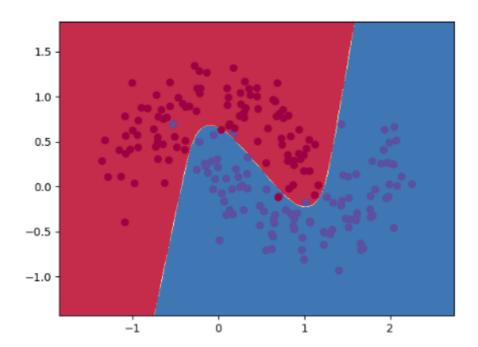
# **Q1 Backpropagation in a Simple Neural Network**

# Time to Have Fun -Training

Training with nn\_hidden\_dim=3 in three\_layer\_neural\_network.py

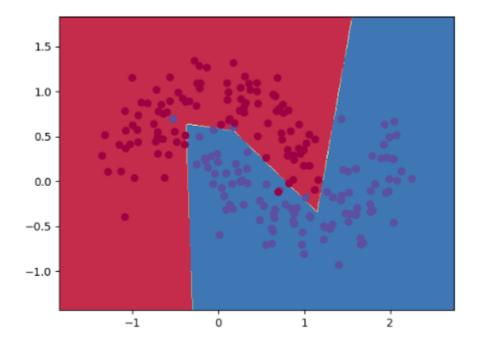
Tanh



## Sigmoid

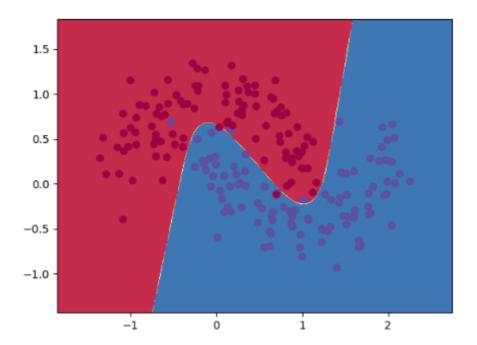


Relu

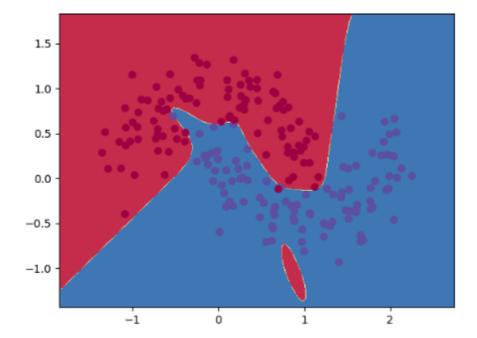


tanh and sigmoid have more smooth decision boundary than relu because the curves of the former two are smooth and relu's curve isn't smooth at 0.

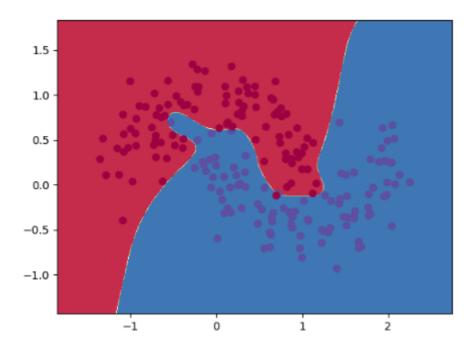
Training with nn\_hidden\_dim>3 and tanh in three\_layer\_neural\_network.py nn\_hidden\_dim == 3



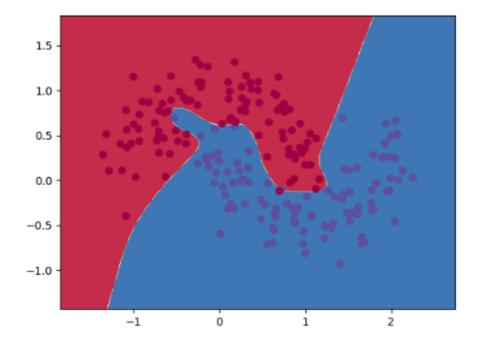
nn\_hidden\_dim == 5



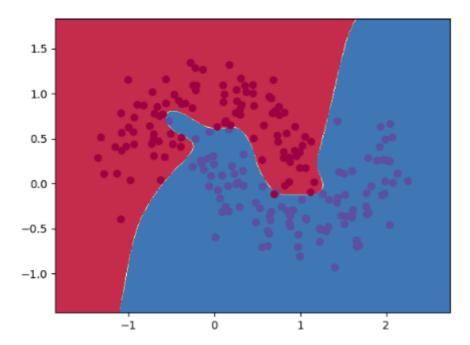
nn\_hidden\_dim == 10



nn\_hidden\_dim == 15



nn\_hidden\_dim == 20



As hidden dimension increases, the decision boundary becomes rugged and less smooth. It is because the model can learn more details and more complex cases when hidden dimension increases. However, after nn\_hidden\_dim > 5, the boundary doesn't change much, which maybe mean that 5 dimension is enough to handle this problem.

# **Even More Fun - Training a Deeper Network**

# **Code Explanation**

I encapsulate the fully connected layer and the activation function into LinearLayer and ActivationLayer separately.

#### LinearLayer

```
class LinearLayer:
   def __init__(self, input_dim, output_dim):
```

```
self.input_dim = input_dim
        self.output_dim = output_dim
        # np.random.seed(seed)
        self.W = np.random.randn(self.input_dim, self.output_dim) /
np.sqrt(self.input_dim)
        self.bias = np.zeros((1, self.output_dim))
        self.dW = self.db = None
        self.X = None
   def feedforward(self, X):
        self.X = np.copy(X)
        return X @ self.W + self.bias
   def backprop(self, grad):
        self.dW = self.X.T @ grad
        self.db = grad.mean(axis=0)
        dX = grad @ self.W.T
        return dX
```

I encapsulate weights, bias, input of the layer (which will be used when calculating gradients) and gradients of weights and bias in the LinearLayer so the whole layer can be decoupled from DeepNeuralNetwork.

backprop(self, grad) get gradient of the last layer and output gradient of current layer to next layer.

#### ActivationLayer

```
class ActivationLayer:
   def __init__(self, tp: str):
        assert tp in ["relu", "sigmoid", "tanh", "identity"]
        self.tp = tp
        self.z = None
   def feedforward(self, z):
        self.z = np.copy(z)
        if self.tp.lower() == "relu":
           z[z < 0] = 0
            return z
        elif self.tp.lower() == "sigmoid":
           return 1 / (1 + np.e ** (-z))
        elif self.tp.lower() == "tanh":
            return (np.e ** z - np.e ** (-z)) / (np.e ** z + np.e ** (-z))
        elif self.tp.lower() == "identity":
            return z
    def backprop(self, grad):
        if self.tp.lower() == "relu":
            d = np.ones_like(self.z)
            d[self.z < 0] = 0
        elif self.tp.lower() == "sigmoid":
            d = np.copy(self.z)
            d = np.e^{**} (-d) / (1 + np.e^{**} (-d)) ** 2
        elif self.tp.lower() == "tanh":
            d = np.copy(self.z)
```

```
d = 1 - ((np.e ** d - np.e ** (-d)) / (np.e ** d + np.e ** (-d))) **

else:
    d = np.ones_like(self.z)
    return grad * d
```

I also decouple the activation function from <code>DeepNeuralNetwork</code>. I also set a <code>identity</code> activation function to make the code of <code>DeepNeuralNetwork</code>'s feedforward/backprop more elegant and cleaner.

#### **DeepNeuralNetwork**

```
1. __init__
```

```
def __init__(self, nn_input_dim: int,
                 nn_output_dim,
                 nn_hidden_dim, # dimension of hidden layers
                 layer_num, # the total number of layers, including input &
output layers
                 actFun_type='tanh',
                 reg_lambda=0.01,
                 seed=0):
   assert layer_num >= 3
   # initialize the weights and biases in the network
   np.random.seed(seed)
    self.fcs = [LinearLayer(self.layer_dims[i], self.layer_dims[i + 1]) for i in
range(self.layer_num - 1)]
    self.activations = [ActivationLayer(self.actFun_type) for _ in
range(self.layer_num - 2)]
    self.activations.append(ActivationLayer("identity"))
```

The whole network can receive <a href="mailto:nn\_hidden\_dim">nn\_hidden\_dim</a> and <a href="mailto:layer\_num">layer\_num</a> to set layer size and the number of layers separately. In my implementation, the number of layers contains input and output layers rather than only hidden layers.

I let the number of layers layer\_num greater than 2 so there is at least 1 hidden layer. Then, there are layer\_num - 2 linear layers and layer\_num - 2 activation functions (the last activation function is identity).

#### 2. feedforward

```
def feedforward(self, X):
    # YOU IMPLEMENT YOUR feedforward HERE
    for i, (fc, act) in enumerate(zip(self.fcs, self.activations)):
        X = fc.feedforward(X)
        X = act.feedforward(X)
        exp_scores = np.exp(X - np.max(X, axis=1, keepdims=True))
    self.probs = exp_scores / np.sum(exp_scores, axis=1, keepdims=True)
    return self.probs
```

Feedforward X like the code above. To avoid overflow of value, I subtract np.max(X, axis=1) from each sample, which won't change the value of self.probs.

In my implementation, I set a Identity activation function after the output layer so the code of feedforward and backprop can be cleaner and simpler, which won't influence the output and the backpropagation.

#### 3. backprop

```
def backprop(self, X, y):
    # IMPLEMENT YOUR BACKPROP HERE
    num_examples = len(X)
    da = self.probs # (n,2)
    da[range(num_examples), y] -= 1 # (n,2)

for i, (fc, act) in enumerate(zip(self.fcs[::-1],
self.activations[::-1])):
    dz = act.backprop(da)
    da = fc.backprop(dz)
```

Just the chain rule of neural network. I calculate gradients from the last layer to the first layer.

Again, in my implementation, I set a Identity activation function after the output layer to make the code clean, which won't impact the backpropagation.

#### 4. calculate\_loss

```
def calculate_loss(self, X, y):
    num_examples = len(X)
    self.feedforward(X)

# Calculating the loss
data_loss = - np.sum(np.log(self.probs[range(num_examples), y]+1e-5))
print(f'Accuary: {np.sum(self.probs.argmax(axis=1) == y) / len(y)}')

# Add regulatization term to loss (optional)
for fc in self.fcs:
    data_loss += self.reg_lambda / 2 * (np.sum(np.square(fc.W)))
    return (1. / num_examples) * data_loss
```

I add a small value to the softmax result to make sure there is no np.log(0). Then, I add regularization to loss.

#### 5. fit\_model

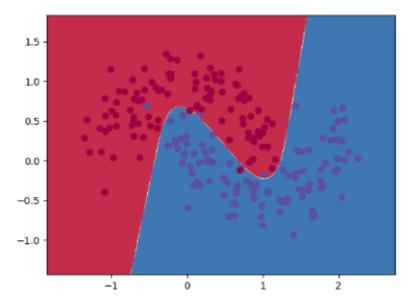
```
def fit_model(self, X, y, epsilon=0.01, num_passes=20000, print_loss=True):
    # Gradient descent.
    for i in range(0, num_passes):
        # Forward propagation
        self.feedforward(X)
        # Backpropagation
        self.backprop(X, y)

    for fi, fc in enumerate(self.fcs):
        fc.W += -epsilon * (fc.dW + self.reg_lambda * fc.W)
        fc.bias += -epsilon * fc.db
        ...
```

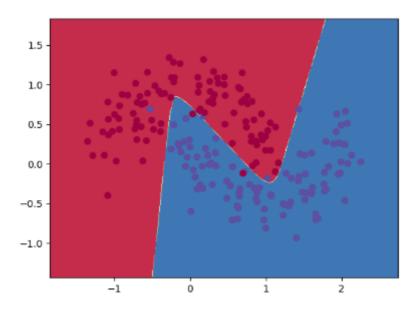
After backprop, update parameters of each layer.

## More tests on Make\_Moons dataset

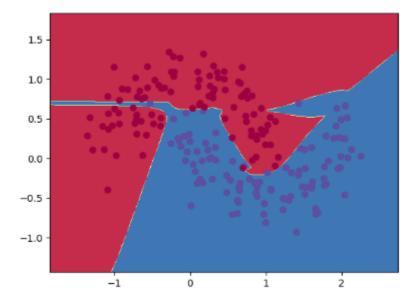
# nn\_hidden\_dim=3, layer\_num=3, tanh



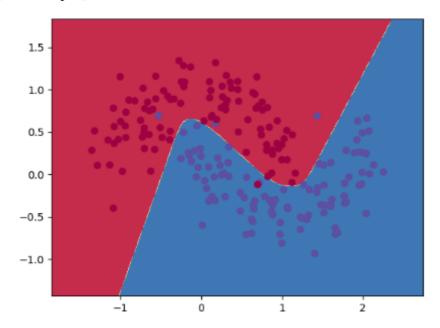
nn\_hidden\_dim=3, layer\_num=5, tanh



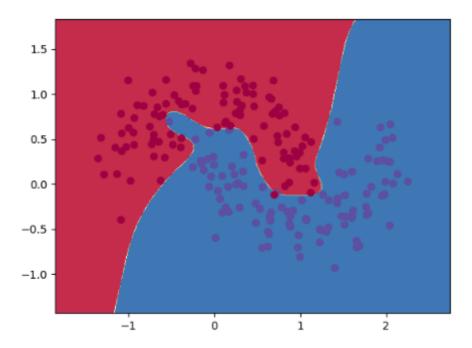
nn\_hidden\_dim=5, layer\_num=5, tanh



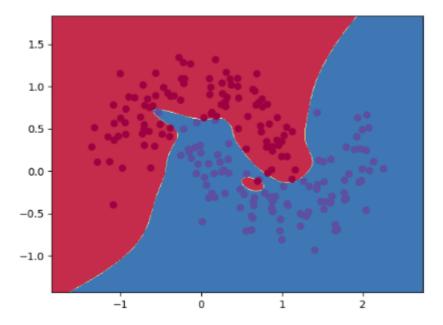
nn\_hidden\_dim=5, layer\_num=10, tanh



nn\_hidden\_dim=10, layer\_num=3, tanh

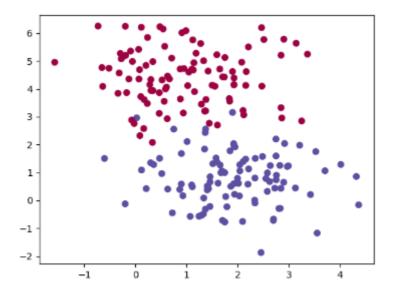


nn\_hidden\_dim=10, layer\_num=5, tanh



When the number of layers is big and hidden dimension is small, the performance isn't good. When the number of layer and hidden dimension are both large, the performance will increase. When hidden dimension is small, increasing hidden dimension can bring more improvement than increasing the number of layers.

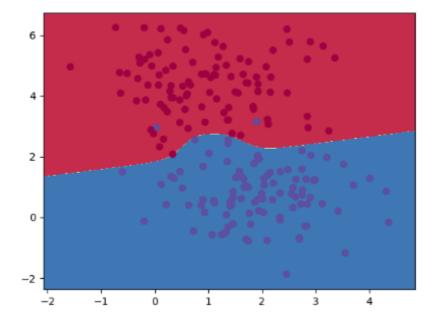
#### Tests on Make\_blobs dataset



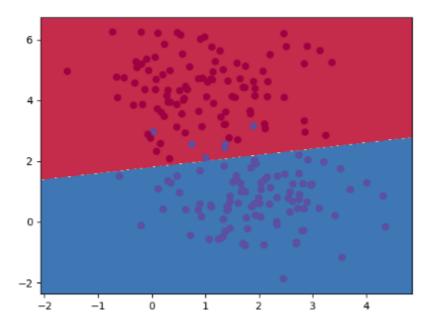
I use another dataset make\_blobs to train my model. There are 200 points and 2 clusters.

The data is simple and the two clusters can almost split by a linear decision boundary but there are still some points hard to classify.

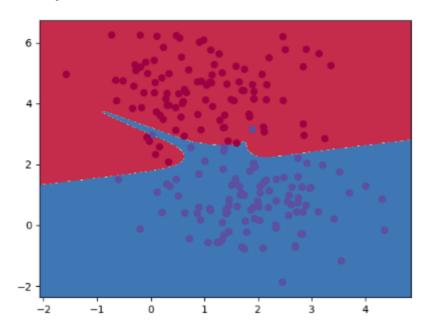
nn\_hidden\_dim=3, layer\_num=3, tanh



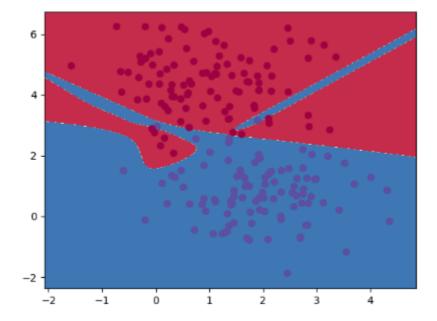
nn\_hidden\_dim=3, layer\_num=5, tanh



nn\_hidden\_dim=5, layer\_num=3, tanh



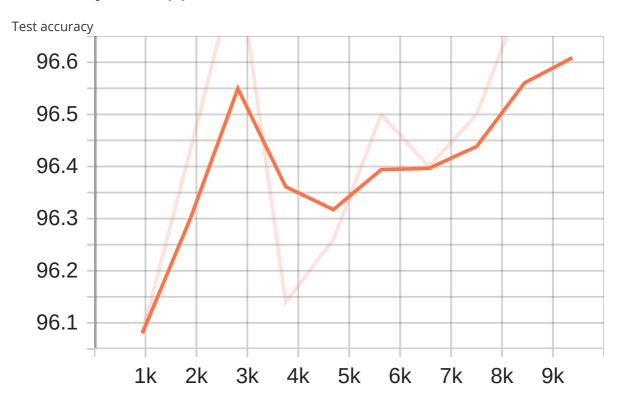
#### nn\_hidden\_dim=5, layer\_num=5, tanh



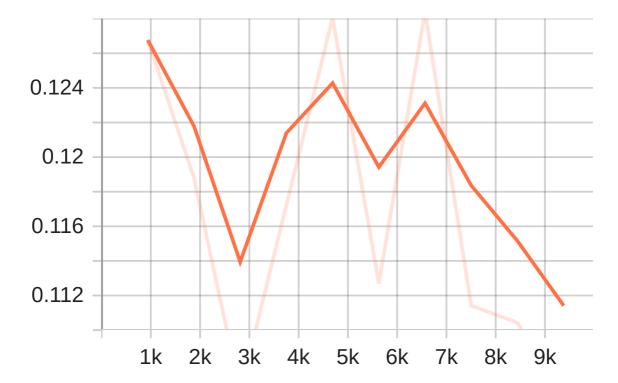
When hidden dimension and the number of layers are small, the decision boundary is like a linear boundary. However, when the two parameters increase, we can see the model can output complex boundaries. When configuration is nn\_hidden\_dim=5, layer\_num=5, tanh, the model can classify all points correctly.

# **Q2 Training a Simple Deep Convolutional Network** on MNIST

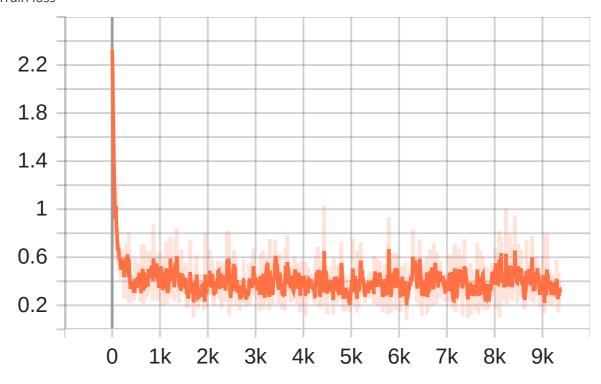
# Result of Question (a)



Test loss



#### Train loss



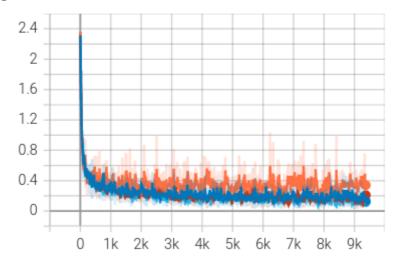
## Result of Question (b) & (c)

I add more visualizations to monitor more statistics like min, max, standard deviation of weights and biases of each layer. And I also monitor test error after each 1100 iterations.

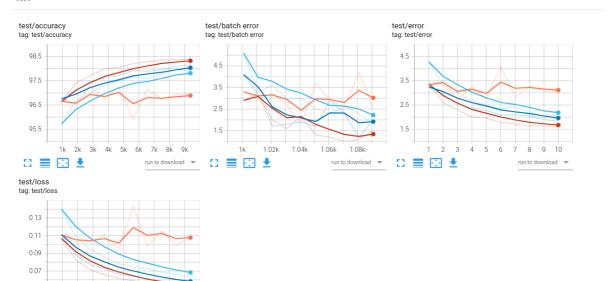
In addition, I test Adagrad optimization and tanh and Leakyrelu activations. In the following figures, lines with different colors stand for different training algorithms. The orange one uses relu and adam; the red one uses relu and adagrad; the navy blue one uses Leakyrelu and adagrad; the light blue one uses tanh and adagrad.

# training and test result

# train/loss tag: train/loss



test



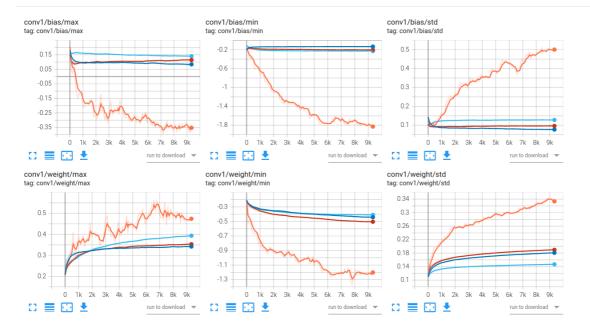
layers' statistics

II 🗏 🖸 🕹

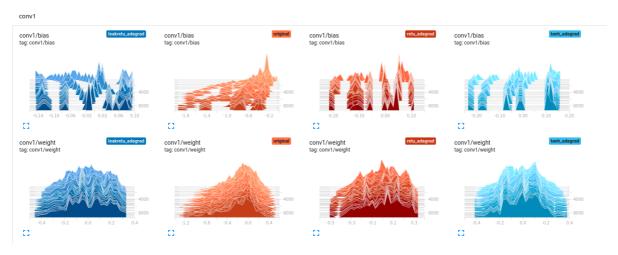
1k 2k 3k 4k 5k 6k

7k 8k 9k

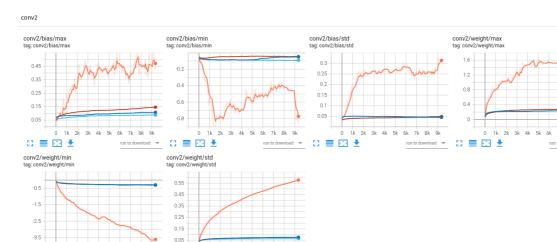
Conv1



#### histograms



#### Conv2



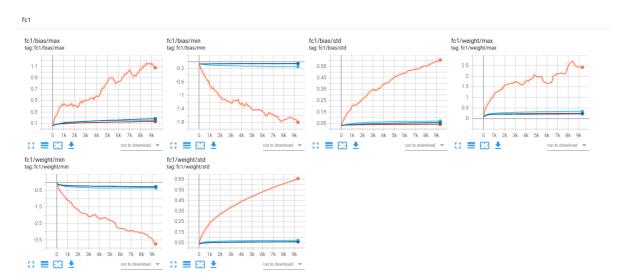
II 🔳 🖸 🕹

#### histograms

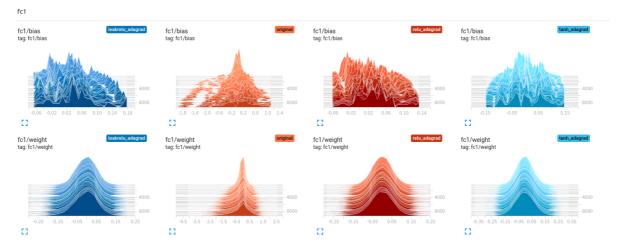
 $\square \equiv \boxdot \, \, \pm$ 



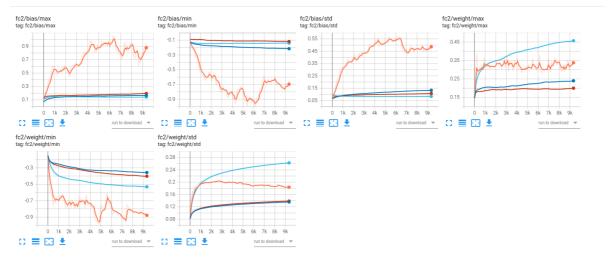
#### fc1



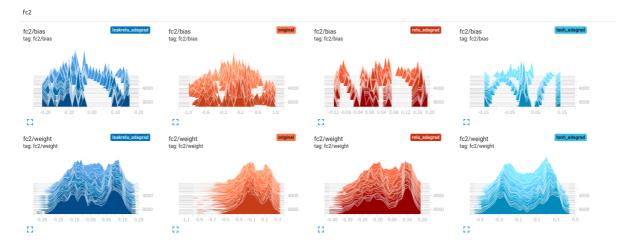
#### histograms



fc2



#### histograms



According to the figures, I find that adagrad is better than adam in this case. Model with adagrad optimization will learn a more uniform weight and the histogram will be more smooth. In addition, relu has the best performance.