

COURSEPACK (Winter 2025-26)

SCHEME

The scheme is an overview of work-integrated learning opportunities and gets students out into the real world. This will show what a course entails.

Course Title	Operating System			Course Type				Integrated	
Course Code	R1UC403B			Class				B. Tech CSE 4th Sem	
Instruction delivery	Activity	Credits	Credit Hours	Total Number of Classes per Semester				Assessment in Weightage	
	Lecture	3	3	Theory	Tutorial	Practical	Self-study	CIE	SEE
	Tutorial	0	0						
	Practical	1	2						
	Self-study	0	1						
	Total	4	6	40	0	24	15	50%	50%
Course Lead	Mr. Arunendra Mani Tripathi		Course Coordinator	Dr. Riman Mandal					
Names	Theory/Lab								
Course Instructors	Mr. Akash Raghuvanshi Mr. Akhilesh Kumar Tripathi Dr. Anil Sharma ANJALI KAPOOR Basu Dev Shivahare Chaya Rawal Dr. Durgesh Nandini Dr. Gaurav Agarwal Dr. Gaurav Agrawal Dr. Nripendra Dwivedi Dr. Sheelesh Kumar Sharma Ishana Attri Jagveer Singh KULDEEP SINGH KASWAN Mahak MANDEEP Manu Singh Dr. Meenakshi Sharma Mr. Kushal Gupta Mr. Sarvesh Kumar Swarnakar Ms. Garima Pandey			Ms. Pragya Tewari Ms. Rashika Bangroo Neeraj Kumar Pragya Samridhi Singh Sandeep Kumar M Shikha Shipra shukla Dr. Sudhakar Sunil Kumar Chowdhary Thillaieaswaran B Vimal Singh					

COURSE OVERVIEW

This course will introduce the student to the basic concepts involved in the design and implementation of an operating system. Students will be made familiar to the important modules of operating systems like process management, memory management, file systems, synchronization primitives and exception handling. Important data structures used in the design of these modules will be introduced. The accompanying lab course is intended to give students an illustration of the concepts introduced in the theory course.

PREREQUISITE COURSE

PREREQUISITE REQUIRED	COURSE	YES
If, yes please fill in the Details.	Prerequisite course code	Prerequisite course name
		Computer Organization Architecture.

COURSE OBJECTIVE

- To explain the functions, services, and structure of operating systems.
- To analyze processes, threads, and CPU scheduling techniques.
- To apply process synchronization concepts and evaluate deadlock handling methods.
- To demonstrate memory management and virtual memory mechanisms.
- To utilize file system concepts, secondary storage management, and disk scheduling methods.

COURSE OUTCOMES(COs)

After the completion of the course, the student will be able to:

CO No.	Course Outcomes
R1UC403B .1	Describe at least five core functions and structural components of an operating system.
R1UC403B .2	Analyze process management and compare six CPU scheduling algorithms based on performance criteria.
R1UC403B .3	Apply synchronization techniques and solve deadlock-related problems using appropriate algorithms.
R1UC403B .4	Explain memory management, file systems, and disk scheduling techniques.

BLOOM'S LEVEL OF THE COURSE OUTCOMES

Bloom's taxonomy is a set of hierarchical models used for the classification of educational learning Objectives into levels of complexity and specificity. The learning domains are cognitive, affective, and psychomotor.

COMPREHENSIVE

CO No.	Remember KL1	Understand KL 2	Apply KL 3	Analyse KL 4	Evaluate KL 5	Create KL 6
R1UC403B .1		√				
R1UC403B .2			√			
R1UC403B .3			√			
R1UC403B .4				√		

PROGRAM OUTCOMES (POs): AS DEFINED BY CONCERNED THE APEX BODIES

PO1:	Engineering Knowledge: Apply knowledge of mathematics, natural science, computing, engineering fundamentals and an engineering specialization as specified in WK1 to WK4 respectively to develop to the solution of complex engineering problems.
PO2:	Problem Analysis: Identify, formulate, review research literature and analyse complex engineering problems reaching substantiated conclusions with consideration for sustainable development. (WK1 to WK4).
PO3:	Design/Development of Solutions: Design creative solutions for complex engineering problems and design/develop systems/components/processes to meet identified needs with consideration for the public health and safety, whole-life cost, net zero carbon, culture, society and environment as required. (WK5).
PO4:	Conduct Investigations of Complex Problems: Conduct investigations of complex engineering problems using research-based knowledge including design of experiments, modelling, analysis & interpretation of data to provide valid conclusions. (WK8).
PO5:	Modern Tool Usage: Create, select and apply appropriate techniques, resources and modern engineering & IT tools, including prediction and modelling recognizing their limitations to solve complex engineering problems. (WK2 and WK6).
PO6:	The Engineer and The World: Analyse and evaluate societal and environmental aspects while solving complex engineering problems for its impact on sustainability with reference to economy, health, safety, legal framework, culture and environment. (WK1, WK5, and WK7).

PO7:	Ethics: Apply ethical principles and commit to professional ethics, human values, diversity and inclusion; adhere to national & international laws. (WK9).
PO8:	Individual and Collaborative Team work: Function effectively as an individual, and as a member or leader in diverse/multi-disciplinary teams.
PO9:	Communication: Communicate effectively and inclusively within the engineering community and society at large, such as being able to comprehend and write effective reports and design documentation, make effective presentations considering cultural, language, and learning differences.
PO10:	Project Management and Finance: Apply knowledge and understanding of engineering management principles and economic decision-making and apply these to one's own work, as a member and leader in a team, and to manage projects and in multidisciplinary environments..
PO11:	Life-Long Learning: Recognize the need for, and have the preparation and ability for: i) independent and life-long learning ii) adaptability to new and emerging technologies and iii) critical thinking in the broadest context of technological change. (WK8).
PSO1:	Have the ability to work with emerging technologies in Computer Science and Engineering requisite to Industry 4.0.
PSO2:	Demonstrate Engineering Practice learned through industry internship and research project to solve live problems in various domains.

COURSE ARTICULATION MATRIX

The Course articulation matrix indicates the correlation between Course Outcomes and Program Outcomes and their expected strength of mapping in three levels (low, medium, and high).

COs/ POs	PO1	PO2	PO3	PO4	PO5	PO6	PO7	PO8	PO9	PO10	PO11	PO12	PSO1	PSO2
R1UC403B.1	2	-	-	-	-	-	-	-	-	-	-	-	2	-
R1UC403B.2	2	1	-	-	-	-	-	-	-	-	-	-	-	2
R1UC403B.3	2	2	-	-	-	-	-	-	-	-	-	-	2	-
R1UC403B.4	2	2	-	-	-	-	-	-	-	-	-	-	-	2

Note: 1-Low, 2-Medium, 3-High

COURSE ASSESSMENT

The course assessment patterns are the assessment tools used both in formative and summative examinations.

Assessment Pattern for Integrated (Blended) Course:

	CIE			Total Marks		
Type of Course (B)	LAB Work@ + Record	MTE	LAB EXAM*	CIE	SEE	Final Marks CIE*0.5+SEE*0.5
INTEGRATED	25	50	25	100	100	100

@ Lab Work – 15 marks + Lab record – 10 marks

* Passing criteria – 30% of marks to be secured in the lab exam conducted by two examiners (one internal + one external).

COURSE CONTENT THEORY+ PRACTICAL

Contents
<p>Theory</p> <p>Introduction: Operating system and functions, Classification of Operating systems-Batch, Interactive, Time sharing, Real Time System, Multiprocessor Systems, Multiuser Systems, Multi process Systems, Multithreaded Systems, Operating System Structure-Layered structure, System Components, Operating System services.</p> <p>CPU Scheduling: Scheduling Concepts, Performance Criteria, Process Concept Process States, Process Transition Diagram, Schedulers, Process Control Block (PCB), Process address space, Process identification information, Threads and their management, Scheduling Algorithms, Multiprocessor Scheduling.</p> <p>Concurrent Processes: Process Concept, Principle of Concurrency, Producer / Consumer Problem, Mutual Exclusion, Critical Section Problem, Peterson's solution, Semaphores, Test and Set operation; Classical Problem in Concurrency-Dining Philosopher Problem; Inter Process Communication models and Schemes, Process generation. Deadlock: System model, Deadlock characterization, Prevention, Avoidance and detection, Recovery from deadlock.</p> <p>Memory Management: Basic bare machine, Resident monitor, Multiprogramming with fixed partitions, Multiprogramming with variable partitions, Protection schemes, Paging, Segmentation, Paged segmentation, Virtual memory concepts, Demand paging, Performance of demand paging, Page replacement algorithms, Cache memory organization, Locality of reference.</p> <p>I/O Management and Disk Scheduling: I/O devices, and I/O subsystems, I/O buffering, Disk storage and disk scheduling.</p> <p>File System: File concept, File organization and access mechanism, File directories, and file sharing, File system implementation issues, File system protection and security.</p>

Lab (To be implemented in C)

Lec.No	Points To Covered
1	Basic Commands and programs based on C and in Linux Operating System
2	Write programs using the following system calls of LINUX operating system: fork, exec, wait
3	Write a C program using the following system calls (get_pid, exit).
4	Write a C program to simulate CPU scheduling algorithms: FCFS
5	Write a C program to simulate CPU scheduling algorithms: SJF
6	Implementation Round Robin Algorithm in C
7	Implement the Dining Philosopher problem using semaphores
8	Implement the Producer – Consumer problem using semaphores
9	Write a C program to simulate Bankers Algorithm for Deadlock Detection
10	Write a C programs to simulate Page Replacement Algorithms a) FIFO b) LRU
11	Implementation of memory allocation algorithms: a) First Fit b) Best Fit c) Worst Fit)
12	Write a C programs to simulate implementation of FCFS, SSTF Disk Scheduling Algorithms.

LESSON PLAN

L.No.	Topics for Delivery	Theory/Practical	Skills	Competency	CO Mapping
1	Introduction: Operating system and functions	Theory	Concept identification	Explain OS roles and functions	CO1
2	OS services, system components		System analysis	Describe OS services and components	
3	Classification of operating systems		Comparative analysis	Differentiate types of OS	

4	Layered OS structure	Structural understanding	Explain OS architecture models	
5	Process concept, process generation	Process modeling	Describe process lifecycle	CO2
6	Inter-process communication (IPC)	Communication mechanism analysis	Explain IPC methods	
7	PCB, process states, transition diagram	State analysis	Interpret process state transitions	
8	Schedulers	Scheduler identification	Explain types of schedulers	
9	CPU scheduling concepts and criteria	Performance evaluation	Analyze scheduling criteria	
10	FCFS scheduling	Algorithm tracing	Apply FCFS scheduling	
11	SJF scheduling	Algorithm comparison	Compare SJF with FCFS	
12	SRTF scheduling	Preemptive scheduling analysis	Solve SRTF problems	
13	Priority scheduling	Algorithm evaluation	Apply priority scheduling	
14	Round Robin, multilevel queue	Time-sharing analysis	Evaluate RR and multilevel scheduling	
15	Threads and management	Thread modeling	Explain thread operations	CO3
16	Mutual exclusion, critical section	Concurrency reasoning	Analyze critical section problems	
17	Peterson's solution	Algorithm understanding	Explain Peterson's algorithm	
18	Semaphores, test-and-set	Synchronization design	Apply semaphore operations	
19	Dining philosopher, producer-consumer	Problem solving	Solve classical synchronization problems	
20	Deadlock system models	Deadlock analysis	Identify deadlock conditions	
21	Deadlock prevention and avoidance	Strategy evaluation	Compare prevention and avoidance	
22	Deadlock recovery	Recovery planning	Apply recovery techniques	CO4
23	Introduction to memory management	Memory concept analysis	Explain memory management functions	

24	Fixed partitioning	Allocation analysis	Apply fixed partition schemes	
25	Variable partitioning	Allocation strategy comparison	Compare partitioning techniques	
26	Virtual memory and thrashing	Performance analysis	Explain virtual memory behavior	
27	First fit, best fit, worst fit	Allocation algorithm solving	Apply memory allocation methods	
28	Paging and segmentation	Address translation	Differentiate paging and segmentation	
29	Page replacement algorithms	Algorithm comparison	Solve page replacement problems	
30	Cache memory organization	Memory hierarchy analysis	Explain cache concepts	
31	I/O devices, subsystems, buffering	I/O mechanism analysis	Describe I/O operations	
32	Disk scheduling: FCFS, SSTF	Disk algorithm solving	Apply disk scheduling methods	
33	Disk scheduling: SCAN, LOOK, C-LOOK	Algorithm comparison	Compare disk scheduling techniques	
34	File concept and organization	File structure analysis	Explain file organization	
35	File directories, access, sharing	File system design	Analyze file access and sharing	
36	Revision	Concept integration	Reinforce OS concepts	CO1–CO4
37	Revision	Problem solving	Strengthen algorithmic skills	
38	Revision	Concept clarification	Improve conceptual clarity	
39	Revision	Application practice	Apply OS techniques	
40	Revision	Comprehensive review	Demonstrate overall competency	

BIBLIOGRAPHY

□ Text Book

Silberschatz, Galvin and Gagne, “Operating Systems Concepts”, Wiley, Ninth Edition, 2013.

□ Reference Books

- 1. R. Modern Operating Systems, Andrew S. Tanenbaum, Herbert Bos, Pearson Education India; Fourth edition 2016. ISBN-13:978- 9332575776
- 2. Operating Systems: A Design-Oriented Approach, Charles Crowley, International edition, McGraw-Hill Education (ISE Editions). ISBN-13 978 0071144629
- 3. Operating Systems: Internals and Design Principles William Stallings, Pearson Education India; 7 edition (2013). ISBN-13: 978-9332518803
- 4. D M Dhamdhere, “Operating Systems: A Concept based Approach”, McGraw Hill Education, edition, 2012

□ Webliography

- <https://www.geeksforgeeks.org/operating-systems/>
- https://www.tutorialspoint.com/operating_system/index.htm

□ NPTEL/MOOCs Certification

- 1. https://onlinecourses.nptel.ac.in/noc20_cs04/preview
- 2. <https://archive.nptel.ac.in/courses/106/105/106105214/>

PROBLEM-BASED LEARNING

Exercises in Problem-based Learning (Assignments)

Sr. No.	Practice Problem
1.	Define an Operating system. Explain the functions of an operating system.
2.	Explain the classification of operating system in detail.
3.	Define batch systems? How they are different from time sharing systems?
4.	Define the benefit of viewing a system such as an operating system as a set of layers?
5.	What are the main differences between operating systems for main frame computers and personal computers?
6.	Classify the following applications as batch-oriented or interactive: a) Word processing b) Generating monthly bank statements Computing pi to a million decimal places
7.	Define a real time system or interactive system. Define its characteristics?

8.	<p>Consider a system with a set of processes P1, P2, P3 and P4. Let their arrival times and CPU burst times mentioned as below:- Process</p> <table><tr><td>Arrival time</td><td>CPU Burst Time</td></tr><tr><td>P1</td><td>0 3</td></tr><tr><td>P2</td><td>1 6</td></tr><tr><td>P3</td><td>5 4</td></tr><tr><td>P4</td><td>6 2</td></tr></table> <p>1) Draw Gant chart using FCFS, SJF, RR (Assume quantum to be 2 units of time] Calculate: -a) Average Turnaround Time (b) Average Waiting Time</p>	Arrival time	CPU Burst Time	P1	0 3	P2	1 6	P3	5 4	P4	6 2														
Arrival time	CPU Burst Time																								
P1	0 3																								
P2	1 6																								
P3	5 4																								
P4	6 2																								
9.	<p>Suppose the following disk request sequence (track numbers) for a disk with 100 tracks is given: 45, 20, 90, 10, 50, 60, 80, 25, 70. Assume that the initial position of the R/W head is on track 50. Compute the additional distance in tracks that will be traversed by the R/W head when the Shortest Seek Time First (SSTF) algorithm and SCAN (Elevator) algorithm are used (assuming that SCAN algorithm moves towards 100 when it starts execution).</p>																								
10.	<p>Given memory partitions of 100 KB, 500 KB, 200 KB, 300 KB, and 600 KB (in order), how would each off the first fit, best fit, and worst fit algorithms place processes of 212 KB, 417 KB, 112 KB, and 426 KB (in order) ? Which algorithm makes the most efficient use of memory?</p>																								
11.	<p>On a system using first-fit allocation, assume memory is allocated as below before additional requests for 20K, 10K, 5K are received.</p> <table><tr><td>Used</td><td>Hole</td><td>Used</td><td>Hole</td><td>Used</td><td>Hole</td><td>Used</td><td>Hole</td><td>Used</td><td>Hole</td><td>Used</td><td>Hole</td></tr><tr><td>10 K</td><td>10K</td><td>20K</td><td>30K</td><td>10K</td><td>5K</td><td>30K</td><td>20K</td><td>10K</td><td>15K</td><td>20K</td><td>20K</td></tr></table> <p>At what starting address will each of the additional requests be allocated?</p>	Used	Hole	Used	Hole	Used	Hole	Used	Hole	Used	Hole	Used	Hole	10 K	10K	20K	30K	10K	5K	30K	20K	10K	15K	20K	20K
Used	Hole	Used	Hole	Used	Hole	Used	Hole	Used	Hole	Used	Hole														
10 K	10K	20K	30K	10K	5K	30K	20K	10K	15K	20K	20K														
12.	<p>Explain the following:</p> <p>a) Page Table Base Register</p> <p>b) TLB Miss</p> <p>c) Valid- Invalid bit</p> <p>d) Effective memory access time</p>																								
13.	<p>Given references to the following pages by program: 0,1,4,2,0,2,6,5,1,2,3,2,1,2,6,2,1,3,6,2how many page faults will occur if the program has three page frames available to it and uses:</p> <p>a) FIFO replacement</p>																								
	<p>b) LRU replacement</p> <p>c) Optimal replacement</p>																								
14.	<p>List three examples of deadlocks that are not related to a computer system environment.</p>																								
15.	<p>Explain the differences between multiprocessor and multi-tasking systems.</p>																								
16.	<p>Explain the idea/concept behind Multiprogramming? How multi-programming is different from multiprocessing?</p>																								

17.	Consider the following set of processes that need to be scheduled on a single CPU. All the times are given in milliseconds. <table><tr><td>Process Name</td><td>Arrival Time</td><td>Execution Time</td></tr><tr><td>A</td><td>0</td><td>6</td></tr><tr><td>B</td><td>3</td><td>2</td></tr><tr><td>c</td><td>5</td><td>4</td></tr><tr><td>D</td><td>7</td><td>6</td></tr><tr><td>E</td><td>10</td><td>3</td></tr></table> Using the <i>shortest remaining time first</i> scheduling algorithm, calculate the average process turnaround time (in msec)	Process Name	Arrival Time	Execution Time	A	0	6	B	3	2	c	5	4	D	7	6	E	10	3
Process Name	Arrival Time	Execution Time																	
A	0	6																	
B	3	2																	
c	5	4																	
D	7	6																	
E	10	3																	
18.	Explain the different system components of operating system in detail.																		
19.	What are the objectives of a good scheduling algorithm?																		
20.	For the processes, Draw the chart illustrating their execution time: <table><tr><td>Process</td><td>Arrival Time</td><td>Processing time</td></tr><tr><td>A</td><td>0.0000</td><td>3</td></tr><tr><td>B</td><td>1.001</td><td>6</td></tr><tr><td>C</td><td>4.001</td><td>4</td></tr><tr><td>D</td><td>6.001</td><td>2</td></tr></table> a) Compute the Average turnaround time using Round-robin (quantum=2), Round-robin(quantum=1). b) Compute the wait time using Round-robin (quantum=2), Round-robin(quantum=1).	Process	Arrival Time	Processing time	A	0.0000	3	B	1.001	6	C	4.001	4	D	6.001	2			
Process	Arrival Time	Processing time																	
A	0.0000	3																	
B	1.001	6																	
C	4.001	4																	
D	6.001	2																	
21.	For the processes, Draw the chart illustrating their execution time: <table><tr><td>Process</td><td>Arrival Time</td><td>Processing time</td></tr><tr><td>A</td><td>0.0000</td><td>3</td></tr><tr><td>B</td><td>1.001</td><td>6</td></tr><tr><td>C</td><td>4.001</td><td>4</td></tr><tr><td>D</td><td>6.001</td><td>2</td></tr></table> a) Compute the Average turnaround time using FCFS, SJF. b) Compute the wait time using FCFS, SJF.	Process	Arrival Time	Processing time	A	0.0000	3	B	1.001	6	C	4.001	4	D	6.001	2			
Process	Arrival Time	Processing time																	
A	0.0000	3																	
B	1.001	6																	
C	4.001	4																	
D	6.001	2																	
22.	Define the following: a) Wait time b) Response time c) Turnaround time																		
23.	Define a critical section? List the requirements that a solution to the critical section problem must satisfy?																		
24.	Explain the following: (a) Mutual exclusion (b) Bounded waiting (c) Race condition																		
25.	Explain critical section problem in concurrency.																		
26.	List and describe different types of semaphore? Which semaphore is used to solve Producer consumer problem?																		
27.	Describe a semaphore? Give difference between a semaphore and mutex.																		

28.	Explain deadlock and starvation in a semaphore.												
29.	Consider a logical address space of 64 pages of 1,024 words each, mapped onto a physical memory of 32 frames. a. How many bits are there in the logical address? b. How many bits are there in the physical address?												
30.	Given five memory partitions of 100 KB, 500 KB, 200 KB, 300 KB, and 600 KB (in order), how would the first-fit, best-fit, and worst-fit algorithms place processes of 212 KB, 417 KB, 112 KB, and 426 KB (in order)? Which algorithm makes the most efficient use of memory?												
31.	Consider the following page reference string: 1, 2, 3, 4, 2, 1, 5, 6, 2, 1, 2, 3, 7, 6, 3, 2, 1, 2, 3, 6. How many page faults would occur for the following replacement algorithms, assuming one, two, three, four, five, six, and seven frames? Remember that all frames are initially empty, so your first unique pages will cost one fault each. <ul style="list-style-type: none"> • LRU replacement • FIFO replacement • Optimal replacement 												
32.	<p>The following is a code with two threads, producer and consumer, that can run in parallel. Further, S and Q are binary semaphores equipped with the standard P and V operations. semaphore S = 1, Q = 0; integer x;</p> <table border="0"> <tr> <td>producer:</td> <td>consumer:</td> </tr> <tr> <td>while (true) do</td> <td>while (true) do</td> </tr> <tr> <td>P(S);</td> <td>P(Q);</td> </tr> <tr> <td>x = produce ();</td> <td>consume (x);</td> </tr> <tr> <td>V(Q);</td> <td>V(S);</td> </tr> <tr> <td>done</td> <td>done</td> </tr> </table> <p>Which of the following is TRUE about the program above? (A) The process can deadlock (B) One of the threads can starve (C) Some of the items produced by the producer may be lost (D) Values generated and stored in 'x' by the producer will always be consumed before the producer can generate a new value</p>	producer:	consumer:	while (true) do	while (true) do	P(S);	P(Q);	x = produce ();	consume (x);	V(Q);	V(S);	done	done
producer:	consumer:												
while (true) do	while (true) do												
P(S);	P(Q);												
x = produce ();	consume (x);												
V(Q);	V(S);												
done	done												
33.	Choose among the following suffer from Belady's anomaly and which of them not. Explain. a) LRU replacement b) FIFO replacement c) Optimal replacement												
34.	Define page replacement? Define the need of page replacement? Elaborate it via diagram.												

35.	Suppose the following disk request sequence (track numbers) for a disk with 100 tracks is given: 45, 20, 90, 10, 50, 60, 80, 25, 70. Assume that the initial position of the R/W head is on track 50. Compute the additional distance in tracks that will be traversed by the R/W head when the Shortest Seek Time First (SSTF) algorithm and SCAN (Elevator) algorithm are used (assuming that SCAN algorithm moves towards 100 when it starts execution).
36.	Write short notes on: a. Devices independent I/O software b. Device Controller c. Interrupt handler d. I/O Devices.
37.	Consider a disk queue with request for input/output to block cylinders 98, 183, 37, 122, 14, 124, 65, 67 in that order. Assume that disk head is initially positioned at cylinder 53 and moving towards cylinder number 0. Compute the total number of head movements using Shortest Seek Time First (SSTF) and SCAN algorithms are respectively
38.	Suppose a disk drive has 400 cylinders, numbered 0 to 399. The driver is currently serving a request at cylinder 143. The queue of pending request in FIFO order is: 86, 147, 312, 91, 177, 48, 309, 222, 175, 130. Starting from the current head position Define the total distance in cylinders that the disk to satisfy all the pending request for each of the following disk scheduling algorithms? 1] SCAN 2] C-SCAN
39.	Define file management system? Define the main tasks of the file management system?

(Course Lead)

(Program Chair)

(Dean)