

# AVISO BUÉ IMPORTANTE, QUEM NÃO LER MORRE E FICA MORTO

Este teste foi feito automaticamente com AI generativo. Dei como contexto as perguntas do primeiro exame desta cadeira e disse para ele tentar replicar o nível de dificuldade. Nem sequer dei proof-read deste documento, eis a quantidade de responsabilidade que tomo pela qualidade das perguntas: 0.

Acho que até há alguns erros de formatação e tal.

Depois meto as soluções. Mas só se tiver tempo, vá, não garanto que consiga colocar as soluções antes do exame.

## Deep Learning - Exam Part 2 (Generated)

### Topic: Word Embeddings (T4)

#### 1. Word2Vec Architecture and Calculation

Consider a **CBOW (Continuous Bag of Words)** model trained to predict a target word given context words.

- **Vocabulary:** {"AI", "is", "very", "cool"} encoded as indices 0, 1, 2, 3.
- **Embedding Dimension:**  $N = 2$ .
- **Input Matrix  $W_{in}$**  (shape  $4 \times 2$ ):

$$W_{in} = \begin{bmatrix} 0.5 & 0.2 \\ -0.1 & 0.8 \\ 0.0 & -0.5 \\ 0.9 & 0.1 \end{bmatrix}$$

- **Output Matrix  $W_{out}$**  (shape  $2 \times 4$ ):

$$W_{out} = \begin{bmatrix} 1.0 & 0.0 & -0.5 & 0.5 \\ 0.0 & 1.0 & 0.5 & -1.0 \end{bmatrix}$$

**Task:** We want to predict the target word **"is"** (Index 1) given the context words **"AI"** (Index 0) and **"very"** (Index 2).

- Draw the specific architecture for this training instance, showing the inputs, the hidden layer projection, and the output layer.
- Calculate the hidden layer vector  $h$ . (Explain how the context vectors are combined).
- Calculate the raw logits vector  $z$  for all words in the vocabulary.
- Apply the Softmax function to calculate the probability  $P(\text{"is"}|\text{context})$ .

#### 2. Static vs. Dynamic Embeddings

Explain the fundamental limitation of static embeddings (like Word2Vec and GloVe) that necessitates the use of dynamic (contextualized) embeddings. Provide a concrete example using the word "bank" to illustrate your answer.

#### 3. FastText vs. Word2Vec

In the context of morphologically rich languages (like Finnish or Portuguese), why does FastText typically outperform standard Word2Vec? Explain how FastText handles Out-Of-Vocabulary (OOV) words during inference.

## Topic: Sequence to Sequence RNNs (T5)

### 4. Manual Seq2Seq Calculation (The “Reversal Task”)

We are training a simplified Seq2Seq model to reverse a string of tokens.

- **Vocabulary:**  $\{<BOS>, <EOS>, A, B, C\}$  mapped to indices 0, 1, 2, 3, 4.
- **Embeddings:** Provided directly as size 2 vectors:

- $\text{emb}(<BOS>) = [0, 0]$
- $\text{emb}(<EOS>) = [0, 0]$
- $\text{emb}(A) = [1, 0]$
- $\text{emb}(B) = [0, 1]$
- $\text{emb}(C) = [1, 1]$

- **Encoder Update Rule:**  $h_t = h_{t-1} + x_t$  (Simple addition,  $h_0 = [0, 0]$ ).
- **Decoder Update Rule:**  $s_t = s_{t-1} + e_t$  (Simple addition,  $s_0 = \text{Encoder Context } c$ ).
- **Output Weights  $W_o$ :**

$$W_o = \begin{bmatrix} 0 & 0 & 1 & 0 & 0.5 \\ 0 & 0 & 0 & 1 & 0.5 \end{bmatrix}^T = \begin{bmatrix} 0 & 0 \\ 0 & 0 \\ 1 & 0 \\ 0 & 1 \\ 0.5 & 0.5 \end{bmatrix}$$

(Note: Rows correspond to vocabulary words 0..4)

**Input Sequence:** “A B” (followed implicitly by EOS, but we only encode A and B). **Target Sequence:** “B A”.

- Encoder Forward Pass:** Calculate the hidden states  $h_1$  and  $h_2$  for the input “A B”. What is the final context vector  $c$ ?
- Decoder Step 1:** We are at the first decoding step  $t = 1$ .
  - The input to the decoder is the  $<BOS>$  token.
  - Calculate the decoder hidden state  $s_1$ .
  - Calculate the logits  $y^{(1)} = W_o \cdot s_1$ .
  - Which word has the highest probability? Does it match the target “B”?
- Decoder Step 2 (Teacher Forcing):** We are at the second decoding step  $t = 2$ .
  - Using **Teacher Forcing**, what is the input embedding  $e_2$  provided to the decoder?
  - Calculate the new decoder state  $s_2$ .
  - Calculate the logits  $y^{(2)}$ .

### 5. Exposure Bias and Sampling

- Explain the concept of **Exposure Bias** in Seq2Seq models. Why does it occur?
- Describe the **Scheduled Sampling** strategy. How does the sampling probability  $\epsilon_t$  change during training, and what effect does this have on the model’s learning process?

### 6. Attention Mechanism (Bahdanau)

In the “Jointly Learning to Align and Translate” paper, the fixed-length context vector bottleneck is removed.

- Write the mathematical formula for the dynamic context vector  $c_t$  at decoding step  $t$ . (Define all terms:  $\alpha_{t,i}$  and  $h_i$ ).
- Explain intuitively what the attention weights  $\alpha_{t,i}$  represent in the context of Machine Translation.

# Deep Learning - Exam Part 3 (Generated)

## Topic: Transformers and LLMs (T6)

### 1. Manual Calculation of Self-Attention

Consider a single-head Self-Attention mechanism. We have an input sequence of two tokens:  $X = [x_1, x_2]$ . The input embedding dimension is  $d_{model} = 2$ . The values of the input vectors are:

$$x_1 = [1, 0], \quad x_2 = [0, 1]$$

The weight matrices for Query ( $W^Q$ ), Key ( $W^K$ ), and Value ( $W^V$ ) are all  $2 \times 2$  matrices given by:

$$W^Q = \begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix}, \quad W^K = \begin{bmatrix} 1 & 0 \\ 1 & 1 \end{bmatrix}, \quad W^V = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}$$

**Task:** Calculate the self-attention output vector  $z_1$  for the first token ( $x_1$ ).

- Calculate the Query vector  $q_1$  for the first token.
- Calculate the Key vectors  $k_1$  and  $k_2$  for both tokens.
- Calculate the Value vectors  $v_1$  and  $v_2$  for both tokens.
- Compute the raw attention scores (dot products) for  $x_1$  against all keys:  $score_{1,1} = q_1 \cdot k_1$  and  $score_{1,2} = q_1 \cdot k_2$ .
- Apply the Softmax function to these scores to get the attention weights  $\alpha_{1,1}$  and  $\alpha_{1,2}$ . (*Note: For calculation simplicity, you may leave terms in the form of  $e^x$  / sum, or approximate if values are obvious*).
- Compute the final weighted sum output  $z_1 = \alpha_{1,1}v_1 + \alpha_{1,2}v_2$ .

### 2. Positional Encodings

Transformers use the formula  $Attention(Q, K, V) = \text{softmax}(\frac{QK^T}{\sqrt{d_k}})V$ .

- Why does the Transformer architecture typically require **Positional Encodings** added to the input embeddings, whereas Recurrent Neural Networks (RNNs) do not?
- If we removed the positional encodings, how would the self-attention mechanism treat the sentences "Alice hit Bob" and "Bob hit Alice"?

### 3. BERT vs. GPT Architecture

- Explain the structural difference between the **BERT** (Encoder-only) and **GPT** (Decoder-only) architectures regarding the attention mechanism mask.
- How do their pre-training objectives differ? Specifically, contrast **Masked Language Modeling (MLM)** with **Causal Language Modeling (CLM)**.

### 4. Large Language Models (LLMs) & RLHF

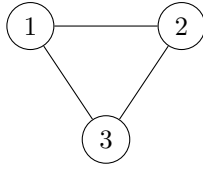
Modern LLMs like ChatGPT use a technique called **RLHF** (Reinforcement Learning from Human Feedback).

- Briefly describe the role of the **Reward Model** in this process. What does it take as input, and what does it output?
- Explain the concept of **In-Context Learning** (e.g., Few-Shot Prompting). Does this process update the weights of the model?

## Topic: Graph Neural Networks (T7)

### 5. Manual Calculation of GCN Message Passing

Consider a simple graph with 3 nodes.



(It is a fully connected triangle: 1-2, 2-3, 3-1).

The initial node features  $h^{(0)}$  (dimension  $d = 2$ ) are:

$$h_1^{(0)} = [1, 0], \quad h_2^{(0)} = [0, 1], \quad h_3^{(0)} = [1, 1]$$

We use a simplified Graph Convolutional Network (GCN) update rule:

$$h_u^{(k+1)} = \sigma \left( W \cdot \sum_{v \in N(u) \cup \{u\}} \frac{1}{c_{uv}} h_v^{(k)} \right)$$

Where:

- The aggregation is a **Mean** (Average) over the node itself and its neighbors ( $c_{uv}$  is the degree of node  $u$  including self-loop).
- The weight matrix  $W$  is Identity  $I = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$ .
- The activation  $\sigma$  is ReLU.

**Task:** Calculate the new feature vector  $h_1^{(1)}$  for **Node 1** after one layer of message passing.

- Identify the neighbors of Node 1 (including itself).
- Perform the aggregation step (Sum neighbors and normalize by the count).
- Apply the linear transformation ( $W$ ) and activation ( $\sigma$ ).

### 6. Permutation Invariance

- A standard Convolutional Neural Network (CNN) is designed for grid-structured data (images). Why does a standard CNN fail when applied directly to a graph represented by an adjacency matrix?
- Explain what it means for a GNN to be **Permutation Invariant**. Why is this property critical for graph learning?

### 7. Spectral vs. Spatial GNNs

The slide deck mentions the GCN formulation by Kipf & Welling:

$$H^{(l+1)} = \sigma(\tilde{D}^{-1/2} \tilde{A} \tilde{D}^{-1/2} H^{(l)} W^{(l)})$$

- What is the purpose of  $\tilde{A}$  (where  $\tilde{A} = A + I$ )? Why do we add the Identity matrix  $I$  to the adjacency matrix  $A$ ?
- What is the role of the  $\tilde{D}^{-1/2} \dots \tilde{D}^{-1/2}$  term? (Hint: Think about node degrees).

# Deep Learning - Exam Part 4 (Generated)

## Topic: Reinforcement Learning (T8)

### 1. Markov Decision Processes (MDP) & Bellman Calculation

Consider an agent in a simple state  $s_t$ . The agent can choose between two actions: **Left** ( $a_L$ ) or **Right** ( $a_R$ ). The discount factor is  $\gamma = 0.9$ .

- **Action  $a_L$ :** Deterministic. The agent moves to state  $s_L$  and receives reward  $R = +1$ .
- **Action  $a_R$ :** Stochastic.
  - With probability 0.8, move to state  $s_{win}$  (Reward  $R = +10$ ).
  - With probability 0.2, move to state  $s_{fail}$  (Reward  $R = -5$ ).

Assume we already know the Value of the next states from previous iterations:

$$V(s_L) = 5, \quad V(s_{win}) = 20, \quad V(s_{fail}) = 0$$

**Task:**

- Calculate the **Q-value** for taking action Left:  $Q(s_t, a_L)$ .
- Calculate the **Q-value** for taking action Right:  $Q(s_t, a_R)$ .
- Based on these Q-values, what is the value of the current state  $V(s_t)$  if the agent acts greedily?

### 2. Manual Q-Learning Iteration

We are training an agent using the **Q-Learning** algorithm (Off-policy TD control). We are at time step  $t$ .

- **Current State:**  $S_t = A$
- **Action Taken:**  $A_t = \text{Run}$
- **Reward Received:**  $R_{t+1} = -2$
- **Next State:**  $S_{t+1} = B$
- **Learning Rate:**  $\alpha = 0.1$
- **Discount Factor:**  $\gamma = 0.9$

Your current Q-Table estimates are:

State	Action: Walk	Action: Run
A	4.0	<b>2.5</b>
B	<b>5.0</b>	1.0

**Task:** Perform one Q-Learning update step to calculate the new value  $Q_{new}(A, \text{Run})$ . (*Show the formula used and the substitution of values*).

### 3. Exploration vs. Exploitation

You are using an  $\epsilon$ -greedy policy with  $\epsilon = 0.2$  in a state with 4 possible actions. The Q-values for these actions are: [10, 12, 15, 9].

- What is the probability that the agent selects the action with value 15 (the greedy action)?
- What is the probability that the agent selects the action with value 9? (*Assume the random choice is uniform over all available actions*).

### 4. Deep Q-Networks (DQN)

Standard Q-Learning uses a table. DQN uses a Neural Network to approximate  $Q(s, a; \theta)$ . However, training a standard Neural Network with RL data is unstable. DQN introduces two key mechanisms to fix this.

a) **Experience Replay:**

- Explain how Experience Replay works.
- Why is it necessary? (Hint: Discuss the correlation of sequential data).

b) **Target Networks:**

- The loss function for DQN is often written as:

$$L(\theta) = (y_i - Q(s, a; \theta))^2$$

where the target is  $y_i = R + \gamma \max_{a'} Q(s', a'; \theta^-)$ .

- Why do we use a separate network parameter set  $\theta^-$  (the target network) to calculate  $y_i$ , rather than the current parameters  $\theta$ ? What problem (“Moving Target”) does this solve?

### 5. Credit Assignment Problem

In the context of Reinforcement Learning, explain the **Credit Assignment Problem**. Why does a sparse reward signal (e.g., only getting a reward of +1 after winning a Chess game, and 0 otherwise) make learning difficult?

## Deep Learning - Exam Part 5 (Generated)

### Topic: SOTA and Trends (T9)

#### 1. Vision Transformers (ViT) - Manual Calculation

In a Vision Transformer, the input image is split into fixed-size patches, which are linearly embedded. Consider the following specifications:

- **Input Image Size:**  $H \times W = 48 \times 48$  pixels.
- **Channels:**  $C = 3$  (RGB).
- **Patch Size:**  $P \times P = 16 \times 16$  pixels.
- **Transformer Hidden Dimension (Embedding Size):**  $D = 128$ .

**Task:**

- Calculate the total number of patches  $N$  extracted from the image.
- Before the linear projection (embedding layer), what is the dimensionality of a single flattened patch vector?
- The “Class Token” (CLS) is added to the sequence of patch embeddings. What is the final shape of the input tensor passed to the Transformer Encoder?

#### 2. LoRA (Low-Rank Adaptation) - Parameter Efficiency

You want to fine-tune a pre-trained dense layer weight matrix  $W_0$  of dimensions  $d_{in} \times d_{out} = 1000 \times 1000$ . Instead of standard fine-tuning (updating all weights), you use **LoRA**. LoRA approximates the weight update  $\Delta W$  using two low-rank matrices  $A$  and  $B$ , such that  $W = W_0 + BA$ . We choose a rank  $r = 8$ .

- Matrix  $B$  has dimensions  $1000 \times 8$ .
- Matrix  $A$  has dimensions  $8 \times 1000$ .

**Task:**

- Calculate the number of trainable parameters required for **Standard Fine-Tuning** of this layer.
- Calculate the number of trainable parameters required for **LoRA Fine-Tuning** (parameters in  $A$  and  $B$ ).

- c) What is the reduction factor? (Ratio of Standard params to LoRA params).

### 3. Diffusion Models

Diffusion models operate using a Forward Process and a Reverse Process.

- a) Describe what happens to an image  $x_0$  during the **Forward Process** as time  $t$  goes from 0 to  $T$ . What is the final state  $x_T$ ?
- b) In the **Reverse Process**, a neural network (typically a U-Net) is trained. What exactly does this network predict at each step? (Does it predict the fully denoised image  $x_0$  directly, or something else?)

### 4. CLIP (Contrastive Language-Image Pre-training)

CLIP is trained on pairs of (Image, Text). Suppose we have a batch of  $N$  image-text pairs. The model produces  $N$  image embeddings  $I_1 \dots I_N$  and  $N$  text embeddings  $T_1 \dots T_N$ . We compute the similarity matrix of size  $N \times N$  containing all dot products  $I_i \cdot T_j$ .

- a) Which values in this  $N \times N$  matrix does the Contrastive Loss try to **maximize**?
- b) Which values does it try to **minimize**?
- c) Why does this training objective allow CLIP to perform “Zero-Shot” classification on unseen datasets?

### 5. RAG (Retrieval Augmented Generation)

Large Language Models often suffer from “hallucinations” or outdated knowledge.

- a) Explain how **RAG** solves this problem without retraining the model.
- b) In a RAG pipeline, why do we need a Vector Database? What specific operation is performed there?

## Deep Learning - Exam Part 6 (Theory & Concepts)

*This section covers theoretical concepts, definitions, and details from the slide decks that were not covered in the calculation sections.*

### Topic: Data Modalities & Embeddings (T4)

#### 1. Data Representation

- Contrast the representation of **Audio** data versus **Image** data before they are fed into a Deep Learning model.
- Why are raw waveforms often transformed into Spectrograms rather than processing the raw amplitude sequence directly?

#### 2. The Distributional Hypothesis

- The slide deck quotes J.R. Firth (1957): “*You shall know a word by the company it keeps.*”
- Explain how this specific quote forms the theoretical basis for unsupervised training of Word2Vec (Skip-gram and CBOW).

#### 3. One-Hot Encoding Limitations

- Beyond the lack of semantic meaning (orthogonality), explain the “**Curse of Dimensionality**” or “Vocabulary Explosion” problem associated with One-Hot Encoding.
- Why is this problem particularly severe in morphologically rich languages?

#### 4. GloVe Objective Function

- The GloVe loss function is given by:

$$J = \sum_{i,j} f(X_{ij})(w_i^T \tilde{w}_j + b_i + \tilde{b}_j - \log X_{ij})^2$$

- What is the purpose of the weighting function  $f(X_{ij})$ ? Specifically, what does it prevent regarding rare and frequent co-occurrences?

#### 5. Evaluation Methods

- Distinguish between **Intrinsic** and **Extrinsic** evaluation of embeddings.
- Give one concrete example of an Intrinsic task (e.g., Analogy).
- Why might a model score high on Intrinsic tasks but fail on Extrinsic ones (e.g., Sentiment Analysis)?

### Topic: Seq2Seq & RNNs (T5)

#### 6. Decoding Strategies: Beam Search

- Compare **Greedy Decoding** with **Beam Search**.
- If Beam Width  $k = 1$ , is Beam Search equivalent to Greedy Decoding? Explain.
- Explain the trade-off: Why does Beam Search trade off inference speed for generation quality?

#### 7. Reversing the Source Sentence

- In the “Sequence to Sequence Learning with Neural Networks” paper (Sutskever et al.), the authors found that reversing the order of words in the *source* sentence (but not the target) improved performance.
- Explain the theoretical reason given for this improvement. (Hint: It relates to “short term dependencies” and optimization).

#### 8. Character-Level Encoding

- What is the primary advantage of **Character-Level Encoding** (treating each character as a token) compared to Word-Level encoding?
- What is the major downside regarding the resulting sequence length and computational cost?

### Topic: Transformers & LLMs (T6)

#### 9. Multi-Head Attention Mechanism

- In Multi-Head Attention, we split the embedding dimension  $d_{model}$  into  $h$  heads.
- After computing attention for each head independently, how are the results combined back into a single vector? (Describe the Concatenation and Linear  $W^O$  operation).

#### 10. Feed-Forward Networks in Transformers

- The Feed-Forward Network (FFN) in a Transformer layer typically expands the dimension (e.g., from  $d_{model} = 512$  to  $d_{ff} = 2048$ ) and then projects it back.
- What activation function is typically used between these two linear layers (e.g., in the original paper or BERT)?

#### 11. Layer Normalization

- Transformers use **Layer Normalization** rather than Batch Normalization.
- Explain the difference between the two. Why is Layer Norm generally preferred for variable-length sequence data in NLP?



## Topic: Graph Neural Networks (T7)

### 12. Types of Graph Tasks

- Provide one concrete example for each of the following learning tasks:
  - **Node Classification**
  - **Link Prediction**
  - **Graph Classification**

### 13. Adjacency Matrix & Self-Loops

- In the GCN update rule, we use  $\tilde{A} = A + I$ .
- If we strictly used  $A$  (without adding the Identity matrix  $I$ ), what would happen to the node's *own* features  $h_u^{(l)}$  during the update step?

### 14. Oversmoothing

- What is the **Oversmoothing** problem in Deep GCNs?
- What happens to the node representations across the graph if we stack too many GCN layers?

## Topic: Reinforcement Learning (T8)

### 15. On-Policy vs. Off-Policy

- Q-Learning is described as an **Off-Policy** algorithm, while SARSA is **On-Policy**.
- What does “Off-Policy” mean?
- Contrast the update equation of Q-Learning (using  $\max_{a'} Q(s', a')$ ) with SARSA (using  $Q(s', a')$  where  $a'$  is the actual action taken).

### 16. The Markov Property

- Define the **Markov Property** in the context of MDPs.
- Why is it essential for the state representation  $S_t$  to effectively capture the history of the environment?

### 17. Reward Hypothesis

- Explain the **Reward Hypothesis**. Complete the statement: “All goals can be described by the maximization of...”

## Topic: SOTA & Trends (T9)

### 18. Zero-Shot vs. Few-Shot Prompting

- In the context of Large Language Models:
  - What is **Zero-Shot** prompting?
  - What is **Few-Shot** prompting (In-Context Learning)?
  - Does Few-Shot prompting update the model's weights?

### 19. Audio Models (Whisper/Wav2Vec)

- Briefly explain the core objective of **Wav2Vec 2.0**.
- How does it learn representations from raw audio without labeled text (Self-Supervised Learning)?

### 20. CLIP's Training Data

- CLIP is trained on (Image, Text) pairs using a **Contrastive** loss.
- Unlike traditional supervised learning (Image, Label), why does this approach allow CLIP to recognize categories or objects it never explicitly saw as “classes” during training?