# Confounded spikes generated by synchrony within neural tissue models

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## Abstract

Spike-sorting often requires subjective interpretation of extracellular waveforms to resolve the underlying neural activity. Often, multiple spike waveforms are detected at one site and interpreted as arising from multiple neurons. Because uncovering the relationship between the spike and the underlying intracellular activity is not tractable in vivo, a computer model of 128 multi-compartment neurons sharing common inputs was used to explore how synchronous firing impacts both the morphology and classification of the spikes. The results suggest that near-synchronous conditions yield multiple waveforms that could lead to missed spikes and a confounded interpretation of the number of neurons contributing to the recording.

Key words: Confounded spikes, Neural synchrony, Spike detection

### 1 Introduction

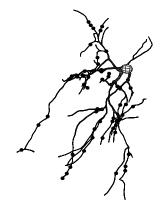
Efficient extraction of single-unit activity from extracellular recordings is a critical step toward understanding the signaling in a population of neurons. The extraction and classification process, however, is often subjective due to spike-to-spike variations in the action potentials of single neurons (such as would occur during burst activity) and the fact that the activity from multiple neurons can be detected at a single site. Simultaneous intracellular-extracellular recording has been used to verify the reliability of spike separation methods [4] as well as to investigate how intracellular changes lead to variation in the measured extracellular spike [5]. A limitation of this technique is that only one neuron can be recorded intracellularly, so only one extracellular waveform can be verified. An alternative approach is to use computer

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simulation where multiple factors involved in the generation of the extracellular signal can be controlled and varied. In this study, a computer model of densely-packed, realistic multi-compartment neurons was used to determine how the synchronous firing of adjacent neurons impact both the morphology and classification of the detected spikes.

Fig. 1. Dendritic structure of the thalamic reticular neuron adapted from [1], comprised of 424 compartments. Black circles indicate the positions of 64 AMPA synapses [2]. Each of these synapses had a maximum conductance of 1 nS and was driven with an independent, Poisson-random input with an average firing rate of 20 Hz, a 1 msec activation time, and a 2 msec refractory period.



## 2 Methods

The model used for generating the extracellular waveforms was comprised of 128 thalamic reticular neurons (Fig. 1). This dendritic model was adapted from data taken from Destexhe et al. [1]. All parameter values (i.e. ion channel conductances) were the same as those used in [1] with the exception of the axial resistivity. This was reported as  $260\pm30~\Omega \text{cm}$ , so each of the 128 neurons was given a random axial resistivity within this range. Each neuron had the same pattern of 64 AMPA synapses [2] randomly positioned along its dendrites (Fig. 1, black circles). The 128 neurons were positioned in stacked layers as shown in Fig. 2A. The extracellular potential at a site near the center of the population was computed using a monopolar source model such that every compartment was treated as a point source of current (equivalent method of [7]). This site was located closest to two neurons (R1 and R2) indicated in Fig. 2A by circled points. An expanded view of the region is shown in Fig. 2C. The simulated recording site (gray sphere) was located nearest to the cell body of the lower neuron (R1) and inside the dendritic arbor of the upper neuron (R2). The average extracellular waveform measured during a spike from R1 is shown in Fig. 2D. Because only the cell body was capable of initiating action potentials, it acted as a current sink relative to its dendrites and the extracellular potential nearby had an initial negative deflection. By contrast, the extracellular spike from R2 had an initial positive deflection when R2 fired (Fig. 2E) since the dendrites acted as current sources.

Only the extracellular spikes from neurons R1 and R2 had sufficient amplitudes for spike-sorting, so the other 126 neurons therefore acted primarily as background current sources. Since R1 and R2 shared no direct connections, various levels of synchrony were established by driving some fraction of their synapses with the same Poisson-random signals, thereby creating a set

of synaptic inputs common to both neurons. Possible results of this enhanced synchrony are shown in Fig. 2F where the waveforms of R1 and R2 are added with different temporal offsets to create a variety of confounded waveforms. Only the pair R1 and R2 were driven with synchronous inputs in agreement with the argument made by Golomb [3] that local, two-cell spike synchrony, but not global synchrony, is expected in neural networks. Simulations were run with three percentages of common synaptic inputs: 0% (independent), 95% (61 of 64 synapses common), and 100% (all synapses common). In each case, the intracellular voltages for R1 and R2 and the generated extracellular potential were recorded. The extracellular waveforms were then analyzed with Plexon Offline Sorter (OFS) software.

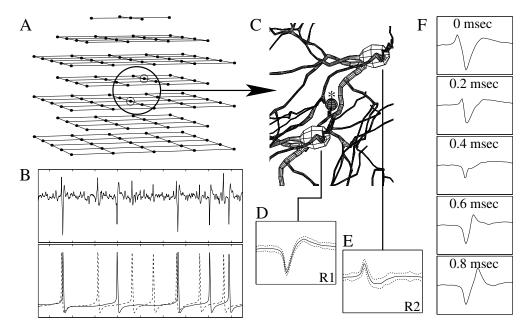


Fig. 2. **A:** Within each layer neurons were separated by 100  $\mu$ m in both directions. Layers were separated by 50  $\mu$ m and were alternating 5-by-5 and 4-by-4 grids. Average density was 1,938 neurons/mm<sup>3</sup>. **B:** Sample extracellular (upper) and intracellular (lower) traces (R1 solid, R2 dashed). Window heights are 80  $\mu$ V (upper) and 120 mV (lower) and time axis divisions are 10 msec. **C:** Expanded view of the region surrounding the simulated recording site (gray sphere with asterisk above). **D–E:** Average extracellular traces from neurons R1 and R2, respectively (dotted lines indicate +/- standard deviations). **F:** Confounded waveforms generated by adding delayed versions of E to D (delay indicated on graph). Small window sizes are 3 msec by 80  $\mu$ V.

# 3 Results

The results for the three simulations are shown in Fig. 3. Each raster plot shows, from top to bottom, the two actual spike trains for R1 and R2 found using the intracellular voltage waveforms, the units S1–S4 (gray) found through spike-sorting, and the spikes that were missed from R1 (M1) and R2 (M2). A

missed spike was designated as a spike for R1 or R2 that had no corresponding spike in S1–S4 within a window of  $\pm 600 \mu sec$ . For R1, R2, M1, and M2 the

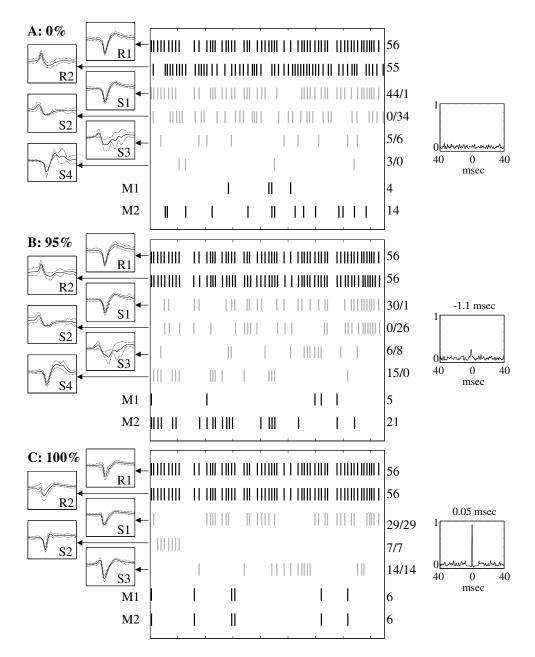


Fig. 3. Results for 0%, 95%, and 100% common input simulations. Average unit waveforms are shown on the left (dotted lines indicate +/- standard deviations). R1 and R2 are the actual spikes trains. M1 and M2 are the spikes from R1 and R2 that spike-sorting missed. The total number of spikes for each are shown on the right. For spike-sorted units S1–S4 (gray), the two numbers on the right are the numbers of spikes in that unit that correlate with a spike from R1 and R2, respectively. Time axis divisions on raster plots are 100 msec. Small window sizes are 3 msec by 80  $\mu$ V. Insets at right show normalized cross-correlations between intracellular waveforms of R1 and R2 and the lag value at the dominant peak (if any).

total numbers of spikes are shown on the right. For S1–S4 the numbers on the right are the numbers of spikes in that unit that correlate with a spike from R1 and R2, respectively. A unit spike was said to correlate with a spike from R1 or R2 if that spike fell within a window of  $\pm 600~\mu \text{sec}$  of the unit spike. The graphs at the left show the average unit waveforms. For the 0% common input case (Fig. 3A), S1 correlates strongly with R1 and S2 correlates strongly with R2. Confounded units (S3 and S4) occur with a relatively low frequency and do not have a distinct waveform, as expected from the lack of common inputs. More spikes are missed from R2 than from R1 due to its lower amplitude.

The results for the 95% and 100% common input cases are shown in Fig. 3B and 3C, respectively. In the 95% case, the waveforms for S1 and S2 still closely match those of R1 and R2, but the number of spikes that correlate between them are reduced relative to the 0% case. There are more spikes attributed to confounded units, and unit S4 in particular has a more distinct waveform. Comparing the raster plots of S4 and M2, there is a strong correlation between the detection of a spike for S4 and a missed spike detection for R2. Inspecting the unit waveform of S4 shows that it corresponds to a firing of R1 with a late firing of R2. The R2 spike is often undetected as a result, but it creates a new waveform with a wider, double-humped second peak. In the extreme 100% common input case, R1 and R2 are nearly synchronized but not perfectly synchronized due to the assigned variation in the axial resistivity (257  $\Omega$ cm for R1, 253.6  $\Omega$ cm for R2). One effect of the synchrony is that the R2 waveform cannot be reliably detected even using the intracellular voltage trace. R1 shows some variation as well. Unit S1 resembles R1, but S2 has a narrower peak and S3 has a slightly larger amplitude and appears more triphasic. The raster plots of S1–S3 suggest that instead of having two synchronously-firing units, there are three units that fire in distinct, exclusive bursts.

#### 4 Conclusions

These simulations show that extracellular measurements taken in regions with a frequent occurrence of synchronous neural activity between two neurons may produce a large number of confounded spikes. In real tissue, neurons not only share some percentage of common inputs but also have direct connections to one another (or connections through interneurons) that can lead to local synchrony [3]. Field effects have been shown to enhance synchrony in regions such as hippocampal CA1 [8,9], while gap junctions found between thalamic reticular neurons have been shown to generate synchrony on the same order as that shown in Fig. 3C ([6], Fig. 4C). Investigating the effect of such synchrony on spike-sorting techniques is a difficult task in vivo because of the need to make intracellular recordings from a number of closely spaced neurons. By using detailed computer models of neural tissue, the effects of synchronous firing can be studied in detail since all potentials and currents are available. The occurrence of confounded spikes would likely have different consequences

for different analyses of neural activity, but the fact that spikes from two cells may be misinterpreted as arising from multiple cells will produce a different picture of underlying neural activity than what actually exists. As a result, new electrode designs or analysis techniques may need to be developed to compensate for the presence of confounded spikes in the recorded signal due to various levels of synchrony in clusters of neurons.

# Acknowledgements

Thanks to Rui Costa for spike-sorting. This work was supported by DARPA grant DARPA-SPAWAR N66001-02-C-8022 and NSF grant NSF-IBN-99-80043.

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