Introduction to Finite Automata

Languages
Deterministic Finite Automata
Representations of Automata

The slides are created by Jeffrey D. Ullman http://infolab.stanford.edu/~ullman/ialc/spr10/spr10.html#LECTURE

Alphabets

- ☐ An *alphabet* is any finite set of symbols.
- □ Examples: ASCII, Unicode, {0,1} (binary alphabet), {a,b,c}.

Strings

- The set of *strings* over an alphabet Σ is the set of lists, each element of which is a member of Σ .
 - ☐ Strings shown with no commas, e.g., abc.
- $\square \Sigma^*$ denotes this set of strings.
- \square \in stands for the *empty string* (string of length 0).

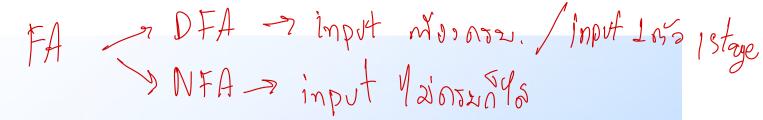
Example: Strings

- \square {0,1}* = { ϵ , 0, 1, 00, 01, 10, 11, 000, 001, . . . }
- Subtlety: 0 as a string, 0 as a symbol look the same.
 - Context determines the type.

String NATION DEDDING

- \Box A *language* is a subset of Σ* for some alphabet Σ.
- \Box L = { ϵ , 0, 1, 00, 01, 10, 000, 001, 010, 100, 101, 0000, 0001, 0010, 0100, 0101, 1000, 1001, 1010, . . . }

Hmm... 1 of length 0, 2 of length 1, 3, of length 2, 5 of length 3, 8 of length 4. I wonder how many of length 5?

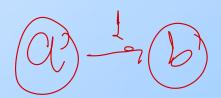


Deterministic Finite Automata

- A formalism for defining languages, consisting of:
 - 1. A finite set of *states* (Q, typically).
 - 2. An *input alphabet* (Σ , typically).
 - 3. A *transition function* (δ^{left} , typically).
 - 4. A *start state* $(q_0, in Q, typically)$.
 - 5. A set of *final states* ($F \subseteq Q$, typically). $\Im \chi_{QQ} \gamma_{QQ} \gamma_{QQ$
 - "Final" and "accepting" are synonyms.

The Transition Function

- Takes two arguments: a state and an input symbol.
- \Box δ(q, a) = the state that the DFA goes to when it is in state q and input a is received.

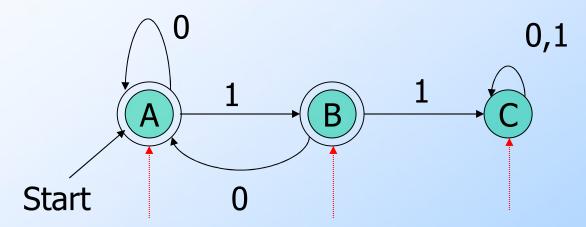


Graph Representation of DFA's

- □ Nodes = states.
- Arcs represent transition function.
 - □ Arc from state p to state q labeled by all those input symbols that have transitions from p to q.
- Arrow labeled "Start" to the start state.
- ☐ Final states indicated by double circles.

Example: Graph of a DFA

Accepts all strings without two consecutive 1's.

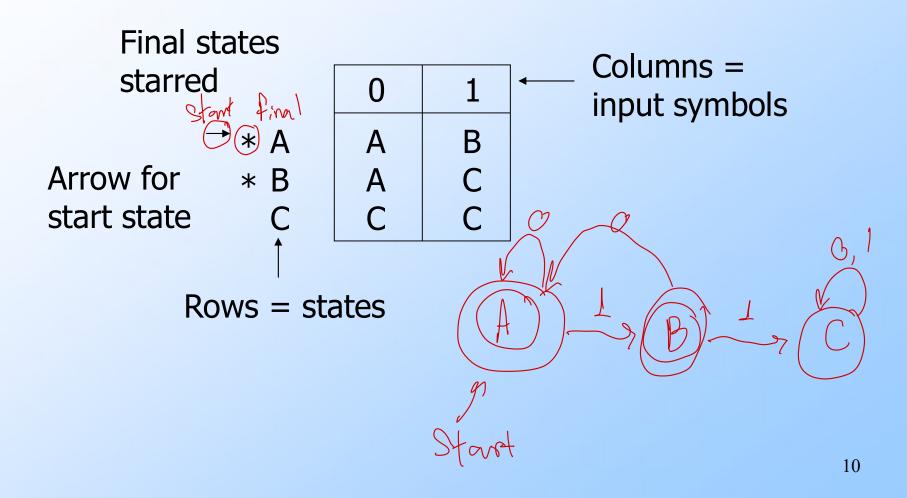


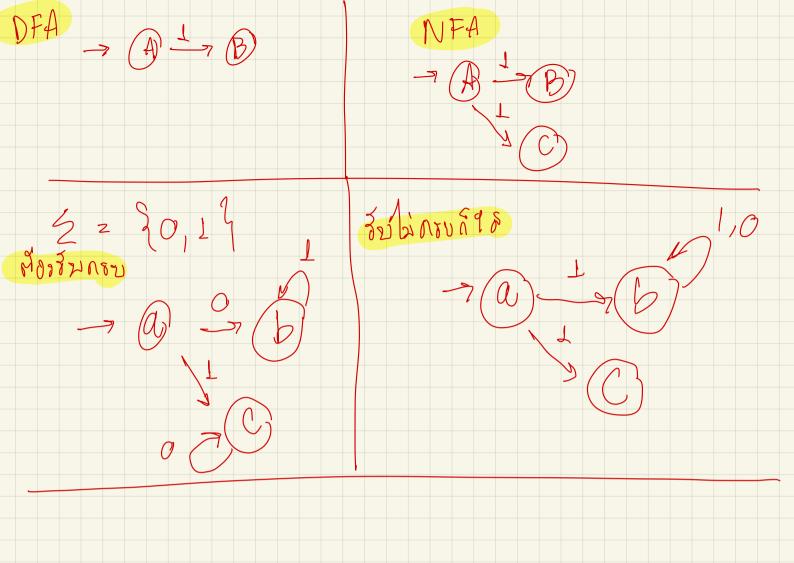
Previous string OK, does not ends in a end in 1.

Previous String OK, single 1.

Consecutive 1's have been seen.

Alternative Representation: Transition Table





Extended Transition Function

- We describe the effect of a string of inputs on a DFA by extending δ to a state and a string.
- Induction on length of string.
- \square Basis: $\delta(q, \epsilon) = q$
- □ Induction: $\delta(q,wa) = \delta(\delta(q,w),a)$
 - w is a string; a is an input symbol.

Extended δ: Intuition

□ Convention:

- □ ... w, x, y, x are strings.
- □ a, b, c,... are single symbols.
- □ Extended δ is computed for state q and inputs $a_1a_2...a_n$ by following a path in the transition graph, starting at q and selecting the arcs with labels a_1 , a_2 ,..., a_n in turn.

Jample: Extended Delta

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1		
	0	1
	A A C	B C C

JAA TU

$$\delta(B,011) = \delta(\delta(B,01),1) = \delta(\delta(B,0),1),1) = \delta(\delta(A,1),1) = \delta(B,1) = C$$

Delta-hat

- \square In book, the extended δ has a "hat" to distinguish it from δ itself.
- Not needed, because both agree when the string is a single symbol.

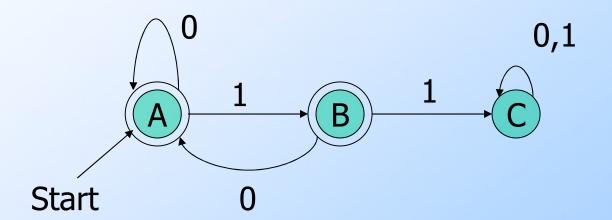
$$\square \delta(q, a) = \delta(\delta(q, \epsilon), a) = \delta(q, a)$$

Extended deltas

Language of a DFA

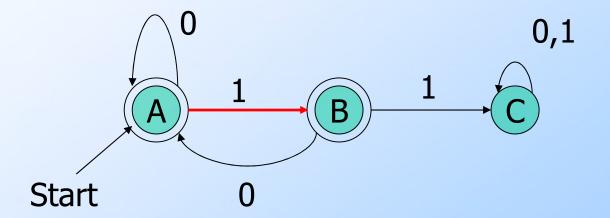
- Automata of all kinds define languages.
- ☐ If A is an automaton, L(A) is its language.
- For a DFA A, L(A) is the set of strings labeling paths from the start state to a final state.
- □ Formally: L(A) = the set of strings w such that $\delta(q_0, w)$ is in F.

String 101 is in the language of the DFA below. Start at A.



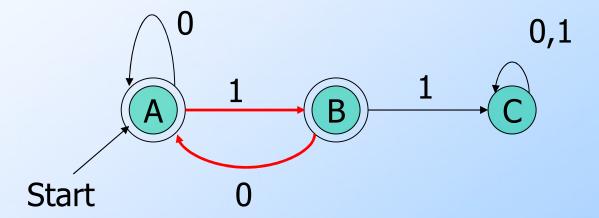
String 101 is in the language of the DFA below.

Follow arc labeled 1.



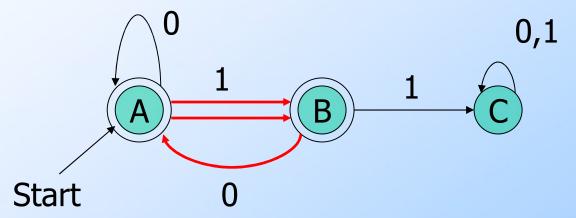
String 101 is in the language of the DFA below.

Then arc labeled 0 from current state B.



String 101 is in the language of the DFA below.

Finally arc labeled 1 from current state A. Result is an accepting state, so 101 is in the language.



Example – Concluded

☐ The language of our example DFA is: {w | w is in {0,1}* and w does not have two consecutive 1's}

Such that...

These conditions about w are true.

Read a *set former* as "The set of strings w...



Regular Languages

- ☐ A language L is *regular* if it is the language accepted by some DFA.
 - Note: the DFA must accept only the strings in L, no others.
- □ Some languages are not regular.
 - ☐ Intuitively, regular languages "cannot count" to arbitrarily high integers.

Example: A Nonregular Language

$$L_1 = \{0^n 1^n \mid n \ge 1\} \ 0^{\ell} \mid^{\ell}$$

- □ Note: ai is conventional for i a's.
 - □ Thus, $0^4 = 0000$, e.g.
- Read: "The set of strings consisting of n 0's followed by n 1's, such that n is at least 1.
- □ Thus, $L_1 = \{01, 0011, 000111,...\}$

Another Example

- $L_2 = \{w \mid w \text{ in } \{(,)\}^* \text{ and } w \text{ is } balanced \}$
 - Note: alphabet consists of the parenthesis symbols '(' and ')'.
 - □ Balanced parens are those that can appear in an arithmetic expression.
 - E.g.: (), ()(), (()), (()()),...

But Many Languages are Regular Regular

- □ Regular Languages can be described in many ways, e.g., regular expressions.
- They appear in many contexts and have many useful properties.
- □ Example: the strings that represent floating point numbers in your favorite language is a regular language.

Example: A Regular Language

 $L_3 = \{ w \mid w \text{ in } \{0,1\}^* \text{ and } w, \text{ viewed as a binary integer is divisible by 23} \}$

- ☐ The DFA:
 - □ 23 states, named 0, 1,...,22.
 - □ Correspond to the 23 remainders of an integer divided by 23.
 - ☐ Start and only final state is 0.

Transitions of the DFA for L₃

- □ If string w represents integer i, then assume $\delta(0, w) = i\%23$.
- □ Then w0 represents integer 2i, so we want $\delta(i\%23, 0) = (2i)\%23$.
- □ Similarly: w1 represents 2i+1, so we want $\delta(i\%23, 1) = (2i+1)\%23$.
- □ Example: $\delta(15,0) = 30\%23 = 7$; $\delta(11,1) = 23\%23 = 0$. Key idea: design a DFA by figuring out what each state needs to remember about the \mathfrak{P} ast.

Another Example

- $L_4 = \{ w \mid w \text{ in } \{0,1\}^* \text{ and } w, \text{ viewed as the reverse of a binary integer is divisible by 23} \}$
- □ Example: 01110100 is in L₄, because its reverse, 00101110 is 46 in binary.
- □ Hard to construct the DFA.
- But theorem says the reverse of a regular language is also regular.