



# Pattern Recognition Reconhecimento de Padrões

2017/2018

Exame Recurso 28 June 2018 Duration: 2h30

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Name:

Number:

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## WARNING/AVISO

The Exam has a duration of 2h30m. The test is composed by five questions. The last question is a practical question. Each question must be answered in the framed box below (and following) it. Questions may be answered in Portuguese or English. This is a closed book test. You may use only 1 A4 manuscript with your 'own' notes. You are allowed to use a calculator machine. Violation of the rules ends up with exam cancellation, course failure and eventually you may be subject to disciplinary procedure. If you have any questions, you may ask. Good Luck!

Question	pts	Results	Graded by:
1)	20		
2)	20		
3)	20		
4)	20		
5)	20		

Graded by:

## Question 1 - Dimensionality Reduction & Fisher LDA

**20 pts**

Given the data available in Figure 1:

- (a) Explain graphically which approach (PCA or LDA) you select if we aim to reduce data to one dimension, and ensuring the maximum possible separability between different classes. Justify.
- (b) Compute the LDA projection vector  $\mathbf{w}$ . Are the direction pointed by  $\mathbf{w}$  what you expected?
- (c) Based on the LDA projection vector describe how you develop the Fisher LDA classifier for the data. To which class the classifier will assign the pattern  $\mathbf{x} = [2 \ 3]^T$ ?
- (d) Compute the decision function  $d_{12}(\mathbf{x})$  and the separation hyperplane for the Fisher LDA classifier.

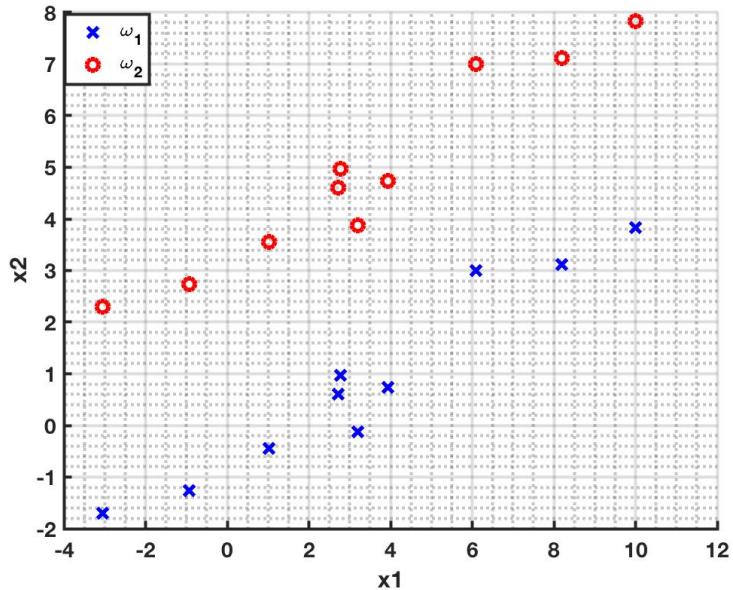


Figure 1: Binary classification problem described by features  $x_1$  and  $x_2$

**Calculus support:**

$$\text{Mean vectors: } \mathbf{m}_1 = \begin{bmatrix} 2 \\ 0 \end{bmatrix} \quad \mathbf{m}_2 = \begin{bmatrix} 2 \\ 4 \end{bmatrix}$$

$$\text{Within Scatter matrix: } \mathbf{S_w} = \begin{bmatrix} 281.92 & 130.32 \\ 130.32 & 64.50 \end{bmatrix}$$

$$\text{Inverse Within Scatter matrix: } \mathbf{S_w}^{-1} = \begin{bmatrix} 0.05 & -0.11 \\ -0.11 & 0.23 \end{bmatrix}$$

**Your answer 1):**

**Cont. your answer to 1):**

**Cont. your answer to 1):**

## Question 2 - k-Nearest Neighbors (kNN)

- 20pts** Consider the data in Fig. 2.

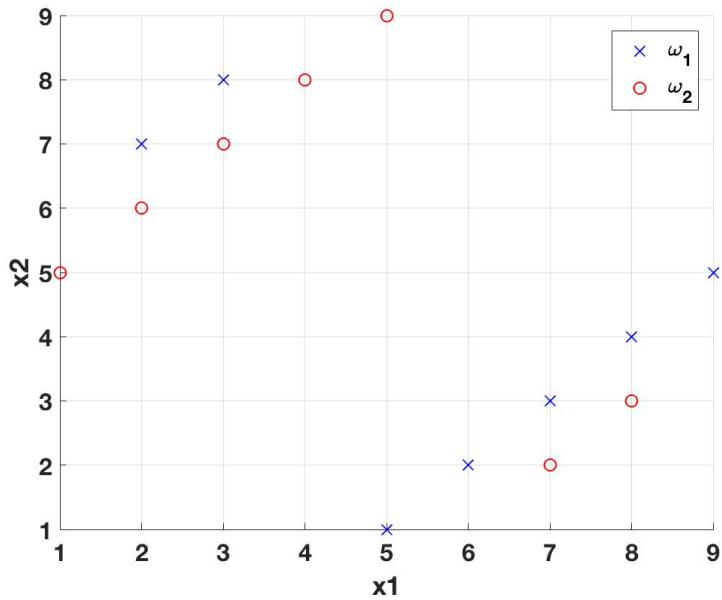


Figure 2: Binary classification problem described by features  $x_1$  and  $x_2$

Consider a k-nearest neighbor classifier using Euclidean distance metric.

- (a) Describe how kNN works.
- (b) Draw the decision boundary when  $k=1$ .
- (c) What value of  $k$  minimize the training error for this dataset? What is the resulting training error?
- (d) Why might too small values of  $k$  be bad?

**Your answer to 2):**

### **Question 3 - Receiver Operator Characteristics (ROC)**

- 20 pts** Consider the values of the decision functions of two linear classifiers applied to a binary dataset. Consider the “1” class as the positive class.

$d_1(\mathbf{x})$	0.96	0.85	0.71	0.27	0.38	-0.31	-0.42	-0.56	-1.00	-0.89
$d_2(\mathbf{x})$	0.48	0.13	0.88	-0.08	0.11	-0.43	-0.79	-0.03	-1.00	-0.8061
Class	1	1	1	1	1	2	2	2	2	2

- (a) What are ROC curves? How we can use them for feature ranking and for classifiers comparison?
- (b) By using ROC curves, which classifier related to  $d_1(\mathbf{x})$  and  $d_2(\mathbf{x})$  is the best? Justify. (**Suggestion:** Consider three thresholds, e.g. -1, 0 and 1.)

**Your answer to 3):**

**Cont. your answer to 3):**

## Question 4 - Non-Linear Support Vector Machines

**20 pts**

Consider the data presented in Fig. 3. The dotted circumferences indicate class limits and define  $R_1$  and  $R_2$ .

Consider that a non-linear SVM is applied to the data. The SVM apply a transformation to the data characterized by  $f(\mathbf{x}) = \begin{bmatrix} x_1^2 \\ x_2^2 \end{bmatrix}$ .

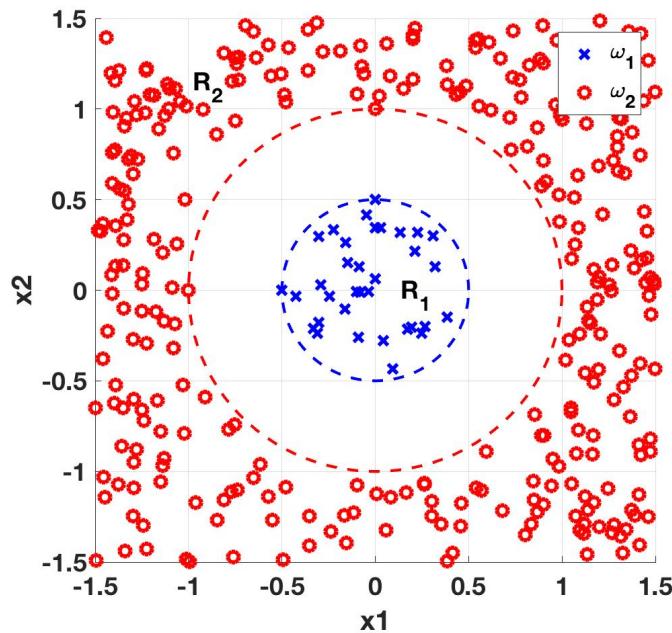


Figure 3: Binary classification problem described by features  $x_1$  and  $x_2$

- (a) Describe how a non-linear SVM operates over the data.
- (b) In this case what is the SVM kernel?
- (c) Make an approximate graphical representation of  $R_1$  and  $R_2$  in the transformed space.
- (d) Identify possible support vectors and develop the decision function  $d_{12}(\mathbf{x}) = \mathbf{w}^T f(\mathbf{x}) + b$ . To which class belongs the pattern  $\mathbf{x} = [0 \quad -0.9]^T$

**Your answer to 4):**

**Cont. your answer to 4):**

**Cont. your answer to 4):**

## Question 5 - Minimum Distance Classifiers

**20 pts**

Write two functions **in your language of choice** to training and testing minimum distance classifiers. For example, in Matlab the functions should have the following prototypes:

- **function model=min\_dist\_training(Xtr,Ttr,metric)**
- **function [f1]=min\_dist\_testing(Xte,Tte,model)**

Where:

- **model** is a structure with fields that contain the minimum distance classifier parameters needed for testing;
- **Xtr** is a matrix with dimensions D x Ptr, being D the problem dimensionality and Ptr the number of patterns in the training data;
- **Ttr** is the target vector in the training with dimensiona 1 x Ptr, and with “1” labeling positive patterns and “2” labeling negative patterns;
- **metric** is a string indicating which distance one wants to use, and can assume two values: “euc” for the Euclidean distance and “mah” for the Mahalanobis distance.
- **Xte** is a matrix with dimensions D x Pte, being D the problem dimensionality and Pte the number of patterns in the testing data;
- **Tte** is the target vector in the testing data with dimensiona 1 x Pte, and with “1” labeling positive patterns and “2” labeling negative patterns;
- **f1** is the f1 measure.

**Your answer to 5):**

**Cont. your answer to 5):**