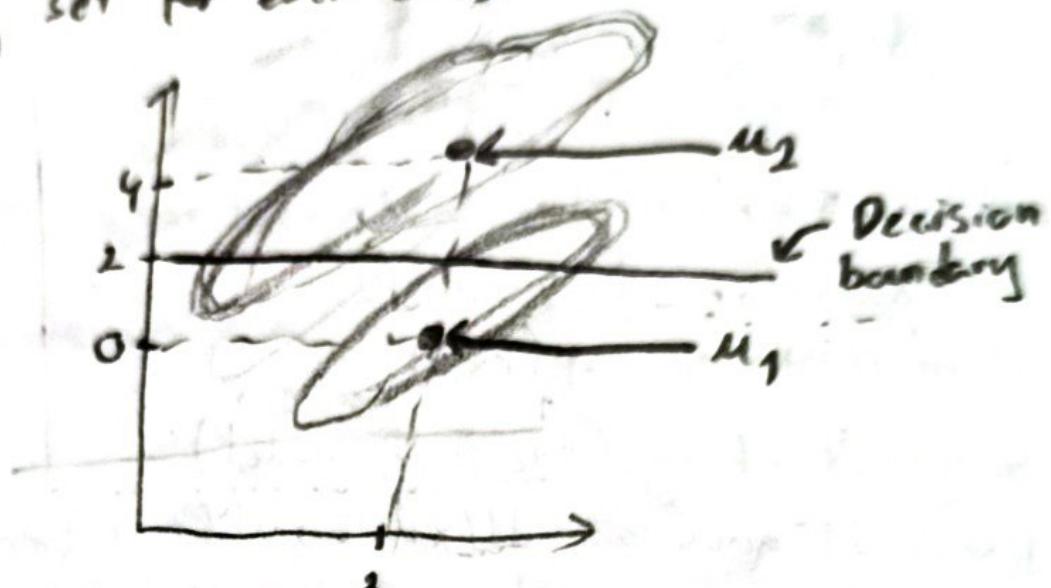


①

- ② Works by classifying a sample as the class of the nearest prototype.
- Training: Compute prototypes (often mean vector of the training set) for each class

③ (i) •



The boundary of Euclidean MDC is perpendicular to the segment connecting the means. (in the middle)

Not every sample is correctly classified by this

(ii) •

$$g_k(x) = \mu_k^T C^{-1} x - 0.5 \mu_k^T C^{-1} \mu_k$$

$$\begin{aligned} g_1(x) &= (2 \ 0) \begin{pmatrix} 1 & -2 \\ -2 & 5 \end{pmatrix} x - 0.5 (2 \ 0) \begin{pmatrix} 1 & -2 \\ -2 & 5 \end{pmatrix} \begin{pmatrix} 2 \\ 0 \end{pmatrix} = \\ &= (2 \ -4) x - 2 \stackrel{!}{=} (1 \ -2) x - 1 \end{aligned}$$

$$g_2(x) = (-6 \ 16) x - 52 \stackrel{!}{=} (-3 \ 8) x - 26$$

$$\left. \begin{aligned} g_1\left(\begin{pmatrix} -2 \\ 0 \end{pmatrix}\right) &= -2 - 1 = -6 \\ g_2\left(\begin{pmatrix} -2 \\ 0 \end{pmatrix}\right) &= 6 - 26 = -20 \end{aligned} \right\} \text{Pertence a } w_1$$

$$(iii) d_{1j}(x) = (u_j - u_1)^T C^{-1} (x - 0.5(u_1 + u_2))$$

$$u_1 - u_2 = \begin{pmatrix} 0 \\ -4 \end{pmatrix}$$

$$b = 0.5(u_1 + u_2) = \begin{pmatrix} 2 \\ 2 \end{pmatrix}$$

$$w = (u_2 - u_1)^T C^{-1} = (0, -4) \begin{pmatrix} 1 & -2 \\ -2 & 3 \end{pmatrix} = (8, -20)$$

$$d_{12} = w(x - b) = (8, -20) \begin{pmatrix} x_1 - 2 \\ x_2 + 2 \end{pmatrix} =$$

$$= 8(x_1 - 2) - 20(x_2 + 2) =$$

$$= 8x_1 - 16 - 20x_2 \underbrace{+ 40}_{14} =$$

$$= 8x_1 - 20x_2 + 24 \Rightarrow 2x_1 - 5x_2 + 6$$

Decision boundary

The decision hyperplane is at  $d_{12}(x) = 0 \Leftrightarrow$

$$\Leftrightarrow 2x_1 - 5x_2 + 6 = 0 \Leftrightarrow x_2 = \frac{-2x_1 - 6}{-5}$$

$$\Leftrightarrow x_2 = \frac{2}{5}x_1 + \frac{6}{5}$$

This is a linear hyperplane, which makes sense since  $C_1 = C_2$

② A dendrogram is a tree diagram that records the sequence of merges (or splits) in a hierarchical clustering procedure.

⑤ Lance-Williams: (used to update the distance matrix)

$$d_{(i,j),k} = \alpha_i d_{ik} + \alpha_j d_{jk} + \beta d_{ij} + \gamma |d_{ik} - d_{jk}|$$

for Ward's method:

$$\alpha_i = \frac{n_i + n_k}{n_i + n_j + n_k}; \quad \alpha_j = \frac{n_j + n_k}{n_i + n_j + n_k}; \quad \beta = -\frac{n_k}{n_i + n_j + n_k}; \quad \gamma = 0$$

$n_i, n_j, n_k$  are the num of elements in clusters  $i, j$ , and  $k$

1-Initial matrix

	$P_1$	$P_2$	$P_3$	$P_4$
$P_1$	0	1	4	5
$P_2$		0	2	6
$P_3$			0	3
$P_4$				0

smallest distance is  $d_{1,2} = 1$  merge them

$$C_1 = P_1 \cup P_2$$

1 { 1 2 3 4

(size of clusters):  $n_{C_1} = 2; n_{P_3} = 1; n_{P_4} = 1$

Compute new distances

$$d_{C_1, P_3} = \frac{1+1}{1+1+1} \times 4 + \frac{1+1}{3} \times 2 + \frac{1}{3} \times 1 = \frac{8}{3} + \frac{4}{3} + \frac{1}{3} \approx 3.67$$

$$d_{C_1, P_4} = \frac{2}{3} \times 5 + \frac{2}{3} \times 6 - \frac{1}{3} \times 1 = \frac{10 + 12 - 1}{3} = 7$$

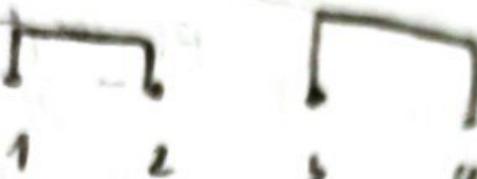
2. Second matrix

$$\begin{matrix} C_1 & P_3 & P_4 \\ \begin{pmatrix} C_1 & 0 & 3 & 7 \\ P_3 & 0 & 3 \\ P_4 & & 0 \end{pmatrix} \end{matrix}$$

smallest dist is  $d_{34} = 3$ , merge them

3

1



$$C_2 = P_3 \cup P_4$$

$$d_{C_2 C_1} = \frac{3}{4} 3,67 + \frac{3}{4} 7 - \frac{2}{4} 3 \approx 6,5$$

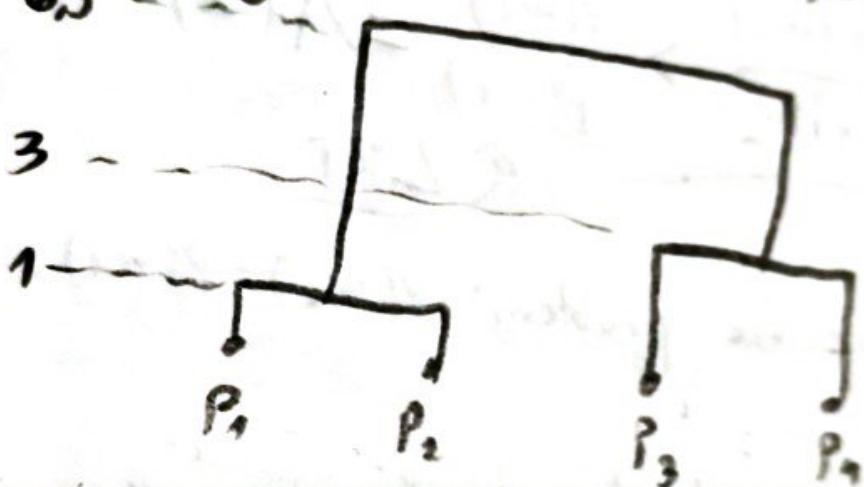
$$n_{C_1} = 2$$

$$n_{P_3} = 1$$

$$n_{P_4} = 1$$

3-

6,5 - Merge  $C_1$  and  $C_2$ , at height 6,5



③

$$C = I$$

$$\mu_1 = (-1 \ -1)^T \quad \mu_2 = (1 \ 1)^T$$

Equal prevalence:  $P(w_1) = P(w_2)$

a)  $D=2$  because there are 2 features? broh?

b) In Bayes decision rule, we want to pick the highest  $P(w_i|x) = \frac{P(x|w_i)P(w_i)}{P(x)}$ , to decide for  $w_1$ , we check  $P(w_1|x) > P(w_2|x) \Leftrightarrow$   
 $\frac{P(x|w_1)P(w_1)}{P(x)} > \frac{P(x|w_2)P(w_2)}{P(x)} \Leftrightarrow$

$$\underbrace{\frac{P(x|w_1)}{P(x|w_2)}}_{\text{we can remove } P(x)} \Leftrightarrow \boxed{\frac{P(x|w_1)}{P(x|w_2)} > \frac{P(w_2)}{P(w_1)}} = \Lambda(x) \quad \text{CLRT}$$

in this specific problem:  $P(w_2) = P(w_1)$ , so:

$$\Lambda(x) = \frac{P(x|w_1)}{P(x|w_2)} > 1$$

c)

$$g_i(x) = -\frac{1}{2}(x - \mu_i)^T C_i^{-1}(x - \mu_i) - \frac{1}{2} \ln |C_i| + \ln P(w_i)$$

But  $C_1 = I = C_2$ , so  $-\frac{1}{2} x^T C^{-1} x$  and  $-\frac{1}{2} \ln |C|$  cancel out in:

$$\delta_{1,2}(x) = g_1(x) - g_2(x) =$$

$$= (\mu_1 - \mu_2)^T C_1^{-1} x - \frac{1}{2} \mu_1^T C^{-1} \mu_1 + \frac{1}{2} \mu_2^T C^{-1} \mu_2 + \ln \left( \frac{P(w_1)}{P(w_2)} \right)$$

$$\ln(1)=0$$

$$= \underbrace{(\mu_1 - \mu_2)^T C_1^{-1} x}_{(\underbrace{C^{-1}(\mu_1 - \mu_2)}_w)^T} - \underbrace{\frac{1}{2} \mu_1^T C^{-1} \mu_1 + \frac{1}{2} \mu_2^T C^{-1} \mu_2}_{b(w_0)}$$

d)

$$w = I(\mu_1 - \mu_2) = \begin{pmatrix} -2 \\ -2 \end{pmatrix}$$

$$b(w_0) =$$

$$-\frac{1}{2} \mu_1^T I \mu_1 = (-1 - 1) \begin{pmatrix} -1 \\ -1 \end{pmatrix} (0, 5) = -1$$

$$\frac{1}{2} \mu_2^T I \mu_2 = (1 1) \begin{pmatrix} 1 \\ 1 \end{pmatrix} (0, 5) = 1$$

$$w_0 = -1 + 1 = 0$$

$$\delta_{1,2}(x) = (-2 - 2)x = -2x_1 - 2x_2$$

This is equivalent to Euclidean MDC:

1- Bayes = Mahalanobis when  $P(w_i) = P(w_j)$  for all classes

2- Mahalanobis = Euclidean when  $C = I$ .

Here,  $C_1 = C_2 = I$  and  $P(w_1) = P(w_2)$

(4)

a)  $\gamma = \frac{2}{\|\omega\|} = \left\| \omega = [-1, 1]^T \right\| = \frac{2}{\sqrt{2}} \approx 1.414$

(b)

OVO:

$$M = \begin{pmatrix} w_1 & \text{SUM 1} & \text{SUM 2} & \text{SVM 3} \\ w_2 & +1 & +1 & 0 \\ w_3 & -1 & 0 & +1 \\ 0 & 0 & -1 & -1 \end{pmatrix}$$

OVA:

$$M = \begin{pmatrix} +1 & -1 & -1 \\ -1 & +1 & -1 \\ -1 & -1 & +1 \end{pmatrix}$$

c) It's a SV if  $\|\omega^T x + b\| = 1$  for any SVM

$$g_1(x) = -6 + 1 + 1 = -4 \rightarrow -1 \text{ is } w_2$$

$$g_2(x) = -6 - 1 + 7 = 0 \rightarrow \text{on the boundary}$$

$$g_3(x) = -1 + 2 = 1 \rightarrow \text{It's a SV for SVM 3}$$

$+1 \text{ is } w_2$

so  $x$  belongs to  $w_2$  and is SV for SVM 3

codes:  $w_1 = (+1 +1 0)$   
 $w_2 = (-1 0 +1)$   
 $w_3 = (0 -1 -1)$

$x = (2 0)$ :  
 $g_1(x) = -2 + 1 = -1 \rightarrow -1$   
 $g_2(x) = -2 + 2 = 0 \rightarrow +1$   
 $g_3(x) = 0 + 2 = 2 \rightarrow +1$

} output code  
 $(-1 +1 +1)$   
 which has Hamming  
 distance 0 with the  
 code for  $w_2$

distances to:

$w_1$  (indices 1,2):  $d\left(\overbrace{(-1 +1)}^{\text{out}}, (1 1)\right) = 1$

$w_2$  (indices 1,3):  $d\left((-1 +1), (1 -1)\right) = 0$

$w_3$  (indices 2,3):  $d\left((1 +1), (-1 -1)\right) = 2$

classify as  $w_2$  (Missed)

$x = (3 0)$

$g_1(x) = -3 + 1 = -2 \rightarrow -1$   
 $g_2(x) = -3 + 2 = 1 \rightarrow +1$   
 $g_3(x) = 0 + 2 = 2 \rightarrow +1$

} out:  $(-1 +1 +1)$   
 $Hd = 0$  with class  $w_2$

classify as  $w_2$  (Correct)

$x = (5 5)$

$g_1(x) = -5 + 5 + 1 = 1 \rightarrow +1$   
 $g_2(x) = -5 - 5 + 2 = -8 \rightarrow -1$   
 $g_3(x) = -5 + 2 = -3 \rightarrow -1$

} out:  $(+1 -1 -1)$   
 $Hd = 0$  with class  $w_3$

classify as  $w_3$  (Correct)

66% accuracy.

⑤

```
import numpy as np
```

```
def estimate_knn_pdf(data, k):
```

```
N = len(data)
```

```
pdf_estimates = []
```

return closest K dists

```
for x in data:
```

```
    dists = get_k_closest(data, x, y)
```

```
d_K = distances[-1] # last neighbor
```

```
V = 2 * d_K
```

```
if V == 0:
```

```
    prob = 0
```

```
else
```

```
    prob = k / (N + V)
```

```
pdf_estimates.append(prob)
```

```
return pdf_estimates
```

$$P(w_1 | x) > P(w_2 | x) \Leftrightarrow \frac{P(x | w_1) P(w_1)}{P(x | w_2)} > \frac{P(x | w_2) P(w_2)}{P(x | w_1)}$$

$$(2) \frac{P(x | w_1)}{P(x | w_2)} > \frac{P(w_1)}{P(w_2)}$$