# Lecture notes Einführung in die Logik 2024W

This is a summary of the material discussed in the lecture "Mathematische Logik". It is still a work in progress and there **may me mistakes** in this work. If you find any, feel free to let me know and I will correct them

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# Propositional logic

Language Definition 1.1. Language of PL: The Language of Propositional logic is a set containing

- logical symbols: consisting of the sentential connective symbols  $\neg, \wedge, \vee, \rightarrow, \leftrightarrow$  and parenthesis (, )
- non-logical symbols:  $A_1, A_2, A_3, \ldots$  (also called sentential atoms, variables)

from which we assume (for unique readability) that no symbol is a finite sequence of any other symbols.

#### Note:

- 1. The role of the logical symbols doesn't change, the sentential atoms we see as variables, they function as placeholders or variables.
- 2. we assumed the set of non-logical symbols is countable, for most of our conclusions you could use any set of prop. atoms of any size

expression

**Definition 1.2. Expression / prop. sentence:** An expression is a any finite sequence of symbols We define grammatically correct exp. recursive

- 1. every prop. atom is a prop. sentence
- 2. if  $\alpha, \beta$  are prop. sentences, then also  $\neg \alpha, \alpha \land \beta, \alpha \lor \beta, \alpha \to \beta, \alpha \leftrightarrow \beta$
- 3. nothing else

prop. sentence prop. fla.

and call them **prop. sentences.** or **prop. fla.** Equivalently stated every prop. sentence is built up by applying finitly many operations TODO This allows us to symbolize the **expression tree** 

construction sequence

**Definition 1.3. Construction sequence:** Given a prop. sentence  $\alpha$  a **construction sequence** of  $\alpha$  is a finite sequence  $\langle \alpha_1, \dots \alpha_{n-1}, \alpha \rangle$  such that for all  $i \leq n$  the following holds

- $\alpha_i$  is a sentential atom
- or  $\alpha_i = \varepsilon_{\neg}(\alpha_i)$  for some j < i
- or  $\alpha_i = \varepsilon_{\square}(\alpha_j, \alpha_k)$  for some j, k < i and  $\square \in \{\land, \lor, \rightarrow, \leftrightarrow\}$

closure

**Definition 1.4.**: Let S be a set. We say S is **closed** under an n-ary operational symbol f iff for all  $s_1, s_2, \ldots s_n \in S$  it holds  $f(s_1, s_2, \ldots s_n) \in S$ 

Induction principle: Suppose S is a set of prop. sentences containing all prop. atoms and closed under the 5 formula building operations, then S is the set of all prop. sentences.

*Proof.* let PS = set of all prop. sent.

 $S \subseteq PS$ : is clear

 $S \supseteq PS$ : let  $\alpha \in PS$  then  $\alpha$  has a construction seq.  $\langle \alpha_1, \dots \alpha_{n-1}, \alpha \rangle$  and  $\alpha_1 \in S$  lets assume that  $\alpha_i$  for  $i \le k < n$  is in S then  $\alpha_{k+1}$  is either an atom and therefore in S or its obtained by one of the formula building operations from the and therefore  $\alpha_{k+1} \in S$ 

# 1.1 TRUTH ASSIGNMENTS

The interpretation of a prop. atom is either true or false, denoted by 0/1 or T/F. A truth assignment is simply any map  $\nu: S \mapsto \{0,1\}$ , where S is a map of prop. sent. Our goal is going to be to extend any truth assignment v to a function  $\overline{v}: \overline{S} \mapsto \{0,1\}$ , where  $\overline{S}$  is the closure of S under the 5 fla. building functions.

**Definition 1.5. Truth assignment:** Let  $\{0,1\}$  be the set of truth values. A truth assignment (TA) for a set S of prop. atoms is a map  $\nu: S \to \{0,1\}$ 

Truth assigment TA

We now want to extend  $\nu$  to  $\overline{\nu}: \overline{S} \to \{0,1\}$ , where  $\overline{S}$  is the closure of S under the 5 fla. building operations such that

- 1.  $\overline{\nu}(A) = \nu(A)$
- 2.  $\overline{\nu}(\neg \alpha) = 1 \nu(\alpha)$

3. 
$$\overline{\nu}(\alpha \wedge \beta) = \begin{cases} 1 & \text{iff } \overline{\nu}(\alpha) = 1 = \overline{\nu}(\beta) \\ 0 & \text{otherwise} \end{cases}$$

4. 
$$\overline{\nu}(\alpha \vee \beta) = \begin{cases} 1 & \text{iff } \overline{\nu}(\alpha) = 1 \text{ or } \overline{\nu}(\beta) = 1 \\ 0 & \text{otherwise} \end{cases}$$

5. 
$$\overline{\nu}(\alpha \to \beta) = \begin{cases} 1 & \text{iff } \overline{\nu}(\alpha) = 0 \text{ or } \overline{\nu}(\beta) = 1 \\ 0 & \text{otherwise} \end{cases}$$

6. 
$$\overline{\nu}(\alpha \leftrightarrow \beta) = \begin{cases} 1 & \text{iff } \overline{\nu}(\alpha) = \overline{\nu}(\beta) \\ 0 & \text{otherwise} \end{cases}$$

We also want the extention to be unique, that is

Theorem 1.1. Unique readability:  $\forall$  TA  $\nu$  for a set  $S \exists ! \overline{\nu} : \overline{S} \to \{0,1\}$  satisfying the above properties

We will proof this later

We will be talking about TA satisfying prop. sent.

**Definition 1.6. Satisfaction:** A TA  $\nu$  satisfies a prop. sent.  $\alpha$  iff  $\overline{\nu}(\alpha) = 1$  (that is, provided that everery atom of  $\alpha$  is in the domain of  $\nu$ )

**Definition 1.7. Tautological implication:** Let  $\Sigma$  be a set of prop. sent. and  $\alpha$  a prop. sent. then we say:  $\Sigma$  tautologically imlies  $\alpha$  iff  $\forall$  TA that satisfies  $\Sigma$  then  $\alpha$  is also satisfied and we write  $\Sigma \models \alpha$ 

If  $\Sigma = \{\beta\}$ , we simply write  $\beta \models \alpha$  If  $\Sigma = \emptyset$  then we write  $\models \alpha$  for  $\emptyset \models \alpha$  and  $\alpha$  is called a **tautology** 

 $\alpha, \beta$  are called **tautologically equivalent** iff  $\alpha \models \beta$  and  $\beta \models \alpha$ , we then write  $\alpha \models \beta$ 

Note: In other words, tautological implication  $\Sigma \models \alpha$  means that you can not find a TA, that satisfy all members of  $\Sigma$  but not  $\alpha$ . A tautology is satisfied by every TA. Suppose there is no TA that satisfies  $\Sigma$ , then we have  $\Sigma \models \alpha$  for every prop. sent.  $\alpha$ 

**Example 1.1.**: 
$$\{\neg A \lor B\} = \models A \to B$$

Note: In order to check if a prop. sent. is satisfiable we need to check  $2^N$  TAs, where N=# of atoms. It is unknown if this can be done by an algorithm in polynomial time. Answering this would settle the debate whether P=NP

TODO: Add section here? However we can find a way to reduce satisfiability of an infinite set  $\Sigma$  of prop. sent. There later will be a more elementary proof of the compactness theorem, this proof is not part of the exam.

**Theorem 1.2.** Compactness theorem: Let  $\Sigma$  be an infinite set of prop. sent. such that

 $\forall \Sigma_0 \subseteq \Sigma, \Sigma_0 \text{finite} \exists \text{ TA satisfying every member of } \Sigma_0$ 

then there is a TA satisfying every member of  $\Sigma$ .

*Proof.* using topology: We have our infinite set of prop. sent. which satisfies above condition. One way to look at TA is as a sequence of 0, 1, Let  $\mathcal{A} = \{A_0, A_1, \dots\}$  be the set of all prop. atoms. We are going to identify TAs with elements in  $\{0, 1\}^{\mathcal{A}} := \{f : \mathcal{A} \to \{0, 1\}\}$  (set of all TAs) This is a topological space with product topology, which we will view The basic open sets (called cylinders) will be

- fix finitly many places and set TV on them,
- others beliebig

 $U \subseteq \{0,1\}^{\mathcal{A}}$  such that  $p_n(U) = \{0,1\}$  for all but finite many n, where  $p_n$  is the n-th projection. Note: basic open sets are also closed. We now define the open sets as unions of basic open sets. The idea is to use Tychonoffs Thm. which tells us that  $\{0,1\}^{\mathcal{A}}$  is compact. i.e. the intersection of a family of closed subsets  $\mathbf{w}/$  the finite intersection property (FIP) is non-empty finite intersection property means the intersection of finitly many sets is non-empty.

For  $\alpha \in \Sigma$  let  $T_{\alpha} \subseteq \{0,1\}^{\mathcal{A}}$  be the set of TA that satisfy  $\alpha$ . This  $T_{\alpha}$  is a finite union of cylinders, bc. it only depends on finitly many assignments, hence closed. The family  $\{T_{\alpha} : \alpha \in \Sigma\}$  of closed sets with FIP. Tychonoff tells us, that  $\bigcup_{\alpha \in \Sigma} T_{\alpha} \neq \emptyset$  so there is a TA satisfying  $\Sigma$ .

useful might be book p. 26-27

## 1.2 A PARSING ALGORITHM

To prove Theorem 1.1 we essentially need to show that we have enough parenthesis to make the reading of a prop. sent. unique. TODO Bsp

Lemma 1.1. : Every prop. sent. has the same number of left and right parenthesis.

*Proof.* Let  $M = \operatorname{set}$  of prop. sent. w/ # left parenthesis = # right parenthesis and  $PS = \operatorname{set}$  of all prop. sent. We have  $M \subseteq PS$ . Since atoms have no parenthesis, they are in M, we just need to show that M is closed under the 5 construction operations.  $\varepsilon_{\neg} = (\neg \alpha) \dots$ 

**Lemma 1.2.**: No proper initial segment of a prop. sent. is itself a prop. sent.

*Proof.* Let  $\alpha = \alpha_1 \alpha_2 \dots \alpha_n$  be a prop. sent. By proper initial segment we understand  $\beta = \alpha_1 \dots \alpha_i$  for  $1 \leq i < n$ . We will prove that every proper initial segment has an excess of left parenthesis, then we use the previous lemma. Let PS = set of all prop. sent. and PF = set of prop. sent. s.t. no proper initial segment has # left parenthesis = # right parenthesis, we will prove that these sets are the same.

Let  $\alpha \in PF$ . By induction over the fla. building operations

- Atoms: since the empty sequence is no prop. sent. they have no proper initial segment.
- If the above is true for  $\alpha, \beta$  then the proper initial segments of  $(\neg \alpha)$  are of the form

```
(\neg \alpha) (\neg \alpha' where \alpha' is a propper initial segment of \alpha) (\neg)
```

Therefore  $\varepsilon_{\neg}$  preserves this property and under  $\varepsilon_{\wedge}, \varepsilon_{\vee}, \varepsilon_{\rightarrow}, \varepsilon_{\leftrightarrow}$  this is also the case.

## Parsing algorithm

We now give a parsing algorithm procedure. For input we take some expression  $\tau$  and the algorithm will determine if  $\tau$  is a prop. sent. If so, it will generate a unique construction tree (in form of a rooted tree) for  $\tau$ . (i.e. the construction tree gives us a unique readability) That there is a unique way to perform the algorithm is implied by Lemma 1.2

- 0. create the root and label it  $\tau$
- 1. HALT if all leaves are labled w/ prop. atom and return: " $\tau$  is a prop. sent."
- 2. select a leaf of the graph which is not labled w/ prop. atom
- 3. if the first symbol of label under consideration is not a left parenthesis, then halt and return: " $\tau$  is not a prop. sent."
- 4. if the second symbol of the label is "¬" then GOTO 6.
- 5. scan the expression from left to right if we reach a proper initial segment of the form "( $\beta$ " where  $\#lp(\beta) = \#rp(\beta)$  and  $\beta$  is followed by one of thesection  $\land, \lor, \rightarrow, \leftrightarrow$  and the remainder of the expression is of the form  $\beta'$ ), where  $\#lp(\beta') = \#rp(\beta')$

Then: create two child nodes (left,right) to the selected element and label them (left  $:= \beta$ , right  $:= \beta'$ ) GOTO 1.

Else: HALT and return " $\tau$  is not a prop. sent."

6. if the expression is of the form  $(\neg \beta)$  where  $\#lp(\beta) = \#rp(\beta)$ 

Then: construct one child node and label it  $\beta$  and GOTO 1.

Else: HALT and return: " $\tau$  is not a prop. sent."

**Example 1.2.**: TODO The parsing algorithm applied to  $((\neg(A_1 \to A_2)) \lor A_3)$  returns the following construction tree.

## Correctness of the parsing algorithm

- The algorithm always halts, because the length of a child is less than the label of a parent.
- If the algorithm halts with the conclusion that  $\tau$  is a prop. sent. then we can prove inductively (starting from the leaves) that each label is a prop. sent
- Unique way to make choices in the algorithm: in particular  $\beta, \beta'$  in step 5. If there was a shorter choice for  $\beta$  it would be a proper initial segment of  $\beta$  but such prop. sent. can not exist. (This also works under the assumption that a longer choice exists).
- rejections are made correctly

Back to proving the existence and uniqueness of  $\overline{\nu}$  in Theorem 1.1. Let  $\alpha$  be a prop. sent. of  $\overline{S}$ . We apply the parsing algorithm to  $\alpha$  to get a unique construction tree For the leaves, use  $\nu$  go get the truth values then work our way up using the conditions (1-6) in Definition 1.5.

## A more formal notation

TODO

# 1.3 INDUCTION AND RECURSION

A simple case: let  $\mathcal{U}$  be a set and  $B \subseteq \mathcal{U}$  our initial set.  $\mathcal{F} = \{f, g\}$  a class of functions containing just f and g, where

$$f: \mathcal{U} \times \mathcal{U} \to \mathcal{U}, \qquad g: \mathcal{U} \to \mathcal{U}$$

We want to construct the smallest subset  $\mathcal{C} \subseteq \mathcal{U}$  such that  $B \subseteq \mathcal{C}$  and  $\mathcal{C}$  is closed under all elements of  $\mathcal{F}$ .

**Definition 1.8. Closedness, Inductiveness:** We say  $\mathcal C$  is

- closed under f and g iff  $\forall x, y \in \mathcal{C} (f(x, y) \in \mathcal{C} \land g(x) \in \mathcal{C})$
- inductive if  $B \subseteq \mathcal{C}$  and  $\mathcal{C}$  is closed under  $\mathcal{F}$

Big TODO

## 1.4 SENTENTIAL CONNECTIVES

**Definition 1.9. Tautological equivalence relation:** For  $\alpha, \beta$  prop. sent. we define  $\alpha, \beta$  iff  $\alpha = \beta$ . This defines an equivalent relation.

Example 1.3. :  $A \rightarrow B = = \neg A \lor B$ 

Note: A k-place boolean function is a function of the form  $f: \{0,1\}^k \to \{0,1\}$  and we define 0,1 as the 0-place boolean functions.

If  $\alpha$  is a prop. sent. then it determines a k-place boolean function, where k is the number of atoms,  $\alpha$  is built up from. If  $\alpha$  is  $A_1 \vee \neg A_2$  then  $B_{\alpha} : \{0,1\}^2 \to \{0,1\}$  and asign its values corresponding a truth table. TODO extend / rearange function

**Theorem 1.3.**: If  $\alpha, \beta$  are prop. sent. with at most n prop. Atoms (combined), then

- 1.  $\alpha \models \beta$  iff  $\forall x \in \{0,1\}^n$  it holds  $B_{\alpha}(x) \leq B_{\beta}(x)$
- 2.  $\alpha = \beta$  iff  $\forall x \in \{0,1\}^n$  it holds  $B_{\alpha}(x) = B_{\beta}(x)$
- 3.  $\models \alpha \text{ iff } \forall x \in \{0,1\}^n \text{ it holds } B_{\alpha}(x) = 1$

**Theorem 1.4. Realisation:** Let G be an n-ary boolean function for  $n \ge 1$ . Then there is a prop. sent.  $\alpha$  such that.  $B_{\alpha} = G$ . We say  $\alpha$  realizes G.

*Proof.* 1. if G is constantly equal to 0 then set  $\alpha$  to  $A_1 \wedge \neg A_1$ .

2. Otherwise the set of inputs  $\{\vec{x}_1, \vec{x}_2, \dots \vec{x}_k\}$  for which  $G(\vec{x}_i) = 1$  holds is not empty. We denote  $\vec{x}_i = (x_{i1}, x_{i2}, \dots x_{in})$  and define a matrix  $(x_{ij})_{k \times n}$  We further set  $\beta_{ij} = \begin{cases} A_j & \text{iff } x_{ij} = 1 \\ \neg A_j & \text{iff } x_{ij} = 0 \end{cases}$  Example:

$$(x_{ij}) = \begin{pmatrix} 0 & 1 & 0 \\ 1 & 1 & 0 \end{pmatrix} \leadsto \begin{pmatrix} \neg A_1 & A_2 & \neg A_3 \\ A_1 & A_2 & \neg A_3 \end{pmatrix} = (\beta_{ij})$$

We define  $\gamma_i$  as  $\beta_{i1} \wedge \beta_{i2} \wedge \dots \beta_{in}$  for  $1 \leq i \leq k$  and  $\alpha$  as  $\gamma_1 \vee \gamma_2 \vee \dots \gamma_k = \vee_{i=1}^k \gamma_i$  Then  $B_{\alpha} = G$  is fulfilled.

Note:  $\alpha$  as constructed in the proof is in the so-called Disjunctive normal form (DNF).

Corollary 1.4. Every prop. sent. is tautologically equivalent to a sentence in DNF

Corollary 1.4.  $\{\neg, \land, \lor\}$  is a complete set of logical connectives, i.e. every prop. sent. is tautologically equivalent to a sentence built up from atoms and  $\neg, \land, \lor$ .

**Theorem 1.5.**: Both  $\{\neg, \land\}$  and  $\{\neg, \lor\}$  are complete.

*Proof.* Its sufficient to show that every k-place boolean function is realisable by a prop. sent. built up using only  $\neg$  and  $\land$ . This is, because  $\alpha \land \beta = \models \neg(\neg \alpha \lor \neg \beta)$  We prove this by induction over the number of disjuctions of a prop. sent.  $\alpha$  in DNF. Suppose the statement is true for  $k \le n$ . For n+1 and  $\alpha = \bigvee_{j=1}^{n+1} \gamma_j$  there exists an  $\alpha' = \bigvee_{j=1}^n \gamma_j$  and

$$\alpha = \bigvee_{j=1}^{n+1} \gamma_j = \models \alpha' \vee \gamma_{n+1} = \models \neg(\neg \alpha' \wedge \neg \gamma_{n+1})$$

Note: We used the observation that, if  $\alpha = \mid = \beta$  and we replace a subsequence of  $\alpha$  by a so called tautological equivalence then the result is also tautologically equivalent to  $\beta$  TODO S.10

**Example 1.4.**  $\{\rightarrow, \land\}$  is not complete.: Let  $\alpha \in PS$  built up from only  $\rightarrow, \land$  from the atoms  $A_1, \ldots A_n$  then we claim

$$A_1 \wedge A_2 \wedge \cdots \wedge A_n \models \alpha$$

We can also say  $\{\rightarrow, \land\}$  is not complete bc.  $\neg A$  is not tautological equivalent to a sent. built up from  $\rightarrow, \land$ 

*Proof.* Let  $C := \{ \alpha \in PS \text{ built up from } \to, \land \text{ and } A_1, \dots A_n \text{ for which } \bigwedge_{i=1}^n A_i \models \alpha \}$  we want to show that  $C = \{ \alpha \in PS \text{ built up from } \to, \land \text{ and } A_1, \dots A_n \}$ 

- We have  $\{A_1, A_2 \dots, A_n\} \subseteq C$
- for  $\alpha, \beta \in C$  it holds
  - (1)  $A_1 \wedge \cdots \wedge A_n \models \alpha \rightarrow \beta$
  - (2)  $A_1 \wedge \cdots \wedge A_n \models \alpha \wedge \beta$

Therefore C is closed under the fla. building operations and we have proven our claim.  $\square$ 

Note:  $\{\land, \lor, \rightarrow, \leftrightarrow\}$  is still not complete.

Note: The number of *n*-ary boolean functions existing is  $2^{2^n}$  We define a notation for n=0:  $\bot$  (for TV = 0) and  $\top$  (for TV = 1) We can conclude that  $\{\neg, \rightarrow\}$  and  $\{\rightarrow, \bot\}$  are both complete, it holds  $\neg A = \models A \rightarrow \bot$ 

# Definition 1.10. Satisfiability:

A set of prop. sent.  $\Sigma$  is called **satisfiable** iff  $\exists$  TA that satisfies every member of  $\Sigma$ .

# 1.5 COMPACTNESS THEOREM

**Theorem 1.6. Compactness Theorem:**  $\Sigma$  is satisfiable iff every finite subset  $\Sigma_0 \subseteq \Sigma$  is satisfiable. (i.e.  $\Sigma$  is finitely satisfied)

*Proof.* Let  $\Sigma$  be a finitely satisfiable set of prop. sent. Outline of the proof:

- 1. extend  $\Sigma$  to a maximal finitely satisfiable set  $\Delta$  of prop. sent.
- 2. construct a thruth assignment using  $\Delta$
- 1. Let  $\alpha_1, \alpha_2, \ldots$  be an enumeration of all prop. sent. and define  $\Delta_n$  inductively by  $\Delta_0 := \Sigma$

$$\Delta_{n+1} := \begin{cases} \Delta_n \cup \{\alpha_{n+1}\} & \text{if satisfiable} \\ \Delta_n \cup \{\neg \alpha_{n+1}\} & \text{otherwise} \end{cases}$$

Claim:  $\Delta_n$  is finitely satisfiable for each n

proof of claim. By regular induction over n.  $\Delta_0$  is finitely satisfiable. Let us assume  $\Delta_n$  is finitely satisfiable. If  $\Delta_{n+1} = \Delta_n \cup \{\alpha_{n+1}\}$  then we are finished. Otherwise let  $\Delta' \subseteq \Delta_n$  be a finite set that  $\Delta' \cup \{\alpha_{n+1}\}$  is not satisfiable. It holds  $\Delta' \models \neg \alpha_{n+1}$ . We assume that  $\Delta_n \cup \{\neg \alpha_{n+1}\}$  is not finitely satisfiable. Then there exists a finite subset  $\Delta'' \subseteq \Delta_n$  such that  $\Delta'' \cup \{\neg \alpha_{n+1}\}$  is (finite and) not satisfiable. It therefore holds  $\Delta'' \models \alpha_{n+1}$  But  $\Delta' \cup \Delta''$  is a finite subset of  $\Delta_n$  and by above observations  $\Delta' \cup \Delta'' \models \alpha_{n+1}$  and  $\Delta' \cup \Delta'' \models \neg \alpha_{n+1}$  A contradiction to the assumption that  $\Delta_n$  is finitely satisfiable.

We set  $\Delta := \bigcup_{i \in \mathbb{N}} \Delta_i$  and get

- (a)  $\Sigma \subseteq \Delta$
- (b) (Maximality): for every prop. sent.  $\alpha$  it holds  $\alpha \in \Delta$  or  $\neg \alpha \in \Delta$
- (c) (Satisfiability):  $\Delta$  is finitely satisfiable. For every finite subset there exists a  $\Delta_n$  which is a superset.

 $\boxtimes$ 

- 2. Let  $\nu$  be a TA for the prop. atoms  $A_1, A_2, \ldots$  such that  $\nu(A) = 1$  iff  $A \in \Delta$  Claim: For every prop. sent.  $\varphi$  it holds  $\overline{\nu}(\varphi) = 1$  iff  $\varphi \in \Delta$ . proof of claim. Let  $S = \{ \varphi \in PS \text{ s.t. } \overline{\nu}(\varphi) = 1 \text{ iff } \varphi \in \Delta \}$ .
  - $PS \supseteq S$  is clear.
  - $PS \subseteq S$ 
    - (a)  $\{A_1, A_2 \dots\} \subseteq S$  by definition of  $\nu$
    - (b) closure under  $\epsilon_{\neg}$ : Let  $\varphi \in S$  then we get by maximality and satisfiability of  $\Delta$ :

$$\begin{split} \overline{\nu}(\neg\varphi) &= 1\\ \text{iff} \quad \overline{\nu}(\varphi) &= 0\\ \text{iff} \quad \varphi \not\in \Delta\\ \text{iff} \quad (\neg\varphi) &\in \Delta \end{split}$$

closure under  $\epsilon_{\rightarrow}$ : Let  $\varphi_1, \varphi_2 \in S$  similarly

$$\overline{\nu}(\varphi_1 \to \varphi_2) = 0$$
iff 
$$\overline{\nu}(\varphi_1) = 1 \text{ and } \overline{\nu}(\varphi_2) = 0$$
iff 
$$\varphi_1 \in \Delta \text{ and } \varphi_2 \notin \Delta$$
iff 
$$(\varphi_1 \to \varphi_2) \notin \Delta$$

The closure under the other fla. building operations are similar.

By this claim  $\overline{\nu}$  satisfies  $\Sigma$ .

Corollary 1.6. If  $\Sigma \models \tau$  then there exists a finite subset  $\Sigma' \subseteq \Sigma$  s.t.  $\Sigma' \models \tau$ 

*Proof.* Recall:  $\Sigma \models \tau$  iff  $\Sigma \cup \{\neg \tau\}$  is not satisfiable. Suppose  $\Sigma \models \tau$  but no finite subset does.

Then  $\forall \Sigma' \subseteq \Sigma$  finite  $\Sigma' \cup \{\neg \tau\}$  is satisfiable. By the compactness theorem  $\Sigma \cup \{\neg \tau\}$  is satisfiable which is a contradiction to  $\Sigma \models \tau$ .

Note: Theorem 1.6 and Corollary 1.6 are equivalent.

# Predicate - / first order logic

**Definition 2.1. A First order Language:** consists of infinetely many distinct symbols such that no symbol is a proper initial segment of another symbol and the symbols are divided into 2 groups:

1. logical symbols (These elements have a fixed meaning and the equivalence symbol = is optional)

$$(,), \neg, \to, v_1, v_2, \ldots, =$$

- 2. parameters
  - quantifier symbol: ∀ (the range is subject of interpretation)
  - predicate symbols:  $\forall n > 0$  we have a set of n-ary predicates
  - constant symbols: Some set of constants (could be  $\emptyset$ )
  - function symbols:  $\forall n > 0$  we have a set of n-ary function symbols

#### Note:

- We could drop constants and instead introduce 0-ary function symbols
- to specify language we need to specify the parameters and say if = is included

#### Example 2.1.:

- $\mathcal{L}_{set} = \{ \in \}, = included$
- $\mathcal{L}_{arith} = \{<, 0, S, E, +, \cdot\}$ 
  - = included
  - < is a binary rel. symbol
  - 0 is a constant
  - S is a unary function symbol
  - E exponentiation TODO
  - $+, \cdot$  binary function symbols

# 2.1 FORMULAS

**Definition 2.2. Expression:** An **expression** is any finite sequence of symbols. There exist two kinds of expressions

Terms: - the names of objects

- they are built up from variables and constants (by use of polish notation)

Formulas: - They express assertions about objects,

- they are built up from atomic formulas
- atomic formulas these are built up from terms using predicate symbols and

**Definition 2.3. Building Operations:**  $\forall n > 0$  and for every n-place function symbol f let  $\mathcal{F}_f$  be an n-place term building operation, that is  $\mathcal{F}_f(\alpha_1, \dots \alpha_n) := f(\alpha_1, \dots \alpha_n)$  The Set of Terms we then define as the set of expressions that are built up from variables and constants by applying the building operations finitely many times.

**Example 2.2.**: Let  $\mathcal{L} = \mathcal{L}_{arith}$  then the set of terms will contain 0,  $v_{42}$ , S0, SSS0,  $Sv_1$ ,  $+SOv_1$ 

**Definition 2.4. Atomic formula:** Any expression of the form

 $t_1 = t_2$  of  $P(t_1, \dots t_n)$ , where  $t_1, \dots t_n$  are terms and P is an n-ary predicate symbol

Note: Atomic formulas are not defined inductively.

**Example 2.3.**:  $cont. = v_1v_{42}, < SOSSO$  are atomic formulas, but  $\neg = v_1v_{42}$  is not.

**Definition 2.5. Formulas:** Let  $\varepsilon_{\neg}$ ,  $\varepsilon_{\rightarrow}$ ,  $Q_i$  be fla. building operations  $\varepsilon_{\neg}(\alpha) = (\neg \alpha)$ ,  $\varepsilon_{\rightarrow} = (\alpha \rightarrow \beta)$  and  $Q_i(\gamma) = \forall v_i \gamma$  The set of formulas is the set of expressions built up from atomic formulas by applying the fla. building operations finitely many times.

#### Free variables

**Example 2.4.** "Every non-zero natual number is a successor"  $\forall x(x \neq 0 \to \exists y S(y) = x)$  is different then "if a number is not 0, then it is a successor"  $x \neq 0 \to \exists y S(y) = x$ . In the latter, x occurs free in the fla.

**Definition 2.6. Free variables:** Let x be a variable. x occurs free in  $\phi$  is defined inductively as follows:

- 1. If  $\phi$  is an atomic fla. then x occurs free in  $\phi$  iff x occurs in  $\phi$
- 2. If  $\phi = (\neg \alpha)$  then x occurs free in  $\phi$  iff x occurs free in  $\alpha$
- 3. If  $\phi = (\alpha \to \beta)$  then x occurs free in  $\phi$  iff x occurs free in  $\alpha$  or  $\beta$
- 4. If  $\phi = \forall v_i \alpha$  then x occurs free in  $\phi$  iff x occurs free in  $\alpha$  and  $x \neg v_i$

TODO

# 2.2 SEMANTICS OF FIRST ORDER LOGIC

**Definition 2.7. structure:** A structure  $\mathcal{A}$  for a first order language  $\mathcal{L}$  is a non-empty set set A called **universe** or **underlying set of**  $\mathcal{A}$  together with an interpretation of each parameter of  $\mathcal{L}$  i.e.

- $\forall$  ranges over the universe A
- for an n-ary pred. symbol  $P \in \mathcal{L}$  its interpretation PA is a subset of  $A^n$
- for a constant  $c \in \mathcal{L}$  its interpretation  $c\mathcal{A}$  is an element of A
- for an *n*-ary function symbol  $f \in \mathcal{L}$  its interpretation  $f^{\mathcal{A}}$  is a total function  $f^{\mathcal{A}} : A^n \to A$

**Example 2.5.**: Let  $\mathcal{L} = \{\in\}$  where  $\in$  is a binary relation "An example of an  $\mathcal{L}$  structure is  $(\mathbb{N}, \in^{\mathbb{N}})$  where  $\in^{\mathbb{N}} = \{(x, y) \in \mathbb{N}^2 : x < y\}$ 

- 2.3 LOGICAL IMPLICATION
- 2.4 DEFINABILITY IN A STRUCTURE
- 2.5 Homomorphisms of structures
- 2.6 A PARSING ALGORITHM FOR FIRST ORDER LOGIC
- 2.7 UNIQUE READABILITY FOR TERMS
- 2.8 DEDUCTIONS (FORMAL PROOFS)
- 2.9 GENERALIZATION AND DEDUCTION THEOREM

TODO evt noch sectioons

# **Boolean Algebra**

**Definition 3.1. Boolean Algebra:** A boolean algebra is a set B with

- distinguished elements 0, 1 (called zero and unit of B)
- a unary operation ' on B (called **complementation** )
- two binary operations  $\vee$  called **join** and  $\wedge$  called **meet** s.t. for all  $x, y, z \in B$ 
  - $1. \ x \lor 0 = x \qquad x \land 1 = x$
  - $2. \ x \lor x' = 1 \qquad x \land x' = 0$
  - 3.  $x \lor y = y \lor x$   $x \land y = y \land x$
  - 4.  $(x \lor y) \lor z = x \lor (y \lor z)$   $(x \land y) \land z = x \land (y \land z)$
  - 5.  $x \lor (y \land z) = (x \lor y) \land (x \lor z)$   $x \land (y \lor z) = (x \land y) \lor (x \land z)$

**Example 3.1.**: Let S be a set,  $B := \mathcal{P}(S)$  the power set of  $S, 0 := \emptyset$  and 1 := S,

$$': \mathcal{P}(S) \to \mathcal{P}(S), x' := S \setminus x \qquad x \vee y := x \cup y, \quad x \wedge y := x \cap y \text{ for } x, y \in \mathcal{P}(S)$$

**Lemma 3.1.**: Let  $(B, ', \lor, \land, 0, 1)$  be a boolean algebra. Then it holds

- a) 0' = 1, 1' = 0
- b)  $x \lor x = x, x \land x = x$
- c) (x')' = x
- d)  $(x \lor y)' = x' \land y', (x \land y)' = x' \lor y'$
- e)  $x \lor y = y$  iff  $x \land y = x$

#### Lemma 3.2. :

- a)  $x \leq y :\Leftrightarrow x \vee y = y$  defines a partial ordering on B (inclusion) and it holds
- b)  $x \lor y$  is the least upper bound of  $\{x, y\}$  in B  $x \land y$  is the greatest lower bound of  $\{x, y\}$  in B
- c)  $0 \le x \le 1$  for all  $x \in B$

Note: A boolean algebra is a complemented distributive lattice.

**Definition 3.2. Opposite of boolean algebra:** Let  $(B,',\vee,\wedge,0,1)$  be a boolean algebra. The boolean algebra  $B^{\text{op}}$  is defined by

$$B^{\mathrm{op}} := B, \quad 0^{\mathrm{op}} := 1, \quad 1^{\mathrm{op}} := 0, \quad \text{' stayes the same as for} B, \quad \vee^{\mathrm{op}} := \wedge, \quad \wedge^{\mathrm{op}} := \vee$$

Note:  $(B^{op})^{op} = B$ 

**Definition 3.3. Subalgebra:** A subalgebra of B is a subset  $A \subseteq B$  s.t.  $0, 1 \in A$  and A is closed under  $', \land, \lor$ . The subalgebra generated by  $P \subseteq B$  is defined to be the smallest subalgebra containing P. Equivalently it is the intersection of all Subalgebras of B that contain P.

**Example 3.2.** Power set algebra: Let S be a set then  $\mathcal{P}(S)$  defines a boolean algebra on S.  $B := \{x \in \mathcal{P}(S) : x \text{ is finite or cofinite}\}$  is a subalgebra of  $\mathcal{P}(S)$  w/ set of generators  $\{\{s\} : s \in S\}$ 

Note: We will prove the Tarski-Stone Theorem: every boolean algebra is isomorphic to an algebra on a set.

**Example 3.3.** Lindenbaum Algebra of  $\Sigma$ : Let A be a set of prop. atoms,  $\operatorname{Prop}(A)$  the set of prop. generated by A. Further let  $\Sigma \subseteq \operatorname{Prop}(A)$  and p,q,r range over  $\operatorname{Prop}(A)$ . We say p is  $\Sigma$ -equivalent to q iff  $\Sigma \models_{\text{taut}} p \leftrightarrow q \Sigma$ -Equivalence is an equivalent relation on  $\operatorname{Prop}(A)$  and  $\operatorname{Prop}(A)/\Sigma$  is a boolean algebra with

 $0 := \pm/\Sigma$ ,  $1 := \top/\Sigma$ ,  $(p/\Sigma)' := (\neg p)/\Sigma$ ,  $(p/\Sigma \lor q/\Sigma) := (p \lor q)/\Sigma$ ,  $(p/\Sigma \land q/\Sigma) := (p \land q)/\Sigma$  a set of generators is  $\{a/\Sigma : a \in A\}$ 

**Definition 3.4. Homomorphisms of boolean algebras:** Let B, C be boolean algebras. A map  $\phi: B \to C$  is a (homo)morphism of boolean algebras iff  $\forall x, y \in B$  it holds

- $\phi(0_B) = 0_C$
- $\phi(x') = \phi(x)'$
- $\phi(x \vee y) = \phi(x) \vee \phi(y)$
- $\phi(x \wedge y) = \phi(x) \wedge \phi(y)$

If  $\phi: B \to C$  is bijective too , we call  $\phi$  an isomorphism and  $\phi^{-1}: C \to B$  is also a morphism of boolean algebras.

Note:  $\phi(B)$  is subalgebra of C

**Example 3.4.**: Let S,T be sets then a function  $f:S\to T$  induces a morphism of boolean algebras  $\mathcal{P}(T)\to\mathcal{P}(S):y\mapsto f^{-1}(y)$  If  $S\subseteq T$  and f the inclusion map  $S\hookrightarrow T$  then we get a boolean algebra morphism  $Y\to Y\cap S$ .

•  $id_B: B \to B$  •  $x \mapsto x': B \to B^{\mathrm{op}}$  are both isomorphism

Note: A boolean algebra morphism  $\phi: B \to C$  is injective iff ker  $f = 0_B$ 

**Lemma 3.3.**: Let  $X_1, ... X_m \subseteq S$  and  $\mathcal{A}$  a boolean algebra on S generated by  $\{X_1, ... X_m\}$ . Then  $\mathcal{A}$  is finite and isomorphic to  $\mathcal{P}(\{1, 2, ... n\})$  for some  $n \leq 2^m$ .

Proof. TODO

# Definition 3.5. Trivial algebras:

- B is trivial if |B| = 1 (equivalently  $0 = 1 \in B$ ) according to Lemma 3.3 B is isomorphic to  $\mathcal{P}(\emptyset)$
- If |S| = 1 then  $|\mathcal{P}(S)| = 2$  TODO

**Definition 3.6. Ideal:** An ideal of B is a subset of  $I \subseteq B$  s.t.

- (I1)  $0 \in I$
- (I2)  $\forall a, b \in B$  it holds  $a \leq b$  and  $b \in I \implies a \in I$  and  $a, b \in I \implies a \vee b \in I$

**Example 3.5.**:  $F_{\text{in}} = \{ F \subseteq S : F \text{ finite} \} \text{ is ideal in } \mathcal{P}(S).$ 

Note: If I is an ideal of B then  $I \vee b := \{x \in B : x = a \vee b \text{ for some } a \in I\}$  is the smallest ideal w/ respect of  $\subseteq$  of B that contains  $I \cup \{b\}$ .

### Example 3.6.:

- For a boolean algebra morphism  $\phi: B \to C$  the kernel  $\ker(\phi)$  is an ideal in B.
- If I is an ideal in B then  $a =_I b :\Leftrightarrow a \lor x = b \lor x$  for some  $x \in I$  defines an equivalent relation and  $B/_{=_I}$  is a boolean algebra w/

$$0 := 0/_{=_I} \quad 1 := 1/_{=_I} \quad (a/_{=_I})' := a'/_{=_I} \quad a/_{=_I} \lor b/_{=_I} := (a \lor b)/_{=_I} \quad a/_{=_I} \land b/_{=_I} := (a \land b)/_{=_I}$$

Then  $\phi: B \to B/_{=_I}: b \mapsto b/_{=_I}$  is a boolean algebra morphism w/  $\ker(\phi) = I$ 

# **Set Theory**

**Example 4.1.** Russel's paradox: Let  $A = \{a : a \notin a\}$ . If any collection of elements is a set, then A would be a set. Question: is  $A \in A$ ? if yes, then  $A \notin A$ , if not then  $A \in A$ 

Trying to resolve this, we will introduce the ZFC (Zermelo-Frankel axioms w/ choice) System. Let  $\mathcal{L} = \{\in\}$  be a Language of first order, where  $\in$  ... binary relation "beeing element of" For  $(\mathcal{U}, \in)$  If  $(\mathcal{U}, \in) \models$  ZFC, then the elements of the universe  $\mathcal{U}$  are called sets. TODO

# 4.1 AXIOMS OF ZFC

Definition 4.1. Axiom of extensionality:

$$\forall x \forall y (x = y \leftrightarrow \forall u (u \in x \leftrightarrow u \in y))$$

**Definition 4.2. Pairing Axiom:** for any two sets a, b one can form a set whose elements are precicely a, b

$$\forall x \forall y \exists z (u \in z \leftrightarrow (u = x \lor u = y))$$

Our notation will be  $z = \{x, y\}$ 

Note:  $\{x,y\}$  is unique by Definition 4.1

**Lemma 4.1.**: Let x, y be sets. We define  $(x, y) := \{\{x\}, \{x, y\}\}$ . Then it holds (x, y) = (a, b) iff x = a and y = b

*Proof.* • if x = y, then  $(x, y) = \{\{x\}\}$  therefore a = b and by Definition 4.1 it holds x = a.

• if  $x \neq y$ , then  $\{\{x\}, \{x, y\}\} = \{\{a\}, \{a, b\}\}$  iff  $\{x\} = \{a\}$  and  $\{x, y\} = \{a, b\}$ . That is, iff x = a and y = b.

TODO oredered n-tuples

**Definition 4.3. Union Axiom:** For every set x there is a set z consisting of all elements of the elements of x.

$$\forall x \exists z \forall y (y \in z \leftrightarrow (\exists uu \in x \land y \in u))$$

We call z the union of x, notation:  $\bigcup_x := z$ 

**Definition 4.4. Power set Axiom:** Let  $x \subseteq y$  be the abbreviation for  $\forall z (z \in x \to z \in y)$  The **Powerset Axiom** states, that for every set x there exists a set z consisting of all subsetes  $y \subseteq x$  that are themselve sets.

$$\forall x \exists z \forall y (y \in z \leftrightarrow y \subseteq x)$$

Notation:  $\mathcal{P}(x) := z$ .

TODO class relations

**Definition 4.5. Axiom of replacement / substitution:** Let  $\varphi(x, y, \underline{\mathbf{a}})$  a  $\mathcal{L}$ -fla., w/ free variables among x, y and set-parameters  $\underline{\mathbf{a}}$ . Suppose  $\varphi$  defines a class function on  $\mathcal{U}$ , than the following is an axiom:

$$\forall u \exists z \forall y (y \in z \leftrightarrow \exists x (x \in u \land \varphi(x, y, \mathbf{a})))$$

i.e. the image of a set under a class function is a set.

Definition 4.6. Axiom scheme of comprehension: TODO

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