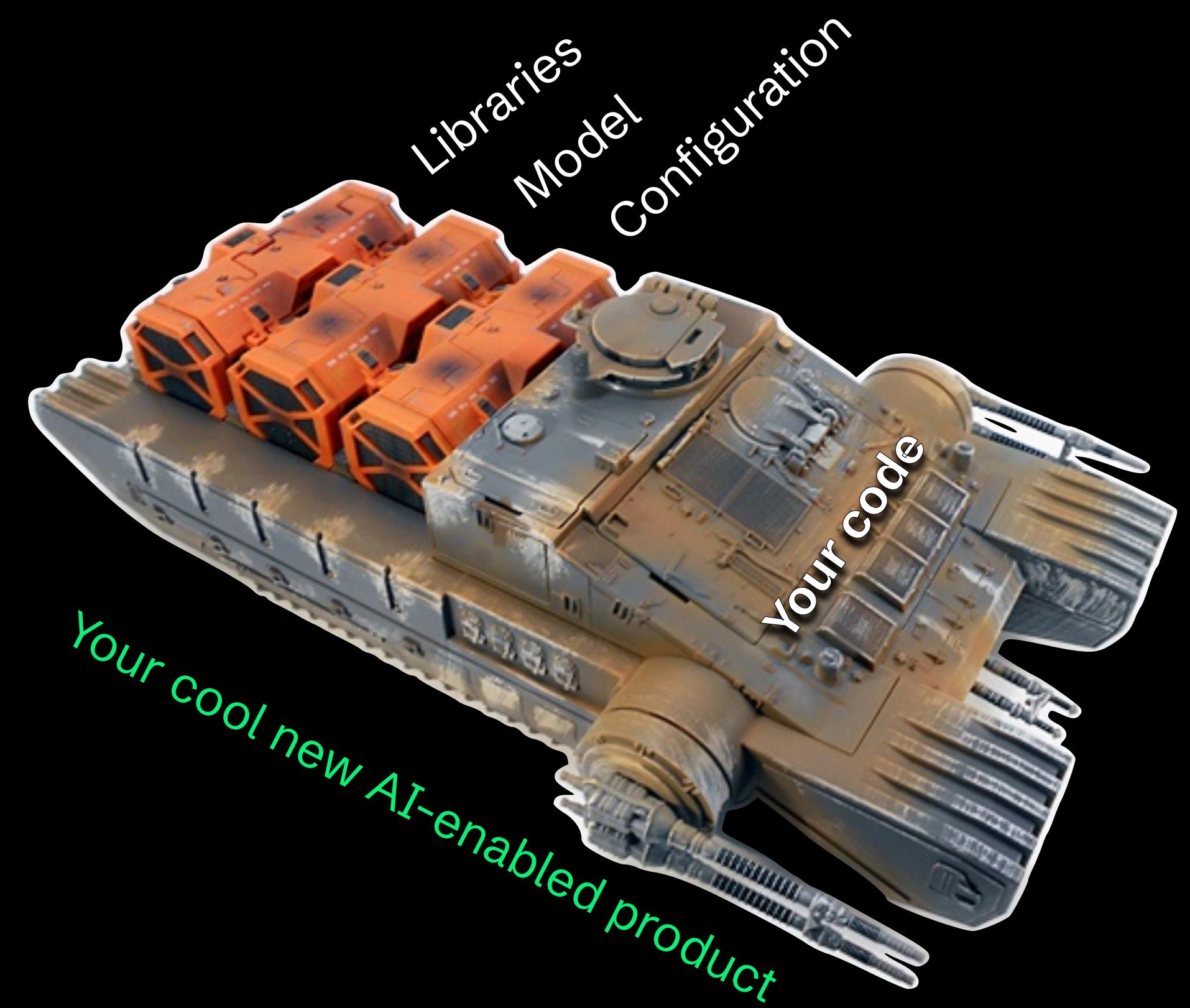


# What's In Your AI Code?

 ENDOR LABS

Darren Meyer, Staff Research Engineer — 26. June 2024

# What do we mean by “AI Code”?



# How dependencies work

Package Managers and Runtimes tend to operate completely decoupled, like ships passing in the night

1. Developer starts a new project that uses a couple of dependencies
2. Developer creates a **manifest** file to declare the two **direct** dependencies (requirements.txt, package.json, pom.xml, etc.)
3. Build system runs **package manager** and the direct dependencies bring along several other **transitive** dependencies
4. Package manager copies the files in a directory
5. **Runtime/compiler** loads dependencies as needed during execution



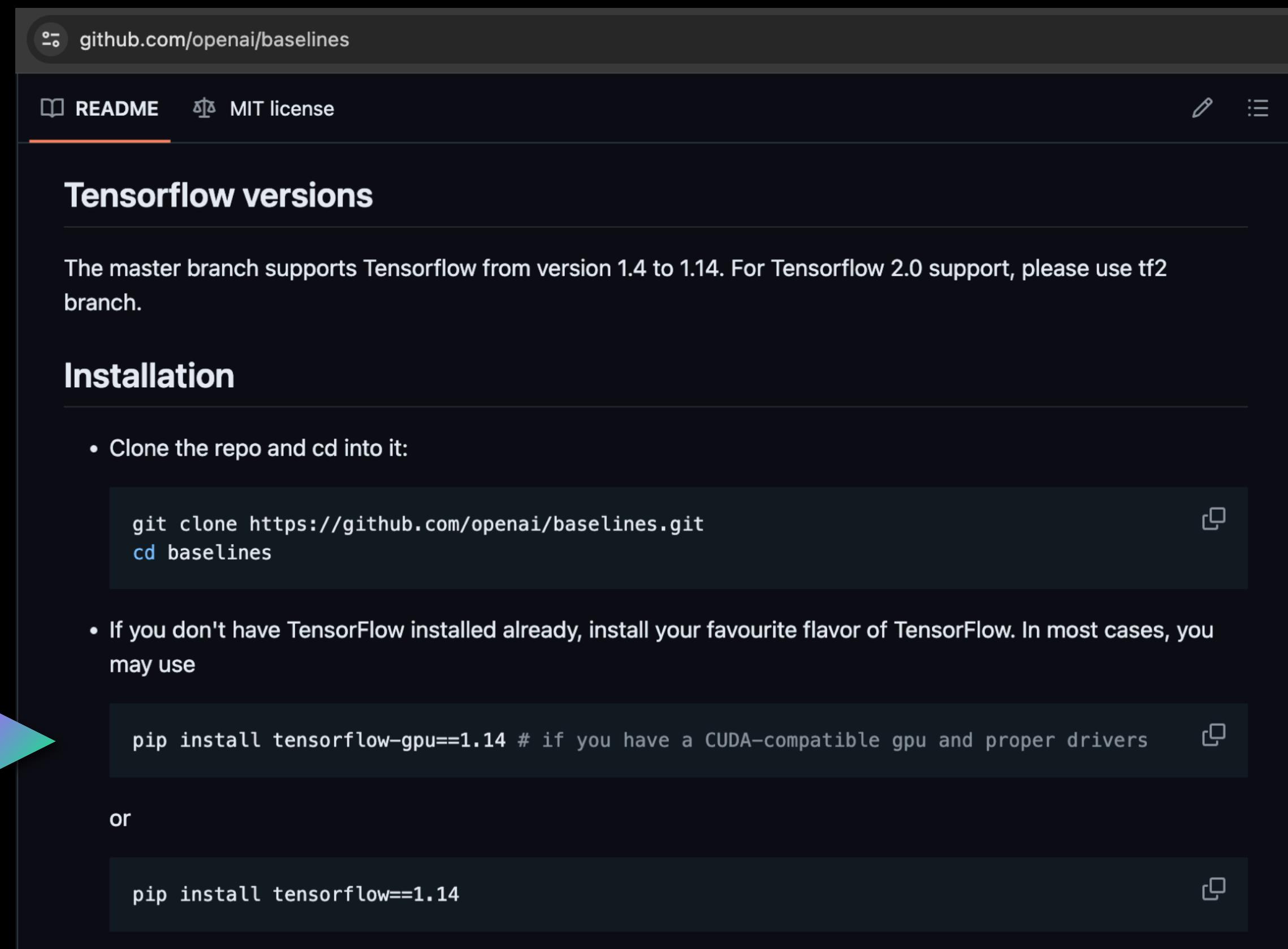


# Things get out of sync

*It is unavoidable*

- Developers import **new dependencies** without updating the manifest file (possible in python, Javascript, scripts etc)
- In some cases dependencies are there **in the environment** (like global python or node packages)
- In some cases dependencies are **for testing/dev** (example: storybook in Javascript)
- In some cases dependencies are **removed from the code** but not from the package manager manifests

# Your manifest can lie



The screenshot shows the GitHub README page for the `openai/baselines` repository. It includes sections for `Tensorflow versions` (supporting 1.4 to 1.14), `Installation`, and command-line installation instructions:

- Clone the repo and cd into it:  

```
git clone https://github.com/openai/baselines.git  
cd baselines
```
- If you don't have TensorFlow installed already, install your favourite flavor of TensorFlow. In most cases, you may use:  

```
pip install tensorflow-gpu==1.14 # if you have a CUDA-compatible gpu and proper drivers
```

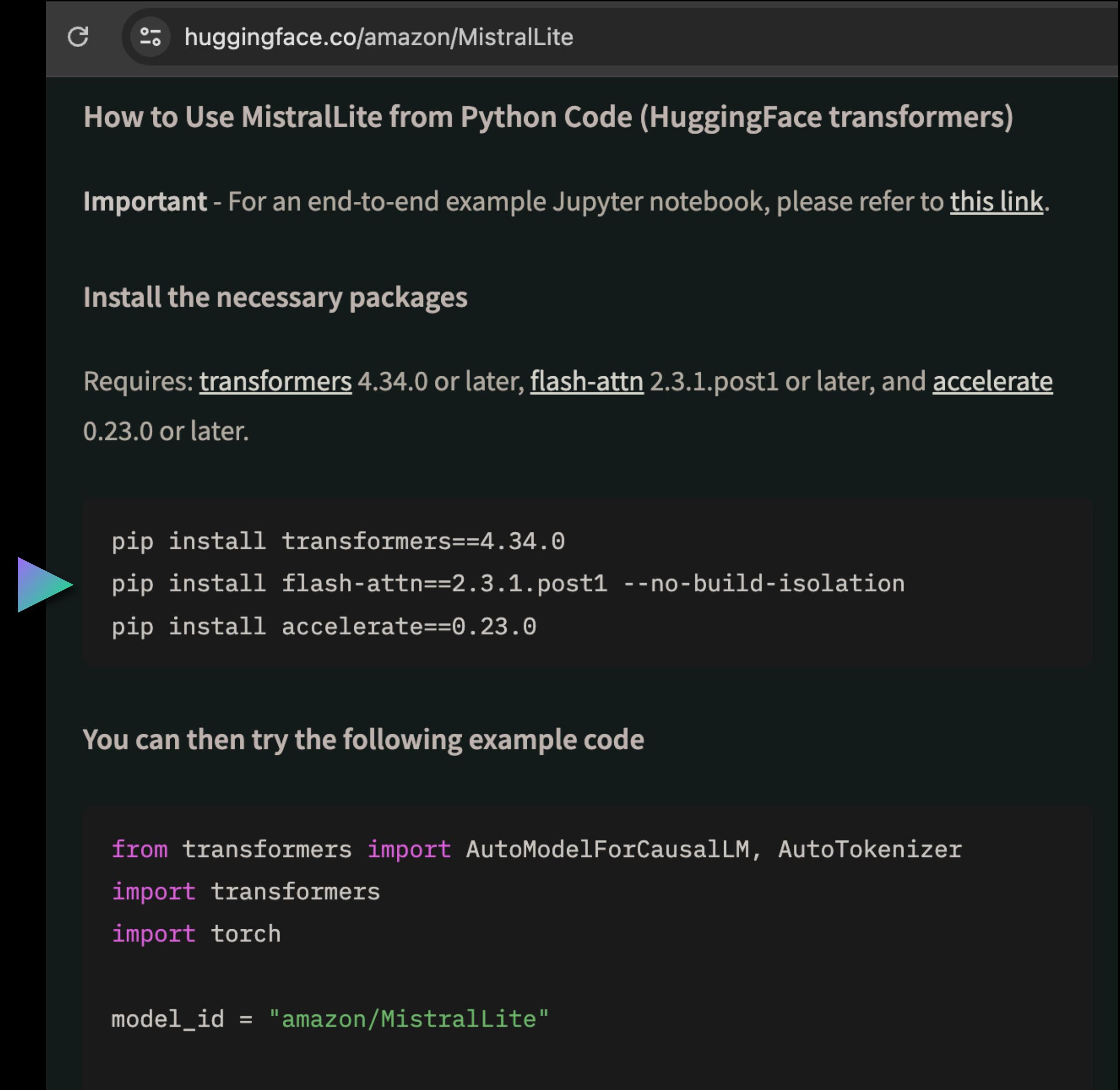
 or  

```
pip install tensorflow==1.14
```

OpenAI's Baselines library

- “Just `pip install` a dep”
- Baselines *won’t function without the right version*
- You’ll never see it in a manifest or lock file
- SCA / dep tree tools (usually) won’t see it

# Models suggest this pattern often



The screenshot shows a browser window with the URL [huggingface.co/amazon/MistralLite](https://huggingface.co/amazon/MistralLite). The page title is "How to Use MistralLite from Python Code (HuggingFace transformers)". A note says "Important - For an end-to-end example Jupyter notebook, please refer to [this link](#)". A section titled "Install the necessary packages" lists requirements: "Requires: `transformers` 4.34.0 or later, `flash-attn` 2.3.1.post1 or later, and `accelerate` 0.23.0 or later." Below this is a code block with a play icon containing three pip install commands:

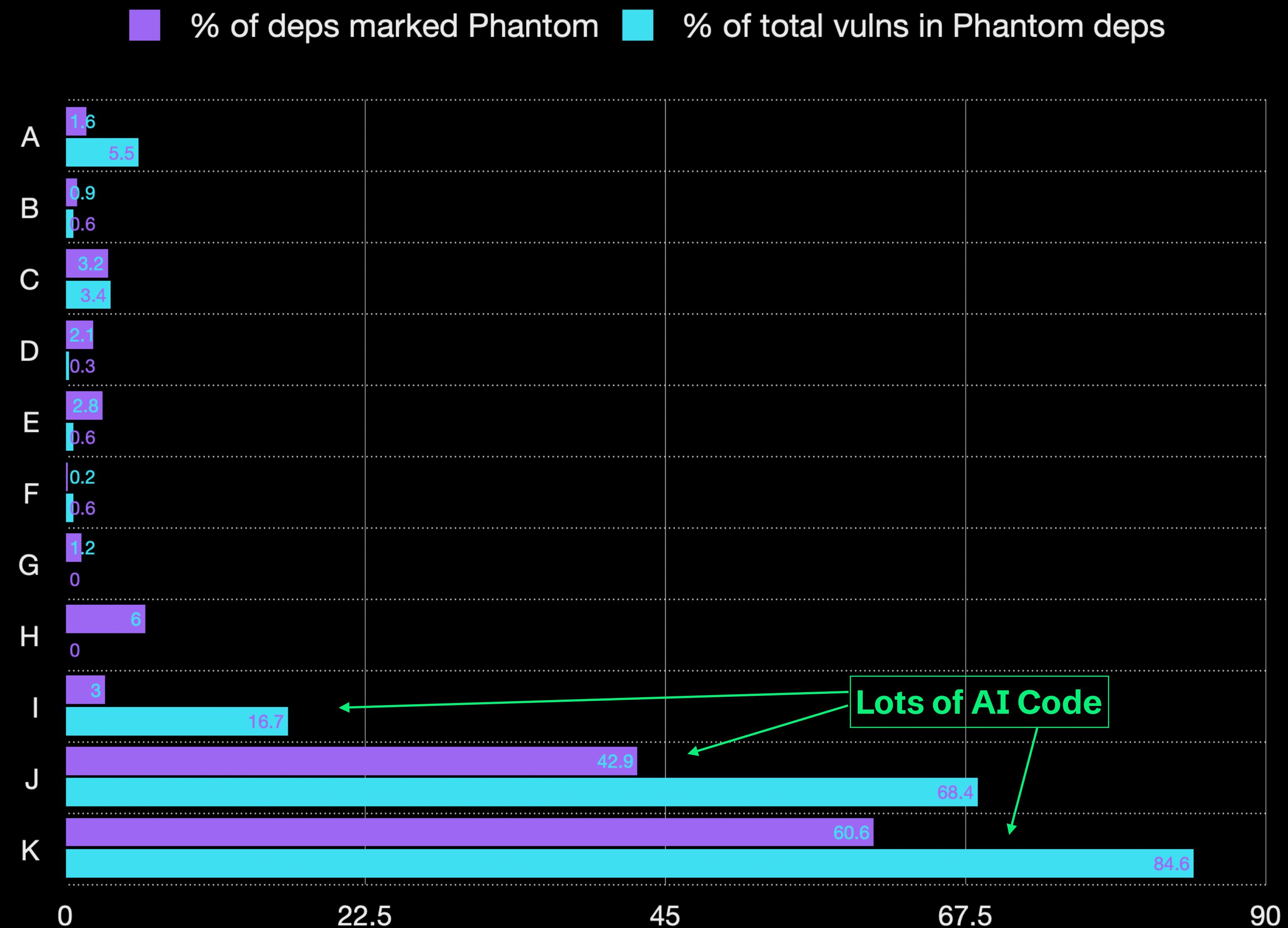
```
pip install transformers==4.34.0  
pip install flash-attn==2.3.1.post1 --no-build-isolation  
pip install accelerate==0.23.0
```

A section titled "You can then try the following example code" contains the following Python code:

```
from transformers import AutoModelForCausalLM, AutoTokenizer  
import transformers  
import torch  
  
model_id = "amazon/MistralLite"
```

# The Phantom Dependency Menace

- Dependencies that are either “provided” by the system are assumed to be downloaded manually
- Scripts, containers, and so on
- Often depend on the target platform
- Dependencies that are required for building an application that are not supposed to be used at runtime but are actually used
- Very common in NPM: see storybook for example

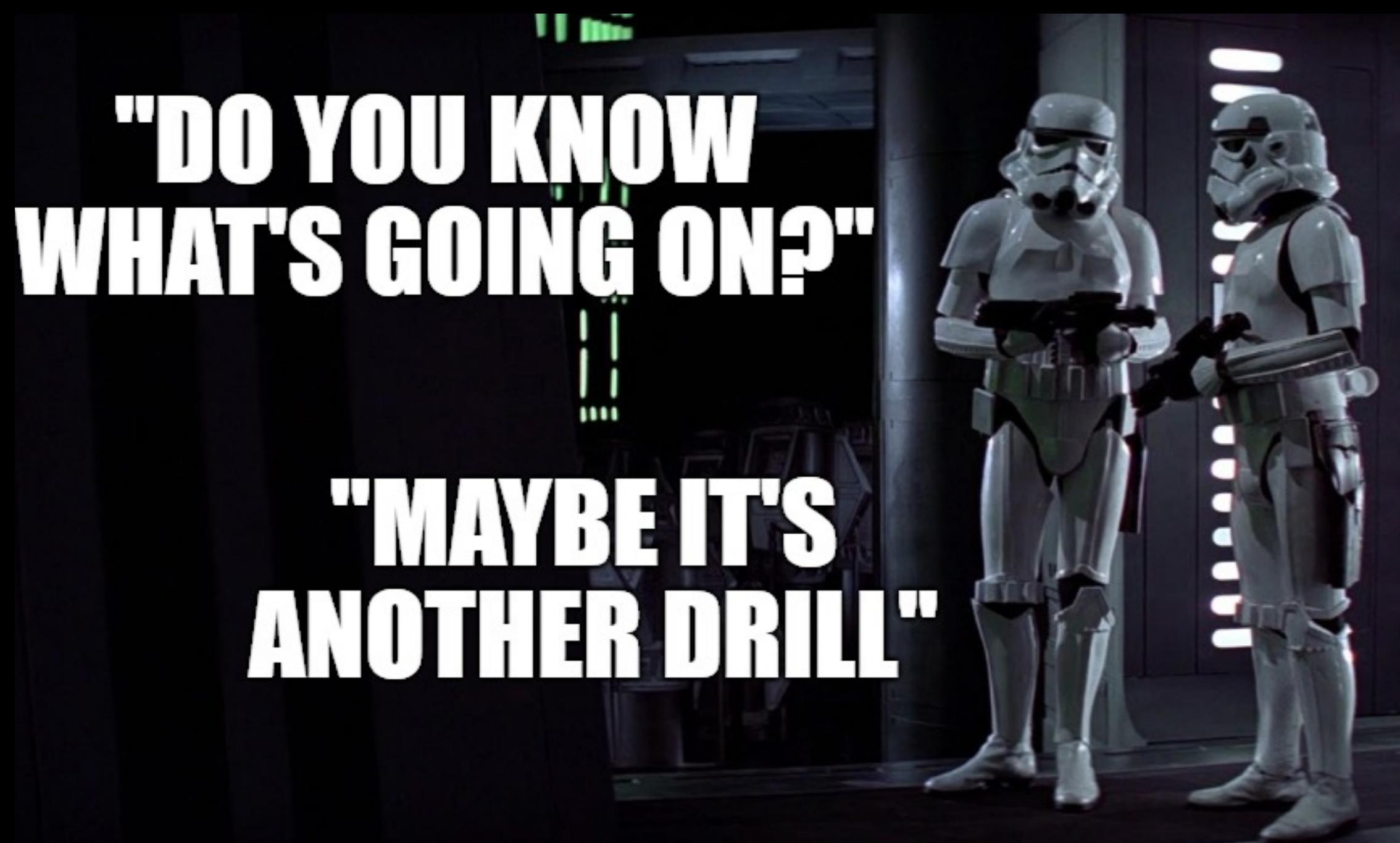




# Security Challenges

- False sense of security — tools can't see what's not in a manifest, so you miss risks that might be relevant
- Inaccurate compliance data — your SBOMs aren't reporting everything in use. Auditors are unhappy if they catch you
- Dev / prod differences — can't rely on the version I see in dev pipelines being the same thing that's in production

# Why are tools blind?



Many tools trust the manifest or lock files, and don't account for the ways those can lie

1. Phantom Dependencies (false negatives)
  - Brought by the system, runtime or other scripts
2. Mis-used dependencies (false negatives)
  - Dependencies brought as “test/dev” used in runtime
3. Direct use of transitives (unreliable fixing)
  - Dependencies brought in as transitives and used directly without knowledge
4. Unused dependencies (false positives and noise)
  - Dependencies brought in the manifest but not used by the code

# Program Analysis FTW

What matters is which packages the code **actually uses**

1. Source of truth is actually **the source code**
  - a. Analyze the code
  - b. Create an Abstract Syntax Tree
  - c. Analyze types and call flows
  - d. Create a **call graph**
2. Correlate the **dependencies used by the code** with the dependencies fetch by the package manager or available in the file system
3. Create a unified view



# Example: Python

Use the source

1. Import dependency ► Call graph ► “Is it used?”
  - a. Repeat for all it’s dependencies ([transitive](#))
2. Compare [dependency graph](#) with versions installed on system and defined in manifest
3. [Correlate](#) and unify results
  - a. Makes accurate [SBOM](#) and [VEX](#) possible



# The Shameless Pitch

All Projects

**openai/baselines** · [master](#)

- Accurately identify all dependencies in use, even if they're “phantom”
- Provide clear mapping and pathway data
  - What uses it?
  - Directly vs. Transitively?
- Find out when transitive deps are being directly used
  - Avoid the noise to devs by knowing whether a risk is actually along a call path

OVERVIEW FINDINGS 38 PACKAGES 1 DEPENDENCIES 36 TOOLS 0 PR RUN >

Packages 36 CI Workflows BETA

Ecosystem ▾ ×

Showing 1 of 36 dependencies

Dependency	Requested Version	Type	Dependent Packages	Reachable	Visibility
tensorflow @2.16.1	N/A	Direct	1	✓	⊕
Phantom					

**tensorflow** 2.16.1

[View Details](#)

OVERVIEW SCORES

FINDINGS	C 0 H 0 M 0 L 0
DEPENDENCIES	0
LICENSES	N/A
PHANTOM DEPENDENCY ⓘ	Yes
RESOLUTION TIME	Jun 22, 2024

Dependency Path

```

graph TD
    A[openai/baselines] --> B[pypi://baselines@0.1.6]
    B --> C[pypi://tensorflow@2.16.1]
  
```

< 1 of 1 paths >

Dependency Specification

DEPENDENT PACKAGE	baselines
RESOLVED VERSION	2.16.1

# Greetz and Thanks

Dimitri Stiladis, Henrik Plate  
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Hosting and facility

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None of this is possible without the commitment of members and leaders at OWASP

Many, many more...