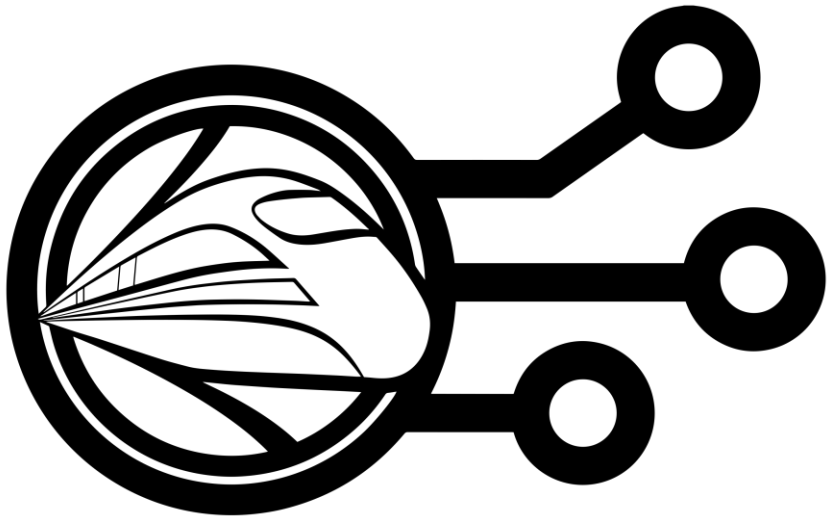


OCORA

Program Slide Deck

OCORA-BWS02-020 / v1.00 / 30.06.2021 / Delta Release

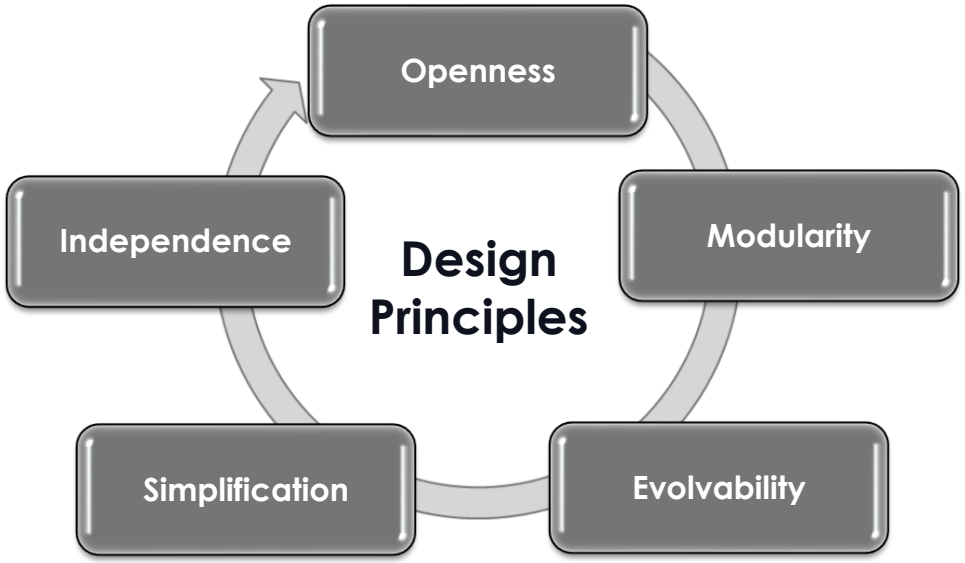
OCORA Delta Release - OnePager

https://github.com/OCORA-Public/Publication

Founding Members

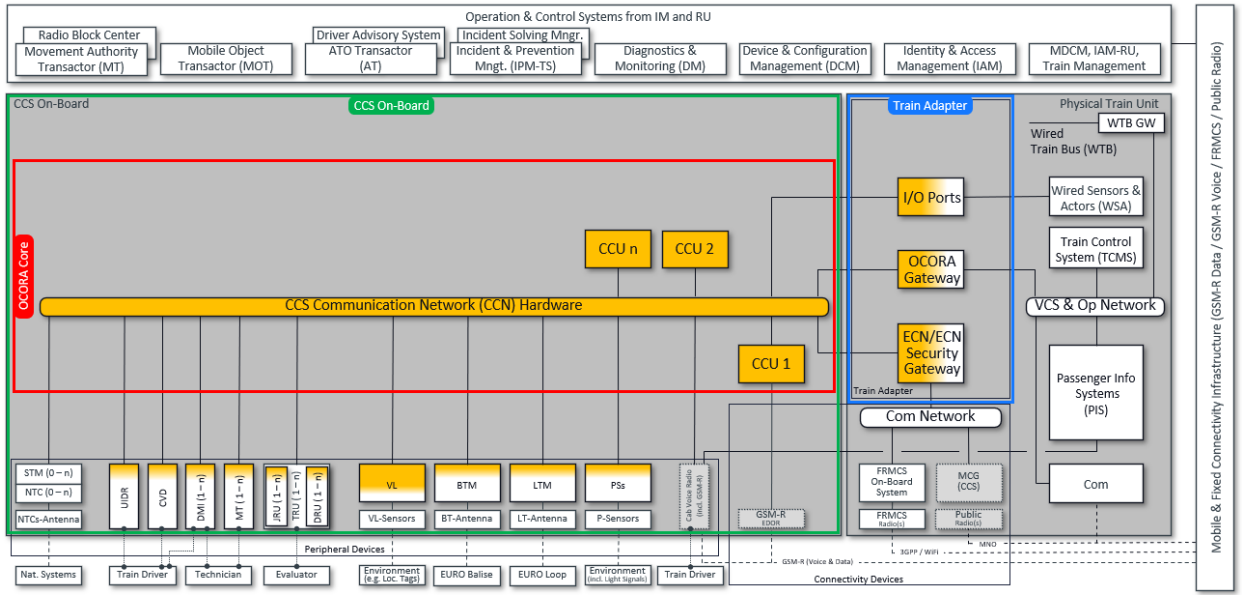
OCORA, the "**Open CCS On-board Reference Architecture**" initiative, whose signatory founding Members are NS, SNCF, DB, SBB and ÖBB, has reached a next important milestone with the **Delta Release** of the specifications of the OCORA architecture.

OCORA aims to **reduce life-cycle costs** and **facilitate** the introduction of **innovation** and **digital technologies** beyond the current proprietary interfaces, by establishing a **modular**, **upgradeable**, **reliable** and **secure CCS on-board architecture**.



The **OCORA Delta** Release descripts **CCS On-board** and includes **sector feedback**. It **is again feeding TSI-2022 and prepares for Europe's Rail Joint Undertakings System- & Innovation-Pillar.**

Physical Architecture – OCORA Scope



OCORA deliverables are published under the **European Union Public License** (EUPL) and are consequently available for all stakeholders.
The OCORA **Release 1.0** is planned for **end of 2021.**

Program Slide Deck

Content

- Introduction into OCORA
- Timeline
- Alliances
- Release Overview
- Sector Dialogue

Introduction

OCORA-BWS02-020 / v1.00 / 30.06.2021 / Delta Release

Introduction

Topic Overview

- Who
- Why – Goals – Motivation – Objectives - Benefits
- Key Principles
- What (Scope)
- Problem Statements
- Reference to Technical Slide Deck, Program Poster & Technical Poster

Introduction

Who is OCORA - **O**pen **C**CS **O**n-board **R**eference **A**rchitecture

March 2019
- **Memorandum of Understanding - 5 founding members (NS, SNCF, SBB, ÖBB, DB)**
- OCORA is a **collaborative platform gathering engineering resources** working on **ERTMS and beyond**

October 2019
- **OCORA Governance** in place, with an active Steering Committee
- Open to railway companies willing to contribute to the collaboration

November 2019
- **OCORA Alpha Release**, first publication
- Alpha outlines the Who, the How and the Why

July 2020
- **OCORA Beta Release**, first comprehensive CCS On-board description
- Based on Beta OCORA starts Sector / Industry Dialogue

December 2020
- **OCORA Gamma Release**, updated CCS On-board description, including Sector / Industry feedback
- Gamma is feeding TSI-2022 and S2R-2 with qualified technical input

July 2021
- **OCORA Delta Release**, updated CCS On-board description, including Sector / Industry feedback
- Delta is again feeding TSI-2022 and prepares for Europe's Rail Joint Undertakings System- & Innovation-Pillar.

OCORA IS…	OCORA IS NOT…
Open Cooperation	**Not a Representative Body/Organisation**
A set of public specifications	**Not a product**
For the On-board CCS	**Not for Trackside CCS**

Introduction

Why – Goals – Motivation – Objectives - Benefits

Triggers

- **Inter-modal competition**
- **Learnings from ETCS**
- **Replacement needs**
- **Fast migration**
- **Innovation / digital trans-formation**

Supported goals

- **Cost↘**
- **Reliability ↗**
- **Capacity ↗**
- **Safety ↗**

Scope

IN: on-board Control and Command Systems

OUT: Track-Side CCS, Train Control Management System, Future Mobile Radio

Harmonized architecture

- **Reference requirements → verifiable products**
- **Model based standardised interfaces and functions**
- **Economic modeling**

Target

- **Openness**
- **Modularity**
- **Evolvability**
- **Simplification**
- **Independence**

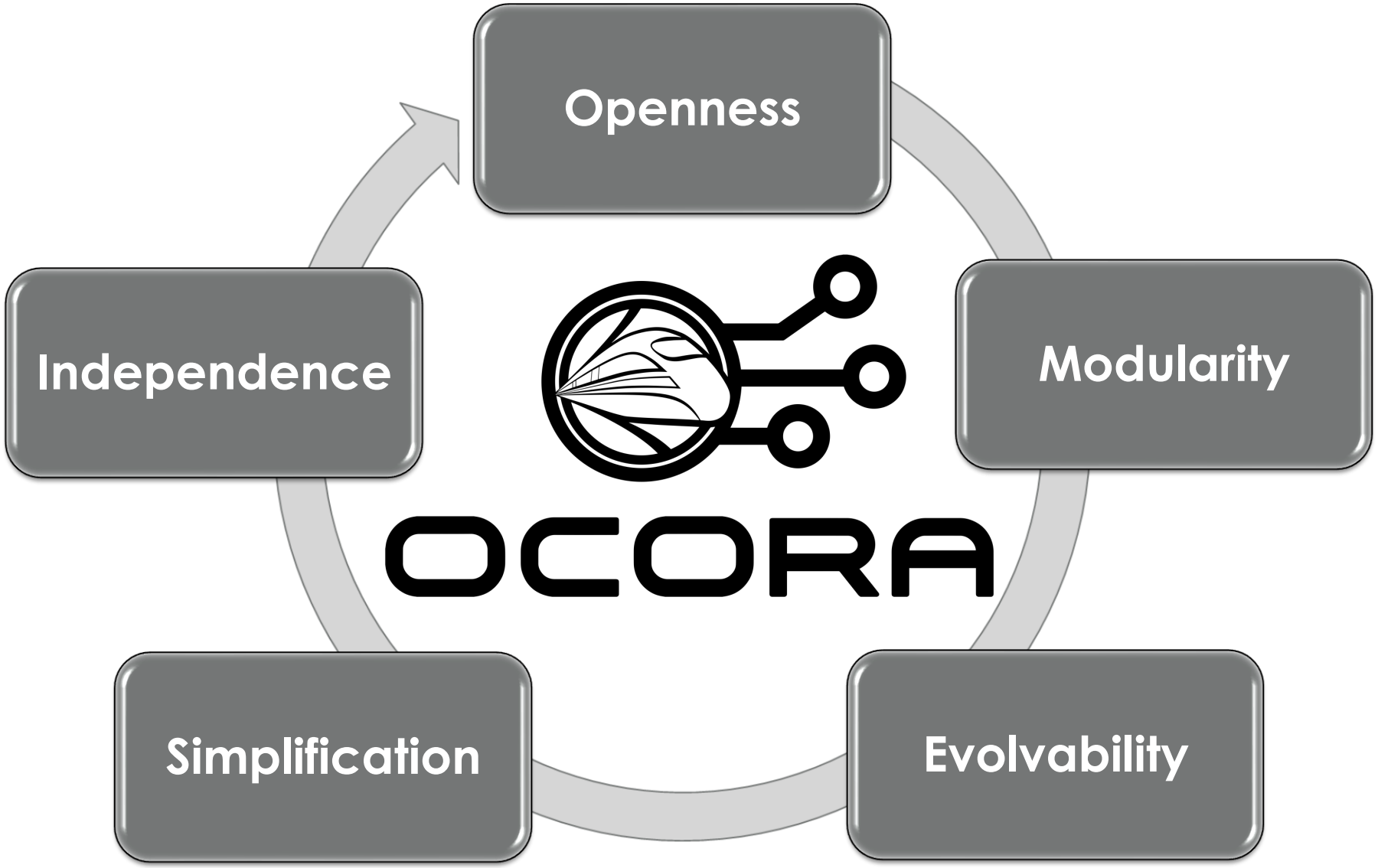
Migration

- **Upgradable and exchangeable components**
- **Compatibility framework**

Foundation — **ETCS + Pervasive Mobile Communication for Railway**

Introduction

Key Principles



Introduction

What (Scope)

OCORA targets a comprehensive and coherent set of specification for a modular CCS On-board environment published through consecutive OCORA releases.

Anticipated results from the OCORA collaboration as defined in the OCORA MoU are:

- A reference architecture guiding the development of (a specification for) a consistent and modular On-board CCS system.
- An economic evaluation supporting the OCORA architecture and approach.
- Robust interface specifications allowing for smooth evolution and migration.
- Improvements of the regulatory framework as a enabler for technology and migration uptake.
- So called “demonstrators”, “real life application” of products to showcase usability and applicability in test environments.
- A ‘Minimum Viable Product’ or MVP, the condensed version providing the core functionality of the OCORA platform for both validation and verification as well as authorization purposes.
- Publications targeting the dissemination of OCORA results to the benefit of stakeholders in the European railway community.

Introduction

Problem Statements - Current ETCS On-board solutions…

1. are based on the **TSI specifications** ensuring interoperability, but the **subset specifications are incomplete and ambiguous**. Therefore, interoperability is not a given.
2. are **more expensive than technologically justifiable**. This seems to be a result of **high integration engineering and certification efforts**, as well as **small batch sizes** and **high project risks**.
3. are **difficult to be integrated into existing vehicles**.
4. are **difficult and time consuming to adapt/change/update/upgrade**:
   - In the case of patching in non SIL area (e.g. cyber- security patching)
   - In the case of error correction in SIL area
   - In the case of baseline upgrade (e.g. ETCS baseline 2 to 3)
   - In the case of functional enrichment (ex. base for game changer introduction is not a given)
5. do **not respect different, non-overlapping life cycles** (e.g. vehicle vs. CCS vs. connectivity).
6. are **difficult to maintain** (e.g. maintenance, monitoring, diagnose possibilities very limited).
7. are **lacking built-in cyber security**, since this is a newer topic, especially in combination with 4 + 6.
8. are **performing below expected availability and reliability** (from overall ETCS system perspective).

In addition:

- The benefit of ETCS On-board only pays off, if the ERTMS rollout progresses in Europe on large scale.
- The ETCS On-board functions as such also need some improvements (e.g. braking curve, odometry accuracy, etc.) to serve current operational needs.
- Difficult, expensive and time consuming ETCS On-board fitments in general, are delaying national deployment plans, impacting trackside investments, and postponing ERTMS rollouts.

Introduction

OCORA Release Communication Material

- OCORA-20-001-Executive Summary Slide Deck
  - Official summary slide set
- OCORA-20-002-Program Slide Deck (this document)
  - Official slide set for presenting program aspects (e.g. problem statements, road map, etc.)
- OCORA-20-003-Technical Slide Deck
  - Official slide set for presenting technical aspects (e.g. architecture, UVCC Bus Evaluation, etc.)
- OCORA-20-004-Program Posters
  - Official posters for presenting program aspects (e.g. problem statements, road map, etc.)
- OCORA-20-005-Technical Posters
  - Official posters for presenting technical aspects (e.g. architecture, CCN, CP, FVA, (Cyber-) Security, Modular Safety, etc.)

Timeline

OCORA-BWS02-020 / v1.00 / 30.06.2021 / Delta Release

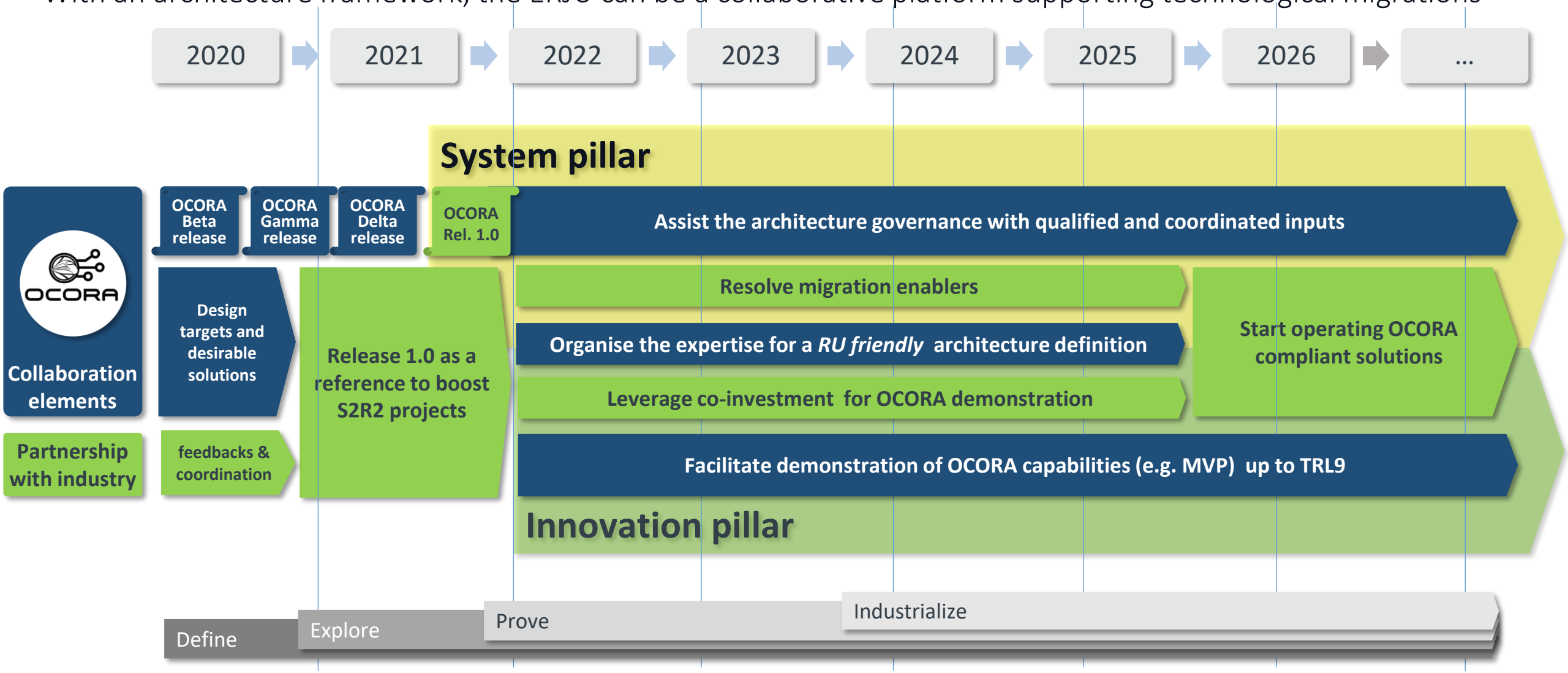
Timeline

Topic Overview

- Roadmap
- MVP

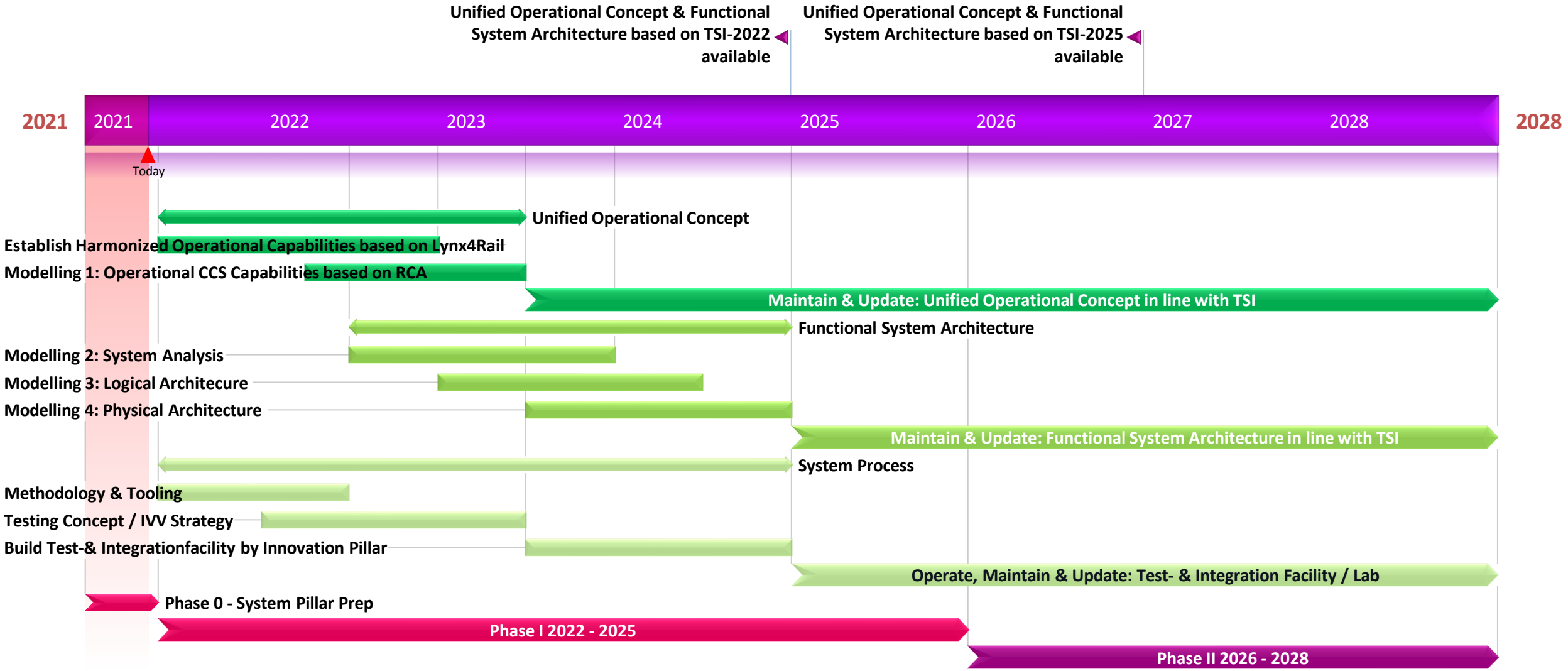
Roadmap

With an architecture framework, the ERJU can be a collaborative platform supporting technological migrations



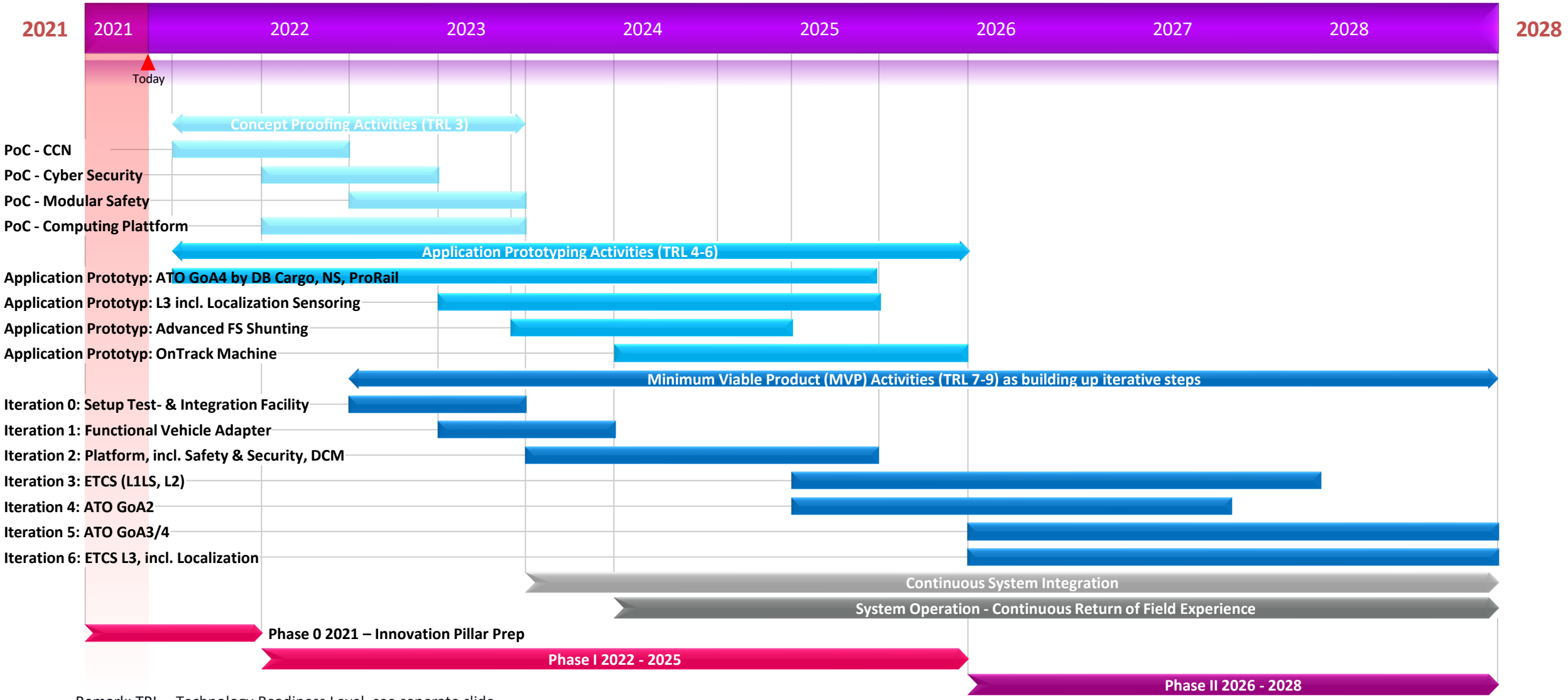
Europe's Rail JU –Roadmap for CCS On-board

System Pillar



Europe's Rail JU –Roadmap for CCS On-board

Research- & Innovation Pillar

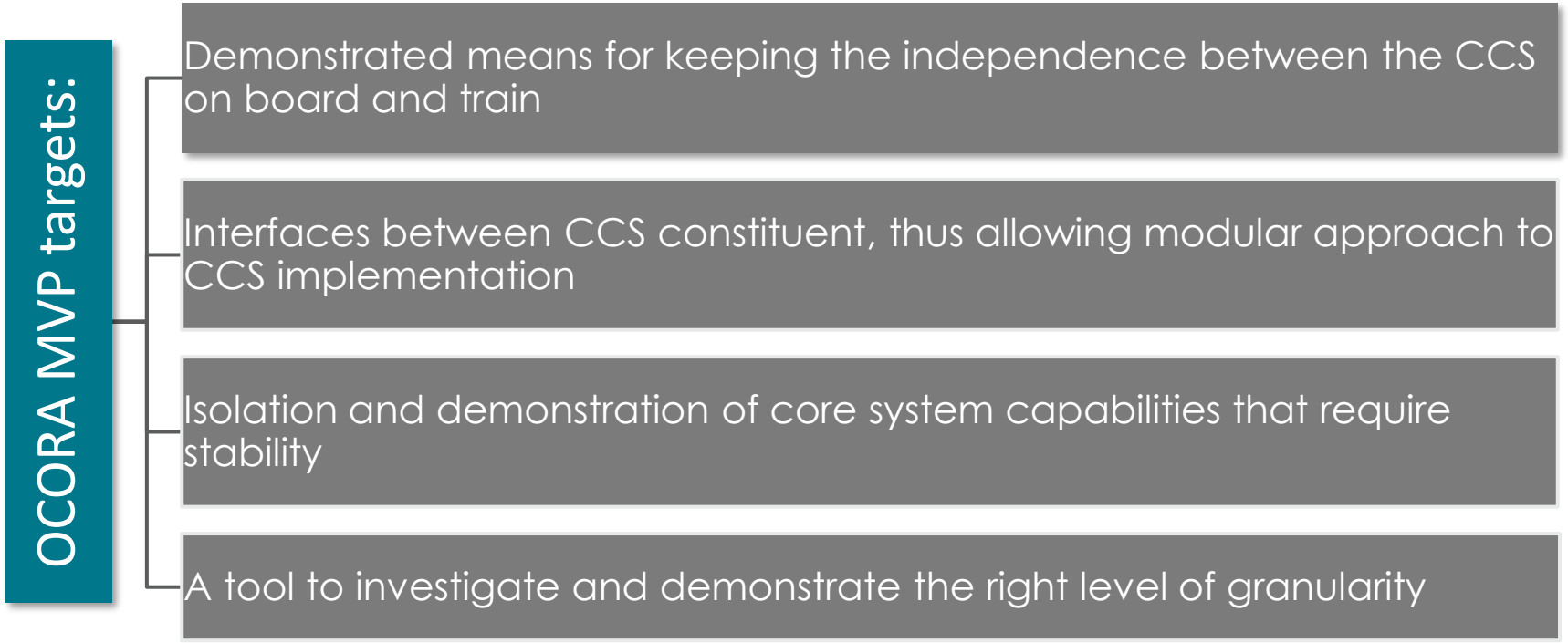


Remark: TRL = Technology Readiness Level, see seperate slide

Timeline

The OCORA Minimum Viable Product

The MVP is proposed as a development process within OCORA development roadmap allowing to reach a sufficient level of readiness for large scale industrialisation. It should allow to consolidate return of experience for deployment and complementary developments.



The demonstration roadmap for OCORA MVP is envisaged as a collaborative path with the European supply Industry. It is expected that the set up of the system and innovation pillar as part of the new Europe's Rail Joint Undertaking will allow to facilitate such a process.

Alliances

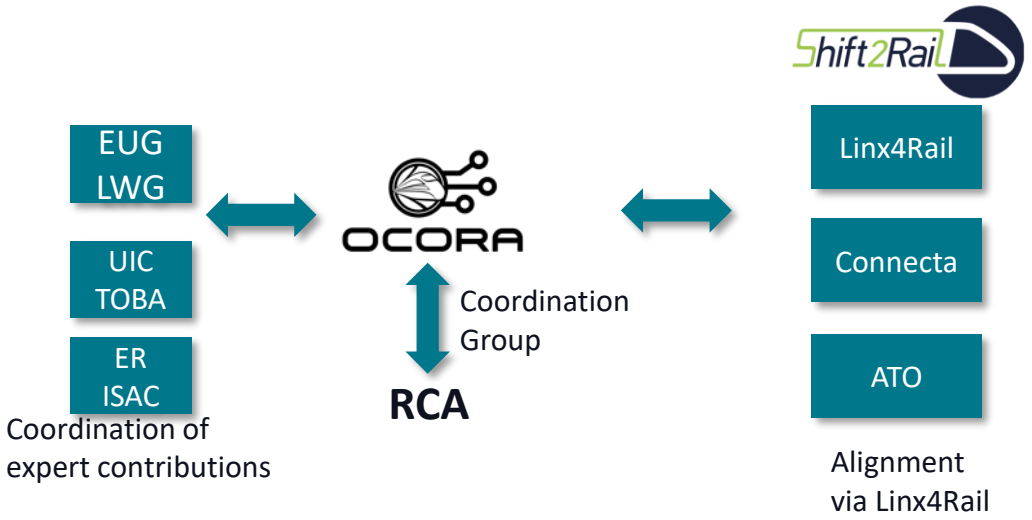
OCORA-BWS02-020 / v1.00 / 30.06.2021 / Delta Release

Alliances

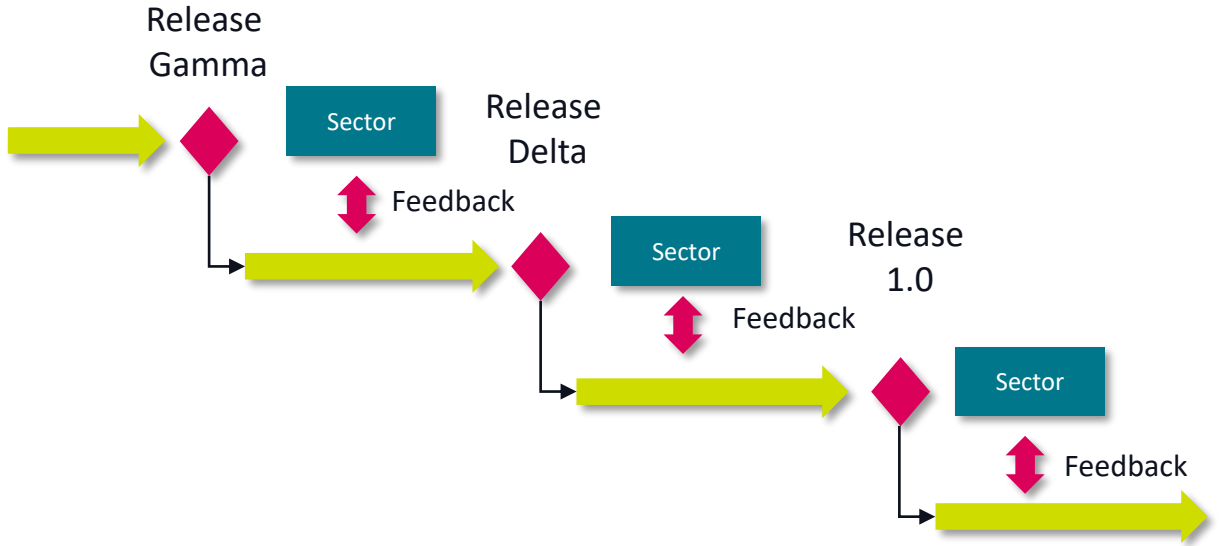
OCORA collaboration with many sectoral groups

OCORA covers explicitly CCS On-board, the train borne part of the overall control-command and signalling infrastructure needed for safe and automatic railway operation (Automatic Train Protection and Automatic Train Operation).

A good integration in the overall CCS environment is therefore essential and requests a good collaboration and liaison with related activities.



OCORA and other sector initiatives



OCORA iterative stakeholder involvement

Alliances

Ongoing liaisons

Sector interest group	Collaboration area	Liaison in place
CCS SG (CER)	Preparing TSI 2022 revision Setting secto governance for CCS architecture	OCORA experts sharing achievements for endorsement
TWG Train Modular Architecture (ERA)	Sounding TSI-CCS 2020 On-board preparation	Some OCORA experts present as CER speaker
RCA (EUG+EULYNX)	Functional decomposition Performance requirements (including itneroperability) Computing platform Modular safety	Setting up of a coordination group Joined working groups have started
FRMCS (UIC)	On-board telecommunication architecture Safe Communication capabilities Migration from GSM-R	Coordination done through experts involved in both initatives.
Localisation WG (EUG)	Mission requirement for onboard localisation Interface for localisation peripherals	Coordination done through experts involved in both initatives.
LinX4Rail (Shift2Rail)	TCMS interface Common sector business objectives Rail system architecture definition and governance	Alignment and collaboration has started

- OCORA assumes that a frequent, well-structured and open, unbiased exchange of views and ideas with its suppliers is fundamental to initiate customer oriented product and service development. Formalised liaisons with suppliers and industry interest groups (e.g. UNIFE/UNISIG) are therefore a sensible objective for OCORA collaboration.

Delta Release Overview

OCORA-BWS02-020 / v1.00 / 30.06.2021 / Delta Release

Release Overview

Topic Overview

- Released Content
- Business Rationale
- Economic Model
- High Level Methodology
- High Level Tooling
- Acceptance of Global Standards

Release Overview

Program Content

Release Documentation

- OCORA-BWS01-010 – Release Notes
- OCORA-BWS01-020 – Glossary

Supporting Documentation

- OCORA-BWS01-030 – Question and Answers
- OCORA-BWS01-040 – Feedback Form

Communication Material

- OCORA-BWS02-010 – Executive Summary Slide Deck
- OCORA-BWS02-020 – Program Slide Deck
- OCORA-BWS02-030 – Technical Slide Deck
- OCORA-BWS02-040 – Program Posters
- OCORA-BWS02-050 – Technical Posters
- OCORA-BWS02-060 – Confidentiality Clause

Program Documentation

- OCORA-BWS03-010 – Introduction to OCORA
- OCORA-BWS03-020 – Guiding Principles
- OCORA-BWS04-010 – Problem Statements
- OCORA-BWS05-010 – Road Map
- OCORA-BWS05-020 – Minimal Viable Product
- OCORA-BWS06-010 – Economic Model – Introduction & Overview
- OCORA-BWS06-020 – Economic Model
- OCORA-BWS07-010 – Alliances
- OCORA-BWS07-020 – CCS On-board for Europe's Rail Joint Undertaking
- OCORA-BWS08-010 – Methodology
- OCORA-BWS08-020 – Tooling
- OCORA-BWS09-010 – Acceptance of Global Standards
- OCORA-BWS09-020 – Acceptance of Global Standards – Focus on Safety in CCS

Release Highlights Program Documents are:

- Significantly enriched view on how OCORA integrates into Europe's Rail Joint Undertaking, System- and Innovation Pillar
- Updated communication material
- Released Economic Model (the Model itself)

Color Legend:

Doc. Title
New document or document with significantly new/additional content.

Doc. Title
Updated document with major enhancements

Doc. Title
Unchanged content only with minor improvements

Release Overview

Technical Content

Technical Documentation

- OCORA-TWS01-030 – System Architecture
- OCORA-TWS01-040 – Capella Modelling
- OCORA-TWS01-050 – Capella Model Export
- OCORA-TWS01-910 – CENELEC Phase 1 – Concept
- OCORA-TWS02-010 – CCN – Evaluation
- OCORA-TWS02-020 – CCN – Proof of Concept (PoC)
- OCORA-TWS03-010 – Computing Platform – Whitepaper
- OCORA-TWS03-020 – Computing Platform – Requirements
- OCORA-TWS04-010 – Functional Vehicle Adapter – Introduction
- OCORA-TWS04-011 – Functional Vehicle Adapter – Requirements
- OCORA-TWS04-012 – Functional Vehicle Adapter – Standard Communication Interface Specification
- OCORA-TWS04-013 – Functional Vehicle Adapter – Design Guideline
- OCORA-TWS05-010 – Requirements – Management Guideline
- OCORA-TWS05-020 – Stakeholder Requirements
- OCORA-TWS05-021 – Program Requirements
- OCORA-TWS05-022 – Design Requirements
- OCORA-TWS06-010 – (Cyber-) Security – Project Security Management Plan
- OCORA-TWS06-020 – (Cyber-) Security – Guideline
- OCORA-TWS07-010 – Modular Safety – Strategy
- OCORA-TWS09-010 – Testing – Strategy
- OCORA-TWS09-020 – Testing – Benchmarking Report Modular Testing

Prototyping Documentation

- OCORA-TWS15-010 – Prototyping – ATO Demonstrator - Case Study - S2R-IP-5-ARCC
- OCORA-TWS15-020 – Prototyping – CCS-TCMS-Interface-ETCS-Functionality
- OCORA-TWS15-021 – Prototyping – CCS-TCMS-Interface-ATO-Functionality

Release Highlights Technical Documents are:

- Significantly enriched Architecture Documentation
- First CENELEC Blueprints available
- First MBSE Capella Modelling Extracts available
- Solid Requirement Foundation in Polarion
  - A-Level: Stakeholder Requirements
  - B-Level: Program Requirements
  - Some first D-Level: Building Block Requirements

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Doc. Title
New document or document with significantly new/additional content.

Doc. Title
Updated document with major enhancements

Doc. Title
Unchanged content only with minor improvements

Release Overview

Business Rationale

OCORA business rational

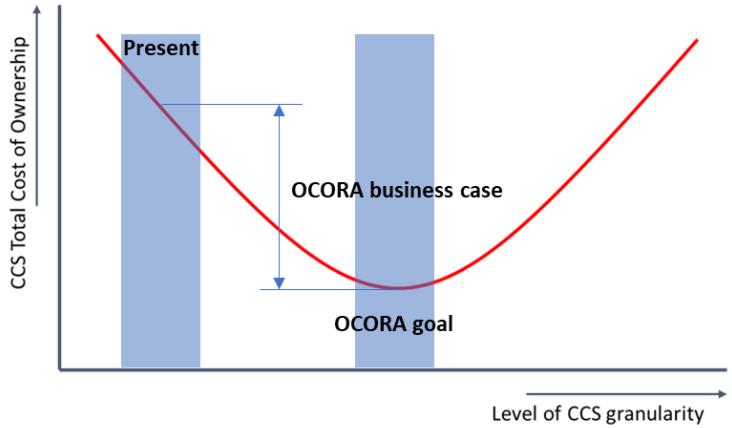
- keep up competition with modal competitors, investing heavily in digitalisation and automation
- embed innovative technologies in railway physical assets, planning systems and operations for boosting productivity, controlling cost and risk levels, and improving performance
- fast and affordable integration of the game changers (ERTMS, ATO, radio, localisation) in the CCS onboard, as a bottleneck for enhanced railway offers
- Anticipate technology lifecycles

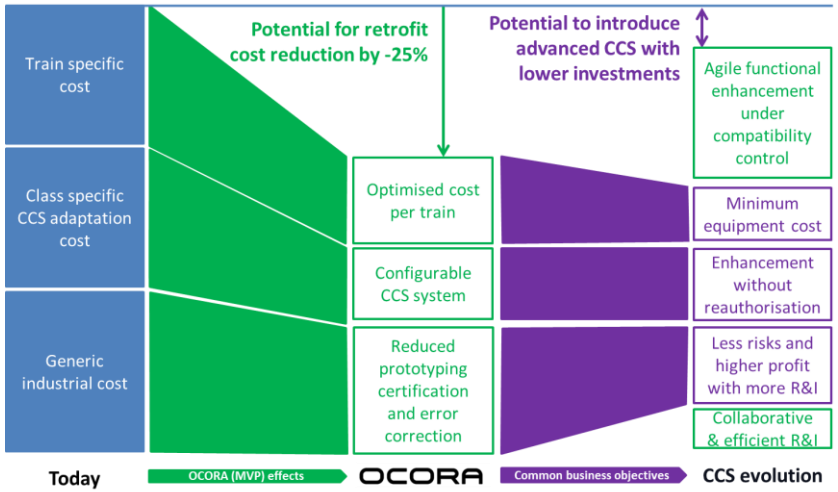
Release business rational

- Align operators' vision on design objectives and requirements for CCS On-board architecture
- Intensify the sector dialogue on new generation products and migration’s drivers

Release Overview

An economic model to discuss the optimal level of granularity



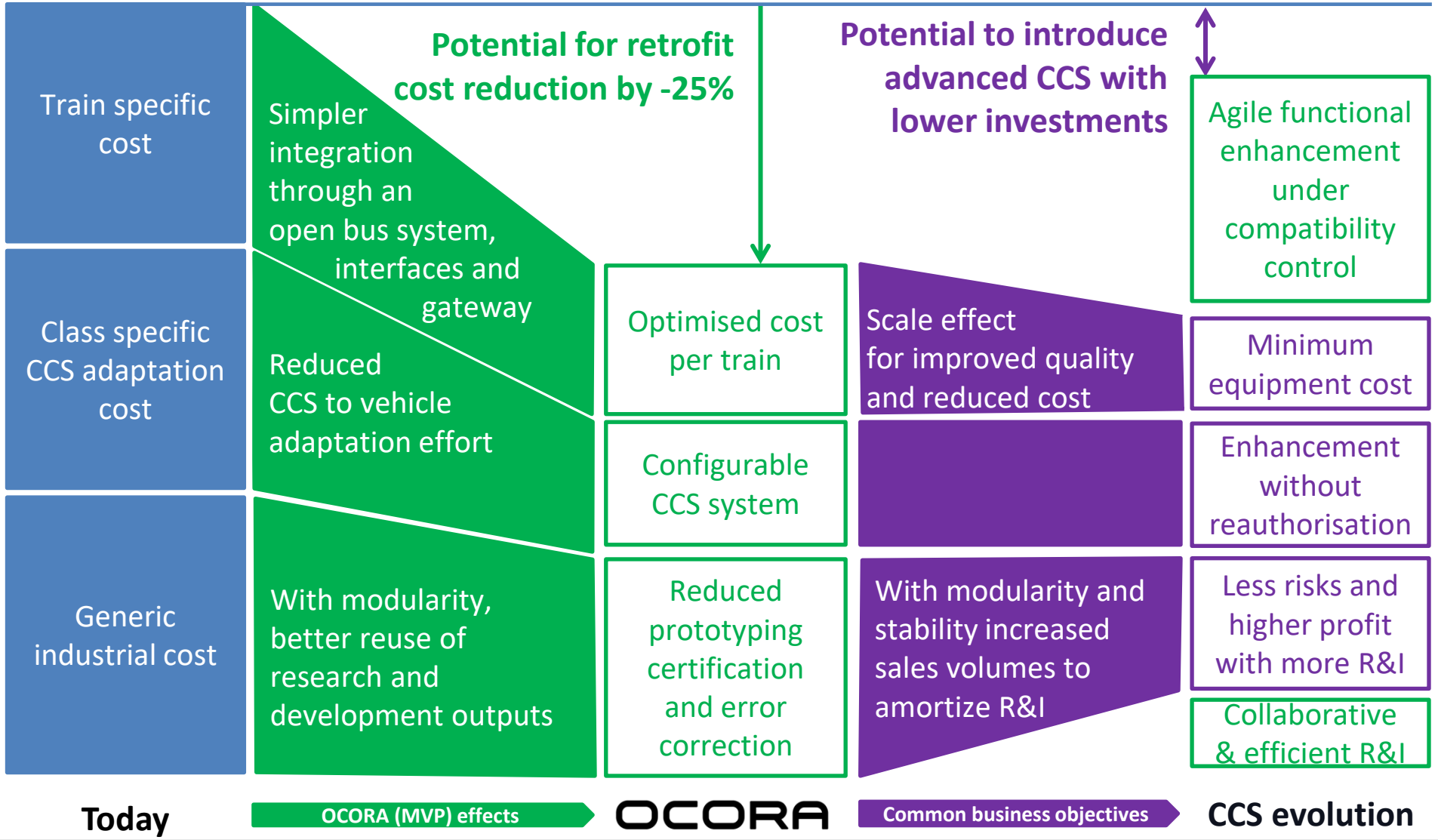


The development of the OCORA economic model, intends to provide tools for:

- Getting a clear view on the economic driver for the modularization of the on-board. To this end the model focus on 3 cost categories:
  - Generic industrial cost for developing certified CCS onboard sub systems
  - Cost for authorising operation with a new CCS configuration in a class of vehicle
  - Train specific cost for fitting or upgrading CCS building blocks
- Studying the impact of technology life cycle on the total cost of ownership. To this end scenario are defined for comparison purpose:
  - Todays situation with slow deployment and small project size, based on reference values derived from EC studies on ERTMS.
  - OCORA MVP scenario to model the economic impact of the modularisation of CCS onboard architecture
  - CCS evolution scenarios allowing to investigate impact of larger market, enhanced functionalities and accelerated upgrade scheme
- Optimising the contribution of OCORA breakthrough to Common business objectives. An open dialogue with the industry creates mutual benefit.

Release Overview

OCORA architecture brings benefit to suppliers and operators



Release Overview

Methodology & Tooling

Methodology:

- OCORA is developing based on topical workstreams
- OCORA is releasing contiguously
- OCORA makes a use of Best Practice
- OCORA uses the OSI model for interface specifications
- OCORA is using Model Based System Engineering based on Arcadia methodology
- OCORA deliverables are in compliance with the CENLEC phases
- OCORA deliverables are following the V cycle

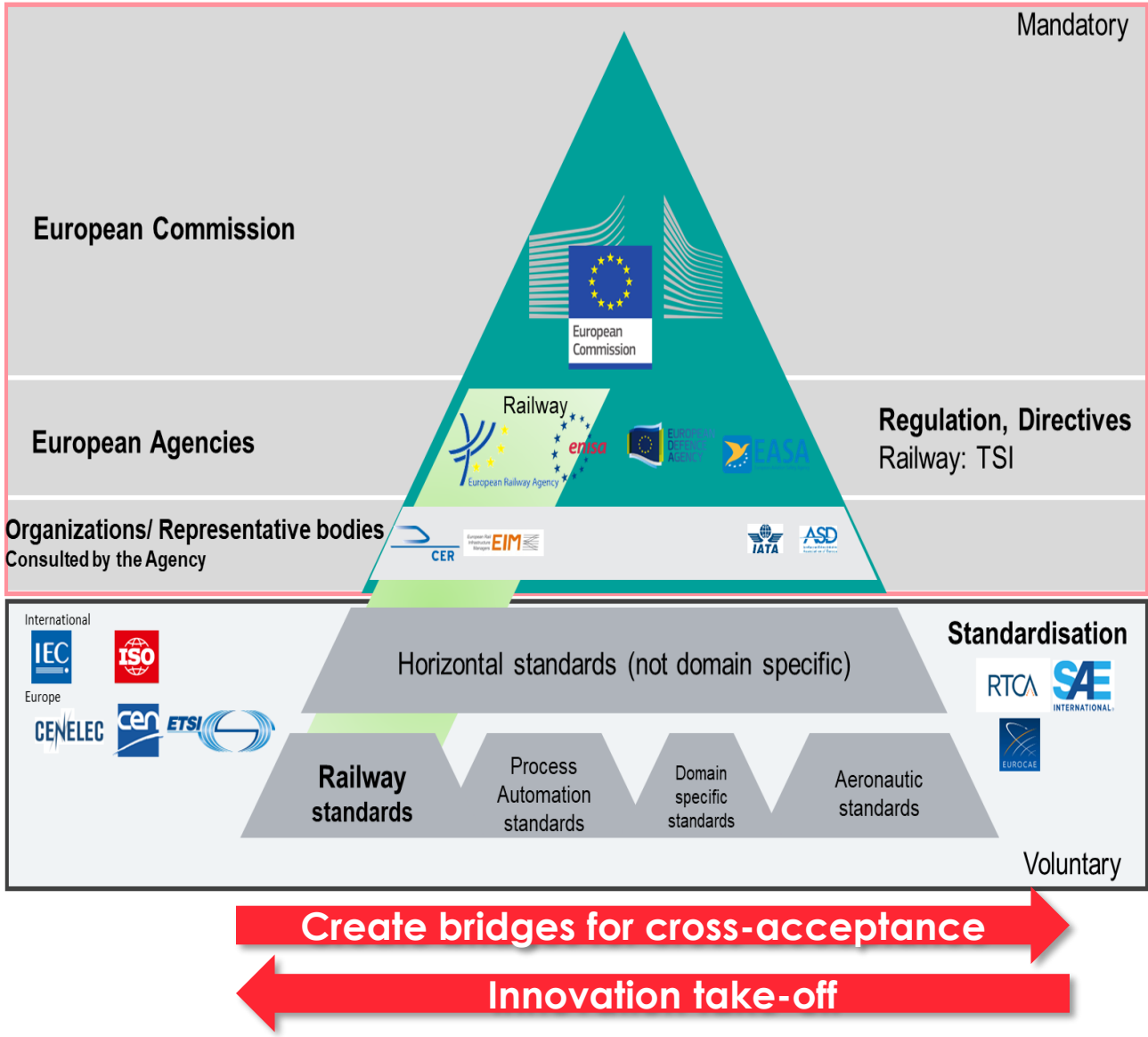
Tooling:

- OCORA uses MsTeams for telcos
- OCORA uses a public repository for publications: https://github.com/OCORA-Public
- OCORA uses an internal repository for work in progress
- OCORA uses Polarion for requirements engineering and management
- OCORA uses Capella for Model Based System Engineering
- OCORA uses SCADE for Model Based Software Development

Release Overview

Acceptance of Global Standards

- OCORA targets:
  - Facilitate, for the railway industry, the use of off-the-shelf components compliant with well-proven and largely-applied standards
  - Reduce the time necessary to introduce new technologies in the railway industry
  - Allow for safety-related electronic systems, the use of well-proven and largely-applied standards
  - And ensure the safety levels required by CSM are still reached
- Overall approach = ease the safety demonstration:
  - Overview - **how to ease the acceptance and the re-usability of equipment from other sectors certified according to well-proven and largely-applied standards**
  - Focus on Safety Assessment - **9 major differences between IEC EN61508 – CENELEC EN5012x for cross-acceptance**
- OCORA exchanging on this item with European organizations (CER, EIM, CENELEC, JPCR, NBRAIL, ERA, UNIFE…)



Sector Dialogue

OCORA-BWS02-020 / v1.00 / 30.06.2021 / Delta Release

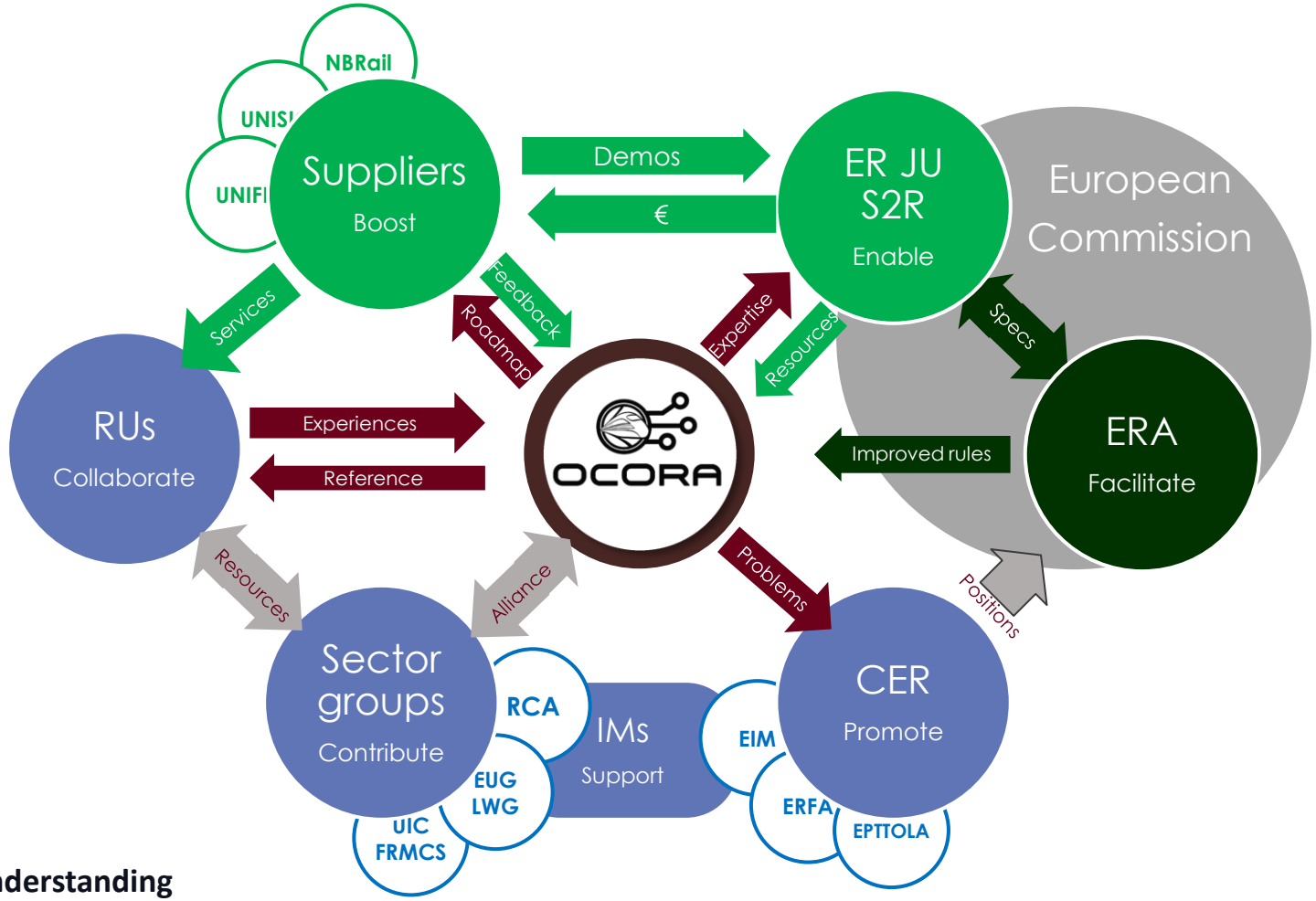
Sector Dialogue

OCORA, as an open architecture reference, support alignment between sector initatives

OCORA collaboration is open to support:

- ➔ ER JU / S2R: financing and an agile frame for industry partnering
- ➔ Suppliers : joined activities (e.g. models, PoC, prototype, MVP…)
- ➔ ERA : optimised acceptance based on just rules

Other fleet owners and any expert or EU citizen are welcome to join as supporter or contributors.



OCORA liaisons and alliances allow to find common understanding and complementarity at expert, corporate and institutional level.

Sector Dialogue

OCORA Release Imprint

- Publisher: OCORA Cooperation
- Channel: OCORA publishes exclusively over https://github.com/OCORA-Public/Publication
- OCORA liaison partners: UIC TOBA, RCA, CER
- Any feedback for OCORA is welcome!
  If you would like to attend a workshop or give a feedback, please contact jean-baptiste.simonnet@sncf.fr.
  For specific feedback the OCORA-BWS01-040 Feedback Form shall be used.
- For active collaboration (within the OCORA framework) the OCORA Code of Conduct must be accepted and signed.
  In case of interest for active collaboration and you are eligible to become a partner according to the OCORA Code of conduct, please drop a "interest of becoming a OCORA member by mail" to rolf.muehlemann2@sbb.ch.
- All OCORA deliverables and work will be published and licensed under the dual licensing Terms EUPL 1.2 (Commission Implementing Decision (EU) 2017/863 of 18 May 2017) and the terms and condition of the Attributions- ShareAlike 3.0 Unported license or its national version (in particular CC-BY -SA 3.0 DE).