

# Trust in Human and Autonomous Agent Interaction

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**Abstract** What thesis is about and contributions

**Key words:** rapport, Human Robot Interaction (HRI)

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## 1 Introduction

Trust has been described in Psychology as being one of the most important components of interpersonal relationships [1]. It is undeniable the need of Trust to promote cooperation and collaboration between two parties, either in deciding who should one collaborate with, or even on what we should the other party with. Since the start of automated machinery, one of the main issues was how to properly manage trust on machines, in order to avoid over or under reliance [2].

As Artificial Intelligence (AI) Research gravitates towards the development of Intelligent Agent Systems [3], out of which a focal concern is the performance of collaborative tasks [4–6], as well as addressing the problems of interaction between humans and agents [7], one would consider that Trust should be one of the main focus on Human Agent Interaction (HAI). While the amount of literature has been increasing, we found surprising that not enough work has been done in HAI focusing on Trust, other than on design issues [8] and the sub-field of HRI [9, 10], specially when so much has been done regarding Trust in Automation [2, 11, 12] and in modelling Trust and Reputation in Multi-Agent System (MAS) [13].

Reeves and Nass have shown that people apply social rules to Human Computer Interaction (HCI), and this can logically be extended to HAI [14]. So as autonomous agents evolve to better perform collaborative tasks with humans, which demands some amount of social interaction, the active agent must seek out to improve the trust relationship it has with the user [15]. To that goal, we propose to develop two self-contained agent modules, firstly, capable of creating a cognitive model representing the mental state of the user’s trust in the agent, and secondly, provide input on what actions should be used to improve or worsen trust on the agent. We will ascertain this project’s objectives by integrating the module in an agent implementation, currently finishing development, that is capable of acting as one of the players in the *Split or Steal* scenario, introduced in the British game show *Golden Balls* [16], the scenario is further described in Section 5.

We hope that this project will allow, not only to ensure proper reliance in the agent’s task [2], but to also provide additional insight on how certain actions affect human trust on agents differently from one another.

### 1.1 Goals

In sum, this project’s purpose will be to:

- Create a cognitive trust model capable of representing human trust towards the agent;
- Develop a decision making module that aims to rank actions as positively or negatively affecting trust in the agent;
- Study what factors most influence trust in certain interactive actions.

In Section 2 we will present a brief summary of main concepts used in this project. Section 3 will discuss the trust models already developed in the field of

MAS. Then in Section 4 a description of our solution architecture will be presented. Finally in Section 5 we will describe how we will evaluate the objectives.

## 2 Background

Before discussing related work and our solution to the problem, we will present the main concepts that will be mentioned in the rest of this report, specifically regarding Trust, Reputation and Game Theory.

### 2.1 Trust

Trust is regarded throughout the literature as one of the fundamental components of human society, being essential in cooperative and collaborative behaviour. So it has been studied in a multitude of disciplines, from Psychology and Sociology, to Philosophy and Economy [12, 17, 18]. For that reason, it is no wonder that it acquired a very large number of different definitions throughout the years of study, causing the problem of not existing a consensus on a definition of trust [19]. But in the scope of this project, the most relevant start for our discussion is the dyadic definition of trust, where it is defined as: 'an orientation of an actor (the **truster**) toward a specific person (the **trustee**) with whom the actor is in some way interdependent' (taken from [1]), as we want to focus on interpersonal relationships. This definition has been expanded throughout the literature, often adapted to fit the context or scope of the work, but three main definitions are highlighted:

- First, Gambetta [20] defined trust as follows: 'Trust is the *subjective probability* by which an individual A, *expects* that another individual, B, performs a given action on which its *welfare depends*' (taken from [19]). This is accepted by most authors as one of the most classical definitions of trust, but we agree with [19], in that it is restrictive it's uni-dimensionality, as it only refers to predictability of the trustor, and does not take into account competence in executing the given action.
- Marsh [21] was the first author to formalize trust as a measurable Computational Concept, continuing the perspective of reducing trust to a numerical value, set by Gambetta [20], but also adding that: X trusts Y if, and only if, 'X *expects* that Y will behave according to X's best interest, and will not attempt to harm X' (taken from [19]). In this definition our opinions also match with [19], regarding that it does not represent other parts of trust, such as the notion that trustor must ascertain some risk from delegating the action to the trustee.
- Castelfranchi and Falcone then defined a new definition and paradigm for Computational Trust, introducing a Cognitive aspect to it [22]. They define Trust as the mental state of the trustor and the action in which the trustor refers upon the trustee to perform. This is the definition of trust that we

will adopt throughout the rest of the report, as it represents a vision of trust that takes into account the trustor set of beliefs and intentions, approaching it to an agent’s cognitive model, while also linking trust to the action being performed, as one might trust another for certain types of actions and not for others (e.g. I may trust my squire to polish my sword, but not to swing it).

**2.1.1 Castelfranchi and Falcone’s Trust** More explicitly, Castelfranchi and Falcone [22] state that Trust is a conjunction of three concepts:

- A *mental attitude* or (pre)disposition of the agent towards another agent; this is represented by beliefs about the trustees qualities and defects;
- A *decision* to rely upon another, and therefore making the trustor ‘vulnerable’ to the possible negative actions of the trustee;
- The *act* of trusting another agent and the following behaviour of counting on the trustee to perform according to plan.

By describing trust as a mental attitude it also implied that: ‘Only a cognitive agent can trust another agent; only an agent endowed with goals and beliefs’ [19].

From this definition we should also address one important component, **Delegation**, which consists in: ‘the delegating agent (X) needs or likes an action of the delegated agent (Y) and includes it in her own plan: X relies, counts on Y. X plans to achieve gX through Y. So, she is formulating in her mind not a single-agent but a multi-agent plan and Y has an allocated share in this plan: Y’s delegated task is either a state-goal or an action-goal’ as stated in [22].

## 2.2 Reputation

Reputation is also a concept that appears very often linked with Trust in the literature, specially since recent models created for representing trust have been focused on MASs (see [23–27]), where more recent Trust models have been developed to also include reputation as a source of Trust, where the agent is not influenced only by the image one has of the subject, but also by what other agents say about it.

For the purpose of this report, reputation of an agent is defined as the combined trust opinion that the other agents that have manifested or provided about a particular subject. This reputation can and should be different from the individual image that various agents may have about the subject.

## 2.3 Game Theory

Game Theory is the field of study that defines and analyses situations involving conflict or cooperation between multiple intelligent decision makers. These situations are called a game, and they are distilled to their core argument, by defining the limited and simple set of actions that the players may perform, and how do they affect the players. It then analyses the decision strategies for

each player, by assuming that both will try to maximise their payoff (how much the player gains) with their action. To better explain the concepts we want to present, we will introduce one of the most common exemplary models of Game Theory, the Prisoner’s Dilemma.

**2.3.1 Prisoner’s Dilemma** The Prisoner’s Dilemma is a two player game and is usually described as follows:

Two criminal partners are arrested and locked in separate cells with no way of communicating with each other. They are then questioned separately, where they are given 2 options, betray the other prisoner by testifying against him, or remain silent, with the following outcomes:

- If both prisoners betray each other, both get 2 years in prison;
- If one of them betrays and the other remains silent, the betrayer goes free and the other gets 3 years in prison;
- If both remain silent, both get just 1 year in prison;

We can represent betraying as *Defecting* (D), and staying silent as *Cooperating* (C), and name the players *player1* and *player2*. So the game’s possible outcomes can be represented by a payoff matrix, like the one in Table 1 where each entry represents a tuple of the form (*player1* payoff, *player2* payoff). As the goal is to not get years in prison, the payoffs correspond to *Max years in prison* – *years got in prison*.

	$C_2$	$D_2$
$C_1$	2,2	0,3
$D_1$	3,0	1,1

Table 1: Prisoner’s Dilemma Payoff Matrix

In the game we can say that *Defecting* **dominates** *Cooperating*, as for any action that the adversary player may choose, *Defecting* always gives a better payoff for the individual player [28].

### 3 Related Work

Computational Trust research has been focused on modelling trust in MASs, specially on open e-commerce environments [13, 29–32], with at least 106 models created [13], since the formalization of trust as a measurable property by Marsh in 1994 [21]. We will present some trust models from which we will take inspiration while creating our own, and some work done in measuring trust in HRI.

### 3.1 Trust Models

For related work concerning Trust Models we will focus on **Cognitive** Trust Models, first introduced by Castelfranchi and Falcone [22], as we want to focus on modelling trust through multiple dimensions, with the intent of having trust depend on the action to perform, context and agent performing the task and having these dimensions represented explicitly in the model, something that it is not possible with **Numerical**, like the one introduced by [21].

**3.1.1 Castelfranchi and Falcone** Having developed the concept of Cognitive Trust Models, this author's model is generally regarded as a classical basis for most other authors, and while we will not use the entirety of this model, it is worth describing, as it was also a source of inspiration to other authors referenced in this report. The model is characterised around their definition referred in Section 2.1.1, through a central core, composed by a five-part relation, between:

- the trustor (**X**);
- the trustee (**Y**);
- the context where they are inserted in (**C**);
- a task ( **$\tau$** ) defined by the pair  $(\alpha, \rho)$ , where  $\alpha$  is the action entrusted to the trustee, that possibly produces an outcome  $\rho$ , contained in the goal of X ( $g_x$ );
- the goal of the trustor ( $g_x$ ).

More shortly represented by equation 1.

$$TRUST(X\ Y\ C\ \tau\ g_x) \quad (1)$$

This defines Trust as goal-oriented, contextual, and multi-dimensional, as from the point of view of the trustor, it varies not only on the trustee, but also from the overall context, the action that is being delegated, and the particular goal of the trustor. For example, if the goal of the trustor is simple to perform and not very critical to him, he may be more willing to delegate the task, and trust another agent to perform such task. Adjustments can be attached to this core adjusting better to the context in which it may be used. For instance, one may add an authoritative third party element to the relation in supervised security applications.

The model also conceptualizes **Expectation** as a belief of when agent X awaits for  $\rho$  to happen when an action  $\alpha$  trusted to Y is being performed, formalized in first order logic in equation 2.

$$(Expectation\ X\ \rho) \implies (Bel_x^{t'}(will-be-true^{t''}\ \rho)) \wedge (Goal_x^{Period(t', t'')}) \quad (2)$$

$$(KnowWhether_X(\rho\ OR\ Not\ \rho)^{t''})$$

This can be used to establish what expectations the user should have in the agent, whether initial or constructed during interaction, and provide an additional measure to weight the importance of certain agent functions and actions.

As stated in the definition (Section 2.1.1) the mental attitude of the trustor X is defined by beliefs of the qualities (and faults) of Y. Therefore we can quantify the strength of our belief in a certain quality through it's **Degree of Credibility (DoC)**, which is defined by a function **F** that takes all different belief sources for this quality, as shown in equation 3, where for a source  $sj$ ,  $Str_j$  represents the value of the source and  $Qual-i_{sjY}(\tau)$  the value of quality  $i$  of agent Y provided by the source in performing task  $\tau$ .

$$DoC_X(Qual-i_{(s1,...,sn),Y}(\tau)) = F_{X,Y,\tau}(Bel_X(Str_1 Qual-i_{s1Y}(\tau)), \dots, Bel_X(Str_n Qual-i_{snY}(\tau))) \quad (3)$$

$F_{X,Y,\tau}$  associates the *strength-of-sources* ( $Str_j$ ) and *quality-values* ( $Qual-i_{sjY}(\tau)$ ) with a probability curve. It should return a matrix with two columns, with an amount of rows corresponding to the number of quality values selected out of the received as input (since not all values must or should be used, and some may be integrated into a single value), and the first column should contain these values associated with their normalized probabilities in the second column (the probabilities sum should be 1).

For example, consider that we want agent X's DoC regarding Y's ability to clean:

- We have two sources about Y's ability to clean:
  1. X saw Y once clean quite well, but long ago, so we could attribute  $Ability_{s1Y}(cleaning) = 0.8$  and  $Str_1 = 0.2$ ;
  2. someone X considers reliable informs that Y performed poorly recently, so we attribute  $Ability_{s2Y}(cleaning) = 0.2s$  and  $Str_2 = 0.6$ ;
- So a possible result of  $DoC_X(Ability_Y(cleaning))$  is:

$$\begin{pmatrix} 0.8 & 0.25 \\ 0.2 & 0.75 \end{pmatrix}$$

**Degree of Trust (DoT)** quantifies the Trust level agent X has in Y to perform task  $\tau$  according to the formula depicted in 4.

$$\begin{aligned} DoT_{XY\tau} = & c_{Opp} DoC_x[Opp_y(\alpha, \rho)] \times \\ & \times c_{Ability_y} DoC_x[Ability_y(\alpha)] \times \\ & \times c_{WillDo} DoC_x[WillDo_y(\alpha, \rho)] \end{aligned} \quad (4)$$

Where:

- $DoC_x[Opp_y(\alpha, \rho)]$  is the DoC of X' beliefs about all contextual factors in which Y will act; in other words, the degree of Opportunity Y has to do  $\alpha$  and result in  $\rho$ ;
- $DoC_x[Ability_y(\alpha)]$  is the DoC of X' beliefs about Y's ability to perform  $\alpha$ ;
- $DoC_x[WillDo_y(\alpha, \rho)]$  is the DoC of X' beliefs concerning if Y's actually is going to perform  $\alpha$  with the result  $\rho$ ;
- $c_{Opp}$ ,  $c_{Ability_y}$  and  $c_{WillDo}$  are constants representing the weight of each DoC.



### 3.1.2 Repage

### 3.1.3 BDI + Repage

## 3.2 The Perception and Measurement of Human-Robot Trust

Schaefer [33] presents a trust perception scale, that provides a way of extracting an accurate trust score from humans interacting with robots. The scale is composed of 40 items that can be ranked from 0 to 100, in 10 point intervals. The final result is then averaged by adding all the item values and divided by the total number of items (40).

While this work has been done specifically for HRI we believe that a sub-set of this items can be used for the features used in the cognitive model of the user's trust, further described in Section

## 3.3 Discussion

Based on everything and what everyone is doing or done, these are the problems, these are the advantageous and disadvantageous of the approach.

## 4 Proposed Solution

In this Section we will address our current planning for our solution, and will start with Trust Assessment Module, in Section 4.1, go on to the Trust Decision Making Module, in Section and finally talk about how they connect together and to the rest of an agent architecture.

- Architecture/Model: does not need to be very detailed but enough to understand what it is trying to be done. Tools being used, etc
- Evaluation: How the work will be evaluated.
- Planning (GANTT maybe)

Approach - Makes sense because you talked previously

As [paper do iterative perceptual learning], using hand crafted rules [7, 12] despite being intuitive use very shallow features and the development of these rules is not trivial. E.g.

### 4.1 Trust Assessment Module

In this module we aim to create a trust representation of the user. The model must be able to represent the user's beliefs while also provide a ranking on how trustworthy is the agent. To do this, we will base the model on the work made by Pinyol [27], described in Section ??.

## 4.2 Trust Decision Making Module

## 4.3 Linking all together

# 5 Evaluation

The modules will be evaluated by integrating them with an agent capable of acting on a specific conflict or collaboration scenario, and then compare trust measurements according to the evaluation steps described below. While we just describe one scenario in this report we hope to be able to perform the evaluation steps in other scenarios as well.

## 5.1 Evaluation Steps

Evaluation will be performed through individual user testing of the agent, and we will try to gather at least 30 participants to ensure statistical viability. The testers will then be separated into two equally distributed groups, which we will designate by *Group A* and *Group B*. *Group A* will be the control group. The following steps will be performed for each user:

1. Perform a series of runs in a game-like scenario with an individual user and the agent as players; in *Group A* the agent will play **without** our modules and in *Group B* with them.
2. After interaction with the agent, the user will fill out a questionnaire to assert the value of Trust has in the Agent, in a range from 0 to 100; the questionnaire to be used will be the described in Section 3.2; whether we will use the complete version or the one described in is still to be decided.

We will then compare the averaged Trust value of both groups, and if the value of *Group B* is greater by a significant margin, it will provides positive feedback to the decision making module. Additionally we will check how closely did the questionnaire answers matched with the model created in the agent by the user trust module , providing a measure of accuracy of the model created.

## 5.2 *Split or Steal* scenario

As stated in Section 1, the project's evaluation will be done by integrating the developed modules in a agent, now finishing development, that is capable of acting as a player in the *Split or Steal* scenario, introduced in the British television show *Golden Balls* [16]. The scenario involves two players and stands as follows:

1. A large sum of money is prized for the game;
2. Each player receives two balls, one has 'Steal' written inside while the other has 'Split';
3. The balls can be stealthily open by the players, so only each player knows is written in what ball;

4. The players then have some time to discuss and negotiate between one another;
5. Finally the players choose one of their balls and show their content simultaneously and the game finalizes, giving one of the following results:
  - If both players choose ‘Split’, they split the prize money evenly;
  - If one picks ‘Steal’ and the other ‘Split’, the stealer gets all the prize money;
  - If both pick ‘Steal’, they both lose all the prize money.

From a game theory standpoint, this scenario is a variation on *Prisoner’s Dilemma*, described in Section 2.3.1, with a payoff matrix shown in Table 2. But in this game, *Defecting* only weakly dominates *Cooperating*, because if an opposing player picks ‘Steal’ we get nothing whether we pick ‘Steal’ or ‘Split’, so ‘Steal’ only dominates if the opposing player picks ‘Split’ [34]. In the regular *Prisoner’s Dilemma* scenario, there’s a sense of fear that pushes the players to *Defect*, as *Cooperating* will always present a worse personal result, regardless of the action chosen by the opponent. In this ‘weaker’ scenario, that is removed, as ‘Defecting’ will not always yield a better result.

	$C_2$	$D_2$
$C_1$	1,1	0,2
$D_1$	2,0	0,0

Table 2: Split or Steal Payoff Matrix

The most interesting step for evaluation is Step 4 of the scenario, the negotiation phase, where is most probable for the agent and user to perform some spoken interaction.

## 6 Conclusion

The conclusion goes here. This is more of the conclusion.

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## Appendices

### A The Perception and Measurement of Human-Robot Trust: Items Table

Items	Perceived as relevant
Act consistently	
Protect people	
Act as part of the team	
Function successfully	
Malfunction	
Clearly communicate	
Require frequent maintenance	
Openly communicate	
Have errors	
Perform a task better than a novice human user	
Know the difference between friend and foe	
Provide Feedback	
Possess adequate decision- making capability	
Warn people of potential risks in the environment	
Meet the needs of the mission	
Provide appropriate information	
Communicate with people	
Work best with a team	
Keep classified information secure	
Perform exactly as instructed	
Make sensible decisions	
Work in close proximity with people	
Tell the truth	
Perform many functions at one time	
Follow directions	
Considered part of the team	
Responsible	
Supportive	
Incompetent	
Dependable	
Friendly	

Items	Perceived as relevant
Reliable	
Pleasant	
Unresponsive	
Autonomous	
Predictable	
Conscious	
Lifelike	
A good teammate	
Led astray by unexpected changes in the environment	

Table 3: The Perception and Measurement of Human-Robot Trust: Items Table

## B Landscape Appendice

landscape page