

Intractable Problems

Time-Bounded Turing Machines

Classes **P** and **NP**

Polynomial-Time Reductions

$O(1)$ constant time

$O(\log_2(n))$ logarithmic

$O(n)$ linear

$O(n^2)$ quadratic

$O(n^k)$ polynomial

$O(k^n)$ exponential

$O(n!)$ factorial

$k = \text{constant}$
 $n = \text{arbitrary input}$

Time-Bounded TM's

□ A Turing machine that, given an input of length n , always halts within $T(n)$ moves is said to be *$T(n)$ -time bounded*.

□ The TM can be multitape.

□ Sometimes, it can be nondeterministic.

□ The deterministic, multitape case corresponds roughly to "an $O(T(n))$ running-time algorithm."

uniformization Polytime.

The class **P**

- If a DTM M is $T(n)$ -time bounded for some polynomial $T(n)$, then we say M is *polynomial-time* ("*polytime*") bounded.
- And $L(M)$ is said to be in the class **P**.
- **Important point**: when we talk of **P**, it doesn't matter whether we mean "by a computer" or "by a TM" (next slide).

Polynomial Equivalence of Computers and TM's

- A multitape TM can simulate a computer that runs for time $O(T(n))$ in at most $O(T^2(n))$ of its own steps.
- If $T(n)$ is a polynomial, so is $T^2(n)$.

Examples of Problems in **P**

- Is w in $L(G)$, for a given CFG G ?
 - Input = w .
 - Use CYK algorithm, which is $O(n^3)$.
- Is there a path from node x to node y in graph G ?
 - Input = x , y , and G .
 - Use Dijkstra's algorithm, which is $O(n \log n)$ on a graph of n nodes and arcs.

Running Times Between Polynomials

- You might worry that something like $O(n \log n)$ is not a polynomial.
- However, to be in **P**, a problem only needs an algorithm that runs in time **less than** some polynomial.
- Surely $O(n \log n)$ is less than the polynomial $O(n^2)$.

A Tricky Case: Knapsack

- The *Knapsack Problem* is: given positive integers i_1, i_2, \dots, i_n , can we divide them into two sets with equal sums?
- Perhaps we can solve this problem in polytime by a dynamic-programming algorithm:
 - Maintain a table of all the differences we can achieve by partitioning the first j integers.

The Class **NP**

non-deterministic polynomial time

□ The running time of a nondeterministic TM is the maximum number of steps taken along any branch.

□ If that time bound is polynomial, the NTM is said to be *polynomial-time bounded*.

Non-terminating

check 1/2

non-deterministic polynomial time

□ And its language/problem is said to be in the class **NP**.

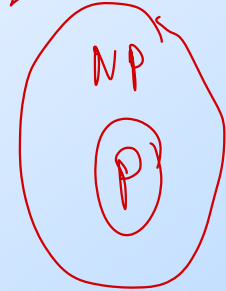
Example: **NP**

- The Knapsack Problem is definitely in **NP**, even using the conventional binary representation of integers.
- Use nondeterminism to guess one of the subsets.
- Sum the two subsets and compare.

Deterministic

Non-Deterministic

P Versus NP

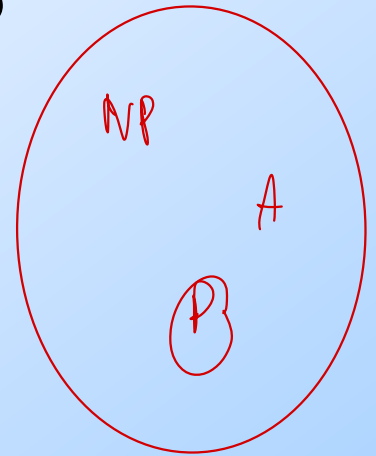


- Originally a curiosity of Computer Science, mathematicians now recognize as one of the most important open problems the question **P = NP**?
- There are thousands of problems that are in **NP** but appear not to be in **P**.
- But no proof that they aren't really in **P**.

ฟังก์ชัน $A \rightarrow \text{NP-Complete}$; ถ้าเราสามารถฟังก์ชัน NP \textcircled{B} ^{ได้} $\rightarrow A$ สามารถ Poly time

Complete Problems

□ One way to address the **P = NP** question is to identify *complete problems* for NP.



□ An *NP-complete problem* has the property that if it is in **P**, then every problem in **NP** is also in **P**.

□ Defined formally via “polytime reductions.”

Satisfiability, TSP (traveling salesman problem)
known source

NP - Hard. \exists problem $A \rightarrow$ NP-hard ; ถ้าสามารถแก้ได้ใน NP-Complete
① $\rightarrow A$ ใด ๆ ใน polytime

Complete Problems – Intuition

- A complete problem for a class embodies every problem in the class, even if it does not appear so.
- **Compare**: PCP embodies every TM computation, even though it does not appear to do so. *Decision Problem*
- **Strange but true**: Knapsack embodies every polytime NTM computation.

NP-Hard

NP-Complete

NP

P

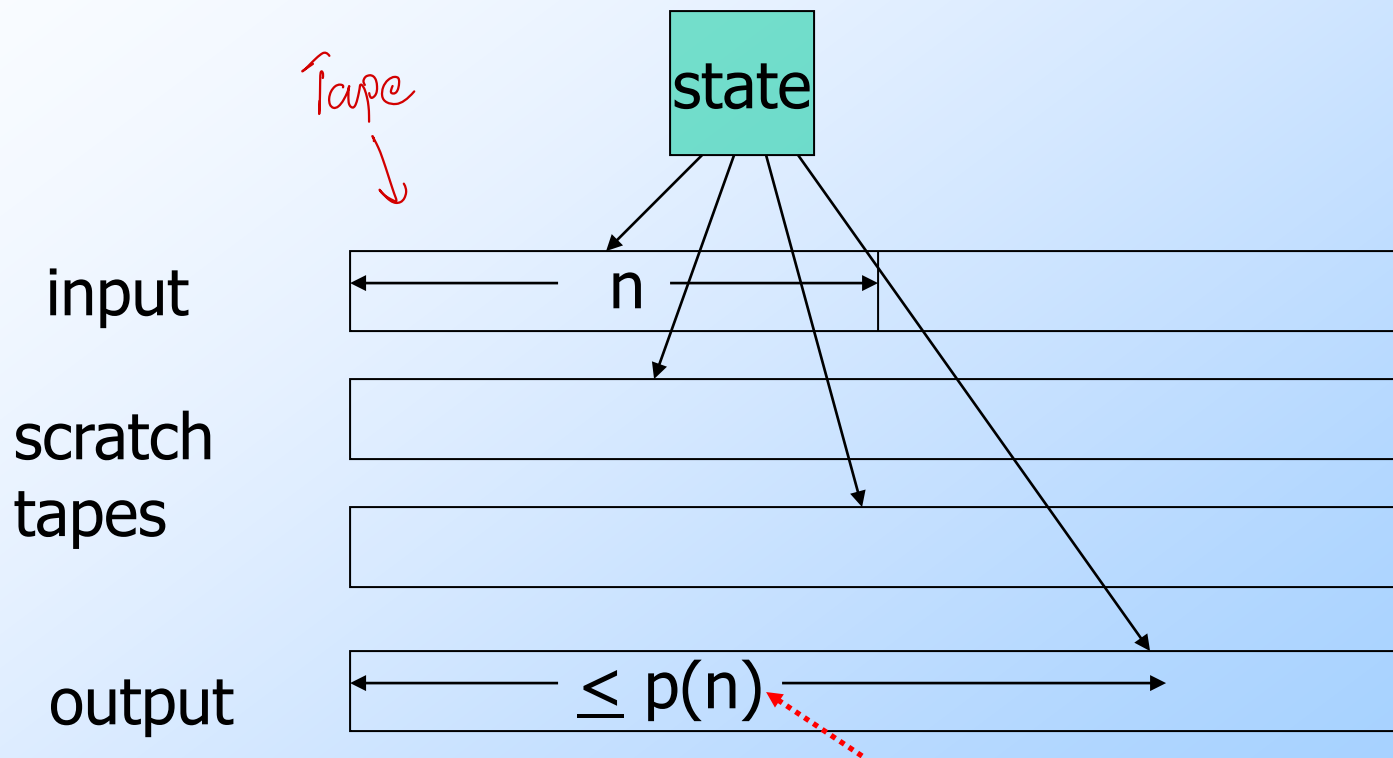
Polytime Reductions

- **Goal:** find a way to show problem L to be NP-complete by reducing every language/problem in **NP** to L in such a way that if we had a deterministic polytime algorithm for L , then we could construct a deterministic polytime algorithm for any problem in **NP**.

Polytime Reductions – (2)

- We need the notion of a *polytime transducer* – a TM that:
 1. Takes an input of length n .
 2. Operates deterministically for some polynomial time $p(n)$.
 3. Produces an output on a separate *output tape*.
- **Note:** output length is at most $p(n)$.

Polytime Transducer

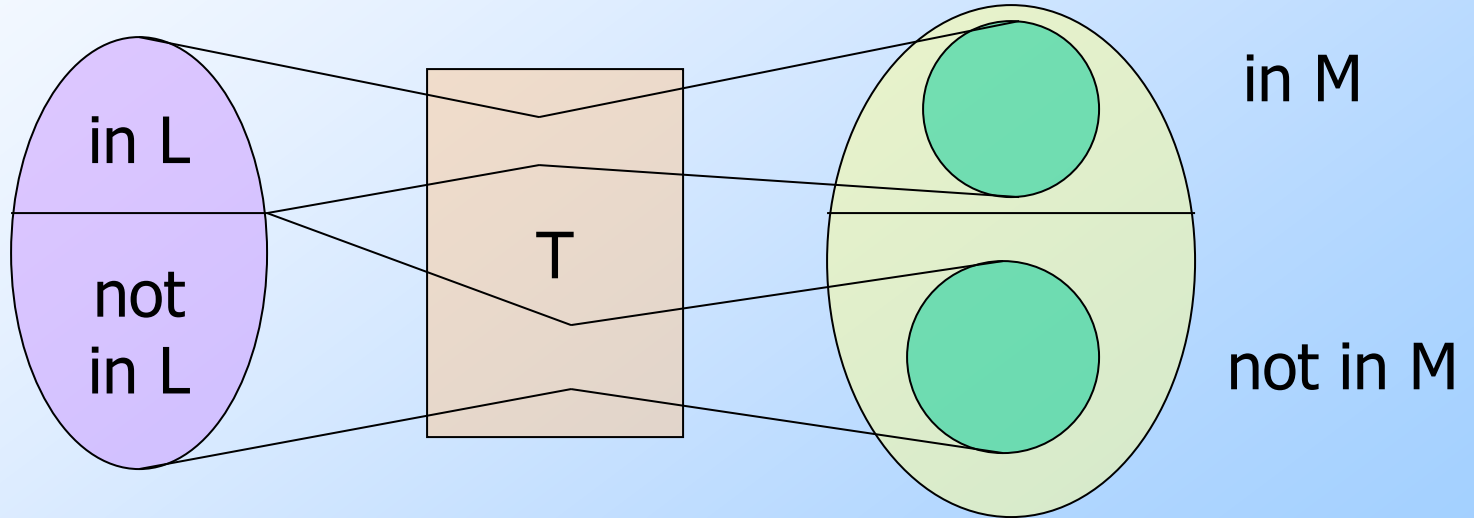


Remember: important requirement is that *time* $\leq p(n)$.

Polytime Reductions – (3)

- Let L and M be languages.
- Say L is *polytime reducible* to M if there is a polytime transducer T such that for every input w to T , the output $x = T(w)$ is in M if and only if w is in L .

Picture of Polytime Reduction



NP-Complete Problems

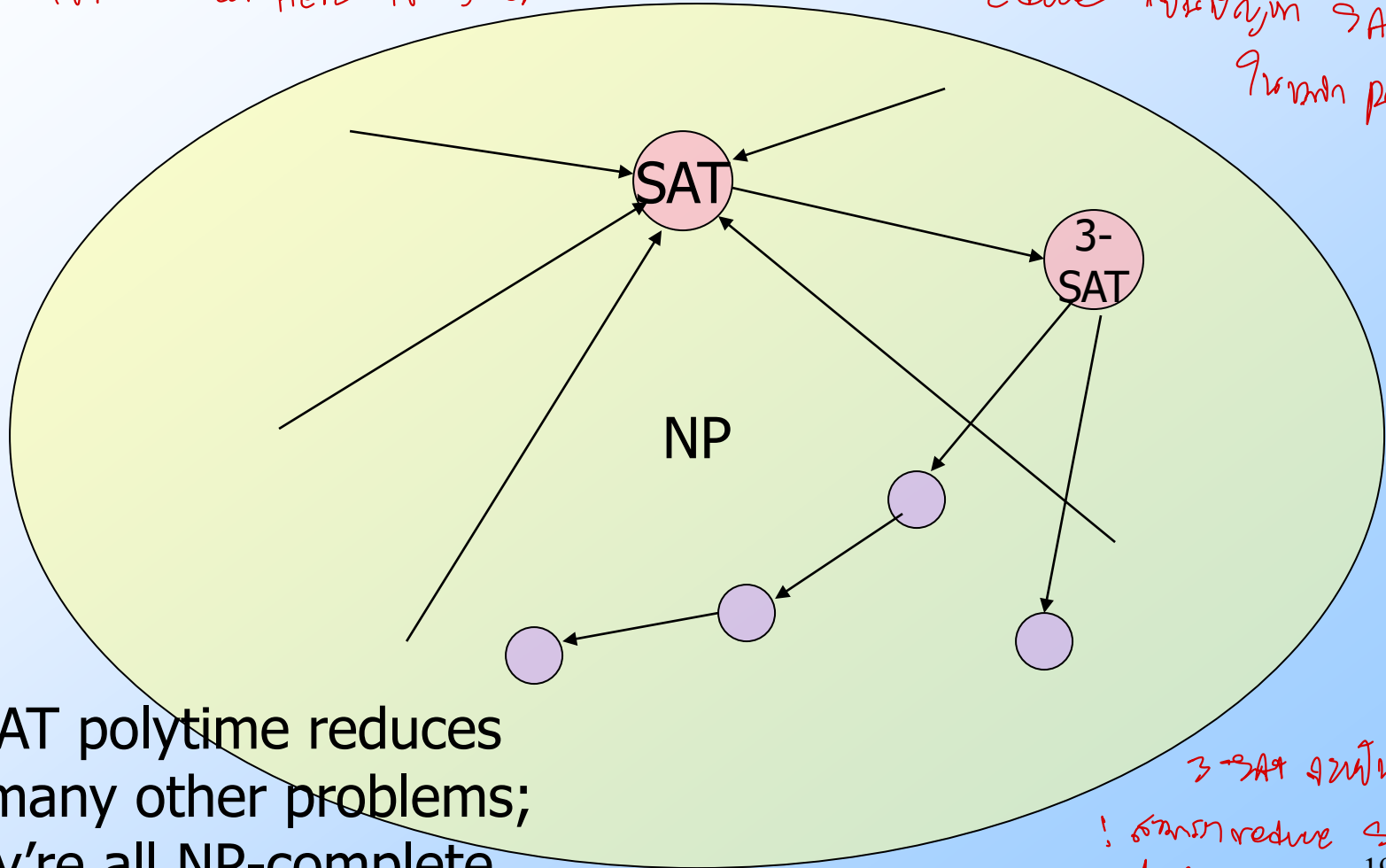
- A problem/language M is said to be *NP-complete* if for every language L in **NP**, there is a polytime reduction from L to M .
- **Fundamental property**: if M has a polytime algorithm, then L also has a polytime algorithm.
 - I.e., if M is in **P**, then every L in **NP** is also in **P**, or "**P** = **NP**."

All of **NP** polytime
reduces to SAT, which
is therefore NP-complete

The Plan

SAT polytime
reduces to
3-SAT

SAT is NP-complete & ; Data, which is NP can be reduced to SAT in poly time.



3-SAT polytime reduces
to many other problems;
they're all NP-complete

*3-SAT is NP-complete
! can reduce SAT to 3-SAT
in poly time.*

The Satisfiability Problem

Cook's Theorem: An NP-Complete Problem

Restricted SAT: CSAT, 3SAT

Boolean Expressions

- Boolean, or propositional-logic expressions are built from variables and constants using the operators AND, OR, and NOT.
- Constants are true and false, represented by 1 and 0, respectively.
- We'll use concatenation (juxtaposition) for AND, + for OR, - for NOT, **unlike the text.**

Example: Boolean expression

□ $(x+y)(-x + -y)$ is true only when variables x and y have opposite truth values.

□ **Note:** parentheses can be used at will, and are needed to modify the precedence order NOT (highest), AND, OR.

The Satisfiability Problem (*SAT*)

- Study of boolean functions generally is concerned with the set of *truth assignments* (assignments of 0 or 1 to each of the variables) that make the function true.
- NP-completeness needs only a simpler question (SAT): does there exist a truth assignment making the function true?

Example: SAT

- $(x+y)$ ^{and} $(-x + -y)$ is satisfiable.
- There are, in fact, two satisfying truth assignments:
 1. $x=0; y=1.$
 2. $x=1; y=0.$
- $x(-x)$ is not satisfiable. F

SAT as a Language/Problem

- An instance of SAT is a boolean function.
- Must be coded in a finite alphabet.
- Use special symbols $(,), +, -$ as themselves.
- Represent the i -th variable by symbol x followed by integer i in binary.

Example: Encoding for SAT

- $(x+y)(-x + -y)$ would be encoded by the string $(x1+x10) (-x1+-x10)$

SAT is in **NP**

- There is a multitape NTM that can decide if a Boolean formula of length n is satisfiable.
- The NTM takes $O(n^2)$ time along any path.
- Use nondeterminism to guess a truth assignment on a second tape.
- Replace all variables by guessed truth values.
- Evaluate the formula for this assignment.
- Accept if true.

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3-SAT

