

# 1 The Physics Models Used in the Collimation Routine

## 1.1 The Energy Loss

The energy loss is simulated using the Bethe-Bloch equation.

$$-dE/dx = \frac{Kz^2Z}{A\beta^2} \left[ \frac{1}{2} \ln \frac{2m_e c^2 \beta^2 \gamma^2 T_{max}}{I^2} - \beta^2 \right], \quad (1)$$

where  $Z$  is the atomic number of absorber,  $A$  is the atomic mass of absorber,  $m_e$  is the electron mass,  $z$  is the charge number of the incident particle,  $K = 4\pi N_A r_e^2 m_e c^2$ ,  $r_e$  is the classical electron radius,  $N_A$  is the Avogadro's number,  $I$  is the mean excitation energy.  $\beta$  and  $\gamma$  are kinematic variables.  $T_{max}$  is the maximum kinetic energy which can be imparted to a free electron in a single collision.

$$T_{max} = \frac{2m_e c^2 \beta^2 \gamma^2}{1 + 2\gamma m_e/M + (m_e/M)^2}, \quad (2)$$

where  $M$  is the incident particle mass.

The stopping power is compared with PSTAR program of NIST in Fig. 1.

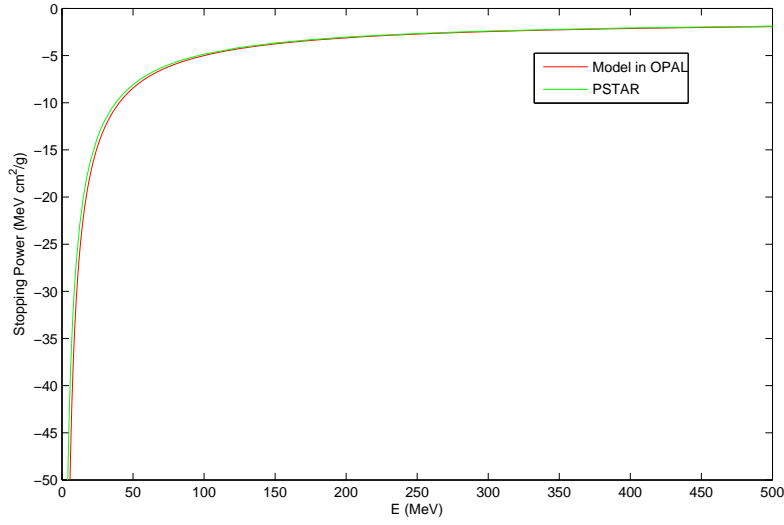


Figure 1: The comparison of stopping power with PSTAR.

Energy straggling: For relatively thick absorbers such that the number of collisions is large, the energy loss distribution is shown to be Gaussian in form.

For nonrelativistic heavy particles the spread  $\sigma_0$  of the Gaussian distribution is calculated by:

$$\sigma_0^2 = 4\pi N_A r_e^2 (m_e c^2)^2 \rho \frac{Z}{A} \Delta s, \quad (3)$$

where  $\rho$  is the density,  $\Delta s$  is the thickness.

## 1.2 The Coulomb Scattering

The Coulomb scattering is treated as two independent events: the multiple Coulomb scattering and the large angle Rutherford scattering.

Using the distribution given in Classical Electrodynamics, by J. D. Jackson, the multiple- and single-scattering distributions can be written:

$$P_M(\alpha) d\alpha = \frac{1}{\sqrt{\pi}} e^{-\alpha^2} d\alpha, \quad (4)$$

$$P_S(\alpha) d\alpha = \frac{1}{8 \ln(204 Z^{-1/3})} \frac{d\alpha}{\alpha^3}, \quad (5)$$

where  $\alpha = \frac{\theta}{\langle \Theta^2 \rangle^{1/2}} = \frac{\theta}{\sqrt{2}\theta_0}$ .

the transition point is  $\theta = 2.5\sqrt{2}\theta_0 \approx 3.5\theta_0$ ,

$$\theta_0 = \frac{13.6 \text{ MeV}}{\beta c p} z \sqrt{\Delta s / X_0} [1 + 0.038 \ln(\Delta s / X_0)], \quad (6)$$

where  $p$  is the momentum,  $\Delta s$  is the stepsize, and  $X_0$  is the radiation length.

### 1.2.1 Multiple Coulomb Scattering

Generate two independent Gaussian random variables with mean zero and variance one:  $z_1$  and  $z_2$ . If  $z_2\theta_0 > 3.5\theta_0$ , start over. Otherwise,

$$x = x + \Delta s p_x + z_1 \Delta s \theta_0 / \sqrt{12} + z_2 \Delta s \theta_0 / 2, \quad (7)$$

$$p_x = p_x + z_2 \theta_0. \quad (8)$$

Generate two independent Gaussian random variables with mean zero and variance one:  $z_3$  and  $z_4$ . If  $z_4\theta_0 > 3.5\theta_0$ , start over. Otherwise,

$$y = y + \Delta s p_y + z_3 \Delta s \theta_0 / \sqrt{12} + z_4 \Delta s \theta_0 / 2, \quad (9)$$

$$p_y = p_y + z_4 \theta_0. \quad (10)$$

### 1.2.2 Large Angle Rutherford Scattering

Generate a random number  $\xi_1$ , if  $\xi_1 < \frac{\int_{2.5}^{\infty} P_S(\alpha) d\alpha}{\int_0^{2.5} P_M(\alpha) d\alpha + \int_{2.5}^{\infty} P_S(\alpha) d\alpha} = 0.0047$ , sampling the large angle Rutherford scattering.

The cumulative distribution function of the large angle Rutherford scattering is

$$F(\alpha) = \frac{\int_{2.5}^{\alpha} P_S(\alpha) d\alpha}{\int_{2.5}^{\infty} P_S(\alpha) d\alpha} = \xi, \quad (11)$$

where  $\xi$  is a random variable. So

$$\alpha = \pm 2.5 \sqrt{\frac{1}{1-\xi}} = \pm 2.5 \sqrt{\frac{1}{\xi}}. \quad (12)$$

Generate a random variable  $P_3$ ,

if  $P_3 > 0.5$

$$\theta_{Ru} = 2.5 \sqrt{\frac{1}{\xi}} \sqrt{2} \theta_0, \quad (13)$$

else

$$\theta_{Ru} = -2.5 \sqrt{\frac{1}{\xi}} \sqrt{2} \theta_0. \quad (14)$$

The angle distribution after Coulomb scattering is shown in Fig. 2. The line is from Jackson's formula, and the points are simulations with Matlab. For a thickness of  $\Delta s = 1e-4$  m,  $\theta = 0.5349\alpha$  (in degree).

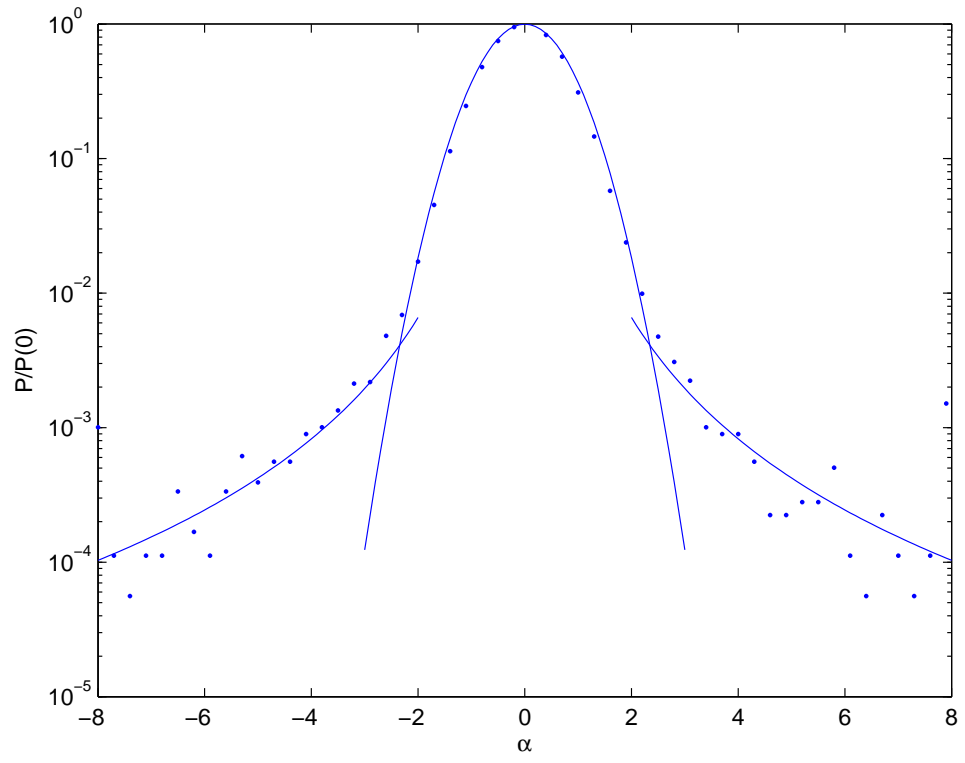


Figure 2: The comparison of Coulomb scattering with Jackson's book.

## 2 The diagram of CollimatorPhysics in OPAL

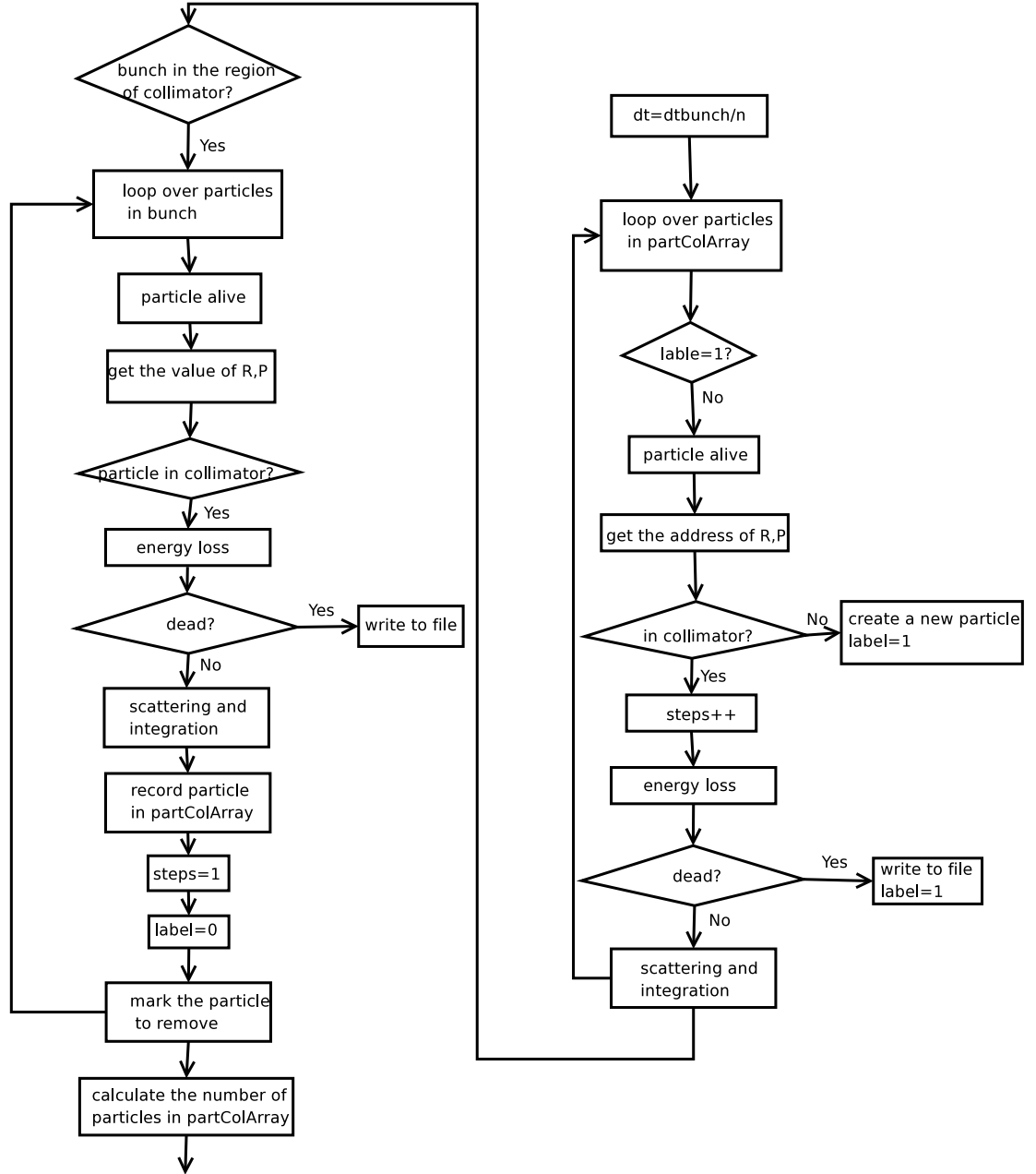


Figure 3: The diagram of CollimatorPhysics in OPAL.

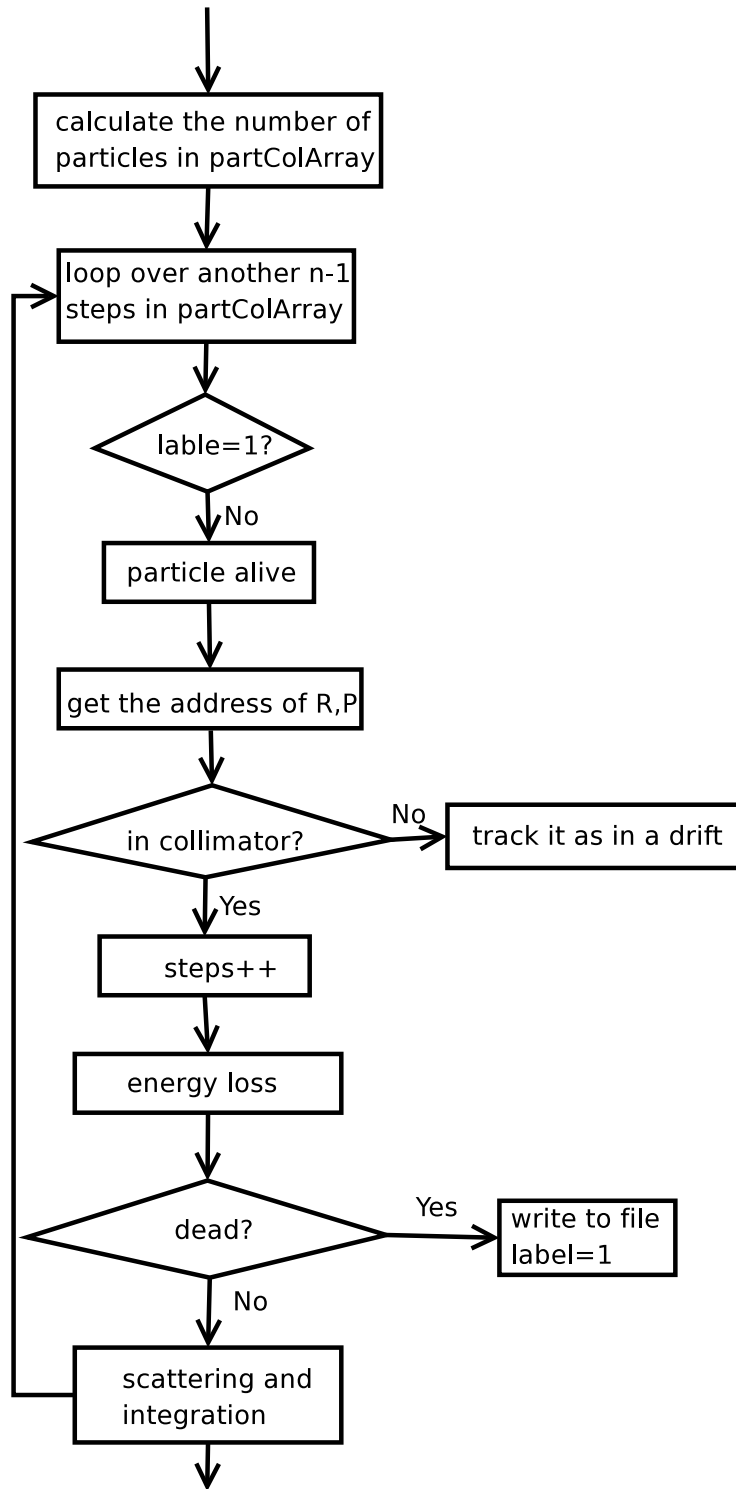


Figure 4: The diagram of CollimatorPhysics in OPAL(Continued).

### 3 The substep

Small step is needed in the routine of CollimatorPhysics.

If a large step is given in the main input file, in the file CollimatorPhysics.cpp, it is divided by a integer number  $n$  to make the stepsize using for the calculation of collimator physics less than  $1.01\text{e-}12$  s. As shown by Fig. 3 and Fig. 4 in the previous section, first we track one step for the particles already in the collimator and the newcomers, then another  $(n-1)$  steps to make sure the particles in the collimator experience the same time as the ones in the main bunch.

Now, if the particle leave the collimator during the  $(n-1)$  steps, we track it as in a drift and put it back to the main bunch when finishing  $(n-1)$  steps.

### 4 the example of Input file

```
KX1IPHY: SurfacePhysics, TYPE="Collimator", MATERIAL="Cu";
KX2IPHY: SurfacePhysics, TYPE="Collimator", MATERIAL="Graphite";
KX0I: ECollimator, L=0.09, ELEMEDGE=0.01, APERTURE={0.003,0.003},OUTFN="KX0I.h5",
SURFACEPHYSICS='KX1IPHY';
FX5: Slit, L=0.09, ELEMEDGE=0.01, APERTURE={0.005,0.003}, SURFACE-
PHYSICS='KX2IPHY';
FX16: Slit, L=0.09, ELEMEDGE=0.01, APERTURE={-0.005,-0.003}, SUR-
FACEPHYSICS='KX2IPHY';
```

FX5 is a slit in x direction, the APERTURE is **POSITIVE**, the first value in APERTURE is the left part, the second value is the right part.

FX16 is a slit in y direction, the APERTURE is **NEGATIVE**, the first value in APERTURE is the down part, the second value is the up part.

### 5 A Simple Test

A cold Gaussian beam with  $\sigma_x = \sigma_y = 5$  mm. The position of the collimator is from 0.01 m to 0.1 m, the half aperture in y direction is 3 mm. Fig. 5 shows the trajectory of particles which are either absorbed or deflected by a copper slit. As a benchmark of the collimator model in OPAL, Fig. 6 shows the energy spectrum and angle deviation at  $z=0.1$  m after an elliptic collimator.

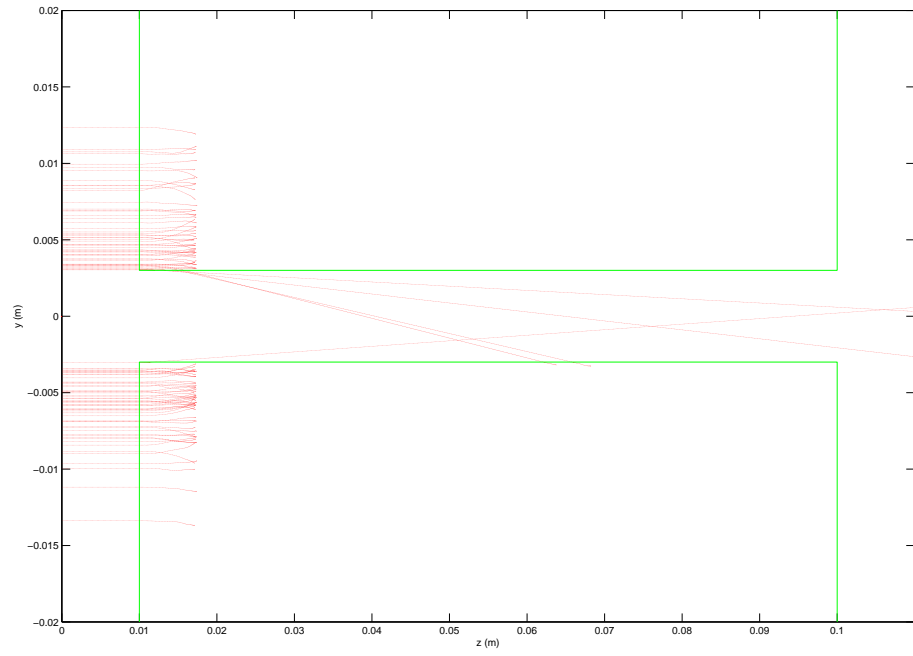


Figure 5: The passage of protons through the collimator.



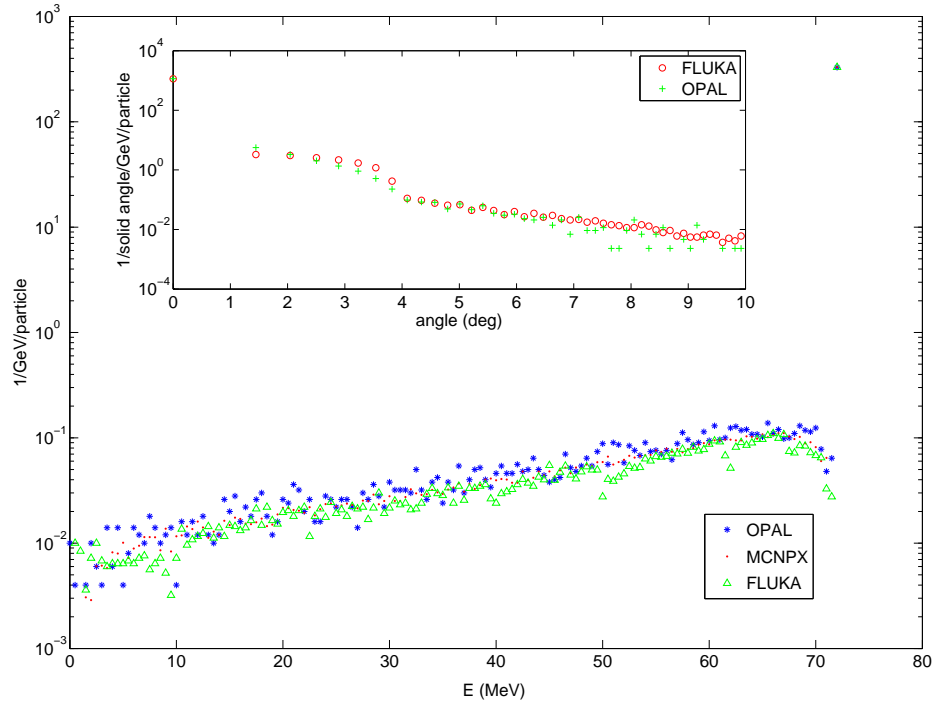


Figure 6: The energy spectrum and scattering angle at  $z=0.1$  m