

平成 27 年度修士論文

Radio Environment Database considering  
Primary User Activity in Time Domain

プライマリユーザの時間的变化を考慮した  
電波環境データベース構築

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## 修士論文の和文要旨

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### 要 旨

コグニティブ無線を用いた周波数共用において、周波数の二次利用者 (SU: Secondary User) は既存の周波数割り当てユーザ (PU: Primary User) への干渉を回避する必要がある。その中で自身の通信品質を確保するためには、正確な電波環境推定技術が重要である。筆者らは、これまで車載無線機やスマートフォンといった移動端末が観測した膨大な電波環境情報から構築される電波環境データベースを提案してきた。テレビ帯域を対象とした実証実験により、従来の距離減衰モデルに基づく手法と比較して PU の平均受信電力値の空間的な分布を精度良く推定できることを明らかにしている。しかし、これまでは PU の通信状態の ON/OFF 遷移を考慮せずに観測値を一意に平均化していた。そのため、無線 LAN のように観測期間内に状態遷移する可能性のあるシステムについては、最終的な平均結果と ON 状態の平均受信電力値に差が生じる恐れがあった。そこで本稿では、PU の通信状態の遷移を検出するセンシング手法を提案する。提案手法では、電波環境データベースに蓄積された統計情報と連携し、協調センシングによって電波環境を観測することで状態遷移を検出する。本手法により、通信を行なっている状態での受信電力値の取り出しが可能となり、結果として PU が通信を行なっている状態での平均受信信号電力値を精度良く推定できる。本稿では特に、状態遷移時間の検出に焦点を当てたシミュレーション評価を行ない、その有効性を示す。

# 和文概要

コグニティブ無線を用いた周波数共用において、周波数の二次利用者 (SU: Secondary User) は既存の周波数割り当てユーザ (PU: Primary User) への干渉を回避する必要がある。その中で自身の通信品質を確保するためには、正確な電波環境推定技術が重要である。筆者らは、これまで車載無線機やスマートフォンといった移動端末が観測した膨大な電波環境情報から構築される電波環境データベースを提案してきた。テレビ帯域を対象とした実証実験により、従来の距離減衰モデルに基づく手法と比較して PU の平均受信電力値の空間的な分布を精度良く推定できることを明らかにしている。しかし、これまでは PU の通信状態の ON/OFF 遷移を考慮せずに観測値を一意に平均化していた。そのため、無線 LAN のように観測期間内に状態遷移する可能性のあるシステムについては、最終的な平均結果と ON 状態の平均受信電力値に差が生じる恐れがあった。そこで本稿では、PU の通信状態の遷移を検出するセンシング手法を提案する。提案手法では、電波環境データベースに蓄積された統計情報と連携し、協調センシングによって電波環境を観測することで状態遷移を検出する。本手法により、通信を行なっている状態での受信電力値の取り出しが可能となり、結果として PU が通信を行なっている状態での平均受信信号電力値を精度良く推定できる。本稿では特に、状態遷移時間の検出に焦点を当てたシミュレーション評価を行ない、その有効性を示す。

# Abstract

Recently, with the fast development of wireless communication technology, cognitive radio (CR) has been recognized as a promising solution to address the problem of impending spectrum scarcity for improving the utilization of spectrum for various wireless applications [1], [2]. In a CR system, it allows the Secondary Users (SUs) to opportunistically utilize the temporal and/or spatial unused spectrum holes without harmful interference to Primary Users (PUs). While SUs can occupy available spectrum holes as long as the corresponding PU is inactive, they must immediately evacuate the band as soon as the corresponding PU appears. One of the main challenges is to intelligently determine ongoing PU activity to avoid interference toward PU. SUs can evacuate the band without affecting PU's activity and opportunistically access the spectrum to maximize the spectrum usage if the information about PU can be obtained in advance. Hence, more information about PU leads to more effective spectrum usage for SUs, and an external device for providing information of PU is necessary. One of the main challenges is to intelligently determine ongoing PU activity to avoid interference toward PU. SUs can evacuate the band without affecting PU's activity and opportunistically access the spectrum to maximize the spectrum usage if the information about PU can be obtained in advance. Hence, more information about PU leads to more effective spectrum usage for SUs, and an external device for providing information of PU is necessary.

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# Chapter 1

## Introduction

In chapter 1, present spectrum scarcity problem as the background of this thesis and technology proposed for solution is described. Also, the overview of this thesis and purpose is described.

### 1.1 Background

Due to the rapid development of wireless communication systems, a demand on spectrum resource for communication has increased explosively. Because the data rate and performance of the wireless communication system, such as mobile phone, are improved, it leads to a serious spectrum scarcity problem.

From Fig. 1.1, reference [1] predicts that Global mobile data traffic will increase nearly tenfold between 2014 and 2019 and mobile data traffic will grow at a compound annual growth rate (CAGR) of 57 percent from 2014 to 2019, reaching 24.3 exabytes per month by 2019. In addition to the increasement of the data traffic, a fixed resource allocation method as the current spectrum allocation policy, which is utilized for avoiding harmful interference toward licensed systems with each other, is also considered as a major reason for the scarcity of the spectrum resource. As a reason, almost linear increasing demand on necessary bandwidth for communication leads to a difficult allocation for new systems. From Fig. 1.2 reported from Ministry of Internal Affairs and Communications(MIC) in Japan government, it is shown that most of the spectrum resources has already been allocated. Thus, the lack of spectrum resources has become a serious problem.

Since the finite spectrum resources are not able to fulfill the exponential growth of demand on traffic, it is necessary to review the present spectrum policy with fixed resource allocation for the next generation wireless communication systems and a efficient spectrum utilization turns to be a key problem.





There are 2 main methods to ensure the bandwidth for new systems. Firstly, a spectrum arrangement on the whole wireless communication systems is utilized to extend available bandwidth. In 2011, an arrangement on television broadcasting is executed with switching to digital television broadcasting. However, it is not available for supporting the exponential growth of the data traffic and the number of systems. Second, an efficient and dynamic utilization method of bandwidth is considered to extend the probability for the future wireless communication systems, which is attracted attention as effective solution to the shortage of spectrum resources.

According to the report [2] from Federal Communications Commission(FCC), actual spectrum usage on the licensed band is lack of balance in both temporal and spatial domain and instantaneous usage efficiency remains at 15% ~ 80% even under crowd environment, such as urban areas, which means that an unused White Space(WS) exists even under temporal and spatial varying environment. However, it is not allowed to utilize White Space by other licensed or unlicensed systems based on current radio regulations.

## 1.2 Spectrum Sharing Trend and Problem

Cognitive Radio(CR) [4] has been recognized as a promising solution to address the problem for improving the utilization of spectrum for various wireless applications. In a CR system from Fig.1.3 and 1.4, it allows the Secondary Users (SUs) to opportunistically utilize the temporal and/or spatial unused spectrum holes without causing interference to Primary Users (PUs). While SUs can occupy available spectrum holes as long as the corresponding PU is inactive, they must immediately evacuate the band as soon as the corresponding PU appears.

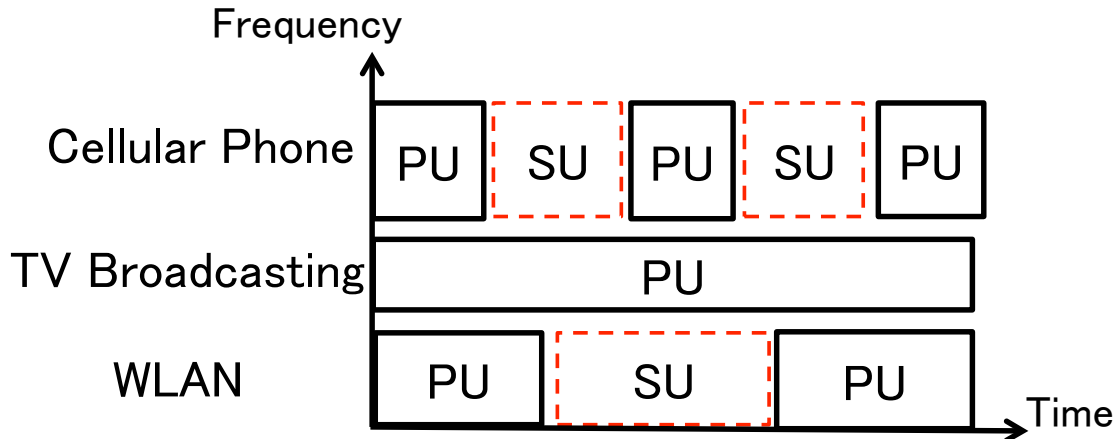


Figure 1.3: Coexistence between PU and SU in time domain.

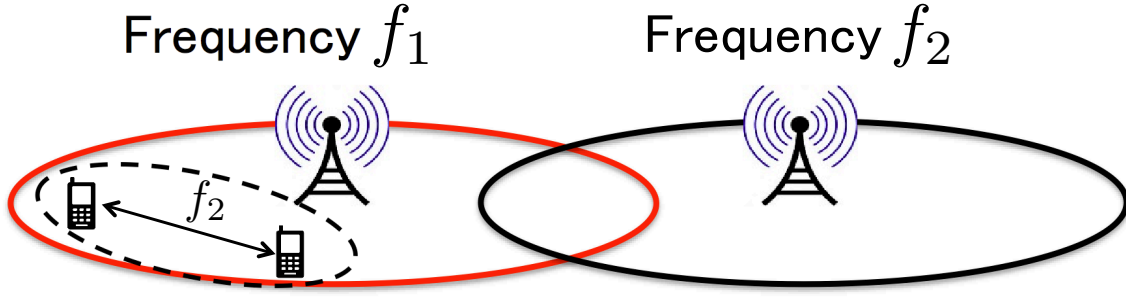


Figure 1.4: Coexistence between PU and SU in space domain.

One of the main challenges is to intelligently determine ongoing PU activity to avoid interference toward PU. SUs can evacuate the band without affecting PU's activity and opportunistically access the spectrum to maximize the spectrum usage if the information about PU can be obtained in advance. Hence, more information about PU leads to more effective spectrum usage for SUs, and an external device for providing information of PU is necessary. One of the main challenges is to intelligently determine ongoing PU activity to avoid interference toward PU. SUs can evacuate the band without affecting activity of PU and opportunistically access the spectrum to maximize the spectrum usage if the information about PU can be obtained in advance. Hence, more information about PU leads to more effective spectrum usage for SUs, and an external device for providing information of PU is necessary. However, it is difficult for SU to obtain the information about activity of PU individually according to the position of SU and it may lead to a performance degradation on PU protection.

The idea of Spectrum Database has been studied for assisting SUs to effectively reuse the spectrum. SUs can access the database to obtain the surrounding radio environment information and optimize their own parameters, such as modulation, transmitting power and so on. Federal Communication Committee (FCC) has been considered a propagation model-based spectrum database to provide the spectrum available information whether the spectrum locating SU can be used or not [3]. The model-based database has to set a large margin to avoid interference to PU because the realistic radio environment with considering the effect of surrounding obstacles cannot be considered. Therefore, there is a limit to improve the spectrum utilization efficiency.

Authors in [5–7] proposed a measurement-based spectrum database as a realistic method. The measurement-based database generates the database information by gathering the measuring received power at SUs, which are inactive for communication. Then the real radio propagation situation can be known and more accurate information at SU

location can be provided. Then, SUs access to the database with their own location information and download the responding average received signal power at the SU location as shown in Fig.1.5.

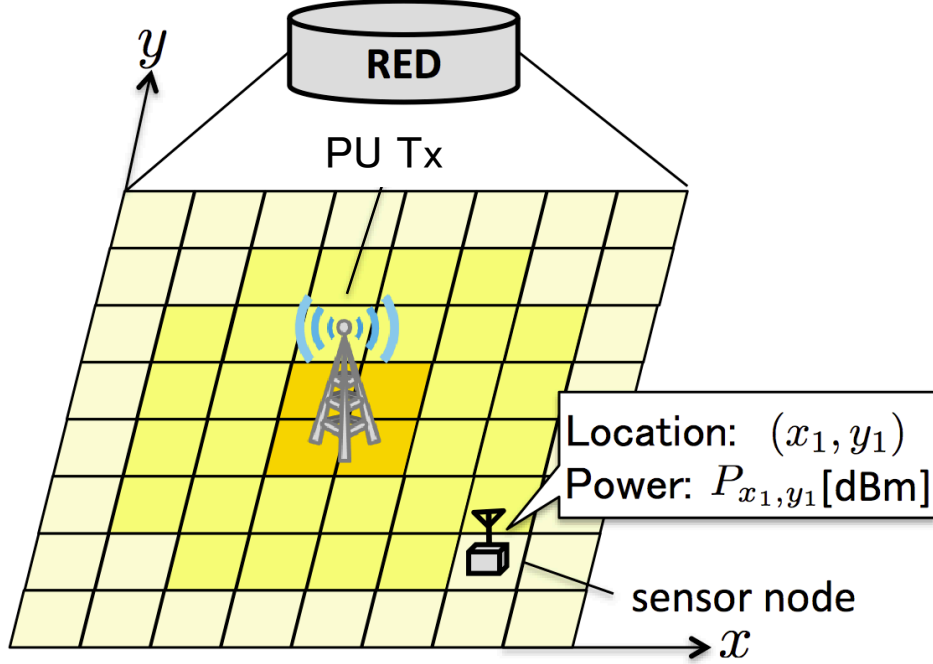


Figure 1.5: Measurement-based Spectrum Database.

The database is constructed by using reported measurement results of averaged sampling value in each sensor node like energy detection spectrum sensing algorithm to calculate the average received signal power at each location. However, it is only appropriate under the assumption that the PU status is always ON. For example, in [8] [9], the channel scenario is not considering primary user traffic during the sensing period. If there is a state transition from ON to OFF, sensor node will report the wrong received signal power to the database, which leads to low reliability and performance. Thus, it is necessary to detect PU's state transition and extract the ON part only to the database to improve the reliability of database. In [10], single status change of primary user is focused.

### 1.3 Purpose

In this thesis, we focus on a distribution transition between the ON status and OFF status, CUSUM (cumulative sum) algorithm [17] and GLR (Generalized Likelihood Ratio) algorithm [18] are used to detect the rise up point and rise down point, which is status

change point from OFF to ON and ON to OFF. Finally, only ON power can be extracted with using the detected transition point and the sensing error reduction is possible.

The remainder of this thesis is organized as follows. The overview of Cognitive Radio and Spectrum Sharing with Spectrum Database is introduced in Chapter 2. In Chapter 3 the basic sensing method for calculating the reported information is presented as a measurement-based spectrum database construction metrics and the problem of Spectrum database construction is described in detail. Chapter 4 proposes the active period detection method of primary signal for spectrum database in detail and the simulation results and performance evaluation are discussed in Chapter 5. Finally, Chapter 6 concludes the thesis.

# Chapter 2

## Cognitive Radio

In Chapter 2, cognitive radio technology for spectrum sharing is described in detail. Also, as one of the applied technology, spectrum sharing with spectrum database is discussed.

### 2.1 Overview of Cognitive Radio

Cognitive Radio(CR) is defined as the technology that wireless communication devices are able to be aware of the surrounding environment and configure communication parameters autonomously. Frequency in use, Modulation method, Transmission power all can be treated as communication parameters. Because the adaptive parameter configuration can make an effective usage on White Space possible, it is expected as a solution to the shortage of the spectrum resources.

As a process for Secondary User communication, a series of algorithm named as Cognitive Cycle [3] is proposed by J.Mitola III in 1999. With observing Outside World, Secondary User can obtain various information. Next, after analysis is executed based on information from observation, results are oriented by priority and process is carried out depending on the determined oriented result. If the status has to be responded instantaneously, the appropriate Act needs to be reacted immediately with using the information obtained. For example, when the status of Primary User changes to ON, Secondary User has to stop transmission immediately. Otherwise, in the case that an urgent status exists, an act needs to be determined based on the information. As an example, when Secondary User has an effect on primary signal with huge interference power, it is necessary to move to the phase which is stopping transmission or lower the interference power. If the priority is Normal, an optimised Plan is determined with a long-term observation. While the radio environment changes, the observation will be observed again. At the end, the Cognitive Cycle is possible based on the process below.

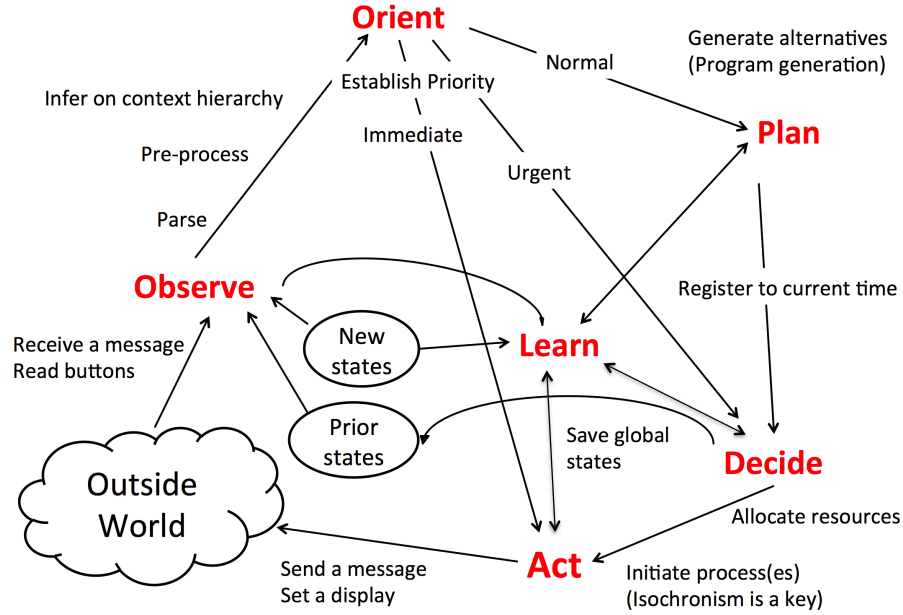


Figure 2.1: Cognitive Cycle.

The protection of Primary transmission is related to the observation and determination. The signal from Primary User, the own location information from Global Position System(GPS), and the sensing information from other nodes can be treated as the observation data. Next, the determination process is optimally executed according to the observation data. Whether the Primary User existence can be determined accurately plays an key role to the cognitive radio systems.

In this thesis, we focus on the observe and Orient process in the cognitive cycle for our proposed method.

## 2.2 Multi-mode System

Cognitive Radio technology which is researched actively is roughly divided into two types: Multi-mode System and Dynamic Spectrum Access. In Multi-mode System as illustrated in Fig. 2.2, Secondary User is allowed to detect the temporally and spatially unused licensed band and utilize the spectrum with same behavior as Primary User. If the Quality of Service(QoS) is not able to be achieved from the certain spectrum band, Secondary User switches to another spectrum. While Multimode system is realized as a easier method than Dynamic Spectrum Access with the reason that the communication method has already been established, the spectrum usage efficiency is not remarkable due to the upper limitation on the communication scheme of Primary User.

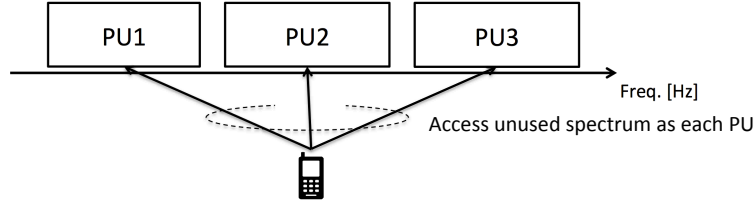


Figure 2.2: Multi-mode System.

## 2.3 Dynamic Spectrum Access for Spectrum Sharing

As Dynamic Spectrum Access is possible for more flexible spectrum utilization, huge spectrum usage efficiency improvement. In the Dynamic Spectrum Access, Secondary Users are able to detect the spectrum and access to it with lower priority than Primary User of that spectrum. As a constraint, harmful interference toward Primary User is not allowed. The method about how to access to the spectrum is composed of two types, which is defined as Overlay Spectrum Sharing [11] and Underlay Spectrum Sharing [12].

### 2.3.1 Overlay Spectrum Sharing

As illustrated in Fig.2.3, Unused White Space is utilized for communication in Overlay Spectrum Sharing. If the existence of Primary User in this spectrum is accurately detected by Secondary User, the protection of Primary User will be possible and the opportunity for Secondary User can be gained. In other words, the detection method on Primary User is the way to achieve Overlay Spectrum Sharing.

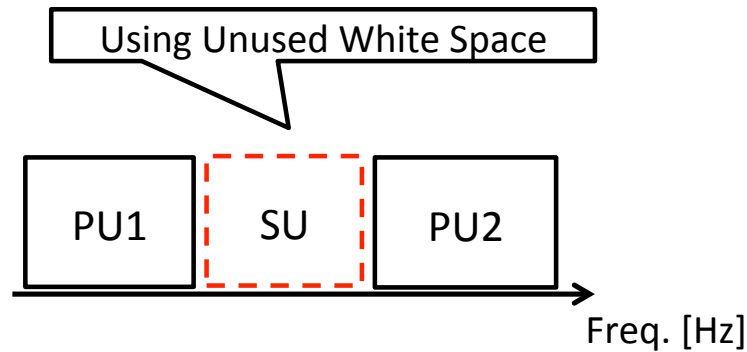


Figure 2.3: Overlay Spectrum Sharing.



### 2.3.2 Underlay Spectrum Sharing

Different with Overlay Spectrum Sharing, licenced band for Primary User is considered as usable spectrum for Secondary User as long as harmful interference towards Pirmary User is not existing. In other words, Both Primary User protection and own performance with using appropriate communication method should be ensured. Thus, it is more difficult to realize the Underlay Spectrum Sharing than overlay Spectrum Sharing.

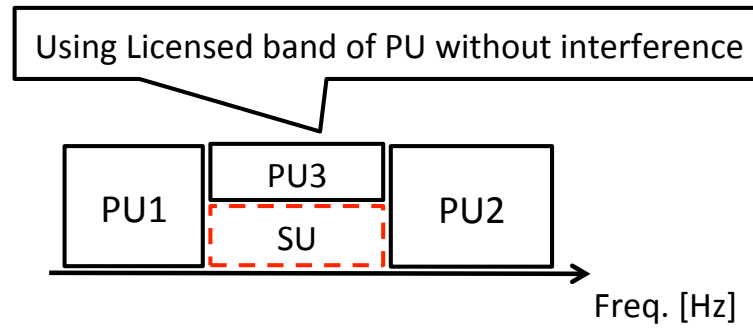


Figure 2.4: Underlay Spectrum Sharing.

# Chapter 3

## Spectrum Database

In Chapter 3, the overview of spectrum sharing with spectrum database and the measurement-based Spectrum Database proposed by our laboratory. And a problem of Spectrum Database Construction is described.

### 3.1 Overview of Spectrum Sharing with Spectrum Database

For further improving the performance for spectrum sharing, Federal Communications Commission(FCC), an independent agency of the United States government,proposed to fully utilize spectrum database for supporting spectrum sharing. Secondary User should obtain own position by Global Position System(GPS) and access to database. FCC has already released the detailed rule of construction and managementfor TV broadcasting White Space and some service provider corporation have already established spectrum databases. [13–15]. However, FCC-defined Database is determined by following a specified propagation model and only stores the decision information whether the White Space can be utilized or not at each position based on the calculation result from the propagation model. Based on the information from GPS, Secondary User accesses to the spectrum database to obtain the White Space information. Because interference towards Primary User is designed by managing geographic White Space conservatively, FCC-defined Spectrum Database is only treated as overlay spectrum sharing. Consequently, as the interference margin is set too large, the calculation of interference power with following a detailed propagation model is not considered, which is described in Chapter 2 that the spectrum usage efficiency improvement has a upper limit.

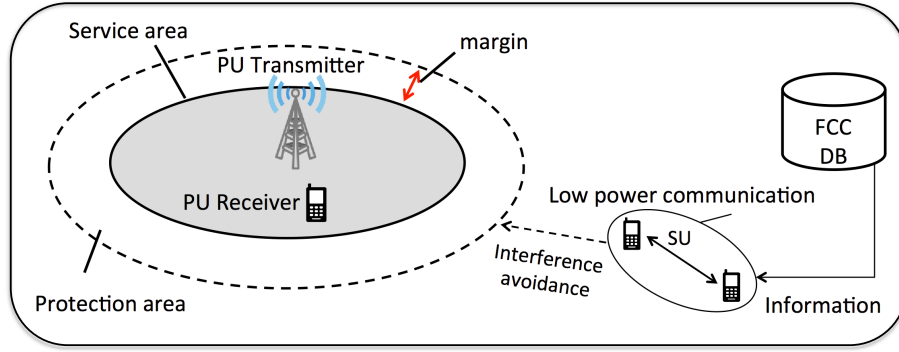


Figure 3.1: FCC-defined Spectrum Database.

## 3.2 Measurement-based Spectrum Database

To obtain a large improvement on spectrum usage efficiency, underlay spectrum sharing spectrum database should be considered instead of overlay type. Thus, a more advanced radio environment database besides FCC-defined spectrum database is required for providing not only the White Space information, but also the information about Primary, such as Modulation and Coding Scheme(MCS) and transmission power, a more detailed propagation model, estimation error and the position of Primary receiver and so on. As the Spectrum Database is constructed based on the observation on primary signal, high-speed communication is possible to be realized with adaptively determining the transmission power for avoiding interference towards Primary User based on the information obtained from the underlay spectrum sharing database.

The difference between FCC-defined Spectrum Database and measurement-based Spectrum Database is described as follows and Fig. 3.1 and 3.2. In FCC-defined Spectrum Database as illustrated in Fig.3.1, a service area is determined in advance, and the margin level is calculated for protecting Primary User receivers in this service area. Then, the transmission power and service area for Secondary User is limited for avoiding interference towards Primary User. Thus, only low power communication and limited service area is suitable for spectrum sharing. On the other hand, As Measurement-based Spectrum Database is possible to provide all information about Primary User, which leads to a more detailed service area, received power from Primary User at each position is known to Secondary User. Therefore, a highly accurate design of transmission power and timing can be realized. As a result, spectrum sharing performance with Primary User and opportunity for Secondary will be improved and the Quality of Service(QoS) for Secondary User will be enhanced.

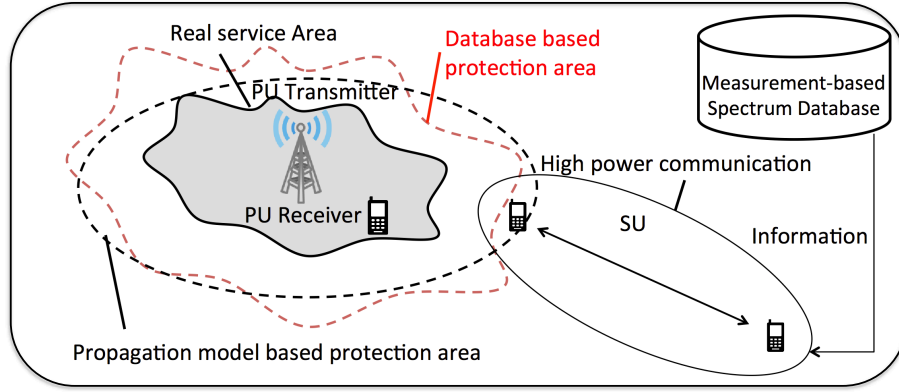


Figure 3.2: Measurement-based Spectrum Database.

### 3.3 Spectrum Database Construction based on Energy Detection

In this section, the measurement-based spectrum database considered in this research is described in detail. Fig. ?? shows the overview of how to construct the spectrum database. First, the spectrum database stores the radio environment information measured from Secondary User with mobility, such as vehicles and cellular phone. Secondary User receives the signal from Primary User when Secondary User moves without transmitting any signals. After that, Energy Detection(ED) [16], a simple and easy to implement spectrum sensing method, is adopted as a measurement method for uploading to the spectrum database.

### 3.4 Problem of Spectrum Database Construction

# Chapter 4

## Proposed Method

### 4.1 System Model

### 4.2 Transition Point Detection Method under Multiple ON/OFF Environment

#### 4.2.1 CUSUM

#### 4.2.2 GLR

# Chapter 5

## Simulation

### 5.1 System Model

### 5.2 Simulation Results

# Chapter 6

## Conclusion

In this paper, as a solution to the sensing error under multiple ON/OFF environment for Spectrum Database, a transition point detection algorithm is applied for the received power detection. Based on the detected transition point of the primary user status by using CUSUM algorithm and GLR algorithm. an active period of the primary user can be extracted. Transition point is well-detected and an improvement of sensing error can be confirmed through simulation results.

# Acknowledgments

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- v. 長谷川嶺, 王昊, 藤井威生, "電波環境データベース精度向上のための観測データクラスタリング法," 信学総大, B-17-19, March 2016.

# Appendix

From the next page, the program source is attached.