# 参考答案

备注:目前参考答案内容以英文为主,仅供参考。

## 第一题

(a) To compute the cosines of the angles between the vectors for each pair of the three computers in terms of  $\alpha$  and  $\beta$ , you can use the formula for the cosine similarity (cosine distance):

Cosine Similarity (A,B) = 
$$\frac{A \cdot B}{\|A\| * \|B\|}$$

Let's calculate the cosine similarity for each pair of computers:

For computers A and B:

 $A=[3.06,500\alpha,6\beta]$ 

 $B=[2.68,320\alpha,4\beta]$ 

Then, Cosine Similarity (A,B) = 
$$\frac{3.06*2.68+500\alpha*320\alpha+6\beta*4\beta}{\sqrt{(3.06)^2+(500\alpha)^2+(6\beta)^2}*\sqrt{(2.68)^2+(320\alpha)^2+(4\beta)^2}}$$

For computer A and C:

 $A=[3.06,500\alpha,6\beta]$ 

 $C=[2.92,640\alpha,6\beta]$ 

Then, Cosine Similarity (A,C) = 
$$\frac{3.06*2.92+500\alpha*640\alpha+6\beta*6\beta}{\sqrt{(3.06)^2+(500\alpha)^2+(6\beta)^2}*\sqrt{(2.92)^2+(640\alpha)^2+(6\beta)^2}}$$

For computer B and C:

 $B=[2.68,320\alpha,4\beta]$ 

 $C=[2.92,640\alpha,6\beta]$ 

Then, Cosine Similarity (B,C) = 
$$\frac{2.68*2.92+320\alpha*640\alpha+4\beta*6\beta}{\sqrt{(2.68)^2+(320\alpha)^2+(4\beta)^2}*\sqrt{(2.92)^2+(640\alpha)^2+(6\beta)^2}}$$

(b)

When  $\alpha$  = 0.01 and  $\beta$  = 0.5, the angles between the vectors are computed using the cosine similarity formula with  $\alpha$  = 0.01 and  $\beta$  = 0.5

Cosine Similarity (A,B) = 
$$\frac{3.06*2.68+500*0.01*320*0.01+6*0.5*4*0.5}{\sqrt{(3.06)^2+(500*0.01)^2+(6*0.5)^2}*\sqrt{(2.68)^2+(320*0.01)^2+(4*0.5)^2}}=0.9908815005407525$$

Cosine Similarity (A,C) = 
$$\frac{3.06*2.92+500*0.01*640*0.01+6*0.5*6*0.5}{\sqrt{(3.06)^2+(500*0.01)^2+(6*0.5)^2}*\sqrt{(2.92)^2+(640*0.01)^2+(6*0.5)^2}}=0.9915547143332561$$

Cosine Similarity (B,C) = 
$$\frac{2.68*2.92+320*0.01*640*0.01+4*0.5*6*0.5}{\sqrt{(2.68)^2+(320*0.01)^2+(4*0.5)^2}*\sqrt{(2.92)^2+(640*0.01)^2+(6*0.5)^2}} = 0.9691779219936828$$

## 第二题

(a) 布尔代数如下:

$$\begin{bmatrix} 1 & 1 & 0 & 1 & 1 & 0 & 1 & 1 \\ 0 & 1 & 1 & 1 & 1 & 1 & 1 & 0 \\ 1 & 0 & 1 & 1 & 0 & 1 & 1 & 1 \end{bmatrix}$$

因此,

J(A, B)=1-4/8=0.5;

J(A,C)=1-4/8=0.5;

J(B,C)=1-4/8=0.5

(b)  $\cos(A, B) = 4/6$ ;

 $\cos(A, C) = 4/6;$ 

cos(B, C) = 4/6;

(c) 阈值处理后

$$\begin{bmatrix}
1 & 1 & 0 & 0 & 1 & 0 & 0 & 1 \\
0 & 1 & 1 & 1 & 0 & 0 & 0 & 0 \\
0 & 0 & 0 & 1 & 0 & 1 & 1 & 0
\end{bmatrix}$$

 $cos(A, B)=1/12^{(1/2)};$ 

cos(A, C)=0;

cos(B, C) = 1/3;

(d) 归一化后如下:

$$\begin{bmatrix} 1 & 2 & \square & 2 - 2 & \square & 0 & -1 \\ \square & 0 & 1 & 0 - 2 - 1 - 2 & \square \\ -1 & \square & -2 & 0 & \square & 1 & 2 & 0 \end{bmatrix}$$

因此, cos(A,B)=4/140^(1/2); cos(A,C)=-1/140^(1/2); cos(B,C)=-7/10;

### 第三题

(a) 设 $MM^T = A$ ,  $M^TM = B$ , 那么

$$A = M \cdot M^{T} = \begin{bmatrix} 1 & 2 & 3 \\ 3 & 4 & 5 \\ 5 & 4 & 3 \\ 0 & 2 & 4 \\ 1 & 3 & 5 \end{bmatrix} \cdot \begin{bmatrix} 1 & 3 & 5 & 0 & 1 \\ 2 & 4 & 4 & 2 & 3 \\ 3 & 5 & 3 & 4 & 5 \end{bmatrix} = \begin{bmatrix} 14 & 26 & 22 & 16 & 22 \\ 26 & 50 & 46 & 28 & 40 \\ 22 & 46 & 50 & 20 & 32 \\ 16 & 28 & 20 & 20 & 26 \\ 22 & 40 & 32 & 26 & 35 \end{bmatrix}$$

$$B = \begin{bmatrix} 1 & 3 & 5 & 0 & 1 \\ 2 & 4 & 4 & 2 & 3 \\ 3 & 5 & 3 & 4 & 5 \end{bmatrix} \begin{bmatrix} 1 & 2 & 3 \\ 3 & 4 & 5 \\ 5 & 4 & 3 \\ 0 & 2 & 4 \\ 1 & 3 & 5 \end{bmatrix} = \begin{bmatrix} 36 & 37 & 38 \\ 37 & 49 & 61 \\ 38 & 61 & 84 \end{bmatrix}$$

#### (b)特征值保留 4 位小数:

$$|A-\lambda\cdot I| = \begin{bmatrix} 14-\lambda & 26 & 22 & 16 & 22\\ 26 & 50-\lambda & 46 & 28 & 40\\ 22 & 46 & 50-\lambda & 20 & 32\\ 16 & 28 & 20 & 20-\lambda & 26\\ 22 & 40 & 32 & 26 & 35-\lambda \end{bmatrix} = 0$$

#### 求得A的特征值:

$$\lambda_1 = 153.5670, \quad \lambda_2 = 15.4330, \quad \lambda_3 = \lambda_4 = \lambda_5 = 0$$

## 类似地,

#### 求得B的特征值:

$$\lambda_1 = 153.5670, \quad \lambda_2 = 15.4330, \quad \lambda_3 = 0$$

(c)

#### 根据特征值求特征向量:

$$(A - \lambda \cdot I) \cdot y = 0$$
 将y单位化:  $x = \frac{y}{|y|}$ 

 $\lambda_1$ 对应的单位特征向量:

$$\lambda_2$$
对应的单位特征向量:

$$x_1 = \begin{pmatrix} 0.2977 \\ 0.5705 \\ 0.5207 \\ 0.3226 \\ 0.4590 \end{pmatrix}$$

$$x_2 = \begin{pmatrix} 0.1591 \\ -0.0332 \\ -0.7359 \\ 0.5104 \\ 0.4143 \end{pmatrix}$$

$$x_3 = \begin{pmatrix} 0.1870 \\ 0.5705 \\ -0.2340 \\ 0.2327 \\ -0.7284 \end{pmatrix}$$

λ4对应的单位特征向量:

 $\lambda_5$ 对应的单位特征向量:

$$x_4 = \begin{pmatrix} 0.9186 \\ -0.3401 \\ 0.0399 \\ -0.1713 \\ -0.0980 \end{pmatrix} \qquad x_5 = \begin{pmatrix} -0.0853 \\ -0.4820 \\ 0.3619 \\ 0.7429 \\ -0.2784 \end{pmatrix}$$

### 因此 A 矩阵的特征向量, 也就是 SVD 分解中的 U 如下:

$$U = \begin{bmatrix} 0.2977 & 0.1591 & 0.1870 & 0.9186 & -0.0853 \\ 0.5705 & -0.0332 & 0.5705 & -0.3401 & -0.4820 \\ 0.5207 & -0.7359 & -0.2340 & 0.0399 & 0.3619 \\ 0.3226 & 0.5104 & 0.2327 & -0.1713 & 0.7429 \\ 0.4590 & 0.4143 & -0.7284 & -0.0980 & -0.2784 \end{bmatrix}$$

类似地, 先求解 B 矩阵中特征值对应的单位特征向量:

 $\lambda_1$ 对应的单位特征向量:

 $\lambda_2$ 对应的单位特征向量:

 $\lambda_3$ 对应的单位特征向量:

$$x_1 = \begin{pmatrix} 0.4093 \\ 0.5635 \\ 0.7176 \end{pmatrix}$$

$$x_2 = \begin{pmatrix} -0.8160 \\ -0.1259 \\ 0.5642 \end{pmatrix}$$

$$x_3 = \begin{pmatrix} -0.4082 \\ 0.8165 \\ -0.4082 \end{pmatrix}$$

因此, B矩阵的特征向量, 也就是SVD分解中的V如下:

$$V = \begin{bmatrix} 0.4093 & -0.8160 & -0.4082 \\ 0.5635 & -0.1259 & 0.8165 \\ 0.7176 & 0.5642 & -0.4082 \end{bmatrix}$$

那么,也可以求解 $V^T$ 结果如下:

$$V^T = \begin{bmatrix} 0.4093 & 0.5635 & 0.7176 \\ -0.8160 & -0.1259 & 0.5642 \\ -0.4082 & 0.8165 & -0.4082 \end{bmatrix}$$

(d) 那么, **∑**=

因此,  $M=U\Sigma V^T=$ 

$$= \begin{bmatrix} 0.2977 & 0.1591 & 0.1870 & 0.9186 & -0.0853 \\ 0.5705 & -0.0332 & 0.5705 & -0.3401 & -0.4820 \\ 0.5207 & -0.7359 & -0.2340 & 0.0399 & 0.3619 \\ 0.3226 & 0.5104 & 0.2327 & -0.1713 & 0.7429 \\ 0.4590 & 0.4143 & -0.7284 & -0.0980 & -0.2784 \end{bmatrix} \begin{bmatrix} 12.3922 & 0 & 0 \\ 0 & 3.9285 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix} \begin{bmatrix} 0.4093 & -0.8160 & -0.4082 \end{bmatrix}^T \\ 0.5635 & -0.1259 & 0.8165 \\ 0.7176 & 0.5642 & -0.4082 \end{bmatrix}^T$$

$$= \begin{bmatrix} 0.2977 & 0.1591 & 0.170 & 0.9186 & 353 \\ 0.5705 & -0.0332 & 0.5 & 0.224 & 0.320 \\ 0.5207 & -0.7359 & -0.2340 & 0.3619 \\ 0.3226 & 0.5104 & 0.2341 & 0.344 & -0.0980 & 784 \end{bmatrix} \cdot \begin{bmatrix} 12.3922 & 0 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0.39285 & 0$$

$$= \begin{bmatrix} 0.2977 & 0.1591 \\ 0.5705 & -0.0332 \\ 0.5207 & -0.7359 \\ 0.3226 & 0.5104 \\ 0.4590 & 0.4143 \end{bmatrix} \begin{bmatrix} 12.3922 & 0 \\ 0 & 3.9285 \end{bmatrix} \begin{bmatrix} 0.4093 & -0.8160 \\ 0.5635 & -0.1259 \\ 0.7176 & 0.5642 \end{bmatrix}$$

#### 第四题

假阳率 (假正例率) =  $(1 - e^{-km/n})^k$ ,其中 k represents the value of the hash function, n size of the bit array (the length of the Bloom Filter), m is the total number of members in the set s.

那么 k=3 时, 
$$(1-e^{-km/n})^k=(1-e^{-3/8})^3=0.030579\approx 3.1\%$$
 k=4 时,  $(1-e^{-km/n})^k=(1-e^{-4/8})^4=0.0323969\approx 3.2\%$ 

#### 第五题

- (a) Step 1: Hash value will be calculated by putting x value i.e., element into the given formula  $h(x)=2x+1 \mod 32$ . For instance when x=3, then h(2)=7
- **Step 2:** After finding the hash value convert that hash value into a binary digit. For instance, the calculated hash value for the element x=3 is 7, so now convert 7 into a binary digit by simply dividing the 2 by 7 and noting down the remainder, which will be 111. Also, place 0's to make it a 5-bit binary integer, i.e., 00111
- **Step 3:** Calculate the Tail Length =R Whenever the user apply a hash function h to a stream element a, the bit string h(a) will end in some number of 0s, possibly none. This is called Tail Length R for a and h.

**Step 4:** At last, calculate the number of distinct elements  $2^R$  by simply placing the value of Tail Length R. By using the above Steps along with Flajolet-Martin Algorithm following calculation is done:

Element	Hashed value	Convert to Binary		Tail Length=R	Number of distinct elements 2 <sup>R</sup>
3	7	0011		0	1
1	3	0001		0	1
4	9	0100		0	1
1	3	0001		0	1
5	11	0101		0	1
9	19	1001		0	1
2	5	00101		0	1
6	13	01101		0	1
5	11	0101		0	1

From the above hash function, the maximum tail length R=0, so the number of distinct elements is estimated to be  $2^R=1$ 

(b) By using the above Steps along with Flajolet-Martin Algorithm following calculation is done:

Element	Hashed value	Convert to Binary	Tail Length=R	Number of distinct elements 2 <sup>R</sup>
3	12	01100	2	4
1	4	00100	2	4
4	16	10000	4	16
1	4	00100	2	4
5	20	10100	2	4
9	4	00100	2	4
2	8	01000	3	8
6	24	11000	3	8
5	20	10100	2	4

From the above hash function, the maximum tail length R=4, so the number of distinct elements is estimated to be  $2^R = 16$ .

## 第六题

这是一个长度为9的流,其中元素3出现2次,元素4出现2次,元素1出现3次,元素 2 出现 2 次。

因此奇异数=3\*2^2+3^2=21

而三阶矩=3\*2^3+3^3=51

## 第七题

k=5 时, 预估 1 的数量=2\*桶大小为 1+桶大小为 2 的一半=3。 真实 1 的数量=3, 因此预估值和真实值差了 0; k=15 时, 预估 1 的数量=2\*桶大小为 1+桶大小为 2+桶大小为 4+桶大小为 4 的一半=10。 真实 1 的数量=9。因此预估值和真实值差了 1。