
PHYLOGENETIC DIVERSITY AND FISH: A COMPARISON OF ACTINOPTERYGII
PHYLOGENETIC DIVERSITY WITH OTHER VERTEBRATE GROUPS

Word count: 2452 words

Scott, Oenone.

Contact: ojs19@imperial.ac.uk

Supervisors:

James Rosindell (j.rosindell@imperial.org.uk) & Rikki Gumbs (Rikki.Gumbs@zsl.org)

A thesis submitted in partial fulfilment of the requirements for the Computational
Methods in Ecology and Evolution Master of Research at Imperial College London

Formatted in the Harvard Style

1 Abstract

2 Introduction

3 2.1 The need for conservation efforts

4 Our planet is currently losing species at such a significant rate that this period has been
5 called the "Sixth mass extinction" (Barnosky et al. 2011). This loss of biodiversity is detri-
6 mental both to the ecosystems in which these species reside and to humanity, through the
7 loss of ecosystem services such as sources of food, medicine, and employment. Furthermore,
8 it can be argued that biodiversity is worth preserving for its own sake, although quantifying
9 the intrinsic value of biodiverse ecosystems outside of potential anthropogenic uses can be
10 challenging. True extinction events cannot (yet) be reversed, despite the valiant efforts of
11 some, such as **Piotrowska2018** and the Woolly Mammoth. However, through conservation
12 action, species that are extinct in the wild can be reintroduced; vulnerable or threatened
13 species can be protected, and entire ecosystems can be protected. Conservation and re-
14 introduciton of species have been happening across most continents for decades, with suc-
15 cessful outcomes realised for a broad variety of taxa - including plants (**Godefroid2011**),
16 freshwater fish (**Cochran-Biederman2015**), and ferrets (**Jachowski2011**). Unfortu-
17 nately, conservation actions are resource intensive and expensive, and conservationists are
18 unable to protect every threatened or vulnerable species on the planet. This is compounded
19 by a lack of comprehensive, up-to-date data on which species are threatened globally. These
20 limitations, along with other practical challenges such as accessibility, force conservation-
21 ists to select some species to focus on, meaning some species or entire ecosystems are left
22 without any support.

23 There are many metrics by which conservationists evaluate species' worthiness of protec-
24 tion. Some focus on a species' "irreplaceability". Others focus on physiological or genetic
25 'uniqueness', unusual abilities, or species living in rare habitats (Brooks et al. 2006), and
26 yet prioritise population numbers, roles in ecosystems, or a combination of multiple fac-
27 tors. The problem with some of these approaches is that they can be skewed towards
28 organisms that are charismatic (i.e. the Giant Panda), or populations that are easier
29 to observe (e.g. rhinoceroses) and miss species that are hard to find/ see (i.e. deep-
30 sea organisms), visually unremarkable or belonging to a non-charismatic taxa, but that
31 exciting for other reasons - such as being future source of medicinal chemicals, display-
32 ing interesting behaviour, or playing an irreplaceable role within an ecosystem (Taylor
33 2002). Another way in which conservation can vary is through the breadth of scope.
34 Some species are thought to best benefit from species-wise conservation () whereas other
35 approaches aim to conserve a range of species, and others will try and protect an en-
36 tire biome (**something about the rainforest**). There are pros and cons to each ap-
37 proach, and as yet there is not a clear framework as to when to use each approach,
38 as there are only a few studies that review and compare such conservation approaches
39 (**find a lot more citations**). There are several clades of fish (such as sharks) that have
40 TALK ABOUT SPECIES-WISE PROTECTION OF SPECIES AND ECOSYSTEM WIDE
41 PROTECTION

42 WHY WOULD KNOWING MORE ABOUT SPECIES MEAN THEY ARE BETTER
43 PROTECTED

44 WHY WOULD FISH BENEFIT FROM SPECIES-WISE PROTECTION

45

46 There is significant taxonomic and geographic bias reported in conservation research
47 (Taylor 2002; Darwall et al. 2011; Watson et al. 2017), which highlights the clear need for

48 a method that avoids taxonomic bias, and can be applied widely to identify which species
 49 which require conservation prioritisation.

50

51 INTRODUCE PHYLOGENETIC DIVERSITY. WHAT IS IS AND WHAT IT MEANS.
 52 THEN, WHY WE ARE LOOKING AT IT HERE.

53

54 INTRODUCE EDGE METRIC, AND THEN TOUCH ON IT'S APPLICATION AND
 55 ONE-TWO SUCCESS STORIES

56 The 'EDGE' metric seeks to solve this problem of biased prioritisation by calculating
 57 prioritisation lists of species using the evolutionary history represented by species - it's
 58 "Evolutionarily distinctness" (ED) score combined with its level of global endangerment
 59 (GE). A species' ED score is calculated using a phylogenetic tree, and the score represents
 60 the numbers of millions of years of evolutionary history that is within that species (Isaac
 61 et al. 2007). Species that have speciated recently, or that have many recent 'cousin' species
 62 will have lower ED scores than species that have no close living relatives, and that speciated
 63 a long time ago.

64 The GE score of a species is calculated
 65 using the IUCN's 'Red List' assessment for
 66 that species (Isaac et al. 2007), in which
 67 species are categorised according to how
 68 stable their populations are and how likely
 69 they are to become extinct (IUCN 1975).

70 These categories are shown in Figure 1.
 71 This metric was chosen for this study as it
 72 provides a mechanism to comprehensively

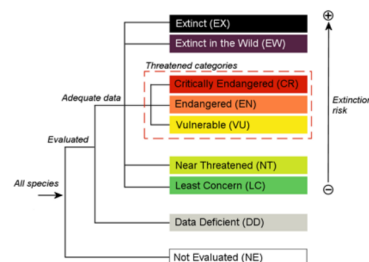


Figure 1: IUCN Red List categories
 (IUCN 1975)

73 assess species within family groups (or larger), and this study aims to produce results that
 74 can be used to meaningfully influence the manner in which these species are considered.

75 2.2 Why fish?

76 The group considered in this study are the *Actinopterygii*, commonly known as the ray-
 77 finned fish. Actinopterygii are the largest class of vertebrate, with over 33,000 constituent
 78 species, with more species being described regularly (Froese and Pauly n.d.). For context,
 79 mammals are thought to have closer to 6,400 extant species (Mammalogists 2020); reptiles
 80 have 9,500 species (Pincheira-Donoso et al. 2013) ; and birds have around 18,000 (Bar-
 81 rowclough et al. 2016). Unfortunately, the Actinopterygii are also proportionally the most
 82 understudied class of the vertebrates. for example, just over 50% of Actinopterygii are
 83 assessed in the IUCN Red List, compared with the 62-90% of other classes, as laid out in
 84 Table 2.2.

85

CLASS	# OF SPECIES	# ASSESSED	% ASSESSED
ACTINOPTERYGII	33,000	17,955	54.4
MAMMALIA	6,500	5850	90.0
AVES	18,000	11,147	62.0
REPTILIA	9,400	7892	84.0

86

Table 2.2: IUCN Red List assessments for vertebrate classes

(Mammalogists 2020; Pincheira-Donoso et al. 2013)

(Barrowclough et al. 2016; Froese and Pauly n.d.)

87

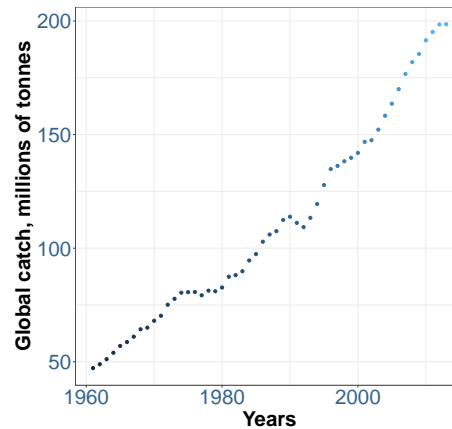
88

89 The IUCN is not the only research body in which Actinopterygii are poorly represented.
 90 A quick search in Web of Science also demonstrates the lack of research into this class. When

91 searching for topics that include the class names of the groups mentioned in Table 2.2,
 92 the results are as follows: Actinopterygii -2055; Mammalia - 8905; Reptilia - 6,030; Aves
 93 - 13,116. This bias is acutely present in conservation science - a recent study by Watson
 94 et al. 2017 revealed that, of the vertebrate groups, fish had the highest imbalance between
 95 number of published studies and number of described species (16% and 50% respectively).

96 Despite the lack of studies into Actinopterygii, many species of fish are acknowledged to
 97 be very important as a source of food and employment - through fishing, tourism and enter-
 98 tainment. Fish consumption has been rising steadily for the past 6 decades, as highlighted
 99 clearly in Figure 2.

100 Many people, especially in Europe
 101 and Northern America, are increasing the
 102 amount of fish in their diet - some to im-
 103 prove their health (Rimm 2006)), and oth-
 104 ers to lessen their impact on the environ-
 105 ment (Mary and Shoup 2018). Younger
 106 generations are particularly aware of the
 107 impact their food choices have on the planet
 108 (Mary and Shoup 2018), so it could be as-



109 sumed that this trend of eating more fish is
 110 unlikely to change. This increased demand
 111 for fish (and fish-related products) has lead
 112 to an increase in aquaculture (farming fish)
 113 (Belton, Bush, and Little 2018). Wild-caught fish number are in decline due to stock
 114 exhaustion and populating collapse rather than a decrease in interest in wild caught fish
 115 (Tremblay-Boyer et al. 2011; Belton, Bush, and Little 2018).

116 Marine ecosystems in particular are under increasing pressure from human action.
117 Globally, governments and scientific bodies have agreed that ecosystems need to be pro-
118 tected in order to protect the future of marine resources (Muraki Gottlieb et al. 2018).
119 Marine Protected Areas (MPAs) are one such form of protection - however the definition
120 of these vary significantly from one location to another (Marinesque, Kaplan, and Rodwell
121 2012). In general, an MPA's success is evaluated by its effectiveness at conserving 'key-
122 stone' species, or their value to local fishing activities (Marinesque, Kaplan, and Rodwell
123 2012). As discussed above, by considering only 'keystone' species, considerable ecosystem
124 damage may occur un-measured, and many species may go un-protected.

125 Given that many species of fish exist in both the marine and freshwater realms, and
126 many more migrate around the globe, clear conservation prioritisation for fish will be
127 crucial to ensure we do not fail to protect vulnerable and non-charismatic species.

128 **2.3 X, Y and Z**

129 subsection: why these fish in particular

130 [now to sum everything up]

131

132 It is clear that the Actinopterygii group is both under-studied and under-protected,
133 despite their importance to human populations across the globe. This study is a first step
134 in directly addressing this imbalance. Using the EDGE metric, we create conservation
135 prioritisation lists for [X, Y and Z] and, along with geospatial analysis of their current
136 habitats and threats, highlight methods through which vulnerable species could be pro-
137 tected. This study also provides a roadmap that will be useful for the creation of reports
138 regarding other family groups within the Actiopterygii class.

139 3 Methods

140 3.1 Taxonomic Matching

141 Data Collection

142 Before performing the EDGE2 analysis, I needed to create the most up-to-date species
143 list of each taxonomic group, source a phylogenetic tree set, and then adjust the species in
144 the tree according to the latest species list.

145 Of the vertebrate groups that I calculated EDGE for, Birds, Squamates and Amphib-
146 ians had already gone through the process of taxonomic matching and 'tree cleaning'.
147 Chondrichthyes, Actinopterygii, Mammals and Agnatha all required this process.

148 The first step was to establish a taxonomic authority. For Mammals we used the data
149 from 'VertLife'(), which pulls taxonomic data from the ASM Mammal Diversity Database.
150 For Chondrichthyes, Actinopterygii and Agnatha, we used FishBase as the taxonomic au-
151 thority (Froese and Pauly n.d.) . This means that we used the species data from this group,
152 and adjusted species names in our other data sources to match the authority.

153 The second data set we require was a phylogenetic tree/ set of trees. Each of these data
154 came from a specialised site. For the Actinopterygii class we used the set of 100 trees from
155 **Chang2019**. For the Chondrichthyes we used the trees from INSERT SHARK TREE
156 SOURCE. For Mammals we used the tree set from HERE. For the Agnatha [insert what
157 actually happened when I do this piece of work].

158 The third data set we used was the IUCN Red List, as the probability of extinction
159 (pext) value is calculated based on the Red List "Extinction" Category (IUCN 1975).

160 To create the 'master species' list the first step was to strip out any sub-species from
161 the master species list - this was done by removing any data entries with three components
162 i.e. [example]). We then compared the list of species in the phylogenetic trees and in the

163 Red List data with the taxonomic authority. For any species that were in the trees or in
164 the red list that weren't in the taxonomic authority, we manually checked to see if these
165 species were either spelling mistakes or synonyms of valid species. If the species was an
166 incorrect spelling of a valid species, then the name was corrected. If the species was a
167 synonym of a valid species, then the database was checked to see if the correct species was
168 in the database. If it was not, then the name was changed to the valid species name. If
169 the valid species was also present in the database, then the species was discarded. If the
170 species was neither a spelling mistake nor a synonym, then it was also discarded. [Create
171 a diagram for this?]

172 At the end of the taxonomic matching, we had a data frame for each taxonomic group
173 containing the taxonomic species name, genus and family, the tree species, and the red list
174 category (n.b. the tree species and red list category were entered as NA if the valid species
175 weren't found in the tree or evaluated by the red list).

176 We then updated the phylogenetic trees. Using a base set of 1000 trees, we removed all
177 of the species in the tree that were not in the taxonomic database (the 'invalid' species).
178 We then checked to see if there were any family groups that were missing from the tree,
179 and imputed a single species from each family group. We repeated this with the genera.
180 Then, we imputed the rest of the missing species. As the imputation process is somewhat
181 random, the result was 1000 unique trees for each taxonomic group.

182 **3.2 EDGE, EDGE2 and PD calculations**

183 Once we had all of the updated phylogenetic trees and IUCN data we looked at EDGE2
184 scores and phylogenetic diversity loss across the whole taxonomic tree for each of our clades.
185 The EDGE2 metric is an updated way version of the EDGE metric, originally designed by
186 **Steel2007**.

187 How to calculate EDGE scores:

188 How to calculate EDGE2 scores:

189 How to calculate PD loss:

190 For each of the vertebrate groups we: -Calculated EDGE2 Scores using 7 pext values*
191 (including clade-specific where possible) – Did a spearman analysis of the top 100 EDGE
192 species in each pext forecast to see if the pext forecast affects the EDGE ranking - Calculated
193 EDGE scores for each vertebrate group – did a spearman analysis of the top 100 EDGE
194 species with the top 100 EDGE2 species for each forecast -Calculated percentage PD loss
195 for each clade using the 7* pext values (*including clade-specific where possible)

196 — FOR JUST FISH OR FOR ALL VERT GROUPS? - Scrambled pext (for all 6 pext
197 forecasts) and calculated percentage PD loss. – Do some kind of analysis to see if there is
198 a difference between the PD loss in the switched up data and the correct data set.

199 **3.3 Clade selection**

200 We picked three clades for the in-depth threat analysis. To pick the most suitable clades
201 we first screened each family group for size, Red List coverage and presence of vulnerable
202 species. We then created super-family clusters of families that: had ≥50% Red List Coverage
203 and at least 1 vulnerable species, ≥100 species, and were monophyletic. This left us with
204 21 large clusters (is this for the results section?) [have table with all of the groups in??].
205 We then looked into each of these clades to find if there were any biological meaning to
206 these clusters of family groups. This left us with XXXX groups. We then picked 3 final
207 groups for [x y z reasons].

208 **3.4 Clade threat analysis**

209 For the selected clades we: - Produced an EDGE list, using the EDGE 2 metric. - Threat
210 analysis - Range analysis - Overlap with MPAs? Especially of important areas? - Trends
211 in threat? Increasing/ decreasing/ static?

212 **3.5 Packages and technical specifications**

213 rfishbase rredlist phylbase capter phytools data.table geiger pez

214 **4 Results**

215 **4.1 Updated phylogenetic trees**

216 SHOULD I TALK ABOUT HOW MANY SPECIES I NEEDED TO IMPUTE for each
217 taxonomic group?

218 **4.2 PD loss**

219 Insert: image with percentage PD loss across 6 vertebrate groups with all 6 pext forecasts

220 Insert: Tree showing the evolutionary relationship of the 6 vertebrate groups.

221 **4.3 EDGE1 vs EDGE 2**

222 Insert: either multi-panel of the spearman analyses of the EDGE 2 vs EDGE 1 scoers for
223 all vertebrate groups, or just pick one/ two (dpeending on results) and descript.

224 4.4 EDGE 2 - variation by pext

225 Insert: show image from spearman analysis comparing top 100 across all of the EDGE 2
226 groups.

227 4.5 PD at threat: random or not

228 Insert: barplot showing range of PD loss with real data vs scrambled, plus an analysis to
229 see if there is a statistical difference.

230 4.6 THREAT analysis

231 Something about threats.

232 5 Discussion

233 References

- 234 Barnosky, Anthony D. et al. (2011). “Has the Earth’s sixth mass extinction already ar-
235 rived?” In: *Nature* 471.7336, pp. 51–57. ISSN: 00280836. DOI: 10.1038/nature09678.
- 236 Barrowclough, George F. et al. (2016). “How many kinds of birds are there and why does
237 it matter?” In: *PLoS ONE* 11.11, pp. 1–15. ISSN: 19326203. DOI: 10.1371/journal.
238 pone.0166307.
- 239 Belton, Ben, Simon R. Bush, and David C. Little (2018). “Not just for the wealthy: Rethink-
240 ing farmed fish consumption in the Global South”. In: *Global Food Security* 16.October
241 2017, pp. 85–92. ISSN: 22119124. DOI: 10.1016/j.gfs.2017.10.005. URL: [https:](https://doi.org/10.1016/j.gfs.2017.10.005)
242 [//doi.org/10.1016/j.gfs.2017.10.005](https://doi.org/10.1016/j.gfs.2017.10.005).

243 Brooks, T. M. et al. (2006). “Global biodiversity conservation priorities”. In: *Science*
244 313.5783, pp. 58–61. ISSN: 00368075. DOI: 10.1126/science.1127609.

245 Darwall, William R.T. et al. (2011). “Implications of bias in conservation research and
246 investment for freshwater species”. In: *Conservation Letters* 4.6, pp. 474–482. ISSN:
247 1755263X. DOI: 10.1111/j.1755-263X.2011.00202.x.

248 Department, Fisheries and Aquaculture (2020). *Fisheries and Aquaculture Department*.
249 URL: <http://www.fao.org/fishery/> (visited on 03/23/2020).

250 Froese, R and D Pauly (n.d.). *FishBase*. URL: www.fishbase.org, %20version%20(12/
251 2019) ..

252 Isaac, Nick J.B. et al. (2007). “Mammals on the EDGE: Conservation priorities based on
253 threat and phylogeny”. In: *PLoS ONE* 2.3. ISSN: 19326203. DOI: 10.1371/journal.
254 pone.0000296.

255 IUCN (1975). *IUCN RED LIST CATEGORIES AND CRITERIA*. Tech. rep., 59P.

256 Mammalogists, American Society of (2020). *Mammal Diversity Database*. URL: [www.mammaldiversity.](http://www.mammaldiversity.org)
257 [org](http://www.mammaldiversity.org). (visited on 2020).

258 Marinesque, Sophie, David M. Kaplan, and Lynda D. Rodwell (2012). “Global implemen-
259 tation of marine protected areas: Is the developing world being left behind?” In: *Marine*
260 *Policy* 36.3, pp. 727–737. ISSN: 0308597X. DOI: 10.1016/j.marpol.2011.10.010. URL:
261 <http://dx.doi.org/10.1016/j.marpol.2011.10.010>.

262 Mary, By and Ellen Shoup (Oct. 2018). “Cargill : Americans are eating more fish than
263 they did five years ago , prioritizing nutrition and sustainability”. In: *Food Nativ-*
264 *tor USA*. URL: [https://www.foodnavigator-usa.com/Article/2018/10/02/](https://www.foodnavigator-usa.com/Article/2018/10/02/Cargill-Americans-are-eating-more-fish-than-they-did-five-years-ago-prioritizing-nutrition-and-sustainability)
265 [Cargill-Americans-are-eating-more-fish-than-they-did-five-years-ago-](https://www.foodnavigator-usa.com/Article/2018/10/02/Cargill-Americans-are-eating-more-fish-than-they-did-five-years-ago-prioritizing-nutrition-and-sustainability)
266 [prioritizing-nutrition-and-sustainability](https://www.foodnavigator-usa.com/Article/2018/10/02/Cargill-Americans-are-eating-more-fish-than-they-did-five-years-ago-prioritizing-nutrition-and-sustainability).

267 Muraki Gottlieb, Hiroko et al. (2018). “Area Based Management Tools , Including Marine
 268 Protected Areas in Areas Beyond National Jurisdictionn, 9 – 11 October 2018 at IUCN
 269 Headquarters, Gland, Switzerland. Gland, Switzerland: IUCN.” In: October, pp. 1–16.
 270 URL: [https://www.iucn.org/sites/dev/files/content/documents/iucn%7B%5C_
 271 %7Ddoct2018%7B%5C_%7Dabmt%7B%5C_%7Din%7B%5C_%7Dabnj%7B%5C_%7Dworkshop%
 272 7B%5C_%7Dreport%7B%5C_%7Dcorr.pdf](https://www.iucn.org/sites/dev/files/content/documents/iucn%7B%5C_%7Ddoct2018%7B%5C_%7Dabmt%7B%5C_%7Din%7B%5C_%7Dabnj%7B%5C_%7Dworkshop%7B%5C_%7Dreport%7B%5C_%7Dcorr.pdf).
 273 Pincheira-Donoso, Daniel et al. (2013). “Global Taxonomic Diversity of Living Reptiles”.
 274 In: *PLoS ONE* 8.3, pp. 1–10. ISSN: 19326203. DOI: 10.1371/journal.pone.0059741.
 275 Rimm, Eric B (2006). “Fish Intake, Contaminants, and Human Health”. In: 296.15, pp. 1885–
 276 1900.
 277 Taylor, Raymond L. (2002). “Taxonomic Bias in Conservation Research”. In: *Science*
 278 297.10, pp. 191–192. ISSN: 19457197. DOI: 10.1210/jcem-10-10-1361. URL: [https:
 279 //www.jstor.org/stable/3077032](https://www.jstor.org/stable/3077032).
 280 Tremblay-Boyer, Laura et al. (2011). “Modelling the effects of fishing on the biomass of the
 281 world’s oceans from 1950 to 2006”. In: *Marine Ecology Progress Series* 442, pp. 169–
 282 185. ISSN: 01718630. DOI: 10.3354/meps09375.
 283 Watson, James E.M. et al. (2017). “Changing trends and persisting biases in three decades
 284 of conservation science”. In: *Global Ecology and Conservation* 10, pp. 32–42. ISSN:
 285 23519894. DOI: 10.1016/j.gecco.2017.01.008. URL: [http://dx.doi.org/10.
 286 1016/j.gecco.2017.01.008](http://dx.doi.org/10.1016/j.gecco.2017.01.008).

287 **6 Appendix: Supplementary materials**