

# Supplementary File S3

## Machine-Readable Data Files

Configuration and Patient Input Examples for LAI-PrEP Bridge Period Decision Support Tool

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### Purpose of This File

This supplementary file provides machine-readable data files for the LAI-PrEP Bridge Period Decision Support Tool, enabling complete reproducibility and facilitating independent validation:

1. **Configuration File** (`lai_prep_config.json`): Defines algorithmic parameters, evidence-based interventions, population characteristics, and barrier impacts
2. **Patient Input Examples**: Demonstrates expected input format and provides realistic test cases for tool validation

The configuration-driven architecture enables:

- **Parameter updates** without code modification
- **Institutional adaptation** to local contexts and evidence
- **Transparent review** of all algorithmic assumptions
- **Reproducible research** with versioned configurations
- **Evidence integration** as new research emerges

### 1 Configuration Structure Overview

The complete configuration file contains six major sections:

1. **Populations** (7 entries): Baseline attrition rates and priority interventions for each population
2. **Barriers** (13 entries): Structural barriers with quantified impacts on bridge period navigation
3. **Interventions** (21 entries): Evidence-based interventions with effect sizes and implementation details
4. **Healthcare Settings** (8 entries): Setting-specific recommendations and resource availability
5. **Risk Categories** (3 entries): Thresholds for risk stratification
6. **Algorithm Parameters**: Technical parameters for probability calculations

## 2 Representative Examples

The following excerpts demonstrate the structure and content of each section. The complete configuration file (`lai_prep_config.json`) is available in the GitHub repository.

### 2.1 Population Configuration Example

Populations are defined with baseline attrition rates derived from clinical trials and real-world implementation studies:

Listing 1: Population configuration excerpt

```
1 "populations": {
2   "MSM": {
3     "name": "Men who have sex with men",
4     "baseline_attrition": 0.45,
5     "attrition_range": [0.40, 0.50],
6     "evidence_level": "strong",
7     "evidence_source": "HPTN 083 (n=4,566)",
8     "clinical_notes": "MSM: Address stigma, privacy concerns, and social
9       network disclosure. HPTN 083 showed 89% relative risk reduction.",
10    "priority_interventions": [
11      "PATIENT_NAVIGATION",
12      "PEER_NAVIGATION",
13      "SAME_DAY_SWITCHING"
14    ],
15    "CISGENDER_WOMEN": {
16      "name": "Cisgender women",
17      "baseline_attrition": 0.55,
18      "attrition_range": [0.50, 0.60],
19      "evidence_level": "strong",
20      "evidence_source": "HPTN 084 (n=3,224), PURPOSE-1 (n=5,338)",
21      "clinical_notes": "Women: Address medical mistrust, structural
22        barriers (transportation, childcare). HPTN 084 showed 89% superior
23        efficacy; PURPOSE-1 had zero infections in 5,338 women.",
24      "priority_interventions": [
25        "PATIENT_NAVIGATION",
26        "TRANSPORTATION_SUPPORT",
27        "CHILDCARE_SUPPORT",
28        "MEDICAL_MISTRUST_INTERVENTION"
29      ],
30      "PWID": {
31        "name": "People who inject drugs",
32        "baseline_attrition": 0.75,
33        "attrition_range": [0.70, 0.80],
34        "evidence_level": "emerging",
35        "evidence_source": "Oral PrEP cascade extrapolation, PURPOSE-4 (
36          ongoing)",
37        "clinical_notes": "PWID: Harm reduction approach essential. No
38          abstinence requirement. PURPOSE-4 trial (ongoing) will provide
39          critical implementation evidence.",
40        "priority_interventions": [
```

```

37     "HARM_REDUCTION_INTEGRATION",
38     "PEER_NAVIGATION",
39     "LOW_BARRIER_PROTOCOLS",
40     "MOBILE_DELIVERY"
41 ]
42 }
43 }

```

## 2.2 Barrier Configuration Example

Barriers are quantified based on their impact on bridge period navigation success:

Listing 2: Barrier configuration excerpt

```

1 "barriers": {
2   "TRANSPORTATION": {
3     "name": "Transportation barriers",
4     "impact": 0.10,
5     "evidence_level": "strong",
6     "affected_populations": [
7       "CISGENDER_WOMEN",
8       "ADOLESCENT",
9       "PWID",
10      "PREGNANT_LACTATING"
11    ],
12    "description": "Lack of reliable transportation to multiple
13      appointments"
14  },
15  "INSURANCE_DELAYS": {
16    "name": "Insurance authorization delays",
17    "impact": 0.12,
18    "evidence_level": "strong",
19    "affected_populations": [
20      "MSM",
21      "CISGENDER_WOMEN",
22      "TRANSGENDER_WOMEN",
23      "ADOLESCENT",
24      "GENERAL"
25    ],
26    "description": "Prior authorization requirements causing delays"
27  },
28  "HOUSING_INSTABILITY": {
29    "name": "Housing instability",
30    "impact": 0.15,
31    "evidence_level": "strong",
32    "affected_populations": ["PWID"],
33    "description": "Homelessness or unstable housing affecting follow-up"
34  }
35 }

```

## 2.3 Intervention Configuration Example

Interventions are defined with evidence-based effect sizes and implementation requirements:

Listing 3: Intervention configuration excerpt

```

1 "interventions": {
2   "PATIENT_NAVIGATION": {
3     "name": "Patient navigation services",
4     "improvement": 0.15,
5     "evidence_level": "strong",
6     "evidence_source": "RCT meta-analysis (k=23, OR=1.85)",
7     "mechanisms": ["STRUCTURAL_BARRIER_REDUCTION", "COORDINATION"],
8     "target_barriers": [
9       "TRANSPORTATION",
10      "SCHEDULING_CONFLICTS",
11      "INSURANCE_DELAYS"
12    ],
13    "implementation_complexity": "moderate",
14    "cost_tier": "medium",
15    "description": "Dedicated navigator to coordinate appointments,
16      address barriers, and provide follow-up"
17  },
18  "SAME_DAY_SWITCHING": {
19    "name": "Same-day switching from oral PrEP",
20    "improvement": 0.25,
21    "evidence_level": "strong",
22    "evidence_source": "OPERA cohort (n=302), Trio Health (n=146)",
23    "mechanisms": ["TEMPORAL_COMPRESSION", "ADHERENCE_PRESERVATION"],
24    "target_barriers": ["HIV_TESTING_DELAYS"],
25    "implementation_complexity": "low",
26    "cost_tier": "low",
27    "description": "For patients on oral PrEP: skip oral lead-in, test and
28      inject same day",
29    "eligibility_criteria": "Current oral PrEP use"
30  },
31  "HARM_REDUCTION_INTEGRATION": {
32    "name": "Harm reduction service integration",
33    "improvement": 0.18,
34    "evidence_level": "moderate",
35    "evidence_source": "PWID PrEP cascade studies, harm reduction
36      literature",
37    "mechanisms": ["STIGMA_REDUCTION", "STRUCTURAL_BARRIER_REDUCTION"],
38    "target_barriers": [
39      "SUBSTANCE_USE",
40      "HOUSING_INSTABILITY",
41      "MEDICAL_MISTRUST"
42    ],
43    "implementation_complexity": "high",
44    "cost_tier": "medium",
45    "description": "Integrate LAI-PrEP with syringe services, medication-
46      assisted treatment, housing support"
47  }
48 }

```

## 2.4 Healthcare Setting Configuration Example

Healthcare settings specify available interventions and recommended protocols:

Listing 4: Healthcare setting configuration excerpt

```

1 "healthcare_settings": {
2   "COMMUNITY_HEALTH_CENTER": {
3     "name": "Community Health Center",
4     "available_interventions": [
5       "PATIENT_NAVIGATION",
6       "TRANSPORTATION_SUPPORT",
7       "ACCELERATED_TESTING",
8       "INSURANCE_SUPPORT",
9       "FLEXIBLE_SCHEDULING"
10    ],
11    "typical_bridge_period_days": 21,
12    "resource_level": "moderate"
13  },
14  "HARM_REDUCTION_PROGRAM": {
15    "name": "Harm Reduction Program/Syringe Services",
16    "available_interventions": [
17      "HARM_REDUCTION_INTEGRATION",
18      "PEER_NAVIGATION",
19      "LOW_BARRIER_PROTOCOLS",
20      "MOBILE_DELIVERY",
21      "FLEXIBLE_SCHEDULING"
22    ],
23    "typical_bridge_period_days": 28,
24    "resource_level": "variable"
25  }
26 }

```

## 2.5 Risk Stratification Configuration Example

Risk categories define thresholds for clinical decision-making:

Listing 5: Risk category configuration excerpt

```

1 "risk_categories": {
2   "HIGH_RISK": {
3     "threshold": 0.60,
4     "description": "Predicted success rate <40%",
5     "recommended_actions": [
6       "Intensive navigation (minimum 3 contacts)",
7       "Multiple intervention modalities",
8       "Close clinical monitoring",
9       "Consider expedited protocols"
10    ],
11    "intervention_priority": "maximum"
12  },
13  "MODERATE_RISK": {
14    "threshold": 0.40,
15    "description": "Predicted success rate 40-60%",
16    "recommended_actions": [
17      "Standard navigation (minimum 2 contacts)",
18      "Targeted barrier-specific interventions",
19      "Regular follow-up"
20    ],

```

```

21     "intervention_priority": "standard"
22 }
23 }

```

## 2.6 Algorithm Parameters

Technical parameters control calculation methods:

Listing 6: Algorithm parameters excerpt

```

1 "algorithm_parameters": {
2   "barrier_combination_method": "multiplicative",
3   "intervention_combination_method": "additive_with_saturation",
4   "max_cumulative_intervention_effect": 0.45,
5   "use_logit_space": true,
6   "mechanism_diversity_weight": 0.10,
7   "minimum_intervention_effect": 0.02,
8   "description": "Parameters controlling probability calculations and
   intervention recommendations"
9 }

```

## 3 Configuration Usage

### 3.1 Loading the Configuration

The tool loads the configuration at runtime using Python's JSON parser:

Listing 7: Python usage example (pseudocode)

```

1 import json
2
3 # Load configuration
4 with open('lai_prep_config.json', 'r') as f:
5     config = json.load(f)
6
7 # Access population data
8 msm_baseline = config['populations']['MSM']['baseline_attrition']
9 msm_interventions = config['populations']['MSM']['priority_interventions']
10
11 # Access barrier data
12 transport_impact = config['barriers']['TRANSPORTATION']['impact']
13
14 # Access intervention data
15 nav_improvement = config['interventions']['PATIENT_NAVIGATION']['improvement']

```

### 3.2 Institutional Adaptation

Institutions can modify the configuration to reflect local contexts:

1. **Update baseline rates:** Adjust population-specific attrition rates based on local data

2. **Modify barrier impacts:** Calibrate barrier impacts to local prevalence
3. **Add/remove interventions:** Include locally-available interventions or disable unavailable ones
4. **Adjust effect sizes:** Update intervention effects based on local implementation outcomes
5. **Set resource constraints:** Configure available interventions by healthcare setting

### 3.3 Version Control

Each configuration file includes version information for reproducibility:

Listing 8: Version metadata

```
1 {  
2   "version": "2.0.0",  
3   "last_updated": "2025-01-12",  
4   "description": "Configuration file for LAI-PrEP Bridge Period Decision  
   Support Tool"  
5 }
```

## 4 Evidence Integration

All parameters are derived from peer-reviewed literature:

- **Baseline attrition rates:** HPTN 083, HPTN 084, PURPOSE trials, real-world cohort studies
- **Barrier impacts:** PrEP cascade literature, implementation science studies
- **Intervention effects:** Randomized controlled trials, systematic reviews, meta-analyses
- **Population differences:** Clinical trial subgroup analyses, epidemiological data

## 5 Patient Input Examples

For reproducibility and tool testing, we provide example patient profiles representing diverse clinical scenarios.

### 5.1 Single Patient JSON Example

Individual patient assessments use JSON format with the following structure:

Listing 9: Example patient input (example\_patient.json)

```
1 {  
2   "patient_id": "example_001",  
3   "population": "PWID",  
4   "age": 35,  
5   "current_prep_status": "naive",  
6   "barriers": [  
7     "HOUSING_INSTABILITY",  
8     "TRANSPORTATION",
```

```

9     "LEGAL_CONCERNS"
10 ],
11     "healthcare_setting": "HARM_REDUCTION",
12     "insurance_status": "uninsured",
13     "recent_hiv_test": false,
14     "transportation_access": false,
15     "childcare_needs": false
16 }

```

This example represents a person who injects drugs (PWID) with multiple structural barriers - a high-risk scenario requiring intensive navigation support.

## 5.2 Batch Processing CSV Example

For research or quality improvement projects analyzing multiple patients, CSV format enables efficient batch processing:

Listing 10: Example batch input format (example\_patients.csv)

```

1 patient_id,population,age,current_prep_status,barriers,setting,...
2 patient_001,MSM,28,oral_prep,SCHEDULING_CONFLICTS,LGBTQ_CENTER,...
3 patient_002,CISGENDER_WOMEN,32,naive,"TRANSPORTATION,CHILDCARE",...
4 patient_003,PWID,35,naive,"HOUSING_INSTABILITY,LEGAL_CONCERNS",...
5 patient_004,ADOLESCENT,17,naive,"PRIVACY_CONCERNS",...
6 patient_005,TRANSGENDER_WOMEN,26,discontinued_oral,DISCRIMINATION,...

```

The complete example file contains 10 diverse patients spanning all populations and barrier combinations.

## 5.3 Clinical Scenarios Represented

Example patients cover the full spectrum of bridge period challenges:

- **Low-barrier case:** MSM on oral PrEP, insured, same-day switching candidate
- **Moderate-barrier case:** Cisgender woman, transportation + childcare needs
- **High-barrier case:** PWID, housing instability + multiple barriers
- **Special populations:** Adolescents (privacy), pregnant/lactating (competing priorities)
- **Healthcare settings:** LGBTQ centers, harm reduction programs, community clinics

## 5.4 Usage Examples

These files enable immediate tool testing:

Listing 11: Command-line usage

```

1 # Assess single patient
2 python cli.py assess -i example_patient.json -o results.json
3
4 # Batch process multiple patients
5 python cli.py batch -i example_patients.csv -o batch_results.json
6
7 # Validate configuration
8 python cli.py validate -c lai_prep_config.json

```



## 6 Data Availability

### 6.1 Complete Configuration File

The full `lai_prep_config.json` file (558 lines) containing all populations, barriers, interventions, and algorithm parameters is available at:

- **Zenodo DOI:** <https://zenodo.org/uploads/17727117#:~:text=10.5281/zenodo.17727117>

### 6.2 Patient Input Examples

Example patient files for reproducibility testing:

- **example\_patient.json:** Single patient JSON template with inline documentation
- **example\_patients.csv:** Batch file with 10 diverse clinical scenarios
- Both available in the GitHub repository under `/examples/` directory

### 6.3 Supplementary Documentation

Additional implementation materials include:

- **Supplementary File S1:** Clinician Quick-Reference Card
- **Supplementary File S2:** Patient Information Handout
- **Supplementary File S4:** Implementation Guide
- **Supplementary File S5:** Clinical Decision Flowchart
- **Supplementary File S6:** Non-Technical Summary

## 7 Quality Assurance

The configuration undergoes rigorous validation:

1. **JSON schema validation:** Ensures structural integrity
2. **Parameter range checking:** Validates all values are within reasonable bounds
3. **Reference integrity:** Confirms all intervention/barrier references are valid
4. **Evidence documentation:** Requires citation for all effect sizes
5. **Unit testing:** 18 edge cases verify correct parameter usage (100% pass rate)

## 8 Future Updates

The configuration is designed to evolve with emerging evidence:

- **New trial data:** PURPOSE-4 (PWID), HPTN 084-01 (adolescents), RUBY-4 (serodiscordant couples)
- **Real-world implementation:** Prospective validation studies in diverse settings
- **Cost-effectiveness data:** Economic evaluations of intervention strategies
- **Health equity research:** Population-specific barriers and interventions

Updates will be versioned and documented with change logs to maintain reproducibility.

## Technical Support

For questions about the configuration file or tool implementation:

- Zenodo dataset: LAI-PrEP Bridge Period Clinical Decision Support Tool: Algorithm, Validation Data, and Supporting Evidence for the PrEP Cascade Paradigm Shift
- **Email:** [acdemidont@nyxdynamics.org](mailto:acdemidont@nyxdynamics.org)
- **Documentation:** Complete API reference and integration guides in repository