where  $v_i, v_j$  are, respectively, the center of clusters  $c_i, c_j$  and  $u_{ij}$  the middle point of the line segment defined by the clusters' centers  $v_i, v_j$ . The term  $density(u)$  is defined in equation 25:

$$density(u) = \sum_{l=1}^{n_{ij}} f(x_l, u), \quad (26)$$

where  $n_{ij}$  = number of tuples that belong to clusters  $c_i$  and  $c_j$ . The function  $f(x, u)$  represents the number of points in the neighbourhood of  $u$ . We define this neighbourhood as a hyper-sphere with center  $u$  and radius the average standard deviation of the clusters,  $stdev$ :

$$f(x, u) = \begin{cases} 0, & \text{if } d(x, u) > stdev \\ 1, & \text{otherwise} \end{cases} \quad (27)$$

- **CVNN index [25]:** The Clustering Validation index based on Nearest Neighbors(CVNN), is a validation measure based on the notion of nearest neighbours, and complements some of the already existing measures.

Like other measures, is generally based on 1) inter-cluster separation and 2) intra-cluster compactness.

In 1), the idea is that, if an object is located in the center of a cluster and is surrounded by objects in the same cluster, then it is well separated from other clusters and thus contributes little to the inter-cluster separation. If an object is located at the edge of a cluster, it is surrounded mostly by objects in other clusters, thus contributes a lot to the inter-cluster separation. The measurement is given by equation 28 and a low value indicates a better inter-cluster separation.

To measure 2) we compute the average pairwise distance between objects in the same cluster. A low value for 29 indicates a better intra-cluster compactness.

$$Sep(n_c, k) = \max_{i=1,2,\dots,n_c} \left( \frac{1}{n_i} \sum_{j=1}^{n_i} \frac{q_j}{k} \right), \quad (28)$$

where  $n_c$  is the number of clusters,  $k$  is the number of nearest neighbours,  $n_i$  is the number of objects in  $i$ th cluster  $C_i$ , and  $q_j$  is the number of nearest neighbours of the  $j$ th object in  $C_i$  that are not in  $C_i$ .

$$Com(n_c) = \sum_i \left[ \frac{2}{n_i \cdot (n_i - 1)} \sum_{x,y \in C_i} d(x,y) \right], \quad (29)$$

the notation for  $n_c, n_i$  is defined in equation 28. We define  $x$  and  $y$  as two different objects in cluster  $C_i$ , and  $d(x,y)$  is the distance between  $x$  and  $y$ . Based on the above equations we can define the CVNN index:

$$CVNN(n_c, k) = Sep_{norm}(n_c, k) + Com_{norm}(n_c, k), \quad (30)$$

where

$$Sep_{norm}(n_c, k) = \frac{Sep(n_c, k)}{(max_{n_{c_{min}}} \leq n_c \leq n_{c_{max}} Sep(n_c, k))}, \quad (31)$$

and

$$Com_{norm}(n_c) = \frac{Com(n_c)}{(max_{n_{c_{min}}} \leq n_c \leq n_{c_{max}} Com(n_c))}, \quad (32)$$

A normalization the two terms since they should have the same range. A lower value of CVNN indicates a better clustering result.

## 4 Solution Proposal

In order to get better results with the AliClu algorithm and also to explore the capabilities of all the clustering validation indices we propose ourselves to create a python library containing the 15 indices listed in section 3.

All indices in the extensive list presented will be analysed with different data set (including the Reuma.pt), yet too be chosen/generated.

In this library will be possible to apply the indices to outputs of the hierarchical agglomerative clustering algorithm only, by varying all combinations of number of clusters and distance measures. We make this choice because this way we can extend the code available in [6], where only hierarchical clustering is applied.

Distance measures available are Single link, Complete link, Average link, Centroid link and Ward's method [26], [27], [28].

With this library, the user can also simultaneously select more than one index and number of clusters in a single function call.

The library will be available to users at <https://pypi.org/>.

## 5 Preliminary results

With the goal of understanding if using new indices to validate the resulting clustering structures with AliClu will lead to the same conclusions or not, three of indices described in section 3 were implemented, extending the code available in [6].

We chose to evaluate the performances of CVNN, S\_Dbw and Van Dongen (VD) measures. The reason for CVNN and S\_Dbw was clearly because both achieved good results in [1], also in AliClu there was only external indices tested and it would be interesting to see what other types of measures could give us. As for VD, we chose it because it gave good results in the literature compared to others and also to compare to the others external measures already implemented.

It's important to clarify that although these three measures gave good results in previous studies, it doesn't necessarily mean they will perform the same way in ours, it always depends on the clustering structure we have as input.

The results presented were achieved by running AliClu on the synthetic data set presented in [6]. This synthetic data set was built to provide a proof of concept in a controlled scenario where we know the correct number of clusters a priori.

The data set consists of temporal sequences generated by continuous-time Markov chains (CTMC), that simply provides a sequence of states and holding times in those states. If a process starts at a state  $i$  then it will stay for a random amount of time, say  $T_1$ , where  $T_1$  is a continuous random variable. Then at time  $T_1$ , the process jumps to a new state  $j$  where it will stay for a random amount of time  $T_2$ , and so on. The random variables  $T_1, T_2, \dots$  have exponential distribution and the probability of going from state  $i$  to state  $j$  is given by  $p_{ij}$  (probabilities from the discrete-time Markov chain). Hence, CTMC is the perfect tool for generating the temporal sequences like the ones in Reuma.pt. A more deep explanation of CTMC can be found in [6].

The experiment consists in running the algorithm for each distance metric (ward, single, complete, average and centroid) and minimum number of clusters equal to two and maximum number of clusters equal to eight, and show the measures of eight clustering indices for the chosen configuration (number of clusters and gap penalty). The indices Rand, Adjusted Rand, Fowlkes and Mallows, Jaccard and Adjusted Wallace were already evaluated by the algorithm.

In this experiment, the correct number of clusters is three.

k	Rand	Adjusted Rand	Fowlkes and Mallows	Jaccard	Adjusted Wallace	van_dongen	s_dbw	cvnn
2.0	0.831	0.66	0.846	0.78	0.663	4.664	3.266	0.679
3.0	0.981	0.955	0.969	0.947	0.957	0.72	7.358	0.169
4.0	0.955	0.884	0.916	0.854	0.848	2.208	12.983	0.206
5.0	0.934	0.804	0.868	0.747	0.762	3.732	16.81	0.201
6.0	0.946	0.815	0.851	0.751	0.767	3.124	20.714	0.2
7.0	0.964	0.847	0.869	0.782	0.873	3.032	14.794	0.193
8.0	0.963	0.824	0.866	0.743	0.834	3.276	16.604	0.219

**Fig. 2.** Average values for ward distance and gap penalty equal too 0.10. Number of clusters chosen = 3

In Fig. 2, we can see than CVNN and VD point to the correct number of cluster, equal to three, while S\_dbw does not, it points to a two cluster structure.

k	Rand	Adjusted Rand	Fowlkes and Mallows	Jaccard	Adjusted Wallace	van_dongen	s_dbw	cvnn
2.0	0.975	0.948	0.986	0.975	0.948	0.404	4.537	0.776
3.0	0.91	0.822	0.908	0.866	0.823	2.56	10.908	0.232
4.0	0.998	0.996	0.998	0.995	0.993	0.08	12.983	0.206
5.0	0.963	0.921	0.926	0.865	0.886	1.8	16.773	0.363
6.0	0.945	0.898	0.922	0.86	0.857	2.072	20.853	0.467
7.0	0.964	0.884	0.909	0.836	0.836	2.012	26.56	0.435
8.0	0.966	0.876	0.9	0.826	0.833	1.84	19.767	0.422

**Fig. 3.** Average values for single link distance and gap penalty equal too 0.20. Number of clusters chosen = 4

For single link distance, VD, S\_dbw and CVNN indicates incorrectly the number of clusters (4 in this case). Moreover, all indexes shown point to a 4 cluster structure.

k	Rand	Adjusted Rand	Fowlkes and Mallows	Jaccard	Adjusted Wallace	van_dongen	s_dbw	cvnn
2.0	0.968	0.933	0.981	0.968	0.933	0.536	4.537	0.776
3.0	0.936	0.872	0.931	0.898	0.864	1.92	10.908	0.232
4.0	0.998	0.995	0.996	0.993	0.991	0.104	12.983	0.206
5.0	0.983	0.953	0.965	0.936	0.937	0.992	14.8	0.249
6.0	0.966	0.897	0.931	0.859	0.868	2.06	22.855	0.372
7.0	0.958	0.849	0.877	0.792	0.802	2.612	28.578	0.344
8.0	0.971	0.876	0.895	0.817	0.835	2.388	21.116	0.343

**Fig. 4.** Average values for complete link distance and gap penalty equal too 0.30. Number of clusters chosen = 4

For complete linkage, all three indices guess incorrectly, VD being equal too eight, S\_dbw equal too two and CVNN equal too four. Once again, all indexes shown give the wrong answer.

k	Rand	Adjusted Rand	Fowlkes and Mallows	Jaccard	Adjusted Wallace	van_dongen	s_dbw	cvnn
2.0	0.983	0.964	0.99	0.983	0.964	0.28	4.537	0.776
3.0	0.879	0.759	0.873	0.819	0.76	3.552	10.908	0.232
4.0	0.999	0.997	0.998	0.996	0.995	0.064	12.983	0.206
5.0	0.975	0.931	0.948	0.905	0.945	1.748	16.834	0.205
6.0	0.962	0.885	0.91	0.841	0.867	2.38	22.684	0.335
7.0	0.951	0.822	0.854	0.757	0.818	2.916	28.603	0.313
8.0	0.981	0.916	0.929	0.872	0.922	1.688	21.137	0.316

**Fig. 5.** Average values for average link distance and gap penalty equal too 0.70. Number of clusters chosen = 4

For average linkage, all three indices guess incorrectly, VD being equal too eight, S\_dbw equal too two and CVNN equal too four. Once again, all indexes shown give the wrong answer.

k	Rand	Adjusted Rand	Fowlkes and Mallows	Jaccard	Adjusted Wallace	van_dongen	s_dbw	cvnn
2.0	0.892	0.18	0.944	0.892	0.18	1.232	4.537	0.776
3.0	0.942	0.709	0.98	0.962	0.709	0.44	4.358	0.184
4.0	0.971	0.899	0.982	0.968	0.88	0.452	4.269	0.138
5.0	0.849	0.657	0.891	0.821	0.763	2.638	8.227	0.31
6.0	0.683	0.455	0.749	0.614	0.503	6.172	8.189	0.258
7.0	0.492	0.244	0.573	0.375	0.244	10.244	8.162	0.222
8.0	0.367	0.113	0.446	0.217	0.088	13.02	8.142	0.194

**Fig. 6.** Average values for centroid link distance and gap penalty equal too -0.10. Number of clusters chosen = 4

Finally, for the centroid linkage, VD gives a correct prediction, while S\_dbw and CVNN are both pointing to 4.

More research as to be made in order too understand the reasons why the indices are giving incorrect answers.



6 Work Schedule

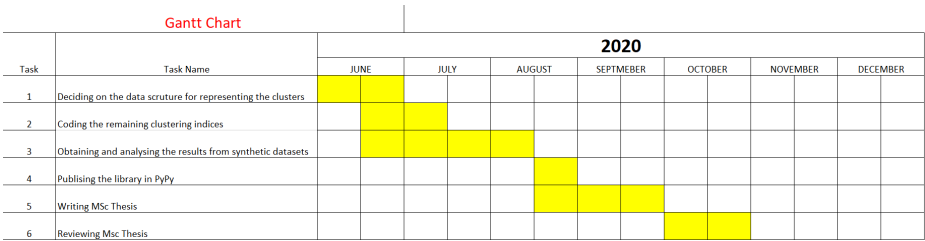


Fig. 7. Work Planning Gantt Chart

## 7 Conclusions

This work starts of with the goal of achieving better results from clustering methods and to give clustering users an easier time when experimenting in the field.

At this point, we are looking forward to tackle the challenges that will arise from the development of the solution. So far, three indices were implemented and the preliminary results show us that they generally are not identifying the correct number of clusters, but the previous work with them showed good result which in turn gives us confidence that also our results will eventually be satisfactory.

Relevant studies were surveyed, setting for us a starting point to work from. The proposed solution aims to give users all information they need to select the best clustering configuration for their data.

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