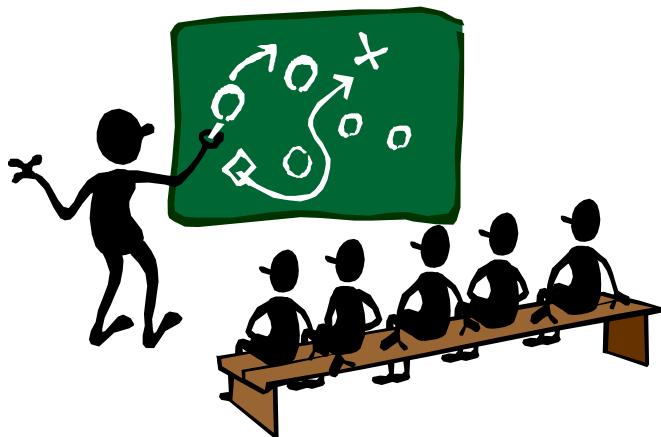


# Data Structure

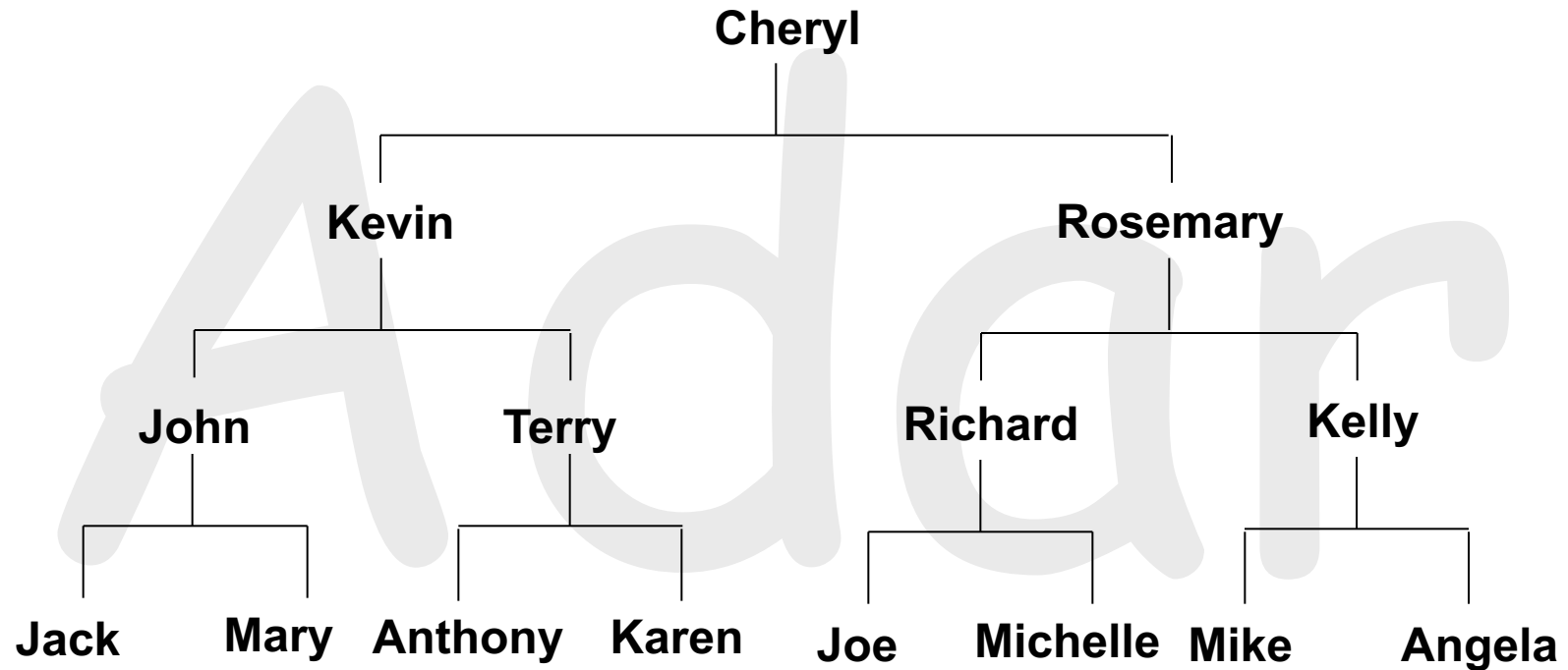
## Chapter 5 Trees



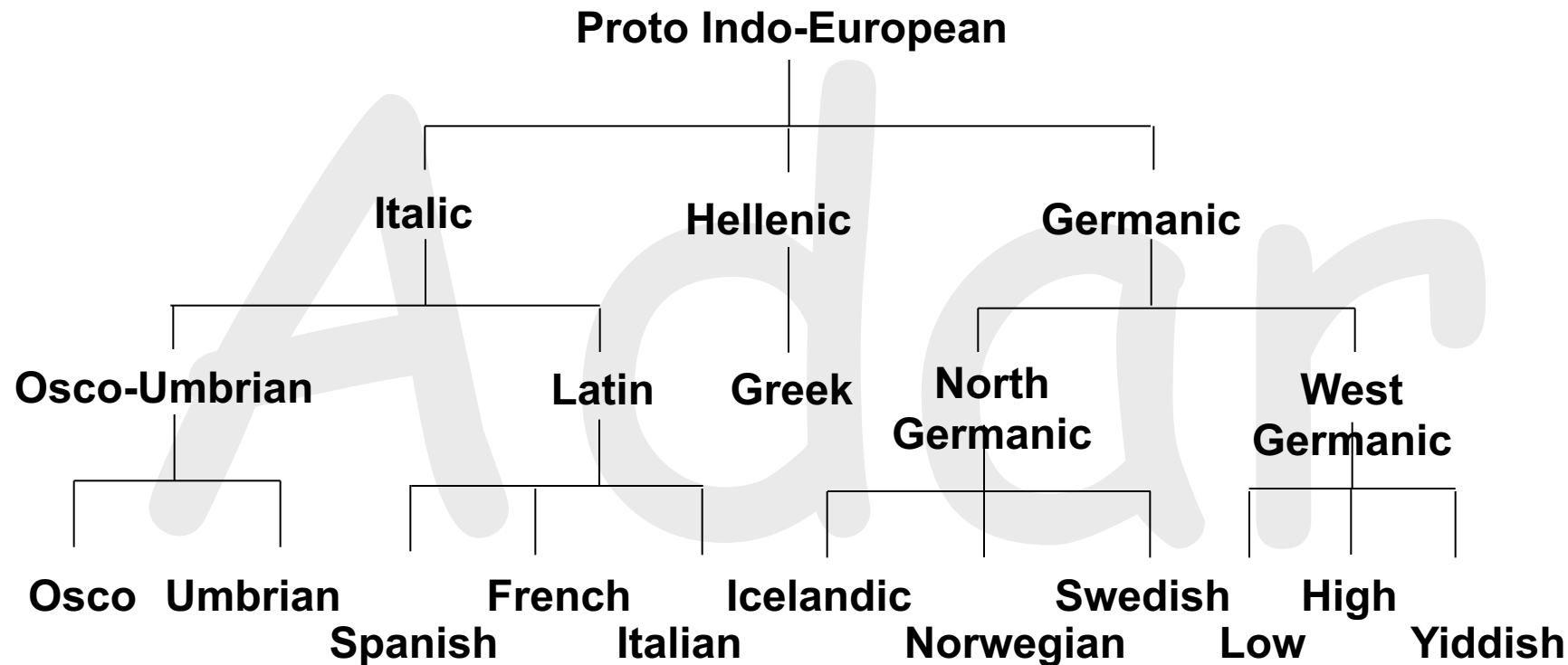
***Prof. Mark Po-Hung Lin***  
***Institute of Intelligent Systems, AI College***  
***Institute of Electronics, ECE College***  
***National Yang Ming Chiao Tung University***

***Most of the lecture slides adapted from Prof. Juinn-Dar Huang***

# Tree Example - Pedigree



# Tree Example - Lineal



# Definition (1/3)

- A tree is a finite set of one or more nodes s.t.
  - there is a **root** node (one and only one)
  - the remaining nodes are partitioned into  $n \geq 0$  **disjoint trees**  $T_1, \dots, T_n$ .
    - subtrees cannot share nodes
  - $T_1, \dots, T_n$  are **subtrees** of the root
- This is a **recursive** definition

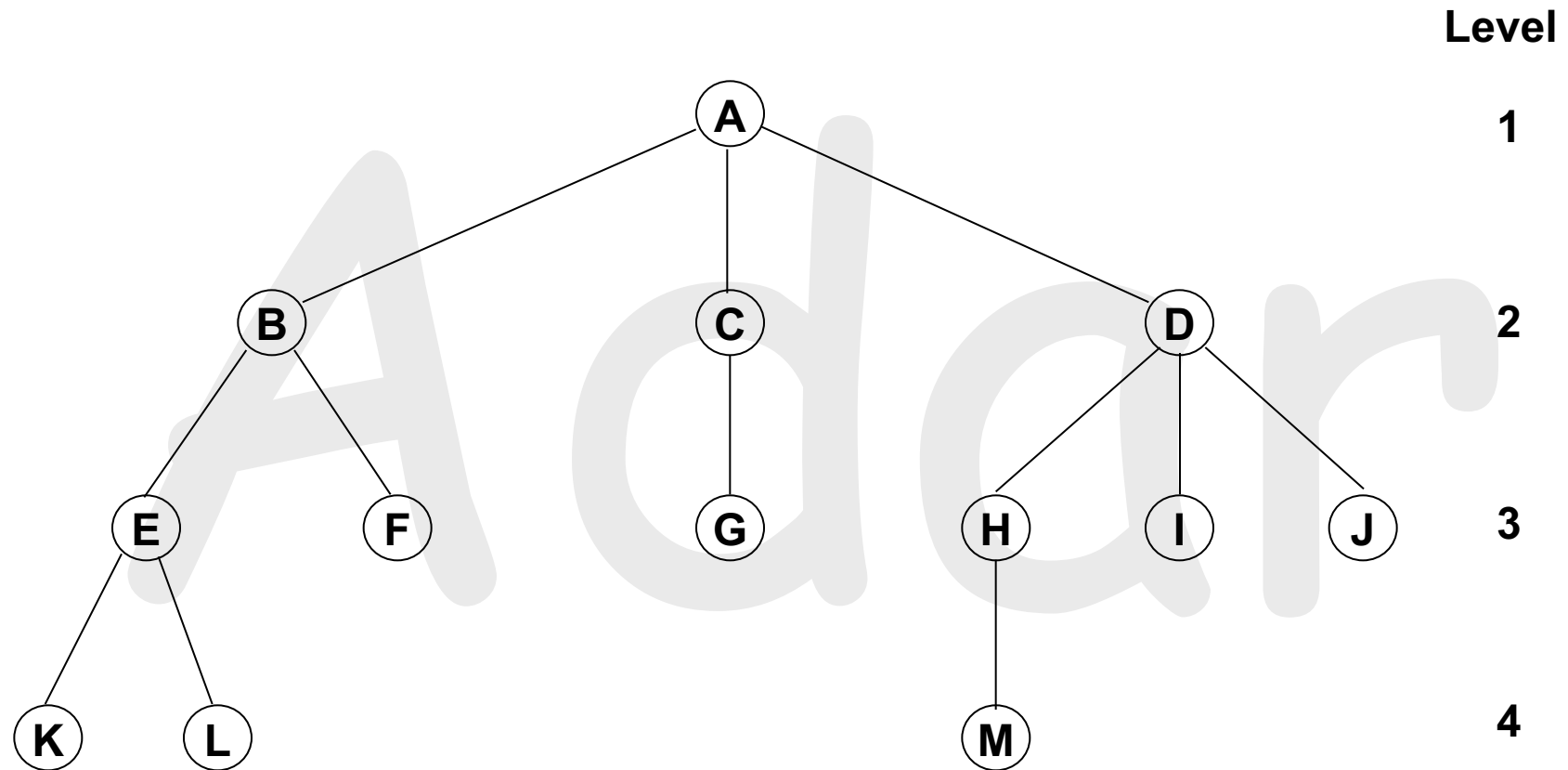
## Definition (2/3)

- Number of subtrees of a node → degree
- Nodes with degree 0 → leaf or terminal nodes
- Nodes are not leaf nodes → nonterminal nodes
- The roots of the subtrees of X are the children of X,
- And X is the parent of its children
- Children of the same parent are siblings

# Definition (3/3)

- The max degree of the nodes in the tree → **degree** of the tree
- All the nodes along the path from the root to a specific node → **ancestors** of that node
- The **level** of the root node is **1**
  - if a node is at level  $n$ , its children are at level  $n+1$
- The max level of the nodes in the tree → **height** or **depth** of the tree

# Illustrated Example



# Tree Representation – K-ary Node

- For a tree of degree  $k$ , the node structure can be

|      |         |         |         |         |     |           |
|------|---------|---------|---------|---------|-----|-----------|
| Data | Child 1 | Child 2 | Child 3 | Child 4 | ... | Child $k$ |
|------|---------|---------|---------|---------|-----|-----------|

- However, if  $T$  is a  $K$ -ary tree with  $n$  nodes, then  $n(k-1)+1$  of the  $nk$  child fields are 0,  $n \geq 1$

**Proof:**

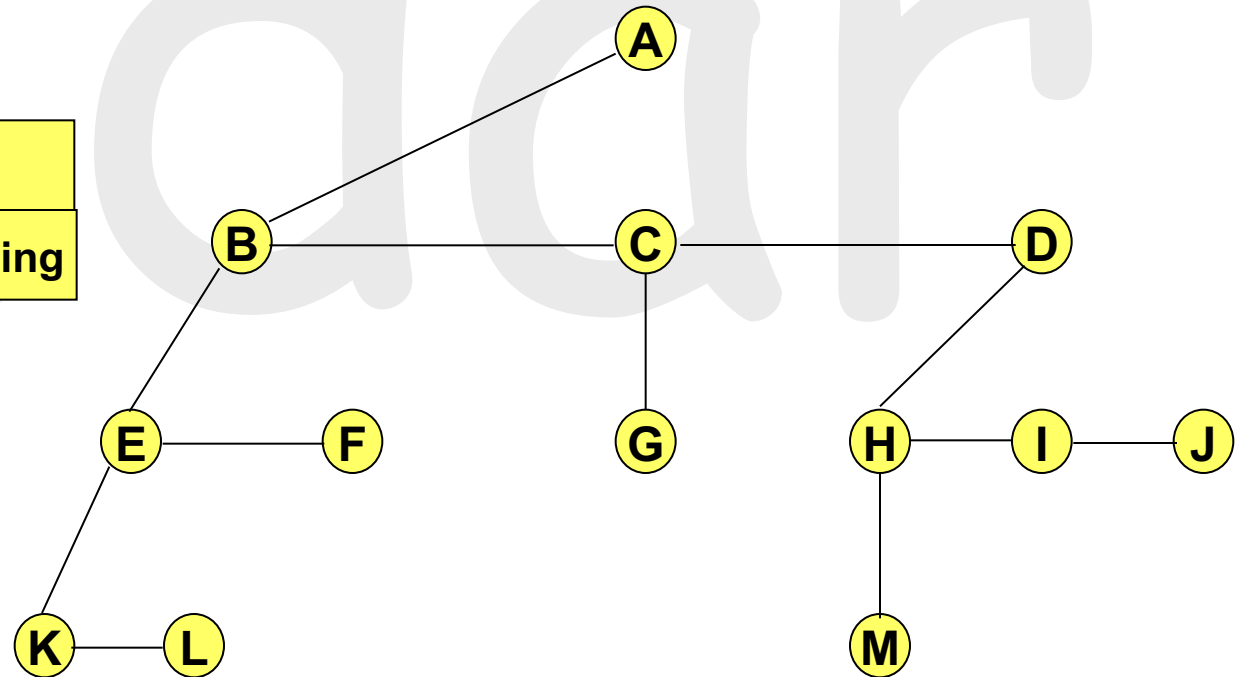
- each node has  $k$  child fields  $\rightarrow n*k$  fields in total
  - only  $n - 1$  nodes are pointed (except the root)  $\rightarrow (n - 1)$  fields actually in use
  - Hence,  $nk - (n - 1) = n(k - 1) + 1$  fields are 0, i.e., null pointers, not in use
- What if  $K = 1$ ?  $K = 2$ ?
  - What if  $K$  is a large number?
    - significant memory waste



# Left Child – Right Sibling

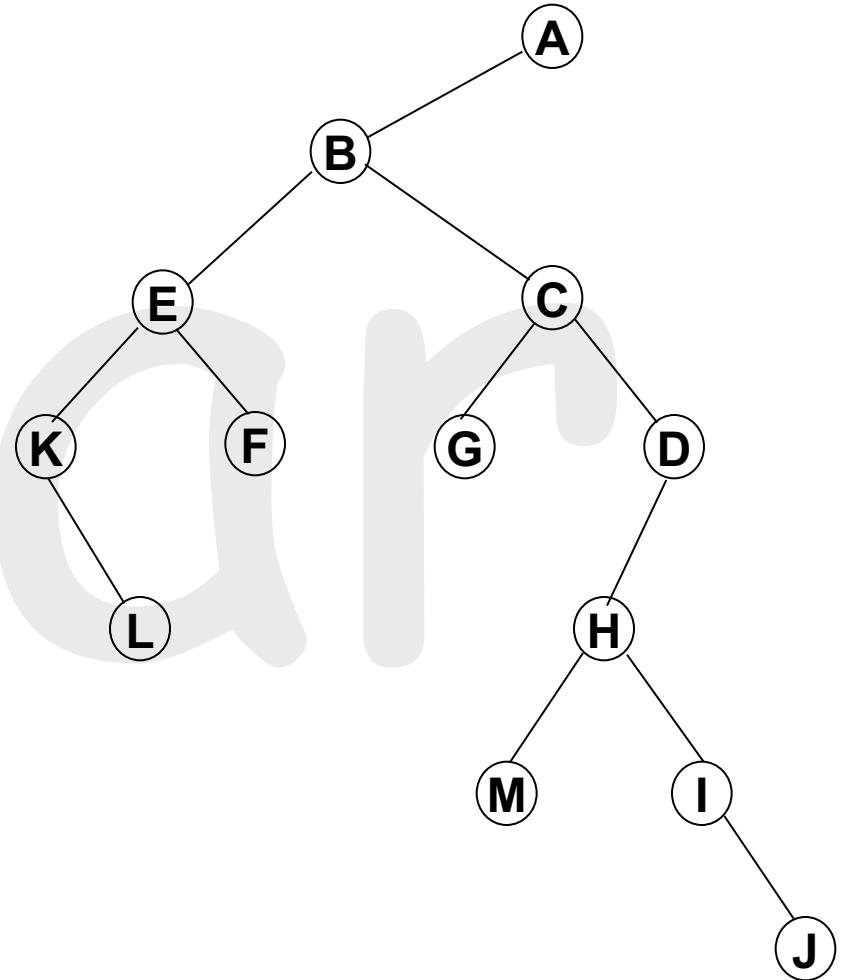
- Left child – right sibling representation
  - each node has 2 pointer fields only
  - “left child” points to its leftmost child if any
  - “right sibling” points to its closet right sibling if any

| data       |               |
|------------|---------------|
| left child | right sibling |



# Degree-Two Tree

- Rotate the right sibling  $45^\circ$  clockwise
- Now become left child – right child tree
  - also referred as *binary tree*
- Degree-two trees
  - why we try to represent an arbitrary tree in a degree-2 tree (or in a binary tree)?
  - hint: memory usage



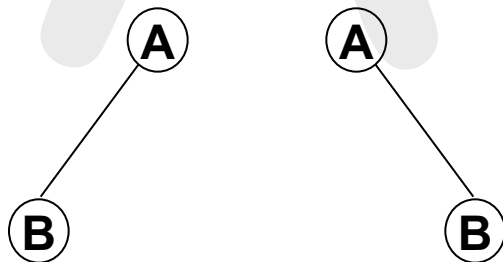
# Binary Tree

- A binary tree is
  - a finite set of nodes that is either **empty** or consists of a root and **two disjoint** binary trees called the **left subtree** and the **right subtree**
- Again, a recursive definition
- A node can have at most **2** branches (**degree  $\leq 2$** )
- left child and right child **must** be distinguished
- A binary tree can be **empty** (have 0 node)

# Tree vs. Binary Tree

## Differences

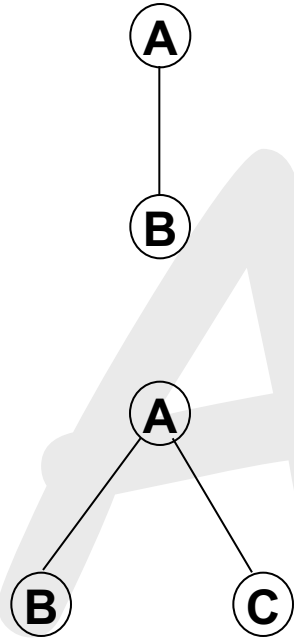
- No tree has 0 node while a binary tree can be empty
- We distinguish the order of its children in a binary tree while we don't in a tree



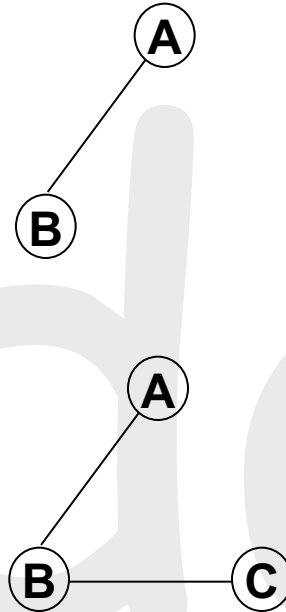
If Fig. 1 & 2 represent trees,  
→ they represent 2 **identical** trees

If Fig. 1 & 2 represent binary trees,  
→ they represent 2 **different** binary trees

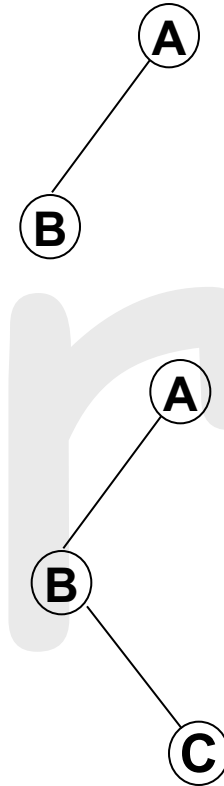
# Various Representations



**tree**

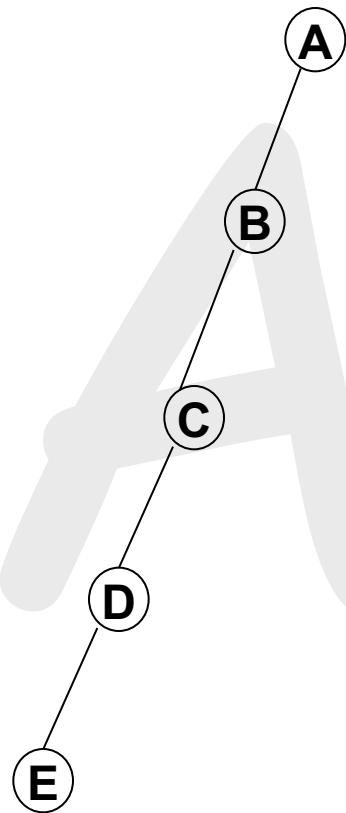


**left child - right sibling**

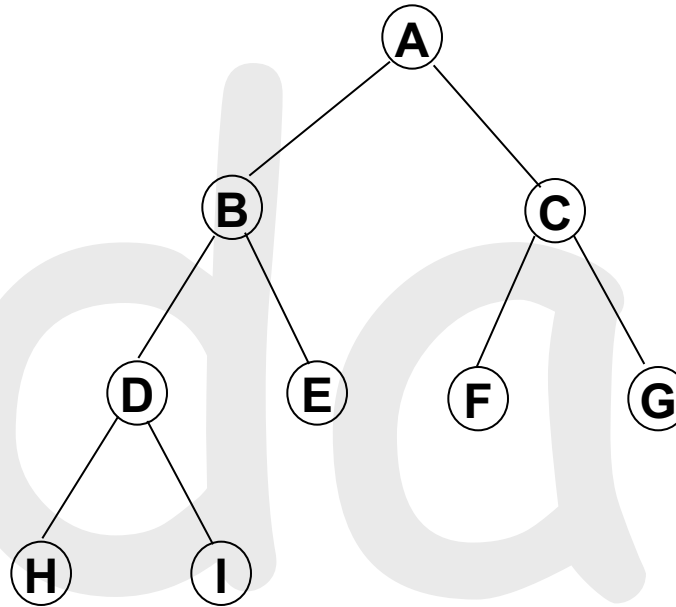


**binary tree**

# Skewed and Complete Binary Trees



**Skewed  
binary tree**



**Complete  
binary tree**

Level

1

2

3

4

5

Array Representation

Linked List Representation

# Properties of Binary Trees

- The max number of nodes at level  $n$  is  $2^{n-1}$ ,  $n \geq 1$
- The max number of nodes in a binary tree of depth  $k$  is  $2^k - 1$ ,  $k \geq 1$
- For a non-empty binary tree,
  - if  $n_0$  is the number of leaf nodes, and
  - $n_2$  is the number of nodes of degree 2
  - $\rightarrow n_0 = n_2 + 1$
  - Proof: Let  $n_1$  is the number of nodes of degree 1

**1. Number of node  $n = n_0 + n_1 + n_2$**

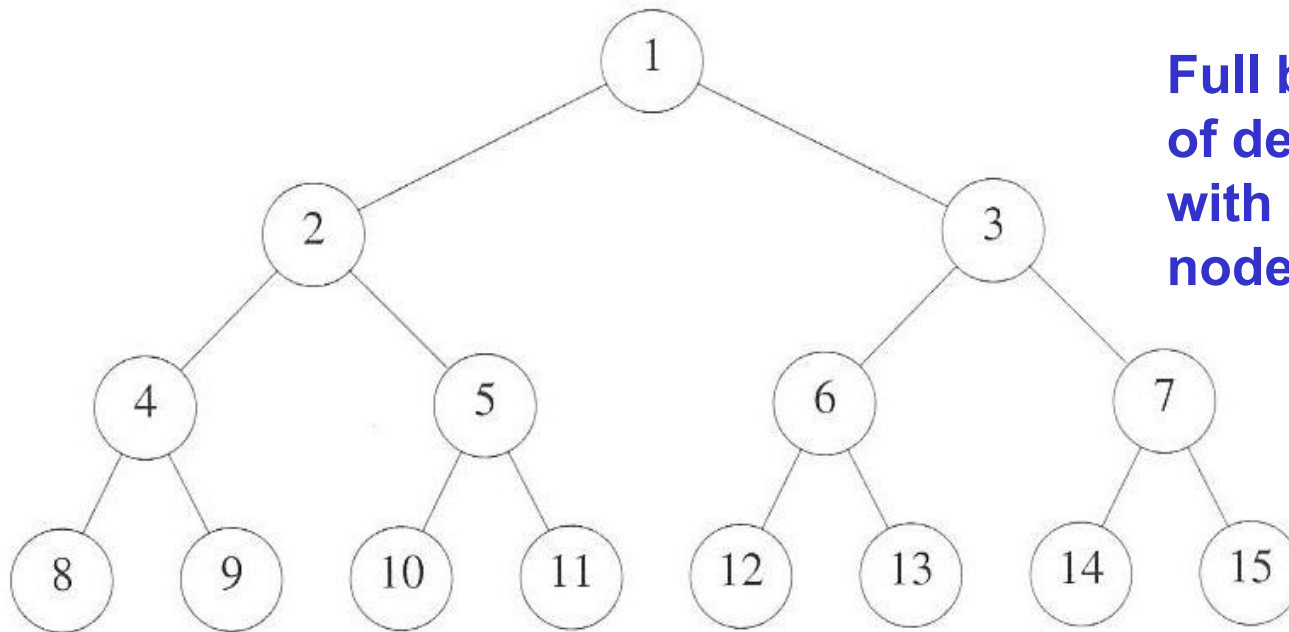
**2. Number of used branches  $B = n - 1$**

**3.  $B$  is also equal to  $2n_2 + n_1$**

**$n_0 + n_1 + n_2 - 1 = 2n_2 + n_1 \rightarrow n_0 = n_2 + 1$**

# Full Binary Tree

- A **full** binary tree of depth  $k$ 
  - it has  $2^k - 1$  nodes
    - max number of nodes a depth- $k$  binary tree can possibly have

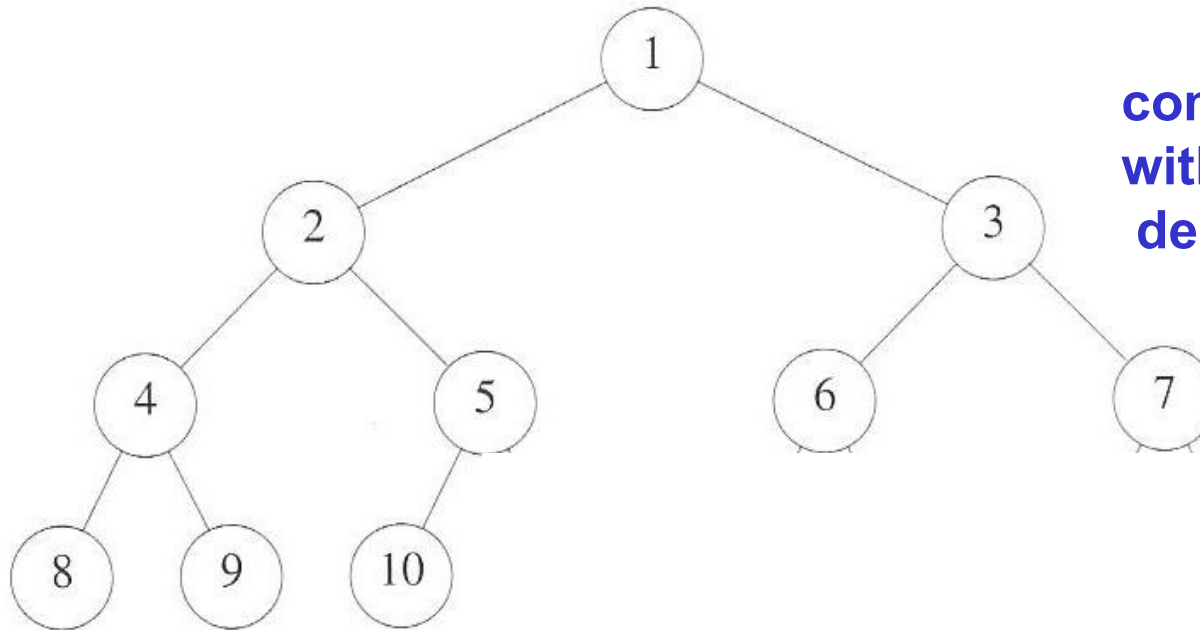


**Full binary tree  
of depth 4  
with sequential  
node numbers**



# Complete Binary Tree

- A binary tree with  $n$  nodes and depth  $k$  is **complete** iff its nodes correspond to the nodes numbered from 1 to  $n$  in the *full* binary tree of depth  $k$
- The depth of a complete binary tree with  $n$  nodes is  
–  $\lceil \log_2(n+1) \rceil$



**complete binary tree  
with 10 nodes and  
depth 4**

# Array Representation (1/2)

- Use an array to store nodes
  - nodes are **indexed** by their unique numbers
- Assume `array[1] ~ array[n]` are used
  - in C++, it means `array[0]` is not used intentionally
- Hence, for a node  $i$ 
  - $\text{parent}(i)$  is at  $\lfloor i / 2 \rfloor$ , if  $i \neq 1$ ; if  $i == 1 \rightarrow$  root has no parent
  - $\text{leftchild}(i)$  is at  $2*i$  if  $2i \leq n$ ; or  $i$  has no left child
  - $\text{rightchild}(i)$  is at  $2*i+1$  if  $2*i+1 \leq n$ ; or  $i$  has no right child

# Array Representation (2/2)

|      |   |
|------|---|
| [1]  | A |
| [2]  | B |
| [3]  | — |
| [4]  | C |
| [5]  | — |
| [6]  | — |
| [7]  | — |
| [8]  | D |
| [9]  | — |
| •    | • |
| •    | • |
| •    | • |
| [16] | E |

**Skewed tree**

|     |   |
|-----|---|
| [1] | A |
| [2] | B |
| [3] | C |
| [4] | D |
| [5] | E |
| [6] | F |
| [7] | G |
| [8] | H |
| [9] | I |

**Complete tree**

Corresponding Trees

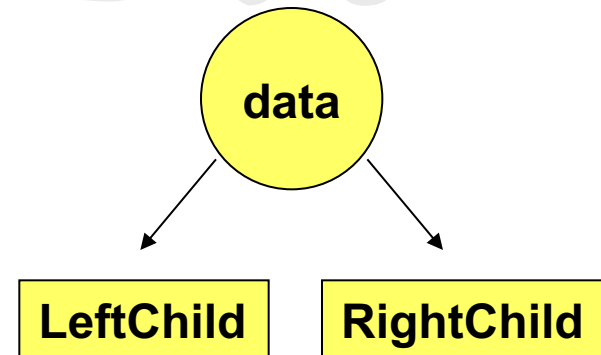
# Drawback of Array Representations

- Again, it's hard to dynamically re-size
- Inefficient memory usage
  - for a skewed binary tree of depth  $k$
  - needs an array of  $2^k - 1$  nodes to store just  $k$  nodes

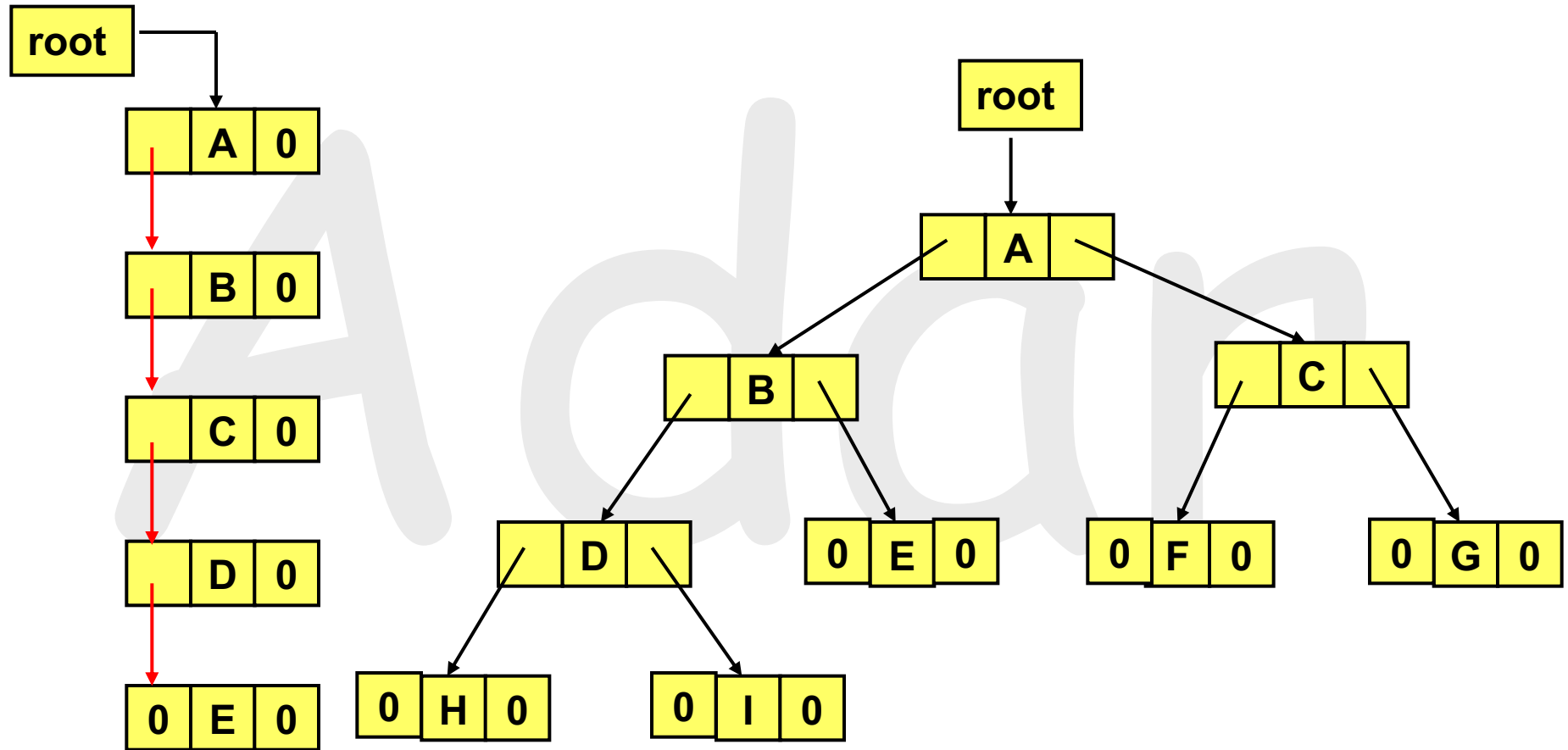
**We need other alternatives**

# Linked List Representation (1/2)

```
class Tree;  
  
class TreeNode {  
    friend class Tree;  
    char data;  
    TreeNode *LeftChild;  
    TreeNode *RightChild;  
};  
  
class Tree {  
    TreeNode *root;  
public:  
    // operations  
};
```



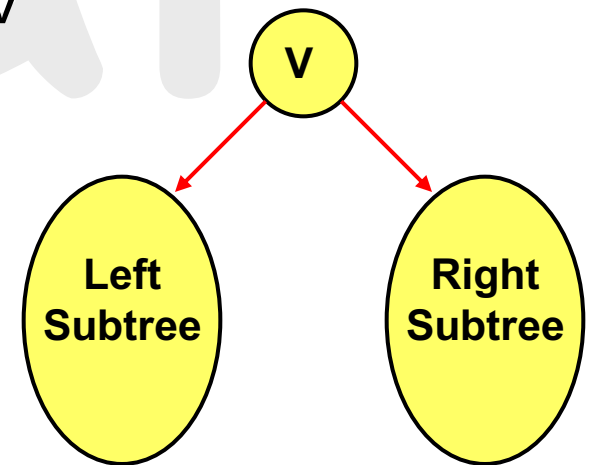
# Linked List Representation (2/2)



Corresponding Trees

# Binary Tree Traversal (1/2)

- Binary tree traversal
  - visit each node in the tree exactly **once**
- A full traversal produces a **linear** order for the nodes in a binary tree
- There are at least **6** systematic ways to traverse a binary tree
  - VLR, LVR, LRV, VRL, RVL, and RLV
  - sense the smell of recursion?



# Binary Tree Traversal (2/2)

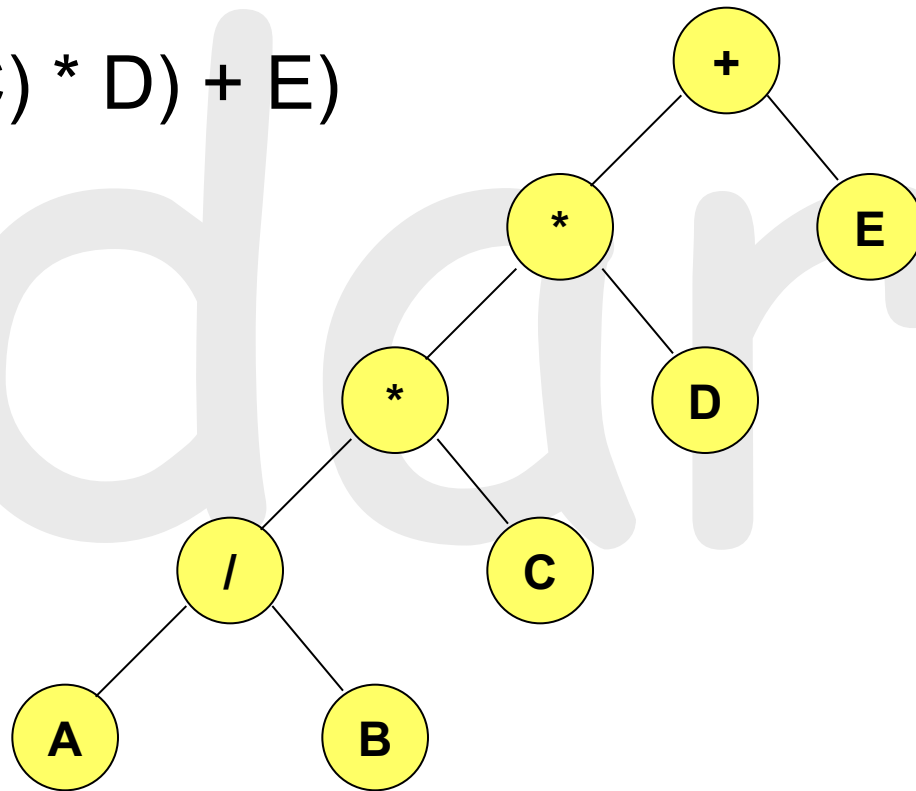
- Convention: always traverse left before right
  - 6 ways reduce to 3 → VLR, LVR, LRV
- VLR → preorder
- LVR → inorder
- LRV → postorder
- There is a natural correspondence between pre/in/post-order traversal and pre/in/post-fix forms of expressions
  - remember Chap 3?



# Arithmetic Expression

- Binary tree for an arithmetic expression

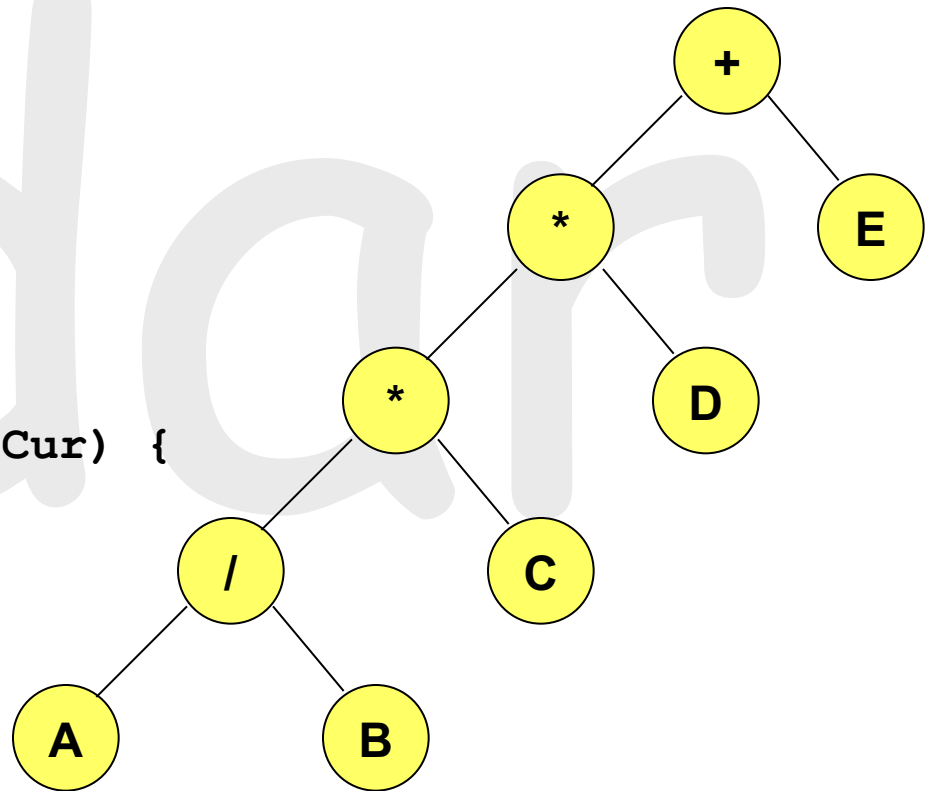
$$\text{Ans} = (((A/B) * C) * D) + E$$



# Inorder Traversal

- LVR fashion
- Infix expression  $\rightarrow A / B * C * D + E$

```
void Tree::inorder() {  
    inorder(root);  
}  
  
// function overloading  
void Tree::inorder(TreeNode *Cur) {  
    if(Cur) { // not NULL  
        inorder(Cur->LeftChild);  
        cout << Cur->data;  
        inorder(Cur->RightChild);  
    }  
} // Recursion
```



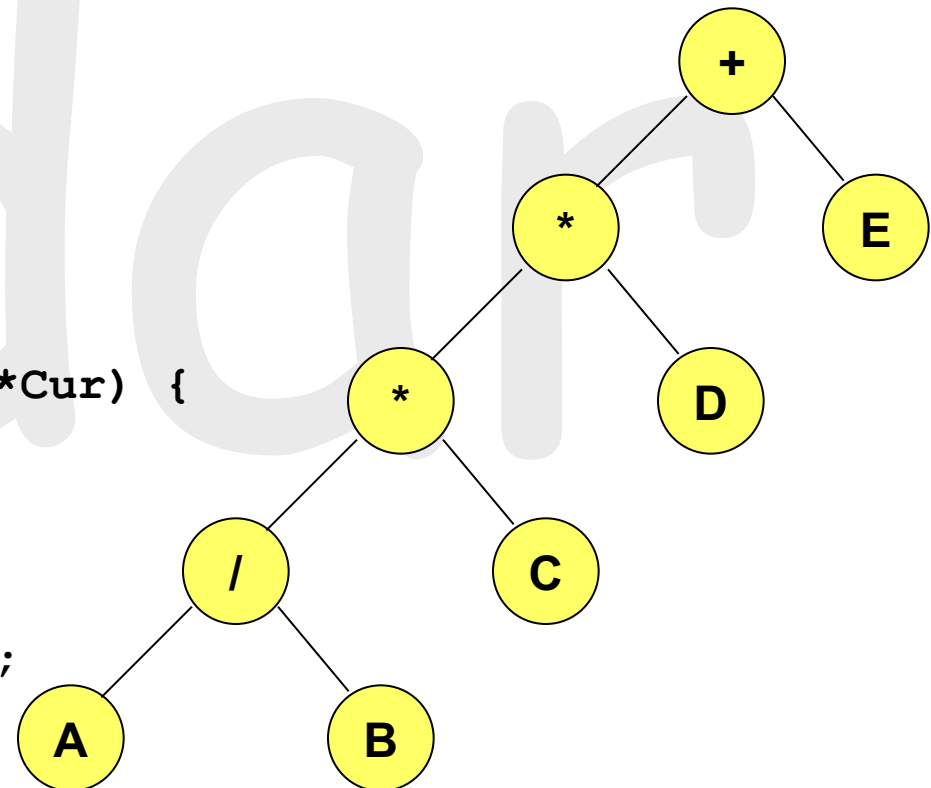
# Trace Example of Inorder Traversal

| Call of<br><i>inorder</i> | Value in<br><i>CurrentNode</i> | Action                   | Call of<br><i>inorder</i> | Value in<br><i>CurrentNode</i> | Action                   |
|---------------------------|--------------------------------|--------------------------|---------------------------|--------------------------------|--------------------------|
| Driver                    | +                              |                          | 10                        | C                              |                          |
| 1                         | *                              |                          | 11                        | 0                              |                          |
| 2                         | *                              |                          | 10                        | C                              | <b>cout &lt;&lt; 'C'</b> |
| 3                         | /                              |                          | 12                        | 0                              |                          |
| 4                         | A                              |                          | 1                         | *                              | <b>cout &lt;&lt; '*'</b> |
| 5                         | 0                              |                          | 13                        | D                              |                          |
| 4                         | A                              | <b>cout &lt;&lt; 'A'</b> | 14                        | 0                              |                          |
| 6                         | 0                              |                          | 13                        | D                              | <b>cout &lt;&lt; 'D'</b> |
| 3                         | /                              | <b>cout &lt;&lt; '/'</b> | 15                        | 0                              |                          |
| 7                         | B                              |                          | Driver                    | +                              | <b>cout &lt;&lt; '+'</b> |
| 8                         | 0                              |                          | 16                        | E                              |                          |
| 7                         | B                              | <b>cout &lt;&lt; 'B'</b> | 17                        | 0                              |                          |
| 9                         | 0                              |                          | 16                        | E                              | <b>cout &lt;&lt; 'E'</b> |
| 2                         | *                              | <b>cout &lt;&lt; '*'</b> | 18                        | 0                              |                          |

# Preorder Traversal

- VLR fashion
- prefix expression  $\rightarrow + * * / A B C D E$

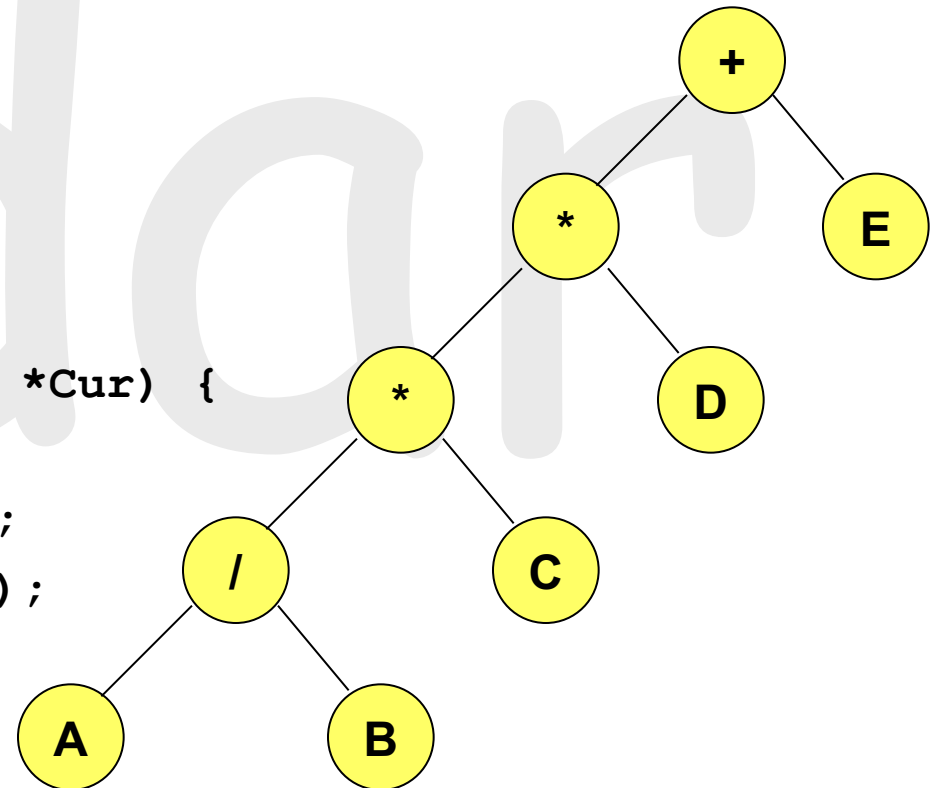
```
void Tree::preorder() {  
    preorder(root);  
}  
  
// function overloading  
void Tree::preorder(TreeNode *Cur) {  
    if(Cur) { // not NULL  
        cout << Cur->data;  
        preorder(Cur->LeftChild);  
        preorder(Cur->RightChild);  
    }  
} // Recursion
```



# Postorder Traversal

- LRV fashion
- postfix expression  $\rightarrow A B / C * D * E +$

```
void Tree::postorder() {  
    postorder(root);  
}  
  
// function overloading  
void Tree::postorder(TreeNode *Cur) {  
    if(Cur) { // not NULL  
        postorder(Cur->LeftChild);  
        postorder(Cur->RightChild);  
        cout << Cur->data;  
    }  
} // Recursion
```



# Non-Recursive Inorder Traversal

```
1 void Tree::NonrecInorder()
2 // nonrecursive inorder traversal using a stack
3 {
4     Stack<TreeNode*> s; // declare and initialize stack
5     TreeNode *CurrentNode = root;
6     while(1) {
7         while (CurrentNode) { // move down LeftChild fields
8             s.Add(CurrentNode); // add to stack
9             CurrentNode = CurrentNode →LeftChild;
10        }
11        if (! s.IsEmpty()) { // stack is not empty
12            CurrentNode = *s.Delete (CurrentNode); // delete from stack
13            cout << CurrentNode →data << endl;
14            CurrentNode = CurrentNode →RightChild;
15        }
16        else break;
17    }
18 }
```

**Time Complexity :  $O(n)$**   
**Space Complexity :  $O(n)$**

**How about non-recursive preorder ?**  
**How about non-recursive postorder ?**

# Inorder Iterator Class (1/2)

```
// Assumed to be a friend of class TreeNode and Tree
class InorderIterator {
    const Tree& t;
    Stack<TreeNode*> s;
    TreeNode *Cur;
public:
    char* Next();
    InorderIterator(const Tree& tree)
        : t(tree), Cur(tree.root) // s(DefaultSize)
    { }
};
```

# Inorder Iterator Class (2/2)

```
char* InorderIterator::Next() {  
    while(Cur) {  
        s.Add(Cur);  
        cur = cur->LeftChild;  
    }  
    if(! s.IsEmpty()) {  
        s.Delete(Cur);  
        char& tmp = Cur->data;  
        Cur = Cur->RightChild;  
        return &tmp;  
    }  
    else return 0; // no more elements  
}
```

**Actually, it's the inner loop of the non-recursive inorder traversal**

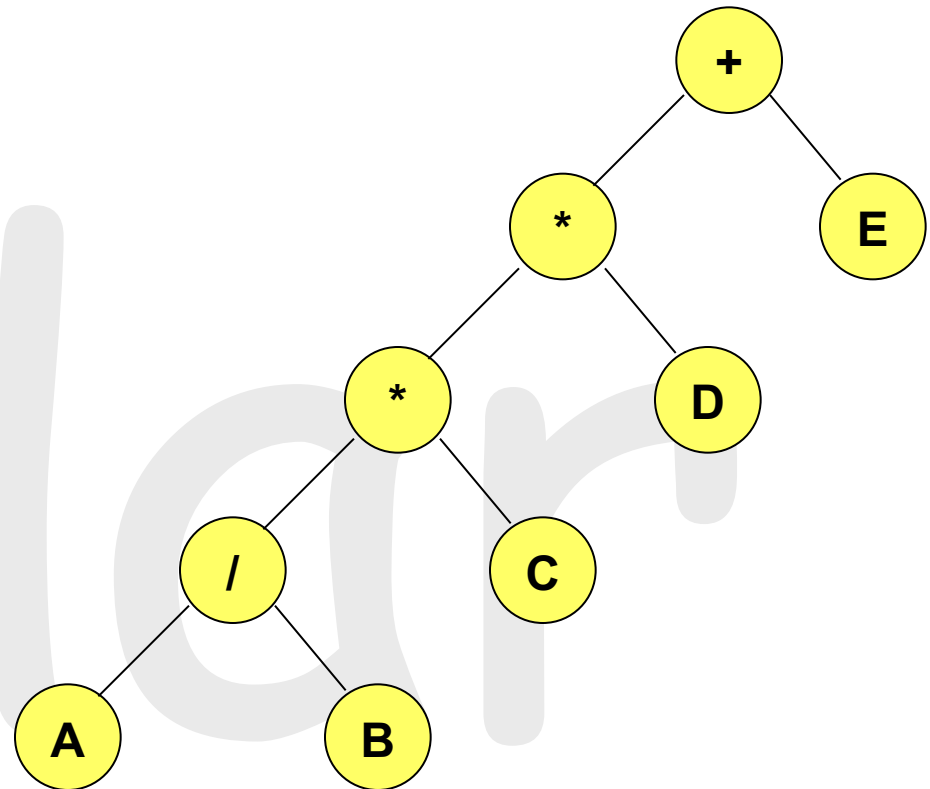


# Level-Order Traversal (1/2)

- For iterative/recursive in/pre/post-order traversal, stacks are required in all cases
- How about traversing a binary tree **level-by-level**?
  - nodes with lower level first
- How to do that? → using **queue** instead of stack

# Level-Order Traversal (2/2)

```
void Tree::levelorder() {  
    Queue<TreeNode *> q;  
    TreeNode *Cur = root;  
    while (Cur) {  
        cout << Cur->data;  
        if (Cur->LeftChild)  
            q.Add(Cur->LeftChild);  
        if (Cur->RightChild)  
            q.Add(Cur->RightChild);  
  
        q.Delete(Cur); // delete from the head  
    }  
}
```



Level-Order Traversal → + \* E \* D / C A B

# Duplication

```
// copy ctor
```

```
Tree::Tree(const Tree& s) {  
    root = copy(s.root);  
}
```

```
TreeNode* Tree::copy(TreeNode *orig) {  
    if(orig) {  
        TreeNode *tmp = new TreeNode;  
        tmp->data = orig->data;  
        tmp->LeftChild = copy(orig->LeftChild);  
        tmp->RightChild = copy(orig->RightChild);  
        return tmp;  
    }  
    return 0; // an empty binary tree  
}
```

# Equality Test

```
// assume the below function is a friend of class Tree
// operator overloading
bool operator==(const Tree& s, const Tree& t)
{   return equal(s.root, t.root);   }

// assume the below function is a friend of class TreeNode
bool equal(TreeNode *a, TreeNode *b) {
    if((! a) && (! b)) return true;    // both a and b are 0

    if(a && b // both a and b are non-0
        && (a->data == b->data) // data is the same
        && equal(a->LeftChild, b->LeftChild) // same left
        && equal(a->RightChild, b->RightChild)) // same right
        return true;

    return false;
}
```

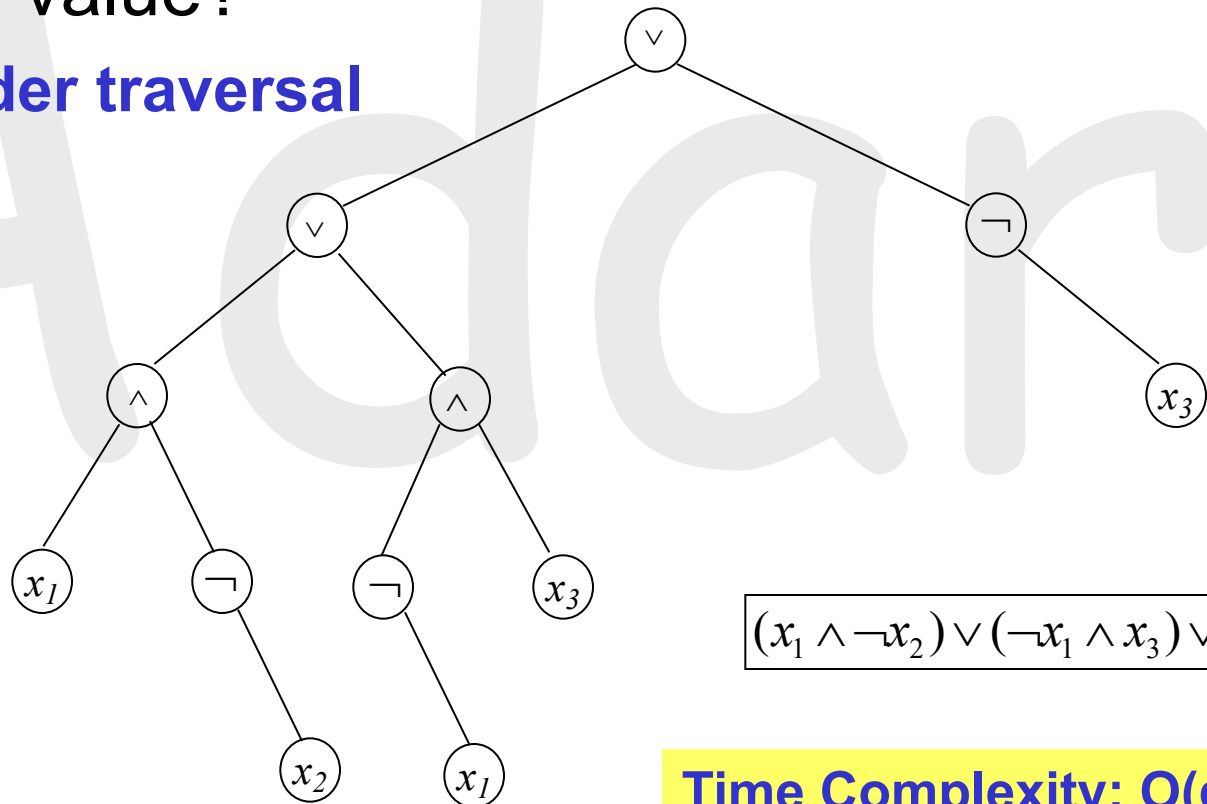
# Satisfiability (SAT) Problem (1/4)

- An expression  $e = x \vee (y \wedge \neg z)$  [x or (y and not z)]
- Find a value combination of x, y, and z such that e is evaluated true
  - positional calculus
  - e.g.,  
x and z are false; y is true  $\rightarrow e = F \vee (T \wedge \neg F) = T$

# Satisfiability (SAT) Problem (2/4)

- Expression represented in a binary tree
- Given an input combination, how to evaluate the final truth value?

– **postorder traversal**



$$(x_1 \wedge \neg x_2) \vee (\neg x_1 \wedge x_3) \vee \neg x_3$$

**Time Complexity:  $O(2^n)$**

# Satisfiability (SAT) Problem (3/4)

```
enum OpType { Not, And, Or, True, False };

class SatTree; // forward declaration
class SatNode {
    friend class SatTree;
    SatNode *LeftChild;
    OpType data;
    bool value;
    SatNode *RightChild;
}
class SatTree {
    SatNode *root;
    void PostOrderEval(SatNode *);
public:
    PostOrderEval();
    void rootvalue() { cout << root->value; }
};
```

# Satisfiability (SAT) Problem (4/4)

```
void SatTree::PostOrderEval() { PostOrderEval(root); }

void SatTree::PostOrderEval(SatNode *s) {
    if(s) { // not null
        PostOrderEval(s->LeftChild);
        PostOrderEval(s->RightChild);
        switch(s->data) {
            case Not : s->value = ! s->RightChild->value; break;
            case And  : s->value = s->LeftChild->value &&
                        s->RightChild->value; break;
            case Or   : s->value = s->LeftChild->value ||
                        s->RightChild->value; break;
            case True : s->value = true; break; // terminal node
            case False: s->value = false; // terminal node
        }
    }
}
```



# Threaded Binary Tree (1/3)

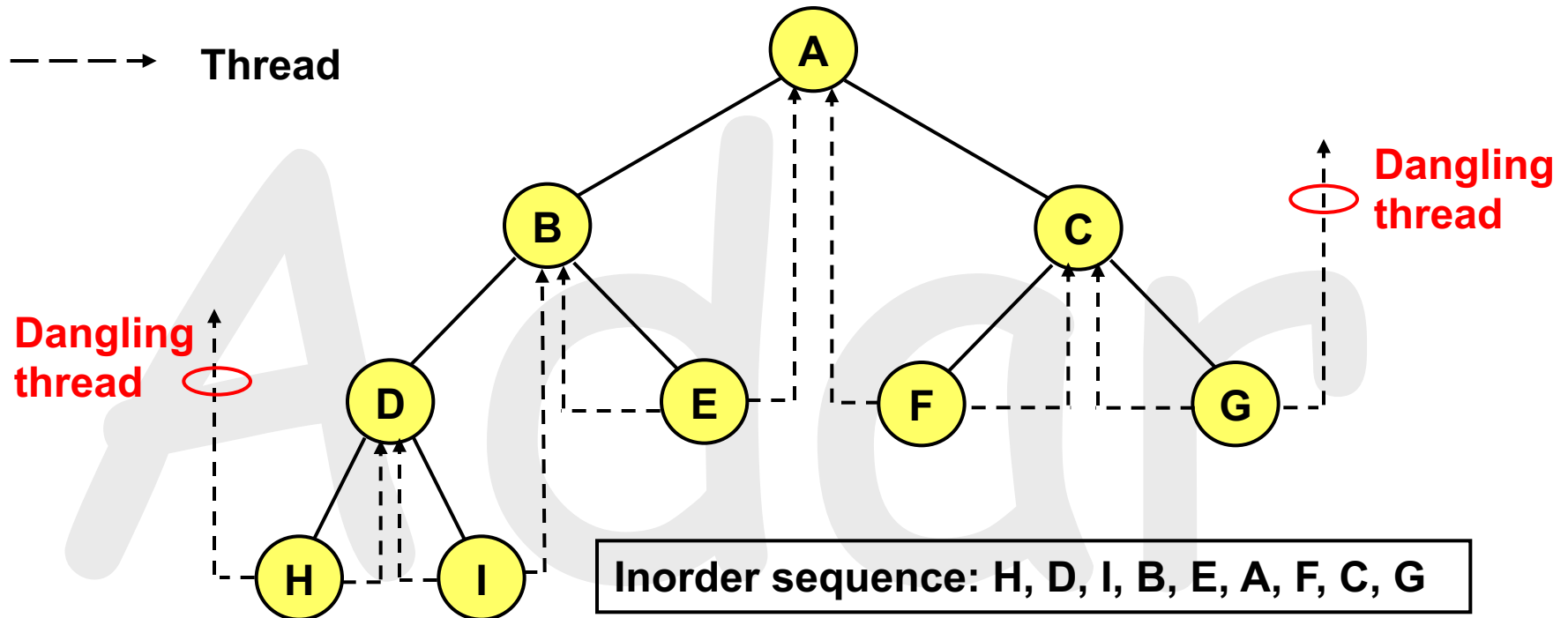
- A binary tree with  $n$  nodes ( $n > 0$ )
  - $2n$  links in total;  $n-1$  links in use only
- Turn those unused links into **threads**
  - an original 0 **RightChild** of Node  $p$  re-points to  $p$ 's **inorder successor**
  - an original 0 **LeftChild** of Node  $p$  re-points to  $p$ 's **inorder predecessor**
- How to distinguish a pointer is a real link or just a thread?
  - an extra **bool** field



# Threaded Binary Tree (2/3)

—————→ Real link

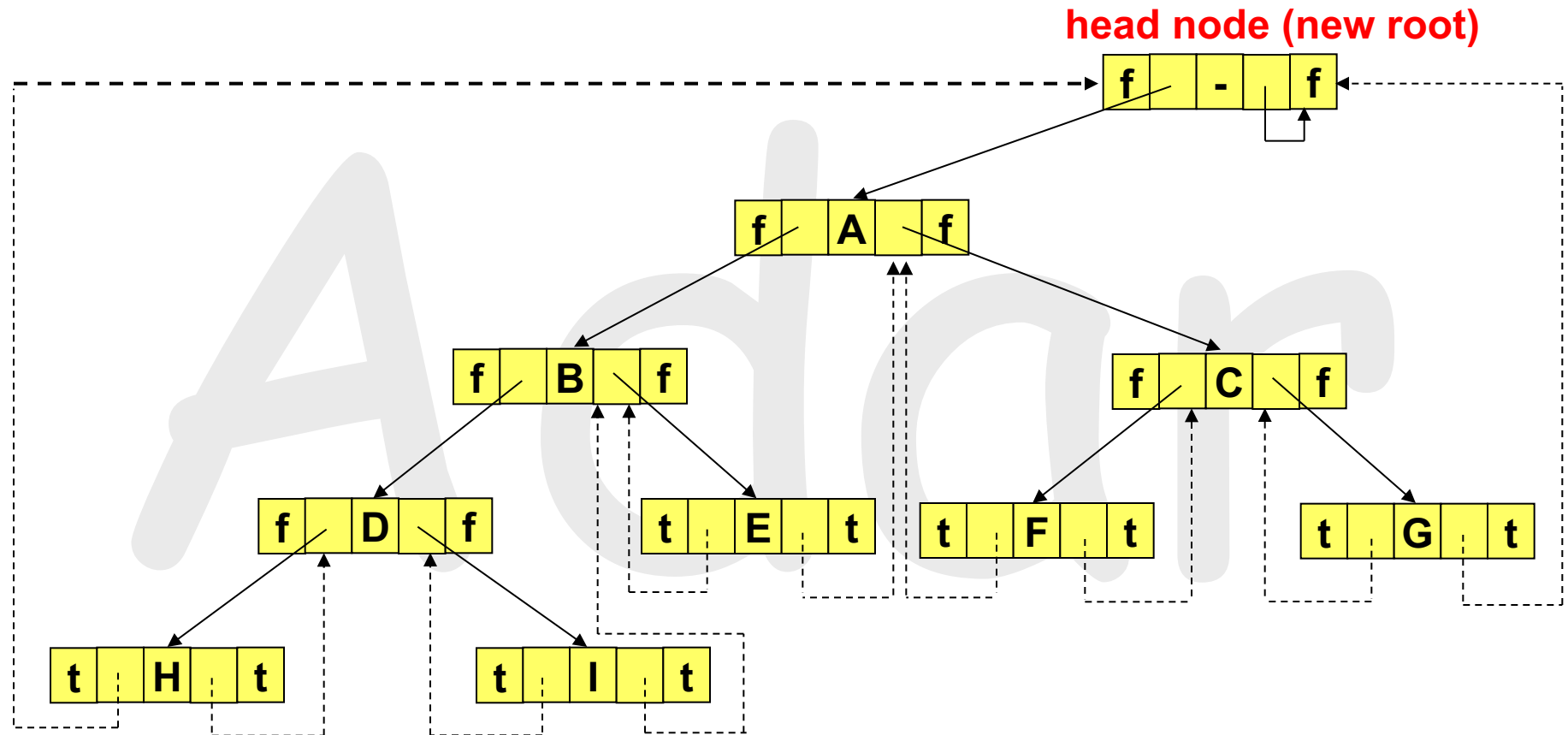
- - - - -→ Thread



## Observation:

- 1: If Node p has a **right thread**, the pointer points to p's inorder successor
- 2: Otherwise, p's inorder successor is obtained by following  
a path of left-child links from the right child of p until  
a node with a left thread is reached

# Threaded Binary Tree (3/3)



Add a dummy head node → no dangling threads

# Class Definition (1/2)

```
class ThreadedNode {
    friend class ThreadedTree;
    friend class ThreadedInorderIterator;
    bool LeftThread;
    ThreadedNode *Left;
    char data;
    ThreadedNode *Right;
    bool RightThread;
};

class ThreadedTree {
    friend class ThreadedInorderIterator;
    ThreadedNode *root;
public:
    // ...
};
```

# Class Definition (2/2)

```
class ThreadedInorderIterator {
    ThreadedTree& t;
    ThreadedNode* Cur;
public:
    ThreadedInorderIterator(ThreadedTree& tree)
        :t(tree), Cur(tree.root) { }
    Char* Next();
};

char* ThreadedInorderIterator::Next() {
    ThreadedNode *tmp = Cur->Right;
    if(! Cur->RightThread)
        while(! tmp->LeftThread) tmp = tmp->Left;
    cur = tmp;
    if(Cur == t.root) return 0;  // traversal done
    return &Cur->data;
}
```

**O(1) space complexity**  
**no stack is required**

# Priority Queue

- Priority queue (PQ)
  - each element in a PQ has a priority
  - at any time, an element with arbitrary priority can be **inserted** into a PQ
  - the element to be **deleted** is the one with **highest** priority
    - **max** PQ
  - the element to be **deleted** is the one with **lowest** priority
    - **min** PQ
- Applications of priority queues ?

# ADT MaxPQ

```
template <class T>
struct Element{
    T key;
    // other data members, e.g., int num;
};

template <class T>
class MaxPQ { // an ABC since it contains pure virtual funcs
public:
    virtual void Insert(const Element<T>&) = 0; // pure virtual
    virtual Element<T>* DeleteMax(Element<T>&) = 0;
}
```

# How to implement a Max PQ?

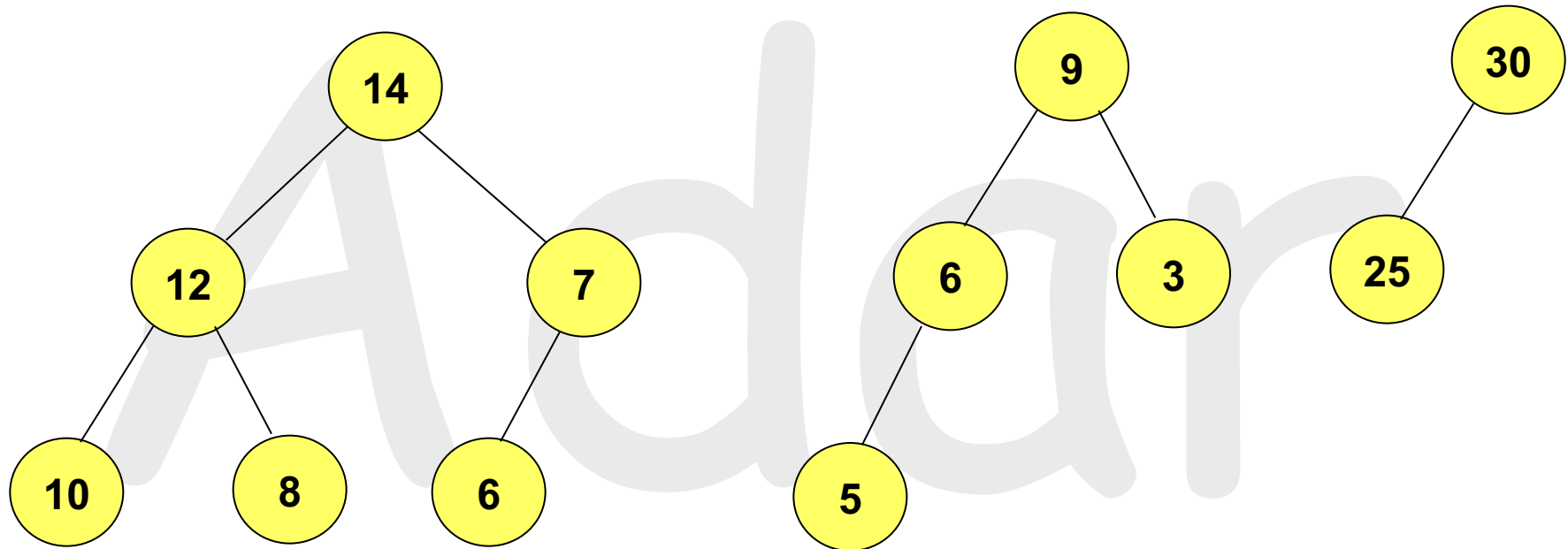
- Method1: unordered (unsorted) linear list
  - implemented by using either array or list
  - insert time:  $\Theta(1)$
  - deletion time:  $\Theta(n)$
- Method 2: ordered (sorted) linear list
  - implemented by using either array or list
  - sorted in non-increasing order
  - insert time:  $\Theta(n)$
  - deletion time:  $\Theta(1)$
- Any better way? → **Max Heap**



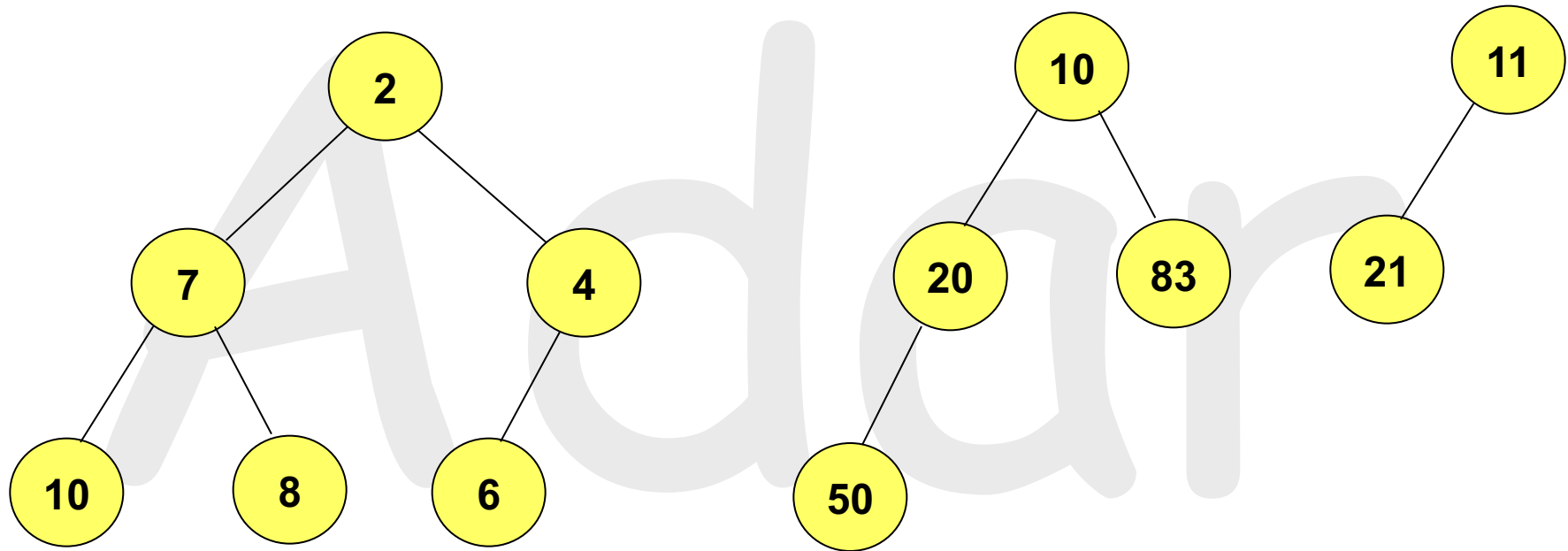
# Max Heap

- Max (min) tree
  - a tree in which the key value in each node is no smaller (larger) than the key values in its children (if any)
- Max (min) heap
  - a complete binary tree and also a max (min) tree
- The key in the root of a max (min) tree is the biggest (smallest) key in the tree

# Max Heap Examples



# Min Heap Examples



# Class MaxHeap

```
template <class T>
class MaxHeap : public MaxPQ<T> {
    Element<T> *heap;
    int n;    // current size
    int MaxSize; // max heap size
public:
    MaxHeap(int sz = DefaultSize);
    // create an empty heap that can hold max sz elements
    bool IsEmpty();
    bool IsFull();
    void Insert(const Element<T>& x);
    // If IsFull() is true then error,
    // else insert x into the heap
    Element<T>* DeleteMax(Element<T>& x);
    // If IsEmpty() is true then return 0,
    // else remove the largest element of the heap,
    // save it to x and return a pointer to x
};
```

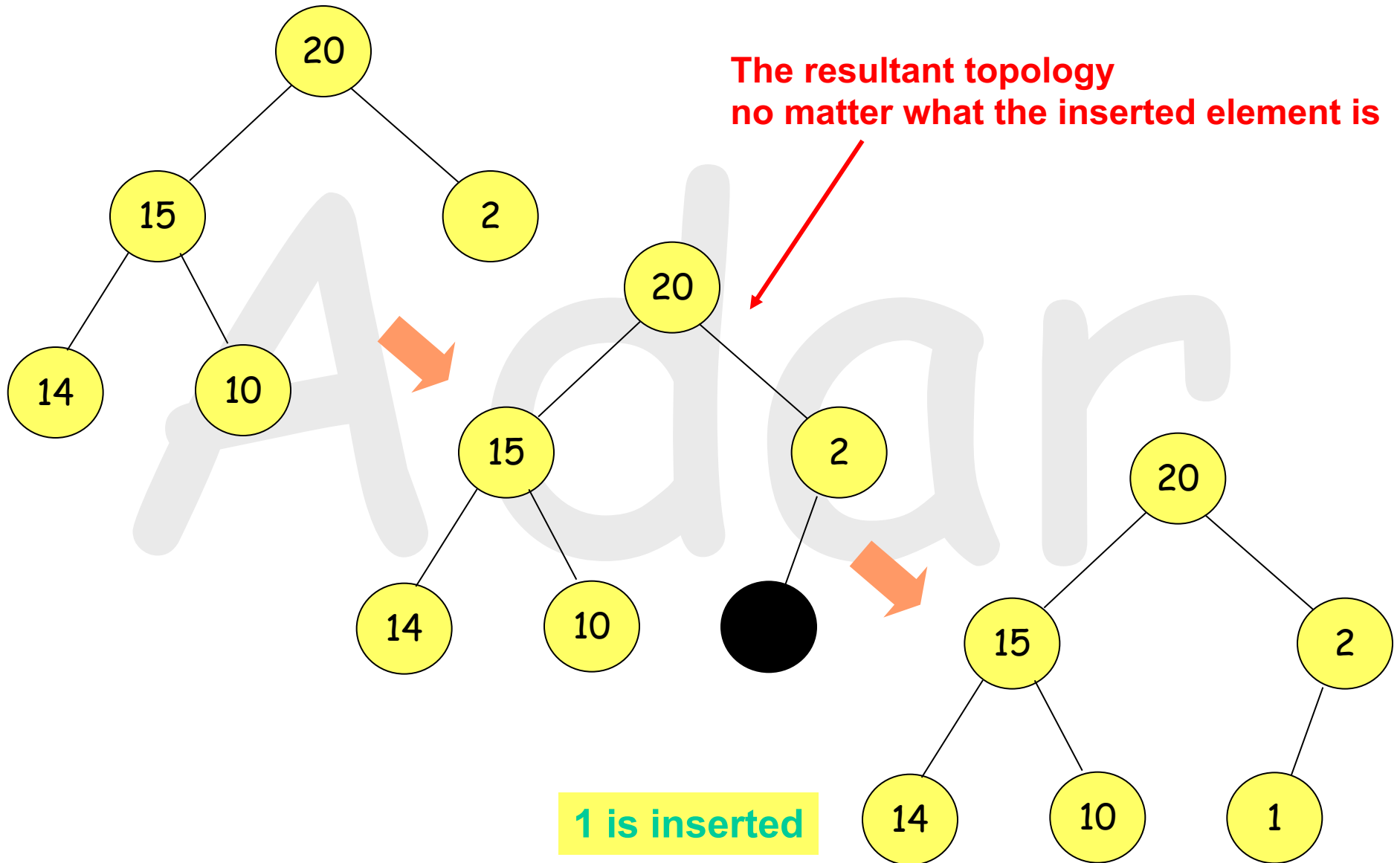
# How to Store Elements Internally?

- Heap is a complete binary tree
  - it's OK to use an array to store elements

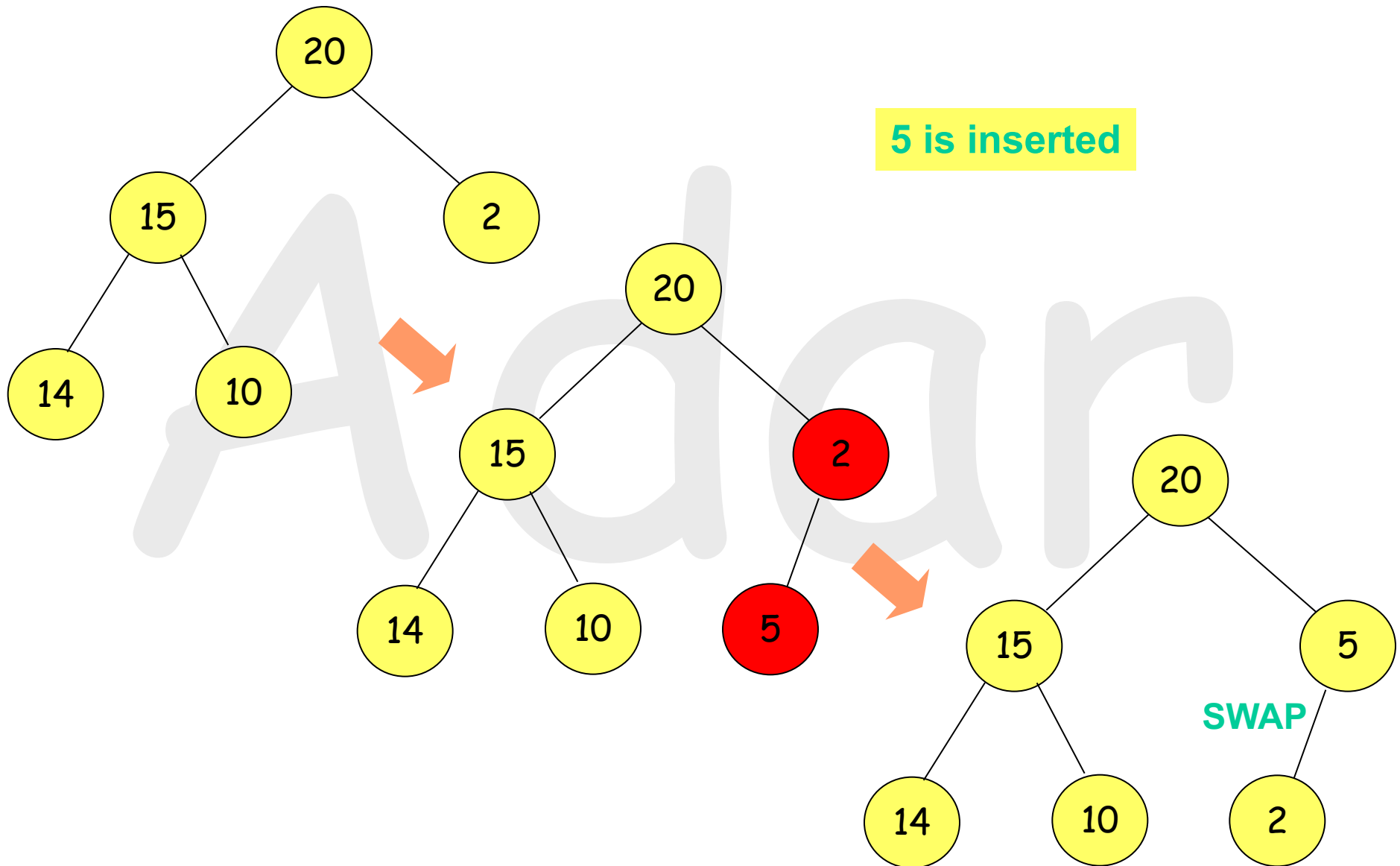
```
template <class T>
MaxHeap<T>::MaxHeap(int sz)
    :MaxSize(sz), n(0){
    heap = new Element<T>[MaxSize + 1]; // heap[0] is not used
}
```

# Insertion into a Max Heap (1/4)

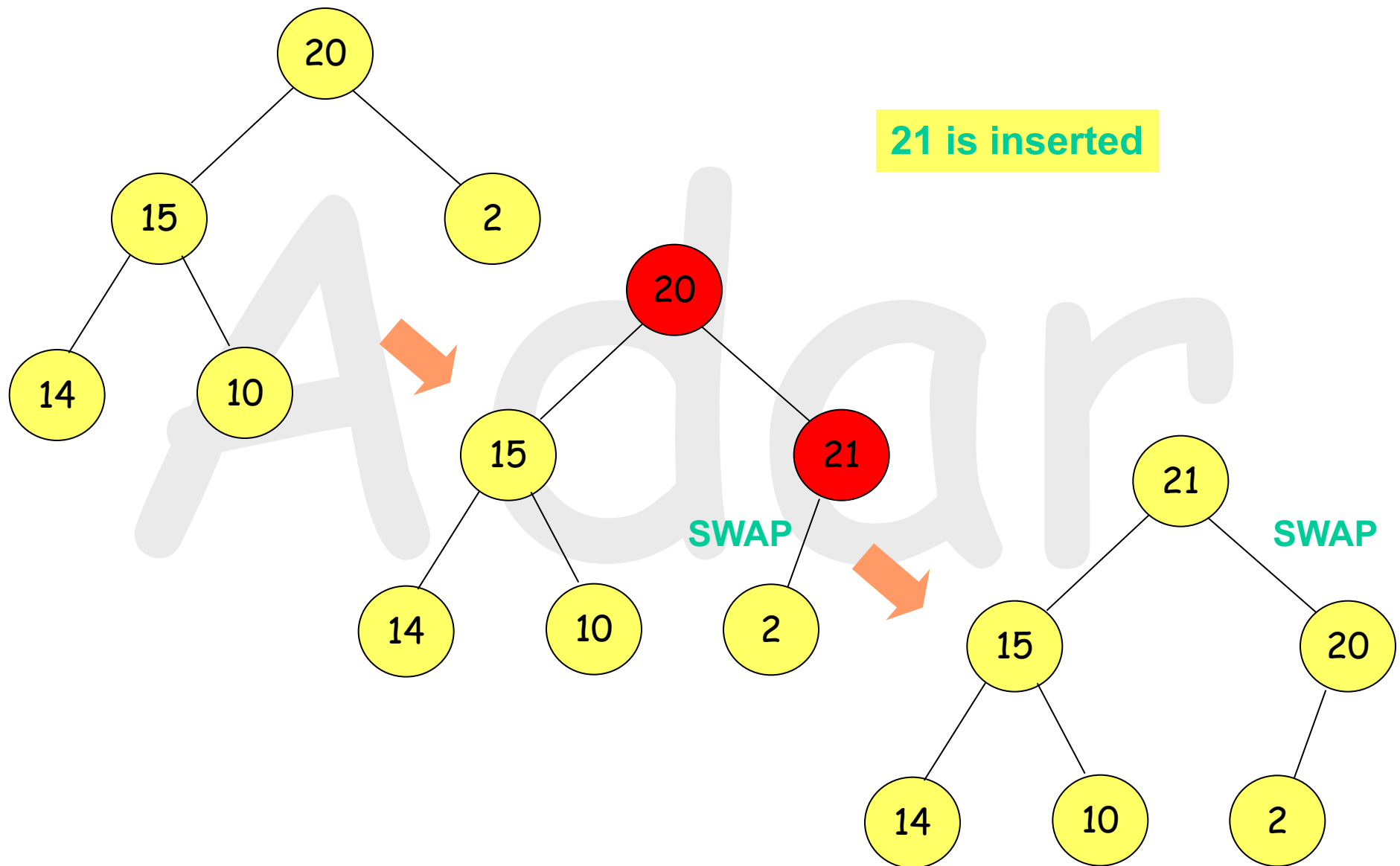
The resultant topology  
no matter what the inserted element is



# Insertion into a Max Heap (2/4)



# Insertion into a Max Heap (3/4)





# Insertion into a Max Heap (4/4)

```
template <class T>
void MaxHeap<T>::Insert(const Element<T>& x) {
    if(n == MaxSize) // heap is already full
    { HeapFull(); return; }

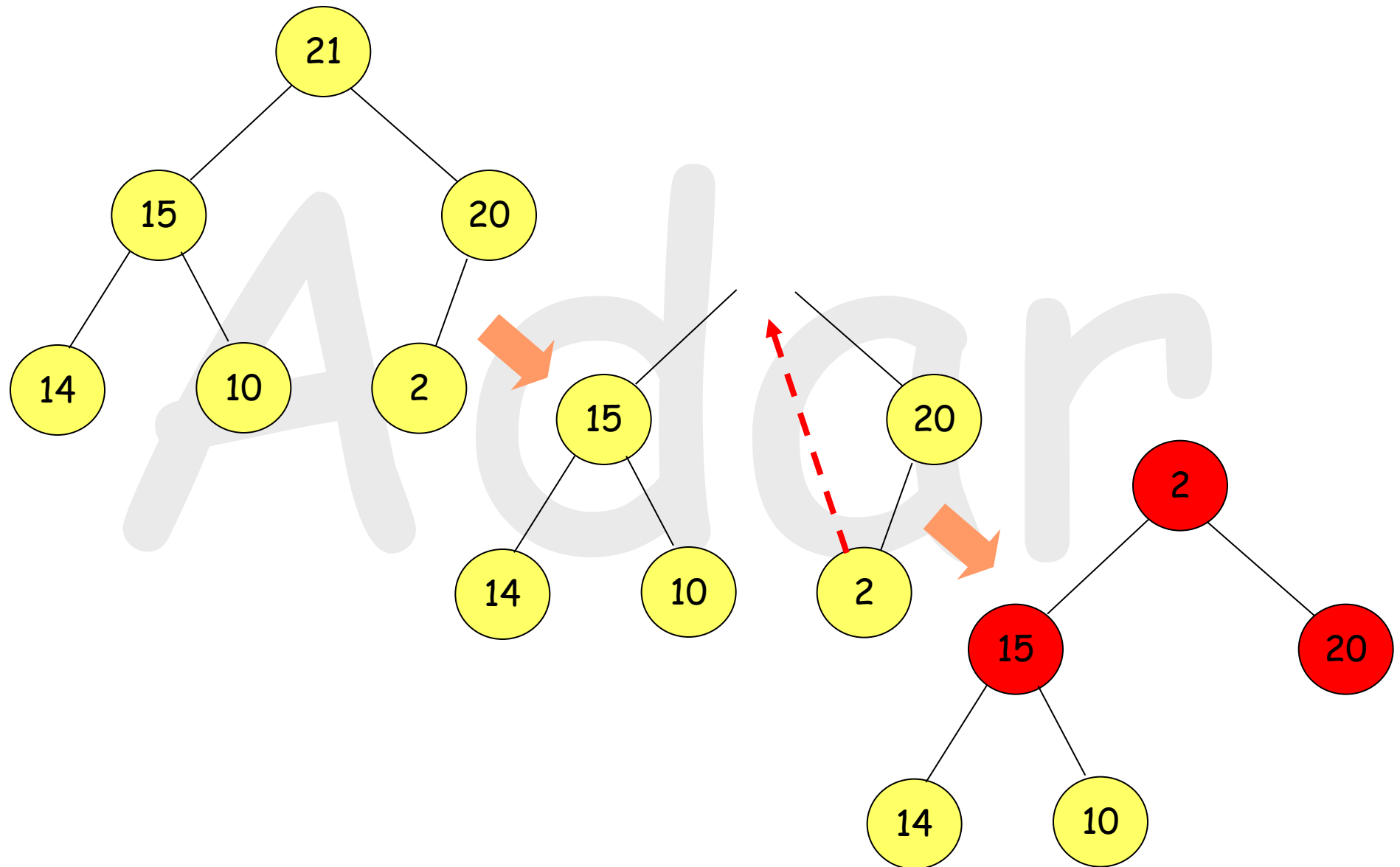
    ++n; // increment heap size by 1

    // move down x's ancestors with smaller key
    int i;
    for(i = n; i != 1; i /= 2) {
        if(x.key <= heap[i/2].key) break; // parent is not smaller
        heap[i] = heap[i/2]; // move down the smaller parent
    }

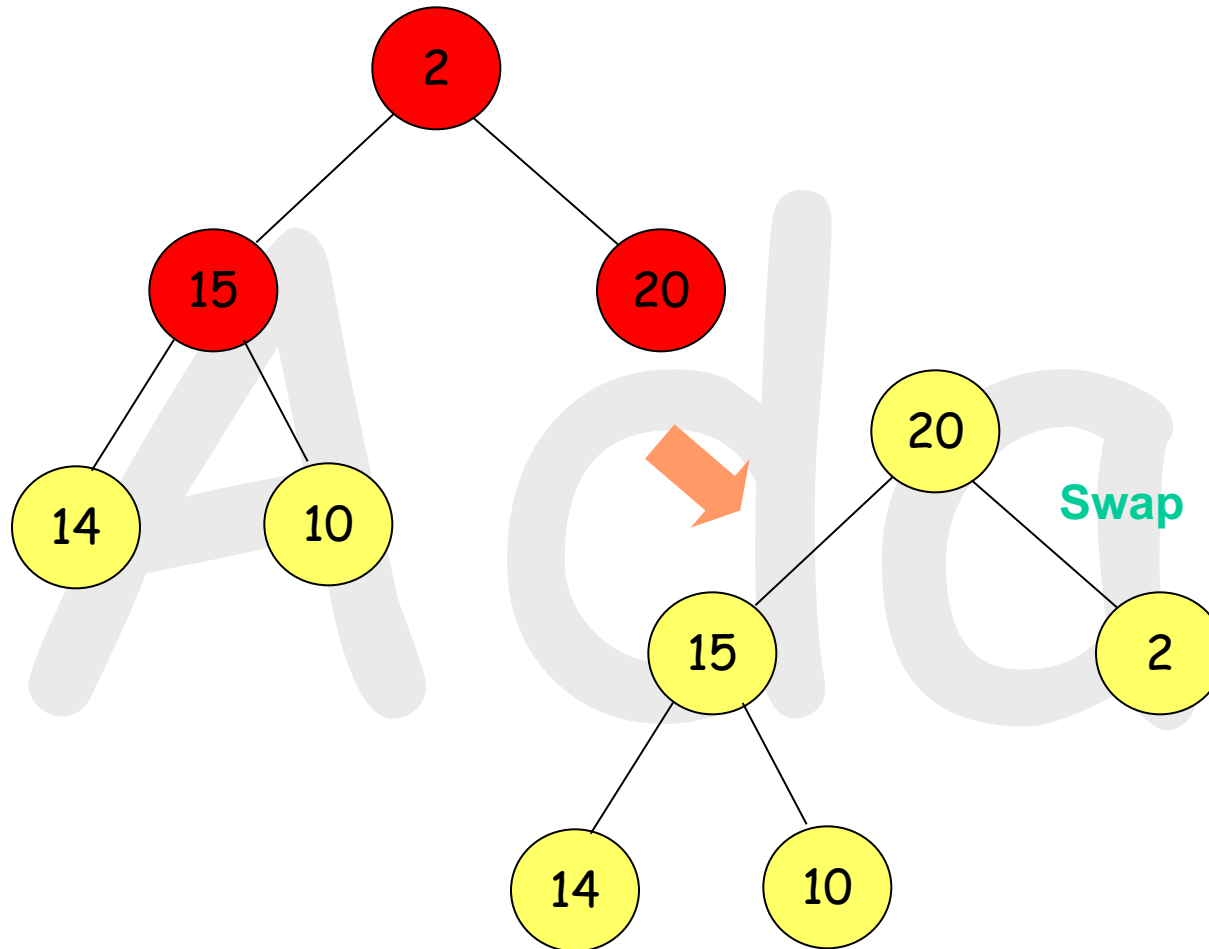
    heap[i] = x; // insert x into the right position
}
```

**Time Complexity:  $O(\log n)$**

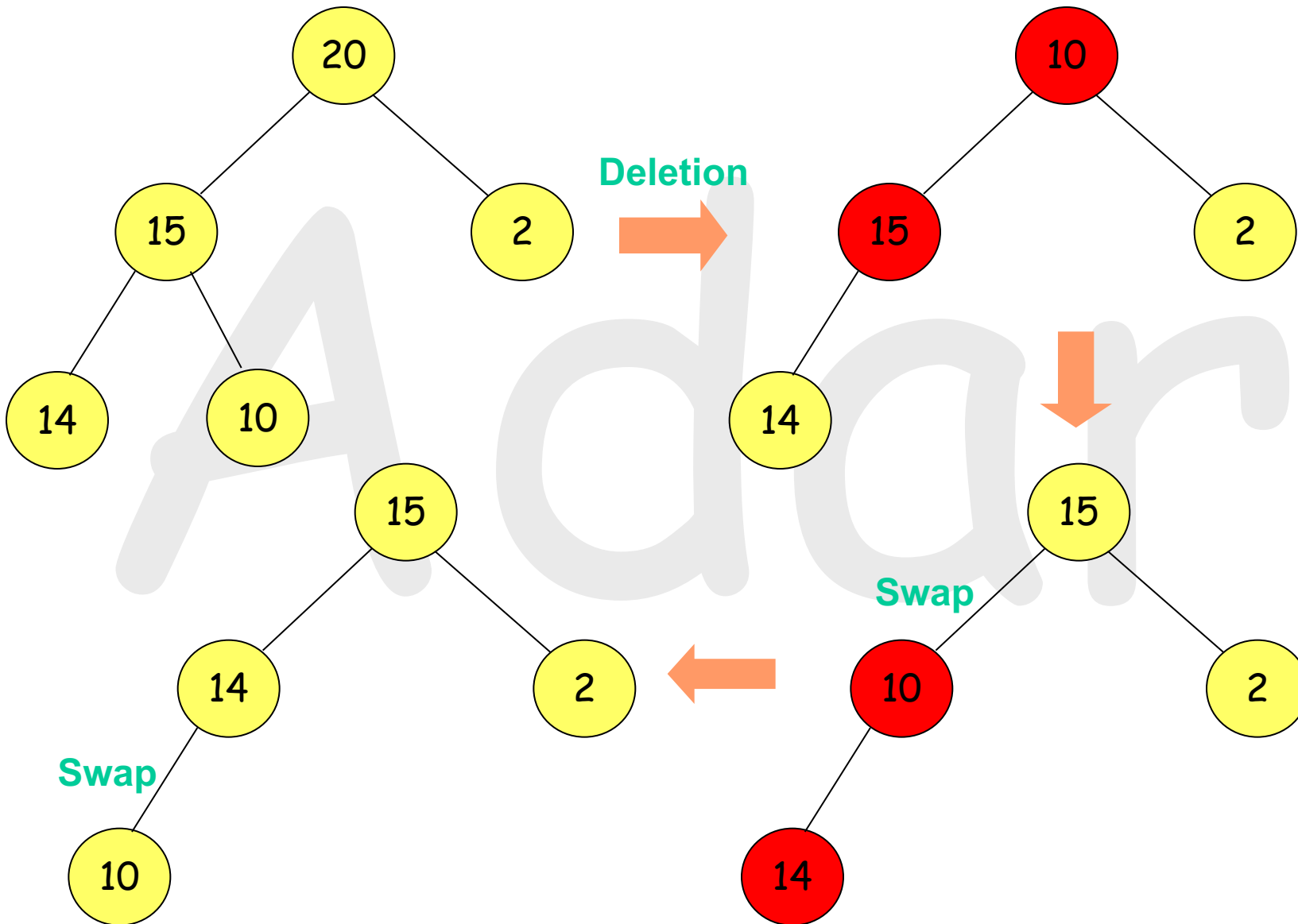
# Deletion from a Max Heap (1/4)



# Deletion from a Max Heap (2/4)



# Deletion from a Max Heap (3/4)



# Deletion from a Max Heap (4/4)

```
template <class T>
Element<T>* MaxHeap<T>::DeleteMax(Element<T>& x) {
    if(! n) // heap is empty
    {   HeapEmpty(); return 0; }
    x = heap[1];
    Element<T>& k = heap[n];
    --n; // decrease the heap size by 1;
    int i;
    for(i = 1, j = 2; j <= n; i = j, j *= 2) {
        if((j < n) && (heap[j].key < heap[j+1].key))
            ++j; // j points to the bigger child
        if(k.key >= heap[j].key) break;
        heap[i] = heap[j]; // move child up
    }

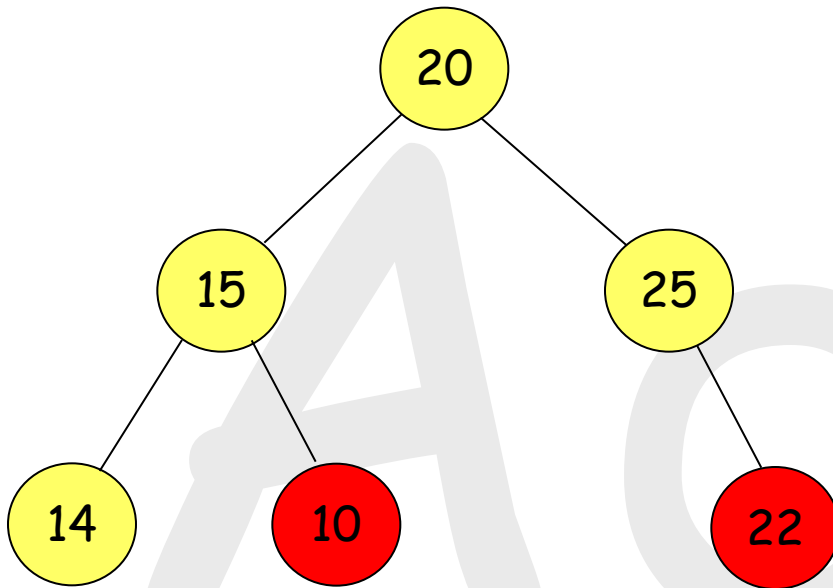
    heap[i] = k;
    return &x;
}
```

**Time Complexity:  $O(\log n)$**

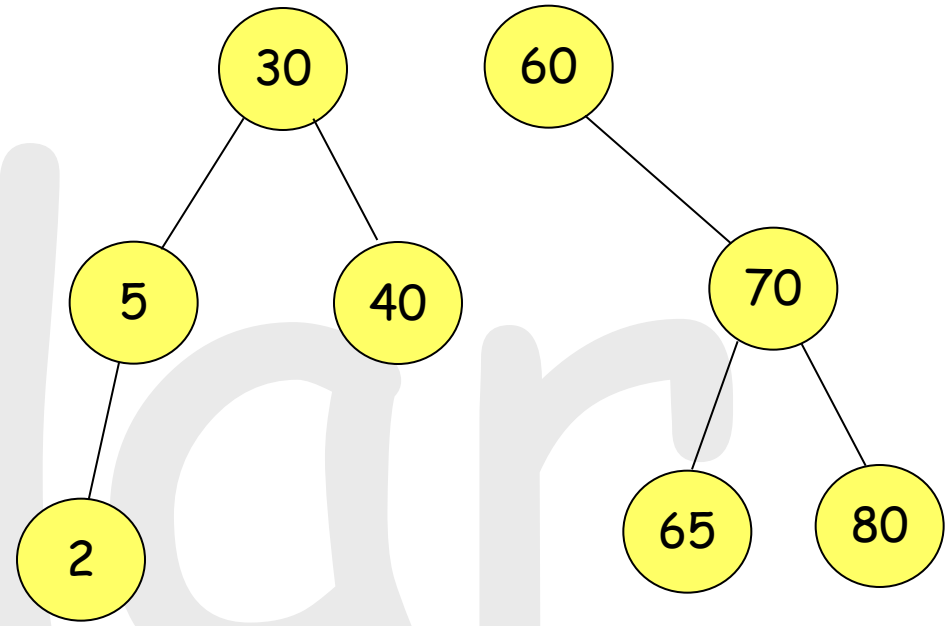
# Binary Search Tree (BST)

- Heap is good for priority queues
  - always delete the max/min element
  - cannot delete an element at arbitrary location
  - → used **binary search tree** instead
- Definition
  - is a binary tree
  - may be empty
  - If it's not empty,
    - every element has a key and no 2 elements have the same key
    - keys (if any) in the **left** subtree are **smaller** than the key of the root
    - keys (if any) in the **right** subtree are **bigger** than the key of the root
    - left and right subtrees are also binary search trees (**recursive**)

# BST Examples



Not a BST



BSTs

Apply inorder traversal in a BST  
What do you get?

# Search in a BST

- Search by a given **key**
  - given key is **equal** to the key of the current node → **found**
  - given key is **smaller** to the key of the current node → **left**
  - given key is **bigger** to the key of the current node → **right**
- Search by a given **rank** is also fine
  - discuss later



# Recursive Search in a BST

```
template <class T>
BstNode<T>* BST<T>::Search(const Element<T>& x) {
    return Search(root, x); // call an overloaded func
}
```

Time Complexity:  $O(h)$

```
template <class T>
BstNode<T>* BST<T>::Search(BstNode<T>* b, const Element<T>& x) {
    if(! b) return 0; // not found
    if(x.key == b->data.key) return b; // found
    if(x.key < b->data.key)
        return Search(b->LeftChild, x); // search left subtree
    return Search(b->RightChild, x); // search right subtree
}
```

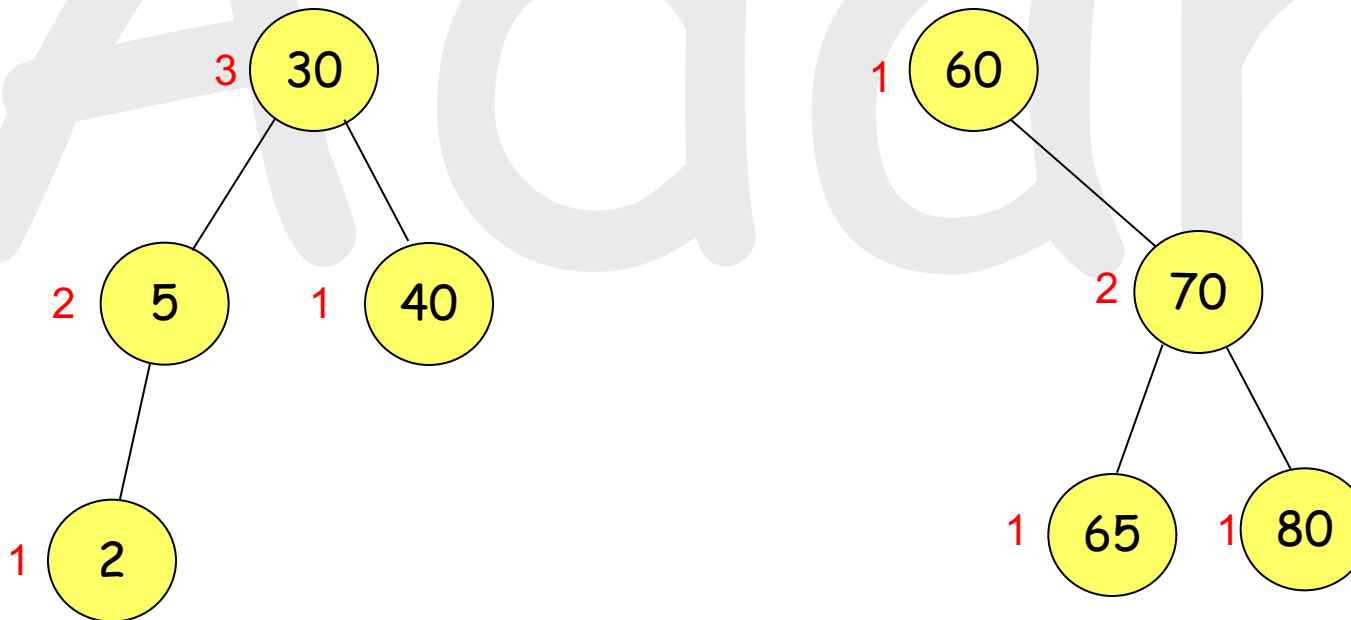
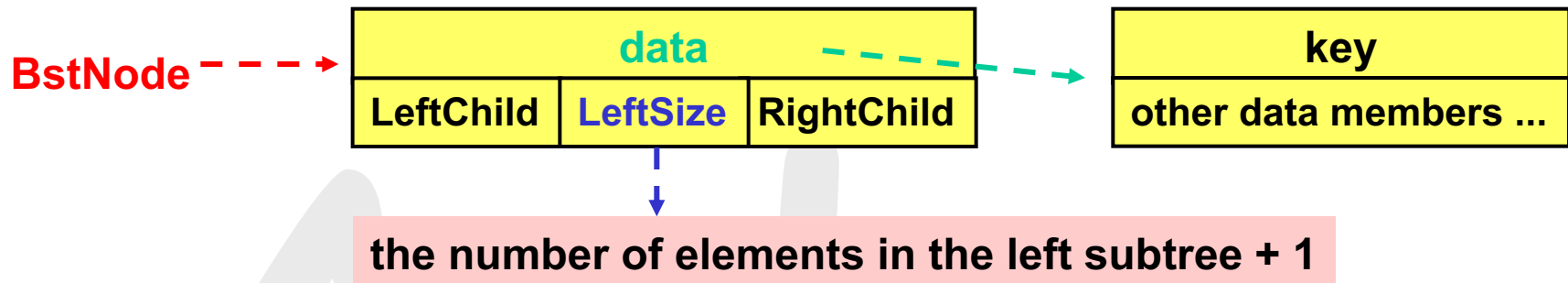


# Iterative Search in a BST

```
template <class T>
BstNode<T>* BST<T>::IterSearch(const Element<T>& x) {
    for(BstNode<T>* t = root; t; ) {
        if(x.key == t->data.key) return t; // found
        if(x.key < t->data.key)
            t = t->LeftChild; // search left subtree
        else
            t = t->RightChild; // search right subtree
    }
    return 0; // not found
}
```

**Time Complexity:  $O(h)$**

# Search by Rank in a BST (1/2)

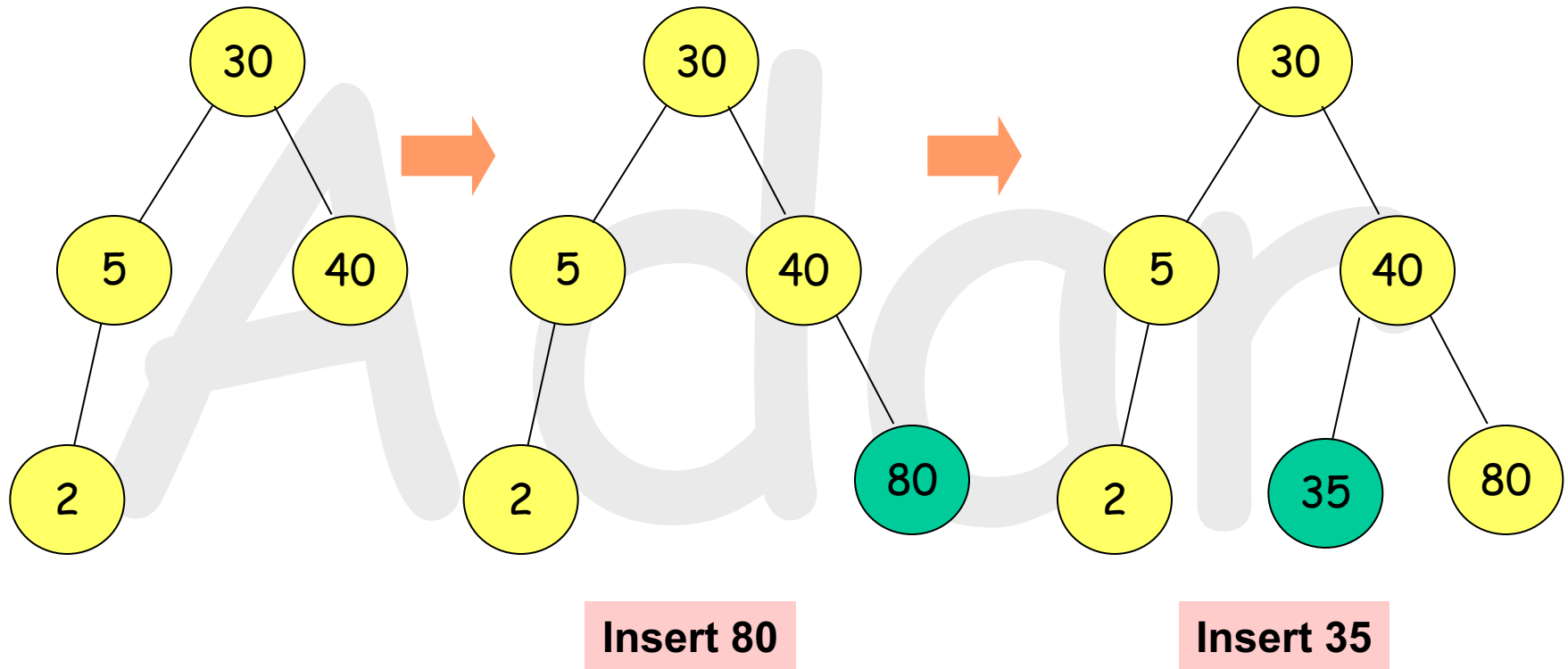


# Search by Rank in a BST (2/2)

```
template <class T>
BstNode<T>* BST<T>::Search(int k) {
    for(BstNode<T>* t = root; t; ) {
        if(k == t->LeftSize) return t; // found
        if(k < t->LeftSize)
            t = t->LeftChild; // search left subtree
        else {
            k -= t->LeftSize; // skip the smallest t->LeftSize nodes
            t = t->RightChild; // search right subtree
        }
    }
    return 0; // not found
}
```

Time Complexity:  $O(h)$

# Insertion into a BST (1/2)



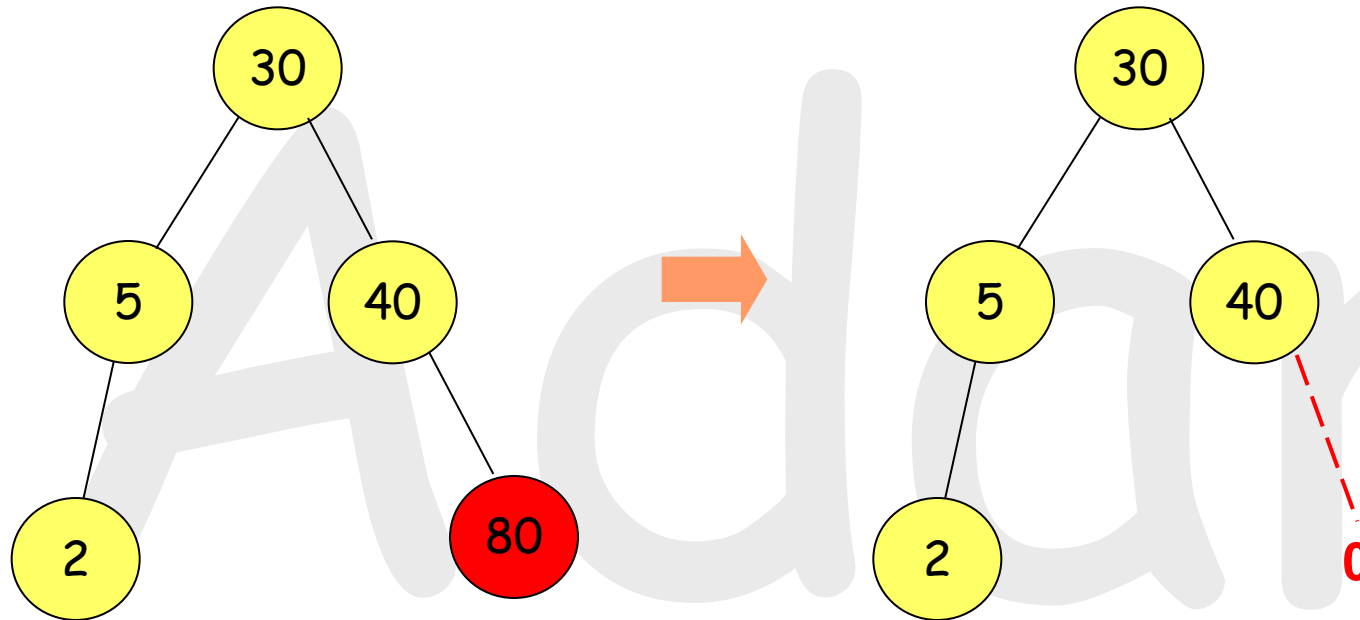
# Insertion into a BST (2/2)

```
template <class T>
bool BST<T>::Insert(const Element<T>& x) {
    BstNode<T> *p = root, *q = 0;
    while(p) {
        q = p;
        if(x.key == p->data.key) return false; // an existing key
        if(x.key < p->data.key)
            p = p->LeftChild; // move to left subtree
        else
            p = p->RightChild; // move to right subtree
    }
    p = new BstNode<T>;
    p->LeftChild = p->RightChild = 0; p->data = x; // make a copy
    if(! root) root = p; // an empty BST originally
    else if(x.key < q->data.key) q->LeftChild = p;
    else q->RightChild = p;
    return true;
}
```

Time Complexity:  $O(h)$

# Deletion from a BST (1/3)

- Delete a leaf node

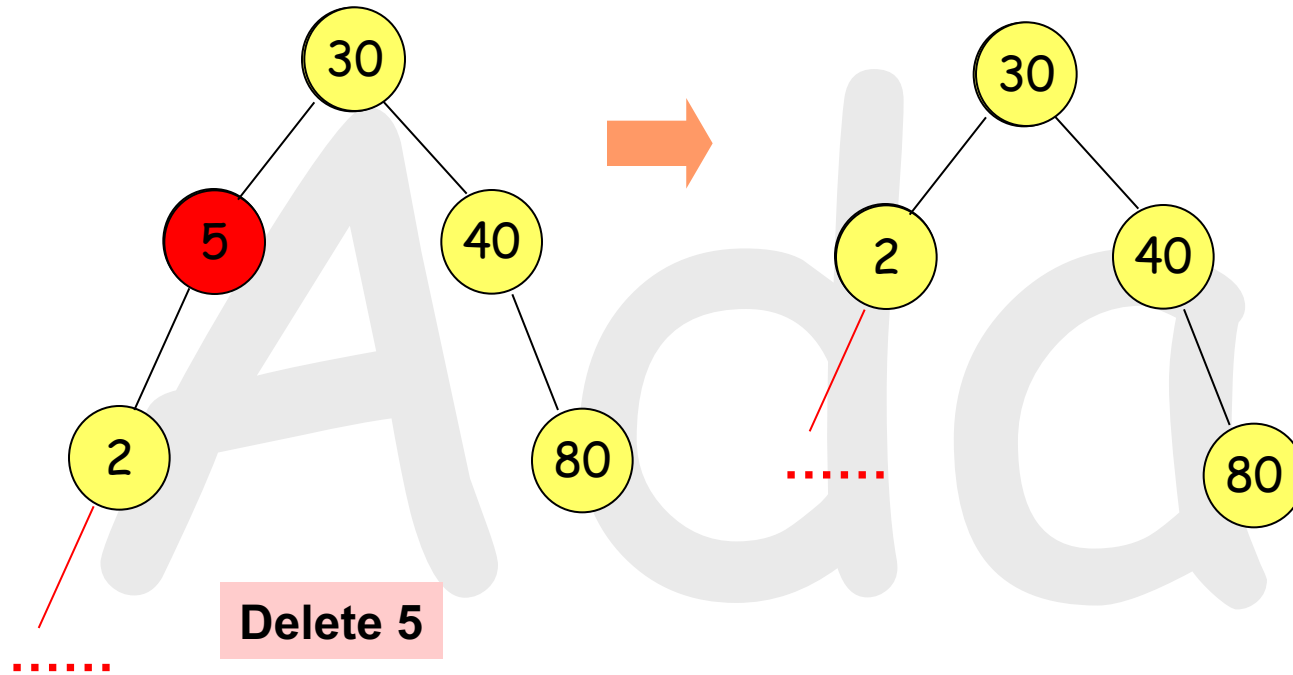


**Delete 80**

1. Delete the leaf node
2. Set the corresponding link, either LeftChild or RightChild, to 0

# Deletion from a BST (2/3)

- Delete a node with only one child

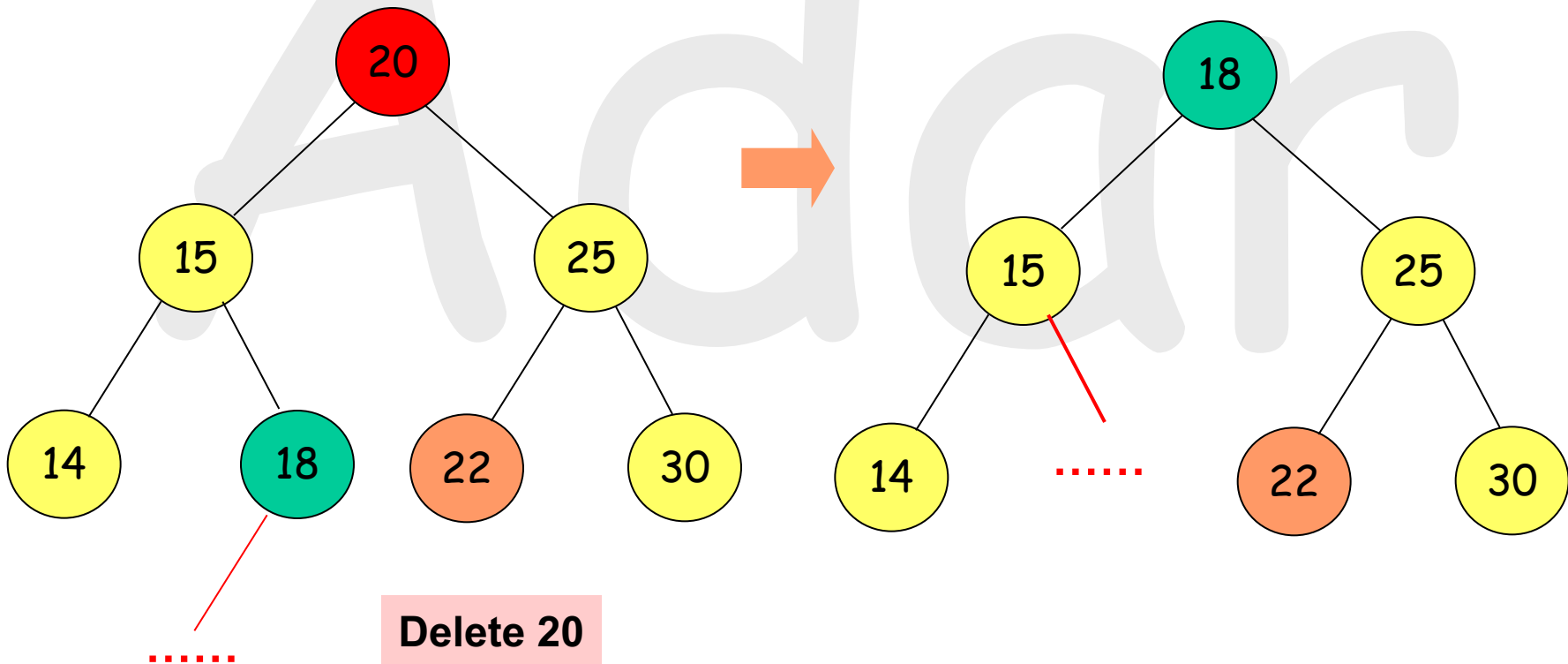


1. Delete the specific node
2. Use the single-child, either LeftChild or RightChild, to take place of the deleted node



# Deletion from a BST (3/3)

- Delete a node with 2 children
  - replace it by the **largest** node in its **left** subtree, **or**
  - replace it by the **smallest** node in its **right** subtree



# Height of a BST

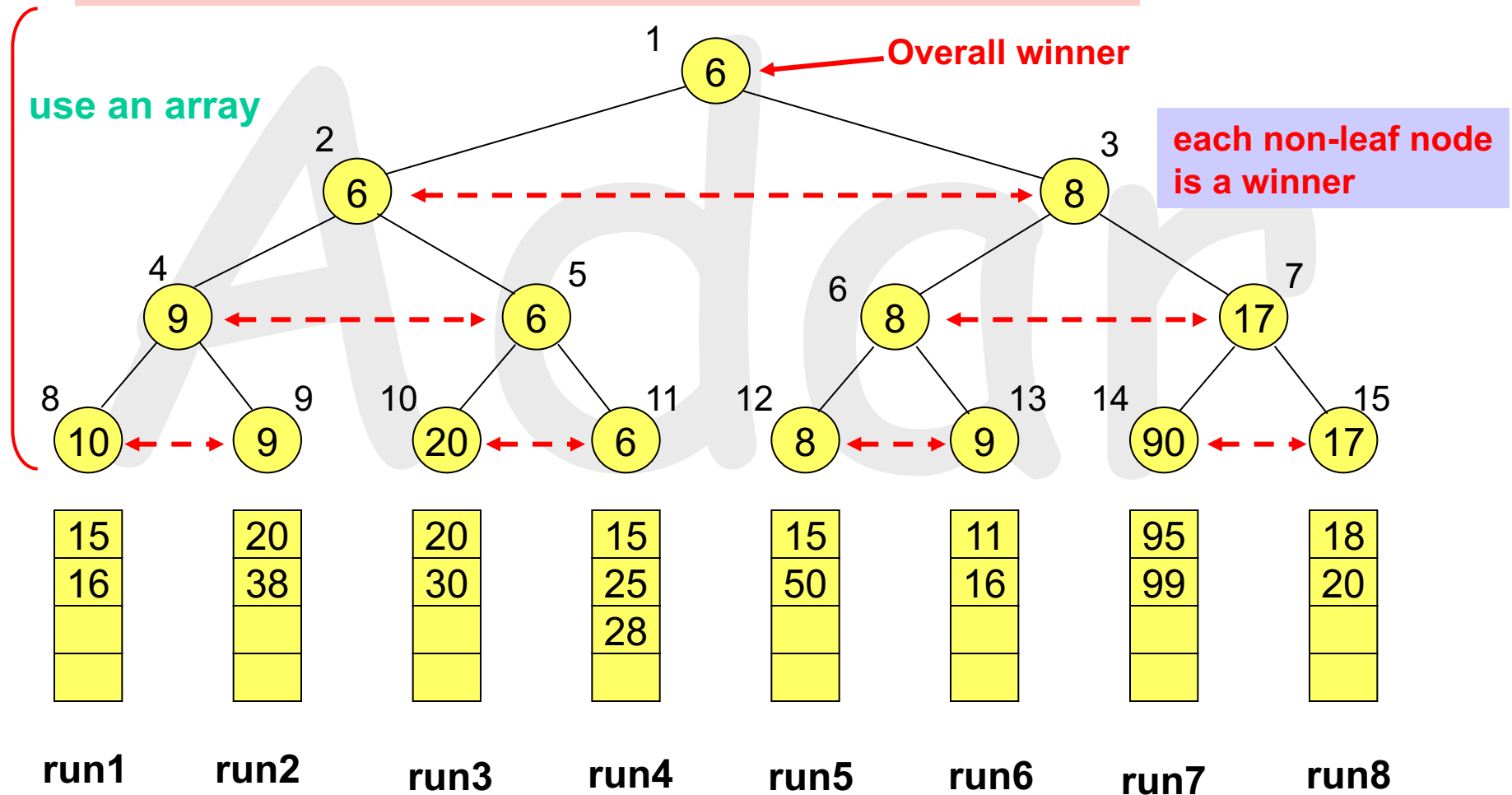
- The height of a BST with  $n$  nodes can be as large as  $n$ 
  - a **skewed** binary tree
  - how could the worst case happen?
  - degenerate into a **linked list**
  - time complexity  $O(h) \rightarrow O(n)$
- In the average case
  - insertions and deletions are made randomly
  - the height of a BST is  $O(\log n)$  on average
- How to avoid the worst case?
- **Balanced search trees**
  - search trees with a worst-case height of  $O(\log n)$
  - such as AVL, 2-3, 2-3-4, **red-black**, discussed in Chap 10

# Selection Trees

- Assume
  - k ordered sequences, named **runs**, are to be merged into a single ordered sequence
  - each run consists of certain records and is sorted in non-increasing/decreasing key value
  - n is the number of total records in k runs
- Trivial method
  - use k–1 comparisons to get the smallest one
  - repeat the procedure n times
  - time complexity:  $O(n*k)$
- Any better method?
- **Selection tree**
  - two types: **winner** tree and **loser** tree

# Winner Tree (1/2)

A winner tree is a **complete** binary tree in which each node represents the smaller of its 2 children

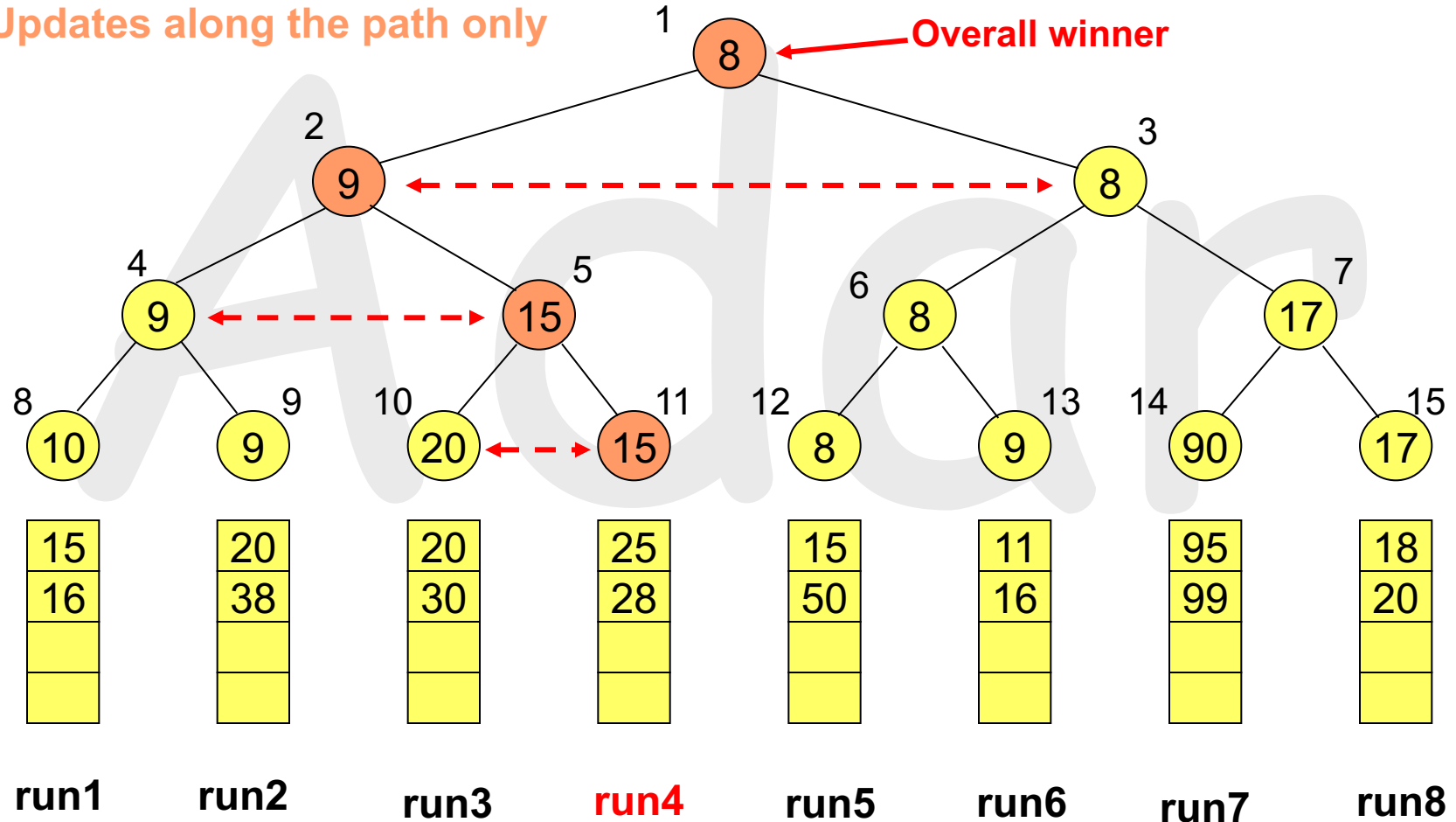


# Winner Tree (2/2)

Time Complexity:  $O(n \log k)$

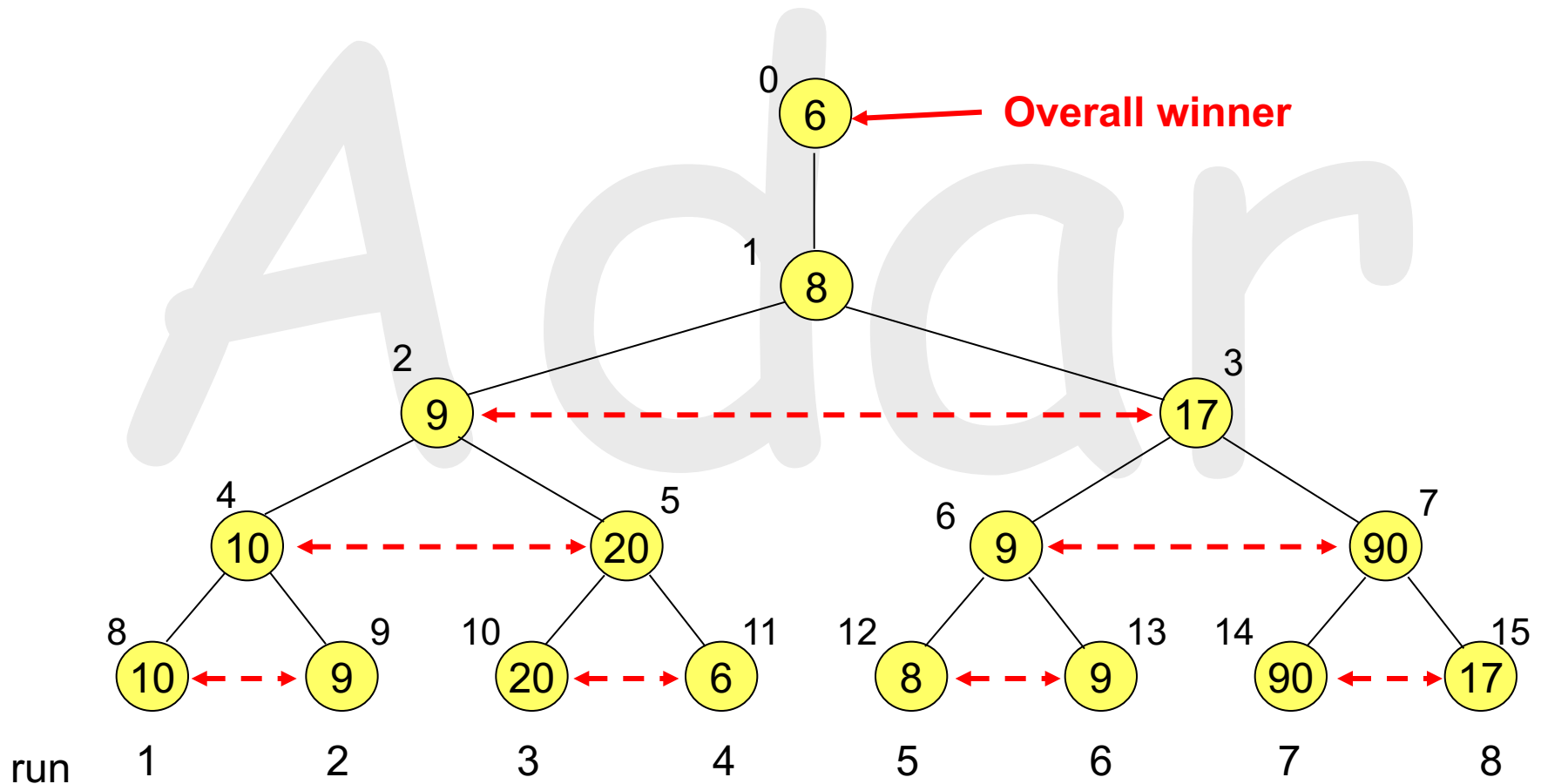
Updates along the path only

Overall winner



# Loser Tree (1/2)

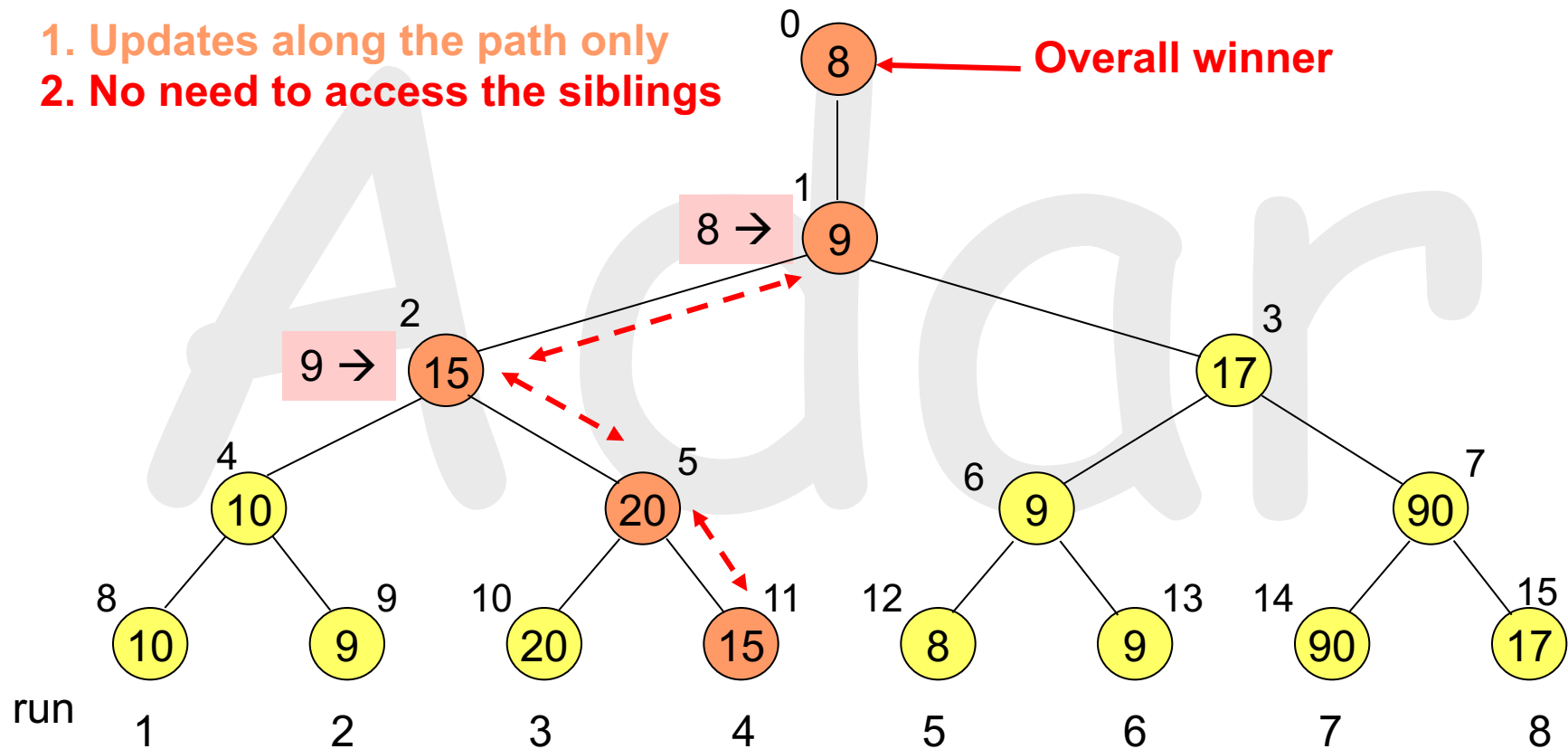
A loser tree is also a **complete** binary tree in which each node retains a pointer to the **loser** of the tournament



# Loser Tree (2/2)

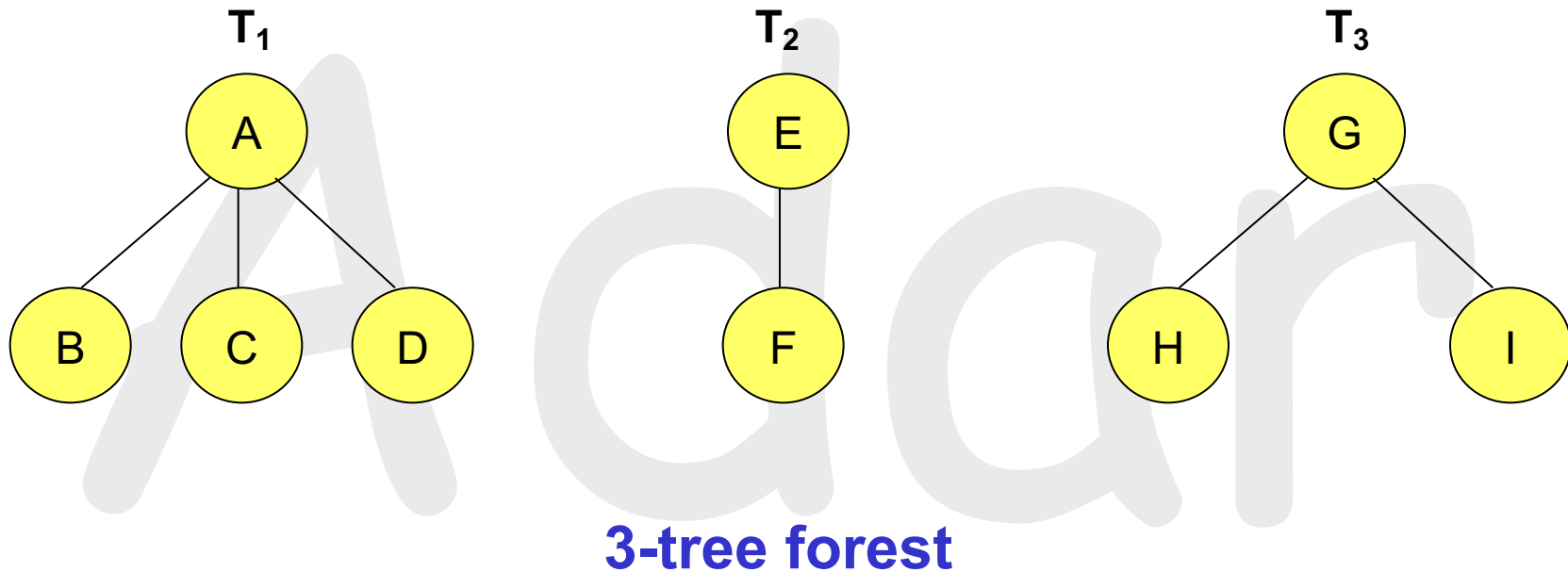
Time Complexity:  $O(n \log k)$

1. Updates along the path only
2. No need to access the siblings



# Forest

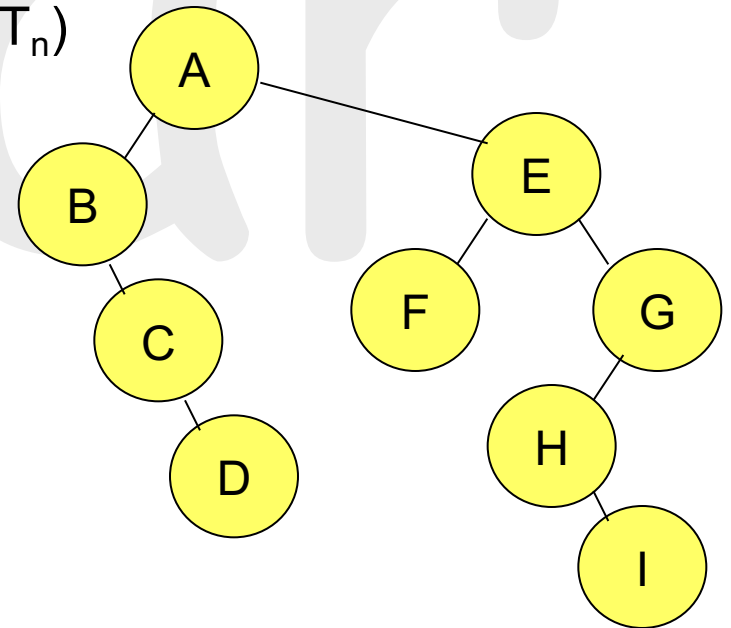
- A forest is a set of  $n \geq 0$  **disjoint** trees





# Convert a Forest into a Binary Tree

- If  $T_1, \dots, T_n$  is a forest of trees, the binary tree corresponding to the forest, denoted by  $B(T_1, \dots, T_n)$ 
  - is empty if  $n = 0$
  - has a root equal to  $\text{root}(T_1)$
  - has a left subtree equal to  $B(T_{11}, T_{12}, \dots, T_{1m})$ , where  $T_{11}, \dots, T_{1m}$  are the subtrees of  $\text{root}(T_1)$
  - has a right subtree equal to  $B(T_2, \dots, T_n)$

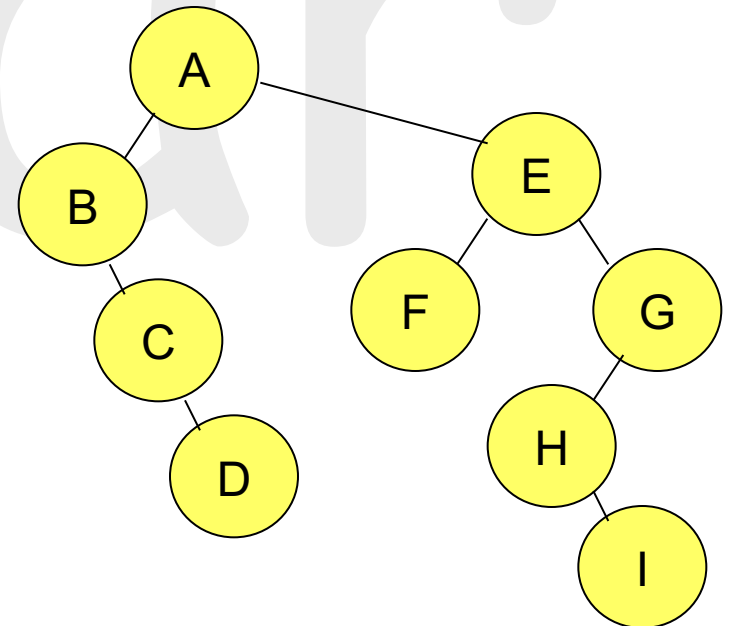
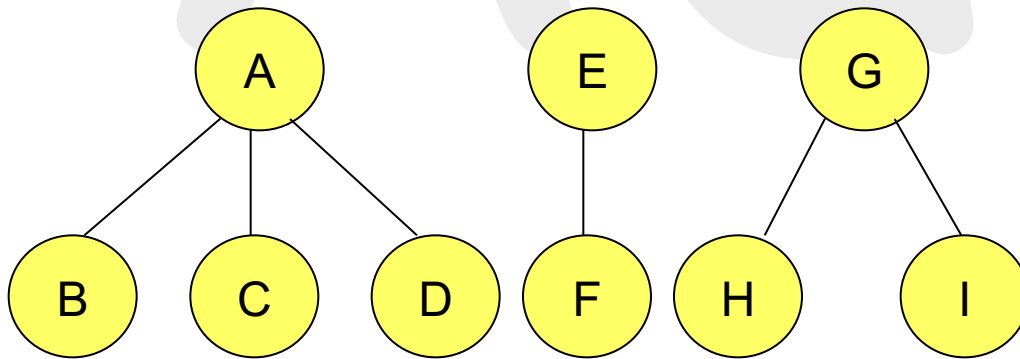


**Compare with left child – right sibling representation of a tree**

# Forest Preorder

Assume a forest  $F$  and its corresponding binary tree  $T$

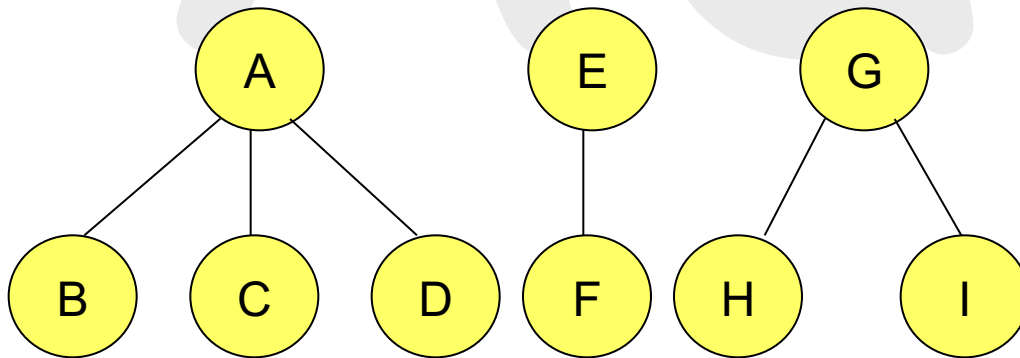
- Preorder traversal of  $T$  is equivalent to visiting the nodes of  $F$  in forest preorder
  - if  $F$  is empty then return
  - visit the root of the first tree of  $F$
  - traverse the subtrees of the first tree in forest preorder [recursive]
  - traverse the remaining trees of  $F$  in forest preorder [recursive]



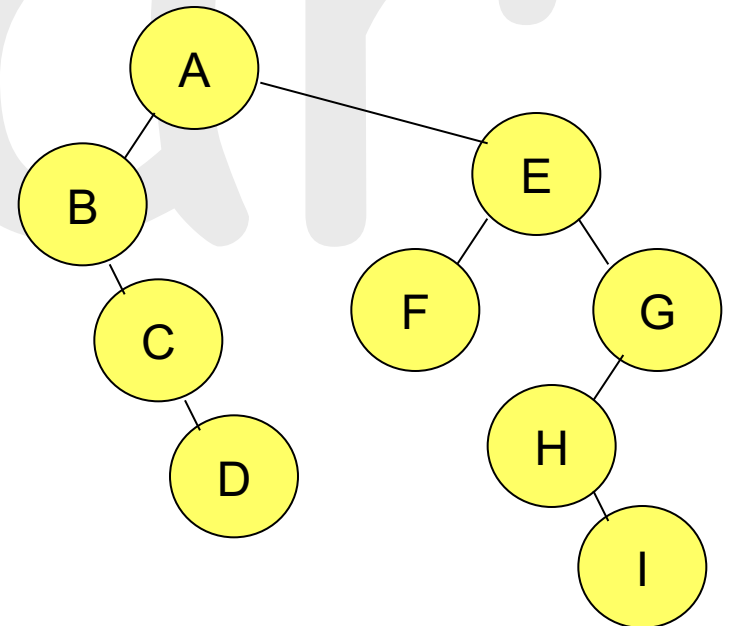
**Forest preorder → A B C D E F G H I**

# Forest Inorder

- Inorder traversal of T is equivalent to visiting the nodes of F in forest inorder
  - if F is empty then return
  - traverse the subtrees of the first tree in forest inorder [recursive]
  - visit the root of the first tree of F
  - traverse the remaining trees of F in forest inorder [recursive]



**Forest inorder → B C D A F E H I G**



# Final Review

- Trees
  - degree, leaf, parent, child, sibling, ancestor, level, height, depth
  - degree-k, left child-right sibling, degree-2 representations
- Binary trees
  - skewed, complete, full binary trees
  - array, linked list representations
  - traversals: preorder(VLR), inorder(LVR), postorder(LRV), level-order
    - recursive or non-recursive; stack or queue
  - operations: tree copy, equality test, SAT
- Threaded binary trees
- Min/max heaps as min/max priority queues: insertion/deletion
- Binary search tree (BST): search/insertion/deletion
- Selection trees: winner tree and loser tree
- Forest

# C++ Reference

## Containers in C++ STL

- `priority_queue`
  - is actually a container adaptor
  - default container: vector
- `map/multimap` : **key** → data
  - is typically a balanced BST
  - typical implementation: **red-black tree**
- `set/multiset` : **key** only
  - is typically a balanced BST
  - typical implementation: **red-black tree**