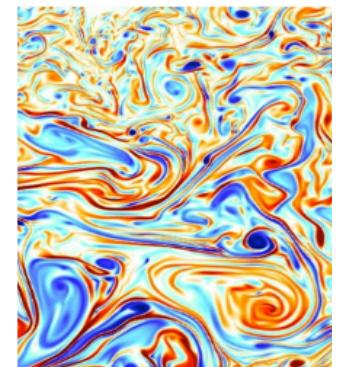
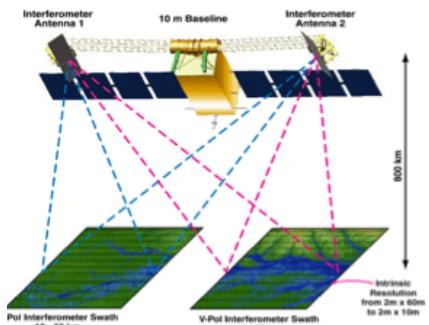


# **“Ocean Turbulence from SPACE”**

Zhan Su (Caltech) and Patrice Klein (Caltech/JPL/Ifremer)

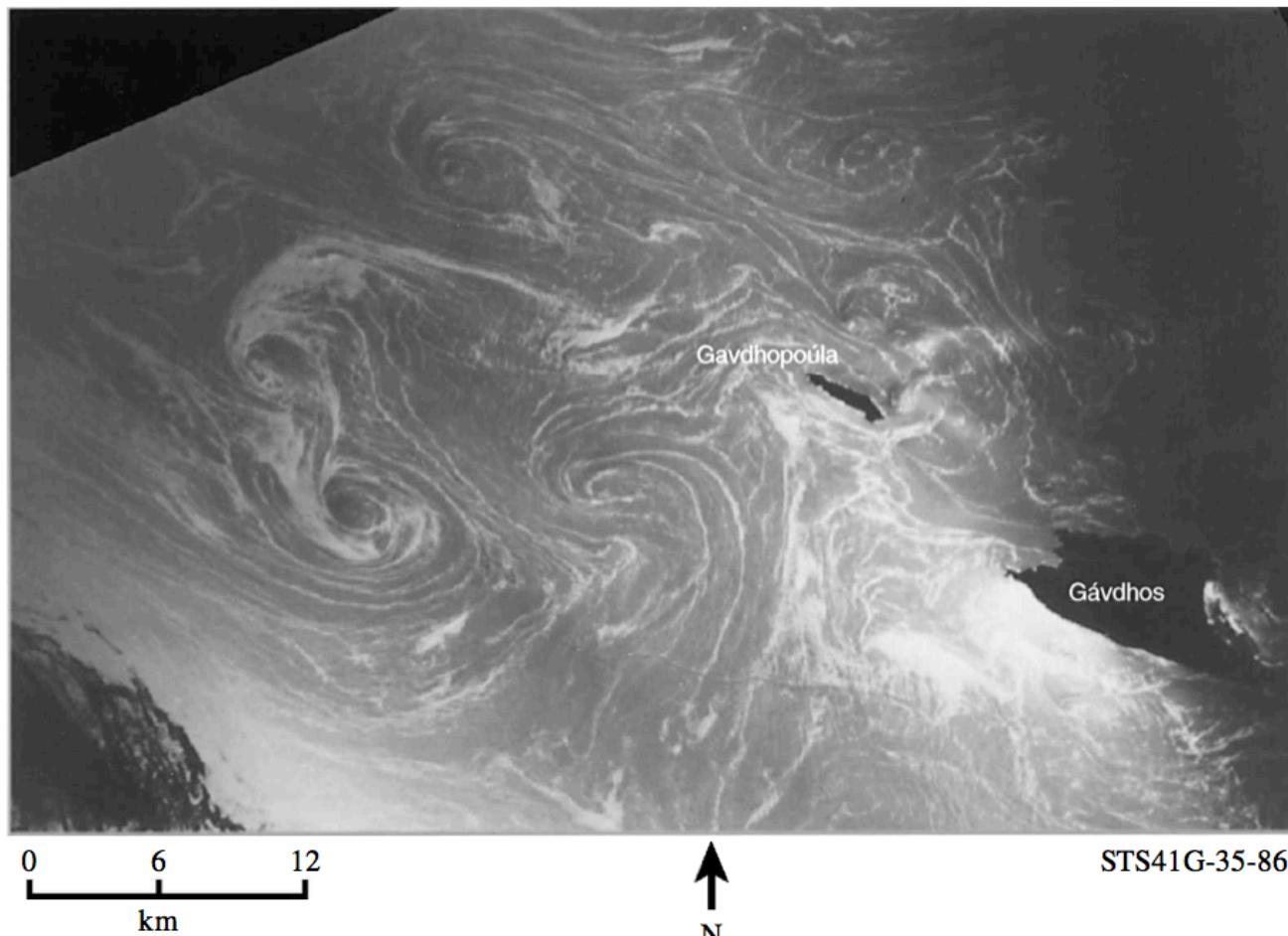
## **(XVII) Submesoscale instability (a): Spirals on the sea**



# “Spirals on the sea”

## by Munk et al. (2000)

spirals in the Mediterranean Sea

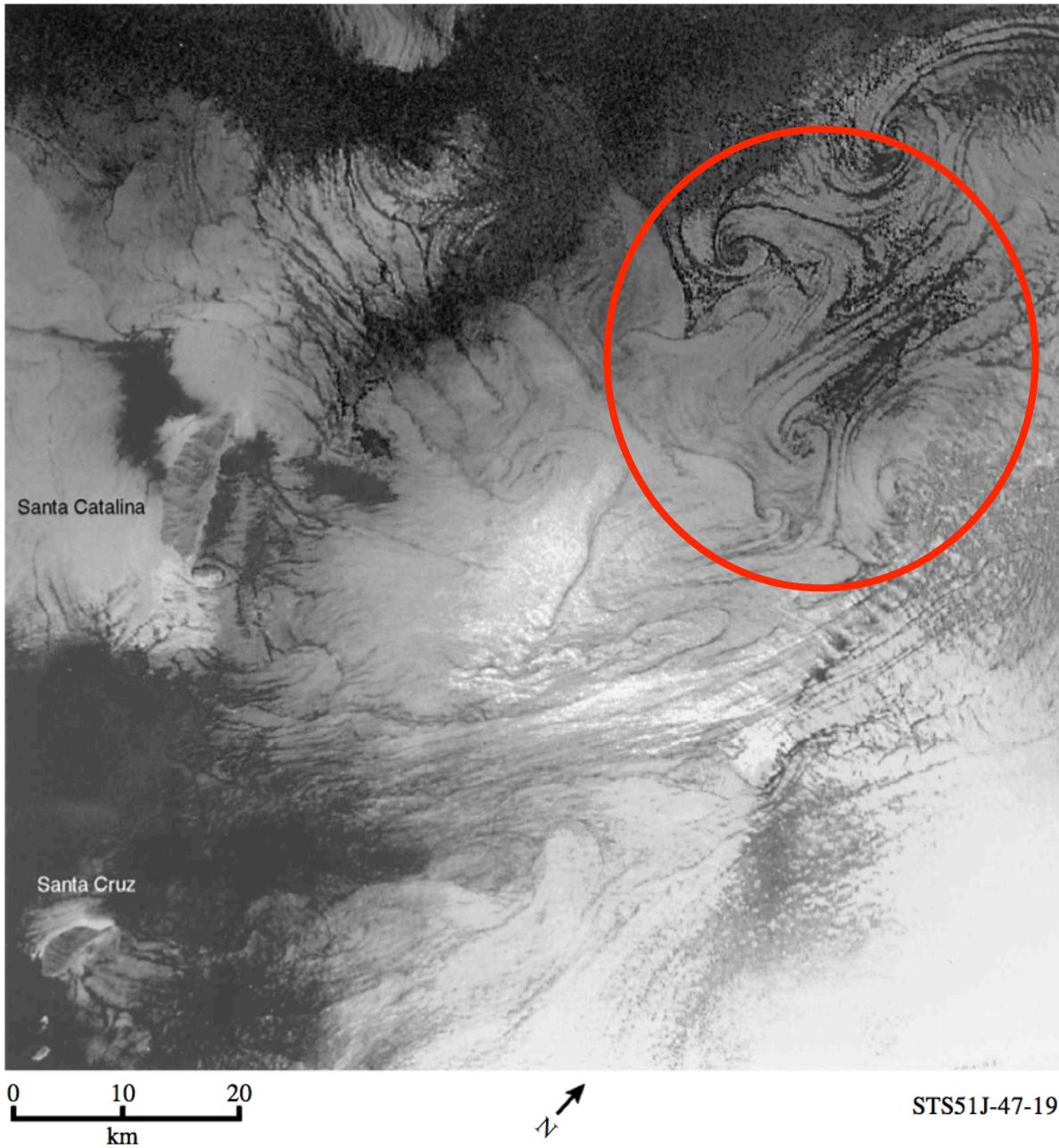


by sunglitter:  
spiral (~10km)  
& filament

ocean surfactants  
as tracer

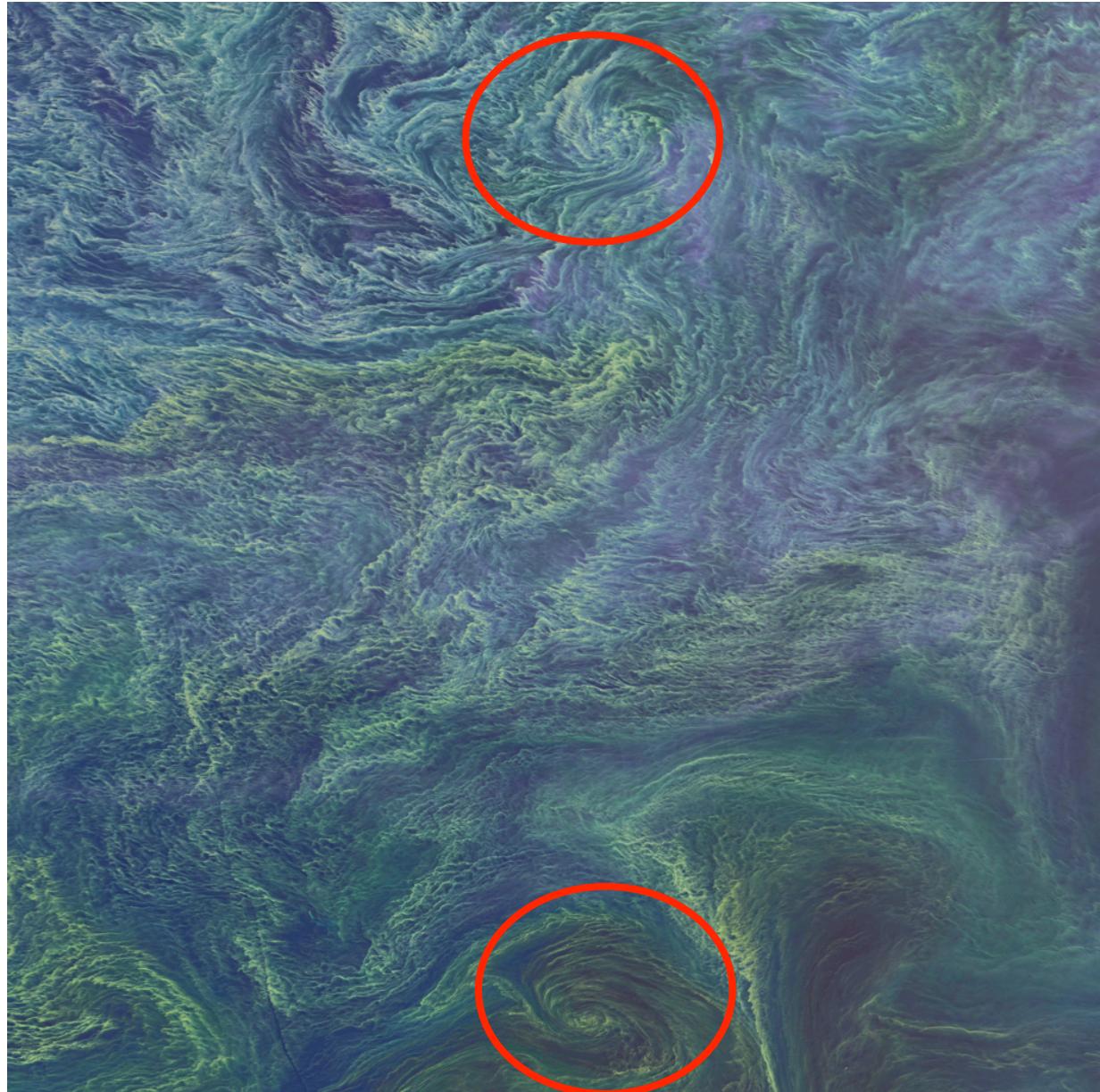
three questions of  
this paper

## Also around California



shows  
eddies

Recall Patrice's previous slide: Algal bloom in the Baltic Sea  
(tracer distribution)



why study it?

submesoscale is  
highly divergent  
and transport nutrient  
vertically....

vs mesoscale (horizontal)  
 $0 \text{ if } \text{Ro} \ll 1$

$$\frac{D\mathbf{u}}{Dt} + \mathbf{f} \times \mathbf{u} = -\frac{1}{\rho} \nabla_h P$$

$$\text{Ro} \quad 1 \quad 1$$

if  $\rho$  is constant and  
 $f$  is constant, by taking  
curl, we get  $dw/dz=0$   
if  $\text{Ro} \ll 1$

Recall the famous QG-omega equation, at  $O(R_o)$  order not  $O(1)$  order derive from basic equations (... a little like internal wave equation):

$$\nabla_H^2 w + \frac{f_0^2}{N^2} \partial_z^2 w = - \frac{2g}{\rho_0 N^2} \nabla_H \cdot \mathbf{Q}$$

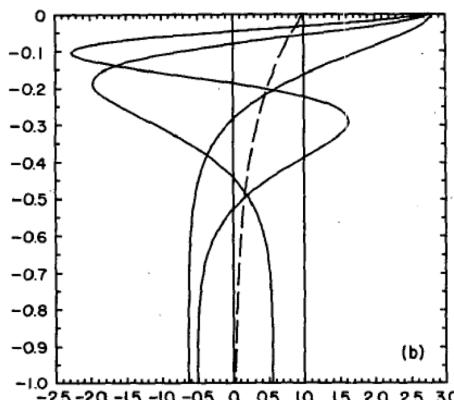
$$\mathbf{Q} = (\nabla_H \mathbf{u})^T \nabla_H \rho$$

For the strain field (filament area), due to the inverse cascade of KE and direct cascade of density, the scale for  $\nabla \mathbf{U}$  is much larger than the scale for the density gradient. Therefore  $\nabla \mathbf{Q}$  is dominated by  $\nabla^2 \rho$

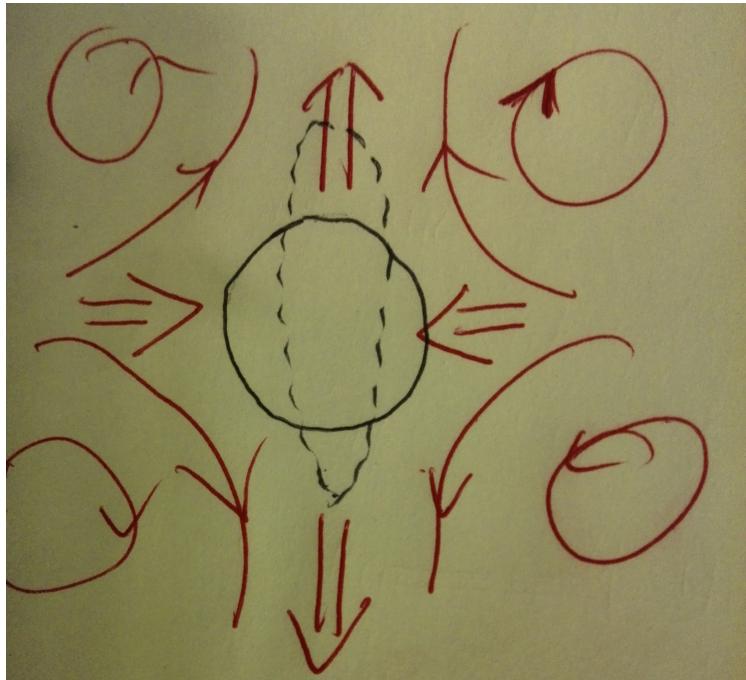
$\sim 0$  because at  $z=0$ ,  $w=0$ , but  $d^2 w/dz^2$  is nonzero at  $z=0$

$$\nabla_H^2 w + \frac{f_0^2}{N^2} \partial_z^2 w \underset{w}{\sim} \nabla^2 \rho \Rightarrow w \propto \nabla^2 \rho$$

true for the filaments, not necessarily true for the eddies



turbulence is trapped at surface  
(left figure shows the mode of horizontal motions)  
 $\Rightarrow w$  also has an exponential decay vertically  $\Rightarrow d^2 w/dz^2 \sim w$



where does  $\nabla \varphi$  (or  $\nabla^2 \varphi$ ) come from?

strain field (red)

material line (black)

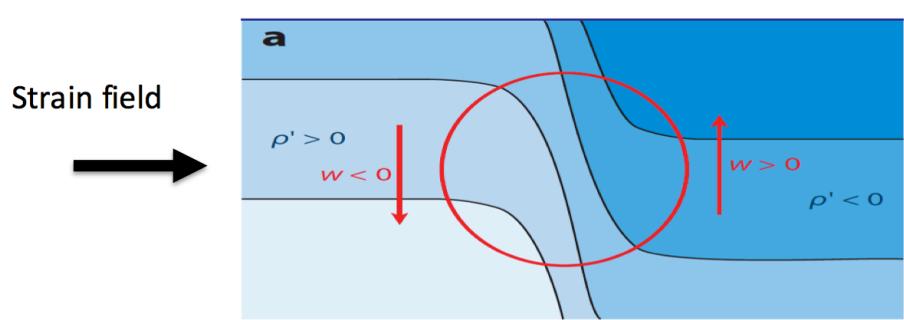
frontogenesis  
solid  $\xrightarrow{\text{stirring by strain field}}$   
 $\xrightarrow{\text{direct cascade of density}}$

$\nabla \varphi$  increases at small scales,  
 $w \propto \nabla^2 \varphi \propto k^2$   $\varphi$  increases with  $k$   
 so submesoscale is key for  $w$

Recall Georgy's teaching: for SQG

$$|\hat{\rho}_s|^2(k, l) = |\hat{u}_s^0|^2(k, l) \sim k^{-5/3}$$

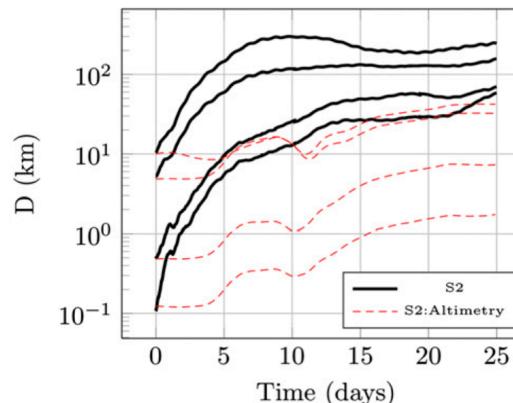
pretty flat, i.e., lots of density gradient are distributed in small scales



Strain field  
→

again,  $w$  is due to ASC,  
 (stirring for  $v$  and  $b$ )  
 restoring the thermal wind

around Greenland Sea,  
sea-ice as tracer,  
again in submesoscale (10-50km),  
**why study it?**  
submesoscale is key for dispersion  
(turbulent mixing) of tracers (sea-ice)



Dispersion is 10-100 times  
LARGER when  
submesoscales are taken  
into account !

if the initial distance of two parcels has a scale within the enstrophy inertial range ( $E_k \sim k^{-3}$ ), it is stirred by nonlocal strain field and has an exponential growth, i.e,  $\delta x \sim \exp(t)$ . In contrast, if the initial distance of two parcels has a scale within the energy inertial range ( $E_k \sim k^{-5/3}$ ), it is stirred by local strain field and has a smaller growth like  $\delta x \sim t^{2/3}$ .

Aviso data (red curve) can not resolve submesoscale so its strain field is weak, hence the growth rate for dispersion is also weaker in contrast to the resolved case (black)

Lumpkin and Eliot 2010\_JGR  
Bennet 1984\_JAS  
Scott 2006\_PF

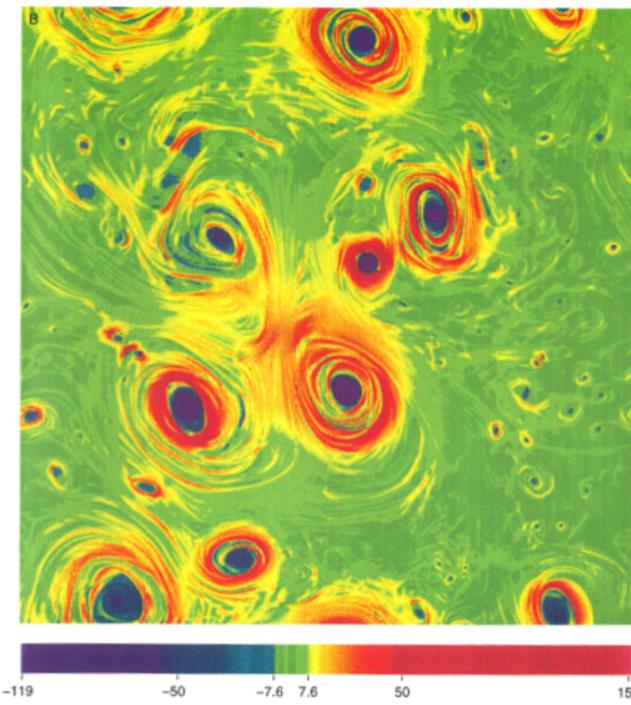
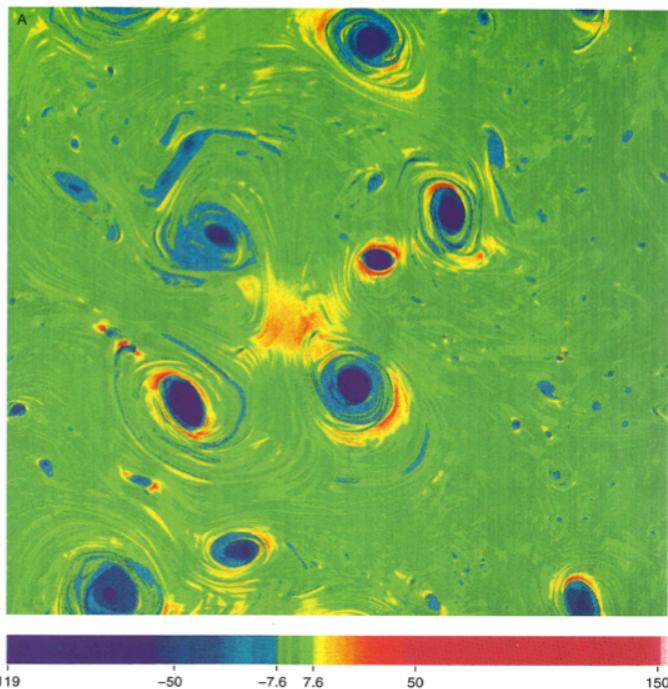
Stirring of the strain field=> the dispersion of tracer

$$\frac{d\delta X}{dt} = [\nabla \tilde{U}] \delta X$$

$$\frac{d\nabla G}{dt} = -[\nabla U]^T \nabla G$$

$$\nabla G(t) \approx \nabla G(t=0) \cdot \exp [ \pm (d \pm)^{1/2} t ]$$

This is for the enstrophy inertial range (exponential growth)



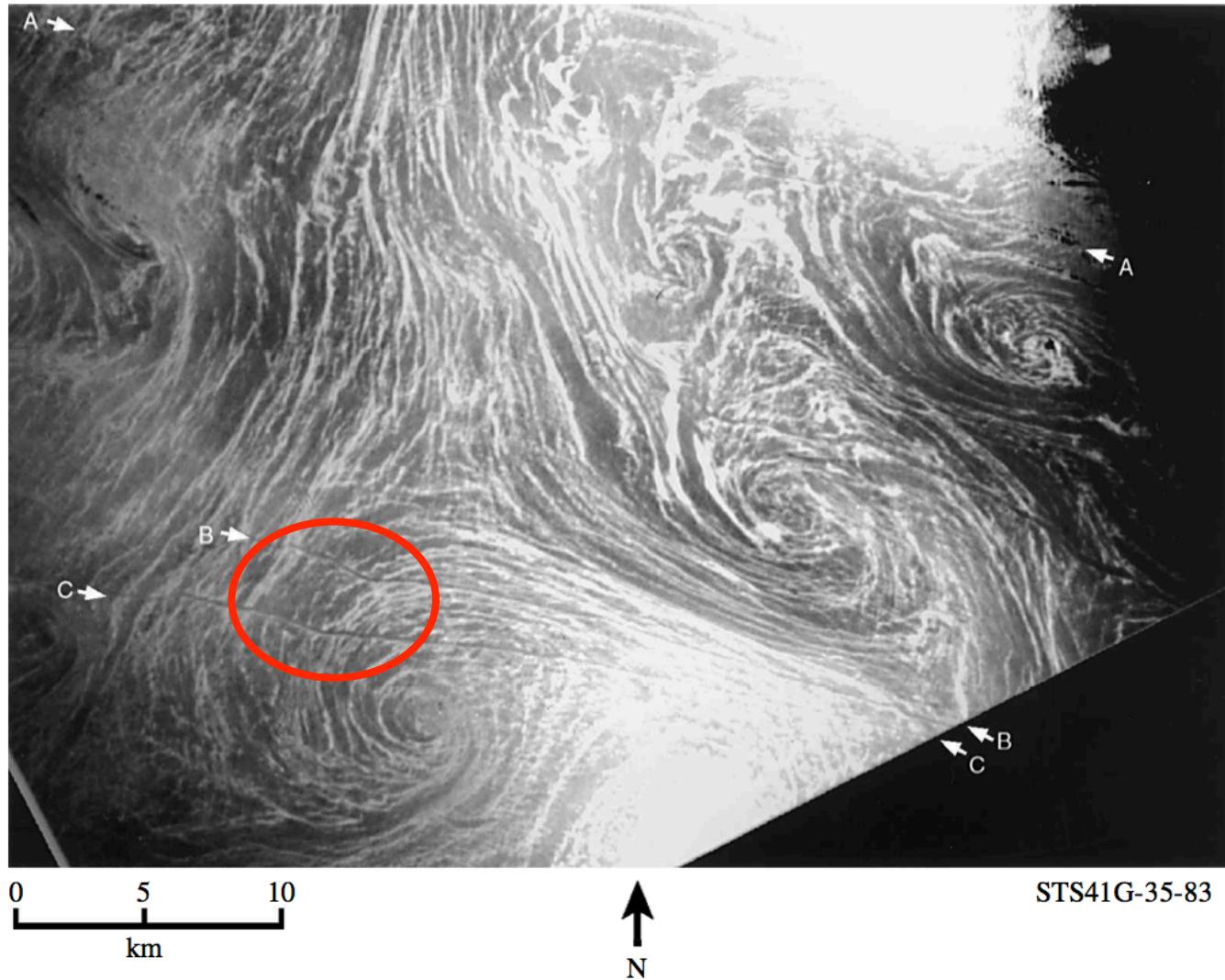
$$\lambda_+ = -\frac{1}{2} \Delta \tilde{p}$$

assuming a slow time evolution  
of the strain field

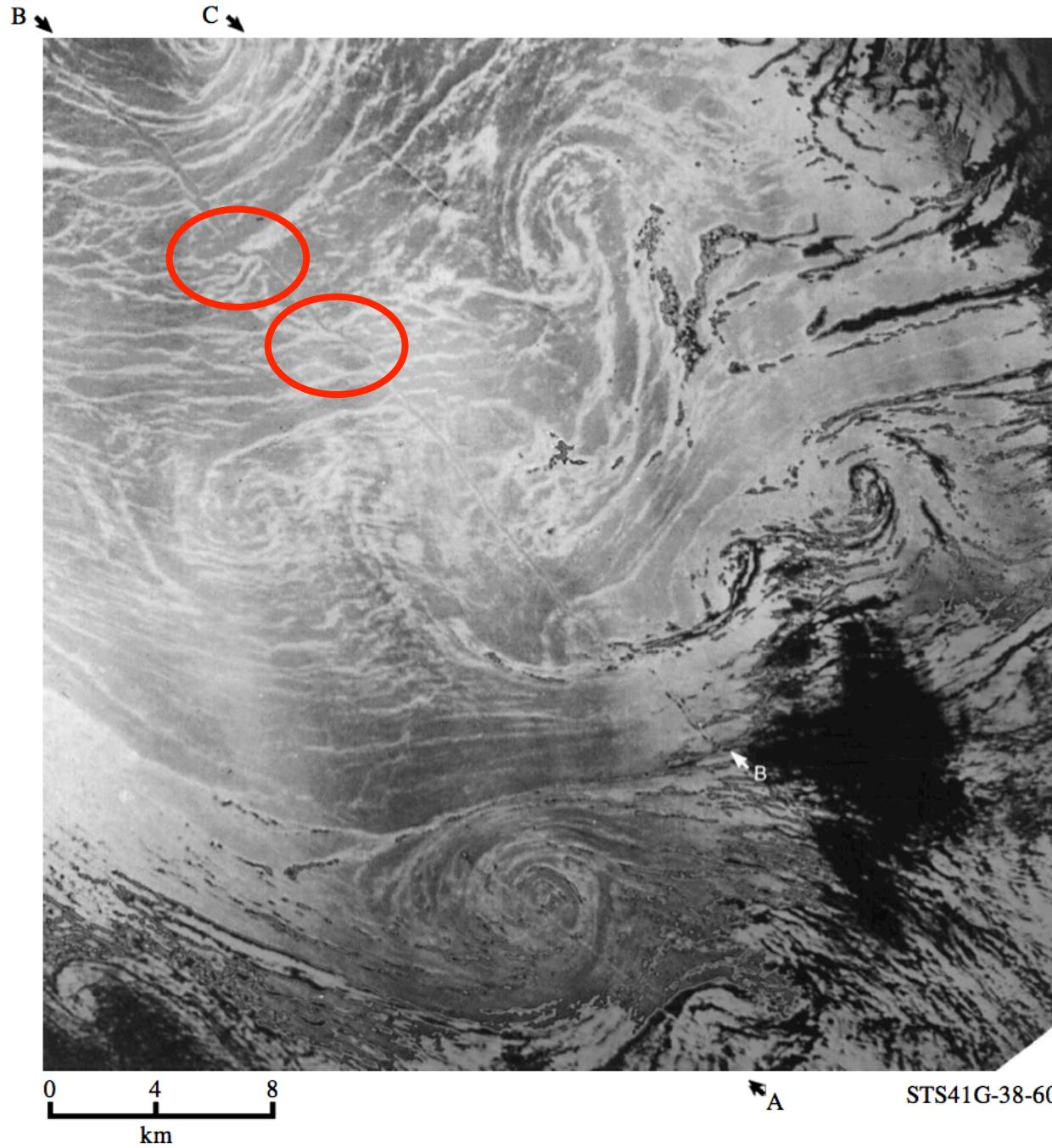
$$\lambda_+ = -\frac{1}{2} \Delta \tilde{p} + \frac{1}{2} \sqrt{\{(\tilde{p}_{xx} - \tilde{p}_{yy})^2 + 4\tilde{p}_{xy}^2\}}$$

accounting for the evolution of the strain field

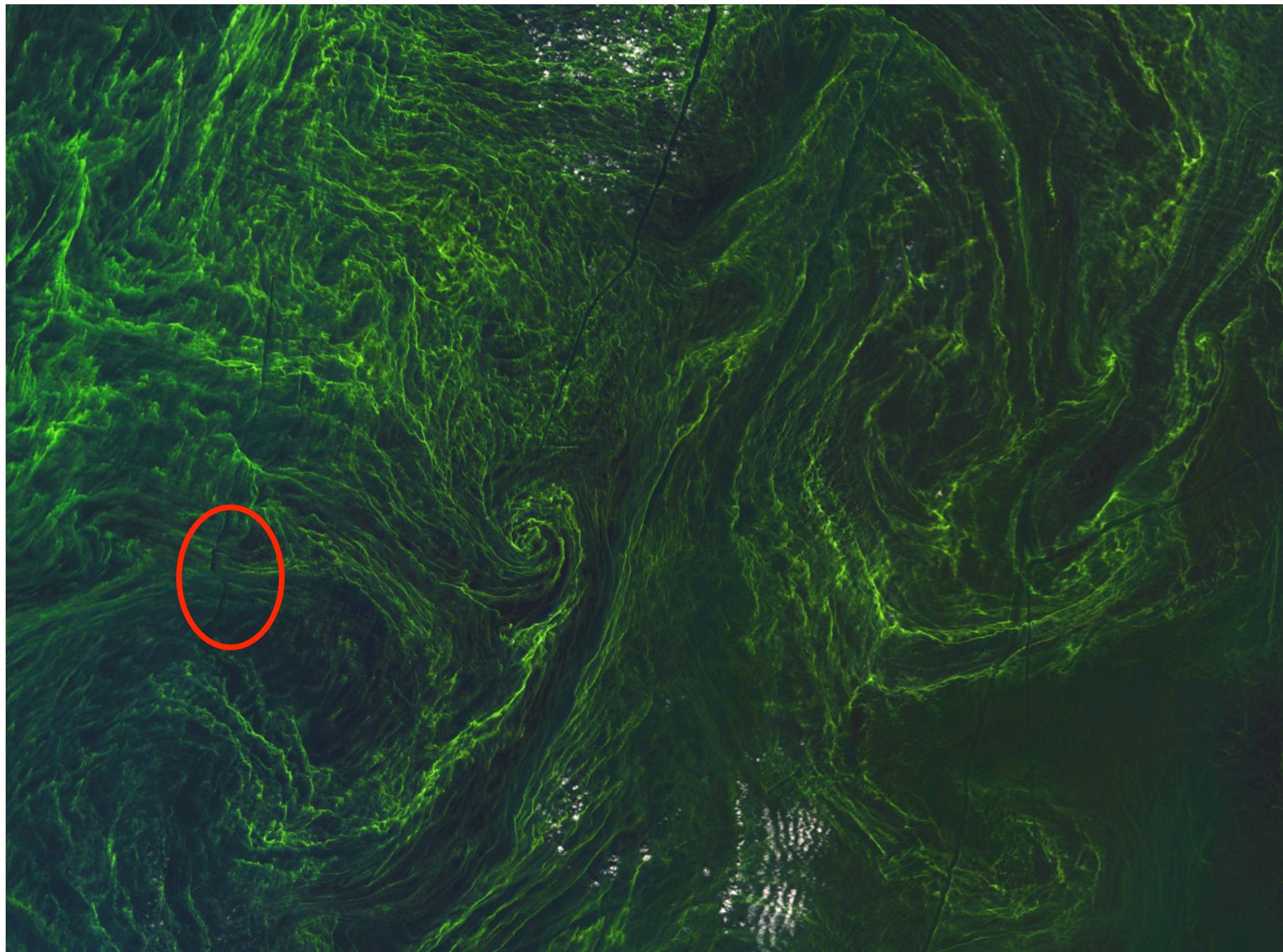
How the submesocale spirals/eddies are generated?  
through shear (K-H) instability!  
**MKE (shear,  $U_{yy}$ )  $\Rightarrow$  EKE**



ship track: showing strong velocity shear



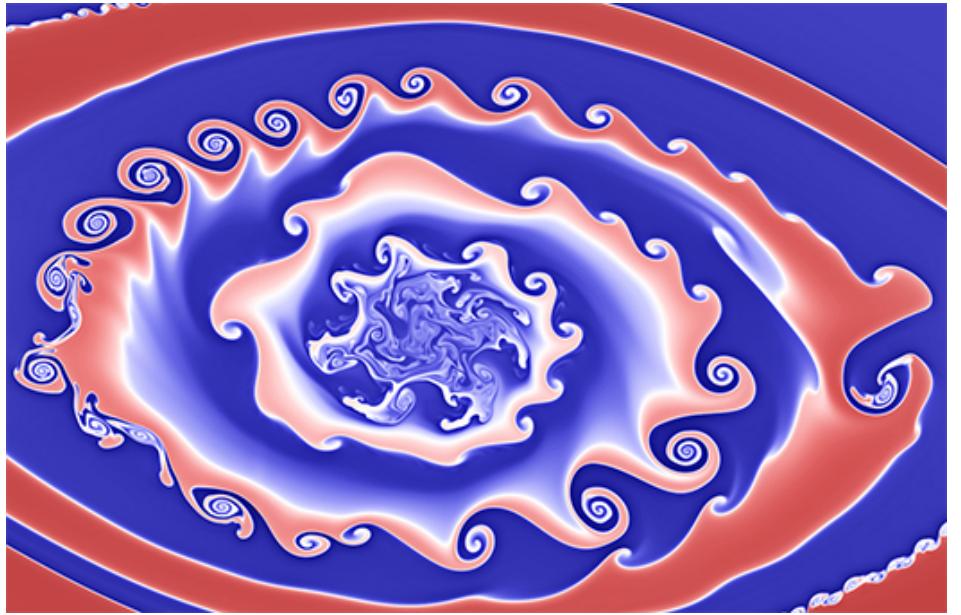
ship track: showing strong velocity shear



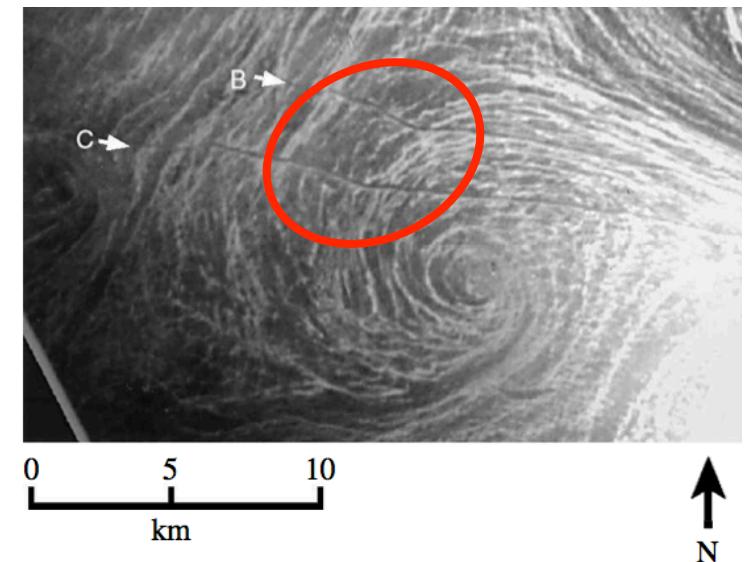
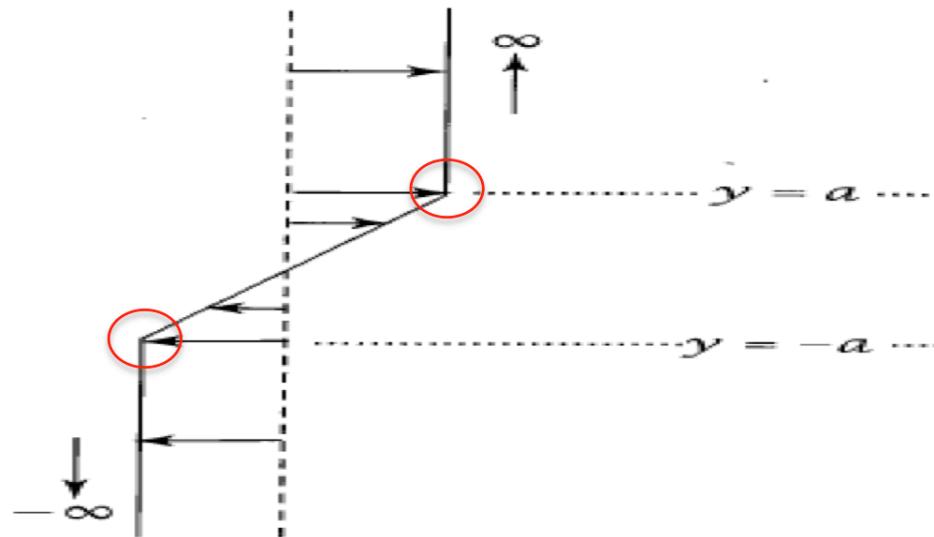
## Kelvin–Helmholtz instability examples



# Kelvin–Helmholtz instability examples



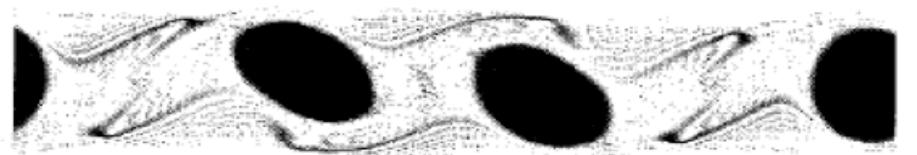
# Shear (K-H) instability, due to interaction of edge waves



vallis 2006



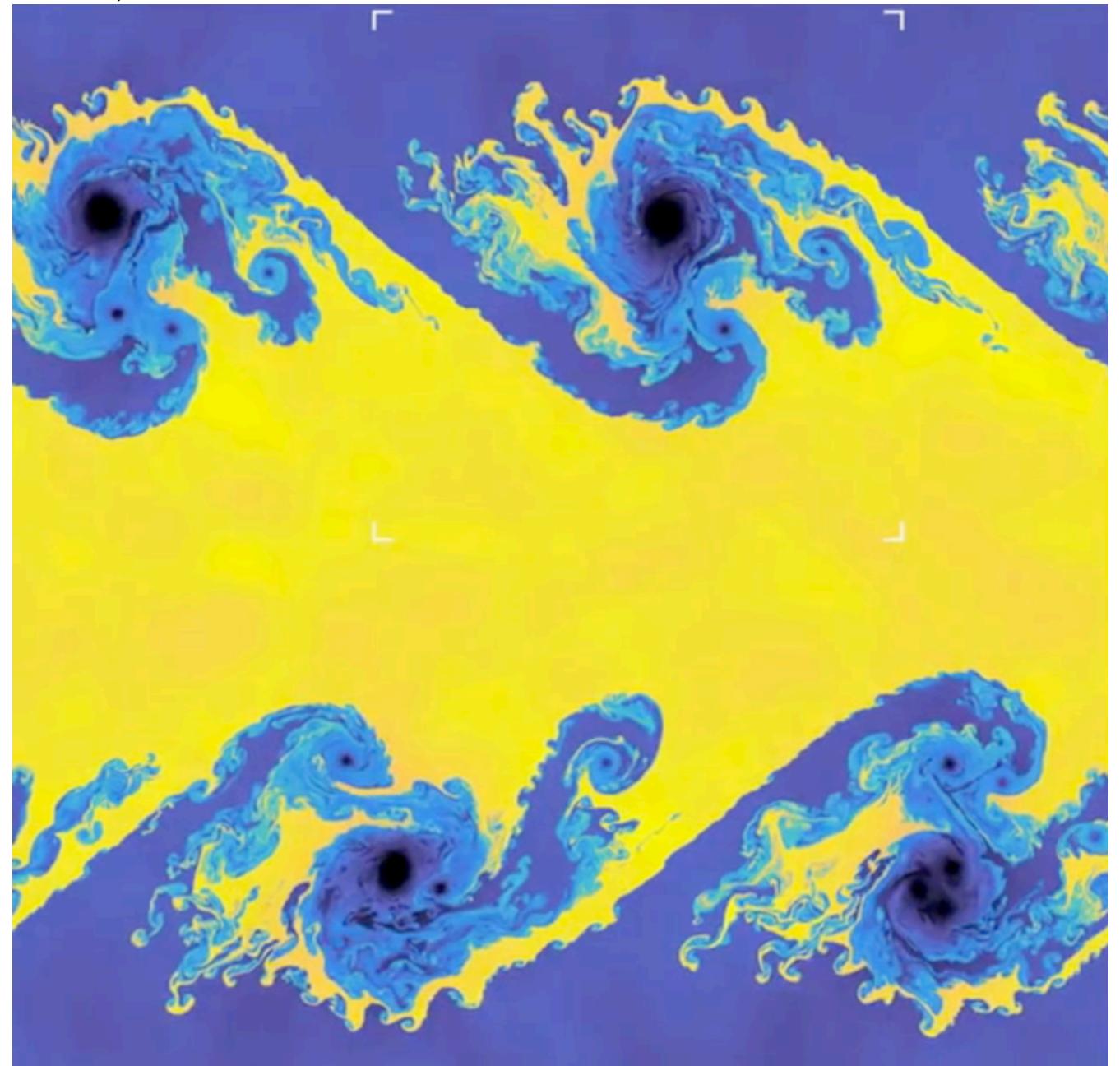
filament is unstable



K-H instability **in 2D flow**; showing conserved tracer (e.g., vorticity); not much submesoscale features; inverse cascade of KE

for 2D, by scaling  
 $\zeta(k) \sim (E_k * k^3)^{0.5}$   
 $\sim k^0$ , so the zeta strength  
does not change with  $k$

$E_k \sim k^{-3}$   
for small scales



# K-H instability **in SQG** induces an energetic submesoscale field

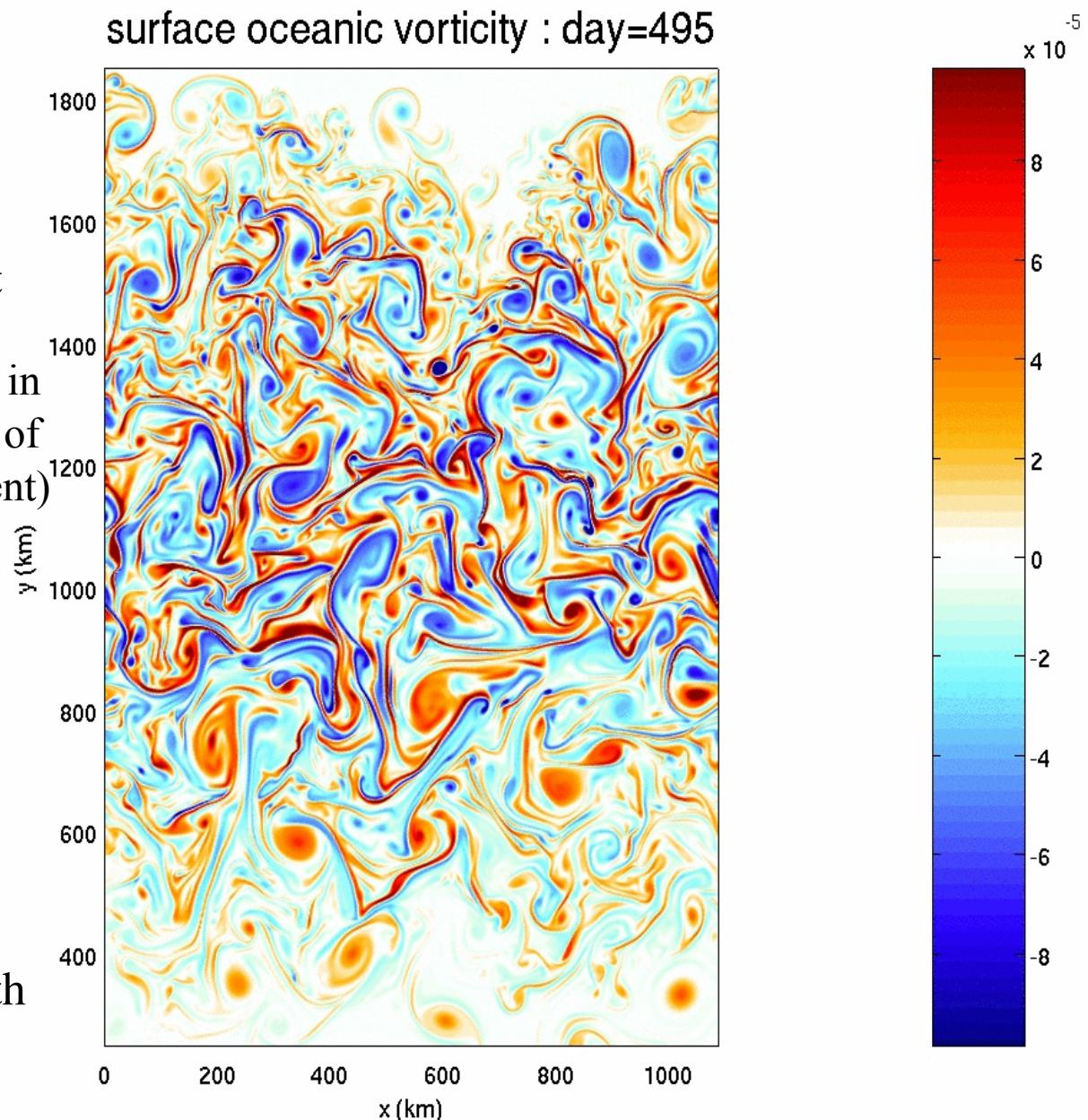
(Klein et al, JPO, 2008)

this slide shows vorticity, last slide shows conserved tracer  
(vorticity is a conserved tracer in 2D, just the initial distribution of conserved tracer can be different)

$$E_k \sim k^{-5/3}$$

for small scales

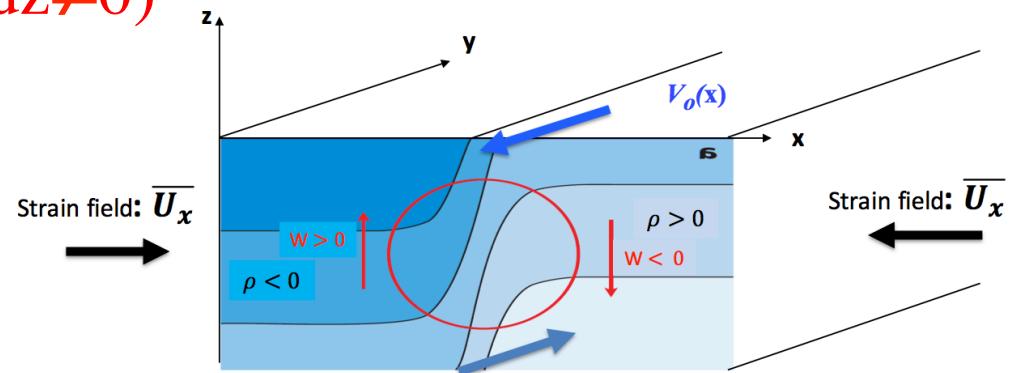
for SQG, by scaling  
 $\zeta(k) \sim (E_k k^3)^{0.5}$   
 $\sim k^{2/3}$ , so the zeta strength  
increases with  $k$



In 2D turbulence, the filaments are unstable but later is stabilized by the stirring mechanism, due to the conservation of vorticity.  
 i.e., there is no 3D vortex stretching to extract EKE from MKE.  
 i.e, 2D turbulence need extra forcing to generate eddies.

In SQG, the vorticity is not conserved and has vortex stretching.  
 i.e., vorticity (shear) can be generated at submesoscale by vortex stretching.

direct cascade of buoyancy (frontogenesis) by the stirring of SQG  
 $\Rightarrow$  ASC (vortex stretching,  $dw/dz \neq 0$ )  
 $\Rightarrow$  local jet acceleration  
 $\Rightarrow$  increasing of velocity shear  
 $\Rightarrow$  shear instability  
 $\Rightarrow$  eddies



i.e, SQG turbulence, in the absense of extra forcing, can still generate eddies by frontogenesis & shear instability.

# why submesoscale spirals/eddies are usually cyclonic?

$$\frac{\partial \mathbf{U}}{\partial t} + \mathbf{U} \cdot \nabla \mathbf{U} + \mathbf{f} \cdot \vec{k} \times \mathbf{U} = -\frac{1}{\rho_0} \nabla p$$

$< 0$  for cyclonic  
 $> 0$  for anticyclonic

$< 0$  for cyclonic  
 $> 0$  for anticyclonic

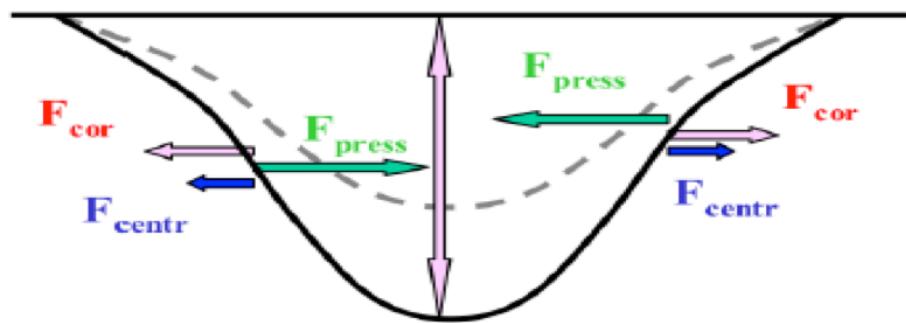
for submesoscale,  $R_o \sim 1$

=OW=(S<sub>1</sub><sup>2</sup>+S<sub>2</sub><sup>2</sup>-ζ<sup>2</sup>) < 0 for eddy region

$$\nabla \cdot (\mathbf{u} \cdot \nabla \mathbf{u}) - f \xi = -\Delta p$$

F<sub>centr</sub>      F<sub>cor</sub>      F<sub>press</sub>

*a) Cyclonic eddy*



*b) Anticyclonic eddy*

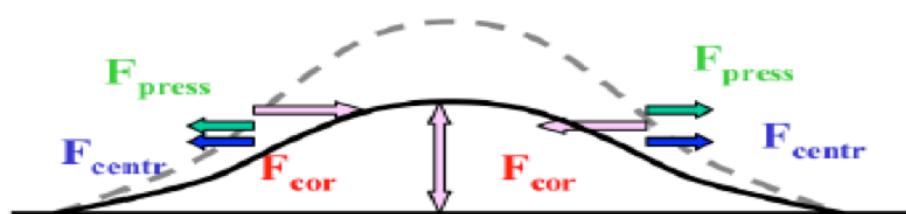


Figure adapted from Maximenko and Niiler (2006)

Another mechanism of why submesoscale spirals/eddies are usually cyclonic?

Taking curl of the momentum eq => vorticity equation in 3D

$$\frac{D}{Dt}(\zeta + f) = -(\zeta + f) \left( \frac{\partial u}{\partial x} + \frac{\partial v}{\partial y} \right) + \left( \frac{\partial u}{\partial z} \frac{\partial w}{\partial y} - \frac{\partial v}{\partial z} \frac{\partial w}{\partial x} \right)$$

$$+ \frac{1}{\rho^2} \left( \frac{\partial \rho}{\partial x} \frac{\partial p}{\partial y} - \frac{\partial \rho}{\partial y} \frac{\partial p}{\partial x} \right) + \left( \frac{\partial F^y}{\partial x} - \frac{\partial F^x}{\partial y} \right).$$

vortex stretching      vortex tilting  
baroclinic term      extra forcing (wind...)

$$\frac{D}{Dt}(\zeta + f) = -(\zeta + f) \left( \frac{\partial u}{\partial x} + \frac{\partial v}{\partial y} \right) \quad \begin{matrix} 0 \text{ if } \beta=0 \\ \text{if just considering} \\ \text{vortex stretching} \end{matrix}$$

For submesoscale,  $R_o \sim 1$  or  $|\zeta| \sim f$ . If  $\zeta$  and  $f$  have the same sign (cyclonic), then RHS  $\neq 0$  and there is vorticity generation by vortex stretching. Otherwise there is no vorticity generation.