# OTP and AES: A Historical Transition Between two Systems of Cryptography

Valdemar Thanner Supervised by Mr. Bernhard Keller Linguistic supervision by Ms. Margrit Oetiker

Kantonsschule Zug

06.03.2017

## Overview

A Brief Overview of Cryptography

**OTP** 

AES: The Advanced Encryption standard High Level Structure Rounds

A Historical Transition Conflicts Throughout History Cryptography in Our Society

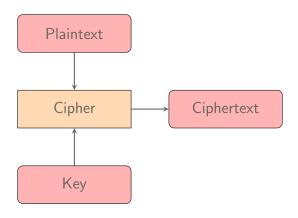
### A Brief Overview of Cryptography

#### OTP

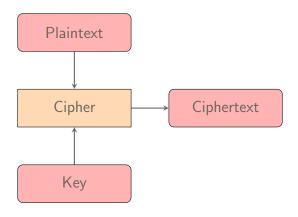
AES: The Advanced Encryption standard High Level Structure Rounds

## A Historical Transition Conflicts Throughout History Cryptography in Our Society

# What is Cryptography?



## What is Cryptography?



- "The art of writing or solving codes"
- The study of creating or breaking ciphers

#### A Brief Overview of Cryptography

#### **OTP**

AES: The Advanced Encryption standard High Level Structure Rounds

# A Historical Transition Conflicts Throughout History Cryptography in Our Society

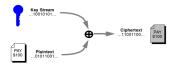
## OTP: The One Time Pad

- Great historical impact
- Basis for or important part of many of today's modern algorithms
- The key must be disposed of securely after being used once
- Symmetrical cipher: Keeping of a shared secret

# OTP: The Cipher

- Stream Cipher
- Key length 

  Message length
- Based on modular addition
- Perfect (forward) secrecy



## OTP: The Cipher

- Stream Cipher
- Key length 

  Message length
- Based on modular addition
- Perfect (forward) secrecy

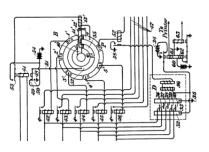


$$b+d=1+3=4=e$$
  
 $j+t=9+19=28$   
 $(9+19) \mod 26=2=c$ 

# OTP: The Cipher

# OTP: A Precursor to Modern Computer-aided Cryptography

• Gilbert Vernam: Secret signaling system of 1919



# OTP: A Precursor to Modern Computer-aided Cryptography

- Gilbert Vernam: Secret signaling system of 1919
- Looping perforated tape: known-plaintext vulnerability
- Bits: Binary digits



## A Brief Overview of Cryptography

#### OTP

## AES: The Advanced Encryption standard

High Level Structure Rounds

#### A Historical Transition

Conflicts Throughout History Cryptography in Our Society

## A Brief Overview of Cryptography

#### OTP

AES: The Advanced Encryption standard High Level Structure Rounds

A Historical Transition Conflicts Throughout History Cryptography in Our Society

# **AES**: Terminology

 Bit: Boolean value first conclusively described by Claude Shannon

 $0 \lor 1$ 

## **AES: Terminology**

 Bit: Boolean value first conclusively described by Claude Shannon

 Byte: 8 Bits; can represent any number from 0-255  $0 \lor 1$ 

$$(2^7 + 2^6 + 2^5 + 2^4 + 2^3 + 2^2 + 2^1 + 2^0)_b$$
  
 $(00000011)_b = 1 \cdot 2^1 + 1 \cdot 2^0 = 3$ 

$$(16+1)_h 1-9$$
; A; B; C; D; E; F  
 $(B4)_h = 16 \cdot 11 + 4 \cdot 1 = 180$ 

## AES: Design Goals

- Confusion: Each bit of the ciphertext should depend on multiple bits of the key
- Diffusion: The "avalanche effect", small changes to the plaintext should strongly impact the ciphertext
- Two different implementations: Computationally or memory efficient

# AES: The Advanced Encryption Standard

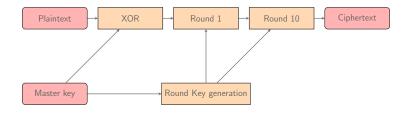
- Block Cipher
- The current N.I.S.T standard for SECRET and TOP-SECRET designated files
- Original name: Rijndael; was selected as the successor to DES.

# AES: The Advanced Encryption Standard

- Block Cipher
- The current N.I.S.T standard for SECRET and TOP-SECRET designated files
- Original name: Rijndael; was selected as the successor to DES.

$$\left(\begin{array}{ccccc}
a_0 & a_4 & a_8 & a_{12} \\
a_1 & a_5 & a_9 & a_{13} \\
a_2 & a_6 & a_{10} & a_{14} \\
a_3 & a_7 & a_{11} & a_{15}
\end{array}\right)$$

# AES: High-Level Structure



## A Brief Overview of Cryptography

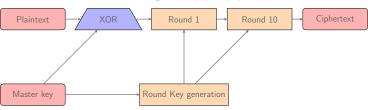
#### OTP

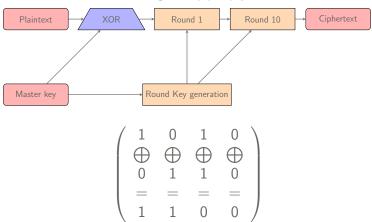
## AES: The Advanced Encryption standard

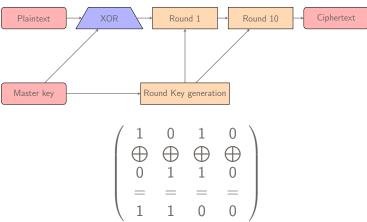
High Level Structure Rounds

### A Historical Transition

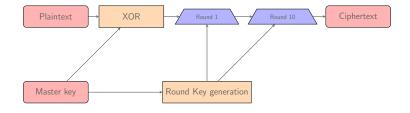
Conflicts Throughout History Cryptography in Our Society

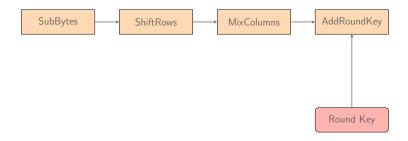




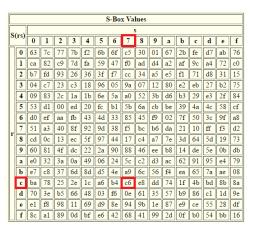


- bitwise logical operation; can be performed directly by the CPU
- addition mod 2
- can randomize biased input





## AES: SubBytes



# AES: SubBytes

- Bytewise operation
- Sole source of Confusion; the only non-linear operation in AES (affine transformation)
- Key-independence is accepted in return for non-linearity;
   this eliminates one of DES' major weaknesses
- Utilization of the multiplicative inverse: if  $a \cdot b = 1$  then  $b = a^{-1}$
- Maximizes non-linearity, but negatively impacts diffusion:  $0^{-1}=0$  and  $1^{-1}=1$

## AES: ShiftRows

$$\begin{pmatrix} a_{0,0} & a_{0,1} & a_{0,2} & a_{0,3} \\ a_{1,0} & a_{1,1} & a_{1,2} & a_{1,3} \\ a_{2,0} & a_{2,1} & a_{2,2} & a_{2,3} \\ a_{3,0} & a_{3,1} & a_{3,2} & a_{3,3} \end{pmatrix} \xrightarrow{\text{ShiftRows}} \begin{pmatrix} a_{0,0} & a_{0,1} & a_{0,2} & a_{0,3} \\ a_{1,1} & a_{1,2} & a_{1,3} & a_{1,0} \\ a_{2,2} & a_{2,3} & a_{2,0} & a_{2,1} \\ a_{3,3} & a_{3,0} & a_{3,1} & a_{3,2} \end{pmatrix}$$

## **AES: ShiftRows**

$$\begin{pmatrix} a_{0,0} & a_{0,1} & a_{0,2} & a_{0,3} \\ a_{1,0} & a_{1,1} & a_{1,2} & a_{1,3} \\ a_{2,0} & a_{2,1} & a_{2,2} & a_{2,3} \\ a_{3,0} & a_{3,1} & a_{3,2} & a_{3,3} \end{pmatrix} \xrightarrow{\text{ShiftRows}} \begin{pmatrix} a_{0,0} & a_{0,1} & a_{0,2} & a_{0,3} \\ a_{1,1} & a_{1,2} & a_{1,3} & a_{1,0} \\ a_{2,2} & a_{2,3} & a_{2,0} & a_{2,1} \\ a_{3,3} & a_{3,0} & a_{3,1} & a_{3,2} \end{pmatrix}$$

- One of the two primary sources of diffusion
- One small change to the plaintext should result in a large change to the ciphertext
- Bytes are placed into the state in column order, but shifted across rows

## AES: MixColumns

$$\begin{pmatrix} 02 & 03 & 01 & 01 \\ 01 & 02 & 03 & 01 \\ 01 & 01 & 02 & 03 \\ 03 & 01 & 01 & 02 \end{pmatrix} \cdot \begin{pmatrix} a_0 \\ a_1 \\ a_2 \\ a_3 \end{pmatrix} = \begin{pmatrix} s_0 \\ s_1 \\ s_2 \\ s_3 \end{pmatrix}$$

## AES: MixColumns

$$\begin{pmatrix} 02 & 03 & 01 & 01 \\ 01 & 02 & 03 & 01 \\ 01 & 01 & 02 & 03 \\ 03 & 01 & 01 & 02 \end{pmatrix} \cdot \begin{pmatrix} a_0 \\ a_1 \\ a_2 \\ a_3 \end{pmatrix} = \begin{pmatrix} s_0 \\ s_1 \\ s_2 \\ s_3 \end{pmatrix}$$

$$s_0 = 02a_0 + 03a_1 + 01a_2 + 01a_3$$

$$s_1 = 01a_0 + 02a_1 + 03a_2 + 01a_3$$

$$s_2 = 01a_0 + 01a_1 + 02a_2 + 03a_3$$

$$s_3 = 03a_0 + 01a_1 + 01a_2 + 02a_3$$

## AES: MixColumns

$$\begin{pmatrix} 02 & 03 & 01 & 01 \\ 01 & 02 & 03 & 01 \\ 01 & 01 & 02 & 03 \\ 03 & 01 & 01 & 02 \end{pmatrix} \cdot \begin{pmatrix} a_0 \\ a_1 \\ a_2 \\ a_3 \end{pmatrix} = \begin{pmatrix} s_0 \\ s_1 \\ s_2 \\ s_3 \end{pmatrix}$$

$$\begin{split} s_0 &= 02a_0 + 03a_1 + 01a_2 + 01a_3 \\ s_1 &= 01a_0 + 02a_1 + 03a_2 + 01a_3 \\ s_2 &= 01a_0 + 01a_1 + 02a_2 + 03a_3 \\ s_3 &= 03a_0 + 01a_1 + 01a_2 + 02a_3 \end{split}$$

- Each new byte is dependent on an entire column of four old bytes
- Second source of diffusion

# AES: AddRoundKey

- Identical to the initialing XOR
- XORs the round key with the state

$$\begin{pmatrix} a_{0,0} & a_{0,1} & a_{0,2} & a_{0,3} \\ a_{1,0} & a_{1,1} & a_{1,2} & a_{1,3} \\ a_{2,0} & a_{2,1} & a_{2,2} & a_{2,3} \\ a_{3,0} & a_{3,1} & a_{3,2} & a_{3,3} \end{pmatrix} \bigoplus \begin{pmatrix} k_{0,0} & k_{0,1} & k_{0,2} & k_{0,3} \\ k_{1,0} & k_{1,1} & k_{1,2} & k_{1,3} \\ k_{2,0} & k_{2,1} & k_{2,2} & k_{2,3} \\ k_{3,0} & k_{3,1} & k_{3,2} & k_{3,3} \end{pmatrix}$$

## A Brief Overview of Cryptography

#### **OTP**

AES: The Advanced Encryption standard High Level Structure Rounds

#### A Historical Transition

Conflicts Throughout History Cryptography in Our Society

## A Brief Overview of Cryptography

#### OTP

AES: The Advanced Encryption standard High Level Structure Rounds

A Historical Transition Conflicts Throughout History Cryptography in Our Society

• WWII: British Special Operations Executive

- WWII: British Special Operations Executive
- Value of information: Rising exponentially alongside globalization

- WWII: British Special Operations Executive
- Value of information: Rising exponentially alongside globalization
- Covert operations and proxy wars

- WWII: British Special Operations Executive
- Value of information: Rising exponentially alongside globalization
- Covert operations and proxy wars





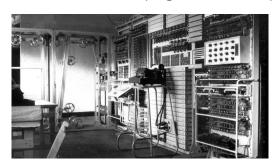
• Cryptologists vs. Cryptanalysts

- Cryptologists vs. Cryptanalysts
- Speed and Efficiency: RAM (Rapid Analytic Machines)

- Cryptologists vs. Cryptanalysts
- Speed and Efficiency: RAM (Rapid Analytic Machines)
- Each different problem required a specialized RAM

- Cryptologists vs. Cryptanalysts
- Speed and Efficiency: RAM (Rapid Analytic Machines)
- Each different problem required a specialized RAM
- Alan Turing's Thesis: Build a computer not restricted to one specific problem

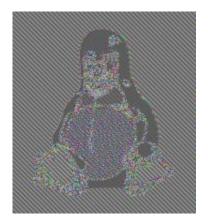
- Cryptologists vs. Cryptanalysts
- Speed and Efficiency: RAM (Rapid Analytic Machines)
- Each different problem required a specialized RAM
- Alan Turing's Thesis: Build a computer not restricted to one specific problem
- Colossus: The world's first programmable computer



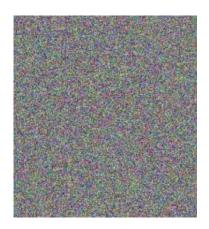
• Mode of operation: ECB



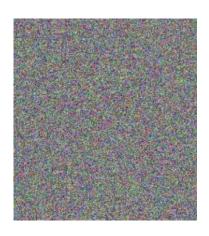
• Mode of operation: ECB

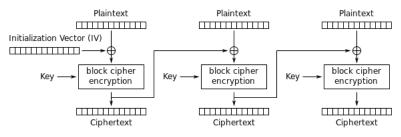


- Mode of operation: ECB
- Goal for security purposes:
   Pseudo-random result



- Mode of operation: ECB
- Goal for security purposes:
   Pseudo-random result
- Other modes of operation: CBC (Cipher Block Chaining)
- A variety of systems are necessary; key exchange





Cipher Block Chaining (CBC) mode encryption

### A Brief Overview of Cryptography

#### **OTP**

AES: The Advanced Encryption standard High Level Structure Rounds

#### A Historical Transition

Conflicts Throughout History Cryptography in Our Society

Questions

### New Possibilities and new Risks

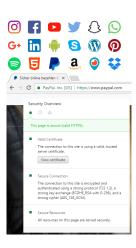
### New Possibilities and new Risks

 Technology and social media are becoming ever more linked with our daily lives



### New Possibilities and new Risks

- Technology and social media are becoming ever more linked with our daily lives
- Multiple protocols and algorithms are integral to the security of your data
- Insecure or compromised data can be easily accessed



### Mass surveillance

### Mass surveillance





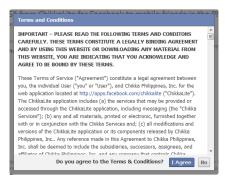
### Mass surveillance





- 3 billion data elements were collected over 30 days in the US alone
- Data collection took place worldwide, including phone call metadata
- Data collection and storage is still active

### Privacy: An Outdated Concept?



## Privacy: An Outdated Concept?



- Historically academic subject
- Thrust into the public eye through recent revelations
- Highly relevant to the daily lives of regular people for the first time

### A Brief Overview of Cryptography

#### **OTP**

AES: The Advanced Encryption standard High Level Structure Rounds

### A Historical Transition Conflicts Throughout History Cryptography in Our Society

#### Questions

# Questions

?