# **ETH** zürich



## Outline

## 1. Motivation

## 2. The moduli space of tori

One-loop open strings Rectangular tori General tori Fundamental domain

## 3. Torus partition function

Compactified and Minkowsi closed strings T-duality

Partition function via state trace

4. Modular invariance of the amplitude

ETH zürich Department of Physics 16.5.2022 1/29

## Outline

#### 1. Motivation

The moduli space of tori
 One-loop open strings
 Rectangular tori
 General tori
 Fundamental domain

3. Torus partition function
Compactified and Minkowsi closed strings
T-duality
Partition function via state trace

4. Modular invariance of the amplitude

ETH zürich Department of Physics 16.5.2022 2/29

## Interactions and observables

In the study of string interactions, the ultimate goal will be the assignment of a probability for a certain process and the prediction of a physical cross section.

As outlined in Section 22, the computation of an observable cross section involves a series of steps:

- 1. Canonical representation of string diagram through moduli space
- 2. Compute scattering amplitude by means of conformal field theory
- 3. Convert scattering amplitude into a cross section

ETH zürich Department of Physics 16.5.2022 3/29

# Loop amplitudes in string theory

In order to obtain accurate scattering amplitudes of processes, one needs to include contributions from loops in string diagrams.

These loops can be seen as contributions from the next higher order pertubation. Graphically we consider the following processes:



**ETH** zürich Department of Physics 16.5.2022 4/29

# Ultraviolet divergence

Amplitudes from virtual processes as depicted before can lead to ultraviolet (UV) divergences in quantum field theory (QFT).

Whereas QFT must employ complex renormalizations to deal with these UV divergences, we do not encounter these problems in string theory.

ETH zürich Department of Physics 16.5.2022 5/29

## Outline

#### 1. Motivation

# 2. The moduli space of tori One-loop open strings Rectangular tori General tori Fundamental domain

- 3. Torus partition function
  Compactified and Minkowsi closed strings
  T-duality
  Partition function via state trace
- 4. Modular invariance of the amplitude

ETH zürich Department of Physics 16.5.2022 6/29

# One-loop open strings

Before approaching the moduli space of tori, lets consider a one-loop open string with light-cone momentum  $p^+$ . This will serve as an intuitive analogon. The light-cone diagram is:

 $2\pi\alpha'p_2^+$   $2\pi\alpha'p_1^+$   $2\pi\alpha'p_1^+$ 

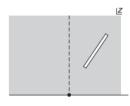
For fixed external momentum  $p^+$  we find the two parameters:  $\Delta T \in (0, \infty)$  and  $p_1^+ \in (0, p^+)$ .  $\rightarrow$  The class of Riemann surfaces of this process has two moduli.

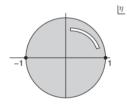
ETH zürich Department of Physics 16.5.2022 7/29

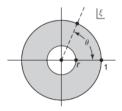
## Canonical annulus

Use  $w = \tau + i\sigma$  and apply conformal transformations:

- 1. Exponential map:  $z = exp[\frac{w}{2\alpha'p^+}]$
- 2. Linear fractional transformation:  $\eta = \frac{1+iz}{1-iz}$
- 3. Canonical annulus: A region in  $\mathbb C$  that is topologically an annulus can be mapped conformally to a canonical annulus



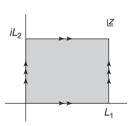


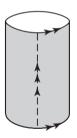


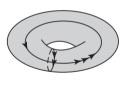
# Rectangular tori

In order to apply the concept of moduli spaces to a torus, we need to assure that a torus is indeed a Riemann surface.

Consider a rectangular region of  $\mathbb C$ . By applying the analytic identifications  $z\sim z+L_1$  and  $z\sim z+iL_2$  we obtain a torus. This shows that the region remains a Riemann surface. Graphically:







## Parametrisation

#### We have:

## Rectangular torus

$$z \sim z + L_1$$
 and  $z \sim z + iL_2$ 

By applying  $z' = \frac{z}{L_1}$  the identifications become:

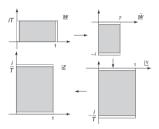
## Torus parameter T

$$z^{'}\sim z^{'}+1$$
 and  $z^{'}\sim z^{'}+iT$  with  $T=rac{L_{2}}{L_{1}}$ 

# Ultraviolet divergence

T is a parameter of the torus but does not yet define the moduli space, i.e. tori with different T can be conformally equivalent.

Consider the following series of conformal maps to a rectangular torus with T < 1:



## Rectangular torus

Tori with T and  $\frac{1}{T}$  are conformally equivalent

 $\rightarrow$  The moduli space can be chosen to be  $T \in (0,1]$  or  $T \in [1,\infty)$ 

ETH zürich Department of Physics 16.5.2022 11/29

## General tori

Rectangular tori represent only a subset off all conformally inequivalent tori. Let's construct a more general class of tori:

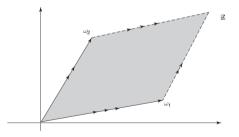
#### General construction of a torus Riemann surface

Choose  $\omega_1, \omega_2 \in \mathbb{C}$  with  $\operatorname{Im}(\frac{\omega_1}{\omega_2})$ .

A torus is obtained by the indentifications  $z \sim z + \omega_1$  and  $z \sim z + \omega_2$ .

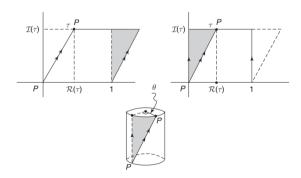
By scaling we obtain  $z \sim z+1$  and  $z \sim z+\tau$  with  $\tau = \frac{\omega_2}{\omega_1}$ ,  $\mathrm{Im}(\tau) > 0$ .

 $\rightarrow$  Note that for  $\tau = iT$  ( $\Leftrightarrow \operatorname{Re}(\tau) = 0$ ) we consider the rectangular torus.



# Twisting the torus

Intuitively, if a cylinder is twisted and the end surfaces are connected, we expect a different torus. Formally: Consider  $\operatorname{Re}(\tau) \neq 0$  and a point  $P=0=\tau$ . We can reconstruct the rectangular fundamental domain by using the identification  $z\sim z+1$ . Graphically:

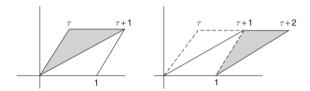


**ETH** ZÜrich Department of Physics 16.5.2022 13/29

# Twisting parameter

The point P is no longer identified with a point on the perpendicular. Indeed the degree of twisting is parametrised by  $\theta = 2\pi \operatorname{Re}(\tau)$ . How does the twisting angle  $\theta$  affect the torus parameter  $\tau$ ?

Consider the map  $\tau \to \tau + 1$ :



With the identification  $z \sim z + 1$  we can conclude  $\tau \sim \tau + 1$  and hence  $\theta \sim \theta + 2\pi$ .

#### Note:

The "twisting" does not correspond to actual torsion. It is the mere identification of the points P.

ETH zürich Department of Physics 16.5.2022 14/29

# Moduli space I

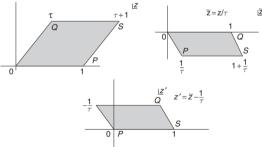
So far we have established that  $\mathrm{Im}(\tau)>0\Leftrightarrow \tau\in\mathbb{H}$ . However, the identification  $\tau\sim\tau+1$  implies that the space of inequivalent tori is smaller. Indeed:

## Strip $S_0$

$$\mathcal{S}_0 = \{-\tfrac12 < \mathrm{Re}(\tau) < \tfrac12, \mathrm{Im}(\tau) > 0\}$$

Is  $S_0$  the moduli space of tori?

For rectangular tori we found that  $T, \frac{1}{T}$  yield equivalent tori. Since  $\tau = iT$  we have  $\tau' = \frac{i}{T} = -\frac{1}{\tau}$ .



**ETH** zürich

# Moduli space II

We have found two identifications with generators:

#### T-module transform

$$T\tau = \tau + 1$$

#### S-module transform

$$S au = -rac{1}{ au}$$

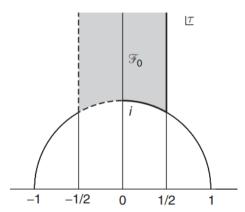
The corresponding fundamental domain should be a subset of  $S_0$ . Indeed, the S-module transform identifies points in  $|\tau| < 1$  with points in  $|\tau| > 1$ .

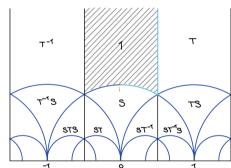
Therefore we can postulate:

#### Fundamental domain $\mathcal{F}_0$

$$\mathcal{F}_0 = \{-\frac{1}{2} < \operatorname{Re}(\tau) < \frac{1}{2}, \operatorname{Im}(\tau) > 0, |\tau| \geq 1 \text{ and } \operatorname{Re}(\tau) \geq 0 \text{ if } |\tau| = 1\}$$

ETH zürich Department of Physics 16.5.2022 16/29





**ETH** zürich

Department of Physics

# $\mathsf{Modular}\ \mathsf{group}\ PSL(2,\mathbb{Z})$

Consider a general linear fractional transformation  $g \in G$ :

$$g\tau = \frac{a\tau + b}{c\tau + d}$$
,  $\operatorname{Im}(g\tau) = \frac{\operatorname{Im}(\tau)}{|c\tau + d|^2}$  (1)

with  $a, b, c, d \in \mathbb{Z}$  and ad - bc = 1.

Equivalently we can use a matrix representation:

$$[g] = \begin{pmatrix} a & b \\ c & d \end{pmatrix}, \det[g] = 1 \tag{2}$$

 $\to g$  satisfies the group homomorphism  $\phi: G \to G$ ,  $[g_1g_2] \mapsto [g_1][g_2]$ . We call G the modular group  $PSL(2,\mathbb{Z})$ .

In matrix notation we see that  $[T] = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$  and  $[S] = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$ 

ETH zürich Department of Physics 16.5.2022 18/29

# Proving $\mathcal{F}_0$

#### Claim

For all  $\tau \in \mathbb{H}$  exists  $g \in G'$  such that  $g\tau \in \mathcal{F}_0$ 

 $\rightarrow \mathcal{F}_0$  contains exactly one copy of each inequivalent torus.

Step 1: For each  $\tau$  there is  $g \in G'$  such that  $Im(g\tau)$  is largest.

Step 2: Show that  $\tau' = T^n g \tau \in \mathcal{S}_0$  really is in  $\bar{\mathcal{F}}_0$ .

Step 3: Send  $\tau \in \bar{\mathcal{F}}_0$  to  $\tau \in \mathcal{F}_0$  via T- or S-transform.

ETH zürich Department of Physics 16.5.2022 19/29

## Outline

- 1. Motivation
- The moduli space of tori
   One-loop open strings
   Rectangular tori
   General tori
   Fundamental domain
- 3. Torus partition function
  Compactified and Minkowsi closed strings
  T-duality
  Partition function via state trace
- 4. Modular invariance of the amplitude

ETH zürich Department of Physics 16.5.2022 20/29

# Compactified bosonic string

Consider one compactified coordinate  $X\sim X+2\pi r$ . In a free field theory the action can be written as  $S=\frac{1}{2\pi}\int\partial X\partial\bar{X}$ 

For such coordinates the boundary conditions read:

#### BC

$$X_0(z+ au,zar{+} au)=X_0(z,ar{z})+2\pi rn'$$
 and  $X_0(z+1,zar{+}1)=X_0(z,ar{z})+2\pi rn$ 

The solution to the classical equations of motion reads:

$$X_0^{(n,n')}(z,\bar{z}) = \frac{2\pi r}{2i\tau_2} (n'(z-\bar{z}) + n(\tau\bar{z} - \bar{\tau}z))$$
(3)

 $\rightarrow$  one dimension is compactified

ETH zürich Department of Physics 16.5.2022 21/29

# Partition function

Obtaining the space-time form:

$$p_L = \frac{m}{2r} + nr \text{ and } p_R = \frac{m}{2r} - nr \tag{4}$$

For  $r \to \infty$  we get continuous momenta  $p_L = p_R = \frac{m}{2r}$ .

For a compactified closed string:

$$Z(r) = \int e^{-S} = \frac{1}{|\eta|^2} \sum_{m,n} q^{\frac{1}{2}p_L^2} \bar{q}^{\frac{1}{2}p_R^2}$$
 (5)

**ETH** zürich Department of Physics 16.5.2022 22/29

# Return to Minkowski space

For  $r \to \infty$  with  $q = e^{2\pi i \tau}$ :

$$Z(r) = \frac{1}{|\eta|^2} \int_{-\infty}^{\infty} dk q^{\frac{1}{2}k^2} \bar{q}^{\frac{1}{2}}$$

$$= \frac{1}{|\eta|^2} \int_{-\infty}^{\infty} dk e^{2\pi i \frac{1}{2}k^2 \tau} e^{-2\pi i \frac{1}{2}k^2 \bar{\tau}}$$

$$= \frac{1}{|\eta|^2} \int_{-\infty}^{\infty} dk e^{2\pi i \frac{1}{2}k^2 2i \operatorname{Im}\{\tau\}}$$

$$= \frac{1}{|\eta|^2} \int_{-\infty}^{\infty} dk e^{-2\pi k^2 \operatorname{Im}\{\tau\}}$$

$$\propto \frac{1}{|\eta|^2} \frac{1}{\operatorname{Im}(\tau)^{\frac{1}{2}}}$$

ETH zürich Department of Physics 16.5.2022 23/29

# T-duality

Consider again the momentum and winding for the compactified string:

$$p = \frac{m}{r} \text{ and } w = nr \tag{6}$$

We see that if we interchange  $m\to n$  and  $\frac{1}{r}\to r$ , we interchange the meaning of winding and momentum.

This change however leaves the partition function invariant and we conclude T-duality.

**ETH** zürich Department of Physics 16.5.2022 24/29

## Partition function via state trace

In quantum statistics:

$$Z = \text{Tr}(e^{-\beta H}) \propto \sum_{\Phi} \langle \Phi | H | \Phi \rangle \tag{7}$$

The trace should run over all states of the torus. This means we need to find a propagator which propagates through all configurations in  $\tau$  and  $\sigma$ . Lets postulate:

$$Z(\tau) \propto \text{Tr}\left(q^{L_0}\bar{q}^{\bar{L_0}}\right)$$
 (8)

with  $q=e^{2\pi i \tau}$  and  $L_0=\sum \alpha_{-m}\alpha_m+\frac{1}{2}p_L^2$ .

**ETH** zürich Department of Physics 16.5.2022 25/29

Is this a reasonable approach? Two hints:

l:

$$\operatorname{Tr}(q^{L_0}) \propto \operatorname{Tr}(q^{\sum_{n=1}^{\infty} \alpha_{-n} \alpha_n}) = \prod_{n=1}^{\infty} (1 + q^n + q^{2n} + \dots) = \prod_{n=1}^{\infty} \frac{1}{1 - q^n}$$
 (9)

This is the partition function of a boson from the Bose-Einstein distribution.

II:

$$\begin{split} q^{L_0} \bar{q}^{\bar{L}_0} &= e^{2\pi i (\tau_1 + i\tau_2) L_0} e^{-2\pi i (\tau_1 - i\tau_2) \bar{L}_0} \\ &= e^{2\pi i \tau_1 (L_0 - \bar{L}_0)} e^{-2\pi \tau_2 (L_0 + \bar{L}_0)} \end{split}$$

We can interpret  $L_0 - \bar{L_0}$  as the momentum P which generates translation in  $\sigma$ . Similarly  $L_0 + \bar{L_0}$  can be seen as Hamiltonian H which generates  $\tau$  translation.

The propagator has then the interpretation of a  $\tau$  and  $\sigma$  sweep.

ETH zürich Department of Physics 16.5.2022 26/29

The Dedekind eta function is defined as:

$$\eta(\tau) = q^{\frac{1}{24}} \prod_{n=1}^{\infty} (1 - q^n) \tag{10}$$

Therefore we get:

$$(q\bar{q})^{-\frac{1}{24}}\operatorname{Tr}\left(q^{L_0}\bar{q}^{\bar{L_0}}\right) = \frac{1}{|\eta|^2} \tag{11}$$

## Outline

#### 1. Motivation

The moduli space of tori
 One-loop open strings
 Rectangular tori
 General tori
 Fundamental domain

3. Torus partition function
Compactified and Minkowsi closed strings
T-duality
Partition function via state trace

## 4. Modular invariance of the amplitude

ETH zürich Department of Physics 16.5.2022 28/29

# The amplitude

The correctly normalized one-loop vacuum amplitude reads:

$$A_0^{g=1} = \frac{V_{26}}{l_s^{26}} \int_{\mathcal{F}_0} \frac{d^2 \tau}{4(\text{Im}(\tau))^2} Z(\tau, \bar{\tau})$$
 (12)

This amplitude is carries the correct physical intuition: each possible form of a one-loop interaction is parametrised by  $\tau$ . To get a probability measure for a process we therefore need to weight every  $\tau \in \mathcal{F}_0$  with the partition function  $Z(\tau, \bar{\tau})$ 

The partition function for the 24-dimensional transverse coordinate space is:

$$Z(\tau,\bar{\tau}) = (\text{Im}(\tau))^{-12} |\eta(\tau)|^{-48}$$
(13)

ETH zürich Department of Physics 16.5.2022 29/29

## Modular invariance

ETH zürich Department of Physics 16.5.2022 30/29