



Open CCS On-board Reference Architecture

System Requirements Specification

Monitoring, Diagnostics, Configuration & Maintenance subsystem

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References

- [1] OCORA-BWS01-010 Release Notes
- [2] OCORA-BWS01-020 Glossary
- [3] OCORA-BWS03-010 Introduction to OCORA
- [4] OCORA-BWS04-010 Problem Statements
- [5] OCORA-BWS01-030 Question and Answers
- [6] OCORA-BWS02-030 Technical Slide Deck
- [7] OCORA-TWS01-030 System Architecture
- [8] OCORA-TWS01-035 CCS-On-Board-(CCS-OB)-Architecture
- [9] OCORA-TWS05-010 Requirements Management Guideline
- [10] OCORA-TWS08-010 MDCM-OB Introduction
- [11] BAV, NS, ProRail, SBB; Proposal for a user requirement specification pertaining to a railway monitoring system (CR1362), version 0.7; 2021
- [12] ERTMS/ETCS SUBSET-149, Online Monitoring System, draft 0.0.5; 2022
- [13] ERTMS/ETCS SUBSET-147, CCS Consist Network Communication Layers, draft 0.1.10; 2022
- [14] ERMTS/ETCS SUBSET 140, ATO-OB / ORD FFFIS Application Layer, draft 0.0.8; 2021
- [15] ERTMS/ETCS SUBSET-027, FIS Juridical Recording, issue 3.3.0; 2016
- [16] UIC 612-1:2009, Rolling stock configurations and main activated functions for EMU/DMU, locomotives and driving coaches; 1st ed; leaflet in volume VI Traction; 2009
- [17] CENELEC; IEC 61375-2-6:2018 E, Electronic railway equipment Train communication network (TCN) Part 2-6: On-board to ground communication; 2018







1 Introduction

1.1 Purpose of the document

This document defines the system-level requirements for the OCORA Monitoring, Diagnostics, Configuration, and Maintenance On-Board (MDCM-OB) building block.

The intended audience are CCS domain experts, railway undertakings (RUs) and infrastructure managers (IMs), as well as CCS product vendors and vehicle manufacturers.

If you are a railway undertaking, you may find useful information to compile tenders for OCORA compliant CCS building blocks, for tendering complete on-board CCS system, or also for on-board CCS replacements for functional upgrades or for life-cycle reasons.

If you are an organization interested in developing CCS-OB building blocks according to the OCORA standard, information provided in this document can be used as input for your development.

1.2 Applicability of the document

The document is currently considered informative but may become a standard at a later stage for OCORA compliant CCS-OB solutions. Subsequent releases of this document will be developed based on a modular and iterative approach, evolving within the progress of the OCORA collaboration.

1.3 Context of the document

This document is published as part of an OCORA Release, together with the documents listed in the release notes [1]. Before reading this document, it is recommended to read the MDCM Introduction [10] and OCORA Requirements Management Guideline [9]. The context and the motivation that drives OCORA is described in [3] and [4]. Terms and abbreviations used throughout this document are listed in OCORA Glossary [2]. Information on OCORA system architecture can be found in [7],[8],[6]. Furthermore, there is the OCORA Questions and Answers document [5].







2 MDCM-OB overview

The Monitoring, Diagnostics, Configuration, Maintenance subsystem (MDCM-OB) is a building block of the OCORA CCS-OB architecture. Its main purpose is the provision of services for monitoring, diagnostics, and configuration of onboard functions.

The main stakeholders of the MDCM-OB are railway undertakings (RU) and infrastructure managers (IM). Envisioned users of the MDCM-OB are operators and technicians, as well as CCS equipment vendors and vehicle manufactures.

MDCM-OB objectives, envisioned development stages, and general design approaches are introduced and described in [10], ch. 3.

The remaining paragraphs of this chapter informally describe the MDCM-OB system view, main use cases and main functional components, based <u>on</u> which corresponding requirements will be defined in chapter 3.

2.1 System view

MDCM-OB pursues a service-oriented design approach, where MDCM-OB features for diagnostics and configuration will be provided as dedicated onboard services with a common, extensible service API.

Figure 1 depicts the MDCM-OB system view and envisioned MDCM-OB clients.

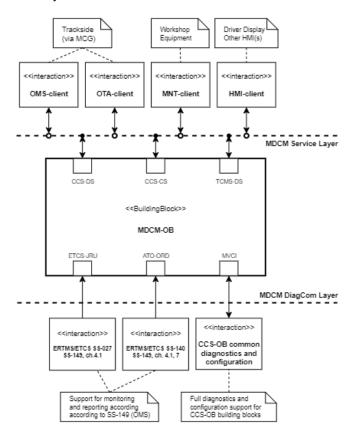


Figure 1 - MDCM-OB system view

2.2 Use cases

Figure 2 depicts the MDCM-OB top-level use cases and associated actors. The MDCM-OB top-level use cases have been derived from user requirements defined in [11], ch. 5.







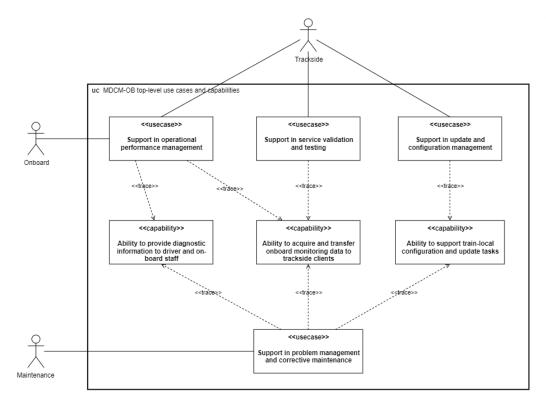


Figure 2 - MDCM-OB top-level use cases and associated actors

Based on MDCM-OB top-level use cases the main MDCM-OB capabilities shown in Figure 2 have been derived and will be subject to corresponding requirements in chapter 3.2.

2.3 Provided services

Figure 3 depicts the MDCM-OB services derived from MDCM-OB capabilities and from MDCM-OB objectives for OCORA short-, mid-, and long-term perspectives stated in [10], ch. 3.1.

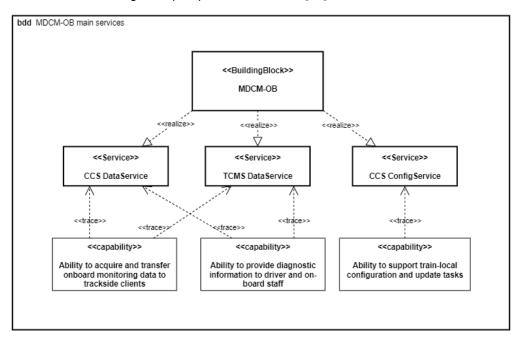


Figure 3 - MDCM-OB provided services







2.4 Functional components

Figure 4 depicts the white-box view of the MDCM-OB building block containing the envisioned functional components and associated interfaces necessary to realize the MDCM-OB services from chapter 2.3.

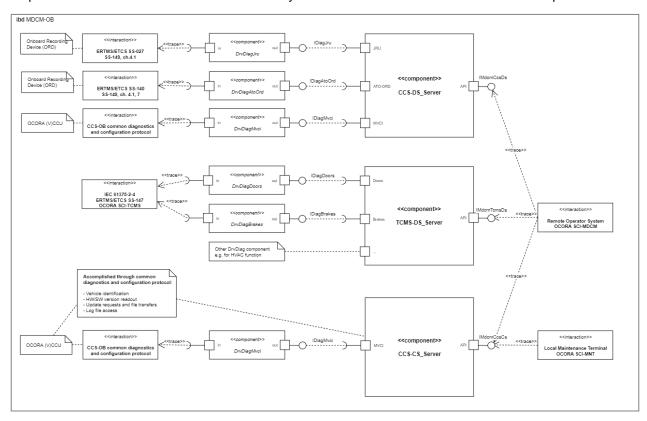


Figure 4 - MDCM-OB functional components

The white-box-view of the MDCM-OB building block is a design recommendation, which is provided here to outline the desired product design.

Using this design recommendation facilitates a modular MDCM-OB structure that can be incrementally developed with respect to MDCM-OB objectives for OCORA short-, mid-, and long-term perspectives.







3 Requirements

3.1 Operation modes

This chapter defines the required operation modes (OpModes) of the MDCM-OB. Each OpMode constitutes a particular system context, for example Normal-operation, Commissioning or Maintenance.

OCORA-8196, D-Level - Supported operation modes

The MDCM shall operate in all train configurations defined by UIC 612-1:2009, except the ShutDown and SelfProtection configuration.

Classification	Requirement
Rationale	 Onboard diagnostics and configuration services provided by the MDCM shall be available after the train has been switched on Attempts to perform diagnostics or configuration tasks in certain train configurations, where one or more targeted functions are not available, will be acknowledged by the MDCM with a negative response
Remark	Scope: MDCM global Remarks: It shall be the responsibility of the targeted function to allow or deny diagnostics and/or configuration requests sent by the MDCM See, UIC 612-1:2009, A.1, Table 1, for the list of all train configurations and constituting operating condition The full set of train configurations where the MDCM shall be operational comprises the following modes SwitchedOn StandBy InService Driving EnergySaving Degraded

3.2 System capabilities

This chapter defines the required capabilities of the MDCM-OB. Capabilities constitute groups of related requirements concerning services, features and functionalities of the MDCM-OB.

OCORA-7427, D-Level - Ability to acquire and transfer onboard monitoring data to trackside clients

The MDCM shall provide means to receive, store and forward diagnostics and monitoring data from onboard building blocks.

Classification	Requirement
Rationale	 The diagnostic and monitoring data is needed to inform on-board staff about issues, allowing the staff to handle appropriately. The diagnostic and monitoring data is needed to inform trackside, allowing to follow adequate operational procedures.







	 The diagnostic and monitoring data is needed to inform trackside, allowing to plan accordingly.
Remark	Scope: • MDCM short-term and mid-term, CCS DataService • MDCM long-term, TCMS DataService Remarks:
	 See also requirements from OMS spec. and SS-149 draft related to details on storing monitoring data for a limited time. The MDCM shall handle the monitoring and diagnostics data payload, as much as possible, as opaque, application- and/or component-specific data. The meaning and interpretation of monitoring- and diagnostics payload data format shall be transparent to the MDCM. Changes to the monitoring- and diagnostics payload data format shall have minimal side effects on the MDCM.

OCORA-8048, D-Level - Ability to provide diagnostic information to driver and on-board staff

The MDCM shall support displaying diagnostic information from CCS-OB and TCMS functions to the driver and/or other on-board staff.

Classification	Requirement
Rationale	 Provide a central source for diagnostic information about CCS-OB and TCMS functions Provide means to access this information from the driver display
Remark	CCS DataService, MDCM mid-term TCMS DataService, MDCM long-term Remarks: Displaying the information is not in scope of the MDCM. This shall be done, for example by the driver display function. However, the MDCM shall be capable to provide diagnostic information to driver display when needed.

OCORA-8046, D-Level - Support of train-local configuration and update tasks

The MDCM shall support performing configuration and update tasks on-board the vehicle.

Classification	Requirement
Rationale	Need is for maintenance tasks performed in workshops and depots
Remark	Scope:
	 Support technicians and other authorized staff in performing change-, release-, and configuration management tasks for CCS-OB component on-board the vehicle Target use cases such as SW updates, and HW/SW versions readout







OCORA-7425, D-Level - Ability to issue commands to CCS-OB building blocks

The MDCM shall provide means to issue commands to CCS-OB building blocks.

Classification	Requirement
Rationale	 Needed for CCS-OB update and configuration tasks Needed for some CCS-OB diagnostic tasks (e.g. retrieval of logging data)
Remark	Scope: • MDCM mid-term • CCS ConfigService

OCORA-7426, D-Level - Ability to manage configuration data transfers

The MDCM shall provide means to receive, store and forward configuration data from trackside systems to CCS-OB building blocks.

Classification	Requirement
Rationale	Needed for CCS-OB update and configuration tasks
Remark	 MDCM mid-term CCS ConfigService Remarks: Configuration and update of CCS-OB building blocks is envisioned to be performed either remotely (from trackside clients) or locally (from onboard clients) Storing of configuration data in MDCM means here, that the MDCM shall maintain a temporary data buffer per transfer-session containing the data successfully received from associated trackside client. The data buffer shall be maintained by MDCM until the transfer to the targeted onboard unit has been either successfully completed or dropped by the trackside client. The MDCM shall handle the configuration data payload as opaque, application-specific data. The exact configuration payload data format shall be transparent to the MDCM. Changes to the configuration data payload format shall not have any side effects on the MDCM.

OCORA-7629, D-Level - Ability to provide logging data from CCS-OB building blocks

The MDCM shall provide means to download logging data from CCS-OB building blocks. Access to this logging data shall be possible from both onboard (local access) and trackside (remote access).

Classification	Requirement
Rationale	This feature is needed, for example, for detailed issue analysis.
Remark	Scope:
	MDCM mid-termCCS ConfigService







Remarks:

- Local logging data access shall be available to authorized staff via Maintenance Terminal.
- Remote logging data access shall be available to authorized staff via T2G link and MCG
- Logging data shall be provided by the MDCM as file(s) transferred to the requesting site.
- The logging data to be transferred will be acquired by the MDCM from the corresponding onboard components on demand.
- Depending on the train configuration (train is driving, at the station, in the workshop, etc.) the access to logging data might be allowed or rejected by an onboard component. In the latter case the MDCM shall indicate a negative response.
- Furthermore, when it comes to remote access, the MCG might decide, depending on the available T2G communication bandwidth and/or quality, whether or not logging data transfers will be allowed.

OCORA-7630, D-Level - Ability to provide onboard identification and configuration data from CCS-OB building blocks

The MDCM shall provide means to retrieve identification and configuration data from CCS-OB building blocks.

Classification	Requirement
Rationale	 Need to observe and identify available CCS-OB components of a particular vehicle (architecture identification request). Need to readout CCS-OB component configuration data such as hardware version, software version, other configuration parameters.
Remark	Scope: • MDCM mid-term • CCS ConfigService Remarks:
	 This information shall be provided to (will be used by) both onboard and trackside clients.

OCORA-7631, D-Level - Ability to provide batch data transfers to trackside

The MDCM shall provide means to realize batch data transfers from onboard to trackside. Individual batch data transfers shall be configurable in terms of contents, trigger points, connectivity/bandwidth constraints.

Classification	Requirement
Rationale	 Batch data transfers will be used to download large chunks of aggregated data from the vehicle. A batch of data will be transferred within a single and potentially long-running transfer operation.
Remark	Scope: • MDCM mid- and long-term • CCS DataService and TCMS DataService Remarks:







	Batch data comprises non-realtime information, which is not immediately needed
·	
	for train operation, but will be accessed, for example, at particular points in space
	or time.
•	Examples for batch data transfers to trackside are:
•	Readouts performed at the end of a service period
•	Transfers performed at the train station
•	Transfers scheduled and performed at fixed time intervals when the train is in
	operation

OCORA-7632, D-Level - Ability to provide instantaneous data transfers to trackside

The MDCM shall provide means to realize instantaneous data transfers to trackside in "near real-time".

Classification	Requirement
Rationale	 Transmit process data and diagnostics data to the trackside that is required in "near real-time", for example by the Planning System to influence train operations.
Remark	Scope: • short-term, CCS DataService • long-term, TCMS DataService Remarks:
	 Instantaneous data transfers shall be data driven. That is, instantaneous transmissions shall be performed onUpdate (for process data) respectively onEvent (for diagnostic data). Instantaneous data transfers to trackside shall be realized as telegram/message-based transfers. The queue length and priority for instantaneous data transfers shall be individually
	 The term "near real-time" shall here refer to the "best-effort" principle, accepting time delays introduced by automated data processing and network transmission between the occurrence of an event and the reception/use of the processed data by recipients.
	 The delay in "near real-time" shall be typically within a range of 1-10 seconds; see also <u>Wikipedia:Real-time computing</u>. This given that a radio connection providing sufficient bandwidth is available, while not having a good radio connection is the rare exception and not the normal operation.

OCORA-8044, D-Level - Ability to provide ETCS/JRU data

The MDCM shall provide means to retrieve information related to Juridical Recording Unit (JRU) according to ERTMS/ETCS subset 027, chapter 4.2.

Classification	Requirement
Rationale	Support of subset 149 (OMS)
Remark	Scope: • MDCM short-term • CCS Data Service







OCORA-8053, D-Level - Ability to provide ATO/ORD data

The MDCM shall provide means to retrieve information related to ATO/ORD data defined in ERTMS/ETCS subset 149, appendix 1.

Classification	Requirement
Rationale	Support of SUBSET-149 (OMS)Provide ATO/ORD data to trackside
Remark	 MDCM short-term CCS DataService Remarks: The ATO/ORD data in scope of the MDCM is defined in ERTMS/ETCS SUBSET-149, appendix 1, which is currently only in draft status The mapping of ATO/ORD binary message data to MDCM message data, which is sent to trackside, is not yet included in SUBSET-149

OCORA-8047, D-Level - Ability to map event codes to text modules

The MDCM shall provide means to map diagnostic event codes from on-board functions to text-modules containing human-readable descriptions for these events.

- The mapping shall be such that there is one dedicated text module defined per event code
- Each text module shall contain texts displayed to the driver, as well as texts displayed to maintenance staff
- The mapping shall be configurable and updateable
- The mapping shall support different languages
- The mapping shall support the adaption to different vehicle configurations, e.g. adaption to different SW/HW versions where event codes might differ

Classification	Requirement
Rationale	 Having human-readable descriptions of event codes in different levels of details Having the MDCM as central database for providing and maintaining this mapping on-board the vehicle
Remark	Scope: CCS DataService, MDCM mid-term TCMS DataService, MDCM long-term
	Remarks:
	 Event codes comprise both operational events and error codes Today, event codes are usually application- and/ or project specific and maintained by vehicle vendors

3.3 System external interfaces

This chapter defines the required interfaces of the MDCM towards other actors. External interfaces are derived from MDCM capabilities.

OCORA-7628, D-Level - Provide diagnostic data service for CCS-OB building blocks

The MDCM shall provide a dedicated service for retrieving monitoring- and diagnostic-data from CCS-OB building blocks.







Classification	Requirement
Rationale	 Having a dedicated service for CCS-OB monitoring- and diagnostic-data access in OCORA system architecture. The MDCM deals with diagnostic communication with other CCS-OB building blocks and the provision of diagnostic data to requesting sites.
Remark	Scope:

OCORA-8220, D-Level - Provide diagnostic data service for TCMS functions

The MDCM shall provide a dedicated data service for retrieving monitoring and diagnostic data from TCMS functions.

Classification	Requirement
Rationale	 Technicians can use the MDCM to commonly access onboard diagnostic data from both CCS-OB and TCMS functions Also needed for future ATO GoA 3/4 use cases, where MDCM is supporting automated fault management and recovery
Remark	Scope: • MDCM long-term • TCMS DataService Remarks:
	 For future ATO GoA 3/4 use cases, fault management and recovery onboard the vehicle has to be automated The corresponding fault management function is assumed to be part of CCS-OB domain For troubleshooting and recovery of faults, the corresponding fault management function requires diagnostic data from TCMS functions as well

OCORA-8214, D-Level - ETCS/JRU data acquisition interface

The MDCM interface for acquisition of ETCS/JRU data shall be compliant to ERTMS/ETCS SS-147 communication layer requirements.

Classification	Requirement
Rationale	 Derived from OCORA-8044 - Ability to provide ETCS/JRU data Compliance to SS-149 (OMS), ch. 4.1, dropping support for legacy OBUs
Remark	Scope:
	 SS-147 is currently only in draft status Support for migration scenarios as mentioned in SS-149 (OMS) is not supported







OCORA-8215, D-Level - ATO/ORD data acquisition interface

The MDCM interface for acquisition of ATO/ORD data shall be compliant to ERTMS/ETCS SS-147 communication layer requirements.

Classification	Requirement
Rationale	 Derived from OCORA-8053 - Ability to provide ATO/ORD data Compliance to SS-149 (OMS), ch. 4.1, dropping support for legacy OBUs
Remark	Scope:
	 SS-147 is currently only in draft status Support for migration scenarios as mentioned in SS-149 (OMS) is dropped The ATO/ORD dataset is according to SS-149 (OMS), appendix 1

OCORA-8216, D-Level - Common diagnostic communication interface to CCS-OB building blocks

The diagnostic communication between MDCM and CCS-OB building blocks shall use a common, standardized and service-oriented application-level protocol. Lower-level communication layers shall be compliant to ERTMS/ETCS SS-147.

Classification	Requirement
Rationale	 Having a common solution for diagnostics and configuration of all CCS-OB building blocks Derived from OCORA-7427 - Ability to acquire and transfer onboard monitoring data to trackside clients OCORA-7425 - Ability to issue commands to CCS-OB building blocks OCORA-7426 - Ability to manage configuration data transfers OCORA-8046 - Support of train-local configuration and update tasks OCORA-7630 - Ability to provide identification and configuration data from CCS-OB building blocks
Remark	MDCM mid-term CCS DataService and CCS ConfigService Remarks: CCS-OB building blocks have to implement standardized diagnostic service primitives that can be consumed by the MDCM Diagnostic service primitives provided by CCS-OB building blocks will be used by the MDCM to perform, amongst other tasks, Identification of installed equipment HW and SW version readout Diagnostic data access Update and configuration tasks Design recommendations:
	 Use of ISO 14229-1 (UDS) and ISO 14229-5 (UDSonIP) for realizing diagnostics and configuration for CCS-OB building blocks Adaption to different communication protocols can be accomplished by the MDCN using the MVCI stack (ISO 22900-2/3)





 Vendor- and system-specific diagnostic data mapping and variant management can be accomplished by the MDCM using ISO 22901-1 standard (ODX)

3.4 System internal interfaces

Requirements imposed on internal MDCM-OB interfaces are left to be defined either in future versions of this document, or in corresponding design specification documents.

3.5 System internal data

Requirements imposed on internal MDCM-OB data are left to be defined either in future versions of this document, or in corresponding design specification documents.

3.6 System configuration and upgrades

Requirements for MDCM-OB configuration and upgradability will be defined in future versions of this document.

3.7 System safety

Safety related requirements for the MDCM-OB will be defined in future versions of this document. Currently, the MDCM-OB is only rated as basic integrity according to EN 50126.

3.8 System security and privacy

Requirements on MDCM-OB security and data privacy will be defined in future versions of this document.

3.9 System environments

Requirements concerning environmental characteristics and conditions in which the MDCM-OB must either operate or withstand will be defined in future versions of this document.

3.10 Computing and communication resources

Requirements concerning computing and communication demands of the MDCM-OB will be defined in future versions of this document.

3.11 System quality and performance factors

Quality and performance requirements of the MDCM-OB operation will be defined in future versions of this document.







3.12 Design constraints

This chapter defines requirements concerning the general design of the MDCM-OB, such as platform support, deployment aspects and HMIs. Furthermore, this chapter defines requirements concerning processes for system development, documentation, qualification and homologation of the MDCM, as imposed by sector standards and/or national regulations.

OCORA-8045, D-Level - Use of MCG for train-to-ground communication

The MDCM shall use the MCG of the vehicle for communicating with trackside systems.

Classification	Requirement
Rationale	 The MCG provides the Mobile Communication Gateway for train-to-ground communication with trackside systems from RU zone.
Remark	Scope: • MDCM global Remarks: • MCG shall here refer to the corresponding component defined in OCORA CCS-OB architecture • The MCG is supposed to be compliant to latest applicable IEC 61375-2-6 standard

3.13 Personnel and training

This chapter defines requirements for the MDCM-OB concerning the accommodation to different skill levels, training needs, or other information about the personnel who will use or support the system.

Currently, there are no specific requirements for the MDCM-OB concerning personnel and training. In future document versions such items may be added, though.

3.14 Other requirements

None.







4 Acceptance criteria

The set of qualification methods and acceptance criteria that shall apply for MDCM-OB verification and validation are defined in [9], ch.6.

In future versions of this document the individual qualification method(s) to be applied per requirement will be defined as corresponding Verification Method attribute.

In the current document version, all requirements from chapter 3 shall be verified by Design Reviews.

5 Traceability

The OCORA project uses a hierarchical requirements structure which is described in [9], ch. 3.1.

Within this requirements hierarchy all of the MDCM-OB requirements from chapter 3 are classified as D-Level (Building Block) requirements, that shall be either directly backward-traceable to higher-level OCORA requirements, such as C-Level (System) and B-Level (Program & Design) requirements, or backward-traceable to A-Level requirements constituting external stakeholder needs and applicable norms and standards.

If no direct backward-traceability of a MDCM-OB requirement to an existing higher-level requirement can be established, then there must be at least a Rationale statement provided as part of the requirement.

6 Other note	こと
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None.

7 Appendices

None.



