```
TD-DFT/TDA EXCITED STATES (SINGLETS)
the weight of the individual excitations are printed if larger than 1.0e-02
STATE 1: E= 0.142238 au 3.870 eV 31217.5 cm**-1 \langle S^* * 2 \rangle = 0.000000
⊶Mu1t. 1
   7a \rightarrow 8a : 0.999852 (c= 0.99992610)
STATE 2: E= 0.279148 au 7.596 eV 61265.8 cm**-1 <S**2> = 0.000000
   7a -> 9a : 0.991058 (c= 0.99551880)
STATE 3: E= 0.326547 au
                                8.886 eV 71668.9 \text{ cm}^{**}-1 < S^{**}2 > = 0.000000_{-}
⊶Mu1t. 1
   5a -> 8a : 0.992394 (c= -0.99618994)
STATE 4: E= 0.339416 au
                                9.236 \text{ eV} 74493.3 \text{ cm}^{**}-1 < \text{S}^{**}2 > = 0.000000_{-}
⊶Mult 1
           8a : 0.214928 (c= 0.46360308)
10a : 0.760130 (c= -0.87185424)
    6a ->
    7a -> 10a :
STATE 5: E= 0.357323 au
                                9.723 \text{ eV} 78423.4 \text{ cm**-1} < \text{S**2} = 0.000000_{-1}
⊶Mult 1
                     0.998607 (c= 0.99930350)
   4a -> 8a :
STATE 6: E= 0.396031 au
                              10.777 eV 86918.7 \text{ cm}^{**}-1 < S^{**}2 > = 0.000000_{-}
⊶Mult 1
   7a -> 11a :
                     0.995757 (c = -0.99787607)
STATE 7: E= 0.412518 au 11.225 eV 90537.2 cm**-1 <S**2> = 0.000000_
                     0.015703 (c= 0.12531336)
    3a -> 8a :
    6a -> 9a :
                     0.982525 (c= 0.99122380)
STATE 8: E= 0.420413 au 11.440 eV 92270.0 cm**-1 \langle S^* \times 2 \rangle = 0.000000
M111 + 1
    4a -> 10a : 0.023644 (c= -0.15376603)
    5a -> 9a :
                     0.184687 (c= -0.42975192)
                     0.029413 (c= -0.17150093)
    5a -> 11a :
    6a -> 8a : 0.535798 (c= -0.73198239)

7a -> 10a : 0.161330 (c= -0.40165919)

7a -> 14a : 0.031134 (c= 0.17644805)
STATE 9: E= 0.454354 au 12.364 eV 99719.1 cm**-1 <S**2> = 0.000000_
⊶Mult 1
    5a -> 9a :
                     0.801630 (c= 0.89533782)
                    0.012558 (c= -0.11206253)
    5a -> 11a :
    6a -> 8a :
                     0.103311 (c = -0.32142015)
    7a -> 10a :
                     0.051303 (c= -0.22650208)
                     0.016544 (c= 0.12862428)
    7a -> 14a :
STATE 10: E= 0.474384 au 12.909 eV 104115.2 cm**-1 \langle S^* \times 2 \rangle = 0.000000
→Mult. 1
   6a \rightarrow 10a : 0.998860 (c = -0.99942977)
```

and the triplets:

```
TD-DFT/TDA EXCITED STATES (TRIPLETS)
```

```
the weight of the individual excitations are printed if larger than 1.0e-02
                               3.110 eV 25084.1 \text{ cm}^{**}-1 < S^{**}2 > = 2.000000
STATE 11: E= 0.114291 au
→Mult 3
   7a -> 8a : 0.999453 (c= 0.99972624)
STATE 12: E= 0.213324 au
                               5.805 \text{ eV} 46819.1 \text{ cm**-1} < \text{S**2} = 2.000000_{-}
⊶Mult. 3
    6a -> 8a : 0.996522 (c= 0.99825941)
STATE 13: E= 0.255583 au
                               6.955 \text{ eV} 56094.1 \text{ cm**-1} < \text{S**2} = 2.000000_{-}
→Mult 3
   7a -> 9a : 0.992767 (c= 0.99637714)
STATE 14: E= 0.276345 au
                               7.520 eV 60650.8 \text{ cm}^{**}-1 < S^{**}2 > = 2.000000
→Mult. 3
   5a -> 8a : 0.998251 (c= -0.99912505)
STATE 15: E= 0.316749 au 8.619 eV 69518.3 cm**-1 \langle S^* \times 2 \rangle = 2.000000
   7a \rightarrow 10a : 0.991502 (c = -0.99574190)
STATE 16: E= 0.327793 au 8.920 eV 71942.2 cm**-1 \langle S^* \times 2 \rangle = 2.000000
→Mult 3
   4a -> 8a : 0.994029 (c= 0.99701018)
STATE 17: E= 0.377551 au 10.274 eV 82862.9 cm**-1 \langle S^* \times 2 \rangle = 2.000000
    7a \rightarrow 11a : 0.998586 (c= -0.99929259)
STATE 18: E= 0.400159 au 10.889 eV 87824.7 cm**-1 <S**2> = 2.000000_
→Mult. 3
    3a -> 8a : 0.062364 (c= 0.24972706)
    6a -> 9a : 0.934672 (c= -0.96678411)
STATE 19: E= 0.433339 au 11.792 eV 95107.0 cm**-1 \langle S^* \times 2 \rangle = 2.000000
→Mult. 3
    5a -> 9a : 0.988277 (c= 0.99412115)
STATE 20: E= 0.445213 au 12.115 eV 97713.0 cm**-1 <S**2> = 2.000000_
   3a -> 8a : 0.934403 (c= 0.96664514)
6a -> 9a : 0.063400 (c= 0.25179341)
```

By default, it also prints the dipole absorption and circular dichroism spectra:

```
ABSORPTION SPECTRUM VIA TRANSITION ELECTRIC DIPOLE MOMENTS
   Transition
              Energy
                       Energy Wavelength fosc(D2)
                                                  D2
                                                          DX
→ DY DZ
                (eV)
                        (cm-1)
                                (nm)
                                                 (au**2)
                                                          (au)
(au)
0-1A -> 1-3A 3.110029 25084.1 398.7 0.000000000 0.00000 0.00000 L
→0.00000 0.00000
0-1A -> 1-1A 3.870479 31217.5 320.3 0.000000000 0.00000 -0.00000 _
```

					(Continue	ed from previous	page)
→ 0.00000	0.00000						
0-1A ->	2-3A 5.804830	46819.1	213.6	0.000000000	0.00000	0.00000	_
→ 0.00000	0.00000						
0-1A ->	3-3A 6.954778	56094.1	178.3	0.000000000	0.00000	0.00000	_
→ 0.00000	0.00000						
0-1A ->	4-3A 7.519743	60650.8	164.9	0.000000000	0.00000	0.00000	_
→ 0.00000	0.00000						
0-1A ->	2-1A 7.595990	61265.8	163.2	0.139374365	0.74893	-0.00000	_
→0.86541	-0.00000	60540	4.40				
0-1A ->	5-3A 8.619170	69518.3	143.8	0.000000000	0.00000	0.00000	_
→0.00000 0-1A ->	0.00000	71.660.0	120 5	0 000010017	0 00004	0 00000	
0-1A -> →0.00000	3-1A 8.885804 -0.09611	71668.9	139.5	0.002010847	0.00924	0.00000	_
0-1A ->		71942.2	139.0	0.00000000	0.00000	0.00000	
→0.00000	0.00000	11942.2	139.0	0.00000000	0.00000	0.00000	_
0-1A ->		74493.3	134.2	0.021695302	0.09588	-0.30964	_
→0.00000	-0.00000	74493.3	134.2	0.021099902	0.09300	0.30304	
0-1A ->		78423.4	127.5	0.000000000	0.00000	-0.00000	
→0.00000	-0.00000						_
0-1A ->	7-3A 10.273692	82862.9	120.7	0.000000000	0.00000	0.00000	_
→ 0.00000	0.00000						
0-1A ->	6-1A 10.776542	86918.7	115.1	0.009793664	0.03709	0.00000	-
→ 0.19260	-0.00000						
0-1A ->	8-3A 10.888868	87824.7	113.9	0.000000000	0.00000	0.00000	_
→ 0.00000	0.00000						
0-1A ->	7-1A 11.225180	90537.2	110.5	0.002033718	0.00740	0.00000	-
→ 0.00000	0.08599						
0-1A ->	8-1A 11.440020	92270.0	108.4	0.371220034	1.32448	-1.15086	_
→0.00000	0.00000	05405	405.4		0.0000	0.0000	
0-1A ->	9-3A 11.791761	95107.0	105.1	0.00000000	0.00000	0.00000	_
→0.00000	0.00000	07712 0	100 0	0 00000000	0 00000	0 00000	
0-1A -> →0.00000	10-3A 12.114872 0.00000	97713.0	102.3	0.00000000	0.00000	0.00000	_
0-1A ->		99719.1	100.3	0.259360077	0.85625	-0.92534	
0-1A -> →0.00000	9-1A 12.363588 0.00000	33/13·1	100.3	0.239360077	0.03025	-0.92334	_
0-1A ->		104115.2	96.0	0.000000000	0.00000	-0.00000	_
→0.00000	-0.00000	104117.2	90.0	0.00000000	0.00000	0.00000	
	0.0000						

→	CD CDECTI	OIIM VIIA TD	ANGITION	ELECTRIC D	DOLE MOME	NTC	
→ Transition →MZ	Energy	Energy	Waveleng	gth R	MX	MY	u
	(eV)	(cm-1)	(nm)	(1e40*cgs)	(au)	(au)	_
							
0-1A -> 1-3A →00000	3.110029	25084.1	398.7	-0.00000	0.00000	0.00000	0.
0-1A -> 1-1A →00000	3.870479	31217.5	320.3	0.00000	0.58235	0.00000	0.
0-1A -> 2-3A →00000	5.804830	46819.1	213.6	-0.00000	0.00000	0.00000	0.
0-1A → 3-3A →00000	6.954778	56094.1	178.3	-0.00000	0.00000	0.00000	0.
0-1A → 4-3A →00000	7.519743	60650.8	164.9	-0.00000	0.00000	0.00000	0.
0-1A -> 2-1A →32961	7.595990	61265.8	163.2	-0.00000	-0.00000	0.00000	0.

/ .*	1 C	•	\ \
(continue	d from	previous	nage)

						(minaea mom pre-	F8-7
0-1A -> →00000	5-3A	8.619170	69518.3	143.8	-0.00000	0.00000	0.00000	0.
0-1A ->	3-1A	8.885804	71668.9	139.5	-0.00000	-0.00000	-0.73058	0.
00000 0-1A ->	6-3A	8.919695	71942.2	139.0	-0.00000	0.00000	0.00000	0.
→00000 0-1A ->	4-1A	9.235990	74493.3	134.2	-0.00000	-0.00000	-0.00000	-0.
→00000 0-1A ->	5-1A	9.723256	78423.4	127.5	0.00000	0.31342	-0.00000	0.
→00000 0-1A ->	7-31	10.273692	82862.9	120.7	-0.00000	0.00000	0.00000	0.
⇔ 00000								
0-1A -> →58743		10.776542	86918.7			0.00000	0.00000	0.
0-1A -> →00000	8-3A	10.888868	87824.7	113.9	-0.00000	0.00000	0.00000	0.
0-1A -> →00000	7-1A	11.225180	90537.2	110.5	-0.00000	0.00000	-0.06966	0.
0-1A -> →00000	8-1A	11.440020	92270.0	108.4	-0.00000	-0.00000	-0.00000	0.
0-1A ->	9-3A	11.791761	95107.0	105.1	-0.00000	0.00000	0.00000	0.
0-1A ->	10-3A	12.114872	97713.0	102.3	-0.00000	0.00000	0.00000	0.
0-1A ->	9-1A	12.363588	99719.1	100.3	-0.00000	-0.00000	-0.00000	-0.
→00000 0-1A ->	10-1A	12.908634	104115.2	96.0	0.00000	0.00260	0.00000	0.
→00000								

Which can be processed with *orca_mapspc* for plotting.

The triplets parameter is only valid for closed-shell references. If chosen as true the program will also determine the triplet excitation energies in addition to the singlets.

The collinear spin-flip version of CIS/TDA (always starting from an open-shell reference!) can be invoked in a similar manner, using:

```
%tddft
Nroots 5
sf true
end
```

Please check the section Collinear Spin-Flip TDA (SF-TD-DFT) for more details on how to use it, and how to understand its results.

If one wants to compute transient spectra, or transition dipoles starting from a given excited state, the option DOTRANS must be set to TRUE and an IROOT should be given for the initial state (the default is 1). If DOTRANS ALL is requested instead, the transition dipoles between all states are computed. The transient transition dipoles will then be printed after the normal spectra.

This option is currently only available for CIS/TDA and is done using the expectation value formalism, as the other transition dipole moments in ORCA.

```
%tddft
IROOT 2
DOTRANS TRUE
#or
DOTRANS ALL
end
```

Currently, the TD-DFT/CIS module is able to calculate excitation energies, absorption spectra and circular dichroism spectra. Within the TD-DFT method, magnetic circular dichroism (see *Simulation of (Magnetic) Circular Dichroism and Absorption Spectra*) and transient spectra can also be calculated.

Analytical gradients are available for TD-DFT in both restricted and unrestricted formalisms and also for the collinear spin-flip variant, which allows for geometry optimizations of excited states as described in *Excited State Geometry Optimization*.

5.4.2 Excited States with Restricted Open-shell CIS - ROCIS

In addition to the CIS/TD-DFT description of excited states, ORCA features the ROCIS method[589], [590], which performs configuration interaction with single excitations calculations using a restricted open-shell Hartree-Fock (ROHF) reference.

Starting from ORCA 6.0, the general-spin ROCIS (GS-ROCIS) [591] implementation is available. This new implementation can handle CSFs with arbitrary spin couplings obtained via the CSF-ROHF method as references.

The main scope of ROCIS is to calculate L-edge and M-edge X-ray absorption spectra (XAS) as well as X-ray magnetic circular dichroism (XMCD) and resonant inelastic X-ray scattering (RIXS). The computational costs are usually larger than TD-DFT, but significantly smaller than coupled-cluster based methods. Together with the pair natural orbital approach (PNO-ROCIS), spectra of medium to large molecular sizes are feasible to be calculated.

For a detailed documentation check Excited States via ROCIS and ROCIS/DFT.

General Use

The method is invoked by providing the number of roots sought in the %rocis block of the input file:

By default, the original ROCIS implementation is invoked, which is capable of dealing only with high-spin ROHF references, giving the following output:

```
Eigenvectors of ROCIS calculation:
the threshold for printing is: 1e-02

i->a single excitation from orbital i to a
i->t->a single excitation from orbital i to a with a spin flip independent of the single excitation from orbital i to a with a spin flip independent of the single excitation from orbital i to t and orbital w to a

STATE 0 Exc. Energy: 0.000mEh 0.000eV 0.0cm**-1
```

```
: 0.9880 (0.993993)
STATE 1 Exc. Energy: 291.825mEh 7.941eV 64048.2cm**-1
               : 0.9602 (0.979900)
      2->3
     2 Exc. Energy: 307.258mEh 8.361eV
                                             67435.4cm**-1
STATE
      1->4 : 0.0244 (-0.156226)
      4->5 : 0.9086 (-0.953183)
4->11 : 0.0379 (-0.194741)
1->3 ; 4->5 : 0.0126 (-0.112387)
STATE 3 Exc. Energy: 311.967mEh 8.489eV 68468.7cm**-1
      2->4 : 0.9558 (-0.977660)
4->6 : 0.0181 (0.134462)
                        : 0.0181 (0.134462)
STATE 4 Exc. Energy: 349.147mEh 9.501eV 76629.0cm**-1
      3->5 : 0.8588 (-0.926723)
3->11 : 0.0299 (-0.173056)
                       : 0.0299 (-0.173056)
      3->11
                    : 0.0561 (0.236925)
      1->3 ->5
STATE 5 Exc. Energy: 374.241mEh 10.184eV 82136.4cm**-1
      2->4 : 0.0187 (0.136885)
      4->6
                       : 0.9224 (0.960395)
                        : 0.0360 (0.189800)
      4->12
STATE 6 Exc. Energy: 413.285mEh 11.246eV 90705.6cm**-1
             : 0.8368 (0.914777)
      3->6
      3->12
                       : 0.0307 (0.175082)
      1->6 : 0.0148 (-0.121572)
1->3 ->6 : 0.0376 (-0.193912)
2->3 ->5 : 0.0456 (0.213492)
                                   (-0.121572)
STATE 7 Exc. Energy: 474.514mEh 12.912eV 104143.8cm**-1
      1->3 : 0.8308 (-0.911467)
2->3 ->6 : 0.0826 (0.287351)
2->3 ->12 : 0.0148 (0.121501)
STATE 8 Exc. Energy: 501.672mEh 13.651eV 110104.2cm**-1
      1->4
                       : 0.8364 (-0.914550)
      4->5
                        : 0.0249 (0.157804)
      4->7
                         : 0.0561 (0.236863)
      2->4; 3->6: 0.0324 (-0.180124)
STATE 9 Exc. Energy: 511.571mEh 13.921eV 112276.9cm**-1
      3->6
                       : 0.0580 (0.240898)
                         : 0.0166 (-0.128707)
      1->6
      2->5
                        : 0.1178 (0.343223)
      2->3 ->5
                       : 0.3041 (-0.551423)
      2->4 ->5 : 0.2625 (-0.512374)
```

The general-spin version GS-ROCIS can be requested via:

```
!def2-SVP TightSCF

%scf
HFTyp ROHF
ROHF_CASE HIGHSPIN
ROHF_NEL[1] 2
end
%rocis
```

```
DoGenROCIS true
ReferenceMult 3
NRoots 10
end

* xyz 0 3
C 0 0 0.1058
H 0 0.9910 -0.3174
H 0 -0.9910 -0.3174
end
```

The output gives the resulting spin coupling in addition to orbital information:

```
Eigenvectors of ROCIS calculation:
the threshold for printing is: 1e-02
               single excitation from orbital i to a
 i->t; w->a double excitation from orbital i to t and orbital w to a
STATE 0 Exc. Energy: 0.000mEh 0.000eV
                                                 0.0cm**-1
                       : 0.9880 (-0.993993) : spin coupling: 2+1+1 0
STATE 1 Exc. Energy: 291.825mEh 7.941eV
                                             64048.2cm**-1
      2->3
               : 0.9602 (0.979900) : spin coupling: +1 2+1 0
STATE 2 Exc. Energy: 307.258mEh 8.361eV 67435.4cm**-1
      1->4
                       : 0.0244 (-0.156226) : spin coupling: +1+1 2 0
      4->5
                          : 0.9086 (-0.953183) : spin coupling: 2+1 0+1
                         : 0.0379 (-0.194741) : spin coupling: 2+1 0+1
      4->11
      1->3 ; 4->5 : 0.0126 (0.112387) : spin coupling: +1 2 0+1
     3 Exc. Energy: 311.967mEh 8.489eV 68468.7cm**-1
STATE
                        : 0.9558 (0.977660) : spin coupling: +1+1 2 0
      2 -> 4
      4->6
                          : 0.0181
                                     (-0.134462) : spin coupling: 2+1 0+1
STATE 4 Exc. Energy: 349.147mEh 9.501eV 76629.0cm**-1
                          : 0.8588 (-0.926723) : spin coupling: 2 0+1+1
      3->5
                                     (-0.173056) : spin coupling: 2 0+1+1
      3->11
                             0.0299
                          : 0.0695 (-0.263669) : spin coupling: +1-1+1+1
      1->5
                                              82136.4cm**-1
STATE 5 Exc. Energy: 374.241mEh 10.184eV
      2->4 : 0.0187 (-0.136885) : spin coupling: +1+1 2 0
      4->6
                          : 0.9224 (-0.960395) : spin coupling: 2+1 0+1
      4->12
                          : 0.0360 (-0.189800) : spin coupling: 2+1 0+1
STATE 6 Exc. Energy: 413.285mEh 11.246eV 90705.6cm**-1
                        : 0.8368 (-0.914777) : spin coupling: 2 0+1+1
      3->6
      3->12
                          : 0.0307 (-0.175082) : spin coupling: 2 0+1+1
      1->6
                          : 0.0609 (-0.246700) : spin coupling: +1-1+1+1
      2->5
                          : 0.0242 (-0.155666) : spin coupling: +1+1+1-1
                          : 0.0345 (0.185684) : spin coupling: +1-1+1+1
      7 Exc. Energy: 474.514mEh 12.912eV 104143.8cm**-1
STATE
      1->3 : 0.8308 (-0.911467) : spin coupling: +1 2+1 0
                             0.0374 (0.193474) : spin coupling: +1+1+1-1
0.0631 (-0.251226) : spin coupling: +1-1+1+1
                          :
      2->6
      2->6
                            0.0631 (-0.251226) : spin coupling: +1-1+1+1
0.0134 (-0.115919) : spin coupling: +1-1+1+1
      2->12
     8 Exc. Energy: 501.672mEh 13.651eV
                                             110104.2cm**-1
STATE
      1->4 : 0.8364 (0.914550) : spin coupling: +1+1 2 0
      4->5
                          : 0.0249 (-0.157804) : spin coupling: 2+1 0+1
```

```
4->7
                             0.0561 (-0.236863) : spin coupling: 2+1 0+1
                          : 0.0324
      2 -> 4
                                       (0.180124) : spin coupling: +1 0 2+1
       9 Exc. Energy: 511.571mEh 13.921eV
                                              112276.9cm**-1
STATE
                          : 0.0580 (0.240898) : spin coupling: 2 0+1+1
      3->6
                              0.0311 (0.176432) : spin coupling: +1+1+1-1
      1->6
                           :
      2->5
                              0.6111 (-0.781719) : spin coupling: +1+1+1-1
                           :
      2->5
                              0.0557
                                       (0.235994) : spin coupling: +1+1-1+1
                           :
                                                 : spin coupling: +1-1+1+1
      2->5
                              0.2060
                                       (0.453844)
                           :
      2->11
                              0.0115
                                       (0.107060) : spin coupling: +1-1+1+1
```

GS-ROCIS requires a valid ROHF solution as reference (either high-spin or a specific CSF). For this, one would use the CSF-ROHF method to obtain the reference wavefunction for which GS-ROCIS will be performed:

```
%scf
HFTyp ROHF
ROHF_CASE HIGHSPIN, USER_CSF or AF_CSF
end
```

For more details on the CSF-ROHF method, check ROHF Options.

The parametrized ROCIS/DFT formulation can be requested by:

```
%rocis

DoGenROCIS false # ROCIS/DFT is available only for the high-spin.

→implementation of ROCIS.

DoDFTCIS true # Switches on the ROCIS/DFT method.

DFTCIS_c = 0.18, 0.20, 0.40 # Array input of the three parameters.

end
```

Important

• Currently, ROCIS/DFT is not implemented for the general-spin (GS-ROCIS) procedure.

Capabilities

At the present, ROCIS can be used to calculate excitation energies, absorption, circular dichroism and magnetic circular dichroism spectra. It is also capable of calculating resonant inelastic X-ray scattering (RIXS) spectra. Magnetic properties such as g-tensors, zero-field splittings, hyperfine couplings and electric field gradients are also available.

5.4.3 Excited States for Open-Shell Molecules with CASSCF Linear Response (MC-RPA)

ORCA has the possibility to calculate excitation energies, oscillator and rotatory strengths for CASSCF wave functions within the response theory (MC-RPA) formalism.[592, 593, 594] The main scope of MC-RPA is to similate UV/Vis and ECD absorption spectra of open-shell molecules like transition metal complexes and organic radicals. MC-RPA absorption spectra are usually more accurate than those obtained from the state-averaged CASSCF ansatz as orbital relaxation effects for excited states are taken into account. The computational costs are usually larger than those of SA-CASSCF and should be comparable to a TD-DFT calculation for feasible active space sizes.

General Use

MC-RPA needs a converged state-specific CASSCF calculation of the electronic ground state. The only necessary information that the user has to provide is the desired number of excited states (roots). All other keywords are just needed to control the Davidson algorithm or post process the results. A minimal input for calculating the four lowest singlet excited states of ethylene could like the following:

```
# CASSCF + MCRPA for C2H4
! DEF2-SVP DEF2-TZVP/C VeryTightSCF
%casscf
           2.
nel
           2
norb
mult
           1
nroots
gtol 1e-6
etol 1e-10
end
%mcrpa
nroots
end
* int 0 1
C 0 0 0 0
               0 0
C 1 0 0 1.3385 0 0
H 1 2 0 1.07 120 0
H 1 2 3 1.07 120 180
H 2 1 3 1.07 120 0
H 2 1 3 1.07 120 180
```

After the residual norm is below a user-given threshold TolR we get the following information

```
All 8 RPA Roots CONVERGED Below 1.000e-05
                3.352976e-01 3.489323e-07
3.485288e-01 1.656998e-08
3.514846e-01 2.178527e-08
3.741213e-01 2.577113e-07
         0)
1)
2)
3)
3 (root
           0)
                                                         Yes
                                                        Yes
   (root
   (root
                                                         Yes
                                                        Yes
   (root
   (root 4)
                    3.743973e-01
                                      2.416887e-08
                                                        Yes
                    4.040700e-01
   (root 5)
                                      4.609207e-08
                                                        Yes
   (root
           6)
                     4.479248e-01
                                      1.240222e-08
                                                        Yes
   (root 7) 4.609744e-01 6.311327e-09 Yes
```

and the absorption and ECD spectrum

```
ABSORPTION SPECTRUM VIA TRANSITION ELECTRIC DIPOLE MOMENTS
 Transition Energy Energy Wavelength fosc(D2)
                                             D2
                                                      DX
DY DZ
                                       (au**2)
             (eV) (cm-1) (nm)
                                                    (au)
```

→ (au) 	(au)							
→ 0-1A ->		- 9.123912	73589.3	135.9	0.43076870	1.92710	1.3882	0
·0.00000			73303.3	100.0	0.13070070	72 1.32710	1.5002	0
0-1A ->	2-1A	9.483952	76493.2	130.7	0.00991513	0.04267	0.0000	0
→ 0.0000	-0.2065	7						
0-1A ->		9.564384	77142.0	129.6	0.00000000	0.00000	0.0000	0
→0.00000								
0-1A ->		10.180358	82110.1	121.8	0.00000000	0.00000	-0.0000	0
→0.00000			00170 7	101 7	0 0000000	0 00000	0 0000	^
0-1A -> →0.00000		10.187869	82170.7	121.7	0.00000000	0.00000	-0.0000	0
0-1A ->		10.995304	88683.1	112.8	0.00000000	0.00000	0.0000	0
→0.00000			000001	112.0	0.0000000		0.0000	0
0-1A ->		12.188654	98308.1	101.7	0.00000000	0.00000	-0.0000	0
0.0000	-0.0000	0						
0-1A ->		12.543751	101172.2	98.8	0.00000000	0.00000	-0.0000	0
0.0000	-0.0000	0						
• •								
·		CD SPECT	RUM VIA TR	ANSITION	ELECTRIC DI	POLE MOMENT	'S	
,								
→ → Transi →MZ	tion				ELECTRIC DI	POLE MOMENT	S	
	 tion	Energy	Energy	Waveleng		MX		
→MZ	tion	Energy	Energy	Waveleng	gth R	MX	MY	
→MZ → (au) 	tion	Energy	Energy	Waveleng	gth R	MX	MY	
→MZ → (au) 		Energy	Energy	Waveleng	gth R	MX (au)	MY	
→ (au) 0-1A ->	1-1A	Energy (eV) 9.123912	Energy (cm-1) 	(nm) 	gth R (1e40*cgs)	MX (au) 0.00000	MY (au)	0.
→ (au) 0-1A -> →00000 0-1A ->		Energy (eV)	Energy (cm-1)	Waveleng	gth R (1e40*cgs)	MX (au)	MY (au)	0.
*MZ - (au) 0-1A -> -00000 0-1A -> +00000	1-1A 2-1A	Energy (eV) 9.123912 9.483952	Energy (cm-1) 73589.3 76493.2	(nm) 135.9 130.7	gth R (1e40*cgs)0.00000 -0.00000	MX (au) 0.00000 -0.00000	MY (au) 0.00000	0.
*MZ (au) 0-1A -> 00000 0-1A -> 00000 0-1A ->	1-1A 2-1A	Energy (eV) 9.123912	Energy (cm-1) 	(nm) 	gth R (1e40*cgs)0.00000 -0.00000	MX (au) 0.00000 -0.00000	MY (au)	0.
*MZ (au) 0-1A -> 00000 0-1A -> 00000 0-1A -> 00000 0-1A -> 00000	1-1A 2-1A 3-1A	Energy (eV) 9.123912 9.483952 9.564384	Energy (cm-1) 73589.3 76493.2 77142.0	(nm) 135.9 130.7 129.6	gth R (1e40*cgs)0.00000 -0.00000	MX (au) 0.00000 -0.00000 0.69943	MY (au)	0. -0.
*MZ (au) 0-1A -> 00000 0-1A -> 00000 0-1A -> 00000 0-1A -> 00000 0-1A ->	1-1A 2-1A	Energy (eV) 9.123912 9.483952	Energy (cm-1) 73589.3 76493.2	(nm) 135.9 130.7	gth R (1e40*cgs)0.00000 -0.00000	MX (au) 0.00000 -0.00000 0.69943	MY (au) 0.00000	0.
*MZ (au) 0-1A -> *00000 0-1A -> *00000 0-1A -> *00000 0-1A ->	1-1A 2-1A 3-1A 4-1A	Energy (eV) 9.123912 9.483952 9.564384	Energy (cm-1) 73589.3 76493.2 77142.0	(nm) 135.9 130.7 129.6	gth R (1e40*cgs)0.00000 -0.00000	MX (au) 0.00000 -0.00000 0.69943 -0.15776	MY (au)	0.
*MZ *(au) 0-1A -> *00000 0-1A ->	1-1A 2-1A 3-1A 4-1A	Energy (eV) 9.123912 9.483952 9.564384 10.180358	Energy (cm-1) 73589.3 76493.2 77142.0 82110.1	(nm) 135.9 130.7 129.6 121.8	gth R (1e40*cgs) -0.00000 -0.00000 -0.00000	MX (au) 0.00000 -0.00000 0.69943 -0.15776	MY (au)	0.
*MZ *(au) 0-1A -> *00000 0-1A ->	1-1A 2-1A 3-1A 4-1A	Energy (eV) 9.123912 9.483952 9.564384 10.180358	Energy (cm-1) 73589.3 76493.2 77142.0 82110.1	(nm) 135.9 130.7 129.6 121.8	gth R (1e40*cgs) -0.00000 -0.00000 -0.00000 -0.00000	MX (au) 0.00000 -0.00000 0.69943 -0.15776 0.00000	MY (au)	0. -0. 0.
*MZ *(au) 0-1A -> *00000 0-1A ->	1-1A 2-1A 3-1A 4-1A 5-1A	Energy (eV) 9.123912 9.483952 9.564384 10.180358 10.187869	Energy (cm-1) 73589.3 76493.2 77142.0 82110.1 82170.7	(nm) 135.9 130.7 129.6 121.8 121.7	gth R (1e40*cgs) -0.00000 -0.00000 -0.00000 -0.00000 0.00000	MX (au) 0.00000 -0.00000 0.69943 -0.15776 0.00000	MY (au)	0. -0. 0.
+MZ + (au) 0-1A -> +00000 0-1A ->	1-1A 2-1A 3-1A 4-1A 5-1A	Energy (eV) 9.123912 9.483952 9.564384 10.180358 10.187869	Energy (cm-1) 73589.3 76493.2 77142.0 82110.1 82170.7	(nm) 135.9 130.7 129.6 121.8 121.7	gth R (1e40*cgs) -0.00000 -0.00000 -0.00000 -0.00000 0.00000	MX (au) 0.00000 -0.00000 0.69943 -0.15776 0.00000 -0.00000	MY (au)	0. -0. 0.
-MZ -(au) 0-1A -> -00000	1-1A 2-1A 3-1A 4-1A 5-1A 6-1A	Energy (eV) 9.123912 9.483952 9.564384 10.180358 10.187869 10.995304 12.188654	Energy (cm-1) 73589.3 76493.2 77142.0 82110.1 82170.7 88683.1 98308.1	Waveleng (nm) 135.9 130.7 129.6 121.8 121.7 112.8	gth R (1e40*cgs) -0.00000 -0.00000 -0.00000 -0.00000 0.00000	MX (au) 0.00000 -0.00000 0.69943 -0.15776 0.00000 -0.00000	MY (au) 0.00000 0.00000 0.00000 0.73302 0.54037 0.00000	0. -0. 0. 0.
+MZ + (au) 0-1A -> +00000 0-1A ->	1-1A 2-1A 3-1A 4-1A 5-1A 6-1A	Energy (eV) 9.123912 9.483952 9.564384 10.180358 10.187869 10.995304	Energy (cm-1) 73589.3 76493.2 77142.0 82110.1 82170.7 88683.1	Waveleng (nm) 135.9 130.7 129.6 121.8 121.7	gth R (1e40*cgs) -0.00000 -0.00000 -0.00000 -0.00000 0.00000	MX (au) 0.00000 -0.00000 0.69943 -0.15776 0.00000 -0.00000	MY (au) 0.00000 0.00000 0.00000 0.73302 0.54037 0.00000	00. 00. 00.

Capabilities

At the moment, we can simulate UV/Vis and ECD absorption spectra by computing excitation energies, oscillator and rotatory strengths (check section *One Photon Spectroscopy* for more information). The code is parallelized and the computational bottleneck is the integral direct AO-Fock matrix construction. All intermediates that depend on the number of states are stored on disk, which makes the MC-RPA implementation suitable for computing many low-lying electronic states of larger molecules. Abelian point-group symmetry can be exploited in the calculation (up to D_{2h}). But there are no calculations of spin-flip excitations possible at the moment. That means all excited states will have the same spin as the reference state, which is specified in the <code>%casscf</code> input block.

It is also possible to analyze and visualize the ground-to-excited-state transitions by means of natural transition orbitals[595] (NTO), which is explained in more detail in section *Excited States for Open-Shell Molecules with CASSCF*

Linear Response (MC-RPA).

For further details, please study our recent publications [594, 596].

5.4.4 Excited States with ADC2

Among the various approximate correlation methods available for excited states, one of the most popular one is algebraic diagrammatic construction(ADC) method. The ADC has it origin in the Green's function theory. It expands the energy and wave-function in perturbation order and can directly calculate the excitation energy, ionization potential and electron affinity, similar to that in the EOM-CCSD method. Because of the symmetric eigenvalue problem in ADC, the calculation of properties are more straight forward to calculate than EOM-CCSD. In ORCA, only the second-order approximation to ADC(ADC2) is implemented. It scales as $O(N^5)$ power of the basis set.

General Use

The simplest way to perform an ADC2 calculation is via the usage of the ADC2 keyword, together with the specification of the desired number of roots:

```
! ADC2 cc-pVDZ cc-pVDZ/C TightSCF
%mdci
 nroots 9
end
*xyz 0 1
 С
    0.016227 -0.000000
                            0.000000
 0
      1.236847 0.000000
                            -0.000000
 Н
      -0.576537
                  0.951580
                            -0.000000
      -0.576537 -0.951580
 Н
                            -0.000000
```

The above input will call the ADC2 routine with default settings. The main output is a list of excitation energies, augmented with some further state specific data. The integral transformation in the ADC2 implementation of ORCA is done using the density-fitting approximation. Therefore, one need to specify an auxiliary basis. For the above input, the following output is obtained:

```
ADC(2) RESULTS (RHS)
IROOT= 1: 0.146914 au
                            3.998 eV 32243.8 cm**-1
 Amplitude Excitation
  -0.116970 4 -> 8
0.672069 7 -> 8
 -0.116970
IROOT= 2: 0.286012 au
                            7.783 eV
                                       62772.3 cm**-1
 Amplitude Excitation -0.659777 7 \rightarrow 9
IROOT= 3: 0.341919 au
                            9.304 eV 75042.5 cm**-1
 Amplitude Excitation -0.676913 5 -> 8
IROOT= 4: 0.352206 au
                            9.584 eV 77300.2 cm**-1
 Amplitude Excitation
  0.126824 4 -> 10
 0.360690 6 -> 8 -0.547670 7 -> 10
IROOT= 5: 0.393965 au
                           10.720 eV 86465.3 cm**-1
 Amplitude Excitation
   0.551345 6 -> 8
   0.363450
                7 -> 10
```

```
-0.109270 6 -> 8 6 -> 8
IROOT= 6: 0.404946 au 11.019 eV 88875.4 cm**-1
Amplitude Excitation
0.669682 4 -> 8
0.126557 7 -> 8
IROOT= 7: 0.412800 au 11.233 eV 90599.2 cm**-1
Amplitude Excitation
-0.100274 4 -> 11
0.671884 7 -> 11
IROOT= 8: 0.439251 au 11.953 eV 96404.5 cm**-1
Amplitude Excitation
-0.674114 6 -> 9
0.104541 6 -> 9
0.104541 6 -> 9
1ROOT= 9: 0.486582 au 13.241 eV 106792.5 cm**-1
Amplitude Excitation
-0.654624 5 -> 9
```

The transition moment for ADC2 in ORCA is calculated using an EOM-like expectation value approach, unlike the traditionally used intermediate state representation. However, the two approaches gives almost identical result.

		SPECTRUM	FOR LEFT-R	IGHT TRAI	NSITION MOMENTS	S		
↔		- ADCODDTT	ON CDECTRI	M 1/17 TD:	ANSITION ELECTI	סוכ חוחסוד	MOMENTS	
		AB50RF11						
⇔		_						
		Energy	Energy	Wavelen	gth fosc(D2)	D2	DX	_
→ DY	DZ	(VA)	(cm-1)	(nm)		(au**2)	(211)	_
→ (au)	(au)	(60)	(CIII 1)	(11111)		(au 2)	(au)	
0-1A ->			32243.8	310.1	0.000000000	0.00000	0.00000	_
→0.00000 0-17		7.782776	62772.3	159.3	0.096710371	0.50720	-0.00000	_
→0.70536			02772.3	139.3	0.090/103/1	0.30720	0.00000	
0-1A ->		9.304078	75042.5	133.3	0.002261744	0.00992	-0.00000	_
→0.00000	-0.09835	5						
0-1A ->		9.584003	77300.2	129.4	0.007937829	0.03381	-0.18502	-
→0.00000			06465	445.5	0 465055050	4 55065	4 00000	
0-1A -> →0.00000		10.720332	86465.3	115.7	0.465055079	1.//06/	1.32377	_
		11.019150	88875.4	112.5	0.000000000	0.00000	-0.00000	
→0.00000			00075.1	112.0	0.00000000	0.00000	0.00000	
0-1A ->	7-1A	11.232869	90599.2	110.4	0.022236623	0.08080	0.00000	-
→0.28105	-0.00000)						
		11.952640	96404.5	103.7	0.009103120	0.03109	0.00000	-
→0.00000								
0-1A -> →0.00000		13.240575	106792.5	93.6	0.071433742	0.22021	-0.46692	-
→ 0.00000	0.00000	J						

The IP and EA versions can be called using the keywords IP-ADC2 and EA-ADC2, respectively.

At present, the ADC2 module is able to perform excited, ionized and electron attached state calculations, only for closed-shell systems. No open-shell version of the ADC2 is currently available. Below are all the parameters that influence the ADC2 module.

```
%mdci
#ADC2 parameters - defaults displayed

NDav 20  # maximum size of reduced space (i.e. 20*NRoots)

CheckEachRoot true  # check convergence for each root separately

RootHoming true  # apply root homing

DoLanczos false  # use the Lanczos procedure rather than Davidson

UseCISUpdate true  # use diagonal CIS for updating

NInitS 0  # number of roots in the initial guess, if 0, use presetutely

→value

DoRootwise false  # solves for each root separately,
  # more stable for large number of roots

FOLLOWCIS false  # follows the initial singles guess
end
```

One can notice that features available in the ADC2 module is quite limited as compared to the EOM module and the option to specifically target the core-orbitals are yet not available. A word of caution, **The 'second order black magic' of ADC2 can fail in many of the cases.** The readers are encouraged to try the DLPNO based EOM-CCSD methods(*Excited States with DLPNO based coupled cluster methods*) which are much more accurate and computationally efficient.

5.4.5 Excited States with STEOM-CCSD

The STEOM-CCSD method provides an efficient way to calculate excitation energies, with an accuracy comparable to the EOM-CCSD approach, at a nominal cost. A detailed description will be given in Section *Excited States via STEOM-CCSD*.

General Use

The simplest way to perform a STEOM calculation is using the STEOM-CCSD keyword, together with the specification of the desired number of roots (NRoots):

The above input calls the STEOM routine with default settings, where, for instance, the doubly excited states are eliminated (DoDbFilter true). The main output is a list of excitation energies, augmented with some further state specific data. The STEOMCC approach in ORCA uses state-averaged CIS natural transition orbitals (NTO) for the selection of the active space. For the above input, the following output is obtained:

```
STEOM-CCSD RESULTS (SINGLETS)
-------
(continues on next page)
```

```
IROOT= 1: 0.145378 au
                         3.956 eV 31906.7 cm**-1
 Amplitude Excitation
 -0.168322 4 -> 8
-0.984801 7 -> 8
 Ground state amplitude: -0.000000
Percentage Active Character
            Excitation in Canonical Basis
 Amplitude
            4 -> 8
7 -> 8
7 -> 13
 -0.166144
 -0.975626
  0.123172
IROOT= 2: 0.309944 au
                          8.434 eV 68024.9 cm**-1
 Amplitude Excitation
 -0.993139
             7 -> 9
 Ground state amplitude: 0.000000
Percentage Active Character
 Amplitude Excitation in Canonical Basis
 -0.989653
             7 -> 9
IROOT= 3: 0.337588 au
                         9.186 eV 74092.0 cm**-1
 Amplitude Excitation
             5 -> 8
 -0.993709
 Ground state amplitude: -0.000002
Percentage Active Character 99.23
 Amplitude
            Excitation in Canonical Basis
            5 -> 8
5 -> 13
 -0.984171
  0.136295
IROOT= 4: 0.357393 au
                         9.725 eV 78438.7 cm**-1
 Amplitude Excitation
 -0.186839 4 -> 10
-0.755864 6 -> 8
 -0.601549
             7 -> 10
 -0.112921 7 -> 12
 Ground state amplitude: 0.026385
Percentage Active Character
                            99.71
 Amplitude Excitation in Canonical Basis
 -0.182608 4 -> 10
-0.752095 6 -> 8
              6 -> 8
7 -> 10
 -0.598472
IROOT= 5: 0.386751 au
                         10.524 eV 84882.0 cm**-1
 Amplitude Excitation
            4 -> 8
 -0.980511
               7 -> 8
  0.178900
 Ground state amplitude: 0.000000
Percentage Active Character
                             99.90
            Excitation in Canonical Basis
 Amplitude
 -0.971593 4 -> 8
  0.121664
             4 -> 13
```

```
0.179278 7 -> 8
IROOT= 6: 0.406225 au 11.054 eV 89156.2 cm**-1
 Amplitude Excitation
 0.532674 6 -> 8 -0.825021 7 -> 10
 Ground state amplitude: -0.065794
Percentage Active Character
            Excitation in Canonical Basis
 Amplitude
            6 -> 8
7 -> 10
  0.526200
  -0.817279
IROOT= 7: 0.421236 au
                          11.462 eV 92450.6 cm**-1
 Amplitude
            Excitation
 -0.125749 4 -> 11 -0.985406 7 -> 11
 Ground state amplitude: 0.000000
Percentage Active Character
 Amplitude Excitation in Canonical Basis
  0.124222 4 -> 11
              7 -> 11
  0.983485
IROOT= 8: 0.443588 au
                         12.071 eV 97356.3 cm**-1
 Amplitude Excitation
  0.106457 3 -> 8
0.992884 6 -> 9
 Ground state amplitude: 0.000092
Percentage Active Character
            Excitation in Canonical Basis
 Amplitude
             3 -> 8
6 -> 9
  0.106009
  0.987228
IROOT= 9: 0.512311 au
                         13.941 eV 112439.3 cm**-1
 Amplitude Excitation
 -0.995561
             6 -> 10
 Ground state amplitude: -0.000001
Percentage Active Character
                             99.94
 Amplitude Excitation in Canonical Basis
  -0.985669 6 -> 10
0.157781 6 -> 15
 -0.985669
```

The first set of excitation amplitudes, printed for each root, have been calculated in the CIS NTO (Natural Transition Orbitals) basis. The second set of amplitudes have been evaluated in the RHF canonical basis.

At present, the STEOM routine is able to calculate excitation energies, for both closed- or open-shell systems, using an RHF or UHF reference function, respectively. It can be used for both serial and parallel calculations. The method is available in the back-tranformed PNO and DLPNO framework allowing the calculation of large molecules (Section *Capabilities* and *Excited States with DLPNO based coupled cluster methods*). In the closed-shell case (RHF), a lower scaling version can be invoked by setting the CCSD2 keyword to true in the %mdci section, which sets a second order approximation to the exact parent approach. The transition moments can also be obtained for closed- and open-shell systems. For more details see Section *Excited States via STEOM-CCSD*.

5.4.6 Excited States with IH-FSMR-CCSD

The intermediate Hamiltonian Fock-space coupled cluster method (IH-FSMR-CCSD) provides an alternate way to calculate excitation energies, with an accuracy comparable to the STEOM-CCSD approach. A detailed description is given in Section *Excited States via IH-FSMR-CCSD*.

General Use

The IH-FSMR-CCSD calculation is called using the simple input keyword IH-FSMR-CCSD and specifying the desired number of excited states (NRoots) in the %mdci block.:

The above input will call the IH-FSMR-CCSD routine with default settings. The main output is a list of excitation energies, augmented with some further state specific data. The IH-FSMR-CCSD approach in ORCA uses state-averaged CIS natural transition orbitals(NTO) for the selection of the active space - similar to STEOM-CCSD. For the above input, the following output is obtained:

```
IH-FSMR-CCSD RESULTS (SINGLETS)
IROOT= 1: 0.144808 au 3.940 eV
                                    31781.8 cm**-1
 Amplitude Excitation
 -0.171178
              4 -> 8
 -0.1/11/8 4 ->
-0.984024 7 ->
                   8
 Ground state amplitude: 0.000000
Percentage Active Character
                              99.96
 Amplitude
             Excitation in Canonical Basis
 -0.169804
             4 -> 8
 -0.976596
              7 ->
                     8
             7 -> 13
  0.111105
IROOT= 2: 0.309569 au
                          8.424 eV 67942.6 cm**-1
 Amplitude Excitation
 -0.994029
              7 -> 9
```

```
Ground state amplitude: 0.000000
                             99.79
Percentage Active Character
 Amplitude Excitation in Canonical Basis
 -0.991036 7 -> 9
IROOT= 3: 0.337609 au
                         9.187 eV 74096.7 cm**-1
 Amplitude Excitation 0.992246 5 -> 8
             5 -> 8
 Ground state amplitude: 0.000000
Percentage Active Character
 Amplitude Excitation in Canonical Basis
             5 -> 8
5 -> 13
  0.985970
 -0.120519
IROOT= 4: 0.354726 au
                          9.653 eV 77853.3 cm**-1
 Amplitude Excitation
 -0.167422 4 -> 10
  0.125754
              5 -> 11
 -0.748485
              6 -> 8
 -0.575997 7 \rightarrow 10
-0.204999 7 \rightarrow 14
 Ground state amplitude: 0.000000
Percentage Active Character 94.11
Warning:: the state may have not converged with respect to active space
 ----- Handle with Care -----
 Amplitude
            Excitation in Canonical Basis
 -0.175024
 -0.745514
              6 ->
                    8
             7 -> 10
 -0.609903
IROOT= 5: 0.386134 au
                         10.507 eV 84746.6 cm**-1
 Amplitude Excitation
  -0.980436 4 -> 8
0.180972 7 -> 8
 -0.980436
 Ground state amplitude: 0.000000
Percentage Active Character
                             99.91
 Amplitude Excitation in Canonical Basis
 -0.972869 4 -> 8
0.111877 4 -> 13
               4 -> 13
  0.111877
              7 ->
  0.180279
                         12.062 eV 97283.4 cm**-1
IROOT= 6: 0.443256 au
 Amplitude Excitation
-0.110780 3 -> 8
-0.991903 6 -> 9
 Ground state amplitude: 0.000000
Percentage Active Character
                             99.71
            Excitation in Canonical Basis
 Amplitude
 -0.109728
              3 ->
```

```
-0.988030 6 -> 9
```

The first set of excitation amplitudes, printed for each root, have been calculated in the CIS NTO (Natural Transition Orbitals) basis. The second set of amplitudes have been evaluated in the RHF canonical basis.

Capabilities

At present, the IH-FSMR-CCSD routine is able to calculate excitation energies, for only closed shell systems using an RHF reference. It can be used for both serial and parallel calculations. In the closed-shell case (RHF), a lower scaling version can be invoked by using bt-PNO approximation. The transition moments and solvation correction can be obtained using the CIS approximation.

5.4.7 Excited States with PNO based coupled cluster methods

The methods described in the previous section are performed over a canonical CCSD or MP2 ground state. The use of canonical CCSD amplitudes restricts the use of EOM-CC and STEOM-CC methods to small molecules. The use of MP2 amplitudes is possible (e.g. the EOM-CCSD(2) or STEOM-CCSD(2) approaches), but it seriously compromises the accuracy of the method.

The bt-PNO-EOM-CCSD methods gives an economical compromise between accuracy and computational cost by replacing the most expensive ground state CCSD calculation with a DLPNO based CCSD calculation. The typical deviation of the results from the canonical EOM-CCSD results is around 0.01 eV. A detailed description will be given in *Excited States via PNO-based coupled cluster*.

General Use

The simplest way to perform a PNO based EOM calculation is via the usage of the bt-PNO-EOM-CCSD keyword, together with the specification of the desired number of roots. The specification of an auxiliary basis set is also required, just as for ground state DLPNO-CCSD calculations.

```
! bt-PNO-EOM-CCSD def2-TZVP def2-TZVP/C def2/J TightSCF
%mdci
 nroots 9
end
*xyz 0 1
 C 0.016227 -0.000000 0.000000
       1.236847 0.000000
                             -0.000000
 Ω
                             -0.000000
 Н
      -0.576537
                  0.951580
 Н
      -0.576537
                 -0.951580
                             -0.000000
```

The output is similar to that from a canonical EOM-CCSD calculation:

```
EOM-CCSD RESULTS (RHS)

IROOT= 1: 0.145420 au 3.957 eV 31915.9 cm**-1

Amplitude Excitation
    0.650351 7 -> 8
    -0.162540 7 -> 13

Ground state amplitude: 0.000000

Percentage singles character= 92.33

IROOT= 2: 0.311168 au 8.467 eV 68293.4 cm**-1
```

```
Amplitude Excitation
 0.650800 7 -> 9
-0.155532 7 -> 11
 Ground state amplitude: -0.000000
Percentage singles character= 90.95
IROOT= 3: 0.337404 au
                         9.181 eV 74051.7 cm**-1
 Amplitude Excitation
  0.652018
              5 -> 8
 0.652018 5 -> 8
-0.169980 5 -> 13
 Ground state amplitude: 0.000000
Percentage singles character= 91.87
IROOT= 4: 0.348225 au
                        9.476 eV 76426.6 cm**-1
 Amplitude Excitation
            7 -> 9
7 -> 11
  0.152132
  0.652819
 Ground state amplitude: 0.000000
Percentage singles character= 92.35
IROOT= 5: 0.354668 au
                        9.651 eV 77840.6 cm**-1
 Amplitude Excitation
  0.545649
             6 -> 8
 -0.339835
              7 -> 10
             6 -> 8
  0.170720
                         6 -> 8
 Ground state amplitude: 0.032711
Percentage singles character= 87.10
IROOT= 6: 0.379606 au
                        10.330 eV 83313.9 cm**-1
 Amplitude Excitation
            4 -> 8
4 -> 13
  0.636153
 -0.160301
              7 ->
 -0.109552
                    8
  0.143497 7 -> 8
                         6 -> 8
 Ground state amplitude: 0.000000
Percentage singles character= 88.55
IROOT= 7: 0.386807 au
                        10.526 eV 84894.3 cm**-1
 Amplitude Excitation
            6 -> 8
  0.257812
  0.584151
             7 -> 10
  0.181783
             7 -> 14
 Ground state amplitude: 0.038804
Percentage singles character= 90.30
IROOT= 8: 0.440552 au
                        11.988 eV 96690.1 cm**-1
 Amplitude Excitation
 -0.655574 6 -> 9
-0.104097 6 -> 16
             6 -> 9
 -0.112700
                         6 ->
 Ground state amplitude: 0.000000
Percentage singles character= 87.92
IROOT= 9: 0.447219 au
                        12.169 eV 98153.2 cm**-1
 Amplitude Excitation
  0.162756
             7 -> 8
            7 -> 13
  0.651078
 Ground state amplitude: 0.000000
Percentage singles character=
                               90.36
```

The IP and EA versions can be called by using the keywords bt-PNO-IP-EOM-CCSD and bt-PNO-EA-EOM-CCSD, respectively. Furthermore, the STEOM version can be invoked by using the keywords bt-PNO-STEOM-CCSD.

All of the features of canonical EOM-CC and STEOM-CC are available in the PNO based approaches for both closed- and open-shell systems.

5.4.8 Excited States with DLPNO based coupled cluster methods

The DLPNO-STEOM-CCSD method uses the full potential of DLPNO to reduce the computational scaling while keeping the accuracy of STEOM-CCSD.



• DLPNO-STEOM-CCSD is currently only available for closed-shell systems!

General Use

The simplest way to perform a DLPNO based STEOM calculation is via the usage of the STEOM-DLPNO-CCSD keyword, together with the specification of the desired number of roots. The specification of an auxiliary basis set is also required, just as for ground state DLPNO-CCSD calculations.

As any CCSD methods, it is important to allow ORCA to access a significant amount of memory. In terms of scaling the limiting factor of the method is the size of temporary files and thus the disk space. For molecules above 1500 basis functions it starts to increase exponentially up to several teraoctets.

Here is the standard input we would recommend for STEOM-DLPNO-CCSD calculations. More information on the different keywords and other capabilities are available in the detailed part of the manual *Excited States via STEOM-CCSD*, *Excited States via DLPNO-STEOM-CCSD*. The following publications referenced some applications for this method either in organic molecules [597], [598] or for Semiconductors [599].

```
! STEOM-DLPNO-CCSD def2-TZVP def2-TZVP/C def2/J TightSCF
%mdci
 NRoots 6
 DoRootWise true
 OThresh 0.005
 VThresh 0.005
 TCutPNOSingles 1e-11
 NDAV 400
 DoStoreSTEOM true
 DoSimpleDens false
 AddL2Term True
 DTol 1e-5
end
* xyz 0 1
      0.016227 -0.000000 0.000000
      1.236847 0.000000 -0.000000
 Н
      -0.576537 0.951580 -0.000000
      -0.576537 -0.951580
                             -0.000000
 Н
```

The output is similar to that from a canonical DLPNO-STEOM-CCSD calculation:

Chapter 5. Spectroscopy and Properties