

OCORA

Open CCS On-board Reference Architecture

Functional Vehicle Adapter

Introduction

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References

Reader's note: please be aware that the numbers in square brackets, e.g. [1], as per the list of referenced documents below, is used throughout this document to indicate the references. to external documents:

- [1] OCORA-BWS01-010 Release Notes
- [2] OCORA-BWS01-020 Glossary
- [3] OCORA-BWS01-030 Question and Answers
- [4] OCORA-BWS01-040 Feedback Form
- [5] OCORA-BWS02-030 Technical Slide Deck
- [6] OCORA-BWS03-010 Introduction to OCORA
- [7] OCORA-BWS04-010 Problem Statements
- [8] OCORA-BWS08-010 Methodology
- [9] OCORA-TWS01-030 System Architecture
- [10] OCORA-TWS01-040 Capella Modelling
- [11] OCORA-TWS02-010 CCS Communication Network Evaluation
- [12] OCORA-TWS04-011 Functional Vehicle Adapter Requirements
- [13] OCORA-TWS04-012 TCMS Standard Communication Interface Specification
- [14] OCORA-TWS04-020 Generic Vehicle Adapter Requirements Specification
- [15] OCORA-TWS04-021 Vehicle Adapter Mapping Table Template
- [16] TSI CCS: 02016R0919 EN 16.06.2019 001.001 1: COMMISSION REGULATION (EU) 2016/919 of 27 May 2016 on the technical specification for interoperability relating to the 'control-command and signalling' subsystems of the rail system in the European Union, amended by Commission Implementing Regulation (EU) 2019/776 of 16 May 2019 L 139I
- [17] SUBSET-139, ATO over ETCS ATO-OB / TCMS Interface Specification
- [18] SUBSET-143, ATO over ETCS Interface Specification Communication Layers for Onboard Communication
- [19] RCA.Doc.13, Gamma.1, Concept: Architectural approach and Systems-of-Systems Perspective
- [20] RCA.Doc.35, Gamma.1, RCA System Architecture
- [21] EN 50126-1:2017-10 Railway Applications The Specification and Demonstration of Reliability, Availability, Maintainability and Safety (RAMS) Part 1: Generic RAMS Process
- [22] EN 50126-2:2017-10 Railway Applications The Specification and Demonstration of Reliability, Availability, Maintainability and Safety (RAMS) Part 2: Systems Approach to Safety
- [23] EN 50128:2011-06 Railway Applications Communication, signalling and processing systems Software for railway control and protection systems
- [24] EN 50129:2018-11 Railway applications Communication, signalling and processing systems Safety related electronic systems for signalling
- [25] IEC61375-2-3 TCN Train Communication Network Communication Profile

Wherever a reference to a TSI-CCS [16] SUBSET is used, the SUBSET is referenced directly (e.g. SUBSET-026). We always reference to the latest available official version of the SUBSET. OCORA always references to the latest available official version of the SUBSET, unless indicated differently.







Introduction 1

1.1 Purpose of the document

The purpose of the document is to introduce the Function Vehicle Adapter component with the aim to provide to the reader:

- The OCORA approach for integrating the CCS on-board with the Physical Train Unit Operation Systems (PTU-OS).
- The high-level concept and architecture of the technical implementation.
- An introduction that is the basis to read and understand the requirement document [12] and the interface specification [13] describing the CCS on-board to Physical Train Unit Operation Systems (PTU-OS) interfaces, and the integration for the ETCS on-board and the 'ATO Vehicle' applications.

This document is addressed to experts in the CCS domain and to any other person, interested in the OCORA concepts for on-board CCS. The reader is invited to provide feedback to the OCORA collaboration and can, therefore, engage in shaping OCORA. Feedback to this document and to any other OCORA documentation can be given by using the Feedback Form [4].

If you are a railway undertaking, you may find useful information to compile tenders for OCORA-inspired CCS building blocks, for tendering complete on-board CCS systems, or for on-board CCS replacements for functional upgrades or for life-cycle reasons.

If you are an organisation interested in developing CCS on-board building blocks according to the OCORA design principles, the information provided in this document can be used as input for your development.

1.2 Applicability of the document

The document is informative. Subsequent releases of this document will be developed based on a modular and iterative approach, evolving within the progress of the OCORA collaboration.

1.3 Context of the document

This document is published as part of an OCORA Release, together with the documents listed in the Release Notes [1]. Before reading this document, it is recommended to read the Release Notes [1]. If you are interested in the context and the motivation that drives OCORA we recommend reading the Introduction to OCORA [6], and the Problem Statements [7]. The reader should also be aware of the Glossary [2] and the Question and Answers [3].

This specific document focusses on specific technical aspects of the architecture.

The system architecture document [9] describes a 'Train Adapter' that is used to integrate the CCS on-board system into a Physical Train Unit Operation Systems (PTU-OS). Within the 'Train Adapter' three different components are foreseen:

- Wired I/O Control (WIOC): provides connectivity for the CCS on-board to wired sensors and actors of the Physical Train Unit Operation Systems (PTU-OS) through the FVA. The data exchanged through this component is for functions with SIL < 3.
- Functional Vehicle Adapter (FVA): adaptation of the Physical Train Unit Operation Systems (PTU-OS) with its Train Control and Management System (TCMS, if available) to the CCS on-board applications, interfaces of CCS on-board are indicated in chapter 31. The FVA provides the main conversion logic between Physical Train Unit Operation Systems (PTU-OS) (typically the TCMS) and CCS on-board, if needed.

¹ An overview of the different interfaces is provided in the system architecture document [9].







Passenger Information System Adapter (PISA): adaptation of the Passenger Information System (PIS) to the CCS on-board applications.

Only the Functional Vehicle Adapter (FVA) is considered in this document. The interfaces of the Functional Vehicle Adapter are indicated in chapter 3. The requirements for the Functional Vehicle Adapter (FVA) are defined in [12]. These requirements have been the basis for developing the whole FVA concept.

The Generic Vehicle Adapter (GVA) defined in [14] is a product that combines the two components Functional Vehicle Adapter (FVA), being the computation unit with the conversion logic, and Wired I/O Control (WIOC). providing the wired connections to sensors and actors of the physical train unit (rollingstock). The GVA requirements specification document [14] can be used by integrators when intending to procure a GVA product.

The Vehicle Adapter Mapping Table Template [15] is a document that can be used by integrators in dedicated projects to document in detail how the CCS on-board systems are integrated into a physical train unit (rollingstock) by means of a Vehicle Adapter.

While the described technical aspects in this document are valid in the context of the current development state but also provide a future view, the interface specification [13] describes rather the future view.

1.4 Definition

TCMS The Train Control and Management System (TCMS) is an on-board system built with the purpose to control and monitor a list of train equipment. At interface level it refers to all aspects of the integration into the "Train": conceptually it groups together the two types of interfaces "serial interface" and "hard-wired interface" indicated in SUBSET -119.

TIU The Train Interface Unit (TIU) specifically defines the interface between the ERTMS / ETCS onboard equipment and the train. To avoid confusion, the term TIU is no longer used in context of OCORA as it would also be used in another context than only ERTMS / ETCS. The newly introduced Functional Vehicle Adapter (FVA) incorporates the full TIU functionality and ensures standardised communication with the TCMS on OSI layer 7. It is accessible to all OCORA applications like VS, ATO and any possible future extension.







2 OCORA approach for the CCS on-board to PTU-OS interface

The interface from CCS on-board to Physical Train Unit Operation Systems (PTU-OS) (typically TCMS) is subject to a standardisation process that resulted in the definition of the interface within different SUBSETs: 034, 119, 139 and 1431.

The experience however shows that the currently released specifications are not sufficient for a smooth and uniform integration on all types of Physical Train Unit Operation Systems (PTU-OS).

In addition, OCORA aims at having standardised CCS on-board applications that can be installed without modification or adaptation (except for parametrisation) on any type of Physical Train Unit Operation Systems (PTU-OS). In order to achieve this the use of the same and standardised interface is proposed.

While interface standardisation ensures portability, typically it does not provide the flexibility for the adaptation to different Physical Train Unit Operation Systems (PTU-OS) types. This is solved by introducing a configurable Functional Vehicle Adapter (FVA) that provides the needed mapping logic.

OCORA also supports the idea of a standardised interface on the Physical Train Unit Operation Systems (PTU-OS) (typically TCMS) side what is currently implemented with the different SUBSET-034, -119, -139 and -143. Within OCORA the current objective is to use these SUBSETs in the form they are released and propose possible improvements.

A considerable part of the CCS on-board deployments will affect existing vehicles with various TCMS architectures and implementations. The different SUBSET-034, -119, -139 and -143 however do not cover all aspects of CCS on-board integration for the different TCMS architecture and implementations. The SUBSETs are mainly applicable to new Physical Train Unit Operation Systems (PTU-OS). In addition, the SUBSETs leave some room to the designer of the CCS on-board to Physical Train Unit Operation Systems (PTU-OS) (typically TCMS) interface to make his own interpretations. With this introductory document and the specification [13], the intention is to provide precise guidance for the integration of the CCS on-board into the Physical Train Unit Operation Systems (PTU-OS) (typically TCMS), considering the various TCMS settings in existing and future fleets.

This introductory document and the specification [13] are to be seen in the context of the SUBSET-034, -119, -139 and -143: they describe a concept and the interface at application layer (OSI layer 72) for the communication between CCS on-board and Physical Train Unit Operation Systems (PTU-OS) (typically TCMS). This approach is fully compliant with the existing SUBSETs however describing a larger scope that can also be used on non-standard and legacy Physical Train Unit Operation Systems (PTU-OS) (which are not compliant to SUBSET-034, -119, -139 and -143) while defining, as far as possible, a standardised interface for the CCS on-board applications. The latter furthermore enables the use of standardised test specifications.

This introductory document and the specification [13] shall be used in conjunction with SUBSET-034, -119, -139 and -143. The latter are focussing on the Physical Train Unit Operation Systems (PTU-OS) side while this document and the specification [13] is focussing on the CCS on-board side and the Physical Train Unit Operation Systems (PTU-OS) specific adaptations.

Basically, the Functional Vehicle Adapter provides functionality and uses data that are Physical Train Unit Operation Systems (PTU-OS) specific, while the interfaces to CCS on-board applications (e.g. ETCS on-board, ATO Vehicle, etc.) are fully and to some Physical Train Unit Operation Systems (PTU-OS) types (TCMS) partially standardised. This ensures a certain degree of plug and play replaceability, from evolution and upgradeability perspective, delivering more freedom at lifecycle level.

To be noted that the implementation of the Functional Vehicle Adapter has to be in line with RAM, safety, performance and other non-functional requirements as defined by the processes in the CENELEC standards [21] to [24], this includes the consideration of SUBSET-088, -091 and -120.

Furthermore, it has to be emphasized that this introductory document and the specification [13] address the data exchange at application layer (OSI layer 7) while the lower layers (OSI layers 1-6) and the safety layer are described in the document [11].





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¹ SUBSET-143 is specifically mentioned as it contributes to the interface definition between "ATO Vehicle" and PTU-OS but is not longer referenced by SUBSET-139. SUBSET-147 is being referenced by SUBSET-119 and -139.

The OSI layers are defined as indicated in figure 2 of document [8].



3 Description of the Functional Vehicle Adapter

The Functional Vehicle Adapter (FVA) is a computation function (software) deployed on the OCORA computing platform, on a separate computing unit or on the OCORA Gateway. Its job is to provide an OCORA unified and standardised interface towards the CCS applications and services for vehicle functions and vehicle information needed by the CCS on-board applications and services. Although the TSI-CCS SUBSET-034, -119, -139 and -143 are defining the interface to the Physical Train Unit Operation Systems (PTU-OS) (typically TCMS system), Physical Train Unit Operation Systems (PTU-OS) from different suppliers and especially from different generations have still different interfaces implemented. This adapter allows to map, on a functional level, the commands sent, and the information received from a specific TCMS into the CCS On-Board. This includes that the FVA can also be used to integrate Physical Train Unit Operation Systems (PTU-OS) through wired connections (by means of the 'Wired I/O Control' component) if no or only limited TCMS functionality is available. Also, the standardised interface to the CCS on-board applications evolves in multiple iterations. The intention is to implement the FVA as a configurable software function that can be adapted through parametrisation, in order to be easily customised to the Physical Train Unit Operation Systems (PTU-OS).

The FVA was already introduced in the Alpha release of the OCORA system architecture as the 'TIU Services' component. For the Beta release version of the OCORA system architecture [9] the component has been renamed to "Functional Vehicle Adapter". The term TIU is no longer used as it was specifically mentioned in the ERTMS / ETCS context, while in OCORA the functional component is also used in the ATO context and for possible future applications.

OCORA uses a phased approach for developing its architecture as described in the document [6]. For that reason, multiple stages are foreseen. In the initial stage, for preparing retrofit projects, the "ETCS on-board" is regarded as a monolithic application providing the core function of ETCS in a vehicle.

This document and the connected Interface Specification [13] document are to be seen as deliverables of the OCORA architecture. The following Figure 1 provides the context diagram of the Functional Vehicle Adapter of the OCORA architecture:

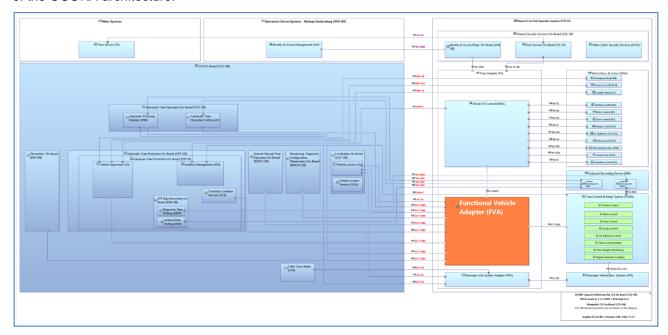


Figure 1 Functional Vehicle Adapter (FVA) context diagram

Based on the above figure, to the "ETCS on-board" application - that in the context diagram is displayed with the four components "Vehicle Supervisor (VS)", "Trackside Condition Services (TCS)", "Isolation Management (IS)" and "Vehicle Locator (VL)" - the Functional Vehicle Adapter provides one interface "SCI-TCMS" and integrates one interface "SCI-VL". The concept for this integration is described in chapter 5.

In the OCORA architecture the "ETCS on-board" system is modularised and decomposed into multiple components. These components are applications that are either integrating the SCI-TCMS interface to the Functional Vehicle Adapter or providing the interface SCI-VL as shown in the Figure 1. Having standardised these two interfaces ensures portability of the "ETCS on-board" applications between different Physical Train Unit Operation Systems (PTU-OS) types and TCMS architectures.







To the 'ATO Vehicle' (AV) application the Functional Vehicle Adapter also provides the SCI-TCMS interface, this integration concept is described in chapter 6. Note that regarding the 'ATO Vehicle' (AV) application only the grades of automation up to 2 are considered.

Finally, to the "Monitoring, Diagnostic, Configuration, Maintenance On-Board" (MDCM-OB) application the Functional Vehicle Adapter integrates the SCI-TCMS interface. By means of this interface monitoring and diagnostic information is exchanged between the CCS on-board and the Physical Train Unit Operation Systems (PTU-OS).

4 Architectural concept with the FVA

The architectural concept of the CCS on-board application integration by means of the Functional Vehicle Adapter into the Physical Train Unit Operation Systems (PTU-OS) shall ensure a smooth integration and the portability / exchangeability of the CCS on-board system or some of its constituents / building blocks (e.g. ETP on-board). Exchangeability means that an obsolete CCS on-board application shall easily be replaceable with a new generation application of the same supplier, or the same CCS on-board application of another supplier. Portability means that the same CCS on-board application from a specific supplier can be deployed on different Physical Train Unit Operation Systems (PTU-OS) types with just some changes in the parametrisation. To achieve this, the FVA provides a standardised interface and integrates standardised interfaces to the CCS on-board applications that remain always the same, while the specificities of the Physical Train Unit Operation Systems (PTU-OS) are abstracted within the Functional Vehicle Adapter.

It is the intention of OCORA to specify the interfaces between the CCS on-board applications and the Functional Vehicle Adapter in line with the evolving specification of SUBSET-119 and -139. This with the goal that the Functional Vehicle Adapter is not needed, once SUBSET-119 and -139 are specified without Physical Train Unit Operation Systems (PTU-OS) specific dependencies and for trains running a TCMS that follow these specifications.

It must also be noted that the Functional Vehicle Adapter does only address Physical Train Unit Operation Systems (PTU-OS) specific aspects (e.g. it decides what brake shall be applied, if this is not a capability of a specific TCMS) but it does not cover railway undertaker or mission related business logic (e.g. how much to brake, how much traction to apply, etc.). The latter remains a topic that needs to be addressed in the 'ATO Vehicle' application.

Furthermore, the architectural concept shall support the integration into older Physical Train Unit Operation Systems (PTU-OS) that are not yet equipped with a modern TCMS. Under these circumstances the Functional Vehicle Adapter, by involving the 'Wired I/O Control (WIOC)' component, provides the possibility to exchange data with the Physical Train Unit Operation Systems (PTU-OS) by means of hardwired signals.

Finally, the architectural concept must also consider the handling of data that is used in safety relevant functions. In this regard it must be achieved that the handling of data not involved in safety relevant functions can easily be adapted / changed / enhanced (independently from the safe data processing) to improve the performance of the Physical Train Unit Operation Systems (PTU-OS) and to be open for innovation.

According to the defined requirements the Functional Vehicle Adapter is composed of a non-safe part and a safe part (in projects where the safe part is needed). The latter not to be involved in functions higher than SIL2. This means that the few data for functions higher than SIL2 is directly exchanged between the CCS on-board applications and the Physical Train Unit Operation Systems (PTU-OS), typically by means of hardwired signals.

Regarding data involved in safety relevant functions up to SIL2 the project specific implementation depends on the capability of the available TCMS. The following options are possible:

- 1. TCMS provides all the safety relevant functions with the required SIL:
 - a. The data exchanged between TCMS and CCS on-board application is enriched by a safety layer as defined in the Train Communication Network specification [25] and is just channelled through the Functional Vehicle Adapter.
 - b. The Functional Vehicle Adapter is uniquely a non-safe component providing a communication channel where possibly a protocol conversion is needed.
- 2. No TCMS is available or the TCMS does not provide the SIL required by the safety relevant functions:





- a. The Functional Vehicle Adapter is composed of a safe part that handles the safety relevant data only. Typically, this has the needed hardwired connections to the Physical Train Unit Operation Systems (PTU-OS) by means of the 'Wired I/O Control (WIOC)' component.
- b. The data exchanged between safe part of the Functional Vehicle Adapter and CCS on-board application is enriched by a safety layer as defined in the Train Communication Network specification [25].
- c. If no TCMS is available, the non-safe part of the Functional Vehicle Adapter handles the not safety relevant data. The Functional Vehicle Adapter has hardwired connections to the Physical Train Unit Operation Systems (PTU-OS) by means of the 'Wired I/O Control (WIOC)' component.
- 3. TCMS only provides a subset of the safety relevant functions, these functions are provided with the required SIL:
 - a. The data that TCMS can handle is enriched by a safety layer as defined in the Train Communication Network specification [25] when it is exchanged between TCMS and CCS onboard application. This data is just channelled through the Functional Vehicle Adapter.
 - b. The Functional Vehicle Adapter is composed of a safe part that handles the remaining of the safety relevant data only. Typically, this has the needed hardwired connections to the Physical Train Unit Operation Systems (PTU-OS) by means of the 'Wired I/O Control (WIOC)' component.
 - c. The data exchanged between safe part of the Functional Vehicle Adapter and CCS on-board application is enriched by a safety layer as defined in the Train Communication Network specification [25].

In the future, if TCMS can also provide functions up to SIL4, the data is exchanged between TCMS and CCS on-board applications as described in option 1, presuming that the Train Communication Network specification [25] is amended by a safety layer valid for SIL4 data exchange. In this case the hardwired signals between the CCS on-board applications and the Physical Train Unit Operation Systems (PTU-OS) are no longer needed.

5 ETCS on-board application: FVA integration concept

This chapter describes the concept how the Functional Vehicle Adapter shall be integrated with the Physical Train Unit Operation Systems (PTU-OS) (typically TCMS) and the "ETCS on-board" application. In this context the latter is being regarded as a monolithic application providing the functions of the ETCS on a vehicle.

The following figure displays the general functional integration concept of the business logics "ETCS on-board" and FVA with the actor Physical Train Unit Operation Systems (PTU-OS) (e.g. TCMS) showing the different interfaces among them:







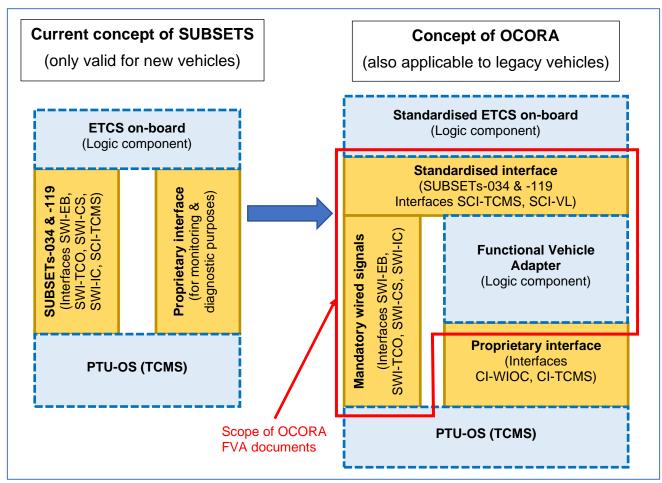


Figure 2 General integration concept of FVA with ETCS on-board

The Functional Vehicle Adapter provides the "Standardised interface (for CCS Apps)" to the CCS application "ETCS on-board". This interface shall remain the same independently of the Physical Train Unit Operation Systems (PTU-OS) type allowing the portability of the "ETCS on-board" application¹. The interface SCI-TCMS is described in detail in the document [13].

The Functional Vehicle Adapter implements a logic that maps, if needed, the data from the interface to the "ETCS on-board" application (mostly defined in SUBSETs -034 and -119) to the interface with the Physical Train Unit Operation Systems (PTU-OS) (typically TCMS), and vice versa. The mapping shall be handled as far as possible through parametrisation.

Physically the interface to the Physical Train Unit Operation Systems (PTU-OS) (typically TCMS) is implemented by using the components "OCORA Gateway" and "I/O Ports".

5.1 Implementation variants

The following subchapters describe possible deployment variants of the general implementation concept since the interface specifications SUBSET-034 and -119 do not cover all aspects. Moreover, different Physical Train Unit Operation Systems (PTU-OS) are equipped with different TCMS architectures and implementations requiring a modified implementation.

Note: the list of variants described in this document is not exclusive and shall be regarded as implementation options. It is for instance thinkable to implement a combination of the variants described in this document.

¹ Currently is it assumed that the «ETCS on-board» application will cover the functionality provided by the components «European Train Protection On-Board» (includes among others «Vehicle Supervisor», «Isolation Management», «Trackside Conditions Services») and «Vehicle Locator» that are described in the OCORA System Architecture [9]. Furthermore, some information exchanged with the "ETCS on-board" is handled through the MDCM-OB.







5.1.1 Physical Train Unit Operation Systems (PTU-OS) handling additional data than defined in SUBSET-034 & -119

This chapter describes the implementation concept in case the "ETCS on-board" and the Physical Train Unit Operation Systems (PTU-OS) (typically TCMS) can handle additional aspects than those defined in the SUBSET-034 and -119. These additional aspects are typically related to monitoring / diagnostic information.

The following picture displays the functional integration concept of the business logics "ETCS on-board" and FVA and the Physical Train Unit Operation Systems (PTU-OS) actors (e.g. TCMS) in case the whole system is capable to handle additional data than defined in the SUBSET-034 and -119:

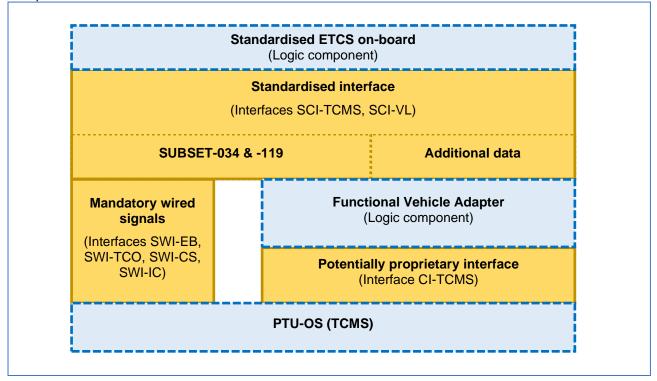


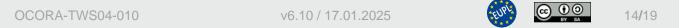
Figure 3 Integration concept of FVA with ETCS on-board for additional data

The Functional Vehicle Adapter presents to the "ETCS on-board" and the Physical Train Unit Operation Systems (PTU-OS) (typically TCMS) an interface that covers more data than defined in the SUBSET-034 and -119. The standardised interface to the "ETCS on-board" is described in detail in the document [13]. The interface CI-TCMS to the PTU-OS is dependent on the capability of the installed systems: on new trains this should be identical to the interface to the "ETCS on-board" (in this case the FVA is not required), on legacy trains a project specific proprietary implementation might have to be implemented. The interface CI-TCMS can include different or more data than the standardised interface to the ETCS on-board, the FVA would then convert the data between the two interfaces.

It is possible that the Physical Train Unit Operation Systems (PTU-OS) requires more than one interface to the Functional Vehicle Adapter from more than one independent sub-system in order to provide the full functional range, this is described in chapter 5.1.2. Typically, it is used for retrofitting purposes where TCMS only provides limited functionality or is not present at all.

5.1.2 Functional Vehicle Adapter in the context of ETCS and retrofitting purpose

Depending on the TCMS architecture and implementation a specific Physical Train Unit Operation Systems (PTU-OS) is equipped with, it might be possible that the interface provided by the TCMS only partially covers the standardised interface (based on SUBSETs -034 and -119). Therefore, it becomes necessary or favourable introducing an independent sub-system complementing the TCMS at functional level within the same Physical Train Unit Operation Systems (PTU-OS). This second sub-system (or component) has been named "Wired I/O Control (WIOC)" in the System Architecture [9] and acts on the "Wired Sensors and Actors (WSA)". These two independent sub-systems (TCMS and WIOC) will also have to interface the Functional Vehicle Adapter in order to exchange data with the "ETCS on-board" application.





The following picture displays the functional integration concept of the business logics "ETCS on-board", FVA and Physical Train Unit Operation Systems (PTU-OS) in case the Physical Train Unit Operation Systems (PTU-OS) consists of two independent sub-systems (TCMS and WIOC) in order to provide the full functional range:

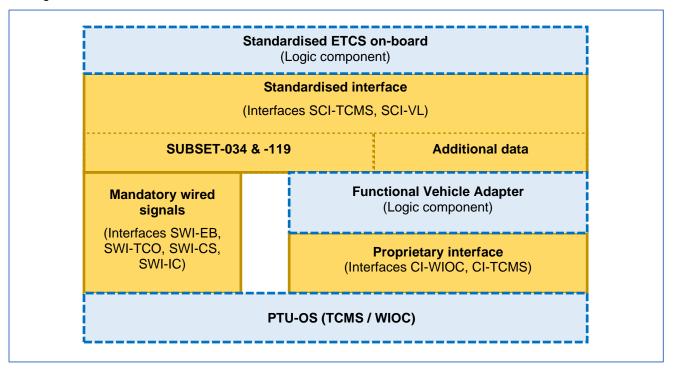


Figure 4 Integration concept of FVA with ETCS on-board for multiple Physical Train Unit Operation Systems (PTU-OS) sub-systems

The system boundary between the different sub-systems (TCMS and WIOC) needs to be adjusted depending on the functional scope and the specific situation. One extreme case is shown in chapter 5.1.1 where the TCMS provides the full functional range. Another extreme case is when no TCMS is available and the whole functional range is provided by the WIOC sub-system.

The development and definition of the TCMS is outside the scope of the OCORA initiative and will therefore not be further described in any document.





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ATO Vehicle application: FVA integration concept 6

This chapter describes the concept how the Functional Vehicle Adapter shall be integrated with the Physical Train Unit Operation Systems (PTU-OS) (e.g. TCMS) and the "ATO Vehicle" application. In this context the latter is being regarded as the ATO application providing functionality for grade of automation up to 2, the grades of automation 3 and 4 are not considered yet.

The following picture displays the general functional integration concept of the business logics 'ATO-Vehicle' with the Functional Vehicle Adapter and the actor Physical Train Unit Operation Systems (PTU-OS) (e.g. TCMS) showing the different interfaces among them:

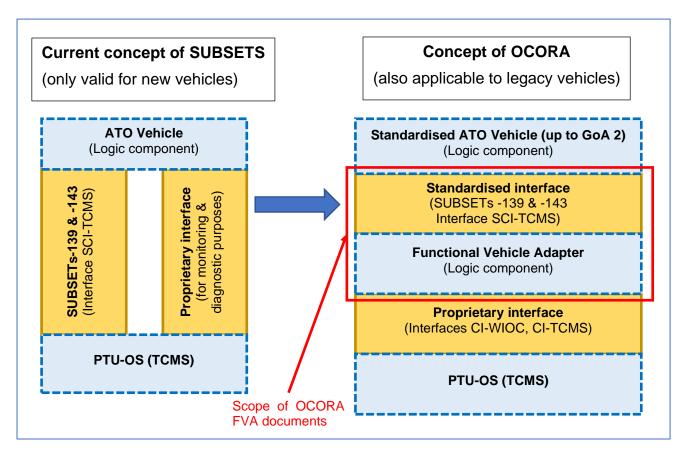


Figure 5 General integration concept of FVA with 'ATO Vehicle'

The Functional Vehicle Adapter provides the "Standardised interface (for CCS Apps)" to the CCS application "ATO Vehicle". This interface shall remain the same independently of the Physical Train Unit Operation Systems (PTU-OS) type allowing the portability of the "ATO Vehicle" application1. The interface is described in detail in the document [13].

The Functional Vehicle Adapter implements a logic that maps, if needed, the data from the interface to the "ATO Vehicle" application (mostly defined in SUBSETs -139 and -143) to the interface with the Physical Train Unit Operation Systems (PTU-OS) (typically TCMS), and vice versa. The mapping shall be handled as far as possible through parametrisation.

Physically the interface to the Physical Train Unit Operation Systems (PTU-OS) (typically TCMS) is implemented by using the components "OCORA Gateway" and "I/O Ports".

¹ Currently the «ATO Vehicle» application is regarded as the ATO over ETCS application. However, OCORA in future wants the "ATO Vehicle" application to evolve independently from ETCS. Furthermore, some information exchanged with the "ATO Vehicle" is handled through the MDCM-OB.







6.1 Implementation variants

The following subchapters describe possible employment variants of the general implementation concept since the interface specification SUBSETs -139 and -143 do not cover all aspects. Moreover, different Physical Train Unit Operation Systems (PTU-OS) are equipped with different TCMS architectures and implementations requiring a modified implementation.

Note: the list of variants described in this document is not exclusive and shall be regarded as implementation options. It is for instance thinkable to implement a combination of the variants described in this document.

6.1.1 Physical Train Unit Operation Systems (PTU-OS) handling additional data than defined in SUBSET-139 & -143

This chapter describes the implementation concept in case the "ATO Vehicle" and the Physical Train Unit Operation Systems (PTU-OS) can handle additional aspects than those defined in the SUBSETs -139 and -143. These additional aspects are typically related to monitoring / diagnostic information.

The following picture displays the functional integration concept of the business logics "ATO Vehicle" with the FVA and the actor Physical Train Unit Operation Systems (PTU-OS) in case the TCMS is capable to handle additional data than defined in the SUBSETs -139 and -143:

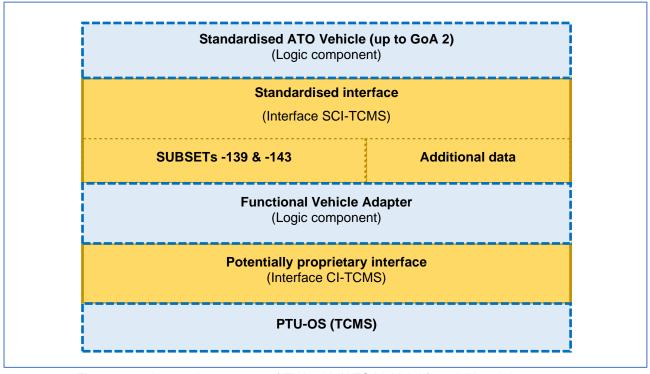


Figure 6 Integration concept of FVA with 'ATO Vehicle' for additional data

The Functional Vehicle Adapter presents to the "ATO Vehicle" and the Physical Train Unit Operation Systems (PTU-OS) (typically TCMS) an interface that covers more data than defined in the SUBSETs -139 and -143. The standardised interface to "ATO Vehicle" is described in detail in the Standard Communication Interface Specification document [13]. The interface CI-TCMS to the PTU-OS is dependent on the capability of the installed systems: on new trains this should be identical to the interface to the "ATO Vehicle" (in this case the FVA is not required), on legacy trains a project specific proprietary implementation might have to be implemented. The interface CI-TCMS can include different or more data than the standardised interface to the "ATO Vehicle", the FVA would then convert the data between the two interfaces.

It is possible that the Physical Train Unit Operation Systems (PTU-OS) requires more than one interface to the Functional Vehicle Adapter from more than one independent sub-system in order to provide the full functional range, this is described in chapter 6.1.2. Typically, it is used for retrofitting purposes where TCMS only provides limited functionality or is not present at all.





6.1.2 Functional Vehicle Adapter in the context of ATO and retrofitting purpose

Depending on the TCMS architecture and implementation a specific Physical Train Unit Operation Systems (PTU-OS) is equipped with, it might be possible that the interface provided by the TCMS only partially covers the standardised interface (based on SUBSETs -139 and -143). Therefore, it becomes necessary or favourable introducing an independent sub-system complementing the TCMS at functional level within the same Physical Train Unit Operation Systems (PTU-OS). This second sub-system (or component) has been named "Wired I/O Control (WIOC)" in the System Architecture [9] and acts on the "Wired Sensors and Actors (WSA)". These two independent sub-systems (TCMS and WIOC) will also have to interface the Functional Vehicle Adapter in order to exchange data with the "ATO Vehicle" application.

The following picture displays the functional integration concept of the business logics "ATO Vehicle", FVA and Physical Train Unit Operation Systems (PTU-OS) in case the Physical Train Unit Operation Systems (PTU-OS) consists of two independent sub-systems (TCMS and WIOC) in order to provide the full functional range:

The system boundary between the different sub-systems (TCMS and WIOC) needs to be adjusted depending

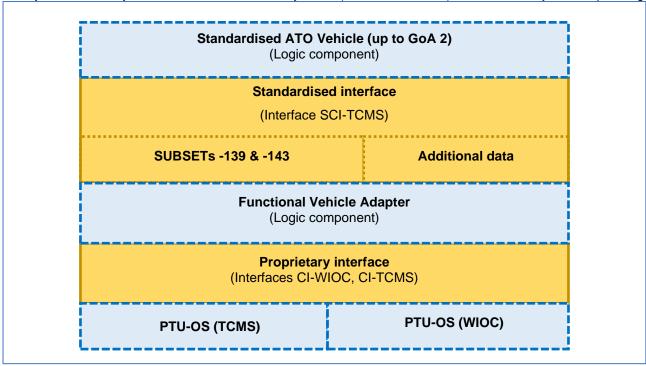


Figure 7 Integration concept of FVA with 'ATO Vehicle' for multiple Physical Train Unit Operation Systems (PTU-OS) sub-systems

on the functional scope and the specific situation. One extreme case is shown in chapter 6.1.1 where the TCMS provides the full functional range. Another extreme case is when no TCMS is available and the whole functional range is provided by the WIOC sub-system.

The development and definition of the TCMS is outside the scope of the OCORA initiative and will therefore not be further described in any document.





7 Future activities

The OCORA initiative will continue developing the CCS on-board system. These activities will result in further stages of the OCORA architecture as described in the Introduction to OCORA [6]. The intention is to follow a stepwise approach that allows considering the various feedback and knowledge gained over the time.

One of the foreseen activities is to decompose by means of Model Based Systems Engineering (MBSE) the monolithic "ETCS on-board" system in multiple functional components with defined interfaces among them. The decomposition shall follow and challenge the structure that is envisaged by the RCA initiative [19] and [20]. The technical approach is described in more detail in the Capella Modelling [10]. The following figure provides the context diagram of the Functional Vehicle Adapter from the System Architecture [9] after modularisation:

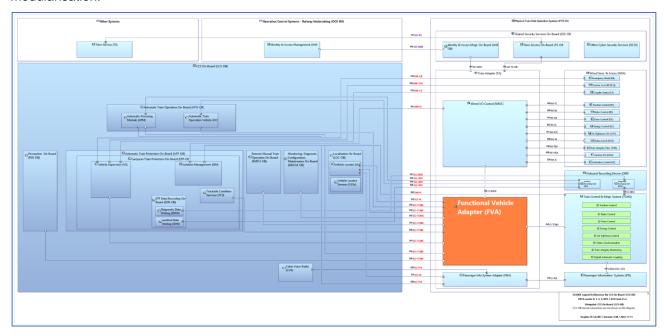


Figure 8 Functional Vehicle Adapter (FVA) context diagram – After modularisation

Another planned activity by means of Model Based Systems Engineering is to further analyse the quality of the currently available SUBSET-034, -119, -139 and -143 documents describing the interfaces between CCS on-board applications and Physical Train Unit Operation Systems (PTU-OS) (typically TCMS). Based on the outcome results it will then be decided if modification proposals for subsequent releases of the documents shall be elaborated. The MBSE model will also be used to generate test cases and specifications in order to ensure compatibility with the model. The scope is to provide a validation base for the deliverables from the different suppliers.

Finally, it has to be noted that the interfaces SCI-TCMS, as defined in [13], and SCI-VL might change. These interfaces currently describe the data exchange by means of packets. Depending on the final session layer protocol adopted in the CCN (for further details see [11]) for data exchange between the CCS on-board components it is possible that a different than the packet-based approach will be defined.

Beside the already mentioned activities for subsequent versions of the OCORA documentation it has been decided that the interface between STM / NTC sub-system and the Physical Train Unit Operation Systems (PTU-OS) (typically TCMS) will not be standardised further to what is already described in SUBSET-034 and -119. The only remaining open point is the possible need to introduce an interface between Functional Vehicle Adapter and an independent national system (not integrated to ETCS on-board through STM) with the purpose to inhibit actions from the national system when the latter is not active. This with the objective to improve the reliability of the whole system (prevent unnecessary emergency brakes).



