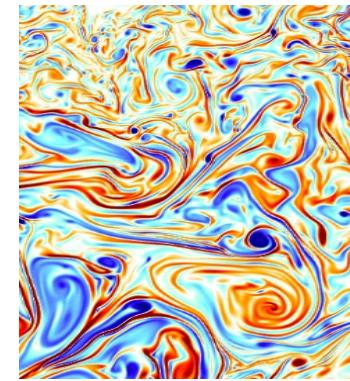
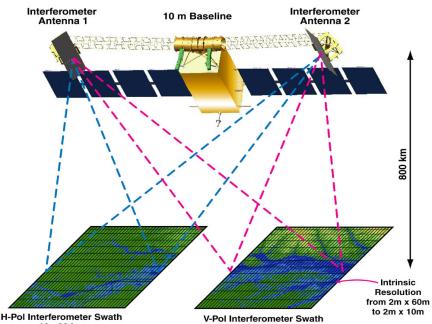


# "Ocean Turbulence from SPACE"

Patrice Klein (Caltech/JPL/Ifremer)

## (VI) - 2-D Turbulence (b)

[Inverse KE cascade (importance of small scales) and direct enstrophy cascade]



**webpage:** <http://oceanturbulence.github.io/>

**class email:** [oceanturbulencefromspace@gmail.com](mailto:oceanturbulencefromspace@gmail.com)

**dropbox:** oceanturbulence (pswd: patriceklein)

### **Reading class: two papers:**

- Munk et al. (2000) : Spirals on the Sea. Proc. R. Soc. London A. 456, 1217-1280
- Capet et al. (2008): Surface KE transfer in Surface Quasi-Geostrophic flows; J.F.M., 604, 165-174.

**Next Thursday?**

**Before moving to the inverse KE cascade ...**

**Another application of the Okubo-Weiss criterion:**

**Partition of the relative vorticity field into vortices and filaments**

(may be a homework topic using Dimitris' simulation at 1/48th degree?)

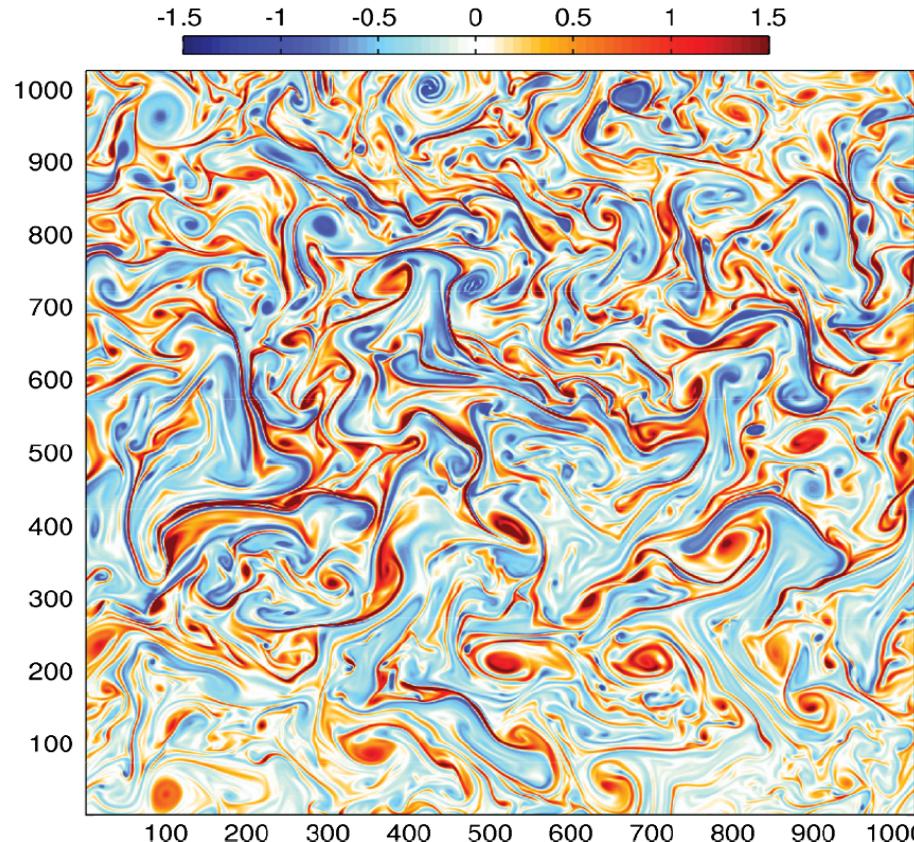


FIG. 3 (color). Snapshots of  $\zeta/f$  at the upper boundary. Color scale range is chosen to better emphasize the vorticity patterns.

$W = [S_1^2 + S_2^2 - \zeta^2]/f^2$  quantifies the magnitude of  $\zeta$  relative to  $S_1$  and  $S_2$

**Using W allows to partition the relative vorticity field into vortices and filaments.**

- Magnitude of  $\zeta$  is usually much larger in vortices which allows them to remain coherent and persistent in time
- Magnitude of  $\zeta$  within the filaments is usually close to or smaller than  $S_1$  and  $S_2$ , which causes them to deform rapidly and explains their elongation patterns.,
- Here, vortices are defined as the structures where  $W < -0.05$  and filaments as the structures with  $W > -0.05$ .
- Vortices occupy 20 % of the total area. All the other structures are stretched filaments. Sensitivity of the results to the choice of the threshold is weak.

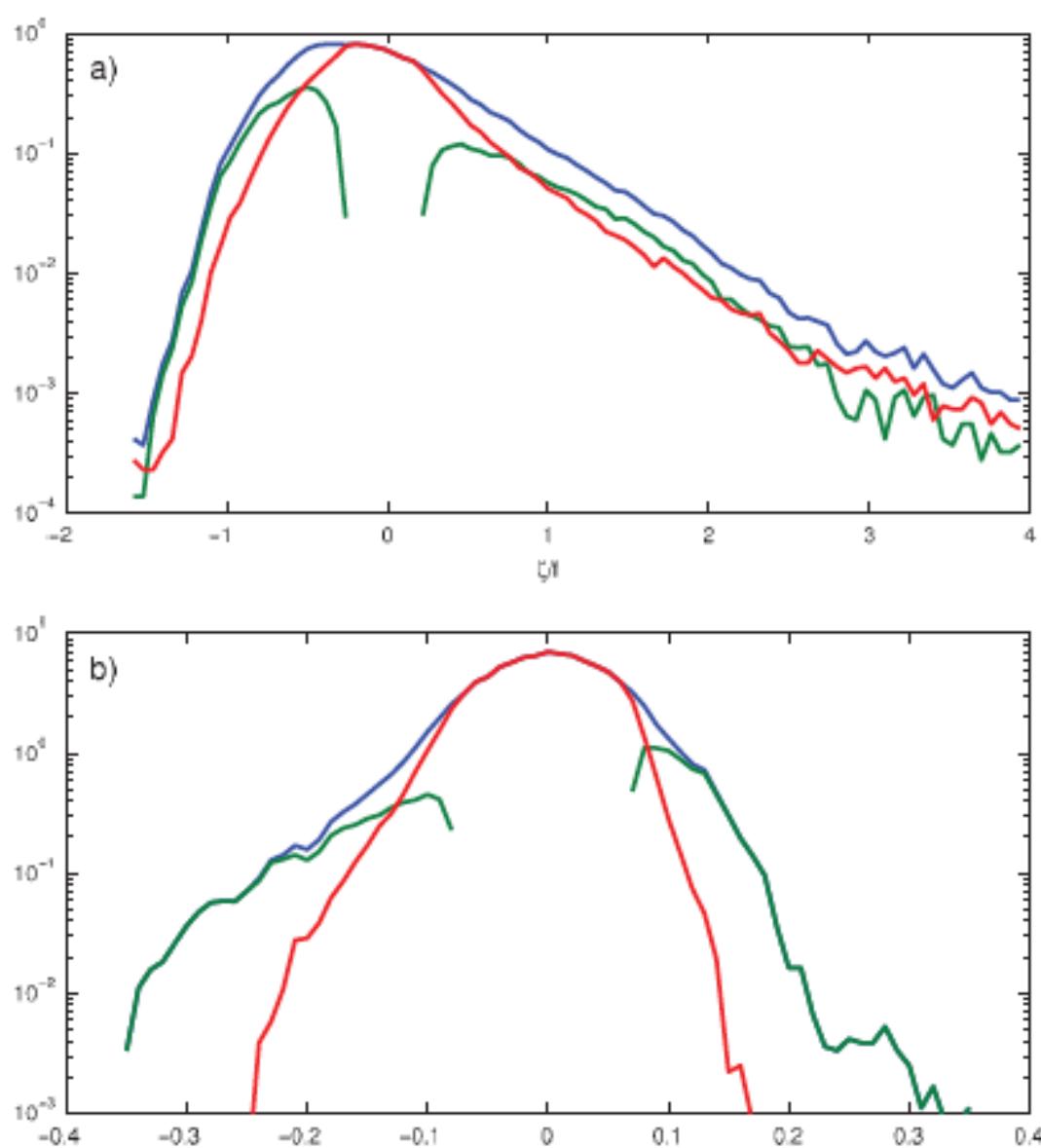
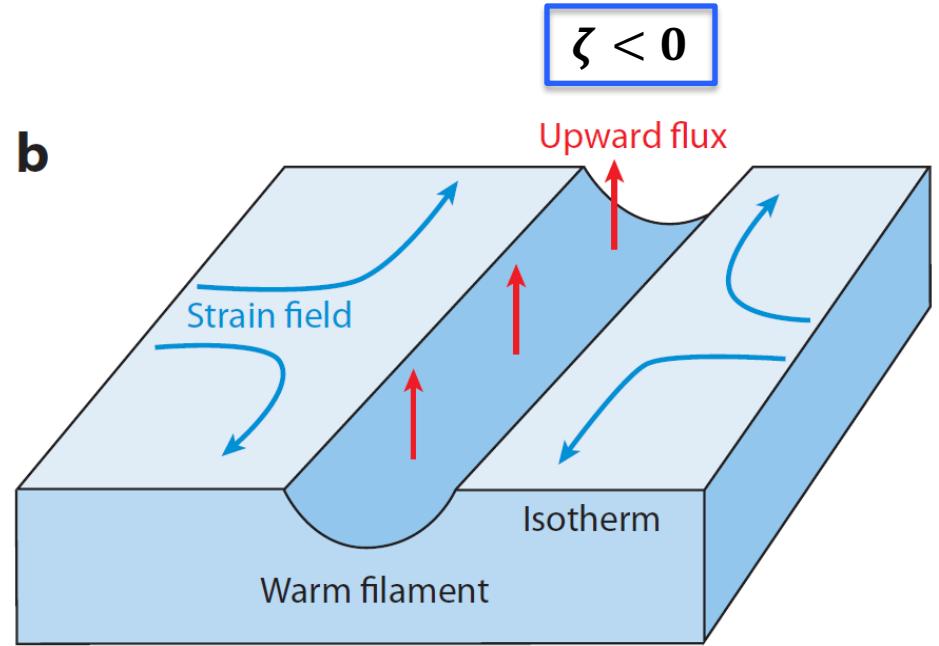
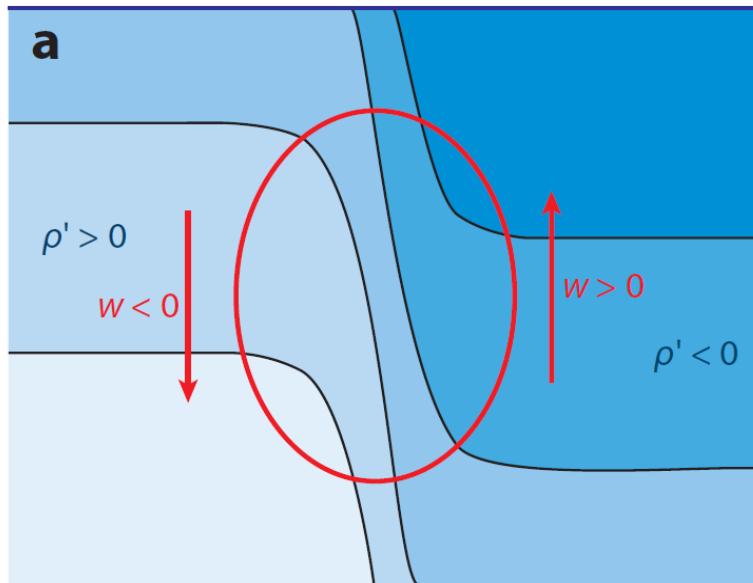


FIG. 5 (color). PDF of  $\zeta/f$  at the upper boundary (top) and 800 m (bottom). The blue curve is the total PDF. The green (red) curve is the PDF of the relative vorticity related to the vortices (filaments); see text for the partitioning.

# Frontogenesis

SST (density) anomalies are stirred by mesoscale eddies  $\Rightarrow$  SST fronts  
Then, because of frontogenesis, an ageost. circulation develops for the SST front to be in thermal wind balance

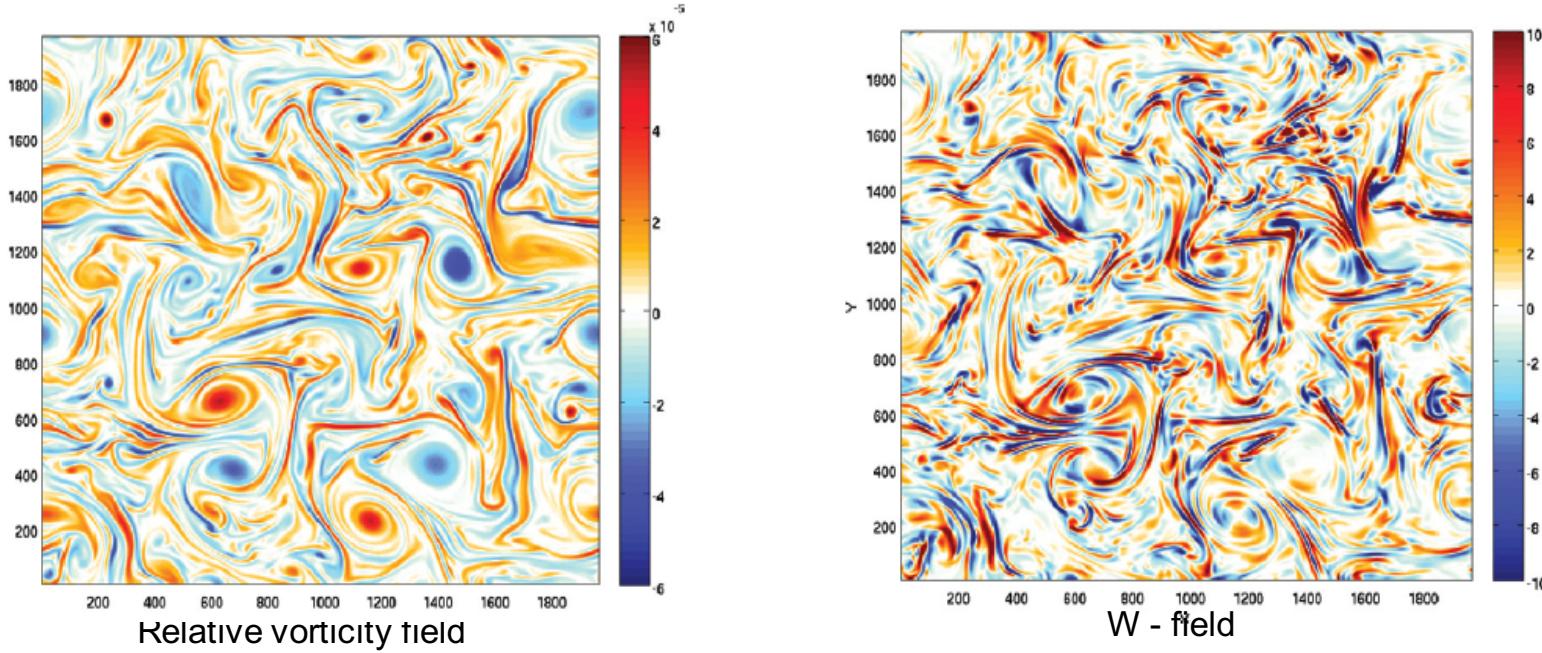


$\Rightarrow$  A warm filament in a strain field corresponds to a negative RV filament  
 $\Rightarrow$  The W-field in this filament is upward ( $>0$ ).

# Submesoscale impacts on phytoplankton competition

Model of meso/submesoscale turbulence coupled with a NP1P2ZD model

( Perruche, Rivière, Pondaven, Carton, Lapeyre, JMR'11)

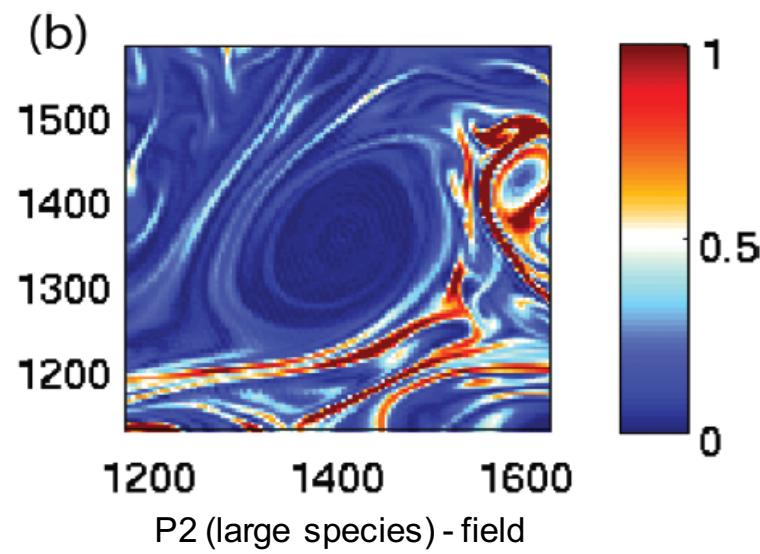
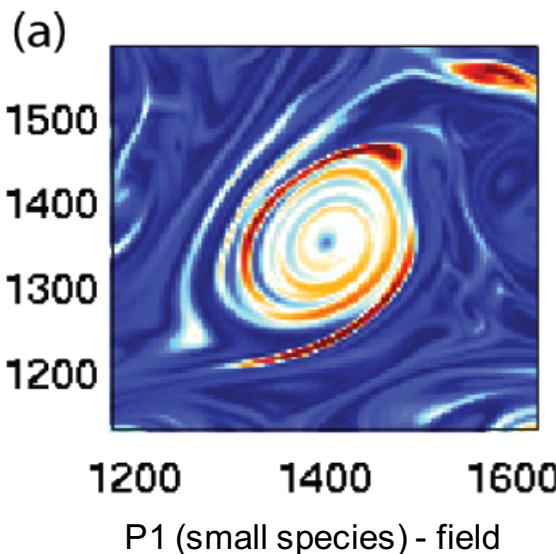
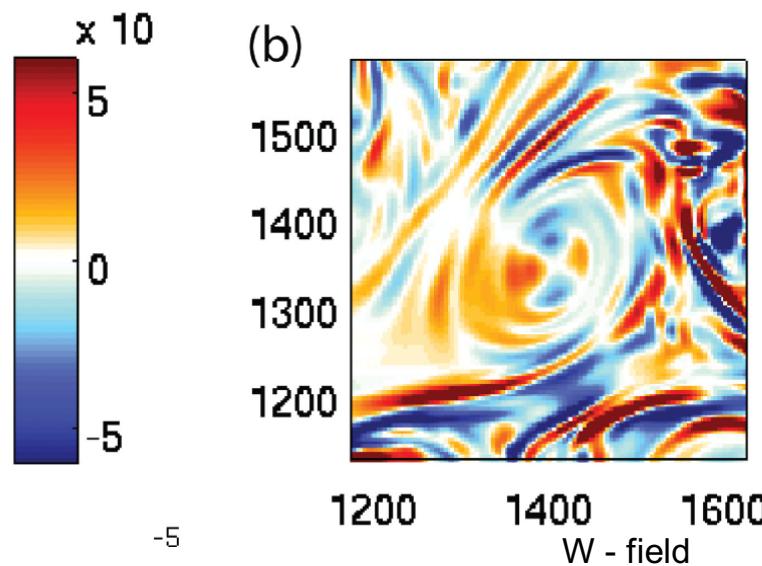
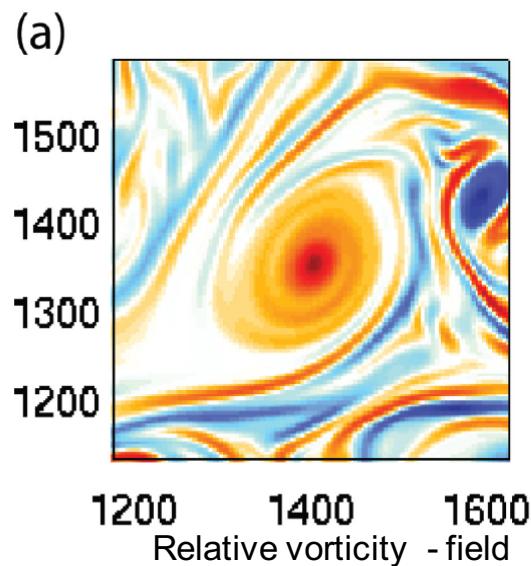


**W – field:** only 40 % within mesoscale eddies; 60% within filaments (submesoscales).

Ecosystem model: 2 phytoplankton species [P1 (P2): small (large) species with large (small) growth rate]

***What is the impact of mesoscale eddy and submesoscale dynamics  
on the competition between P1 and P2?***

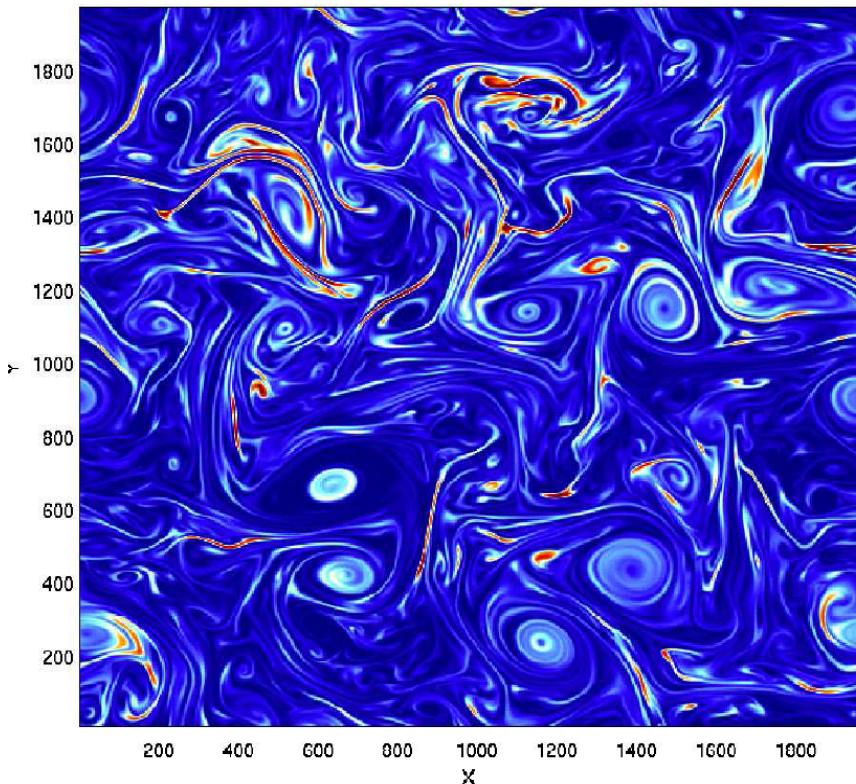
Results : mesoscale eddies (100-200 km) and submesoscales (1-10 km) are ecological niches that shelter different phytoplankton species



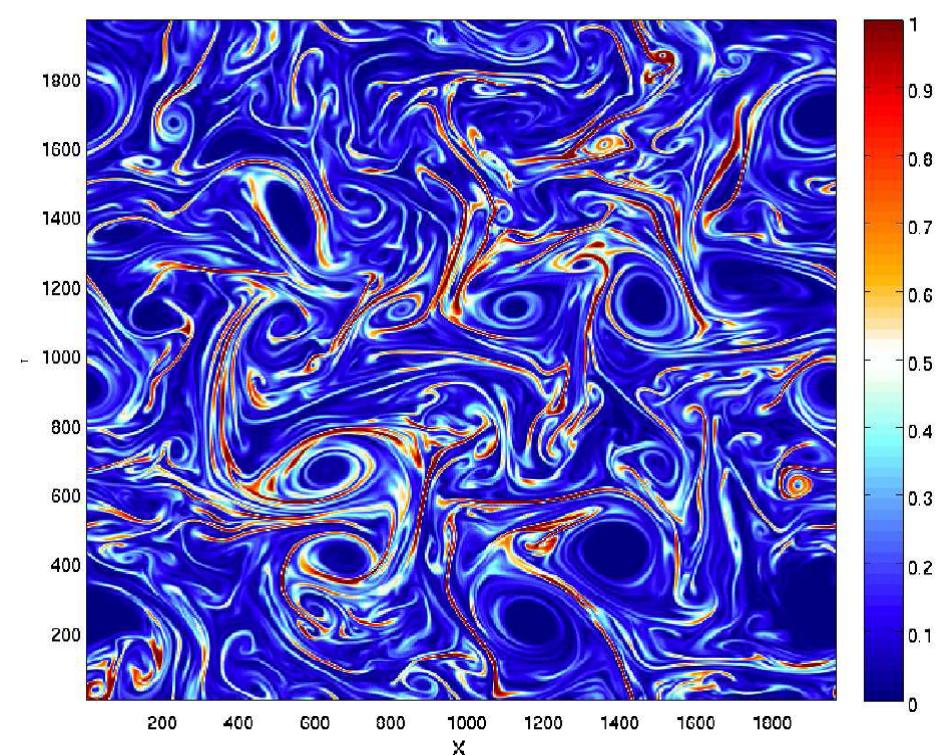
# Meso/submesoscale turbulence and phytoplankton competition:

## Results

**Small phytoplankton ( $P_1$ )**



**Large phytoplankton ( $P_2$ )**



- . 65% of the biomass is inside filaments
- . Competition :     $P_1$  : inside eddies         $P_2$  : inside filaments

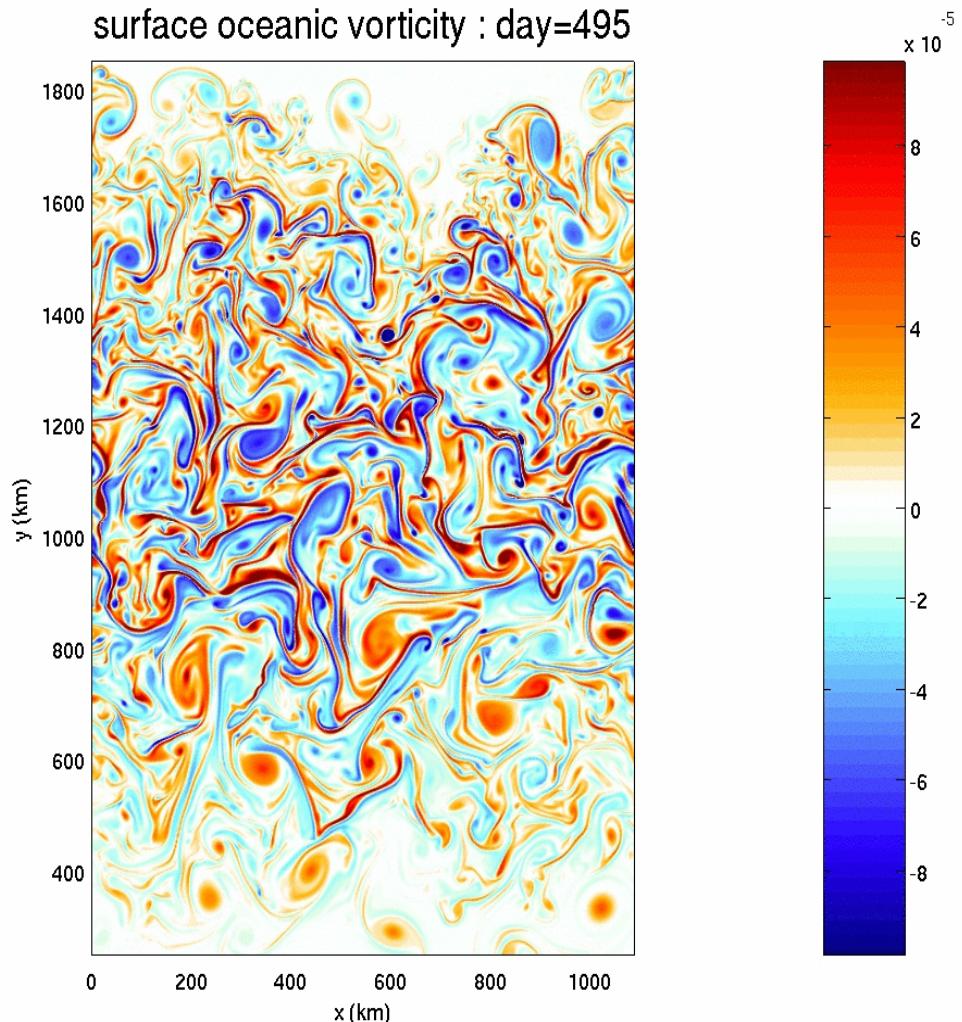
# **Inverse KE cascade in a turbulent geophysical flow**

## *Simulation of eddy turbulence*

*PE model 1/100e degree, 200 levels  
[3000km\*2000km\*4000m]*

**Eddy turbulent field near the surface:**

- \* thin (<10km) filaments
- \* Vorticity field is quickly evolving



## 2-D Turbulence:

**It is a simple framework (involving simple equations) that captures many of the main characteristics of the balanced (QG) 3-D turbulence.**

**Inverse kinetic energy cascade**

## Two dimensional turbulence

$$\mathbf{U} = (u, v).$$

$$\nabla \cdot \mathbf{U} = 0$$

Euler equations

$$\frac{\partial u}{\partial t} + \mathbf{U} \cdot \nabla u - (f_0 + \beta y) v = -\frac{1}{\rho_0} \frac{\partial p}{\partial x} + [\text{mixing}],$$

$$\frac{\partial v}{\partial t} + \mathbf{U} \cdot \nabla v + f_0 v + \beta y u = -\frac{1}{\rho_0} \frac{\partial p}{\partial y} + [\text{mixing}].$$

In 2-D flow we can define a stream function  $\psi$ :

$$u = -\psi_y \quad v = \psi_x$$

We use  $\tilde{p} = \frac{p}{\rho_0} - (f_0 + \beta y) \psi$

$$\tilde{p}$$

$$\Rightarrow \frac{\partial u}{\partial t} + \mathbf{U} \cdot \nabla u = -\frac{\partial \tilde{p}}{\partial x} + [\text{mixing}]$$

$$\frac{\partial v}{\partial t} + \mathbf{U} \cdot \nabla v = -\frac{\partial \tilde{p}}{\partial y} - \beta \psi + [\text{mixing}].$$

Two invariants:  $KE = \frac{u^2 + v^2}{2}$   $Z = \frac{\zeta^2}{2}$

Z is the enstrophy

$$(I) \quad \frac{\partial \zeta}{\partial t} + \zeta \cdot \nabla U = -\nabla \tilde{p} - \beta \psi \vec{f} \quad \vec{f} = [0, 1]$$

$$\Rightarrow \frac{\partial KE}{\partial t} + \nabla \cdot [U, KE] = -\nabla \cdot [\zeta \tilde{p}] - \beta (\frac{\psi^2}{2})_x$$

$$\Rightarrow \iint_{\text{area}} \frac{\partial KE}{\partial t} dx dy = 0, \quad \boxed{KE = \text{const}}$$

$$(II) \quad \frac{\partial \zeta}{\partial t} + \zeta \cdot \nabla \zeta + \beta v = 0$$

$$\Rightarrow \frac{\partial Z}{\partial t} + \nabla \cdot (U \cdot Z) = 0,$$

$$\Rightarrow \iint_{\text{area}} \frac{\partial Z}{\partial t} dx dy = 0 \quad \boxed{Z = \text{const}}$$

KE and Z conservation

Comment:

The vorticity eq. can also be written as:

$$\frac{\partial \zeta}{\partial t} + J(\psi, \zeta) + \beta v = 0$$

$$\text{or } \frac{\partial(\zeta + \beta y)}{\partial t} + J(\psi, \zeta + \beta y) = 0$$

$$\text{with } J(a, b) = a_x b_y - b_x a_y$$

The Jacobian  $J(a, b) = 0$  when isolines of  $a$  are // to isolines of  $b$ !

## KE and Z cascades

In 2-D turbulence both KE and Z are conserved. Because of these two invariants, there is:

- a KE transfer between small to larger scales,
- a Z transfer between small to larger scales,

**These transfers occur through the nonlinear terms.** The classical way to explain them is to move to the spectral space ...

It is convenient to express variables ( $\psi$ ) in terms of double Fourier integral.

$$\psi(x, y) = \frac{1}{2\pi} \iint_{k,l} \hat{\psi}(k, l) e^{i(kx+ly)} dk dl.$$

with  $k$  and  $l$  respectively the zonal and meridional wavenumbers.

So we get:

$$|\hat{u}(k, l)|^2 + |\hat{v}(k, l)|^2 = (k^2 + l^2) |\hat{\psi}(k, l)|^2$$

$$|\hat{\zeta}(k, l)|^2 = -(k^2 + l^2) |\hat{\psi}(k, l)|^2 = -(k^2 + l^2) [|\hat{u}(k, l)|^2 + |\hat{v}(k, l)|^2]$$

From the Parseval equality, we have:

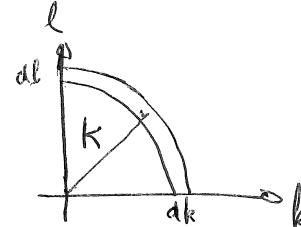
$$KE = \frac{1}{2} \iint_{x,y} (u^2 + v^2) dx dy = \frac{1}{2} \iint_{k,l} [|\hat{u}(k, l)|^2 + |\hat{v}(k, l)|^2] dk dl.$$

$$Z = \frac{1}{2} \iint_{x,y} \zeta^2 dx dy = \frac{1}{2} \iint_{k,l} (k^2 + l^2) [|\hat{u}(k, l)|^2 + |\hat{v}(k, l)|^2] dk dl.$$

So the KE and  $Z$  transfers between small and large scales can be understood through the KE and  $Z$  transfers between wavenumbers.

Let us use  $K = [k^2 + l^2]^{1/2}$

and  $|\hat{U}(K)|^2 = \iint_{k,l} |\hat{U}(k,l)|^2 dk dl$   
 $\text{for } k^2 + l^2 = K^2$



$$\Rightarrow KE = \iint_K [|\hat{U}(k)|^2 + |\hat{V}(k)|^2] dk.$$

$$Z = \iint_K k^2 [|\hat{U}(k)|^2 + |\hat{V}(k)|^2] dk.$$

KE and Z transfers between small and large scales are due to the nonlinear interactions!

For the sake of simplicity, let us consider the simple eq.:

$$\frac{\partial u}{\partial t} = u \cdot u,$$

and.  $u(x) = \sum_k \cos kx \hat{u}_k \Rightarrow \hat{u}_k = \frac{1}{2\pi} \int_{-\pi}^{\pi} \cos kx u(x) dx.$

The time evolution of  $\hat{u}_k$  is:

$$\begin{aligned} \frac{\partial \hat{u}_k}{\partial t} &= \frac{1}{2\pi} \int_{-\pi}^{\pi} u(n) \cdot u(m) \cos kn dx \\ &= \frac{1}{2\pi} \int_{-\pi}^{\pi} \left[ \sum_n \cos nx \cdot \hat{u}_n \right] \left[ \sum_m \cos mx \cdot \hat{u}_m \right] \cos kn dx \\ &= \frac{1}{8\pi} \int_{-\pi}^{\pi} \sum_n \sum_m \cos(m+n+k)x \hat{u}_n \hat{u}_m dx. \end{aligned}$$

The R.H.S. is non zero only if  $m+n+k=0$ !

This is the classical triad interaction!

- let us consider the triad  $n = k + m$  with  $k < m < n$ ,  
 (for example  $k=1$   $m=2$   $n=3$ ),  
 with the initial condition:

$$|\hat{U}_k|^2(0) = \varepsilon \quad |\hat{U}_m|^2(0) = U_0^2 \quad |\hat{U}_n|^2(0) = \varepsilon.$$

- Assume there is KE and Z transfers between wavenumbers such that at time  $t$ :  $|\hat{U}_m|^2(t) = \varepsilon$ . So  $|\hat{U}_k|^2(t)$ ? ,  $|\hat{U}_n|^2(t)$ ?
- KE and Z are conserved. So:

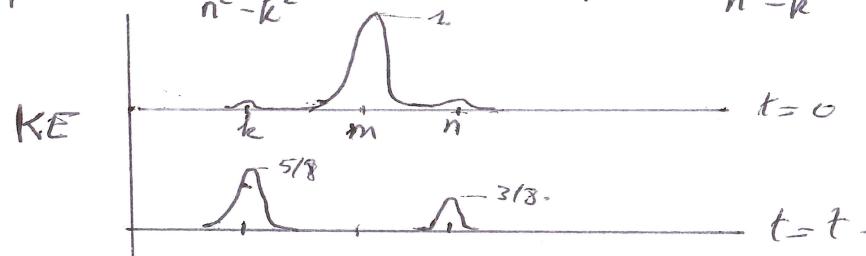
$$\text{KE} \approx |\hat{U}_k|^2(t) + |\hat{U}_n|^2(t) = U_0^2$$

$$Z \approx k^2 |\hat{U}_k|^2(t) + n^2 |\hat{U}_n|^2(t) = m^2 U_0^2$$

This leads to:

$$|\hat{U}_k|^2(t) = \frac{n^2 - m^2}{n^2 - k^2} U_0^2 \quad |\hat{U}_n|^2(t) = \frac{m^2 - k^2}{n^2 - k^2} U_0^2$$

$$k^2 |\hat{U}_k|^2(t) = \frac{k^2(n^2 - m^2)}{n^2 - k^2} U_0^2 \quad n^2 |\hat{U}_n|^2(t) = \frac{n^2(m^2 - k^2)}{n^2 - k^2} U_0^2$$



So there is a dominant KE transfer from small to larger scales!  
 " " " Z transfer from large to smaller scales!  
 These transfers occur through the nonlinear terms -

How to characterize the KE transfer in a fully turbulent flow?

$$\frac{\partial \bar{U}_k}{\partial t} = - [\bar{U} \cdot \nabla \bar{U}]_k + [\text{Sources}]_k + [\text{mixing}]_k.$$

$$\frac{\partial KE_k}{\partial t} = - \text{Re} [\bar{U}_k^* \cdot [\bar{U} \cdot \nabla \bar{U}]_k] + [-]_k + [-]_k$$

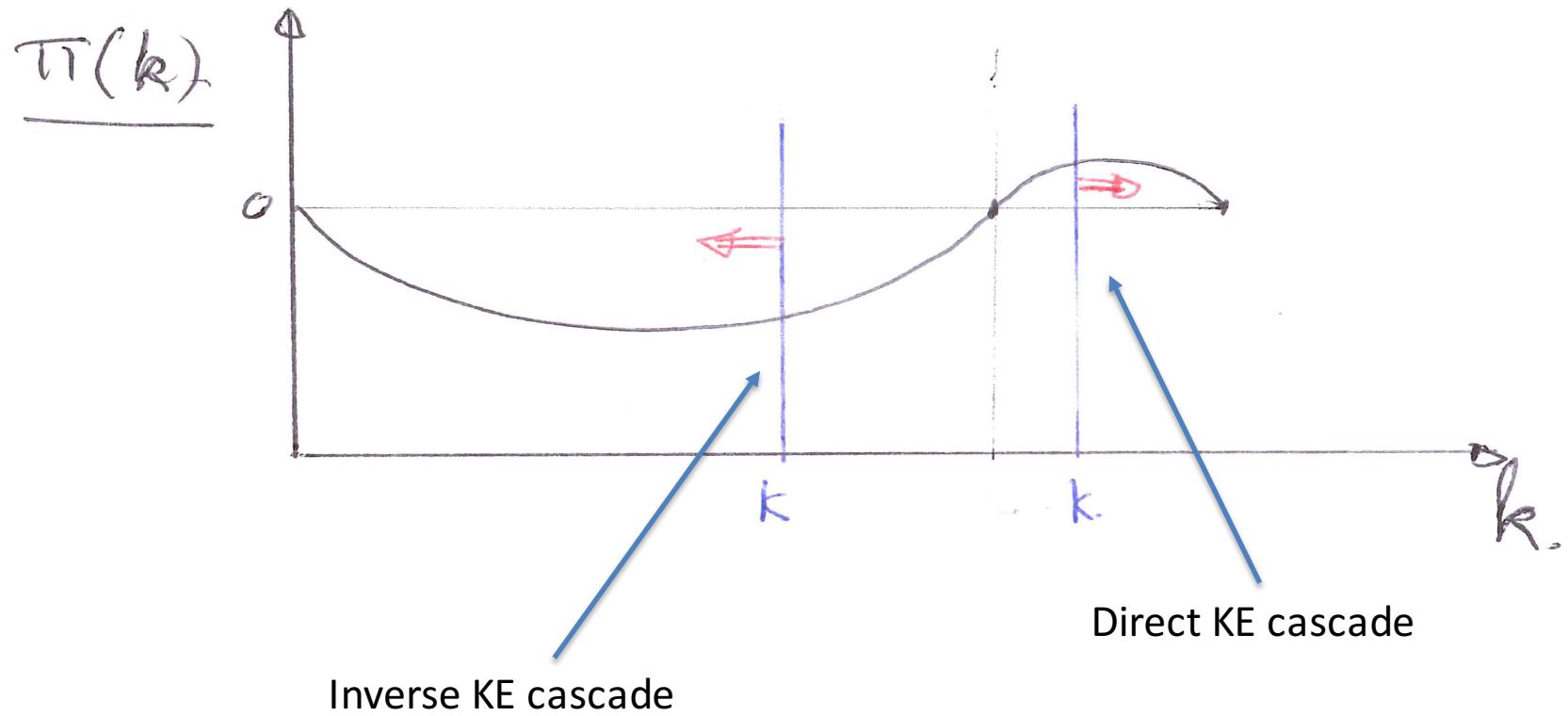
$\text{Re} [\bar{U}_k^* \cdot [\bar{U} \cdot \nabla \bar{U}]_k]$  can be a source or a sink of  $KE_k$

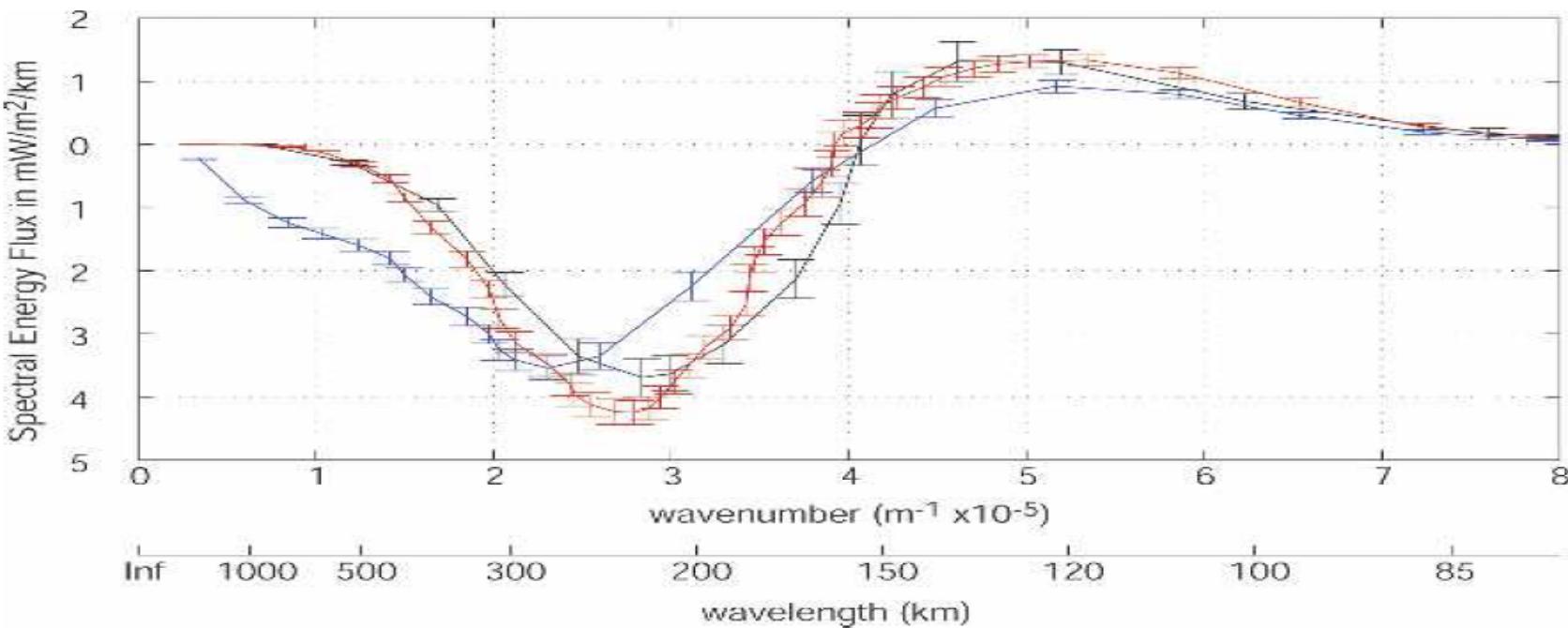
KE transfer between small and larger scales is arrested through

$$\frac{\partial \int_K^{K'} KE_k}{\partial t} = - \int_K^{K'} \text{Re} [\bar{U}_k^* [\bar{U} \cdot \nabla \bar{U}]_k] dk + \dots$$

$\boxed{\Pi(K)},$

IF  $\Pi(K) < 0$ , wavenumbers larger than  $K$  (smaller scales) have lost KE and therefore wavenumbers smaller than  $K$  (larger scales) have gained KE. (total KE is conserved).





**FIG. 2.** Time mean, spectral kinetic energy flux  $\bar{\Pi}(K)$  vs total wavenumber  $K$  in a homogeneous ACC region (rectangles centered at  $57^\circ\text{S}$ ,  $120^\circ\text{W}$ ): black curve using SSH on a  $32 \times 32$  grid, red curve using SSH on a  $64 \times 64$  grid, blue curve using velocity on a  $64 \times 64$  grid. Positive slope reveals a source of energy. The larger negative lobe reveals a net inverse cascade to lower wavenumber. Error bars represent standard error.

Spectral kinetic energy fluxes estimated from AVISO data:

- direct KE cascade for scales smaller than 150 km !
- inverse KE cascade for scales larger than 150 km !

(Scott and Wang, JPO 2005)

What are the results in HR numerical models ?

**The small-scale ageostrophic motions (including the  $u$ - $v$ - $w$ -field) have a dramatic impact on the time evolution of the eddy field**

## KINETIC ENERGY BUDGET

$$\underbrace{\frac{1}{2} \frac{\partial |\widehat{\mathbf{u}}_h|^2}{\partial t}}_{d/dt} = \underbrace{-\text{Re}[\widehat{\mathbf{u}}_h^* \cdot (\widehat{\mathbf{u}}_h \cdot \widehat{\nabla_H \mathbf{u}_h})]}_{\text{advection}} - \underbrace{\frac{1}{\rho_0} \text{Re}(\widehat{\mathbf{u}}_h^* \cdot \widehat{\nabla_H p})}_{\text{pressure}} + \underbrace{MT}_{\text{mixing}}$$

$$\widehat{\mathbf{u}}_h^* \cdot \widehat{\nabla_H p} = \widehat{w_z}^* \hat{p}$$

# Impact of filtering in space or time on spectral kinetic energy fluxes (Arbic et al. 2013)

Two-layer QG turbulence model

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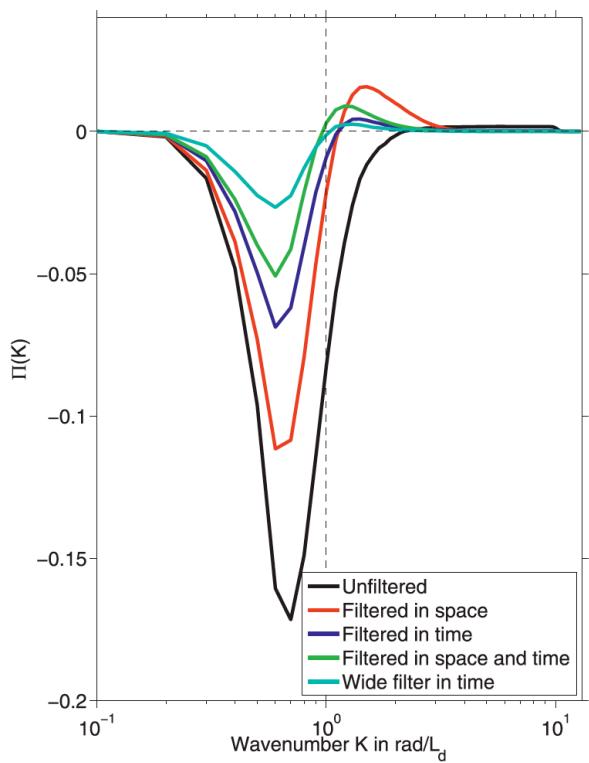
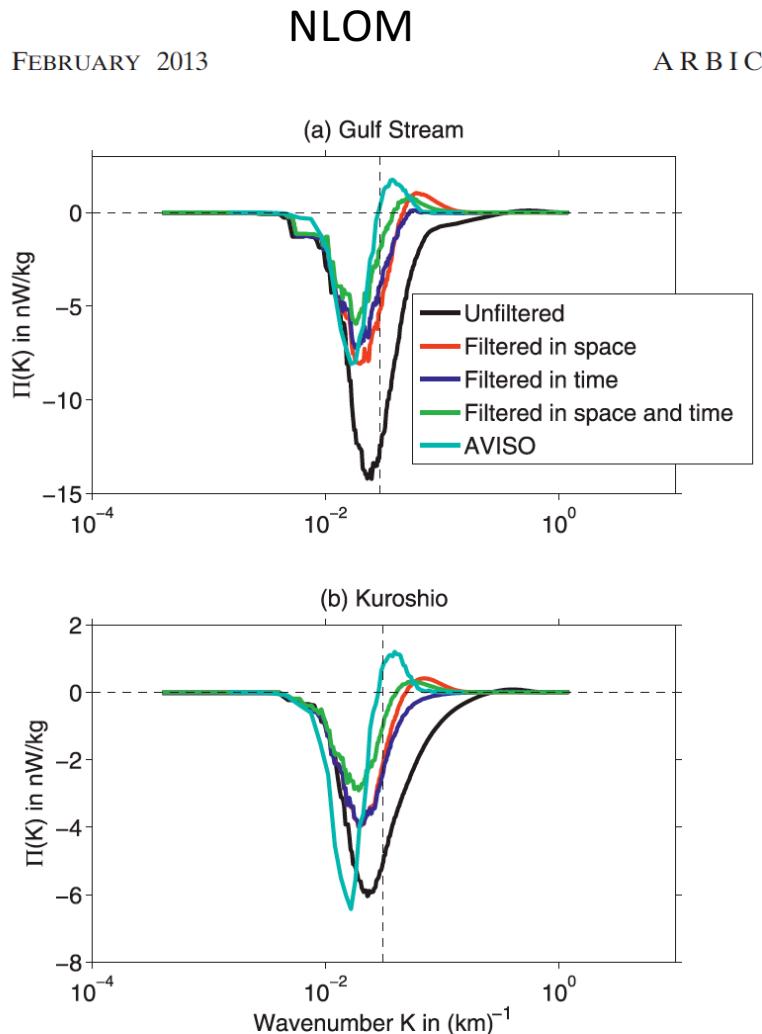


FIG. 6. Spectral flux  $\Pi_{KE,1}(K)$  of upper-layer kinetic energy computed from the  $\nu = 0$ ,  $F_L = 0.4$  two-layer QG simulation (“unfiltered”) and from filtered versions of this simulation. See text for descriptions of filters used. All fluxes normalized by  $(\bar{u}_1 - \bar{u}_2)^3/L_d$ .



**What is missing in the AVISO data ?**

**Contribution of the small scales!**

**SWOT should sample thesees small scales**

*SWOT*

